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**Caffeine-induced synaptic potentiation in hippocampal CA2 neurons****Stephen B. Simons, Douglas A. Caruana, Meilan Zhao, and Serena M. Dudek**

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**Abstract**

Caffeine enhances cognition, but even high non-physiological doses have modest effects on synapses. A<sub>1</sub> adenosine receptors (A<sub>1</sub>Rs) are antagonized by caffeine and are most highly enriched in hippocampal CA2, which has not been studied in this context. Here we show that physiological doses of caffeine *in vivo* or A<sub>1</sub>R antagonists *in vitro* induce robust, long-lasting potentiation of synaptic transmission in rat CA2 without effect in other regions of the hippocampus.

Caffeine is a widely-used naturally-occurring cognitive enhancer, yet none of its effects on synaptic transmission described thus far are overt. The effects of caffeine on cognition are mediated primarily by blockade of the A<sub>1</sub> adenosine receptor (A<sub>1</sub>R), and antagonists of A<sub>1</sub>Rs enhance induction and stability of long-term potentiation (LTP) in hippocampal CA1<sup>1,2</sup>. A<sub>1</sub>Rs, though, are most highly enriched in CA2<sup>3</sup>, suggesting a unique role for this region in mediating the effects of caffeine. Schaffer collateral synapses in CA2 differ significantly from others in the hippocampus in that they enigmatically fail to exhibit activity-dependent LTP<sup>4</sup> due largely to higher calcium buffering and extrusion, and presence of RGS14 in CA2 pyramidal neurons<sup>5,6</sup>. We therefore examined whether caffeine differentially influences synaptic strength in CA2. To do this, we prepared hippocampal slices from juvenile rats one hour after they had been orally administered one of three doses of caffeine representing different levels of human use: 2 mg/kg, or two large cups of coffee; 6.5 mg/kg, one highly-caffeinated energy drink; or 20 mg/kg, a dose that exceeds most people's daily intake. Regardless of dose, caffeine induced a persistent and significant increase in synaptic responses in CA2, but not in CA1 (Fig. 1a–f). Additionally, neuronal excitability was unaffected by caffeine (Fig. 1g–i), suggesting that the enhanced transmission in CA2 was due to modifications at synapses. Although previous studies have shown that adenosine antagonists modulate LTP induction<sup>1,7</sup>, this is the first demonstration of long-lasting plasticity induced solely by *in vivo* exposure to caffeine. As important, these data identify synapses in CA2 as a target of caffeine.

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**AUTHOR CONTRIBUTIONS**

SBS and SMD conceived and designed the study. Experiments were conducted and analyzed by SBS, DAC and MZ. SBS, DAC and SMD wrote the manuscript. SMD supervised the project.

To control for possible indirect effects of caffeine, such as those on blood flow, and to further address the mechanisms of the enhancement, we applied caffeine directly to hippocampal slices and found that even a brief exposure induced an immediate and lasting facilitation of CA2 responses (juvenile slices, Fig. 2a; adult slices, Supplementary Fig. 1a,c). Similar results were not observed in CA1. To better assess the persistence of the facilitation, slices were exposed to caffeine for 5-min and then returned to a holding chamber for either one, two or three hours prior to whole-cell recordings. Synaptic responses were enhanced for at least three hours post-treatment (Fig. 2b). We attribute the caffeine-induced potentiation in CA2 to blockade of A<sub>1</sub>Rs because other, more selective, A<sub>1</sub>R antagonists, such as DPCPX (10 nM; juvenile, Fig. 2c; adult, Supplementary Fig. 1b,d), PSB 36 (10 nM; **not shown**) or CPT (100 nM, Fig. 2h), induced a similar potentiation (hereafter termed A<sub>1</sub>R-P). As with caffeine, DPCPX failed to induce lasting effects on transmission in CA1 or CA3 (CA1, black circles, Fig. 2c and Supplementary Fig. 1b,d; CA3, open circles, Supplementary Fig. 1e,f), but both caffeine and CPT can indeed potentiate responses in CA1 if the concentrations applied are significantly higher, as shown previously<sup>8</sup> (caffeine 300 μM, Fig. 2e,g; CPT 1 μM, Fig. 2f,h). These findings suggest that when low concentrations of A<sub>1</sub>R antagonists are used, enhancement is observed only in CA2.

Phosphodiesterases (PDEs) can be inhibited by caffeine, albeit at much higher doses than used here<sup>9</sup>, so we tested whether a broad-spectrum PDE inhibitor would mimic the effects observed with caffeine. It did not, indicating that caffeine's actions were not likely the result of preferential PDE inhibition in CA2 (Supplementary Fig. 2a). A<sub>1</sub>Rs acting presynaptically are similarly unlikely to account for A<sub>1</sub>R-P in CA2: differences in paired-pulse facilitation in CA2 during DPCPX application differed little from effects observed in CA1<sup>2,10</sup> (**not shown**), consistent with the finding that A<sub>1</sub>R immunoreactivity in CA2 does not co-localize with presynaptic markers<sup>3</sup>. Additionally, blockade of GABA<sub>A</sub>-mediated transmission or performing experiments at 32 °C did not impact the magnitude of A<sub>1</sub>R-P induced by caffeine or DPCPX in CA2 (**not shown**).

To investigate further the mechanisms underlying A<sub>1</sub>R-P at CA2 synapses (Fig. 3a), we tested whether A<sub>1</sub>R-P shared properties with tetanus-induced LTP. A<sub>1</sub>R-P did not require synaptic stimulation during drug application, nor was it sensitive to the NMDAR antagonist AP5 or intracellular calcium chelation with BAPTA (Fig. 3b,c). Inhibition of CaMKII, PKC and PKM or PI3 kinase with KN62 (Fig. 3c), Go6983 (500 nM; **not shown**) or Wortmannin (100 nM; **not shown**), respectively, was also ineffective at blocking A<sub>1</sub>R-P. These data indicate that the calcium-dependent mechanisms required for LTP induction in CA1 are either bypassed or are unnecessary in A<sub>1</sub>R-P in CA2. They also argue against a critical role for ryanodine receptor-mediated increases in postsynaptic calcium<sup>11</sup>.

In agreement with A<sub>1</sub>Rs being coupled to the G<sub>i/o</sub> family of G-proteins, which, when activated, inhibit adenylyl cyclases, several variants of adenylyl cyclase are highly enriched in CA2 (Supplementary Fig. 2b). Thus as might be expected, A<sub>1</sub>R-P was blocked by loading CA2 neurons with inhibitors of adenylyl cyclase or PKA (SQ22536 or PKI, respectively; Fig. 3e,f; 10 μM MDL-12,330A or 1 μM KT 5720, respectively, **not shown**). Bath application of U0126, a specific inhibitor for MEK (or the ERK inhibitor FR 180204, 2 μM, **not shown**) blocked the stabilization of A<sub>1</sub>R-P, but not its earliest phase (Fig. 3d),

suggesting a possible role of the Ras-MAPK pathway in A<sub>1</sub>R-P consolidation. Additionally, the DPCPX-mediated potentiation of synaptic responses in CA2 is correlated with an increase in the volume of spines located on the branches of secondary and tertiary apical dendrites in CA2 neurons (Supplementary Fig. 2c–e). Together, these findings indicate that induction of A<sub>1</sub>R-P in CA2 neurons is *postsynaptic* and is mediated through cAMP-dependent activation of PKA. ERK-MAP kinase is likely required for its consolidation.

Adenosine in the brain is thought to rise throughout the day and be cleared during sleep<sup>2</sup>. Our results support the hypothesis that the use of caffeine provides temporary increases in mental acuity by blocking the normal inhibitory effects of adenosine. The role of CA2 in brain function is unknown, but clues may be gleaned by the finding that the number of non-pyramidal (inhibitory) neurons are reduced in the CA2 of patients with schizophrenia<sup>12</sup> and that caffeine has been observed to worsen symptoms of psychosis<sup>13</sup>. Interestingly, knockout of a vasopressin receptor enriched in CA2 produces mice with impairments in social recognition memory<sup>14</sup> and caffeine enhances this kind of memory in rodents<sup>15</sup>. Thus, the robust potentiation induced by caffeine exposure both *in vivo* and *in vitro* strongly implicates A<sub>1</sub>R-P in CA2 as the physiological substrate for the cognitive enhancement provided by caffeine consumption.

## Supplementary Material

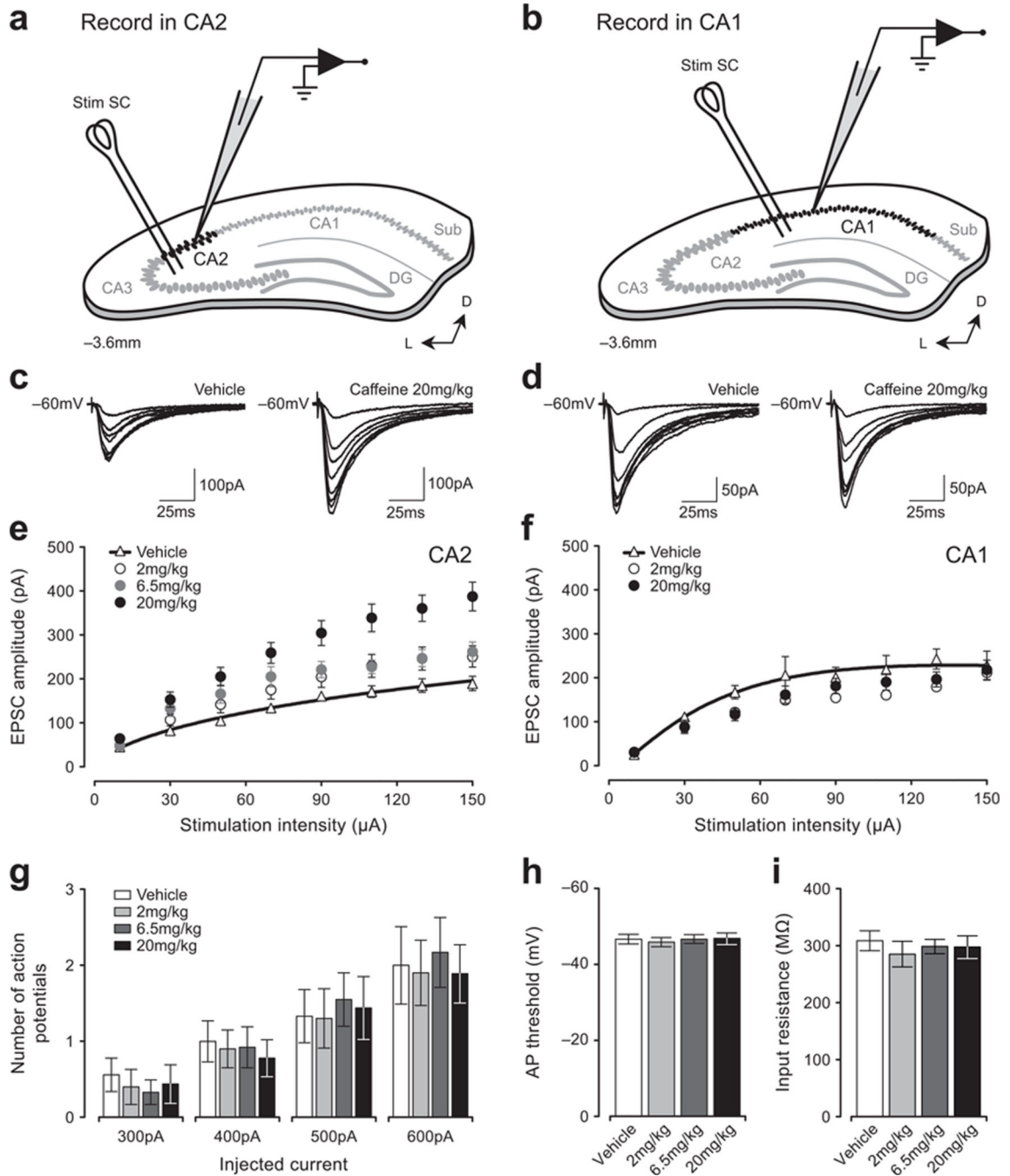
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**Figure 1. Orally-administered caffeine potentiates synaptic responses in CA2**

The placement of stimulating and recording electrodes in coronal slices is shown in the schematic diagrams for areas CA2 (a) and CA1 (b). Sample currents evoked by increasing stimulation intensity from single neurons in slices from rats dosed with 20 mg/kg caffeine or vehicle (CA2, c; CA1, d). EPSCs evoked by a range of stimulation intensities in slices following oral administration of caffeine are enhanced in CA2 relative to vehicle (e), but not in CA1 (f). Note: bars indicate mean ± SEM in this and subsequent figures, and experiments

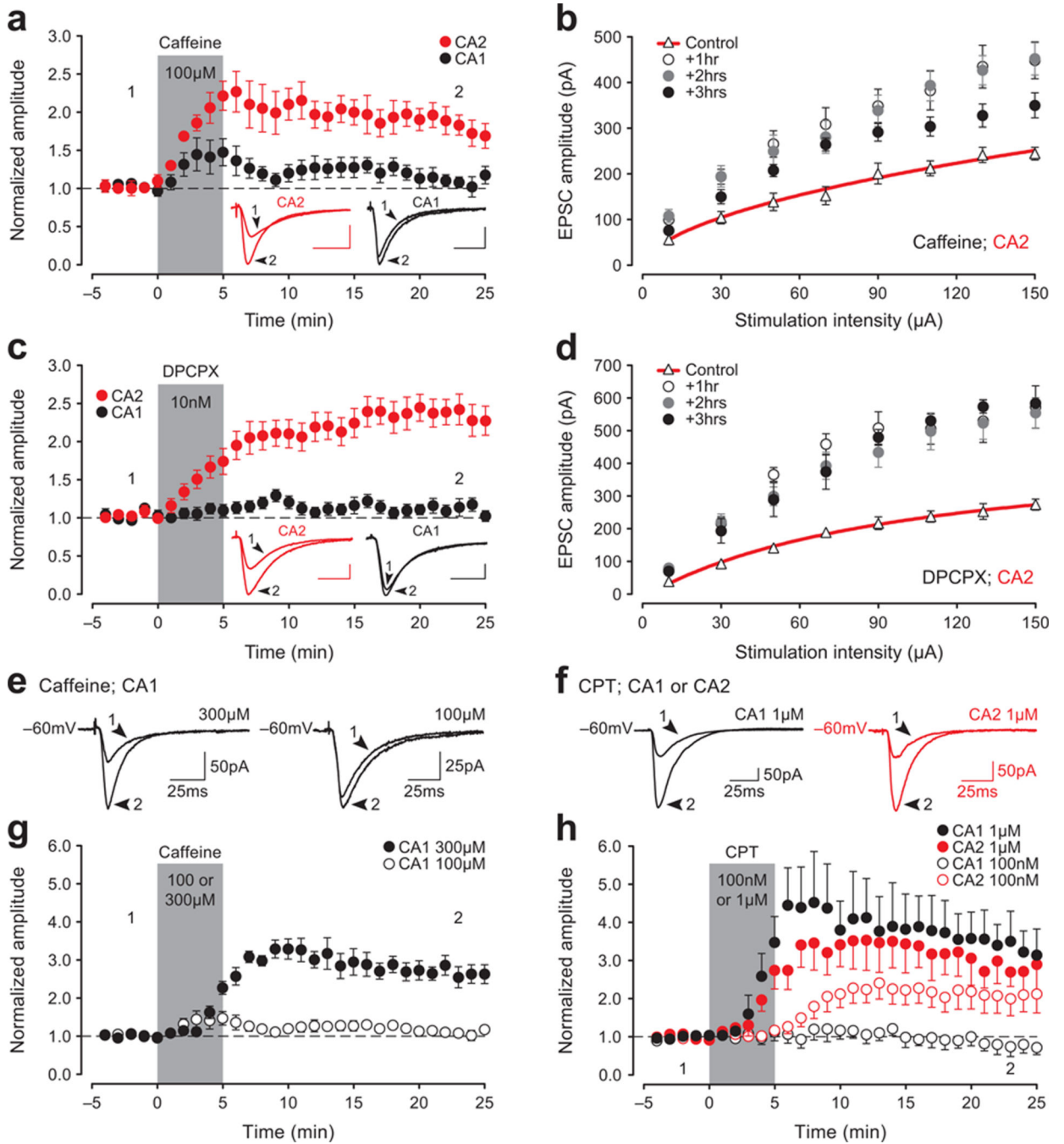
were approved by the NIEHS Animal Care and Use Committee. No change in the intrinsic excitability of CA2 neurons was detected at any dose of caffeine (**g-i**).

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**Figure 2. A<sub>1</sub>R antagonists induce a long-lasting increase in synaptic strength in CA2 neurons *in vitro***  
 Bath-application of caffeine (100  $\mu$ M, **a**) or DPCPX (10 nM, **c**) for 5-min potentiates EPSCs in CA2, but not in CA1. Duration of drug perfusion is indicated by the gray bar in (**a**) and (**c**) and in subsequent panels. Inset traces recorded at the time-points marked by the numbers (calibration; 25 pA, 25 msec). The A<sub>1</sub>R-P in CA2, plotted as a function of stimulation intensity, persists in slices previously exposed to caffeine (**b**) or DPCPX (**d**) for 5-min and then returned to standard ACSF for either one, two or three hours prior to recording. A high

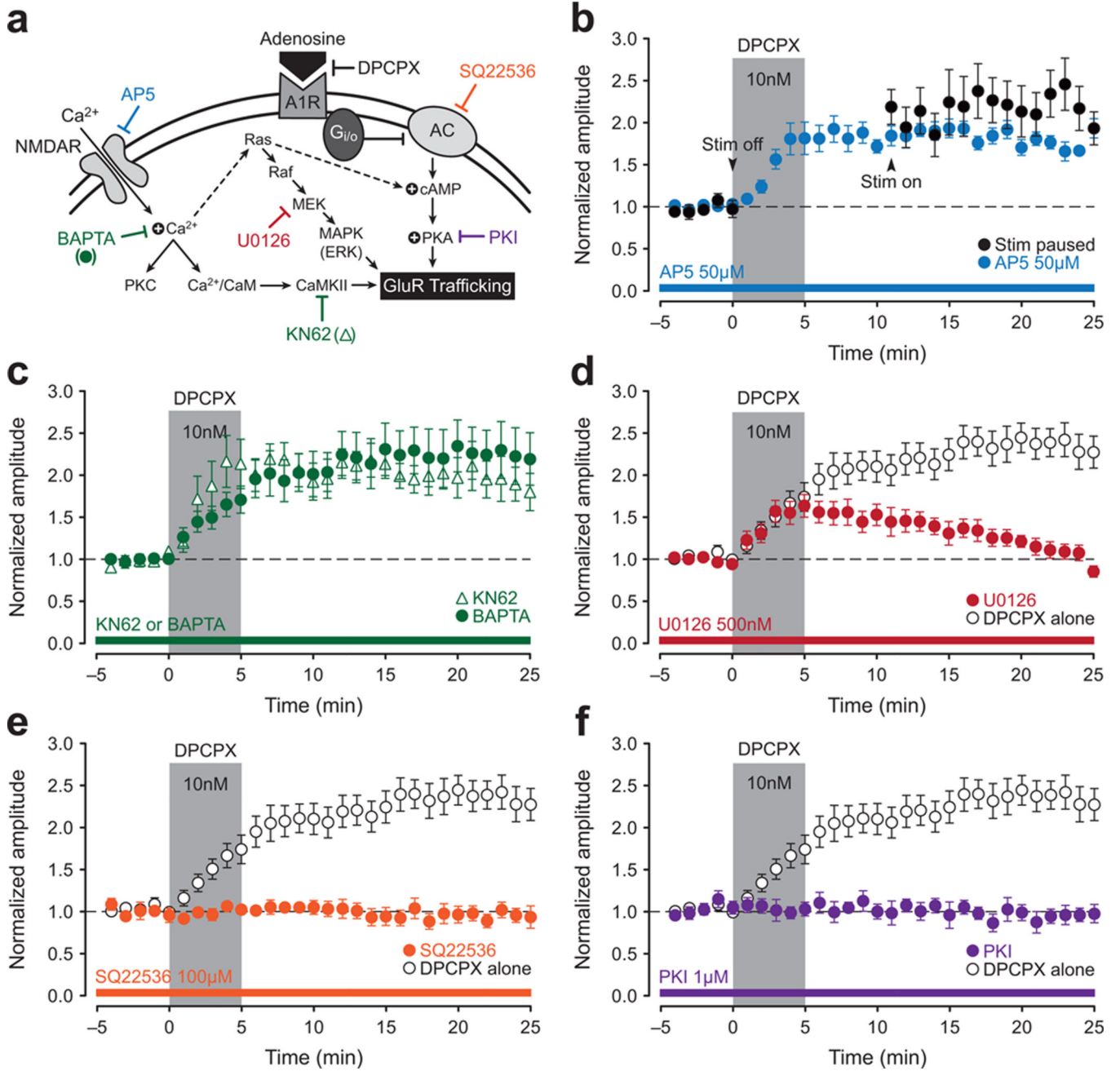
concentration of caffeine potentiates responses in CA1 (300  $\mu$ M, **e** and **g**). Similarly, The selectivity of the A<sub>1</sub>R antagonist CPT to potentiate responses in CA2 and not in CA1 is lost when a higher concentration is applied (100 nM versus 1  $\mu$ M; **f** and **h**).

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**Figure 3. A<sub>1</sub>R-potentiation at CA2 synapses is mediated by cAMP-dependent activation of PKA**  
 A schematic overview of a likely mechanism for A<sub>1</sub>R-P in CA2 (**a**). Attempts to induce A<sub>1</sub>R-P in CA2 neurons were made using DPCPX (10 nM, 5-min, gray bar, **b–f**), and open circles, where present, show the DPCPX response in the absence of any other manipulation. A temporary pause in delivery of test stimulation at the start of DPCPX application (black circles; **b**) or bath application of AP5 (50 μM, blue circles; **b**) fails to block A<sub>1</sub>R-P. Loading cells with BAPTA (10 mM, green circles) or bath application of the CaMKII inhibitor KN62 (10 μM, open triangles) also fails to block A<sub>1</sub>R-P (**c**). Although the MEK inhibitor U0126 did not block induction of A<sub>1</sub>R-P (500 nM, red circles; **d**), it did block its consolidation.



Loading cells with the adenylyl cyclase inhibitor SQ22536 (100  $\mu$ M, orange circles; **e**) or the PKA inhibitor PKI (1  $\mu$ M, purple circles; **f**) blocks A<sub>1</sub>R-P in CA2.

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