1 Isolation of lactoferrin and immunoglobulins from dairy whey by an

2 electrodialysis with filtration membrane process

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10 Abstract:

Isolation of high value minor proteins such as lactoferrin and immunoglobulins from crude dairy 11 12 streams is a major challenge for the dairy industry. Here we investigate an electrodialysis with filtration membrane (EDFM) approach to separate lactoferrin (LF) and immunoglobulins (Ig) from other dairy 13 14 proteins. A polyvinyl alcohol (PVA) membrane is prepared using phase inversion in a coagulation bath 15 with 80% ethanol to serve as the filtration membrane. A range of treatment times and two electric field 16 strengths (38.5 and 77 V/cm) were then investigated within the electrical cell. The results show that the 17 filtration membrane prepared in-house can offer strong rejection for LF and Ig while allowing a high 18 flux of other proteins. The separation of LF and Ig from a simulated whey solution can be achieved. 19 The use of a partially demineralised whey reduces protein loss, but there is a tradeoff between the energy 20 consumption and the protein removal rate as the extent of this demineralisation is increased.

22 **1. Introduction:**

The dairy industry generates large quantities of whey which contain many nutrients such as lactose, soluble proteins, minerals, lactic acid and fats [1]. Generally, 7-11% of the total solids of whey are proteins [2]. Considering the vast quantum of whey produced globally (over160 million tons per annum [3]), the recovery of these proteins not only can decrease the environmental problems associated with its disposal, but also produce bioactive ingredients of high economic value.

28 The isolation of major whey proteins such as α -lactalbumin (α -LA) and β -lactoglobulin (β -LG) have 29 been achieved[4] while the separation of minor whey proteins with similar size still need a satisfactory 30 solution. The traditional method for whey protein extraction is chromatography, but it has disadvantages 31 of fouling, long cycle times and high cost[5]. Further, chromatography adds significant volumes of salt 32 to the factory effluent during the elution cycle, which is increasingly an issue for factories in rural 33 regions where dryland salinity is increasing. Comparing with a chromatographic method, pressure-34 driven membrane filtration can be more cost-effective, but it has low selectivity. To improve the 35 selectivity of the filtration method, electrodialysis with filtration membrane (EDFM) has been proposed. The use of an electrical driving force allows the EDFM process to have greater selectivity than a 36 37 pressure driven filtration method [6]. Further, the elimination of a pressure driving force minimises the 38 compressive forces that lead to a highly impermeable and non-selective fouling cake as occurs in protein 39 microfiltration [7, 8].

40 The use of an electrical driving force to separate proteins in combination with a filtration membrane was first suggested by the Gradipore company [9], who showed that the approach could be used to 41 42 separate Immunoglobulin G from human plasma[10], proteins from egg white [11] and haemoglobin 43 from a mixture with bovine serum albumin [12]. Due to the relatively large size of the proteins the 44 electrical field strength required to move the proteins across this membrane is high (10 V/cm to 2,000 45 V/cm[10]). This means that non-charged membranes must be used to prevent water splitting. The 46 Gradipore company used polyacrylamide membranes for this purpose. However, these membranes are 47 difficult to produce at a commercial scale, due to the use of toxic monomers and comonomers and the 48 need to operate in oxygen-free conditions.

A similar approach, termed electrodialysis with ultrafiltration (EDUF) was later patented by the Bazinet group [13] for the separation of peptides. The smaller size of these molecules means that a lower electrical field strength can be used (<15 V/cm). This lower field strength allows the use of charged ion exchange membranes to isolate the electrode chambers without water splitting. The Bazinet group have applied this technology to the separation of a range of molecules, including chitosan oligomers [14, 15], polyphenols[16], catechins[17]and anthocyanins [18]. 55 Lactoferrin (LF) is a well-characterized iron-binding glycoprotein that belongs to the transferrin 56 family [19]. It is a major whey protein in human milk with concentrations of 1.4-2.0 mg/mL but only 57 a minor component in bovine milk with concentrations of only about 0.1–0.2 mg/mL [20]. Hence it is 58 necessary to use highly concentrated, purified bovine LF as an ingredient of infant nutritional formula. 59 Lactoferrin is an important antimicrobial milk protein [21]; and also has many other advantages for human health, such as antibacterial [22], antitumor [23] and anti-inflammation activity [24]. The 60 61 immunoglobulins (Ig) are the largest protein in whey and comprise at least 2% of the total milk protein. They link various parts of the cellular and humoral immune system and prevent the adhesion of 62 microbes, inhibit bacterial metabolism, agglutinate bacteria, augment phagocytosis of bacteria and 63 64 neutralize toxins and viruses [25]. The nutritional, therapeutic, and functional characteristics of LF and 65 Ig make the fractionation of LF and Ig of considerable commercial interest. However, the large-scale utilisation of LF requires a cost-effective purification process. The biggest challenge of Ig and LF 66 67 fractionation is the separation of BSA and LF as they have similar size [26] and hence this is the focus 68 of the present work.

69 Lactoferrin has a higher isoelectric point (~9) than other whey proteins (~5) in pure water and low 70 salt solution concentrations [27], meaning that it is positively charged. However, when the ionic 71 strength exceeds 30 mM at pH 6.5, negative values of the zeta potential are recorded[27]. This can be 72 attributed to the formation of net-negatively charged LF aggregates or micelles, which are observed to 73 form at NaCl concentrations above 10 mM[27]. Mela et al.[27] observe that 60% of the dynamic light 74 scattering intensity of LF can be attributed to large aggregates of 110 nm in size at 100mM salt 75 concentration. It has also been reported that lactoferrin undergoes polymerisation in solutions of 10mM 76 calcium chloride, with the predominant species being a tetramer of molecular weight ~300 kDa [28]. 77 The ionic strength of either milk or whey is higher than 30mM and there is usually sodium and calcium 78 present [29], so the net charge of lactoferrin particles in whey or milk is expected to be negative. This 79 makes it hard to separate lactoferrin from other negatively charged proteins such as BSA based on 80 charge. Ndiaye et al. [30] was able to transfer LF within an LF-enriched whey solution at pH 3.0 from 81 the feed to permeate channel of an EDUF unit separated by a polyethersulfone ultrafiltration membrane 82 (MWCO: 500 kDa). However, the migration yield was only 15% and the selectivity was decreased due 83 to concomitant migration of β -lactoglobulin or other whey proteins.

Conversely, the aggregation of LF may make it possible to separate this molecule based on size. A membrane with a pore size larger than proteins such as BSA (molecular weight (MW): ~66 kDa), while smaller than Ig (MW: 150~250 kDa) and tetramers of LF (MW: 312 kDa) can be used as the separation membrane in an EDFM system. A protein fraction rich in Ig and tetramers or aggregates of LF would then be obtained in the retentate chamber, which would be of great economic benefit. 89 Poly (vinyl) alcohol (PVA) is selected in this work for the fabrication of a suitable ultrafiltration 90 separation membrane[31]. PVA is a nontoxic polymer with good chemical, thermal and mechanical 91 stability [32]. It is hydrophilic and known to be resistant to protein fouling [33-35]. The pore size of 92 the PVA filtration membrane can be controlled by varying the composition of polymer solution [36] 93 and coagulant bath during phase inversion [37]. However, PVA has very poor stability in aqueous solutions, which makes it impossible to use directly for a membrane that will be used in the aqueous 94 95 phase [38]. Katz [39] and Senturk [40] treated PVA membranes using a heating method to improve 96 their water resistance and found that the application of heat decreased the water content of the membrane, in turn reducing both the water and salt permeabilities and collapsing the pore structure. 97 98 Chemical crosslinking is a more common approach with dialdehydes such as glutaraldehyde [41] and 99 formaldehyde [42] generally used as the crosslinking agents.

In the present work, the aggregation of LF has been utilized to separate it from other proteins such as
 BSA. PVA membranes are prepared by a phase inversion method to provide size selectivity between

102 LF and BSA. The water resistance of these membranes is increased by using glutaraldehyde as a

103 crosslinking agent, catalysed by sulfuric acid. To achieve a highly efficient, low investment and eco-

friendly approach to Ig and LF fractionation, the operating conditions of the EDFM process with these

105 in-house developed filtration membranes is then optimized.

107 2. Materials and Methods

108 2.1 Materials

Poly vinyl alcohol (PVA, 89 kDa, 99+% hydrolyzed) was purchased from Sigma (St. Louis, USA);
the crosslinking reagent glutaric dialdehyde (25%), solvents acetone (Purity≥99.5%) and acetonitrile
(HPLC grade) were purchased from Merck Pty Ltd (Darmstadt, Germany); other solvents such as
methanol (99.5%) and ethanol (99.5%) were obtained from Chem-supply (Adelaide, Australia).

Experiments were performed using bovine serum albumin (BSA) with a purity greater than 98% (Sigma, St. Louis, USA) and lactoferrin (LF) concentrate with a LF concentration higher than 85% which was kindly supplied by a Victorian dairy company. In real whey, the concentration of BSA and LF are around 0.4 g/L and 0.1 g/L respectively [2, 31]. In these experiments, we elected to at least double these concentrations to ensure that these proteins could be adequately detected using High Performance Liquid Chromatography (HPLC).

An unflavoured whey protein isolate (WPI) powder (whey protein=91%) branded as Bodies (NSW, Australia) was purchased from Chemist Warehouse (Melbourne, Australia). BSA (assay \geq 98%), LF (assay \geq 85%), Immunoglobulins (assay \geq 99%), β-lactoglobulin (assay \geq 90%) and α-lactalbumin (assay \geq 85%) obtained from Sigma (St. Louis, USA) were used as standards for quantitative analysis

123 of the corresponding protein through High Performance Liquid Chromatography (HPLC).

124 Simulated milk ultrafiltrate (SMUF) was used to represent the buffered salt mixture present in dairy 125 whey. It was prepared according to Jenness(1962) [29] (see Supporting Information Table S1), in which potassium carbonate (99%), potassium chloride (99%), ethylenediaminetetraacetic acid (EDTA) 126 disodium salt (>99%), magnesium chloride (>98%) and calcium chloride (>99%) were purchased from 127 128 Chem-supply (Adelaide, Australia); tri-sodium citrate (99%), potassium dihydrogen orthophosphate (99%-101%), potassium hydroxide (99%) and potassium sulphate (99%) were obtained from Ajax 129 Finechem (NSW, Australia); potassium citrate tribasic (assay \geq 99%) was purchased from Sigma (St. 130 Louis, USA). In some cases, the divalent cationic salts (calcium and magnesium chloride) were 131 excluded from this mixture, to evaluate their impact on the process and to reduce the possibility of 132 133 mineral fouling.

Tris-base buffer was used in preliminary experiments to optimise the coagulation bath conditions for the membrane preparation. This was prepared from 20 mM of Trizma® base (99.9%) purchased from Sigma (St. Louis, USA) and 10 mM of Hepes (99.8%) purchased from Formedium Ltd (Hunstanton, England). 138

Laemmli Sample buffer, Native sample buffer, Tris/Glycine buffer and Tris/Glycine/SDS buffer for
Native-Page and SDS-Page were supplied by Bio-Rad Laboratories Pty. Ltd (NSW, Australia).

141 All reagents were used without any further purification.

142 2.2 Preparation of PVA filtration membranes

To prepare the PVA solution, 44.5 g high-purity water (18.2 M Ω ·cm, 25 °C) was added to a round bottom flask which was placed in a thermostatic oil bath (90°C) and stirred under reflux. Then 5.5 g PVA was added into the water. The slurry was stirred at 90°C until no undissolved gel was observed, forming a viscous solution. The solution was then stirred for an additional 30 min under reflux to ensure complete dissolution of the PVA, then held overnight without stirring to remove entrained bubbles.

The PVA solution was cast onto a glass plate (130 μ m), air-dried for 5 minutes, and then immersed in a non-solvent coagulation bath (300 mL), containing a mixture of water and ethanol in a specific ratio, for 2 hours. Following this, the solvent was exchanged by immersion of the membranes in an acetone bath (100 mL) for 30 minutes to reduce membrane shrinkage and pore collapse upon drying. The membranes were air-dried overnight then annealed at 110 °C for 1 hr.

After the annealing step, the membrane was put in 300 mL of high-purity water for 24 hr. To crosslink the membrane and so to improve its hot water resistance, the membrane was then placed in a crosslinking solution of 0.5% H₂SO₄ and 1% glutaraldehyde for 20 min after wiping the water on its surface gently (Kimtech wipes). After crosslinking, the membrane was washed and put into 300 mL of high-purity H₂O for at least 24 hours to eliminate any possible glutaraldehyde and sulfuric acid residuals. Each membrane was then immersed in the same buffer as the feed solution for at least 24 hours before each experiment.

160 2.3 Analysis

161 Cryo- Scanning electron microscopy (Cryo-SEM) images of PVA filtration membranes were taken
162 with a Joel JSM-7001F scanning electron microscope.

A HPLC system with a YarraTM Column (3 μm SEC-2000 (300×7.8 mm)) from Phenomenex was
used to determine the concentration of BSA, Ig, α-LA and β-LG. Based on the column specifications,
the mobile phase was a 0.1 M phosphate buffer at a pH of 6.8, with protein concentration evaluated at
220 nm using a UV detector. The injection volume was 10 μL and the flowrate was 1 mL/min.

167 LF quantification is based on the method of Bobe et al [43]. A HPLC system with a C18 column
168 (Jupiter 300Å C18) with Solvent A as 100 parts acetonitrile, 900 parts water and 1 part trifluoroacetic
169 acid by volume; and Solvent B as 900 parts acetonitrile, 100 parts water and 1 part trifluoroacetic acid

by volume was used. Keeping the column temperature at 45 °C and sample temperature at 15 °C. The

- 171 flow rate was 1 mL/min and the gradient used was 0-2 min, 27%-34% B; 2-18 min, 34%-37% B; 18-
- 172 23 min, 37% B; 23-30 min, 37%-50% B; 30-40 min, 50%-55% B; 40-45 min, 55%-27% B.

Protein physicochemical properties were tested with a Malvern Zetasizer Nano ZS with phase
analysis light scattering (PALS). The isoelectric points and mobilities of LF and BSA in pure water and
SMUF were determined by PALS, in which the pH titration was performed using 0.1 M KOH and 0.1
M HCl solution.

SDS-PAGE and Native-PAGE were used to test the size of protein in a denatured state or native
state respectively. A Criterion[™] cell from Bio-Rad Laboratories Pty., Ltd (NSW, Australia) with
specific running buffer, sample buffer, gel and sample preparation method was used. The operation
details of SDS-PAGE and Native-PAGE are shown in the Supporting information.

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182 **2.4 EDFM module and running conditions**

183 All the EDFM tests are performed on a Gradipore Gradflow BF400 System kindly provided by Memphasys Limited (Sydney, Australia). As shown in Figure 1, the EDFM configuration is composed 184 of one separation membrane, two restriction membranes and a pair of electrodes. The feed solution 185 186 passes on one side of the membrane, with a buffer solution passing on the permeate side. Restriction membranes are used to allow the passage of ions to conduct the electric current, while preventing the 187 proteins from entering into the electrode compartments. The restriction membranes used here are 188 189 polyacrylamide (PAm) membranes with MWCO of 5 kDa supplied by Memphasys Limited (Sydney, Australia), or Neosepta cation-exchange membranes (CMB) and anion-exchange membranes (AHA) 190 191 from Astom Corporation (Tokyo, Japan). The filtration membrane was a PVA membrane prepared in-192 house as above. All membranes have an active area of 15.6 cm². Unless otherwise stated, the skin layer 193 (Figure S3) of the membrane faced the feed side. A tank placed inside the BF400 and chilled with iced 194 water was used to maintain the temperature of all solutions at 15 ± 1 °C.



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198 199

Figure 1 Schematic of an electrodialysis with filtration membrane (EDFM) approach to the separation of proteins from a feed solution.

The electrode solution used in EDUF processes is commonly sodium sulphate [44-46] or sodium 200 chloride[30], replicating the common practice in conventional ED processes. However, in the present 201 202 case, the electrode solution was prepared from an identical buffer to that of the feed and permeate 203 solution (i.e. either SMUF, SMUF without divalent cations or TRIS-HEPES). This ensures that the feed 204 whey solution is not contaminated with foreign ions such as sulphate due to ion transfer between the 205 feed and electrode chambers, minimises Fickian diffusion of ions and ensures that the pH value of the 206 EDFM system remains stable. One litre of buffer solution was placed in the electrode tank. This solution was continuously re-circulated at a flowrate of 3.4 L/min through both electrode chambers throughout 207 208 the experiment. Unless otherwise stated, the volume of permeate solution and feed solution was 10 mL. 209 These feed and permeate solutions were re-circulated through the membrane unit at a flowrate of 17 210 mL/min each (crossflow velocity of 3.2 cm/s). A voltage of 100V (~77 V/cm, distance between two electrodes: 1.3 ± 0.1 cm) was applied for 30 min across the membrane stack unless otherwise stated. 211

212 2.5 Calculation method

After the determination of the target protein concentration by HPLC [47, 48], the flux (*F*') of each specific protein can be defined as below:

215
$$F' = \frac{C_{P,t} \times V_{P,t}}{A \times \Delta t}$$
 Equation 1

where $C_{P,t}$ is the protein concentration in the permeate, $V_{P,t}$ is the volume of the permeate solution at the end of EDFM process. A is the effective area of the separation membrane and Δt is the treatment time.

219 The removal rate of a specific protein (R') from the feed chamber can be defined as:

220
$$\mathbf{R'} = \frac{C_{F,0} \times V_{F,0} - C_{F,t} \times V_{F,t}}{A \times \Delta t}$$
 Equation 2

where $C_{F,0}$ and $C_{F,t}$ refers to the protein concentration in the feed at the beginning and at the end of EDFM process, $V_{F,0}$ and $V_{F,t}$ are the volume of the feed solution at the beginning and at the end of EDFM process respectively.

The difference between the protein flux and the removal rate from the chamber represents the protein loss due to absorption on or within the membranes (L, in g/m^2 .h) during the EDFM process and so can be defined as below:

227
$$L = F' - R'$$
 Equation 3

228 This total protein lost in this manner over the full experimental period $(P, g/m^2)$ is:

229
$$P = L \Delta t$$
 Equation 4

230 While the percentage loss (%L) is:

231
$$\% L = \frac{L \cdot A \cdot \Delta t}{C_{F,0} \times V_{F,0}} \times 100\%$$
 Equation 5

232 The water transfer or flux (F) during this process is defined as below:

233
$$F = \frac{V_{P,t} - V_{P,0}}{A \times \Delta t}$$
 Equation 6

234 The energy consumption E for removing BSA, α -LA and β -LG from the retentate chamber 225 can be calculated as below:

can be calculated as below:

236
$$\mathbf{E} = \frac{U \cdot \int I dt}{m_{(BSA + \alpha - \mathbf{LA} + \beta - \mathbf{LG})}}$$
 Equation 7

237

238 where U is the applied voltage (V); I is the measured current (A); $m_{(BSA + \alpha - LA + \beta - LG)}$ (kg) is the

239 total mass of BSA, α -LA and β -LG removed from the feed chamber.

240 2.6 Statistical Analysis

A number of experiments were repeated in triplicate, to determine the percentage error in both flux

and recovery, as two standard deviations either side of the mean. This percentage error was used toprepare error bars and error margins and to assess whether data was significantly different.

244 3. Results and discussion

245 3.1 The physicochemical properties of BSA and LF

As aforementioned, the biggest challenge of Ig and LF fractionation is the separation of bovine serum albumin (BSA) and lactoferrin as they have similar size. Proteins are quite sensitive to the solution composition, so it is necessary to investigate the physicochemical properties as a function of this composition.

250 A Native-PAGE analysis of a mixture of Ig (molecular weight: 150~250 kDa), LF (molecular weight: 251 ~78 kDa) and BSA (molecular weight:~66 kDa) made up in SMUF (no Ca²⁺ and Mg²⁺) indicated that 252 the lactoferrin was unable to enter into the gel (Figure S1). This is quite different to the results of SDS-PAGE, where surfactant was added to break down protein aggregates (see Figure S2). In this case, the 253 254 LF bands appear at the same molecular weight (\sim 78 kDa) as expected from the literature [27, 49]. As shown in Table S2, the mobility of LF in the Native-PAGE running buffer is also negative. This 255 256 confirms that difference between Native-PAGE and SDS-PAGE is the aggregation of lactoferrin 257 molecules to form tetramers (molecular weight \sim 312 kDa) and aggregates in these solutions [28, 50].

Figure 2 shows the mobility of lactoferrin and BSA in different solutions, which again shows that the ions in the solution can affect the mobility of proteins. The isoelectric point of lactoferrin in pure water is consistent with the literature [30, 49, 51]; but both lactoferrin and BSA have a large change in their isoelectric point when dissolved in SMUF. As the composition of SMUF is close to that of whey, the isoelectric points shown in Figure 2 (6.2 for BSA and 5.2 for lactoferrin) are likely to be similar in a whey system. The similarity in these values makes it difficult to separate those two proteins based on their charge.



267

Figure 2 The electrophoretic mobility of lactoferrin (LF) and bovine serum albumin (BSA) in pure
water and in simulated milk ultrafiltration permeate (SMUF), both with and without divalent cations.
The vertical dashed line shows the pH of the SMUF without the divalent cations, while the solid
vertical line shows the pH when these are added. The horizontal dashed line shows the isoelectric
point (red square: mobility of LF in tris-base buffer; purple circle: mobility of BSA in tris-base buffer)

273 Lactoferrin is clearly very sensitive to the ionic strength of the solution, with the isoelectric point 274 changing from 8.4 to 5.2 when SMUF buffer is used. Further tests showed that this buffer could be 275 diluted up to 85 times before the electrophoretic mobility of LF changed from negative to positive 276 (Figure 3a). This mobility may be affected by the pH change that also occurs during dilution, so separate 277 tests with controlled pH (6.6) were also conducted (see Figure 3 b). The addition of alkali (0.1 M KOH) 278 to control pH increases the conductivity of the diluted solution slightly, which in this case means that 279 the lactoferrin remains negatively charged after dilution of 120 times. This confirms that the lactoferrin will remain negatively charged and aggregated even if an eightfold diluted SMUF is used and/or if there 280 is significant water migration during the EDFM process. 281



Figure 3 The conductivity of diluted SMUF and the mobility of lactoferrin (1g/L) in diluted SMUF
(a. solutions diluted with water; b. solutions diluted with water, pH maintained at 6.6 using 0.1 M
KOH).

Based on this mobility test, both BSA and lactoferrin are negatively charged in whey systems. Ig is also known to be negatively charged, with an isoelectric point of ~5.0 regardless of solution composition [2]. Under the influence of an appropriate electrical driving force, a membrane with molecular weight cut-off larger than BSA and smaller than Ig or a tetramer of LF would thus allow these two valuable proteins to be separated from smaller whey proteins.

293 **3.2 PVA filtration membrane characterization and optimization**

294 3.2.1 Optimization of membrane structure

To provide a non-fouling separation membrane of the appropriate pore size (~150 kDa), PVA was used in a phase inversion process. The pore size and composition of these uncrosslinked membranes were optimised by varying the coagulation bath composition (see Supporting Information). These initial experiments concluded that a coagulation bath of 80 to 85 vol% ethanol in water achieved the best BSA flux and BSA/Ig selectivity. The addition of methanol into the bath increased the pore size and thus reduced the BSA/Ig selectivity and so this addition was not considered further.

301 However, these uncrosslinked membranes could be dissolved in hot water even after annealing at 110 302 °C for 1 hour. This means that the membrane will not survive prolonged exposure to aqueous solutions 303 even at lower temperatures. The use of thermal treatment to improve water resistance resulted in 304 membranes of smaller pore size [39, 40]. Hence, chemical crosslinking with 0.5% H₂SO₄ and 1% 305 glutaraldehyde was used as an alternative approach to strengthen the structures. After chemical 306 crosslinking, a slightly greater BSA flux was achieved, but the increase was almost within the error 307 margin, indicating that the crosslinking step had little influence on the membrane pore size (Table 1). 308 The LF flux remained at zero indicating that this membrane retained a significant selectivity between

309 LF and BSA. Further experiments confirmed that varying the ethanol concentration within the
310 coagulation bath between 75 and 85% had little impact on these BSA and LF fluxes (see Supporting
311 Information) and so a bath of 80 vol% ethanol was chosen for further study.

312 **Table 1** The performance of membranes prepared with an 85 vol% ethanol coagulation bath, after

annealing at 110°C for 1h; and after annealing at 110°C for 1h followed by crosslinking with

314 glutaraldehyde (feed solution: 10 mL 1 g/L BSA, Tris-base buffer, 100 V (~77 V/cm), 30 min)

 Membrane	BSA concentration (α/L)	BSA flux $(g \cdot m^2)$	BSA loss $(g \cdot m^2)$	Water Transfer
	(g/L)	n)		(g·m ² ·h ¹)
No crosslinking	1	11.0±0.5	2.9 ± 0.4	$+0.6\pm0.2$
 Crosslinking	1	13.2±0.5	1.9±0.3	$+1.0\pm0.3$

315 (water transfer: "+" means water transfer from permeate chamber to feed chamber)

316 The zeta potential of the membranes after crosslinking is -13 ± 2 mV (pH=6.5), so the crosslinking 317 induces a negative charge that is similar to that observed in commercial nanofiltration membranes[52]. This negative charge reduces the membrane fouling (BSA loss) relative to an uncrosslinked membrane, 318 due to repulsion of the negatively charged BSA from the charged membrane surface (see Table 1). 319 320 Secondly, under the same voltage, using a crosslinked membrane leads to a higher cell current density 321 than using a membrane with no crosslinking, due to the onset of some water splitting at the high voltages 322 used (See Figure 4). While this will increase the energy requirement for the process somewhat, the 323 water transfer does not increase significantly (Table 1), indicating that electro-osmotic flows should not 324 be of concern.



Figure 4 the current density of the system when using an uncrosslinked membrane and crosslinked membrane (coagulation bath: 80 vol% ethanol, Feed solution: 10 mL 1 g/L LF, Tris-base, pH=8, Voltage: 100 V (~77 V/cm), 30 min)

- 329
- 330

331 **3.3 Reduction of protein loss due to fouling**

To reduce the protein loss due to fouling and the energy intensity we reduced the ionic strength of the buffer, by using a diluted SMUF. The LF loss halves from $3.5 \text{ g}\cdot\text{m}^{-2}\cdot\text{h}^{-1}$ (shown in Figure 5) to 1.4 g·m⁻²·h⁻¹ (Table 2) under these conditions. This may be because the lower ionic strength provides less screening of the protein and membrane charge (see Figure 3), so that repulsion between these is greater. Further, the absorbed lactoferrin can be readily desorbed when using a 0.5 M NaCl wash for 30 min (0.5/1 M NaCl, pH~7).

We also considered the use of ion exchange membranes as restriction membranes, as this is 338 common in EDUF applications[13]. Our earlier work had also indicated that the use of such charged 339 membranes could increase protein recovery by reducing the absorption of charged proteins[53]. When 340 using cation-exchange membranes (CEM) for the restriction membranes, the loss of lactoferrin can be 341 reduced to 0.2 g/m².hr and again this can be recovered during a saltwater wash (Table 2). Conversely, 342 343 the use of anion-exchange membranes (AEM) leads to a greater loss of 2.7 g/m².hr. The positively charged surface of the AEM is attractive for deposition of the negatively charged lactoferrin molecules. 344 345 Only around half of this absorbed lactoferrin can be desorbed when using a salty water wash.

346

Table 2 The recovery rate of LF when using different membranes as restriction membrane (Feed
solution: 10 mL 1 g/L LF, ¹/₄ SMUF no Ca²⁺ and Mg²⁺, voltage: 50 V (~38 V/cm), time: 30 min)

	Membrane	PAm membrane	AEM	CEM
т	Derformanaa			
F	reriormance			
LF flux	$(g \cdot m^{-2} \cdot h^{-1})$	0	0	0
LF loss	$(g \cdot m^{-2} \cdot h^{-1})$	1.4 ± 0.3	2.7 ± 0.6	0.2 ± 0.1
	(%)	12 ± 3	24 ± 6	1.9 ± 0.4
Wash condition (0.5h)		0.5 M NaCl	0.5 M NaCl	0.5 M NaCl
Recovered	$(g \cdot m^{-2} \cdot h^{-1})$	1.9 ± 0.4	1.5 ± 0.3	0.4 ± 0.1
Water transf	er (g)	$+0.3 \pm 0.1$	$+0.9 \pm 0.2$	$+0.4 \pm 0.1$

349 *(water transfer: "+" means water transfer from permeate chamber to retentate chamber)*

While not discussed in our prior work[53], the use of ion exchange membranes in place of the neutral PAm, is likely to also cause the onset of greater water splitting, which in turn will result in significantly greater energy demand and higher electro-osmotic flows of water. Indeed, the PAm membranes results in less water transfer than when ion exchange membranes are used (Table 2).

The permselectivity of most commercial ion exchange membranes in dilute salt solutions is between 90 and 100 % due to the presence of fixed charge groups[54]. Thus, the transport number of counter ions are 0.9~1 and co-ions have a transport number of 0~0.1. As shown by Długołęcki et al.[55], the limiting current density (i_{lim}) of a membrane can be calculated by Equation 8:

359
$$i_{lim} = \frac{F \cdot C^b \cdot D}{\delta(t_{cou}^m - t_{cou}^s)}$$
 Equation 8

Where F is the Faraday constant, D is the salt diffusion coefficient, C^{b} is the bulk solution 360 concentration, t_{cou}^{m} and t_{cou}^{s} is the counter ion transport number in the membrane and solution 361 respectively and δ is the boundary layer thickness. For ion exchange membranes at the salt solution 362 363 concentrations in ¹/₄ SMUF, the limiting current density is around 5-10 mA/cm² [55]. Conversely, as the PAm is a neutral hydrogel membrane with a very high water concentration, the values of t_{cou}^{m} and 364 t_{cou}^{s} are essentially identical, so that the denominator in the equation above approaches zero and the 365 limiting current approaches infinity. The utilization of these neutral membranes in the system thus 366 367 makes it is possible to apply a higher voltage than other EDUF processes without water splitting [30, 368 56].

To further investigate the effects of water splitting, a mixture of BSA and LF was used with thedifferent restriction membranes.

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Figure 6 The current density for experiments with different restriction membranes treating a mixture
of 0.8 g/L BSA and 0.2 g/L LF (feed solution: 40 mL, ¹/₄ SMUF no Ca²⁺ and Mg²⁺, pH=8, voltage: 50
V (~38 V/cm), time: 40 min).

376 The current density of the system with CEMs as restriction membranes is clearly higher than when 377 using the PAm membrane (Figure 6) and exceeds the limiting current density predicted from the 378 literature[55]. Further, in this arrangement, the pH of both the retentate solution and permeate solutions increases substantially from 8.1 to 11.6 and 12.2 respectively (Table 3); at the same time, the pH of the 379 380 electrode solution is reduced to 3.5. Further, the conductivity of the retentate and permeate solutions increase significantly. The high current density, changes in pH and conductivity all indicate that there 381 is significant water splitting on the surface of the CEM [29, 55]. and that most of the current for the 382 383 process has been used in water splitting and the resulting ion transfer, consuming energy.

The BSA flux obtained in repeat experiments using the CEM restriction membranes was highly 384 385 variable. Contrary to our prior work[53], there also appeared to be no increase in this flux relative to 386 the use of a PAm restriction membrane. The pH changes observed in our earlier work were much less significant, due to the use of an alternate buffer system. In the present case, the increase in pH above 387 11, is likely to cause deprotonation of the tyrosine residues within BSA, resulting in significant 388 389 denaturation and loss of secondary structure[60]. This denaturation could cause the BSA to aggregate, 390 preventing permeation. The denaturation was evident during sample analysis, as the BSA could often 391 not be detected using the size exclusion column and the retention time shifted when using a C18 column. 392 Thus, while the use of CEMs may reduce the protein loss, it is not an economical or efficient approach.

Table 3 The pH value of each chamber after treating for 60 min when using different membranes (feed solution: 40 mL 0.8 g/L + 0.2 g/L LF; $\frac{1}{4}$ SMUF no Ca²⁺ and Mg²⁺, pH=8, voltage: 50 V (~38 V/cm), time: 60 min)

Restriction	Separation	Retentate solution		Permeate solution		Electrode solution	
Membranes	Membranes	pН	Cond.	pН	Cond.	pН	Cond.
			(ms/cm)		(ms/cm)		(ms/cm)
Initial value		8.1±0.4	1.9±0.1	8.1±0.4	1.7±0.1	8.1±0.4	1.7±0.1
PAm	Crosslinked	7.6 ± 0.5	0.77 ± 0.03	7.9±0.6	3.3±0.2	8.2±0.6	1.7 ± 0.1
	PVA						
PAm	Uncrosslinked	7.7±0.5	$0.94{\pm}0.05$	8.1±0.6	3.1±0.2	7.9±0.6	2.0±0.2
	PVA						
CEM	Crosslinked	11.6±0.1	5.8±0.6	12.2±0.1	47±4	3.5±0.1	1.1±0.1
	PVA						

The chemical crosslinking of the PVA separation membrane also results in this membrane gaining some charge. For this reason, the use of an uncrosslinked separation membrane was also tested under these conditions. As shown in Table 3, the change of pH and conductivity of each chamber is much smaller when the separation membrane is changed in this manner, indicating that water splitting is much

less significant. A process with PAm restriction membranes and a crosslinked PVA separation membrane, coupled with a cleaning process to recover adsorbed protein appears to be the best option.

3.4 Whey protein isolate (WPI) treatment

The optimized process was then used in the treatment of a model whey system. This model whey was prepared from commercial whey protein isolate powder, which is free of salts. Feed solutions with a protein composition similar to that of whey were prepared by combining 6.5 g/L WPI, 0.2 g/L LF, 0.4 g/L BSA, 0.5 g/L Ig. The conductivity may affect the protein removal rate and protein loss of this process, so feed solutions with 4 times diluted SMUF (no Ca²⁺ and Mg²⁺) and 8 times diluted SMUF (no Ca²⁺ and Mg²⁺) at a pH of 8 were compared. These dilutions are indicative of partially demineralised and de-ionised whey, a common intermediate in dairy processing operations.

Owing to its lower electrical resistance, the process with 4 times diluted SMUF (no Ca²⁺, Mg²⁺) initially offers a higher protein removal rate than the process with 8 times diluted SMUF (no Ca²⁺, Mg²⁺) (see Figure 7). For this process, nearly half of the total protein in the feed solution has been moved to the permeate after 60 minutes of treatment. As the permeate solution is being recycled, at this point the concentration of protein in the permeate becomes higher than that in the feed solution. This results in a significant back diffusion of protein into the feed chamber due to Fick's Law, as also observed in conventional ED processes [61]. This dynamic balance between the electrical and diffusional driving forces means that the concentration of protein in both feed solutions begins to stabilise after 60 minutes of treatment and further treatment is ineffective. As shown in Figure S5-S7, the removal rate of individual proteins also shows the same trend, with α -lactalbumin particularly affected due to its lower isoelectric point (4.5~4.8) and smaller molecular size (14.2 kDa) [2] compared with other whey proteins (Figure S5). In industrial practice, this issue would be overcome by increasing the permeate/feed volume ratio, or by avoiding a permeate recycle and instead using a continuous counter current operation. Conversely the protein transfer for the case with 1/8 SMUF was slower so in the timescale of this experiment it did not reach a dynamic balance.



Figure 7 The amount of total protein (α-LA+β-LG+BSA) vs time in the feed/permeate chamber when using (a) ¼ SMUF and (b) 1/8 SMUF as buffer solution (feed solution: 40 mL model whey solution prepared from WPI, pH=8, voltage: 100 V (~77 V/cm), time: 2 h).

As shown in Figure 8, the process can realize the isolation of LF and Ig from BSA, α -LA and β -LG in the period before this dynamic balance is reached, with the flux of β -LG over twenty times that of Ig. The protein composition of the retentate chamber shows the steady migration of the smaller proteins (BSA, α -LA and β -LG) (see Figure 9) into the permeate, leading to an increasing proportion of LF/Ig in the retentate chamber. Given the small membrane area and the buildup of protein in the permeate solution, pure protein solutions cannot be obtained within the timescale of the experiment. However, it is anticipated that if permeate recycling is avoided and a larger membrane area is used that a retentate of pure Ig and LF can be obtained.



Figure 8 The flux of different whey proteins based on a 1-hour experiment using a model whey solution prepared from WPI (feed solution: 40 mL model whey solution prepared from WPI; ¹/₄ SMUF (no Ca²⁺, Mg²⁺) pH=8, voltage: 100 V (~77 V/cm), time: 1 h).



Figure 9 The composition of retentate solution vs operating time using a model whey solution prepared from WPI (feed solution: 40 mL model whey solution prepared from WPI; ¹/₄ SMUF (no Ca²⁺, Mg²⁺), pH=8, voltage: 100 V (~77 V/cm), time: 1 h).

The protein loss due to fouling with different buffer solutions was also tested. With a ¹/₄ SMUF (no Ca²⁺, Mg²⁺) buffer, the loss of alpha-lactalbumin and beta-lactoglobulin over two hours is highest (6.4 ± 1.3 and 17 ± 4 g/m² respectively, or 12-14%) (see Table S-3) due to their smaller size which can readily be accommodated within the membrane structure. The loss of the more valuable LF and Ig is smaller both in absolute and percentage terms (Figure 10 and Table S-3). The process with 4 times diluted SMUF (no Ca²⁺, Mg²⁺) buffer results in significantly less loss of LF compared to the one with 8 times diluted SMUF (no Ca²⁺, Mg²⁺). It may be that at the eightfold dilution, the LF may no longer

be present entirely as tetramers or aggregates, with monomers or dimers penetrating the membrane structure and adsorbing within the porous support. As shown in Figure 10 (a), the washing process can only recover some of the LF that is adsorbed during the use of an eightfold dilution. The Ig loss during both processes is greater than the LF loss both because it is present in the feed solution at a higher concentration and because its lower molecular weight may mean that it can pass through larger pores within the separation membrane and adsorb within the porous support. Again, only a proportion of this Ig can be recovered during a wash step.



Figure 10 The LF/Ig loss/recovered of processes using a model whey solution prepared from WPI with different buffer solutions. (feed solution: 40 mL model whey solution prepared from WPI, pH=8, voltage: 100 V (~77 V/cm), time: 2 h).

As aforementioned, using 4 times diluted SMUF (no Ca²⁺, Mg²⁺) as buffer solution gives a higher protein transfer rate and lower LF loss, but the energy consumption of this process also should be investigated. Due to its lower ionic concentrations, the feed solution with 1/8 SMUF has less ions transferred (i.e. less current) in the first 30 minutes and thus the energy consumption is lower both in absolute terms (43 versus 67 W) and per kg of protein (BSA, α -LA and β -LG) removed from the retentate chamber (250 versus 345 kWh/kg). The range of energy consumption generally observed in EDUF/EDFM is 130~25000 kWh/kg [30, 44, 45], so these results are within the range of other reported EDFM processes. Beyond 30 minutes, the ion concentrations in the retentate chamber decrease for both SMUF concentrations, reducing the current, which leads to a lower absolute energy consumption per kg of protein removed increases to an unsustainable level. In an industrial process, a once through mode or changes to the permeate/feed volume ratio would reduce this back diffusion and thus keep the energy demand to a realistic level.

4. Conclusion

The biggest challenge of Ig and lactoferrin fractionation is the separation of BSA and lactoferrin. In the present case, this is achieved by the aggregation of lactoferrin in a salty buffer, such as that available naturally in whey The solution containing the aggregated lactoferrin and other dairy proteins can then be passed through an electrodialysis with ultrafiltration process, where the smaller proteins, characterised here by BSA, can pass through, while the larger LF aggregates and Ig are retained.

In this article, asymmetric PVA filtration membranes prepared by a phase inversion method were used as the ultrafiltration separation method. Chemical crosslinking was used to provide structural resistance. Further, for a process with a negatively charged target protein, this chemical crosslinking method reduced the extent of membrane fouling, by inducing a negative charge. To counteract this, the negative charge induces a small amount of water splitting, which increased energy demand and water transfer.

To achieve a high recovery rate for target proteins, neutral polyacrylaminde membranes were most effective as restriction membranes. Protein loss was also minimised by using a whey solution of lower ionic strength, which can be readily achieved through the partial demineralisation of the whey. Further, a washing process with a simple salt solution was able to recover the residual protein deposit. A partially deionised whey of fourfold reduced total salt concentration and depleted in divalent ions provides a high protein removal rate and low Ig/LF loss. However, the use of a whey with lower salt concentration (diluted eightfold) leads to a lower energy consumption, as fewer ions must be moved. To reduce the energy consumption and to achieve higher product purity, back diffusion of protein must be avoided, by maintaining the permeate protein concentration below that of the feed. In practice, this could possibly be achieved by once through countercurrent operation, or by varying the permeate to feed volume ratio. The process shows good selectivity between Ig/LF and other whey proteins.

At this point, the largest feed volume we have tested is only 40 mL and the effective area of our device is only 15.6 cm². Further work is required to scale up such a device to investigate if a product with a greater percentage of Ig and LF can be obtained. It is established that EDUF processes are highly effective in separating large molecules with biological activity [30, 46, 62]. Further, the approach uses no solvents or other chemicals that can add to the environmental footprint of the operation [63]. Hence, there is an incentive to further develop the process to make it suitable for the separation/fractionation of charged molecules of large size.

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Supporting information:

S1. Composition of Simulated Milk Ultrafiltrate (SMUF) buffer

The simulated milk ultrafiltrate (SMUF) was prepared as shown in Table 1

		Composition (mg/100 mL)								
Ingredient	Weight	Na	K	Ca	Mg	Р	Cl	Citrate as	SO_4	CO ₂
								citrate acid		
KH ₂ PO ₄	1.58		45.4			36.0				
K ₃ citrate·H ₂ O	1.20		43.4					70.0		
Na ₃ citrate·5H ₂ O	2.12	42.0						115		
K_2SO_4	0.18		8.0						9.9	
CaCl ₂ ·2H ₂ O	1.32			35.9			63.8			
MgCl ₂ ·6H ₂ O	0.65				7.8		22.7			
K_2CO_3	0.30		17.0							9.5
KCl	0.60		31.4				28.5			
KOH to pH 6.6	2.25		8.0							
Total	mg/100 mL	42.0	154.0	35.9	7.8	36.0	115.0	185.1	9.9	9.5
	mM/L	18.3	39.4	9.0	3.2	11.6	32.4	9.6	1.0	2.2

Table S1. Compositions of SMUF buffer [29]

S2. Polyacrylamide Gel Electrophoresis (PAGE)

Native-PAGE and SDS-PAGE were used to determine the size of native and denatured proteins respectively. All reagents for SDS-Page and Native-Page are purchased from Bio-Rad Laboratories Pty., Ltd (NSW, Australia). For native-page, a CriterionTM TGXTM Precast gel with a gel percentage of 8%-16% and 18 wells was used; the running buffer was 10×Tris/glycine buffer (before dilution: 25 mM Tris, 192 mM glyclne, pH 8.3) which was diluted 10 times before use; the sample buffer was 62.5 mM tris-HCl, pH 6.8, 40% (v/v) glycerol and 0.01% bromophenol. For SDS-PAGE, a CriterionTM TGXTM Precast gel with a gel percentage of 4%-20% and 18 wells was used; the running buffer was 10×Tris/glycine/SDS buffer (before dilution: 25 mM Tris, 192 mM glyclne,0.1% SDS, pH 8.3) which was diluted 10 times before use. The sample buffer was laemmli sample buffer with 65.8 mM tris-HCl, pH 6.8, 2.1% SDS, 26.3%(W/V) glycerol, 0.01 bromophenol, which would mix with loading solution with a ratio of 1:1. For both SDS-PAGE and Native-PAGE, Bio-SafeTM Coomassie G-250 stain was used.



Figure S1 the Native PAGE test result of Ig, LF and BSA (Channels 1 and 2 are for a feed of 1 g/L Ig; Channels 4 and 5 are for 1 g/L of Lactoferrin, Channels 7 and 8 are for 1 g/L of BSA, Channel 10 provides molecular weight standards)



Figure S2 the SDS PAGE test result of LF and BSA (Channels 1 and 2 are for a mixture of 0.1 g/L LF +0.4 g/L BSA; Channels 3 and 4 are for 0.2 g/L LF +0.8 g/L BSA, Channels 5 and 6 are for 0.25 g/L of LF, Channels7 and 8 are for 0.5 g/L of LF, Channels 9 and 10 are for 0.75 g/L of LF, Channels 11 and 12 are for 1 g/L of LF, Channel 14 provides molecular weight standards)

To confirm that the LF is restricted from the Native-PAGE gel by its size, rather than charge, its mobility in a Native PAGE running buffer was investigated using the Malvern Zetasizer NanoZS. As shown in Table S2, although the mobility of lactoferrin is affected by its concentration, it remains negatively charged in the running buffer.

Table S2 the mobility of lactoferrin in the Native PAGE running buffer

LF concentration (g/L)	1	0.1
Mobility (×10 ⁻⁸ m ² V ⁻¹ S ⁻¹))	-0.23 ± 0.06	-0.08 ± 0.02

S3. Characterization of PVA uncrosslinked membranes

The PVA filtration membrane made here is a hydrophilic membrane with a water content of 0.78 ± 0.01 (g water/g swollen membrane) and thickness of $170 \pm 3 \mu m$. Cryo-SEM and BSA/Ig flux tests were performed to understand its structure and pore size.

As shown in Figure S3, the PVA membrane is asymmetric with a skin layer of thickness $\sim 5 \mu m$. The skin layer is always orientated to the feed side to reduce protein loss.



Figure S3 Cryo-SEM image of PVA filtration membrane (80 vol% of EtOH)

For filtration membranes prepared by phase inversion method, the pore size and structure are affected by the rate of antisolvent diffusion within the coagulation bath. A high concentration of ethanol relative to water corresponds to a rapid phase inversion which can cause a larger pore size but may also lead to shrinkage during the drying process which can make the membrane pore size become smaller. Adding methanol to the coagulation bath can also change the membrane morphology since methanol has a greater diffusion coefficient in water.

Based on our preliminary test, changing the ratio of ethanol and methanol in the coagulation bath while keeping the water content constant (15 vol%) had little impact on the flux of BSA. However, the flux of the larger Ig protein decreased significantly with a decrease in methanol content. Hence it was decided to focus only on coagulation baths of ethanol/water mixtures.

Increasing the relative proportion of ethanol in the coagulant bath led to a small decline in BSA flux and a more substantial decline in the Ig flux (Figure S4). All of the PVA filtration membranes had a lower flux of Ig than the commercial PAm reference membrane (6.2 g·m⁻²·h⁻¹) but comparable in BSA flux indicating a molecular weight cut-off below 250 kDa but well above that of BSA (69 kDa). The highest BSA flux is achieved when the proportion of ethanol is 80 vol%, (33.3 g·m⁻²·h⁻¹) while the greatest selectivity occurs at 85 vol%. The BSA flux (33.1 g·m⁻²·h⁻¹) of this membrane is also higher than the value of the reference membrane $(31.8 \text{ g}\cdot\text{m}^{-2}\cdot\text{h}^{-1})$. This indicates that a coagulant bath with an ethanol proportion between 80% and 85% should provide the best results for this uncrosslinked system.



Figure S4 Ig Flux and BSA flux of membranes with different coagulant bath (Ethanol: H₂O). (Feed solution: 10 mL 3 g/L BSA, Tris-base, pH=8, Voltage: 100 V, time: 30 min)

It should be noted that the BSA flux shown in Figures S4 are approximately three times greater than that shown in Table 1 for an uncrosslinked membrane $(11.0\pm0.8 \text{ g}\cdot\text{m}^{-2}\cdot\text{h}^{-1})$ because these experiments used a feed solution with a threefold higher BSA concentration (3 versus 1 mg/mL).

S4 Whey protein isolate (WPI) treatment



Figure S5 The removal rate of α-LA from the feed solution vs time when treating WPI solution in different buffer solutions

(feed solution: 40 mL model whey solution prepared from WPI, pH=8, 100 V (~77 V/cm), 2 h)



Figure S6 The removal rate of BSA from the feed solution vs time when treating WPI solution in different buffer solutions

(feed solution: 40 mL model whey solution prepared from WPI, pH=8, 100 V (~77 V/cm), 2 h)



Figure S7 The removal rate of β -LG from the feed solution vs time when treating WPI solution in different buffer solutions

(feed solution: 40 mL model whey solution prepared from WPI, pH=8, 100 V (~77 V/cm), 2 h)

Table S3 The protein loss during EDFM using a model whey solution prepared from WPI with ¼SMUF no Ca²⁺ and Mg²⁺. Also shown is the amount recovered using a salt water wash (feed solution:40 mL model whey solution prepared from WPI, pH=8, voltage: 100 V, time: 2 h).

Proteins	Lo	Recovered		
	g/m ²	g/m ² Loss %		
Ig	1.2±0.3	7.5±1.6	0.85±0.2	
LF	0.11 ± 0.03	3.1±0.7	0.22 ± 0.07	
BSA	1.6±0.3	10±2.1	1.0±0.2	
α-LA	6.4±1.3	12±2.5	1.9±0.4	
β-LG	17±4	14±3	11.5±2.4	

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