**RESPIRATORY INFECTIONS (F ARNOLD, SECTION EDITOR)** 

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### Treating HIV-Positive/Non-AIDS Patients for Community-Acquired Pneumonia with ART

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#### 11 Abstract

Purpose of Review This article reviews the most recent publications on community-acquired pneumonia (CAP) in the HIVinfected population on antiretroviral therapy (ART), focusing on epidemiology, prognostic factors, etiology, and antimicrobial therapy. The data discussed here were mainly obtained from a non-systematic review using Medline and references from relevant articles.

Recent Findings CAP remains a major cause of morbidity and mortality among HIV-infected patients and incurs high health costs despite the introduction of ART.

18 Summary HIV-infected patients are generally known to be more susceptible to bacterial pneumonia. Streptococcus pneumoniae

19 is the most frequently reported pathogen in HIV-infected patients on ART, who present a higher rate of bacteremia than non-HIV-

20 infected patients. Several studies have also examined microbial etiology and prognostic factors of CAP in HIV-infected patients

21 on ART. Despite the high rate of bacterial pneumonia in these patients, mortality rates are not higher than in patients without HIV

22 infection.

23 **Keywords** Community-acquired pneumonia · Treatment · HIV infection

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#### 25 Introduction

According to the World Health Organization (WHO), at the end of 2016, there were approximately 36.7 million people living with human immunodeficiency virus (HIV): 25.6 million in Africa, 3.5 million in Southeast Asia, 3.3 million in the Americas, 2.4 million in Europe, 1.5 million in the Western Pacific, and 360,000 in the Eastern Mediterranean. By mid-

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2017, approximately 20.9 million people living with HIV 32 were receiving active antiretroviral therapy (ART), including 33 seven out of every 10 pregnant women living with HIV [1]. In 342016, 1.8 million people became newly infected with HIV [2]. 35The introduction of active ART has changed the epidemiology 36 of HIV infection and acquired immune deficiency syndrome 37 (AIDS) worldwide [3, 4]. However, community-acquired 38 pneumonia (CAP) remains a frequent cause of morbidity 39 and mortality among HIV-infected patients on ART, and in-40 curs high health costs [4–6]. Some associated factors that con-41 tribute to the high incidence of CAP in HIV-infected patients 42 on ART are active or passive smoking, alcohol abuse, and 43intravenous drug use [7<sup>••</sup>]. 44

Although ART reduces viral replication and systemic in-45flammation and improves immune response, the risk of pneu-46 monia remains high in these patients in part because they 47present altered immunity and their immune activation persists 48even when they receive therapy [6]. The incidence of CAP in 49HIV-infected patients on ART is reported to be between 2.5 50and 8 cases per 1000 patients per year [8]. The risk of CAP in 51HIV-infected patients on ART and the probability of mixed 52infections or pneumonia caused by intracellular pathogens is 53inversely associated with the CD4 cell count  $[6, 7^{\circ\circ}, 9]$ . 54

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Mortality in HIV-infected patients on ART with CAP ranges 55from 6 to 9% [9, 10]; in patients with severe CAP, however, 56it may be above 30% [11, 12]. Streptococcus pneumoniae 5758(pneumococcus) is the main pathogen involved in CAP in both 59HIV-infected patients on ART and the general population [9, 13<sup>••</sup>, 14<sup>•</sup>]. HIV is a known risk factor for invasive pneumo-60 61 coccal disease (IPD); even when receiving ART, HIV-infected 62patients on ART had a 20-fold increased risk of IPD [7", 15, 16]. 63

Vaccination (pneumococcal conjugate [PCV13] and polysaccharide vaccines [PPV23]) represents one of the most important preventive strategies for CAP in HIV-infected patients
on ART [17]. Implementation of programs to help patients
comply with ART and early diagnosis of suspected HIVinfected patients are key measures for improving CAP
management.

# Global Epidemiology of CAP in HIV-InfectedAdult Patients

The introduction of ART changed the global epidemiology of
pulmonary infection in the HIV-infected population [18] by
reducing the incidence of opportunistic pulmonary infections.
In high-income countries, such as Europe and the USA, bacterial pneumonia (especially pneumococcal pneumonia) is the
predominant lung disease in the HIV-infected population on
ART [4, 9].

80 A Brazilian study of CAP in HIV-infected adult patients receiving ART for at least 60 days reported an incidence of 81 pneumonia of 3.07 cases per 100 persons-years. Viral load and 82 83 CD4 cell counts were identified as predictive factors for pneumonia. Uncontrolled HIV infection (detectable viral load) 84 85 doubled the risk for pneumonia, while time-updated increases in CD4 cell counts represented a protective factor [19]. 86 87 Analyzing more than 10,000 patients in 34 countries, the EuroSIDA cohort study reported an incidence of 0.53 cases 88 89 per 100 persons-year and identified low CD4 cell count (200-349 cells/µl), current smoking, and higher viral load as risk 90 factors for pneumonia [20]. Similarly, Mussini et al. [21] re-9192ported an incidence of 0.56 cases of pneumonia per 100 persons-year and found the probabilities of first episode of 93bacterial pneumonia at 3, 5, 10, and 14 years after ART initi-9495ation to be 2%, 2.9%, 4.3%, and 5.7% respectively. The factors associated with the first episode of bacterial pneumonia 96 were low nadir CD4<sup>+</sup>, low current CD4, high CD8<sup>+</sup>, low he-97 moglobin, unfavorable virological outcome, older age, male 98 99 gender, non-Italian nationality, smoking, and longer time to ART initiation. 100

In a 10-year study of survival of HIV-infected patients admitted to the ICU in the UK between 1999 and 2009 [22], it
was observed that respiratory disease remains the main cause
of ICU admission. The proportion of patients on ART prior to

ICU admission increased from 37% in the early period (1999–1052005) to 60% in the late period (2006–2009). In patients new-106ly diagnosed with HIV, the rates of survival to ICU and hos-107pital discharge were 69% and 57% respectively. In patients108with known HIV diagnosis, survival to ICU and hospital dis-109charge was 80% and 74% respectively.110

Another UK study [23] of the implementation of automated 111 HIV testing for pneumonia patients admitted to the ICU re-112ported that prior to this measure, the HIV testing rate in pa-113tients with pneumonia within 2 weeks of admission was 29%. 114After the implementation of automated HIV testing to all ad-115mitted patients, 80% of ICU patients with pneumonia were 116 tested for HIV infection within 48 h and 73% with 24 h. 117Adopting universal testing for HIV in patients with pneumo-118 nia admitted to ICU is mandatory in order to reduce morbidity 119and mortality. 120

### Microbial Etiology of CAP in HIV-Infected 121 Patients 122

Despite advances in microbiological tests, microbial diagnosis 123is achieved in only 40-50% of CAP cases [9, 13"]. The bac-124terial etiology of pneumonia is similar in HIV-infected pa-125tients on ART and in the uninfected population [24, 25]. 126Factors that have an impact on microbial etiology in CAP 127are the characteristics of the population, the geographical area 128(developed or developing countries), and the methodology 129applied to microbial diagnosis [6, 26]. 130

A recent prospective observational study of 331 adult CAP 131cases in HIV-infected patients from Spain [13"] described the 132microbial etiology in this population. The most frequently 133detected microorganisms were S. pneumoniae (30%), 134P. jirovecii (13%), mixed etiology (11%), respiratory viruses 135(5%), Haemophilus influenzae (2%), Staphylococcus aureus 136(2%), and Legionella pneumophila (1%). S. pneumoniae was 137the most frequent microorganism in the group with a CD4+ 138cell count of  $\geq 200$  cell/mm<sup>3</sup> and *P. jirovecii* in the group of 139patients with a CD4+ cell count of < 200 cells/mm<sup>3</sup> and in 140patients with HIV-RNA  $\geq$  200 copies/mL. The authors also 141reported that  $\leq 5$  days of symptoms (OR 2.6, 95% CI 1.5– 1424.4), C-reactive protein level  $\geq$  22 mg/dL (OR 4.3, 95% CI 1432.3-8.2), and hepatitis C-virus co-infection (OR 2.3, 95% CI 1441.4-3.9) were predictors of bacterial CAP, whereas a WBC 145count  $\leq 4000 \times 10^9$  cells/L (OR 3.7, 95% CI 1.2–11.5), LDH 146≥598 U/L (OR 12.9, 95% CI 4.2–39.7), and multilobar infil-147tration (OR 5.8, 95% CI 1.9-19.5) were predictors of 148P. jirovecii. In that study, HIV infection had been diagnosed 149prior to hospital admission in 83% of patients, and 51% of 150patients were on ART; the other 17% were diagnosed with 151HIV infection during the pneumonia episode (Fig. 1). 152

Pneumococcal pneumonia in HIV-infected patients frequently presents with bacteremia and invasive pneumonia 154

Fig. 1 Microbial etiology in HIV-

infected patients with CAP

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[7<sup>••</sup>, 9]. In an earlier Spanish study of the microbial etiology of
CAP in HIV-infected patients, 15% of the study population
presented bacteremia, and pneumococcus was the main pathogen involved [13<sup>••</sup>]. In a study of 129 HIV-infected adult
patients with CAP, the factors that predicted bacteremia were
positive urinary antigen detection and the absence of ART
[27].

Pseudomonas aeruginosa is also a cause of pneumonia in
HIV-infected patients and is reported in fewer than 6% of CAP
cases [13<sup>••</sup>, 28]. Risk factors associated with *P. aeruginosa*CAP in HIV-infected patients are previous antibiotic therapy,
neutropenia, and a low CD4 count [29].

167 Legionella pneumophila accounts for approximately 9% of all adult HIV-associated pneumonias [10]. Other intracellular 168169 pathogens causing CAP in HIV-infected patients include Mycoplasma pneumoniae, Chlamydophila pneumoniae, and 170171Coxiella burnetii [30<sup>•</sup>]. A recent published case-control study that compared the clinical presentation and outcomes (length 172of hospital stay, ICU admission, and 30-day mortality) of 173174L. pneumophila pneumonia in HIV-infected patients (32) cases) and non-HIV-infected patients (96 controls) reported 175that clinical presentation and outcomes in HIV-infected pa-176177tients with Legionella pneumonia did not differ from patients without HIV infection. The authors suggest that Legionella 178infection affected with more frequently patients with correct 179180 immunological status [31].

#### 181 Severity of CAP and Site of Care

To determine the site of care, microbiological testing and thechoice of empiric antibiotic therapy, it is important to assess

the pneumonia severity (Fig. 2). Severity scores for 184 predicting short-term mortality have been developed in order 185to allow more objective decisions regarding hospitalization 186to be taken in the general population [32, 33]. The most 187 frequent scores are the Pneumonia Severity Index (PSI), rec-188 ommended by the IDSA/ATS guidelines, and the CURB-65 189 criteria recommended by the BTS guidelines. However, few 190studies have validated these severity scores in the HIV-191infected population [10, 34]. In 2008, evaluating the PSI 192score in HIV-infected patients with CAP, Curran et al. [10] 193reported that it accurately predicted high-risk pneumonia and 194mortality. The authors suggested that the combination of 195CD4 cell count value and PSI risk class would help to iden-196 tify patients requiring hospitalization. More recently, a study 197 investigating the use of CURB65 in HIV-infected patients 198reported that a higher CURB65 score and a CD4 count lower 199than 200 cells/mL were both associated with worse out-200comes [34]. The authors concluded that the CURB65 score 201plus CD4 cell count could be used in HIV-infected patients 202with CAP. 203

An interesting study assessing the predictive value of ana-204lytical markers of full blood count that can be assessed in the 205emergency department in 160 HIV-infected patients with CAP 206 (49% of them on ART) reported that higher red blood cell 207distribution width (RDW) (OR = 1.2, 95% CI 1.1–1.4, p =2080.013) and a lower number of lymphocytes (OR 2.2, 95%) 209CI 1.1–2.2, p = 0.035) were independent predictors of admis-210sion to ICU in the multivariate analysis. The small number of 211cases analyzed was a limitation, but the combination of sever-212ity scores and laboratory data such as RDW and lymphocytes 213may be a good predictor for prognosis in HIV-infected pa-214tients with CAP [35]. 215

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Fig. 2 Clinical management CAP in HIV-positive/non AIDS patients



#### 216 Microbial Diagnosis of CAP

217 Microbiological diagnosis of CAP continues to be based on 218 respiratory samples or blood culture. The most important ap-219 plication of these methods is in the determination of antibiotic 220 susceptibility patterns that allow the selection of appropriate 221 antimicrobial therapy, which is an important factor for reduc-222 ing mortality [36].

In general, international guidelines recommend standard 223microbiological investigation [37, 38]. In the case of patients 224with low to mild pneumonia, microbiological diagnosis is 225optional. Extensive microbiological diagnosis is recommend-226ed in cases of severe pneumonia or in cases that do not re-227spond to empiric antibiotic therapy. However, if clinically in-228dicated, an extensive microbiological diagnosis should be per-229formed (Fig. 3). 230

Microbiological test	Outpatient	Inpatient low severity	Inpatient non ICU moderate	Inpatients ICU high		
			severity	severity		
Sputum culture						
	None routinely	Х	x	Х		
Pneumococcal urinary antigen test			X	Х		
Legionella urinary antigen test		None routinely	X	Х		
Blood culture			X	Х		
Invasive respiratory tract sample				Х		
culture						
Others				Х		
Specific guidelines recommendations:						
*Outpatients with failure of antibiotic therapy: sputum culture, urinary antigen test for Legionella pneumonia and Streptococcus pneumoniae.						
*Positive urinary antigen test for pneur	nococcus or Legior	nella: sputum and blood	culture for positive urinary antigen t	est for pneumococcus		
and sputum culture for positive urinary antigen test for Legionella.						
*Severe obstructive lung disease: sputum culture						
*Severe obstructive lung disease: sputum culture Cavitary infiltrates: sputum culture ( bacterias, fungal and mycobacterias) and blood culture.						
*Active alcoholism: sputum and blood culture, urinary antigen test for pneumococcus and Legionella.						
* Severe CAP admitted to intensive care unit (ICU): sputum and blood culture, urinary antigen test for pneumococcus and Legionella, tracheal						
aspirate or bronchoalveolar lavage cultu	ire.					
* Epidemiological factor or specific risk factors suggesting pathogen: urinary antigen test for Legionella (Legionnaires disease), influenza test						
during influenza season						

Fig. 3 International guideline recommendation for microbiological diagnostic test in CAP

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Fig. 4 Empiric therapy for CAP in HIV-infected patients on ART

Blood cultures, sputum staining, sputum culture, and urinary antigen testing for *Legionella* and pneumococcus should be carried out in patients with severe CAP. Since influenza viruses present in seasonal epidemics, rapid antigen or direct fluorescent antibody testing is recommended to guide decisions regarding antiviral therapy and may help to reduce the use of antibacterial agents.

In recent years, the development and implementation of 238molecular diagnostic tests for pneumonia have been major 239240advances in the microbiological diagnosis of respiratory pathogens. These technologies achieve rapid results (within 1-2 h) 241and may be useful in the decision management of patients, 242243especially with regard to the early initiation of appropriate antimicrobial therapy, a factor associated with mortality. The 244rapid identification of antibiotic resistant pathogens is also 245246central to timely isolation of patients. However, the main limitations of these technologies are their reduced ability to dif-247ferentiate between colonization and infection and their cost-248249effectiveness [39].

#### 250 Microbiome

A new challenge for microbiologists and clinicians has
arisen with the study of the pulmonary microbiome, which
has changed our current concept of pneumonia [40]. It is

now known that the lungs are a dynamic microbiological254ecosystem, and the new data show us that pneumonia255involves a dysbiosis or alteration of the lung microbiome256[39, 41'].257

An interesting study addressed by Iwai et al. [42"] com-258pared oral and airway microbiota in patients with and with-259out HIV-infection. The authors reported that HIV-infected 260patients with pneumonia have an increased abundance of 261phylogenetically distinct taxa, which included Firmicutes 262and Prevotellaceae compared with the presence of 263Proteobacteria-enriched communities in non-HIV-infected 264patients with pneumonia. A second interest study published 265by the same authors compared the lung microbiome between 266HIV-infected patients from Ugandan with pneumonia to 267pneumonia patients from San Francisco. The authors report-268ed that the microbiome composition of lower airway of HIV-269infected patients with pneumonia in Uganda was significant-270ly different from those in San Francisco. The author sug-271gested that these differences may be due to the clinical status, 272age, and/or pneumonia type across the geographically dis-273tinct cohorts. The profile of microbiome in Uganda patients 274was enriched by Proteobacteria, being Pseudomonas 275aeruginosa the pathogen more frequently detected. On the 276other hand, lung microbiome in patients from San Francisco 277was enriched for Firmicutes and Actinobacteria [43]. 278

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#### **Q3** t1.1 **Table 1** International guidelines for the management and treatment for community-acquired pneumonia

1	Pneumonia severity	Low severity	Moderate severity	High severity
	GNAC guidelines	<ul> <li>Moxifloxacin or levofloxacin: 5 to 7 days</li> <li>Amoxicillin or amoxicillin-clavulanate (7 days) + macrolide (azithromycin 3–5 days or clarithromycin 7 days)</li> <li>Cefditoren is an alternative with is not possible use amoxicillin or quinolones</li> </ul>	<ul> <li>Third-generation (e.g., cefotaxime or ceftriaxone) cephalosporin or</li> <li>Amoxicillin-clavulanate + macrolide (azithromycin or clarithromycin)</li> <li>Moxifloxacin or fluoroquinolone monotherapy</li> </ul>	<ul> <li>Non-antipseudomonal cephalosporin in high dose (ceftriaxone 2 g/24 h, cefotaxime 2 g/6–8 h) + macrolide (azithromycin 500 mg/day or clarithromycin 500 mg/12 h)</li> <li>Alternative: moxifloxacin (400 mg/24 h) or levofloxacin (500 mg/12 h) instead of macrolides</li> </ul>
1	BTS guidelines	<ul> <li>CURB65 scores 0–1</li> <li>Treat with oral amoxicillin or doxycycline or clarithromycin</li> </ul>	<ul> <li>CURB65 score 2</li> <li>Treat with oral/intravenous amoxicillin + clarithromycin or doxycycline, moxifloxacin, or levofloxacin</li> </ul>	<ul> <li>CURB65 scores 3–5</li> <li>Treat with co-amoxiclav plus clarithromycin/benzylpenicillin plus levofloxacin or ciprofloxacin/or cephalosporin plus clarithromycin</li> </ul>
1	ATS/IDSA guidelines	<ul> <li>PSI OR CURB65 score to guide outpatient treatment</li> <li>Treat with macrolide or doxycycline: patients with low risk of drug-resistant pneumococcus</li> <li>Treat with fluoroquinolone or β-lactam + macrolide: patients with high risk of drug-resistant pneumococcus</li> </ul>	<ul> <li>Direct admission to ICU: septic shoc requiring vasopressor support and /α intubation and ventilation.</li> <li>β-Lactam plus a macrolide or fluoroo</li> </ul>	k or respiratory failure requiring quinolone
1	ERS/ESCMID guidelines	<ul> <li>CURB65 to guide outpatient treatment</li> <li>Treatment:</li> <li>*Aminopenicillin ± macrolide</li> <li>*Aminopenicillin/b-lactamase inhibitor ± macrolide</li> <li>*Non-antipseudomonal cephalosporin II or III + macrolide</li> <li>*Cefotaxime or ceftriaxone ± macrolide</li> <li>*Penicillin G ± macrolide</li> </ul>	• ICU admission: acute respiratory failure, severe sepsis, or septic shock an extension of infiltrates/severely decompensated comorbidities • ICU admission: acute respiratory failure, severe sepsis, or septic shock an extension of infiltrates/severely decompensated comorbidities • No risk factors for <i>P. aeruginosa</i> : non-antipseudomonal cephalosporin III non-antipseudomonal cephalosporin III + moxifloxacin or levofloxacin + macrolide wime or ceftriaxone $\pm$ macrolide in G $\pm$ macrolide	

References: [35, 36, 39, 40]

The new investigations about the lung microbiome in HIVinfected patients have provided novel insights and new knowledge about the mechanisms of microbial pathogenesis in pneumonia in this population.

#### 283 Initial Empiric Therapy

When a HIV-infected patient with CAP is admitted to the 284emergency department, clinicians should check the immuno-285**02** 286 logical status (CD4+ cell/count) and compliance with ART. If patients are on ART and have a CD4 lymphocyte count of > 287200 cells/mm<sup>3</sup>, the empiric antibiotic therapy for CAP is sim-288ilar to that administered in the general population [13"] and 289complies with the international guidelines recommendations 290for CAP [37, 38, 44, 45]. All patients will be visited within 29130 days after hospital discharge and followed up on an outpa-292293tient basis (Fig. 4).

Initial empiric therapy for CAP should be guided by the site of care, age, previous use of antibiotics within the previous 90 days, the presence of comorbidities (risk of resistant pathogens), and drug intolerance. 297

Empiric antiviral therapy for influenza may be necessary 298when the clinical and epidemiological criteria are met. Several 299studies reported that 1 to 6% of patients hospitalized with 300 H1N1 were HIV-infected [46, 47]. In an American study, 301 HIV patients with influenza experienced similar rates of ICU 302admission (29% vs. 34%) and mortality (13% vs. 13%) to 303 those of non-HIV patients [46]. Ormsby et al. [48] suggested 304that the 2009 H1N1 infection was more severe in HIV-305infected patients with late and advanced HIV disease than in 306 well-controlled patients on ART. 307

Two groups of antiviral drugs are currently available308for influenza: M2-protein-inhibitors (amantadine and<br/>rimantadine) and neuraminidase inhibitors (zanamivir and<br/>oseltamivir). These antivirals should be administered with-<br/>in 48 h of symptom onset. In cases of severe pneumonia,<br/>medication is recommended even 48 h after symptom<br/>onset.312313314

Empiric antibiotic therapies recommended by international 315 guidelines are summarized in Table 1 [37, 40, 41<sup>•</sup>, 44]. 316

317 Drug interactions between ART and CAP antibiotic therapy represent an important issue for clinicians. However, pen-318 icillins, betalactam inhibitors, and levofloxacin do not interact 319 320 with ART. For its part, moxifloxacin has a low interaction with 321 atazanavir and lopinavir which are protease inhibitors. As 322 moxifloxacin has been shown to prolong the QT interval, 323 clinicians should exercise caution with its use, especially in 324 the case of patients with pre-existing risk factors (bradycardia, long congenital QT, electrolyte imbalances). Caution is also 325 required in these patients regarding the use of azithromycin 326 since it may cause abnormal changes in the electrical activity 327 328 of the heart, and since the interactions between atazanavir, lopinavir and saquinavir (protease inhibitors), and rilpivirine/ 329 FTC/TAF (NNRTIS) are low. 330

Clarithromycin presents more drug interaction with all 331classes of antiretrovirals (protease inhibitors, NNRTIS, entry 332 333 and integrase inhibitors, and nucleoside/tide analogues) because it can lead to a prolonged QT interval. In patients with 334335 long QT syndrome, cardiac disease, or in patients taking other QT-prolonging medications, this can increase the risk of life-336 threatening arrhythmias [49]. So the use of azithromycin is 337 preferred. For more information on drug-drug interaction, 338 339 consult

https://www.hiv-druginteractions.org/checker 340

#### **Conclusions** 341

342 Despite the advent of ART, pneumonia remains a major cause of disease in the HIV-infected population. Pulmonary infec-343 tions are also the main cause of ICU admission. Streptococcus 344 345pneumoniae (pneumococcus) remains the most frequently detected cause of CAP in HIV-positive/non-AIDS on ART. The 346 clinical presentation and management of CAP are similar in 347 HIV-infected patients on ART and in uninfected patients, and 348 349 outcomes in HIV virologically suppressed patients on ART with > 350 CD4+ T cell counts/mm<sup>3</sup> are similar to those in the 350general population. The general recommendation is that these 351patients do not need special treatment, admission, or sites of 352care. Treatment of HIV-positive/non-AIDS patients with CAP 353354is also similar to that in the general population and should follow international guidelines. 355

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#### 362 **Compliance with Ethical Standards**

363 Conflict of Interest Catia Cillóniz, Antonella Ielpo and Antoni Torres no 364conflict of interest.

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Human and Animal Rights and Informed Consent This article does not 365 contain any studies with human or animal subjects performed by any of 366 the authors. 367 References 368 Papers of particular interest, published recently, have been 369 highlighted as: 370 • Of importance

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### AUTHOR QUERIES

### AUTHOR PLEASE ANSWER ALL QUERIES.

- Q1. Please check if the affiliations are presented correctly  $\bigcirc$
- Q2. Please check if the reference citations captured in the sentence that begins with "If patients are on ART and have a CD4 lymphocyte..." are correct  $\bigcirc$
- Q3. Please check if Table 1 is presented/captured correctly

UNCORPECTED