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3 **WATER NETWORK RENEWAL STRATEGY: A CASE STUDY OF**
4 **AIGÜES DE BARCELONA**

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24 **Abstract**

25 This paper presents a consistent and transparent methodology for the prioritization of the
26 pipeline sections to be renewed among the whole Aigües de Barcelona urban water
27 network. The Prioritization Index for Pipeline Renewal (PIPR) serves to evaluate the risk
28 impact, in terms of sustainability, of each section of pipeline in the water distribution
29 network, for the purpose of its eventual renewal. Thus, the sections with higher
30 prioritization indices should be proposed as the first to be renewed. This methodology
31 represents a meaningful step towards a sustainable and reliable management of water
32 assets. Different economic, environmental and social aspects were considered through a
33 probabilistic multicriteria decision framework and analytic hierarchy process (AHP) was
34 used to conciliate all stakeholder interests. The case study conducted for Aigües de
35 Barcelona, a Spanish utility company dedicated to services, distribution and treatment of
36 water, is presented in this article, showing how this method performs accurate, consistent,
37 and repeatable evaluations.

38

39 **ICE KEYWORDS:** Sustainability – Environment – Water supply

40 **LIST OF NOTATIONS:**

B_i : factor that allows the function to be maintained within the range 0-1

c : proportionality constant

C_d : discharge coefficient

C_i : approximates the x-axis of the inflection point

CI: Consistency Index

CR: Consistency Ratio

D: pipe diameter

d_o : original hole diameter
 E : elasticity modulus
 g : gravity acceleration
 H : medium pressure of the pipeline
 IV_i : value function
 K_i : approximates the ordinate of the inflection point
 n : size of the pairwise comparison matrix
 P_i : form factor that defines whether the curve is concave, convex, linear or an “S” shape
 P_x : each pipeline section
 Q_f : flow rate through a round hold in a pipe
 RI : Random Index
 t : pipe wall thickness
 w_{C_y} : criteria weights
 w_{I_j} : indicators weights
 w_{R_t} : requirement weights
 X : quantification of the indicator under evaluation (different or otherwise, for each intervention)
 X_{min_i} : minimum x-axis of the space within which the interventions take place for the indicator under evaluation
 λ_{max} : largest eigenvalue
 ρ : Fluid density
 AB : Company name Aigües de Barcelona
 ADT : Average Daily Traffic
 AHP : Analytic Hierarchy Process
 APT_V : Average Pedestrian Traffic Volume
 CBA : Cost-Benefit Analysis
 CEA : Cost-Effectiveness Analysis

DMA: District Metering Area
FA: Financial Analysis
IRR: Internal Rate of Return
IRRM: Internal Rate of Return Mix
MAUT: Multi-Attribute Utility Theory
MCA: Multi-Criteria Analysis
MCDM: Multi-criteria Decision Making
MIVES: Integrated Value Model for Sustainable Assessment
PIPR: Prioritization Index for Pipeline Renewal
WHO: World Health Organization

41

42 **1- INTRODUCTION**

43 The private sector is increasingly challenged to achieve sustainable and reliable
44 development, as the gap between available funding and investment needs widens (Pujadas
45 et al. 2017).

46 This is the case of Aigües de Barcelona (AB), a Spanish utility company dedicated to
47 services, distribution and treatment of water which, after a process of internal reflection,
48 has recently published a Strategy Action Plan. The initiative reflects the wish of the
49 company to strengthen its position as a global reference in the management of the integral
50 water cycle, while contributing to the sustainable development of the environment.

51 As part of this Strategy Action Plan, the company sought to implant a sustainable risk-
52 management methodology for the prioritization of the renewal of the water pipeline
53 network. Limited resources mean that the selection of all the proposed renewal
54 investments (in this case, renewal of the distribution pipeline network) is quite obviously
55 impossible. Consequently, managing risk effectively requires making sensible decisions

56 under uncertainty (which sections should be renewed) subject to the constraints of
57 knowledge and resources. Hence, identifying the most sustainable pipeline renewal
58 investments becomes a critical activity. Utility companies such as AB therefore aim to
59 develop methodologies, in order to assure rational and systematic decision-making based
60 on economic, social, and environmental grounds.

61 Decision-making in the private sector is usually based on Cost-Effectiveness Analysis
62 (CEA), where the costs of different homogeneous alternatives (same asset type) are
63 compared. The alternative monetary-based decision-making techniques are: Financial
64 Analysis (FA) and Cost-Benefit Analysis (CBA).

65 It should be taken into account that monetary-based techniques consider social and
66 environmental aspects that are identified as relevant impacts and are often (but not
67 always) valued with various limitations on both their methods and their accuracy.
68 However, they might in some circumstances be sufficient to change the resulting order
69 (Dodgson et al. 2009). In these circumstances, where accuracy is important, Multi-
70 Criteria Analysis (MCA) can be very useful.

71 A number of multi-criteria methodologies have been developed over time, with the aim
72 of providing a systematic framework in which to consider the multidimensional nature of
73 real-world problems. MCA implies that each problem is broken down into its constituent
74 parts, in order to understand the evaluation process (Cafiso et al. 2001). A detailed and
75 comprehensive review of the MCA methodologies for ranking homogeneous alternatives
76 developed over the last twenty years can be found in Kabirb, Sadiq and Tesfamariam
77 (2013).

78 Hajkowicz and Collins (2007) found that MCA is used for water policy evaluation,
79 strategic planning, and infrastructure selection where a broad spectrum of MCA methods

80 are currently in use. From their comparative studies of MCA methods used in water
81 management applications, Gershon and Duckstein 1983, Ozelkan and Duckstein 1996,
82 Eder *et al.* 1997 all arrived at a general finding that no single MCA technique is inherently
83 better than another.

84 Over the past few years, MCA methods are becoming an important tool for the
85 incorporation of non-monetary aspects in decision making. Sustainability is a key issue
86 in water management, to ensure the efficient management of a limited and increasingly
87 valuable resource. The sustainability concept has its origin in the Brundtland Report of
88 1987. The Brundtland definition of sustainable development was framed as “development
89 that meets the needs of the present without compromising the ability of future generations
90 to meet their own needs”. True sustainability can only be achieved through economic,
91 environmental, and social aspects of well-being that are simultaneously interlinked.

92 The main objective of this paper is to describe the MIVES methodology – Integrated
93 Value Model for Sustainable Assessment – that has been developed to assist decision-
94 makers in finding strategies for the prioritization and selection of the pipeline sections
95 which should be renewed every year throughout the whole water distribution network. To
96 do this, a specific decision framework is defined as the contribution of the different
97 stakeholders. The methodology solves the asset managers’ challenge of prioritizing the
98 assets with a reduced budget without compromise the reliability of the network. MIVES
99 is a Multi-Criteria methodology originally developed for the assessment of sustainability
100 in construction (San Jose and Cuadrado 2010; Aguado *et al.* 2012; Pons *et al.* 2012;
101 Pujadas *et al.* 2018) and the prioritization of homogenous (Aguado *et al.* 2017; de la
102 Fuente *et al.* 2017) and heterogeneous (Pardo-Bosch and Aguado, 2016; Pujadas *et al.*
103 2017) alternatives. Its main contribution is that it combines Multi-criteria Decision
104 Making (MCDM) and Multi-Attribute Utility Theory (MAUT), incorporating the value

105 function concept (Alarcón et al. 2011) and assigning weights using the Analytic
106 Hierarchy Process (AHP) (Saaty 1980). This methodology provides rational sustainability
107 and resilience-based reasoning for the decision criteria.

108 The company, AB, expresses a strong commitment to sustainable development and aligns
109 all of its strategic decisions in that direction. It therefore needed to introduce the
110 sustainable development strategy into its decision-making process; an objective that in
111 practice required an internal review of the attributes and impacts of a sustainable pipeline
112 network. The MIVES methodology was selected as the most appropriate MCA method,
113 because it responds to three challenging end-purposes. The first is the unique index value
114 that the MIVES framework defines; in this case, the prioritization index for pipeline
115 renewal. The second is the way that unique index is established through a series of
116 different units and quantitative and qualitative values that are combined in MIVES using
117 different indicators. The methodology includes Multi-Attribute Utility Theory (MAUT)
118 that standardizes the differences between (e.g. social and technical) indicators. The final
119 end-purpose was to meet stakeholder expectations and to encourage them to participate
120 in the decision-making process. Stakeholder interests are parametrized in the model to
121 show the reasoning behind each decision process.

122

123 **2.- MIVES MULTI-CRITERIA ANALYSIS**

124 All classification and sorting techniques require a realistic framework through which to
125 consider the multidimensional nature of the real-world problem. Consequently, the
126 methodology in use should include all three (ecological, financial, and social (United
127 Nations 2005)) sustainability dimensions in the prioritization processes. All of those
128 dimensions can be considered in the MIVES approach.

129 The problem in MIVES is structured within a multi-criteria analysis framework in which
130 different investment projects may be prioritized according to pre-established criteria, in
131 order to satisfy a pre-defined sustainable objective. A 3-level MIVES framework is
132 developed here, in order to set the pre-established criteria. The three levels range from
133 the most general to the most specific: requirements, criteria, and indicators.

134 The weights are assigned by decision-makers using the Analytic Hierarchy Process
135 (AHP), to reflect the relative importance of each requirement, criterion and indicator for
136 the purposes of the prioritization. The AHP, originally devised by Saaty (1980), is a linear
137 additive model that converts subjective assessments of relative importance into a set of
138 overall scores or weights that are respectively based on pairwise comparisons between
139 criteria and between options. Thus, for example, in assessing weights, the decision-maker
140 is asked a series of questions, each of which inquires into how important one particular
141 criterion is in relation to another for the decision that is addressed. Further details on the
142 AHP process of creating the comparison matrix, checking the consistency of the
143 assessments and the calculation of the final weights of the variables, may be found in
144 Appendix A.

145 From the three levels of the framework analysis, indicators are the only concepts that are
146 evaluated during the prioritization process. Such an evaluation can be done using
147 qualitative or quantitative variables, and different units and scales depending on the
148 indicator. The value function (Alarcón et al. 2011) is a single mathematical function that
149 converts the qualitative and quantitative variables of the indicators, with their different
150 units and scales, into a single scale from 0 to 1. These respective values represent the
151 minimum and the maximum degree of satisfaction of the decision maker. In MIVES this
152 value function (eq. 1, for growing functions) depends on 5 parameters, the variations of
153 which generate four function curves: concave, convex, lineal, or S-shaped, according to

154 the weighting attached to the decisions that are taken. A complete description of the
155 definition of the function values may be found in Appendix B.

$$IV_i = B_i * \left[1 - e^{-K_i * \left(\frac{|x - x_{\min i}|}{C_i} \right)^{P_i}} \right] \quad (1)$$

156 Previous MIVES frameworks were always developed for the evaluation and/or
157 prioritization of homogeneous alternatives. This fact allows the direct application of the
158 MIVES framework to all the alternatives under study, and its latter evaluation and
159 ranking.

160

161 **3.- FRAMEWORK FOR THE PRIORITIZATION INDEX FOR PIPELINE** 162 **RENEWAL**

163 **3.1- System boundaries**

164 The framework presented in this paper was designed for the Network Renewal Area of
165 Aigües de Barcelona (AB), responsible for developing the renewal management strategy
166 for the renewal of the water pipeline network of the company. In the past, multiple
167 sections of pipeline were chosen every year on the basis of a monetary-based
168 methodology that only took account of the Internal Rate of Return (IRR). Here a broader
169 decision framework is defined in which apart from the IRR, other resilient and sustainable
170 risk-criteria are considered.

171 The water pipeline network system is composed of 4678 km of primary and secondary
172 pipelines which supplies 23 cities with 2892313 inhabitants. The secondary pipeline
173 system, from now on called water distribution network, is composed of 4134 km (around
174 120000 section pipelines) and represents the largest part of the system. The secondary

175 system represents de 88,3% of the total network, the work presented in this paper is
176 focused in the distribution network (secondary system).

177 The definition of a suitable decision framework for a proper assessment is of great
178 importance. To that end, the most significant and discriminatory variables (see table 1)
179 were chosen in consultation with experts from the network renewal area management
180 team.

181 **3.2- Decision framework**

182 The Prioritization Index for Pipeline Renewal (PIPR) serves to evaluate the risk impact,
183 in terms of sustainability, of each section of pipeline in the water distribution network,
184 for the purpose of its eventual renewal. Thus, the sections with higher prioritization
185 indices should be prioritized and consequently renewed.

186 Risk impact evaluation can be either qualitative, using a descriptive approach, or
187 quantitative, using numeric estimations. In the latter, risk is defined as a product of the
188 probability of occurrence of a particular event and the consequences of that event actually
189 occurring. The assessment of the probability of failure occurrence and its consequences
190 are therefore another key step in the risk assessment method.

191 The probability of pipeline failure at any particular point is essential to risk management
192 for pipeline operators. Categorical causes of pipe failure have been identified by a number
193 of authors (Morris 1967; Shamir and Howard 1979; Kelly and O'Day 1982; Goulter and
194 Kazemi 1988; Petit-Boix et al. 2016). They reported the variety of factors that can alter
195 this probability of failure: the material properties, age over the expected lifetime, pipeline
196 pressure, and the length and the diameter of the pipeline section. In this paper the
197 probability of failure was determined with a reliability analysis method.

198 The consequences of no renewal are assessed here with regard to sustainability. The
199 concept of sustainability, as applied to a water distribution network, is the ability of the
200 pipeline network to continue to function with levels of service quality that the community
201 desires, without restricting the options available to present and future generations and
202 without causing adverse impacts both inside and outside the urban perimeter and the
203 network.

204 Thus, all aspects of the economic, environmental, and social consequences of no renewal
205 of each pipeline section will be considered here. The coherence, representativeness, and
206 objectivity of the risk-criteria and risk-indicators under consideration in each requirement
207 will guarantee the goodness and the credibility of its results.

208 With this purpose in mind, the most significant discriminatory indicators were exclusively
209 considered. Table 1 shows the detailed list of the decision framework, constituted by the
210 3 aforementioned requirements, 5 criteria, and 8 indicators. In Table 1, an example of
211 weights assigned to each requirement is presented in brackets (corresponding to case –
212 DS_2 presented later on in section 4), as well as, the 2017 stakeholders contribution
213 average of weights assigned to each criterion and indicator. Each indicator aims to
214 measure the sustainability risk impact (the product of the probability of occurrence and
215 the consequences) of renewal. It is also important to mention the participatory AB design
216 process, to which engaged AB stakeholders – the clients, the city councils, the regulatory
217 administration and the company committee – had the opportunity to contribute to setting
218 the final weights.

219 The decision framework as well as the methodology used to evaluate the risk impact of
220 the indicators was introduced to the stakeholders to prepare them to the participatory
221 process. Owing to the variety of stakeholders the collaborative process to collect the
222 weights was adapted to each collective. In this sense, two questions were added to the

223 annual survey of the company to collect the clients relative importance's. On the other
 224 hand, the city councils, the regulatory administration and the company committee were
 225 called separately to participate in specific workshops through which the weights were
 226 collected. The company relay on external experts of the Polytechnic University of
 227 Catalunya lead the process to ensure transparent and consistent decisions.

228

229 **Table 1.** Decision framework for the Prioritization Index for Pipeline Renewal

REQUIREMENTS	CRITERIA	INDICATORS
R1. Economic ($w_{R1}=33.3\%$)	C1. Internal Rate of Return Mix or IRRM with Criticality (100%)	I1. Internal Rate of Return Mix or IRRM with Criticality (100%)
	R2. Social ($w_{R2}=33.4\%$)	C2. Service Improvement (53%)
C3. Surrounding Impacts (47%)		I4. Mobility disruptions (100%)
R3. Environmental ($w_{R3}=33.3\%$)	C4. Loss of water (60%)	I5. Water loss [m3] (25%) I6. Water loss per lineal meter [m3/ml] (75%)
		C5. Loss of energy (40%)

230

231

232 ***Value Functions***

233 A value function is proposed for each indicator, so that each assessment is transformed
 234 into a number from 0 to 1, thereby defining equivalences between the different units of
 235 the indicators. The decision-making satisfaction criterion of each indicator in the present

236 study can be satisfactorily represented by either decreasing (D) or increasing (I) functions:
 237 linear (Lr), concave (Ce), convex (Cx), or S-shaped (S). Accordingly, table 2 shows the
 238 data and the form of each value function (A complete description of the parameters
 239 involved in the definition of the value function can be found in Appendix B).

240

241 **Table 2.** Parameters and coefficients for each indicator value function

INDICATORS	X	X _{min}	X _{max}	P _i	C _i	K _i	B _i	Shape
I1. Internal Rate of Return or IRR with criticality	IRRC	0.0	0.6	2.25	0.04	0.065	1.000000	I-S
I2. Continuity Service Improvement	SCI	0.0	4.7	6.0	3.0	0.6	1.000140	I-S
I3. Water Organoleptic Perception Improvement	WOPI	0.0	6.0	5.0	1.7	0.3	1.000000	I-S
I4. Mobility Disruptions	MDi	0.0	8.0	0.5	0.2	1.0	1.001794	I-Cx
I5. Water loss [m3]	WL	0.0	4900	2.00	500	2.15	1.000000	I-S
I6. Water loss per lineal meter [m3/ml]	WLM	0.0	19	2.00	3.15	1.25	1.000000	I-S
I7. Energy loss [kWh]	EL	188.5	1590	2.00	250	2.25	1.000000	I-S
I8. Energy loss per lineal meter [kWh/ml]	ELM	1.47	6.50	1.00	2.50	2.00	1.000000	I-S

242

243 It is worth highlighting that the values proposed in Table 2 respond to the degree of
 244 satisfaction and the criteria of Aigües de Barcelona technicians in particular (2017), and
 245 may vary according to the experience of each decision-makers which are in charge of
 246 selecting the values.

247 ***Economic Requirement***

248 The Economic Requirement is calculated using the Internal Rate of Return Mix (IRRM),
249 which is obtained with the IRR and then up-dated by taking account of the density of the
250 critical connections.

251 A comparison of two hypothetical scenarios of the same section of pipeline is presented:
252 renewal and no renewal. The difference between the cost, the asset value, and tax savings
253 are calculated over a 50-year lifespan. Finally, the IRR is calculated from the difference
254 between the cash flows of the renewal and the no renewal scenario.

255 Apart from the classical financial benefit-cost analysis, which covers the profitability
256 aspect of the project at the enterprise level, technical data are also used to define the
257 economic model. Knowing that the condition of the pipelines may be good, the
258 connections might have different failure probabilities, because of the service life and
259 service pressure. Were the condition of the pipeline connections not considered, then a
260 high repair cost associated with connection failures would be overlooked.

261 In the economic model used in this paper, pipeline sections with critical connections are
262 identified. The critical connections fulfil pressure and age conditions. These weaker
263 connections therefore increase the value of their IRR and the IRRM. Further research is
264 expected, in order to improve the IRRM, including a proper estimation in the IRR model
265 of the connection repair costs, in case critical connections have to be renewed.

266 ***Social Requirement***

267 Service Improvements and the Surrounding Impacts compose the Social Requirement.
268 The Service Improvement is based on the Continuity Service Improvement and the Water
269 Organoleptic Perception Improvement. On the other hand, the Surrounding Impacts are
270 due to Mobility Disruptions.

271 The Water Organoleptic Perception (WOP) Improvement is measured in terms of
272 materials that can disturb the organoleptic perception. Grey cast iron is the only material
273 in the entire network that has this effect. It is considered by the World Health
274 Organization (WHO) as an indicative parameter, so the values are recorded during the
275 complete analysis and the parameter was selected as a possible indicator of organoleptic
276 perception. Despite the fact that the iron parameter as an indicative parameter has no
277 upper limit value, a recommended maximum value of 600 $\mu\text{g/L}$ is cited by the WHO.
278 Much lower values than the recommended upper limit were recorded, so a value of 100
279 $\mu\text{g/L}$ was established as the limit value in this study. The statistical information was
280 determined on the basis of that limit and with the information on customer complaints of
281 changes to the organoleptic perception and the changes recorded in representative iron
282 values. Using that statistical information, an estimation of grey cast-iron pipe aging and
283 its concentration in each District Metering Area (DMA) was determined. Two steps were
284 followed for attaching a value to each pipeline section: first, a base value was assigned
285 according to the previously determined concentration within each DMA; subsequently,
286 additional values were assigned to the relative positions of the pipeline sections within
287 the DMA. Additional values were also assigned to the pipeline sections entering the DMA
288 and the dead-end pipeline sections of the network, due to their higher impact on the
289 remainder of the system. In addition, those pipeline sections in the same area as one of
290 the pipelines with higher values, due to their entering or ending position, were assigned
291 this additionally higher value. The relative position inside the DMA is not straightforward
292 to determine, due to the available information on energy loss.

293 The Continuity Service Improvement is composed of the maximum between the risk
294 associated with potential incidents and historical incidents. The risk associated with a
295 potential incident is the estimation of its probability and the quantification of the damage

296 that it would cause. Historical incidents are used to quantify previous historical damage
297 and the estimated probability of recurrent incidents. This criterion depends on an enclosed
298 network, defined by the number of valves that have to be shut to isolate a sub-system of
299 pipeline. The risk associated with potential incidents takes into account the number of
300 people, the critical customers, and the large-scale consumers who would be affected by a
301 possible incident, before isolating a damaged section of pipeline for repair (implying that
302 all the above-mentioned customers are supplied by the same pipeline). The risk associated
303 with historical incidents is obtained using the five-year records of customer complaints.
304 Renewal was needed whenever the reasons for the customer complaints were directly
305 linked to the connection rather than to the pipeline.

306 For the avoidance of Mobility Disruption, due to unexpected incidents, information from
307 the local councils was used to define strategic zones with the highest population densities
308 and transit zones in the city; for example, high-density commercial zones, central services
309 such as key healthcare facilities and large inter-modal hubs. The mobility levels of
310 pedestrian and motorized traffic were also considered. These estimates were possible with
311 the data from each council available in their Urban Mobility Plans. In fact, one aim of the
312 model was to cross-validate the network pipeline vector information with the mobility
313 vector information, so that the geographic network of pipelines could be adapted to
314 mobility patterns in the event of incidents. Ideally, the vector information on Average
315 Daily Traffic (ADT) and Average Pedestrian Traffic Volume (APTV) would be used, but
316 if unavailable, other information such as high-density pedestrian areas and hierarchy
317 transportation could be considered. The main goal is to guarantee proper pipeline network
318 conditions, thereby minimizing the risk of unmanageable incidents in areas and streets
319 defined as critical by the city council.

320 ***Environmental Requirement***

321 The criteria used to define the Environmental Requirement are Water and Energy loss.
322 The level of water loss is important to estimate the energy loss; in the event of a leakage,
323 the higher the altimetric level, the greater the energy that is lost raising the water to the
324 leakage point.

325 Water loss can be due to latent leak and other leaks. Latent leakage of water is directly
326 associated with pressure in the pipeline that causes stress to the pipeline connections and
327 walls. Pressure is therefore crucial to pipeline failure and leakage that affects pipes that
328 are beyond their service life or made with low strength materials.

329 An ideal pipeline network with no seniority is used to estimate the flow rate. The flow
330 rate is modified depending on the pipeline condition and the DMA, which is given by the
331 energy efficiency department of the company. The flow rate through a round hole in a
332 pipe was calculated with the following theoretical work (eq. 2) proposed by the Water
333 Research Group at the University of Johannesburg (Greyvenstein B. *et al*, 2007):

$$Q_f = C_d \frac{\pi d_o^2}{4} \sqrt{2g} \left(H^{0.5} + \frac{2c\rho g D}{3tE} H^{\frac{3}{2}} + \frac{c^2 \rho^2 g^2 D^2}{9t^2 E^2} H^{\frac{5}{2}} \right) \quad (2)$$

334 The pressure in the pipeline section is conditioned by whether it has a connection. Besides
335 the connections, the status of the connection (value control or otherwise) is also necessary
336 to estimate the minimum and maximum pressure. The pressure values depend on whether
337 the pipeline section is controlled by district metering area or whether it is directly
338 connected to a transportation pipeline, the piezometric level of the corresponding DMA,
339 the daily evolution of the water demand, the location of the section of pipeline in relation
340 to the entrance of the DMA, the existence of pressure regulation valves in the entrance,
341 and the altimetric level of the pipeline section.

342 The efficiency control department of AB is in charge of providing a correlation table with
 343 the DMA and energy consumption levels. Using the estimated total leakage of water
 344 associated with each pipeline section and knowing the corresponding DMA of each
 345 pipeline section, the total Energy losses could be calculated as well as the loss of energy
 346 per unit length, provided that the length of each pipeline section is known.

347 ***Prioritization Index for Pipeline Renewal (PIPR)***

348 The final result of the PIPR for each pipeline section is calculated according to equation
 349 3 as the weighted sum of each indicator, $IV_j(P_i, x)$; see eq. 3. As previously mentioned in
 350 section 2, the relative weights of each indicator (w_{I_j}), criterion (w_{C_y}) and requirement
 351 (w_{R_t}) were calculated by means of the Analytic Hierarchy Process (AHP), and the
 352 indicator $IV_j(P_i, x)$ with function values (see Appendix A and B, respectively).

$$PIPR (P_x) = 100 \cdot \sum w_{R_t} \cdot w_{C_y} \cdot w_{I_j} \cdot IV_j(P_x) \quad (3)$$

353 The PIPR values ranging between 0 (low priority) and 100 (high priority), prioritize all
 354 the pipeline sections under evaluation. A qualitative assessment may be assigned to each
 355 project according to the five PIPR categories presented in table 3 (Pardo-Bosch, F. and
 356 Aguado, A., 2015). The maximum and the minimum contributions to sustainability are
 357 represented by levels A and E, respectively. According to Pardo-Bosch, F. and Aguado,
 358 A. (2015), investment projects will hardly ever score over 80, due to the highly
 359 demanding requirements of MCA. Following the same logic, projects with an E level
 360 score are in all likelihood directly rejected beforehand, because of their very low
 361 contribution to sustainable development. Therefore, the projects will generally be
 362 classified at the A, B, C, and D levels.

363 **Table 3.** Levels of PIPR, ICE (2010) and ASCE (2013)

Level A	Level B	Level C	Level D	Level E
$100 \leq \text{PIPR} < 80$	$80 \leq \text{PIPR} < 60$	$60 \leq \text{PIPR} < 40$	$40 \leq \text{PIPR} < 20$	$20 \leq \text{PIPR} < 0$

364

365 4.- SENSITIVITY ANALYSIS

366 The feasibility, robustness, and coherence of the PIPR - MIVES multi-criteria approach
367 are assessed in this section.

368 The model includes a budget that is five-times higher than in previous years, so that the
369 management team of the annual pipeline renewal plan can consider the full array of
370 pipelines available on the market. Finally, the line manager also prepares a list of water
371 distribution pipelines for renewal in the network in the following year, so that all the
372 sustainability information is fed into the model for each section of pipeline, contributing
373 a reserve of corporate knowledge for the city development plans and future urban
374 planning.

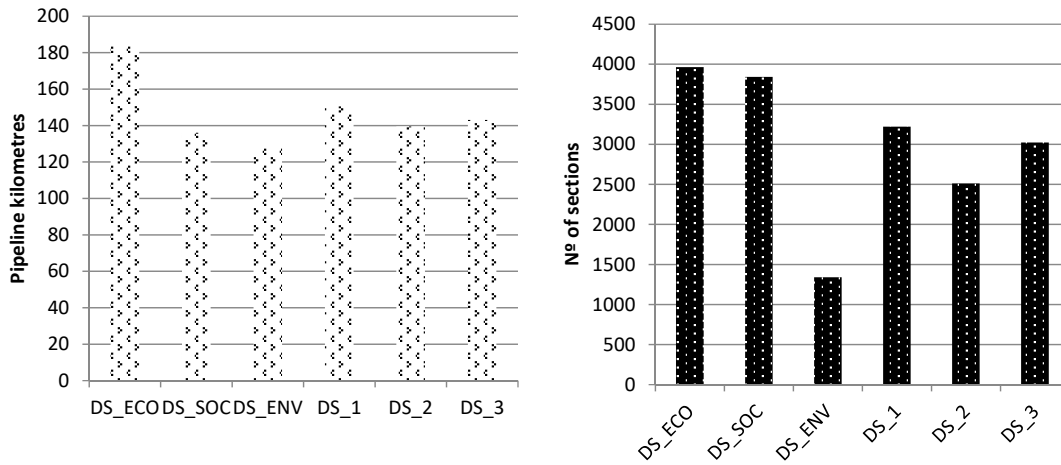
375 The results with all the weights for each requirement give a detailed picture of the
376 maximization of the indicators of each requirement. The results also show three different
377 weight distributions corresponding to the three branches (economic, social and
378 environmental) of Sustainable Development. Table 4 analyzes the weights under
379 consideration (DS_ECO, DS_SOC, DS_ENV, DS_1, DS_2 and DS_3) and figures 1, 2,
380 3 and 4 display the results of the principal indicators for each case study, considering the
381 exceptionally higher budget. The method which has been used so far to prioritize is equal
382 to consider only the economic requirement (consideration DS_ECO).

383 **Table 4.** Requirement weight [%] distribution of each case study

Consideration/Requirements	Economic (WR1)	Social (WR2)	Environmental (WR3)
DS_ECO	100	0	0
DS_SOC	0	100	0

DS_ENV	0	0	100
DS_1	50	30	20
DS_2	33.3	33.4	33.3
DS_3	40	40	20

384

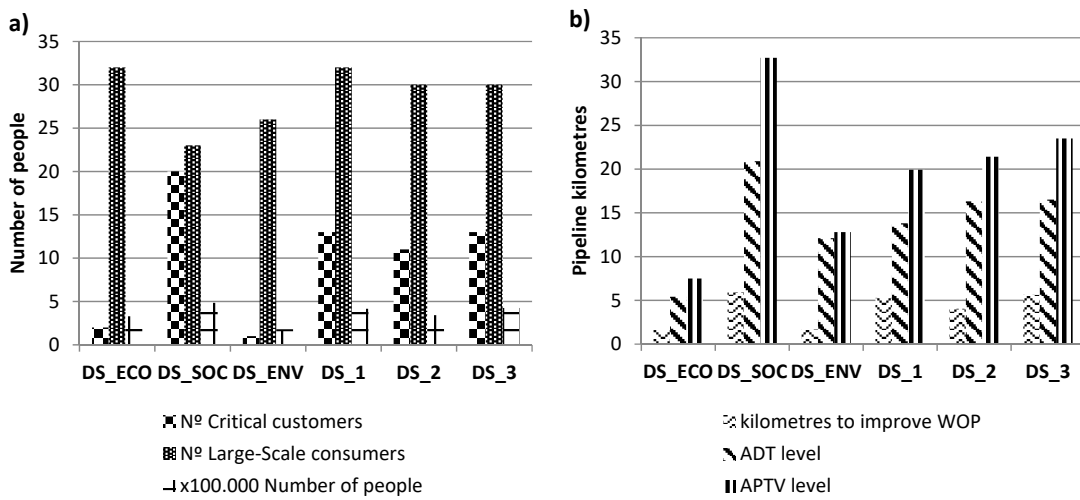


385

386

Fig. 1. Pipeline kilometres and number of sections selected for renewal

387



388

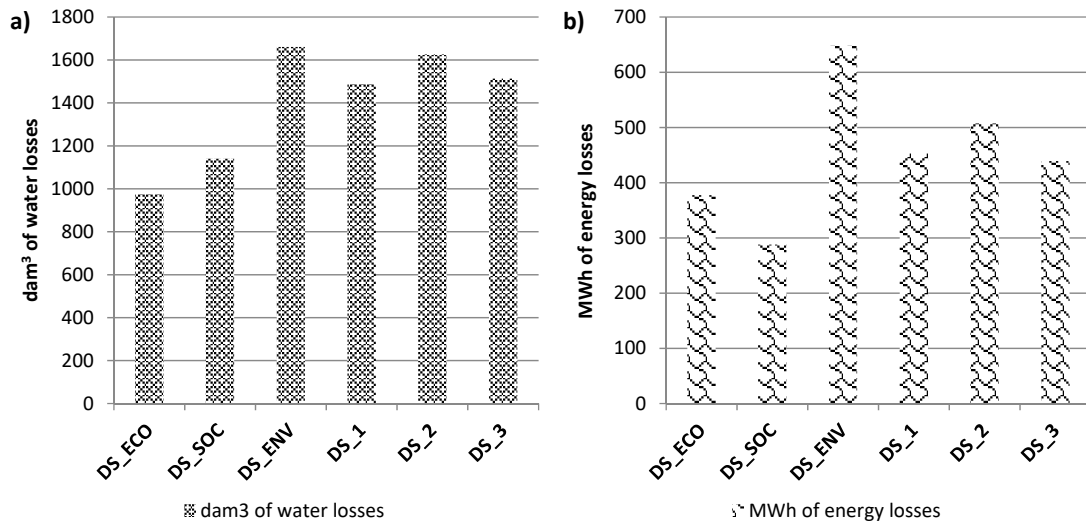
389

Fig. 2. Social requirement indicators: a) number of people and b) pipeline

390

kilometres

391



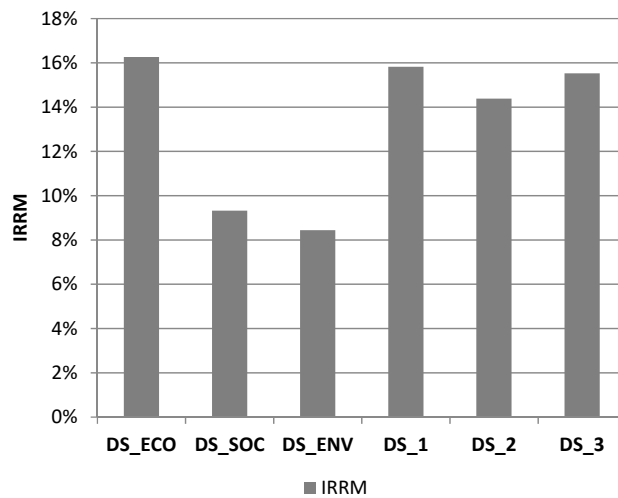
392

393 **Fig. 3.** Environmental requirement indicators: a) dam³ of water losses and b) MWh of

394

energy losses

395



396

397

Fig. 4. Economic requirement indicator

398

The analysis of the results, on the one hand, takes account of only the economic

399

requirement, which has been used over recent years and, on the other, the results of the

400

model considering all 3 requirements of sustainable development (case – DS_1). A slight

401

decrease in the total length of Km of pipeline proposed for renewal is observed with the

402

sustainable development models compared with the economic model (case – DS_ECO).

403 However, there is an increase in the critical customers, the large-scale consumers, and the
404 number of people benefitting from this renewal, implying that the benefits more people
405 and the disruptions due to possible incidents would affect fewer people. Additionally,
406 there is a significant six-fold increase in the critical customers (hospitals, dialysis
407 facilities etc.). The IRRM of the renewal network remains almost the same, considering
408 the sustainable development method, and profitability is the same when taking into
409 account either the sustainable development model or only the economic requirement. The
410 renewal of pipeline sections by kilometre to improve the organoleptic perception is almost
411 double the same figure with the economic model, and the reductions in water and energy
412 wastage are greater using the sustainable development model.

413 As expected, the criteria in the composition of the requirements are maximized by taking
414 each requirement into account separately. Nevertheless, the sustainable development
415 approach maximizes all the criteria involved. The social requirement mainly affects the
416 improvement of customers and people benefitting from the pipelines selected for renewal;
417 unlike the environmental requirement that prioritizes the renewal of pipeline sections to
418 reduce water loss and energy wastage. The maximization of kilometres of renewable
419 pipeline was only observed as a benefit when using the economic requirement, although
420 this advantage is also achieved using the sustainable development model.

421

422 **5.- CONCLUSIONS**

423 The simple and straightforward methodology presented in this paper has taken a step
424 forwards, towards sustainable renewal management of the water pipeline network, in
425 which decisions are taken according to clear, consistent, and transparent criteria. The
426 MIVES methodology is a proven approach for consideration of the main economic,

427 environmental and social risks in the decision framework. Moreover, the involvement of
428 stakeholders and company staff achieves higher degrees of transparency and objectivity
429 than might otherwise be the case.

430 The paper highlight that considering the three pillars of sustainable development in the
431 decision framework maximizes the social and environmental benefits without
432 compromising the economic benefits which remain similar.

433 The case study has yielded very satisfactory results, consistent, and repeatable evaluations
434 can be performed. Decision-makers can adapt the method simply by changing the criteria
435 and modifying the weights and the value functions that are assigned at each level.
436 Moreover, the robustness of the proposed approach would make it easily applicable to
437 other cities.

438

439 **Appendix A.: Analytic Hierarchy Process**

440 Construction of the pairwise comparison matrix

441 The decision maker is asked to rate the importance of one particular criterion in relation
442 to another in the context of the decision that is addressed, in order to build the pairwise
443 comparison matrix,

444 Checking the consistency of the pairwise comparison matrix.

445 Typically, some inconsistencies may arise during the assessment of the comparison of
446 each alternative (which may cause errors and uncertainty over logical results). The AHP
447 incorporates an effective technique for checking the consistency of the evaluations made
448 by the decision maker when building each of the pairwise comparison matrices involved
449 in the process. In this sense, Saaty introduced the Consistency Ratio (CR) for the pairwise

450 consistency matrix. If the CR exceeds 10%, it is recommended that the decision-maker
 451 revise the elicited preferences. The CR may be calculated using the Consistency Index
 452 (CI) and the Random Index (RI), according to eq. A.1.

$$CR = \frac{\text{Consistency Index}}{\text{Random Index}} = \frac{CI}{RI} \quad (\text{A.1})$$

453 Saaty proposed to compute the Consistency Index (CI) by means of the largest eigen value
 454 (λ_{max}) and the size (n) of the pairwise comparison matrix, according to eq. A.2.

$$CI = \frac{\lambda_{max} - n}{n - 1} \quad (\text{A.2})$$

455

456 The Random Index, i.e. the consistency index when the entries of the comparison matrix
 457 [A] are completely random. The values of RI for small problems ($n \leq 10$) are shown in
 458 Table A.1.

459 **Table A.1** RI values

Matrix size n	1	2	3	4	5	6	7	8	9	10
RI	0.00	0.00	0.58	0.9	1.12	1.24	1.32	1.41	1.45	1.51

460

461 Calculate the weights of the variables

462 A number of methods can be used to estimate the set of weights that are most consistent
 463 with the relativities expressed in the pairwise comparison matrix. Saaty's basic method
 464 of identifying the value of the weights depends on relatively advanced ideas in matrix
 465 algebra and calculates the weights as the elements in the eigenvector associated with the
 466 maximum eigenvalue of the matrix.

467 A more straightforward alternative, which also has some theoretical grounding, is to: (1)
 468 calculate the geometric mean of each row in the matrix; (2) total the geometric means;
 469 and, (3) normalize each of the geometric means by dividing each one by the total
 470 calculated in the preceding step. The weights estimated by the two different methods
 471 (taken to a number of significant figures for greater accuracy) are not identical, but it is
 472 common for them to be very close.

473 **Appendix B.: Value Function**

474 The parameters that define the type of function are: K_i , C_i , X_{\max} , X_{\min} . and P_i . The
 475 value of B that appears in equation 1 is calculated on the basis of the 5 earlier values
 476 (Equation B.1).

$$IV_i = B_i * \left[1 - e^{-K_i * \left(\frac{|x - X_{\min}|}{C_i} \right)^{P_i}} \right] \quad (B.1)$$

477

478 where:

479 X_{\min} is the minimum x-axis of the space within which the interventions
 480 take place for the indicator under evaluation.

481 X is the quantification of the indicator under evaluation (different or
 482 otherwise, for each intervention).

483 P_i is a form factor that defines whether the curve is concave, convex,
 484 linear or an “S” shape: concave curves are obtained for values of $P_i < 1$,
 485 convex and “S” shaped forms for $P_i > 1$ and almost straight lines for values
 486 of $P_i = 1$. In addition, P_i gives an approximation of the slope of the curve at
 487 the inflection point.

488 C_i approximates the x-axis of the inflection point.

489 K_i approximates the ordinate of the inflection point.

490 B_i is the factor that allows the function to be maintained within the
491 value range of 0 to 1. This factor is defined by equation B.2.

$$B_i = \left[1 - e^{-K_i * \left(\frac{|X_{\max_i} - X_{\min_i}|}{C_i} \right)^{P_i}} \right]^{-1} \quad (\text{B.2})$$

492 where: X_{\max} is the x-axis of the indicator that generates a value equal to 1 (in the case of
493 functions with increasing values).

494 Alternatively, functions with decreasing values may be used: i.e. they adopt the maximum
495 value at X_{\min} . The only difference in the value function is that the variable X_{\min} is replaced
496 by the variable X_{\max} , adapting the corresponding mathematical expression.

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508 **Conflict of Interest – None**

509 **Limitations**

510 Due to the sensitivity of the data information some calculations cannot be presented in
511 this paper. Also, the availability of the data is an issue to adapt the methodology to other
512 utilities, however, some changes in the decision framework could be done to adapt the
513 methodology to other data sets.

514 Finally, further research in determining the probability of failure is needed. Authors are
515 considering Machine Learning as a solution due to the fact that currently this technic is
516 being used for prediction events on water field (Yaseen, Z.M., et al 2019).

517

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