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1 **Specific weight of barley grains is determined by traits affecting packing efficiency and by grain**
2 **density**

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21 **Abstract**

22 **Background**

23 Specific weight influences the market value of barley grain, and in malting barley a high specific
24 weight is thought to result in an increased malt output. However, links between specific weight and
25 malt output have not yet been established. We hypothesised that packing efficiency and grain
26 density will each contribute to specific weight. These traits would have implications for the malting
27 process, highlighting the need for understanding what grain traits contribute to specific weight,
28 before we can predict its effect on malting performance and efficiency.

29 **Results**

30 We report that specific weight is a product of grain density and packing efficiency, in our study
31 proportionally contributing 48.5% and 36.5% to variation in specific weight, respectively. We report
32 that packing efficiency is determined by grain dimensions, and is negatively correlated with the sum
33 of grain length and depth. Therefore shorter, thinner grains can result in an increased specific
34 weight, which is likely to be detrimental for malting performance. We also demonstrate that among
35 cultivars which have grains with contrasting size traits, the same specific weight can be achieved
36 through differing grain densities.

37 **Conclusions**

38 Our results demonstrate that both grain dimensions and grain density must be considered jointly to
39 optimise specific weight, and that the relationship between specific weight and malting performance
40 and efficiency needs to be carefully considered with respect to how a high specific weight is
41 achieved.

42

43 **Keywords:** *Hordeum vulgare*, Grain quality, Malting barley, Grain dimensions

44 **1. Introduction**

45 Specific weight (SW) is a measure of the weight of grain per unit volume and is used as a grain
46 quality criterion for major cereals and oilseeds. Confusion can arise from the use of inconsistent
47 terminology surrounding this criterion in the literature. ‘Test weight’, ‘grain density’, ‘bushel weight’,
48 ‘hectolitre mass’, ‘hectolitre weight’ and ‘bulk density’ have all been used to describe this criterion.
49 The traditional industry standard for measuring SW is using a chondrometer, which consists of two
50 stacked cylinders separated by a sliding gate. The upper cylinder is filled with grain, the gate
51 withdrawn and re-inserted once the grain has fallen. The grain in the lower cylinder of known
52 volume is weighed and used to calculate SW in kilograms per hectolitre (kg hl⁻¹). Additional industry
53 standards used to measure SW include a Dickey-John analyser or prediction using near-infrared
54 spectroscopy ¹.

55 In barley (*Hordeum vulgare*) SW influences the price of grain for both the feed and malting
56 industries. Malting is the process of controlled grain germination in order to make the starch stored
57 within the endosperm available for later enzymatic hydrolysis to maltose ². In the UK, spring barley is
58 the main crop used for malting, as the grains have a high proportion of starchy endosperm and are
59 therefore ideal for securing a good malt yield. The malt industry demands grain of a high SW, as it is
60 assumed that a bulk of grain with high SW will contain a high proportion of endosperm biomass ³.
61 Grain ‘plumpness’ is one trait that is believed to positively contribute to SW and also benefit the
62 malting process resulting in good extract levels due to higher levels of starch in the endosperm^{4,5}.
63 However a recent study showed that there is no significant correlation between starch content and
64 SW in barley grains ⁶. Grain bulks with a low SW incur penalties from industry and in extreme cases
65 can even lead to rejections at a maltings. However correlations between barley SW and hot water
66 extract, the main predictor of malt yield used in industry, have yet to be shown.

67 The very definition of SW indicates that it will be influenced by grain weight, and how well
68 the grains pack into a volume. Indeed, dividing a sample’s specific weight by grain density has
69 previously been used to estimate the packing efficiency (PE) in cereal grains ^{7,8}. This relationship

70 between SW, grain density and PE has not been applied to barley grains to the same extent as it has
71 to oats and wheat. Determining that this relationship holds true among cultivars of spring barley
72 would allow the examination of how each of the components, PE and density, contribute to SW
73 differences among genotypes. This would be valuable information for barley breeders as SW is an
74 important breeding target for malting barley. The ability to define SW by these two components will
75 allow each one to be investigated individually not only to enhance our understanding of the
76 formation of SW, but to assess their impact on malting performance.

77 It is clear there is a knowledge gap in identifying what attributes of spring barley grains influence
78 SW. This needs to be addressed prior to investigating the effect of grain attributes on the malting
79 process and product. In this study, we measured grain dimensions, weight, volume and two-
80 dimensional area of 100 individual grains of nine cultivars to develop a detailed grain-level
81 understanding of cultivars with a range of SWs. Grain density and PE were calculated and grain size
82 manipulated to determine how these contribute to the SW of barley grains. Correlations among all
83 measured grain traits were also examined to understand links among traits and between them and
84 SW.

85

86 **2. Methods**

87 ***2.1 Grain samples***

88 Nine spring barley malting cultivars from the Agriculture and Horticulture Development Board's
89 (AHDB's) Recommended List (RL) 2016/17 were used in this study: KWS Irina, Octavia, Odyssey,
90 Laureate, Origin, Concerto, Olympus, Propino and Sienna (<https://cereals.ahdb.org.uk>). These
91 cultivars were chosen due to their phenotypic range in SW and varying levels of screenings,
92 according to AHDB's RL 2016/17. The purpose of including multiple cultivars with a range of SWs was
93 to extend the phenotypic variation in SW and its components, in order to better characterise
94 relationships among SW and grain characteristics. All grain samples were grown in Docking, Norfolk
95 under natural rainfall conditions during the 2016 season for the AHDB's RL crop trials. Prior to

96 analysis, samples were cleaned by shaking over a 2.50 mm slotted sieve, with 19.05 mm long slots
97 for 20 seconds. Grain retained by the sieve was used for analysis.

98 **2.2 Specific weight**

99 To achieve a detailed grain-level analysis of how differently shaped grains pack within a volume, and
100 influence SW, it is necessary to have a scaled-down procedure for measuring SW which corresponds
101 to the industry standard measurements, similar to that described by Gooding *et al.* (2003) ⁹.
102 Therefore, an accurate scaled-down method for measuring SW was developed in this study. Grain
103 was poured from a height of 2 cm into a 25 ml measuring cylinder until it overflowed and superficial
104 grains were removed by striking across the top of the cylinder with a straight edge. The total volume
105 of the cylinder (39.16 ml) was obtained by weighing the amount of water required to fill the cylinder
106 (Kern analytical balance PLJ 750-3N, accuracy ± 0.01 g). The weight of grain in the cylinder was
107 divided by cylinder volume and multiplied by 100 to give an estimate of SW in kg hl^{-1} . The results
108 from this scaled-down method were highly correlated with an industry standard measurement of
109 SW in a trial ($r^2 = 0.84$, $P < 0.001$). This technique of estimating SW is similar to that described by
110 Gooding *et al.* (2003) ⁹ and Walker and Panozzo (2011) ¹⁰.

111 **2.3 Representative sampling**

112 Grain samples (350 g) were sieved sequentially into the following size fractions using a stack of
113 slotted 3.25, 3.00, 2.75 mm sieves, with 19.05 mm long slots: large (>3.25 mm), medium (3.25 to
114 3.00 mm), small (3.00 to 2.75 mm) and very small (<2.75 mm). The weight of grain in each fraction
115 was recorded (Kern analytical balance PLJ 3500-2NM, accuracy ± 0.01 g) and where the fraction size
116 was greater than 25 g SW was measured in triplicate using the scaled-down SW measurement
117 described above. A 100 grain sample was taken from each fraction, and the mean grain weight from
118 each fraction was used to estimate the total grain number in each size fraction and in the whole
119 sample. A number of grains proportional to the total number of grains from each fraction were

120 chosen at random, to give a 100-grain sample that was representative of the grain size distribution
121 within the larger bulk sample.

122 ***2.4 Grain size parameters and image analysis***

123 On the representatively sampled 100 grains from each of the nine cultivars the following
124 measurements were taken. The grain dimensions length (L), width (W) and depth (D) were measured
125 (see Supplementary Fig. S1) using a hand-held digital caliper (accuracy ± 0.01 mm). These
126 dimensions were used to calculate grain sphericity which was calculated as the cube root of $L \times W \times$
127 D divided by $L^{1.1}$. This value was multiplied by 100 to give a percentage, with a value of 100%
128 representing a sphere. The two-dimensional (2-D) area of grains was measured using ImageJ
129 (National Institutes of Health, USA, <https://imagej.nih.gov/ij/>). All of these measures describe grain
130 “size”, which in this study refers solely to physical dimensions of the grain, whereas “weight” refers
131 to mass. Individual grain area density is a measure of the mass per unit area (mg mm^{-2}), a
132 combination of size and weight, and was calculated by dividing grain weight by 2-D area.

133 ***2.5 Packing efficiency and grain density***

134 Grain volume and density were measured on the same 100-grains as above. Grain volume was
135 measured by water displacement, with the weight of water displaced being equal to the volume of
136 the grain (Archimedes’ Principle). Grains were individually weighed using a Mettler AE 160 electronic
137 balance (Mettler, Toledo, accuracy ± 0.0001 g) then submerged using a 0.5 mm x 25 mm hypodermic
138 needle (BD Microlance) into a beaker of water using the same balance. Grain density (g cm^{-3}) was
139 calculated by dividing the grain mass by grain volume. Packing efficiency was defined as the
140 proportion of space occupied by the grain in the 25 ml cylinder above, and was calculated by
141 multiplying mean grain volume by the mean grain number in the cylinder, divided by the cylinder
142 volume. Mean grain number was calculated from three cylinder re-fills.

143

144 ***2.6 Data analysis***

145 All data analysis was carried out using R software version 3.4.1¹². An analysis of variance ($\alpha = 0.05$)
146 was done to determine whether the choice of different cultivars was successful in achieving
147 significant differences in measured grain traits, thereby extending the phenotypic range within the
148 analysed samples. Cultivar was found to be a significant factor in all grain traits apart from volume.
149 Post-hoc Tukey's Honestly Significant Difference ($\alpha = 0.05$) tests were done to determine which
150 cultivars were significantly different from each other to gain insight into whether differences in grain
151 traits among samples corresponded with sample differences in SW. For sequential sieve analysis the
152 effect of fraction size and cultivar among SW samples was analysed using a multiple linear model.
153 Calculation of 95% confidence intervals using the 'emmeans' package¹³ was used to compare the
154 SW between grain fractions both within and between cultivars. The effect of the product of PE and
155 grain density on SW among the three replicated samples measured was analysed using a simple
156 linear regression. For this model the y-intercept was removed as it can be assumed that when SW is
157 equal to zero the product of PE and grain density is also zero. A two-way ANOVA was done with SW
158 as the dependent variable and PE and grain density as the two independent variables. To determine
159 the relative contribution of both PE and density to the variance in SW the proportion of the sums of
160 squares (SS) for each variable to total SS was calculated. Principal component analysis (PCA) was
161 carried out using mean individual grain dimensions (L, W and D), plots of scores were created to
162 investigate grain shape among the nine cultivars. The associations among all measured traits
163 describing both individual grains and grain bulks were studied using a correlation matrix of Pearson
164 correlation coefficients, which was produced using the 'corrplot' package¹⁴.

165

166 **3. Results**

167 ***3.1 Grain traits***

168 Grain traits were measured on 100 representatively sampled grains from each cultivar; the mean
169 values and standard error of the mean for the 100-grain samples are presented in Table 1 for each
170 cultivar as 'Individual Grain Analyses'. Significant differences in traits among grain samples were

171 achieved in this case through use of cultivar selection within this 2016/17 field trial, providing a wide
172 range of grain phenotypes with which to investigate performance of grain bulks. The 'Bulk Analysis'
173 traits were measured on the larger bulk sample of each cultivar as supplied from AHDB, and the
174 mean and standard deviation of these technical repeat measurements are presented in Table 1 to
175 give a measure of variation within the bulk for these measurements. Cultivar samples are listed in
176 order of descending bulk SW, from Sienna with the highest (69.40 kg hl⁻¹) to KWS Irina with the
177 lowest (64.53 kg hl⁻¹). Among the grains sampled, Concerto had the lowest grain weight (47.49 mg)
178 which was significantly lower than grains of Sienna ($P < 0.05$), Propino ($P < 0.05$) and Laureate ($P <$
179 0.001). Concerto also had the shortest (7.79 mm) and least wide (3.80 mm) grains, which were
180 significantly shorter than grains from all other cultivars and less wide than Origin ($P < 0.0001$),
181 Olympus ($P < 0.0001$), Laureate ($P < 0.01$) and Propino ($P < 0.05$). Grain volume and 2-D area were
182 lowest in Concerto (37.85 mm³, 21.71 mm²), although its volume was not significantly smaller than
183 any other cultivars its 2-D area was significantly smaller than Laureate ($P < 0.0001$), KWS Irina ($P <$
184 0.0001), Origin ($P < 0.001$) and Odyssey ($P < 0.05$). Sphericity was significantly higher in Concerto
185 (57.62%) than all other cultivars. In terms of bulk analyses Concerto had the highest number of
186 grains in the measuring cylinder (555.5). Laureate had the highest grain weight (52.45 mg) which was
187 significantly higher than Octavia ($P < 0.05$), Olympus ($P < 0.01$) and Concerto ($P < 0.001$). Laureate
188 also had the highest volume and density (40.37 mm³, 1.31 g cm⁻³), although its volume was not
189 significantly larger than any other cultivars its density was greater than Octavia ($P < 0.01$), Concerto
190 ($P < 0.01$), KWS Irina ($P < 0.001$) and Odyssey ($P < 0.0001$). In terms of bulk analyses Laureate had the
191 lowest mean grain number in the cylinder (492.2) and packing efficiency (50.7%), compared to all
192 other cultivars. Despite grains within the Laureate and Concerto samples having significantly
193 different dimensions and weight, the SWs of 66.33 kg hl⁻¹ and 66.84 kg hl⁻¹ of each cultivar sample
194 respectively, are very similar to one another. These results demonstrate that among grain bulks, the
195 same SW can be achieved through different combinations of grain traits.

196 ***3.2 The effect of grain fraction size on specific weight***

197 To examine how grain size correlates with specific weight among bulks, samples from each of the
198 cultivars were sequentially sieved into different grain size fractions, creating a total of 25 samples
199 with different grain sizes. Not all fractions were represented within each cultivar since not enough
200 grain was retained of every size fraction for a SW estimate to be measured. Analysis of the SW of
201 grain size fractions produced indicated significant differences between the largest and smallest
202 fractions present for five out of the nine cultivar bulks (Fig. 1), these were: KWS Irina, Octavia,
203 Laureate, Concerto and Propino. For these five cultivars, the smallest size fraction yielded grain with
204 a higher SW than the largest fraction size. KWS Irina, Origin and Olympus only had the three smallest
205 size fractions, whereas Octavia, Laureate, Concerto and Propino had the three largest size fractions.
206 Both Odyssey and Sienna only had enough grain for estimates to be made on the middle two size
207 fractions. This demonstrates that within these bulk samples, these two cultivars have a more
208 uniform grain size than the other seven when grown in the conditions of this trial. This may vary
209 when cultivars are grown under different environmental conditions during another season or
210 location. Specific weight was not consistent for size fractions among samples from different
211 cultivars. For example, the medium size fraction for Sienna which had a SW of 70.1 kg hl^{-1} , which was
212 significantly greater than the medium size fractions of all other cultivars. These data demonstrate
213 that grain size alone is insufficient to determine SW among bulks, and that density and packing
214 efficiency of the grains must be taken into account.

215 ***3.3 Defining specific weight by its components: packing efficiency and grain density***

216 Regression analysis showed a strong positive correlation between the product of PE and grain
217 density with SW ($r^2 = 0.66$, $P < 0.01$) among the 100-grain samples from each cultivar. The output of
218 the linear regression is shown by the solid black line and the equation $\text{SW} = 0.988 \times (\text{PE} \times \text{grain}$
219 $\text{density})$ (Fig. 2). Seven of the nine cultivars appear close to the $y=x$ line, shown by the dashed line,
220 with four of these almost exactly on this line. This demonstrates that for the vast majority of cultivar
221 samples used, the procedure used to estimate SW through PE and grain density was successful. Two
222 cultivar samples however, KWS Irina and Sienna, are beneath the linear regression due to $\text{PE} \times \text{grain}$

223 density being larger than the SW. Through examining the mean grain weight of the 100-grain sample
224 and mean weight of grains in the cylinder KWS Irina and Sienna had the greatest differences of +1.11
225 mg and +1.30 mg respectively (see Supplementary Table S1). An ANOVA showed that both PE and
226 grain density had a statistically significant effect on SW at $P < 0.01$ (Table 2). Further analysis using
227 the sum of squares to calculate the proportion of variation contributed by each component showed
228 that PE contributed to 36.5% of the variability in SW, and grain density contributed 48.5%. The
229 contribution of the residual error was small at 15.0% (Table 2).

230 ***3.4 The influence of grain dimensions on packing efficiency***

231 Grain shape was further investigated through principal component analysis (PCA). The loadings and
232 variance explained of the principal components (PCs) are reported in Supplementary Table S2.
233 Principal component 1 (PC1) contributed 91.8% of the total variance, cultivars with a high score in
234 PC1 tended to have shorter grains. Principal component 2 (PC2) contributed 5.3% to the total
235 variance, cultivars with a high PC2 score have deeper grains. The relationship between grain length,
236 width and depth and the PCs are shown in figure 3. A principal component biplot of PC1 against PC2
237 (Fig. 3) shows cultivars with longer grains have a lower PC1 score such as Laureate, Odyssey, KWS
238 Irina and Origin. As cultivars increase in length from Concerto with the shortest grain length to Origin
239 with the longest grain length, they have a higher PC1 score. Further separation occurs by PC2,
240 cultivars with deep grains have a more positive PC2 such as Octavia, Laureate, Propino and Odyssey.
241 Again, this analysis shows the difference in grain size between Laureate and Concerto, which occupy
242 opposite sides of the plot. The plot separates cultivars according to their grain dimensions, which
243 also corresponds to a diagonal gradient of grain number in the cylinder, because a greater number
244 of small grains pack into the cylinder. Therefore Laureate is positioned in the far top left as it has the
245 largest grains and hence fewest in the cylinder (492.2). The next diagonal portion of the plot is
246 occupied by Origin, KWS Irina, Odyssey Octavia and Propino with similar grain numbers of 527.2,
247 520.3, 522.5, 522.3 and 523.0 respectively. The final diagonal portion in the bottom right of the plot
248 has cultivars with the highest grain numbers Sienna (544.7), Olympus (549.5) and Concerto (555.5).

249 Grain number is one aspect of PE, therefore grain dimensions may help to partly explain PE but not
250 the full extent of this component of SW.

251 ***3.5 Combined correlation analysis on grain parameters***

252 The significance of correlations between measured traits was analysed, and a matrix of Pearson
253 correlation coefficients (r) is given in Table 3. The significant correlation between sphericity and
254 grain 2-D area ($r = -0.77, P < 0.01$) highlights that more spherically shaped grains have a reduced 2-D
255 surface area. The negative correlation between grain number and length, ($r = -0.77, P < 0.05$)
256 confirms the discovery in the previous PCA that fewer longer grains pack into a cylinder. This can
257 also be related to grain volume, since grain number and volume negatively correlate ($r = -0.72, P <$
258 0.05). The negative correlation between the grain dimensions, length and depth with grain number
259 was further explored in supplementary Fig. S2. The sum of grain length and depth correlates very
260 strongly with grain number ($r = 0.90, P < 0.01$) (see Supplementary Fig. S2A) and with PE ($r = 0.75, P$
261 < 0.05) (see Supplementary Fig. S2B). The sum of grain depth and length in this analysis
262 strengthened the correlation between the dimensions and both grain number and PE than just
263 length alone. Another strong positive correlation was observed between area density and SW ($r =$
264 $0.81, P < 0.05$). Area density summarises the weight of grain in a given area and SW is a measure of
265 the weight of grain in a given volume, therefore the strong correlation between these variables was
266 expected.

267 **4. Discussion**

268 How grain dimensions, weight, volume and PEs combine to determine the final SW within a grain
269 bulk, or among cultivars, has previously not been established. Since SW is embedded in global grain
270 trade as a measure of grain quality, an enhanced understanding of these traits is essential. Previous
271 assumptions made that SW is a good predictor for the nutritional value of wheat have been
272 upturned¹⁵. Therefore assumptions made about the value of SW for malting need to be
273 investigated to ensure it is an effective measure of grain quality.

274 Studies on other cereal species which use SW as a measure of grain quality have used the
275 equation $SW = PE \times \text{grain density}$ ^{8,16}. The current work demonstrated that this is also the case for
276 barley grain, where the linear regression nearly mirrored the $y=x$ line. The knowledge that barley SW
277 can be defined by PE and grain density is an integral step towards enhancing our understanding of
278 SW. Analysis of the relative contribution of each of these components to SW highlights that the
279 contribution of one component does not vastly outweigh the other. Therefore both PE and grain
280 density are the two defining contributors to SW and the grain traits that affect both of these
281 components need to be analysed in turn.

282 In this study, grain traits of individual barley grains and also bulk level grain samples were
283 analysed to investigate SW as a measure of grain quality. We have shown that observing just one
284 grain trait or bulk character is not enough to understand SW. However, combining variables leads to
285 a better understanding of SW and its components. This is highlighted by the non-significant
286 relationships between: grain weight and SW; grain 2-D area and SW; and grain density and SW.
287 However, for the combined variable 'area density', a strong and significant correlation is observed
288 with SW. Therefore grain shape does not solely determine SW, nor does grain weight or density.
289 Specific weight is influenced by a combination of all of the grain traits examined in this study. A
290 multivariate approach therefore needs to be considered when analysing SW and its components.

291 The influence of grain dimensions on PE was investigated further through PCA. Here we
292 demonstrated that grain dimensions length and depth strongly influence the number of grains in a
293 vessel. The negative relationship between PE and these two grain dimensions is of borderline
294 significance, which isn't improved by including grain width in the analysis. This highlights that grain
295 dimensions as studied here in three planes (L, W and D) can't fully describe PE. What can be
296 concluded is that cultivars with shorter, less deep grains pack more into a vessel and tend to have an
297 increased PE, but other factors such as grain morphology could influence PE. In oat grains, Doehlert
298 *et al.*, (2006)¹⁷ observed a strong negative correlation between length and SW this could partly be
299 explained by the relationship between grain length and number in this study. Future grain

300 morphological analysis will combine grain size and shape. The analysis of grain shape will involve
301 quantifying shape, describing grains as more rounded or pointed through morphometrics.

302 Clarke *et al.* (2004)¹⁸ reported a positive correlation between wheat grain size and SW,
303 although in their study, “grain size” was a principal component vector encompassing grain mass
304 alongside grain dimensions, area and perimeter. In our study, a higher grain size fraction negatively
305 influenced SW in five out of the nine cultivars (Fig. 1), demonstrating that the effect of grain size
306 fraction on SW is not uniform across cultivars. In the remaining four cultivars no significant effects
307 on SW between the smallest and largest grain size fractions were found. The difference in results
308 between these two studies is likely to be a result of the different methods of grain size manipulation.
309 Clarke *et al.* (2004)¹⁸ manipulated grain size by irrigation and nitrogen application, but we achieved
310 this through sequential sieving. Sequential sieving influences size and may result in grain fractions of
311 differing densities, but the effect of this is not the same as the environmental effect. Therefore it can
312 be suggested that not only grain size influences SW, but also the environmental conditions or
313 genotype leading to this size change. Other factors such as weathering, awn retention, grain shape
314 and grain density affect SW, further demonstrating the potential environmental and genotypic
315 influences on this trait¹⁹.

316 When the same technique of sequential sieving was used with oat grains Doehlert *et al.*,
317 (2006)²⁰ found that smaller grain fractions resulted in increased SW, as found in the current study in
318 five out of the nine cultivars. Doehlert *et al.* (2006)²⁰ observed grand means of size fraction SWs of
319 numerous grain samples, so whether this effect is consistent among all cultivars used in their study is
320 unknown. Grain size is a trait that has been suggested to affect malting and the results of this study
321 provide a link between a factor that influences SW and also impacts upon malting^{21,22}. In particular
322 homogeneity of grain size is thought to be beneficial for malting to ensure uniform rates of water
323 uptake by the grain, and consequential germination and endosperm modification.

324 Since PE is a major component of SW it is important to consider the potential influence of
325 this on the malting process. It can be assumed that grain bulks with different PEs have an altered

326 pore space distribution within the bulk of grains. Neethirajan *et al.* (2006)²³ showed that different
327 pore space distributions within the bulk formed by cereals lead to an altered air flow through the
328 bulk, in both the vertical and horizontal directions. This is likely to be extremely relevant to malting,
329 where the first step in the process is steeping, which involves the soaking of grains in water. The
330 barley grains imbibe water in this step increasing in moisture content and germination is initiated.
331 Since PE will affect pore distribution, this could in turn influence the flow of water between grains.
332 This will affect whether all grains in the bulk reach sufficient moisture content to germinate,
333 impacting on steeping duration and efficiency. The same principles can be applied to kilning when
334 hot air is passed through the malt, an irregular pore space distribution could lead to an unevenly
335 kilned malt product.

336 The second major component of SW is grain density, the determinants of this were not
337 investigated in this study. However, it is hypothesised that grain density, unlike PE is primarily
338 influenced by grain composition and internal structure rather than morphological features of the
339 grain. Aspects of grain composition that could influence density are: starch content, protein content,
340 starch granule ratios, ratios of amylose and amylopectin, ratios of the different grain tissues and the
341 internal packing of these within the grain. If grain density is positively influenced by a compositional
342 aspect which is beneficial for malt quality, for example a high starch content, this would reinforce
343 the value of SW as a grain quality measure. However, if grain density is increased by factors
344 associated with a poor malt, for example a high starch content this would bring the value of this
345 under question.

346 **5. Conclusions**

347 This study uncovers the contribution of the components PE and grain density to SW, and examines
348 grain traits influencing these. When breeders target SW, this needs to be done so through the
349 correct balance of density and PE relevant to the end-use. Knowledge of this is important so the
350 malting industry can understand exactly what the effect of differing SWs and their components are
351 likely to have upon the malting process. The work gives insight as to why grain bulks with similar SWs

352 and hence similar market value grain could lead to different malting efficiencies, via altered PEs due
 353 to grain size. Therefore SW alone may not be a comprehensive standalone measure of grain quality
 354 for the malting industry.

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358

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Table 1Measured^a grain traits for the nine spring barley cultivars^b examined.

	Cultivar								
	Sienna	Propino	Olympus	Concerto	Origin	Laureate	Odyssey	Octavia	KWS Irina
Individual Grain Analysis									
Weight (mg)	51.20 ± 0.79 ab	50.97 ± 0.79 ab	48.32 ± 0.75 bc	47.49 ± 0.78 c	49.36 ± 0.72 abc	52.45 ± 0.81 a	50.01 ± 0.73 abc	48.61 ± 0.85 bc	49.67 ± 0.75 abc
Depth (mm)	2.98 ± 0.02 bc	3.06 ± 0.02 a	2.91 ± 0.02 d	3.03 ± 0.02 ab	2.88 ± 0.02 d	3.03 ± 0.02 ab	2.95 ± 0.02 cd	3.01 ± 0.02 abc	2.91 ± 0.01 d
Length (mm)	8.12 ± 0.06 d	8.22 ± 0.06 cd	8.22 ± 0.06 bcd	7.79 ± 0.07 e	8.56 ± 0.06 a	8.53 ± 0.06 a	8.48 ± 0.05 ab	8.33 ± 0.07 abcd	8.45 ± 0.06 abc
Width (mm)	3.82 ± 0.02 cd	3.90 ± 0.02 abc	3.94 ± 0.02 a	3.80 ± 0.02 d	3.95 ± 0.02 a	3.93 ± 0.02 ab	3.85 ± 0.02 bcd	3.80 ± 0.02 d	3.89 ± 0.02 abcd
Volume (mm ³)	39.61 ± 0.65 a	39.61 ± 0.63 a	38.01 ± 0.62 a	37.85 ± 0.70 a	38.71 ± 0.57 a	40.37 ± 0.70 a	40.17 ± 0.57 a	38.39 ± 0.66 a	39.59 ± 0.66 a
Density (g cm ⁻³)	1.30 ± 0.01 ab	1.29 ± 0.01 abc	1.27 ± 0.01 abcd	1.26 ± 0.01 cd	1.28 ± 0.01 abcd	1.31 ± 0.01 a	1.25 ± 0.01 d	1.27 ± 0.01 bcd	1.26 ± 0.01 cd
2-D Area (mm ²)	22.26 ± 0.25 cd	22.53 ± 0.26 bcd	22.72 ± 0.27 bcd	21.71 ± 0.28 d	23.37 ± 0.24 ab	24.02 ± 0.25 a	22.94 ± 0.22 abc	22.38 ± 0.26 bcd	23.88 ± 0.26 a
Sphericity (%)	55.77 ± 0.20 bc	56.14 ± 0.21 b	55.44 ± 0.22 bcd	57.62 ± 0.27 a	53.81 ± 0.24 e	54.77 ± 0.20 def	54.07 ± 0.21 ef	54.97 ± 0.28 cde	54.16 ± 0.19 f
Area Density (mg mm ⁻²)	2.29 ± 0.02 a	2.25 ± 0.02 ab	2.12 ± 0.02 cd	2.18 ± 0.02 bc	2.11 ± 0.02 cd	2.17 ± 0.02 c	2.17 ± 0.02 c	2.16 ± 0.02 c	2.07 ± 0.02 d
Bulk analysis									
Grain Number	544.67 ± 2.08	523.00 ± 4.36	549.50 ± 3.46	555.50 ± 5.63	527.17 ± 3.33	492.17 ± 4.16	522.50 ± 8.79	522.33 ± 0.58	520.33 ± 4.54
PE (%)	55.09 ± 0.21	52.90 ± 0.44	53.34 ± 0.34	53.69 ± 0.54	52.11 ± 0.33	50.73 ± 0.43	53.60 ± 0.90	51.20 ± 0.06	52.60 ± 0.46
SW (kg hl ⁻¹)	69.40 ± 0.38	68.05 ± 0.25	66.95 ± 0.28	66.84 ± 0.38	66.53 ± 0.37	66.33 ± 0.69	65.93 ± 0.24	65.53 ± 0.55	64.53 ± 0.67

^aIndividual grain analysis values are expressed as mean ± standard error of the mean and bulk analyses expressed as ± standard deviation.^bCultivars which do not share a letter for each of the measured traits are significantly different from one another.

Table 2

ANOVA table for specific weight showing the proportional contribution^a of packing efficiency and density to SW.

Source of variation	df	Sum of squares	Mean square	<i>F</i> -value	<i>P</i> -value	Contribution (%)
Packing efficiency	1	5.85	5.85	14.60	0.0088	36.48
Density	1	7.78	7.78	19.42	0.0045	48.52
Residuals	6	2.40	0.40			14.99
Total	8	16.03				

^aCalculated as a percentage of the sum of squares for each variable

Table 3

Correlation matrix^a of Pearson correlation coefficients (r) for grain dimensions, shape parameters and components of SW.

	Weight (mg)	Depth (mm)	Length (mm)	Width (mm)	Volume (mm ³)	Density (g cm ⁻³)	2-D Area (mm ²)	Sphericity (%)	Grain Number	Area Density (mg mm ⁻²)	SW (kg hl ⁻¹)	PE (%)
Weight (mg)	1	0.26	0.46	0.28	0.89**	-	0.51	-0.20	-0.69	-	0.30	-0.16
Depth (mm)		1	-0.47	-0.47	0.13	0.36	-0.41	-	-0.15	0.68	0.31	-0.11
Length (mm)			1	0.58	0.56	0.02	0.85***	-	-0.77*	-0.44	-0.46	-0.57
Width (mm)				1	0.16	0.31	0.68*	-	-0.35	-0.44	-0.06	-0.36
Volume (mm ³)					1	-	0.58	-0.45	-0.72*	0.27	0.02	-
Density (g cm ⁻³)						1	0.16	0.17	-0.28	0.50	0.59	-0.15
2-D Area (mm ²)							1	-0.77**	-0.77*	-	-0.50	-0.57
Sphericity (%)								1	0.59	0.52	0.50	0.40
Grain Number									1	0.13	0.40	-
Area Density (mg mm ⁻²)										1	0.81*	0.45
SW (kg hl ⁻¹)											1	0.59
PE (%)												1

^aThe symbol "-" indicates that one variable was used to calculate the other, therefore no correlation was calculated.

****, ***, ** were significant at $P < 0.001$, $P < 0.01$ and $P < 0.05$ respectively.

415 **Figure captions**

416 **Fig. 1.** Specific weight measured on four size fractions of nine spring barley cultivars. Size fractions
417 are the following: very small (2.50 to 2.75 mm), small (2.75 to 3.00 mm), medium (3.00 to 3.25 mm)
418 and large (> 3.25 mm). Cultivars are ordered from the lowest mean SW from KWS Irina to the
419 highest mean SW, Sienna. When fractions share a letter the SWs are not significantly different from
420 one another and when a letter is not shared the fractions are significantly different from one
421 another, $P < 0.05$. Bars are the standard error of the means.

422

423 **Fig. 2.** The SW of nine barley cultivars plotted against the product of PE and grain density. The linear
424 regression is shown by the solid black line, whereas the dashed line indicates the $y=x$ relationship.

425

426 **Fig. 3.** Biplot of the principal component analysis of grain shape parameters of nine spring malting
427 barley cultivars. Grain dimensions used in this analysis: L, length; W, width and D, depth. Arrows
428 originating at the centre of the biplot represent the loadings of grain dimensions, with the length of
429 these arrows corresponding to the relative importance of each dimension in each axis. Example
430 grain shapes (not to scale) are shown on the plot to indicate which grain shapes have high or low
431 scores in each of the principal components. Loadings for each grain shape parameter are included in
432 a table beneath the biplot.

433

434 **Supplementary Fig. S1.** Anatomical diagram of a barley grain, indicating the orientation of
435 dimensions measured in this study.

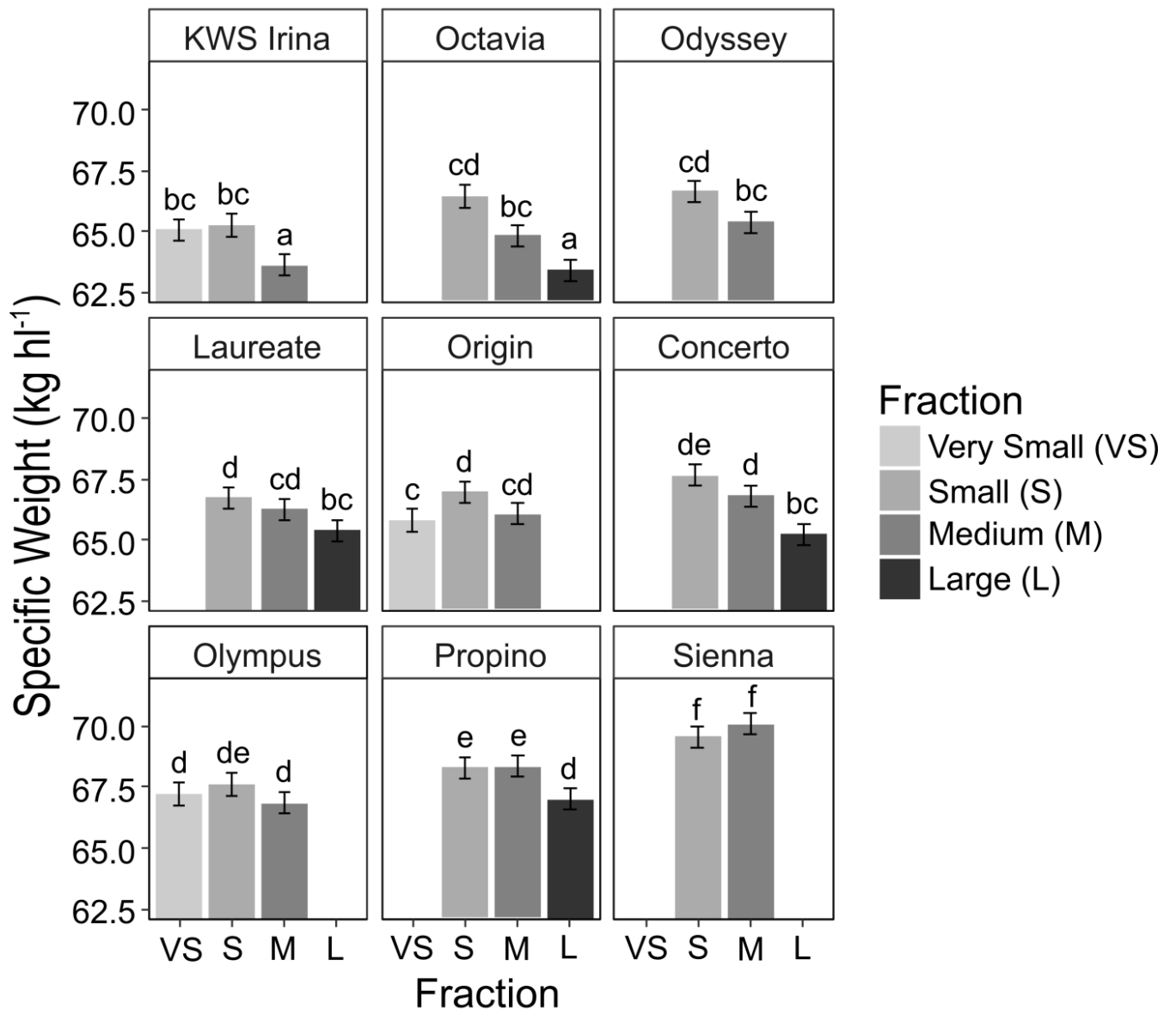
436

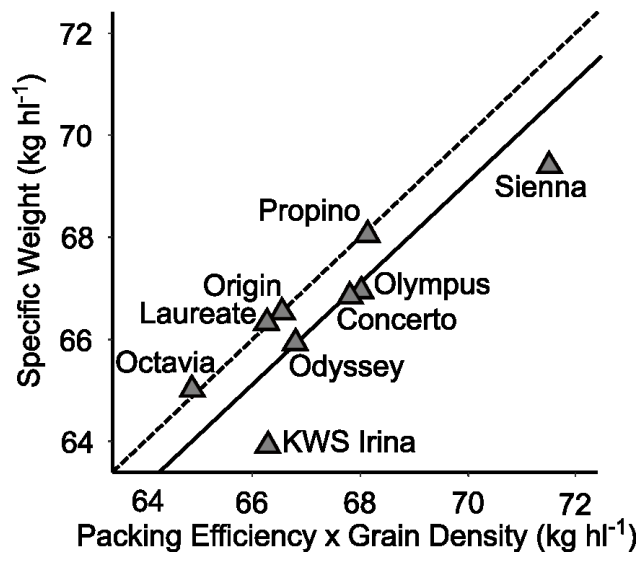
437 **Supplementary Fig. S2.** Linear regression plots of the sum of grain length and depth correlated with
438 (A) grain number ($r^2 = 0.81$, $P < 0.01$) and (B) packing efficiency ($r^2 = 0.44$, $P = 0.05$), for the nine
439 cultivars.

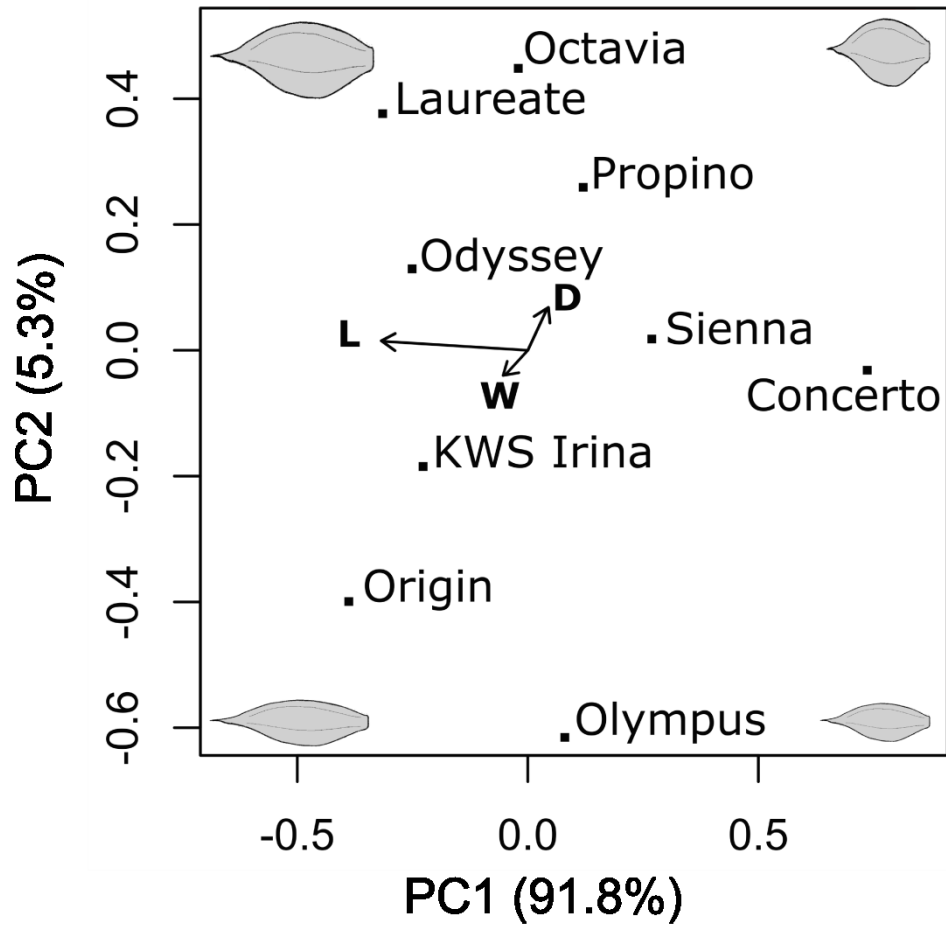
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Dimension	PC1	PC2
Length (L)	-0.981	0.185
Depth (D)	0.130	0.185
Width (W)	-0.146	-0.485