Dechlorination of polyvinyl chloride electric wires

by hydrothermal treatment using K₂CO₃ in

subcritical water

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8 ABSTRACT

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considered a major environmental concern. Removal techniques of chlorine from PVC waste are being studied to minimize a negative environmental impact. In this work, the use of K₂CO₃ as an alkaline additive to improve the dechlorination efficiency (DE) in the hydrothermal degradation of PVC wires was studied. Different experiments were carried out varying both temperature (175 °C, 200 °C, 225 °C, 235 °C and 250 °C) and K₂CO₃ concentration (0.025 M, 0.050 M and 0.125 M), using a solid/liquid ratio of 1:5 in order to determine the evolution of the dechlorination efficiency with time. About 4.66, 21.1, 24.4, 45.7 and 92.6 wt. % of chlorine in PVC wire was removed during hydrothermal dechlorination (HTD) with an additive/chlorine ratio of 1:25 (K₂CO₃ solution of 0.050 M) at 175, 200, 225, 235 and 250 °C, respectively. Optimal additive/chlorine ratio decreased to 1:50 (K₂CO₃ solution of 0.025 M) at 250 °C, obtaining a dechlorination degree of 99.1% after 4 hours without the need of metallic catalysts. Concerning the solid phase behavior during dechlorination, a linear correlation between the DE reached

Polyvinyl chloride (PVC) waste generation has significantly increased in recent years and their disposal is

22 **Keywords:** Hydrothermal process; Dechlorination efficiency; PVC electric wires; alkaline additive;

and the weight loss of PVC was found including every experiment performed in this work.

23 potassium carbonate.

1. INTRODUCTION

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25 Polyvinyl chloride (PVC) has been widely employed in industry, construction, signage, toys, packing 26 material, electronic applications, etc. (Moulay, 2010; Sabaa et al., 2009; Zhu et al., 2016). PVC is the most 27 common thermoplastic used as insulation in electric wires (Morsy et al., 2003), due to its easy processing, 28 good insulation capacity, high chemical resistance and low flammability (Akovali, 2012; Zabłocka-29 Malicka et al., 2015). PVC products have long useful life in comparison with other plastic materials. 30 However, a large amount of PVC products becomes solid waste every year. 31 PVC waste generation has significantly increased in recent years and their disposal is nowadays 32 considered a major environmental risk (Yao and Ma, 2017). Traditional waste treatment and disposal 33 methods are accumulation in landfills and thermal treatment for energy recovery. Landfilling can lead to 34 soil and groundwater contamination by the leachates of the toxic substances present in PVC waste 35 (Baishya and Mahanta, 2013). Thermal treatment is considered as a potential source of emission of toxic 36 and harmful pollutants such as chlorinated organic compounds (Suresh et al., 2017), which can cause 37 serious environmental problems if no efficient pollutant remediation techniques for gases are present in 38 the facilities. Emissions of chlorophenols (ClPhs), chlorobenzenes (ClBzs), and polychlorodibenzo-p-39 dioxins and furans (PCDD/Fs) were detected during the thermal decomposition of PVC (Aracil et al., 40 2005) and PVC electric wires (Conesa et al., 2013). 41 Consequently, it is necessary to develop removal techniques of chlorine from PVC waste prior to their 42 utilization to avoid a negative environmental impact. In order to remove chlorine from PVC waste, 43 catalytic dechlorination and hydrothermal dechlorination (HTD) are the main methods used. Catalytic 44 dechlorination entails the selective break of one or more C-Cl bonds, giving rise to less toxic and reusable 45 materials (Yu et al., 2016). This phenomenon is due to addition of high volume of catalyst. The main 46 problems of catalytic dechlorination are the catalyst poisoning, high operation temperature (400-1000 °C), 47 non-uniform heat transfer, low yields obtained and excessive formation of char and gas (Wang and Zhang, 48 2012). HTD has become an effective and environmentally friendly method for the chlorine removal of 49 PVC waste (Kubátová et al., 2002; Poerschmann et al., 2015; Soler et al., 2018; Xiu et al., 2014; Yao and 50 Ma, 2017; Zhao et al., 2018, 2017) because it presents simple and fast reaction rates, and homogeneous

51 reactions without limitations of mass transfer occur, which give rise to excellent process efficiency (Soler 52 et al., 2017). 53 Poerschmann et al. (2015) subjected PVC to subcritical water treatment at different temperatures (180-260 54 °C) and they observed that dechlorination increased with the increase of process temperature. Qi et al. 55 (2018) carried out HTD for PVC waste using subcritical water and their results showed that 10 % the 56 chlorine of PVC waste was transferred into water at 200 °C, 85.5 % was transferred at 250 °C and 57 approximately 95 % was transferred at 300 °C. Higher pressure and temperature could accelerate the 58 removal of the chlorine from PVC waste as HCl. Yao and Ma (2017) also observed a decrease of the 59 chlorine content in the residue and an increase of the carbon and hydrogen content as the dechlorination temperature increased due to reactions of elimination and substitution. In order to improve the 60 61 dechlorination efficiency of the HTD process applied to PVC waste, some catalysts (Lv et al., 2009; Zhao et al., 2017) and alkaline additives (Yoshioka et al., 2008; Zhao et al., 2018) were used. Zhao et al. (2018) 62 63 investigated the effect of different alkaline additives in the HTD of PVC, which was performed in subcritical Ni²⁺-containing water at 220 °C. The results showed that the alkaline additives significantly 64 65 improved the dechlorination efficiency and concluded that the most effective additive was Na₂CO₃ from 66 among those studied. 67 In another work (Soler et al., 2018), PVC wires were also subjected to subcritical water treatment at 68 different temperatures (200, 250 and 300 °C). It was observed that the dechlorination efficiency increased 69 with the increase of the reaction temperature as it was concluded in the study performed by Poerschmann 70 et al. (2015), obtaining a maximum efficiency higher than 95 % at 300 °C. The chlorine removed from 71 PVC was transferred to the water as HCl (main compound), apart from other compounds such as phenol, 72 benzoic acid, benzaldehyde, cyclohexanone and methyl cyclopentane. Thus, in line with the previous 73 research of Soler et al., the aim of the present work was to improve the dechlorination efficiency of 74 hydrothermal degradation of PVC wires at lower temperatures, using K₂CO₃ as alkaline additive in order 75 to decrease the costs of energy and treatment required by the process.

2. MATERIALS AND METHODS

K₂CO₃ used in this study as a "stimulant medium additive" during the subcritical water treatment, was 77 reactive-quality grade with a minimum purity of 99% and was supplied by Merck (Darmstadt, Germany). 78 79 The electric wire employed for the experiments was supplied by General Cable, Co. This wire was 80 composed of three fractions: a cover made of PVC, an insulation material of reticulated polyethylene (PE) 81 and the copper conductor. For this study, only the non-metallic fraction (cover and insulation material) 82 was used after manual separation from the copper. Once separated, they were mixed in a proportion of 83 82.8% cover and 17.2% insulation (that represents the electric wire as it is) and the mixture was crushed 84 into a particle size (<1 mm) small enough to have a homogeneous material using a cutting mill RETSCH 85 SM200. 86 This electric wire was previously characterized using an elemental microanalyzer (Thermo Finnigan Flash 87 1112 Series) to provide total content (wt. %) of carbon, hydrogen, nitrogen and sulfur, and a sequential X-88 ray fluorescence spectrometer (Philips Magix Pro PW2400) to obtain the rest of elements (Soler et al., 89 2018).

2.1. EXPERIMENTAL SET-UP

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- 91 The dechlorination process was performed in a 1 L high-pressure batch stirred reactor using a K₂CO₃
- 92 solution in water as subcritical fluid. This reactor RS1000-SMH model from ILSHIN AUTOCLAVE CO,
- 93 LTD. can safely work up to a maximum temperature of 350 °C and a maximum pressure of 20 MPa.
- 94 The efficiency of this dechlorination process was controlled mainly by the following parameters:
- 95 temperature, K₂CO₃ concentration, residence time and solid/liquid (S/L) ratio.

2.2. EXPERIMENTS AND CONDITIONS

The degree of dechlorination achieved depends on diverse factors such as temperature, reaction time at set temperature, solid/liquid (S/L) ratio and additive concentration in the solution used as dechlorination agent, which corresponds to potassium carbonate in the whole study. The will to apply K₂CO₃ as diluted additive in subcritical water for HTD treatment was based on the good behavior of sodium carbonate already proved (Zhao et al., 2018) and the possible activity of potassium higher than that of sodium (Xiao et al., 2014).

103 In this work, the residence time was set to 4 h and the ratio of PVC wire/K₂CO₃ solution was fixed in 1:5 104 g/mL to assure that neither the S/L ratio nor the treatment time were limiting factors, as mentioned in previous works for similar conditions (Soler et al., 2017; Xing and Zhang, 2013), so that the 105 106 dechlorination efficiency (DE) was only influenced by the most important factors of interest for this work: 107 temperature and K₂CO₃ concentration-108 In order to observe the influence of both temperature and K₂CO₃ concentration in the dechlorination 109 process, different experiments were carried out varying these two parameters: temperature treatment at 110 175 °C, 200 °C, 225 °C, 235 °C, 250 °C and K₂CO₃ concentration with solutions at 0.025 M, 0.050 M and 111 0.125 M. Every set temperature was reached under a temperature gradient of 2.5 °C/min approximately. In 112 the same way, the stirrer was fixed at a rotating speed of 100 rpm in each run to assure that the sample and 113 temperature were homogeneous in the batch during the whole process. 114 On the one hand, temperatures were chosen to obtain a wide range around the apparently middle range 115 temperature of 200 °C-300 °C which had been employed during PVC treatment in subcritical water 116 conditions by others researchers (Poerschmann et al., 2015; Xiu et al., 2014). 117 For all the experiments, 50 g of the non-metallic fraction of the electric wire (chlorine content: 22.57 wt. 118 %, particle size <1 mm) were introduced into the reactor together with 250 mL of K₂CO₃ solution. The 119 K₂CO₃ concentrations of 0.025 M, 0.050 M and 0.125 M, with a very small amount of additive (much 120 smaller than the stoichiometric in regard to chlorine), correspond to a molar anionic content about 1:50, 121 1:25 and 1:10 of the total molar PVC chlorine content, respectively, which are comparable to those ratios 122 studied before with other additives (Zhao et al., 2018). 123 On the other hand, in order to assess the evolution of the dechlorination with time, the liquid phase was 124 sampled every hour extracting a fixed amount of solution from inside the reactor (starting from the 125 moment when the reaction mixture reached the set temperature) and preventing the S/L ratio from 126 declining at every moment below the limiting 1:4 g/mL ratio reported by (Soler et al., 2018; Xing and 127 Zhang, 2013). The remaining liquids were collected once the autoclave cooled down to room temperature. 128 The liquid samples were analyzed by ion chromatography searching for chlorine concentrations. The 129 evolution of the dechlorination efficiencies with time that would be reached for each condition in an 130 identical process with no extractions was determined. This was done taking into account that the concentrations measured needed to be corrected because of the reduction of mass solution with time inside the reaction chamber. Therefore, the corresponding mass of chlorine $m_{Cl,Liq,t}$ (in mg) obtained in the residual liquid at time t (in hours) with the correction mentioned was calculated according to the following formulas:

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$$m_{Cl,Liq,t}(t_{=0}) = [Cl]_{t=0} \cdot m_{Sol}$$

$$m_{\mathit{Cl,Liq},t}(\forall_{t\geq 1}) = [\mathit{Cl}]_t \cdot \left(m_{\mathit{Sol}} - \sum_{t=1}^t m_{\mathit{Ext}_{t-1}}\right)$$

- where $[Cl]_t$ is the concentration of chlorine in the liquid of extractions at time t (in mg/kg), m_{Sol} is the weight of solution (in kg) initially introduced and that remains the same at the end for a process without extractions and m_{Ext,t-1} is the weight of liquid sample (in kg) extracted at time t-1 (in hours).
- The DE, which represents the amount of chlorine content removed from the solid and transferred to the liquid phase at time t (in hours), can be calculated as:

$$DE(\%,t) = \frac{m_{Cl,Liq_t}}{m_{Cl,i}} \cdot 100$$

where $m_{Cl,i}$ is the initial weight of chlorine content in the PVC wire inside the reactor (11285 mg, in this work) and $m_{Cl,liq,t}$ is the weight of chlorine (in mg) in the residual liquid obtained at time t (in hours) in a process without extractions.

Finally, the solid residues (hydrochars) obtained were weighed and their elemental content and net calorific value were determined, after being dried at 105 °C, in order to know their characteristics for a possible later use as fuel for energy outcome and energetic raw material savings.

3. RESULTS AND DISCUSSION

3.1. EFFECT OF K₂CO₃ ADDITIVE ON HTD BEHAVIOR

The HTD process, which provided a partial degradation of the original PVC wire, took place at the following water vapor pressures for each temperature: approximately 1.0 MPa at 175 °C, 1.5 MPa at 200 °C, 2.5 MPa at 225 °C, 3.0 MPa at 235 °C and 3.8 MPa at 250 °C.

Figure 1 shows the DE of PVC after the HTD process for several reaction times between 0 (t₀) and 4 hours (t₄) at various set temperatures using a K₂CO₃ concentration of 0.050 M, except at 175 °C were only t₀ and t₄ were sampled in order to avoid obstructions on the extraction system that occurred possibly because of the ductile nature of this material at that temperature.

Concerning the effect of temperature and residence time, both induced an increase of DE. At the lowest temperature (175 °C), the dechlorination was almost non-existent arriving only to a DE of 4.7%. However, a DE of 21.1% and 45.7% was reached after 4 hours of reaction time at low (200 °C) and mid-range (235 °C) temperatures, respectively. A huge gap between the temperature of 250 °C (high temperature in this study) and the rest of temperatures was observed because of the closeness to the range of temperature where thermal degradation of PVC starts really to take place (Xiu et al., 2014), presenting in addition an inflection point around 1 hour of residence time, when DE quickly increased compared to the other temperatures. Nevertheless, the rate started to decrease particularly after 3 h, revealing that dechlorination completion of PVC was approaching.

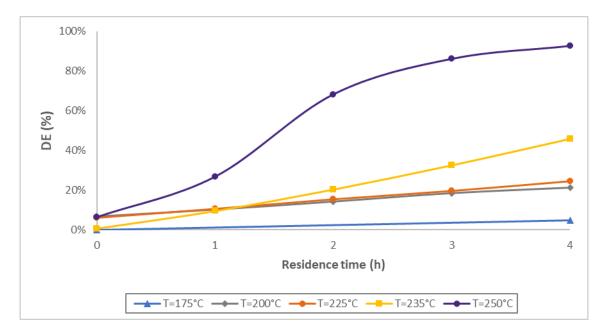


Figure 1. DE obtained with K₂CO₃ at 0.050 M for several temperatures and reaction times (corresponding to a molar anionic content about 1:25 of the total molar chlorine content).

Figure 2 shows the evolution and behavior of DE at a low temperature (T=200 °C is the lowest temperature studied from which no sample extraction obstructions were found) with different additive concentrations (0.025 M, 0.050 M and 0.125 M). It was observed that between t₀ and t₃, DE increased with

a greater pitch as K_2CO_3 concentration increased, but this slope starts decreasing for higher K_2CO_3 concentrations than 0.050 M. After 3 hours of reaction (t_3) we observed the same behavior but less sharply, revealing that DE started to brake its increase and even being able to stop it after 4 hours of reaction with K_2CO_3 at 0.125 M.

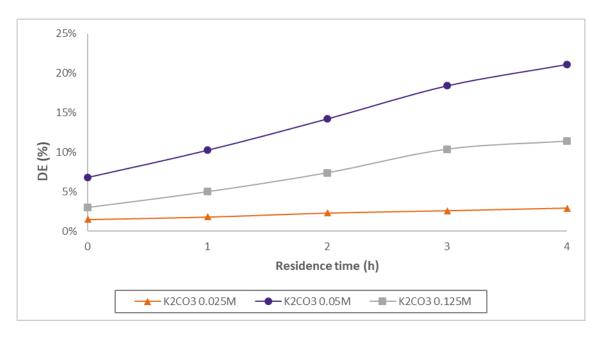
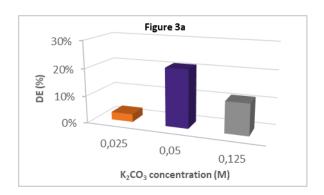


Figure 2. DE of PVC at 200°C for several additive concentrations and reaction times (concentration of 0.025 M, 0.050 M and 0.125 M, corresponding to a molar anionic content about 1:50, 1:25 and 1:10 of the total molar chlorine content, respectively).

That optimum intermediate K₂CO₃ concentration at low temperatures can be clearly visualized in Figure 3a, after a HTD process of 4 hours. So, DE firstly increased and then decreased when K₂CO₃ concentration changed from 0.025 M to 0.125 M, presenting an apparent optimum around 0.050 M. The fact that a maximum was observed at an intermediate concentration has been also reported in the literature for Na₂CO₃ (Zhao et al., 2018) and NaOH (Li et al., 2017). These authors explained this behavior considering that at high dosage, the alkaline additive might block the dechlorination process. Moreover, linked to a longer residence time, this could promote the formation of pores and swelling in solid residue which should facilitate the diffusion of released chlorine in the solid (Hashimoto et al., 2008) but could also be responsible, at high DE, of the reduction of final DE because of a possible re-absorption of water-soluble chlorine (Li et al., 2017). That was the case for the two experiments carried out at 250 °C, where the highest DE was obtained (higher than 90% for both concentrations studied), as shown in Figure 3b. For these experiments, the chlorine content of the liquid collected once the reactor was cooled down to room temperature was lower than that of the liquid sampled at time 4 hours.



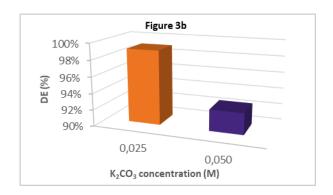


Figure 3a. DE of PVC at 200°C after 4 hours of residence time for several additive concentrations (0.025M, 0.050M and 0.125M, corresponding to a molar anionic content about 1:50, 1:25 and 1:10 of the total molar chlorine content).

Figure 3b. DE of PVC at 250°C after 4 hours of residence time for several additive concentrations (0.025M, 0.050M corresponding to a molar anionic content about 1:50, 1:25 of the total molar chlorine content).

Referring to the evolution and behavior of DE at the highest temperature studied (250 °C) and with additive concentrations of 0.025 M and 0.050 M, two different cases were found, too. For reaction times between 0 (t₀) and 3 hours (t₃), the behavior observed was the same as for low range temperatures, whereas 3 hours onwards (corresponding to a DE around 86%), the DE obtained with 0.025 M was higher than that with 0.050 M, as shown in Figure 4. In fact, the DE reached after 4 hours with K₂CO₃ 0.025 M was 99.1%, while it was only 92.6% with K₂CO₃ 0.050 M.

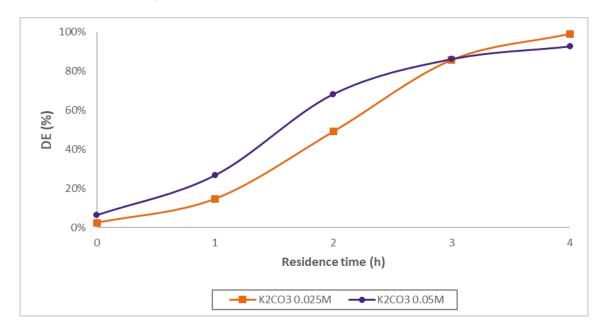


Figure 4. DE of PVC at 250°C.for several reaction times and 0.025 M and 0.050 M concentrations (corresponding to a molar anionic content about 1:50 and 1:25 of the total molar chlorine content, respectively).

After HTD, the initial chlorine content of PVC (22.57 wt. %) is mainly converted into HCl gas (Takeshita et al., 2004) and then this compound dissolves in the liquid as chlorine ions (Yu et al., 2016), thus deriving

in a weight loss of the solid directly related to the chlorine content. In addition, the solid recovery ratio decreases further more due to PVC thermal degradation which is inappreciable at 200 °C but starts to be appreciable at 225 °C, where a 10% of weight loss can be observed besides the 5.5% of weight loss directly linked to chlorine. As shown in Figure 5, after 4 h of residence time at 250 °C with a 0.050 M K₂CO₃ concentration, a total weight loss of 48.8% was obtained of which almost half (20.9% of the total PVC weight loss), was directly linked to the chlorine removal achieved (corresponding to a DE of 92.6%). Thus, by decreasing the temperature needed for a relatively complete dechlorination, the amount of PVC lost by thermal degradation could be reduced, and therefore this would avoid the generation of toxic chlorinated organic compounds and producing an aqueous liquid residue more easily treatable.

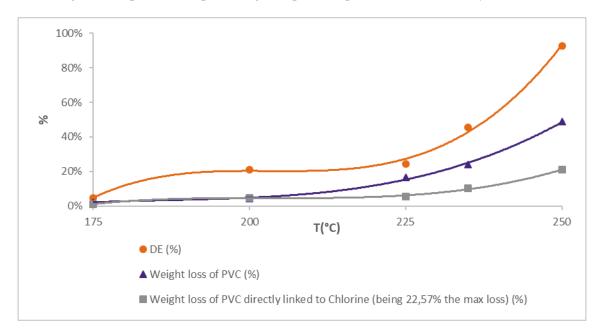


Figure 5. DE, weight loss directly linked to chlorine and total weight loss of PVC with K₂CO₃ 0.050 M after 4 h of residence time for several temperatures

Concerning the solid phase behavior during dechlorination, a linear correlation between the DE reached and the weight loss of PVC (once the solid residue was dried) was found after including every experiment performed in this work (with every temperature and K_2CO_3 concentration employed). Specifically, the results from Figure 6 show that the experimental data fitted quite well to a straight line, with a correlation coefficient of 0.9744.

The plotted values represent the experimental data obtained from every solid residual after 4 hours of residence time and the blue solid line designates the calculated values disposed empirically through the following formula:

$$DE = 1.9223 \cdot (1 - w_{PVC,f}) + 0.0115$$

where DE is the dechlorination efficiency reached at time 4 hours (in %) and w_{PVC,f} corresponds to the remaining weight of PVC (in %) after being properly dried. In this way, this could avoid the need for analysis by ion chromatography when no accuracy is required.

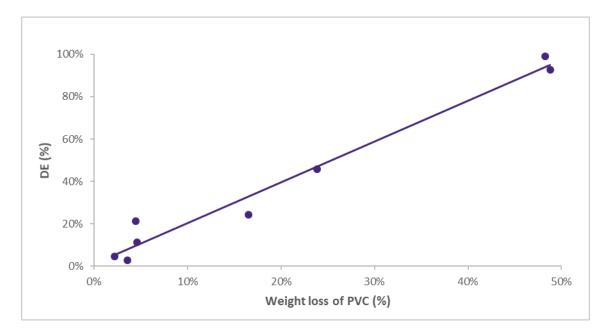


Figure 6. DE as a linear function of PVC weight loss after 4 h of residence time at several temperatures and K₂CO₃ concentrations.

3.2. Features of the HTD solid residue

Table 1 shows the elemental composition of the solid residues obtained after the dechlorination process using 0.050 M K₂CO₃ solution. It can be observed that the hydrogen content in the solid residue increased as the dechlorination temperature rose, while the oxygen content decreased with this increase of temperature and, consequently, the carbon content increased from 39.15 % to 69.95 % for the residues. Sulfur and nitrogen content were significantly low for all the samples analyzed, as they were under the detection limit of the elemental microanalyzer used in all cases.

Table 1. Elemental composition and heat value of solid residues using 0.050 M K₂CO₃ solution.

ID sample	C (wt.	H (wt.	S (wt.	N (wt.	O (wt.	Ash (wt.			NCV
[RT (°C)_C _{solution} (M)]	%)	H/C	O/C	(MJ/kg)					
R175_0.05	39.15	4.82	n.d.	n.d.	20.87	35.17	1.48	0.40	21.61
R200_0.05	40.89	5.05	n.d.	n.d.	20.36	33.71	1.48	0.37	21.71
R225_0.05	45.34	5.58	n.d.	n.d.	22.00	27.08	1.48	0.36	24.02
R235_0.05	49.71	6.56	n.d.	n.d.	18.78	24.95	1.58	0.28	26.27
R250_0.05	69.95	10.38	n.d.	n.d.	5.72	13.95	1.78	0.06	34.51

As mentioned earlier in this study, although the chlorine content in the solid residue decreased with the increase of the operating temperature, the hydrogen content did not decrease accordingly due to hydrolysis reaction. In this case, the hydrolysis reaction of PVC includes the cracking of C-C chain and the replacement of chlorine by the base (Lu et al., 2002). The use of alkaline solvents could support these side reactions.

From the elemental composition data, H/C and O/C atomic ratios were calculated. The results were plotted in a Van Krevelen diagram to illustrate the effect of the hydrothermal carbonization process over solid residues (Figure 7). Both H/C and O/C ratios linearly moved from lower right to upper left when HTD conditions became harder (increase of temperature), which indicates that dehydration and decarboxylation reactions could occur during HTD process. In this case, the evolution of the experiments with K₂CO₃ 0.050 M observed for an increasing temperature treatment, seemed to follow the path of a decarboxylation process (Funke and Ziegler, 2010), which could explain why the atomic O/C ratio decreases instead of increasing when hydrolysis reactions take place.

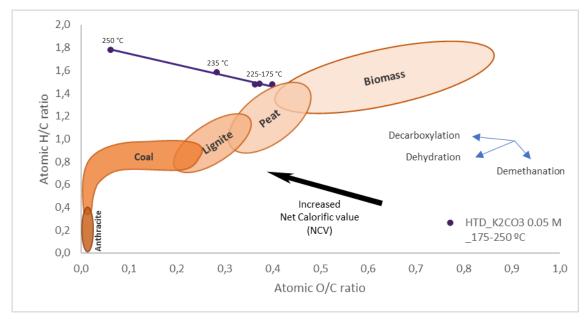


Figure 7. Van Krevelen diagram representing solid residues obtained after HTD treatment using $0.050 \text{ M K}_2\text{CO}_3$ solution and comparison with other fuels.

The net calorific value (NCV) of the solid residues obtained after dechlorination treatment increased with the increase of process temperature (represented as a bolded dark arrow on Figure 7). The minimum value of 21.61 MJ/kg was obtained for the solid residue collected after the dechlorination at 175 °C, and the maximum value of 34.51 MJ/kg for solid residue collected at 250 °C. Soler et al. (2018) concluded that the temperature of the dechlorination process using subcritical water also increased the net calorific value of the solid residues collected, obtaining similar net calorific values for the residues than those of the present work (17.3 MJ/kg at 200 °C and 37.8 MJ/kg at 300 °C, respectively). Thus, these solid residues can be used as high-quality fuel for blast-furnace or a feedstock for producing activated carbon, etc. (Takeshita et al., 2004) because their net calorific value is comparable to those of mineral and vegetal coal (21.3-35.5 MJ/kg and 29.0-35.0 MJ/kg respectively).

4. CONCLUSIONS

In order to investigate the role of potassium carbonate as chemical additive in the HTD process of PVC, several conditions were carried out joggling between the main HTD controlling factors: temperature, residence time and K_2CO_3 concentration.

In general, the dechlorination efficiency increased with the increase of both HTD temperature and residence time, as expected. However, that was not the case for K₂CO₃ concentration.

- 277 At low temperatures around 200 °C, an optimum dechlorination efficiency (21.1%) was found with an
- 278 additive molar anionic content about 1:25 of the total molar chlorine content. That optimal
- additive/chlorine ratio decreased at higher temperatures near 250 °C and above, when reaching a high DE
- value (upon 90%), contributing to reduce by half and maybe more the amount of additive needed for a
- complete dechlorination (1:50 additive/chlorine ratio).
- 282 The low price and abundance of K₂CO₃, plus the generation of an aqueous liquid residue easily treatable
- and a solid residue with high quality fuel features, makes this compound a promising additive to be used
- for PVC dechlorination in HTD treatments.
- 285 In this way, it can be concluded that the HTD treatment with K₂CO₃ can be considered as an
- environmentally friendly pretreatment for PVC waste before its potential use as a fuel, able to reach a
- degree of dechlorination of 99.1% after 4 hours at 250 °C with a solid/liquid ratio of only 1:5 (close to the
- 288 limiting ratio of 1:4, so avoiding as far as possible the use of water in excess) and an additive/chlorine
- 289 ratio of 1:50 (minimizing the amount of additive and operating costs required), thus avoiding the
- 290 employment of metallic catalysts.
- In order to improve its viability and to reduce the time needed for a complete dechlorination in optimal
- 292 conditions using the lowest reaction temperature as was previously mentioned, larger solid/liquid ratios
- should be analyzed further on.

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