

1 **Sub-lethal exposure to lead is associated with heightened aggression in**
2 **an urban songbird**

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24

25 **Abstract**

26 Many urban areas have elevated soil lead concentrations due to prior large-scale use of lead in
27 products such as paint and automobile gasoline. This presents a potential problem for the
28 growing numbers of wildlife living in urbanized areas as lead exposure is known to affect
29 multiple physiological systems, including the nervous system, in vertebrate species. In
30 humans and laboratory animals, low-level lead exposure is associated with neurological
31 impairment, but less is known about how lead may affect the behavior of urban wildlife. We
32 focused on the Northern Mockingbird *Mimus polyglottos*, a common, omnivorous North
33 American songbird, to gain insights into how lead may affect the physiology and behavior of
34 urban wildlife. We predicted that birds living in neighborhoods with high soil lead
35 concentrations would (a) exhibit elevated lead concentrations in their blood and feathers, (b)
36 exhibit lower body condition, (c) exhibit less diverse and consistent vocal repertoires, and (d)
37 behave more aggressively during simulated conspecific territorial intrusions compared to
38 birds living in neighborhoods with lower soil lead concentrations. Controlling for other habitat
39 differences, we found that birds from areas of high soil lead had elevated lead concentrations
40 in blood and feathers, but found no differences in body condition or vocal repertoires.
41 However, birds from high lead areas responded more aggressively during simulated
42 intrusions. These findings indicate that sub-lethal lead exposure may be common among
43 wildlife living in urban areas, and that this exposure is associated with increased aggression.
44 Better understanding of the extent of the relationship between lead exposure and aggression

45 and the consequences this could have for survival and reproduction of wild animals are clear
46 priorities for future work in this and other urban ecosystems.

47

48 **Highlights**

- 49 • Sub-lethal lead exposure of urban wildlife is widespread, but effects are unknown.
- 50 • We evaluated exposure and behavioral correlates in the Northern Mockingbird.
- 51 • Birds in high lead areas had higher blood and feather lead and were more aggressive.
- 52 • No differences were observed in vocal repertoire or body condition.
- 53 • Behavioral consequences of lead exposure to urban wildlife deserve more attention.

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57 **1. Introduction**

58 Lead (Pb) pollution remains a critical global issue despite recent curtailments on its use
59 (World Health Organization, 2010). On account of the large-scale previous use of lead in
60 gasoline, house paint, and other products, lead remains present in large quantities in many
61 urban settings (Gulson et al. 1995, Callender and Metre 1997). The accidental ingestion and
62 inhalation of lead in urban environments (Kennette et al. 2002, Beyer et al. 2013) can result in
63 urban populations – humans and animals alike – with physiological lead concentrations
64 several times higher than background levels (Roux and Marra 2007, Grue et al. 1986,
65 Scheifler et al. 2006, Cai and Calisi 2016). Urban areas are home to a substantial and growing
66 amount of the world's wildlife (Marzluff et al. 2008), whose conservation and evolutionary
67 ecology is a burgeoning field of inquiry (Magle et al. 2012, Lowry et al. 2013). For these

68 reasons, understanding patterns of lead uptake in urban wildlife and the consequences of
69 exposure for their health represents an important goal for the scientific community.

70

71 Lead exposure and associated physiological and behavioral effects have been well
72 studied in humans and laboratory animals (Needleman 2004, Smith et al. 2012) and lessons
73 from this research can inform expectations for how lead exposure might impact urban
74 wildlife. High doses of lead are often fatal (Doomeya et al. 2011, Grant 2008) and although
75 lead shot is now banned for waterfowl hunting in the United States (Cruz-Martinez et al.
76 2012), millions of birds are believed to continue to die annually of acute lead poisoning
77 though ingestion of lead-based ammunition and fishing weights (De Francisco et al. 2003).
78 However, most cases of lead exposure among urban wildlife and pets are likely to be sub-
79 lethal, and little is currently known about the impacts of sub-lethal lead exposure on wildlife,
80 in particular the effects on behavior (Hunt 2012). This represents an important gap in our
81 knowledge because, given the quantity of lead in the environment worldwide, several million
82 wild animals and pets are likely exposed to sub-lethal levels of lead (Mateo-Tomás et al.
83 2016, Meyer et al. 2008, Omelchenko 2011).

84

85 Sub-lethal lead exposure may have irreversible effects on physiology, cognition, and
86 behavior (Schwartz 1994, Burger 1990, Burger and Gochfeld 2005). Lead exposure in
87 humans and laboratory animals, especially during early development, impacts the central
88 nervous system (Flora et al. 2012, Stewart et al. 2006) and can result in mental retardation,
89 hyperactivity, reduced IQ and difficulty with emotional processing (Chen et al. 2012, Sanders
90 et al. 2009, Banks et al. 1997). Among humans, sub-lethal exposure to lead has also been

91 linked to increased aggression, including violent crime (Stretesky and Lynch 2004, Mielke et
92 al. 2011, Stretesky and Lynch 2001), and a number of studies have reported significant
93 positive correlations between lead exposure and crime rates or teenage delinquency
94 irrespective of many social and economic factors (Needleman et al. 2002, Stretesky and
95 Lynch 2001). Similarly, increased aggression has also been reported from controlled exposure
96 trials on laboratory animals (Delville 1999, Cervante et al. 2012, Burright et al. 1989).
97 Delville et al. (1999) found lead exposed male Golden Hamsters (*Mesocricetus auratus*)
98 showed more territorial aggression (i.e. were more likely to bite and attack intruders), a
99 similar result to Holloway and Thor (1986) who found lead increased play-fighting among
100 juvenile rats. Other studies have found either a reduction in aggression with lead intake or no
101 significant change, which suggests behavioral changes may be dose and/or species dependent,
102 and that additional research on these relationships would be useful (Abu-Taweel et al 2006).

103

104 Among wild populations, Janssens et al. (2003b) reported a mild effect of a
105 combination of heavy metals, including lead, on territorial aggression in the Great Tit (*Parus*
106 *major*) living close to a metallurgic smelter. More recently, Grunst et al. (2018) explored
107 personality traits of Great Tits living along the same heavy metal gradient and found
108 consistent differences in exploratory behavior, but no apparent effect on territorial aggression.
109 Relatively more work has been done on physiological correlates of lead exposure among
110 wildlife, with impacts that include compromised immune function (Vermeulen et al. 2015,
111 Snoeijs et al. 2004, Vallverdú-Coll et al. 2015), reduced body condition (Hohman et al. 1990,
112 Roux and Marra 2007, Janssens et al. 2003a) and impaired brain growth (Douglas-Stroebel et
113 al. 2004). Addressing the gap in our collective knowledge about the impact of lead on animals

114 in the wild is important because behavioral and/or physiological impairment could have
115 substantial consequences for individual fitness and reproduction and, by extension, population
116 health (Lowry et al. 2013, Alberti 2015). It also raises the possibility that exposure to lead
117 could be an under-appreciated driver of observed behavioral differences between urban and
118 rural individuals of a given species (see Lowry et al. 2013, Carrete and Tella 2011).

119

120 In the current study, we examined relationships between lead exposure and
121 physiological and behavioral correlates in the Northern Mockingbird (*Mimus polyglottos*;
122 hereafter 'mockingbird'), a widespread and iconic North American songbird (Stracey and
123 Robinson 2012, Kaufman 2001). Mockingbirds serve as a useful model for our study because
124 the species is common in urban and suburban areas, including across gradients of lead soil
125 concentrations in many cities (Blair 1996, Derrickson and Breitwisch 1992). Moreover,
126 mockingbirds exhibit life-long learning of song, and repertoire complexity and consistency is
127 associated with reproductive success (Botero et al. 2009, Gammon and Altizer 2011, Howard
128 1974, Yasukawa et al. 1980). In other songbird species, song is a useful index of
129 developmental stress (Peters et al. 2014, Nowicki et al 2002) and song complexity and
130 consistency indicative of greater cognitive ability (Boogert et al. 2008, Boogert et al. 2011,
131 Farrell et al. 2012). Additionally, the mockingbird's territorial behavior and restricted home
132 range during the breeding season (Logan 1987, Derrickson and Breitwisch 1992) allow
133 linkages between lead exposure and local soil concentrations. Breeding mockingbirds also
134 exhibit conspicuous and easily observed aggressive behavior around the nest, allowing
135 researchers to quantify intensity of aggressive response via experimental presentation of a
136 standardized stimulus. Our hypothesis was that mockingbirds exhibit behavioral and

137 physiological correlates with lead exposure similar to those reported in human and laboratory
138 animal studies. We predicted that birds living in areas with high vs. low soil lead
139 concentrations will exhibit (a) higher lead concentrations in their blood and feathers (b)
140 diminished body condition, (c) less diverse and stereotyped vocal repertoires (an index of
141 cognitive ability) and (d) behave more aggressively during simulated conspecific territorial
142 intrusions.
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145

146 **2. Methods**

147

148 *2.1. Study site.*

149 Three residential neighborhoods in New Orleans that vary in soil lead concentration (Mielke
150 et al. 2016) and habitat “greenness”, based on GIS analysis of satellite imagery (below), were
151 selected for our study. We compared differences between neighborhoods rather than
152 individual territories because lead concentrations are heterogeneous at fine spatial scales
153 (Mielke et al. 2016) such as a mockingbird’s territory; access needed to adequately sample
154 representative lead concentrations across a given territory was often impossible due to private
155 property concerns; and we were sometimes unsure as to the precise boundaries of a given
156 bird's territory.

157

158 *2.2. Soil lead estimation*

159 Based on a published census tract-based survey of soil lead across New Orleans, we
160 selected three neighborhoods that differ in lead content (Mielke et al. 2016, Laidlaw et al.
161 2017). Among these, Lakeshore (center: 30° 02’3441” N, -90°09’3301” W; 2-49 mg/kg) has
162 average lead concentrations at least one order of magnitude lower than either Marigny (center:
163 29° 96’5263” N -90° 05’6102” W; 300-500 mg/kg) or Uptown (center: 29° 94’0932” N – 90°
164 12’6437” W; 300-500 mg/kg), which in turn have similar lead levels (Mielke et al. 2016;
165 Figure 1). To corroborate the results of these earlier studies, we tested soil lead levels in situ
166 in each neighborhood using a portable energy dispersive X-ray fluorescence analyzer

167 (EDXRF). Sixteen mockingbird territories were tested in both Marigny and Lakeshore
168 neighborhoods, and 24 in the Uptown neighborhood. Seven measurements were collected at
169 least 5 meters apart at each territory and an average per territory was taken from these
170 measurements.

171

172

173 2.3. Greenness estimation

174 Breeding territories in rural Texas (the closest geographic proximity with documented
175 breeding territory sizes) ranged from 0.66 to 2.53 ha (Howard 1974), which also corresponds
176 with our estimates of territory size for a sub-set of banded individuals in New Orleans (J.
177 Karubian unpublished). Thus, we approximated habitat cover for a mockingbird's territory
178 from a radius of 45 and 90 m away from the point of the aggression assay. We used April
179 2015 National Agricultural Imagery Program (NAIP) imagery obtained from EarthExplorer
180 (earthexplorer.usgs.gov). NAIP imagery is downloaded and georeferenced with a Ground
181 Sample Distance of 1 m. Six separate NAIP images (adjacent files captured on the same date)
182 were mosaiced together prior to image classification. Next, we used an interactive supervised
183 classification in ArcMap 10.3 (ESRI, Redlands, CA, USA), classifying the landscape of New
184 Orleans into four categories: open vegetation (e.g., grass, lawns), canopy cover, water, and
185 urban (i.e., anthropogenic). Here, we present data only on the vegetation parameters of this
186 analysis; although we calculated water and urbanization separately, the classification analysis
187 often classified pixels known to be urban in nature (e.g., buildings, roads) as 'water' and vice-
188 versa. However, because none of the buffers overlapped with a major water body, water per se
189 is likely not a significant factor contributing to mockingbird behavior and we are confident

190 that these pixels represent the urban landscape in our study system. We calculated percent
191 cover of each habitat cover type using the ‘Extract by Mask’ tool for each 45m buffer. An
192 average score of ‘greenness’ versus other habitat, was calculated for each neighborhood as
193 calculated by the mean of the vegetation percentage cover for 16 to 24 mockingbird breeding
194 territories within each neighborhood. This was considered a ‘greenness’ score for the
195 neighborhood. Subsequently, neighborhoods were compared for greenness using a one-way
196 ANOVA.

197

198 2.4. Study design.

199 We used a low-lead neighborhood with a high greenness score (Lakeshore) and two
200 high lead neighborhoods, one with a high greenness score (Uptown) and one with
201 significantly lower greenness than the other two neighborhoods (Marigny) (Figure 1.). In this
202 study design, the traits of birds in Uptown (high lead / high greenness) are of critical
203 importance. If Uptown birds more closely resemble birds from Lakeshore (low lead / high
204 greenness) then greenness would be implicated as a likely causal factor in driving observed
205 patterns of physiology and aggression. In contrast, if Uptown birds more closely resemble
206 birds from Marigny (high lead / low greenness), then lead would be implicated as a likely
207 causal factor in driving observed patterns of physiology and aggression.

208

209 2.5. Sample collection

210 Birds were captured during the breeding seasons of the years 2015, 2016 and 2017 between
211 the months of March and July. Adult birds (n = 34; 14 from 2015, 8 from 2016, and 12 from
212 2017) were captured using walk-in traps, mist-nets and foot-noose traps, typically with the aid

213 of playback recordings of male territorial songs. Upon capture, a small blood sample was
214 taken (~150 µl) via brachial venipuncture for blood lead analysis and genetic sex
215 determination (from birds captures 2015 and 2017 only, n=26). Samples were stored in clay
216 sealed heparinized capillary tubes at 4°C pending analyses. The third secondary feather from
217 each wing was collected by plucking for feather lead analysis. Birds were banded with
218 uniquely numbered aluminum band and three plastic colored bands for field identification.
219 Standard morphometric measurements were collected, including weight, tarsus length
220 (measured twice and averaged to reduce variation), wing and tail length, culmen and molt
221 scores. After processing, all birds were released at the point of capture.

222

223 2.6. Lead analysis

224 Samples of blood and feathers collected in 2015 (blood n=14, feathers n=14) were analyzed
225 by Activation Laboratories Ltd. (Ontario, Canada), whereas sample collected during the 2016
226 and 2017 field season (blood n=12, feathers n=16) were analyzed at the Microbiology and
227 Environmental Toxicology department in the University of California, Santa Cruz as
228 described below.

229

230 *2.6.1. 2015 sample analysis*

231 Feathers. To remove external contamination, samples were washed twice with deionized
232 water followed by rinsing in 1 mol/L acetone (Optima grade, Fisher Scientific) and then
233 placed in a 45 °C oven for 4–5 h until completely dry, at which point they were weighed.
234 Samples were digested with HNO₃ (Optima grade, Fisher Scientific) and H₂O₂ (Ultra grade,
235 Fisher Scientific) and twice heated at 85 °C in a JULABO hot water bath (Allentown, PA).

236 The ratio of HNO₃ to H₂O₂ was approximately 3:1. Whole blood. Digestion and analysis of
237 blood followed the same procedure as for feathers, except the ratio of reagents used to digest
238 the blood was 1:1 instead of 3:1. Lead analysis. Samples were diluted to 10 mL with
239 deionized water, and concentrations were measured with a Thermo Scientific™ iCAP Q
240 inductively coupled plasma-mass spectrometer (ICP-MS). Samples were spiked with internal
241 standards (iridium and rhodium) and analyzed in batches with certified reference material
242 (SRM 1575a) from the National Institute of Standards and Technology (Gaithersburg, MD).
243 Recoveries ranged from 85 to 110%.

244

245 2.6.2. 2016 /2017 sample analysis

246 Biological (blood and feather) samples were processed and analyzed using established
247 trace metal clean techniques and ultra-pure reagents, as described elsewhere (Finkelstein et
248 al., 2010; Finkelstein et al., 2003; Gwiazda et al., 2005; Smith et al., 1996).

249 Feathers: The entire feather was washed sequentially with acetone, ultrapure water, 1% HNO₃
250 and ultrapure water to remove surface contamination. Feathers were then dried overnight at
251 60°C, weighed, digested overnight in 2 mL sub-boiling concentrated HNO₃ (optima, Fisher
252 Scientific) in closed Teflon vials, evaporated to dryness, and reconstituted in 5% HNO₃ for
253 analysis. Whole blood: Blood (~5-70µL) was transferred from heparinized capillary tubes into
254 trace metal clean micro-centrifuge tubes, weighed, then dried overnight at 60°C to obtain dry
255 weight values, and digested as follows: 100 µL (for samples with blood volume < 50 µL) or
256 150 µL (for samples with blood volume > 50 µL) of concentrated HNO₃ (optima, Fisher
257 Scientific) as added to each sample and samples were digested cold for 10 hours. 30% H₂O₂
258 (ultrex, JT Baker) and ultrapure water was added to each sample for a HNO₃ to H₂O₂ ratio of

259 2:1 and approximate final concentration of HNO₃ of ~6%. Samples were vortexed and left to
260 sit overnight before analysis. Lead analysis: Lead concentrations were determined by
261 inductively coupled plasma mass spectrometry (ICP-MS, Finnigan MAT Element magnetic
262 sector), measuring masses of ²⁰⁸Pb and ²⁰⁵Tl (used as an internal standard). Approximately 20
263 µL of NIST SRM 955c (lead in blood, level 2) was digested using the methods described
264 above for blood with an average recovery (n = 3) of 99.6% ± 7% RSD. Capillary tubes (n=3)
265 had an average processing blank of 0.002 (0.001 - 0.002) total ng lead, which was subtracted
266 from all blood lead data (lowest blood was ~0.3 total ng lead).

267

268

269 2.7. Body condition

270 Body condition was calculated using the ratio of the mass to tarsus length (weight/tarsus), a
271 commonly used measure of condition (Johnson et al. 1985). 28 birds were included in body
272 condition analysis, excluding a small number of captured birds (n=6) for which weight or
273 tarsus length was missing.

274

275 2.8. Song recordings and analyses

276 Male songs were recorded during an 18-day period, between 2 and 20 March 2015, in the
277 mornings (7:30-12:00), soon after sustained male singing was observed across territories. We
278 concentrated **the period of recording to as few as possible days after onset of singing to**
279 **control for nesting stage, which may affect certain song characteristics (Derrickson 1988), and**
280 **alternated recording days between both sites.** Based on population level data we have recorded
281 (Karubian, unpublished data), it is likely that most if not all birds were in the nest initiation

282 stage, but we were unable to verify nest stage for these birds due to constraints associated with
283 working in an urban environment.

284 We did not attempt to capture males prior to audio recording, thus song and blood/feather
285 samples are not available for the same individuals. Since males were not individually marked
286 during the recording phase, sampling was spread geographically (minimum distance ~200
287 meters) within each study area to avoid pseudo replication. Recordings were taken only in one
288 high lead neighborhood (Marigny) and the low lead neighborhood (Lakeshore). These
289 neighborhoods were visited on alternate days and searched for singing males. Recordings
290 were made as close to the bird as possible (4-20 m) using an omnidirectional Sennheiser
291 ME62 microphone equipped with a windshield, mounted on a Sony parabolic dish, and
292 connected to a Marantz PMD661 MKII digital recorder (.wav files, 44.1 khz, 16 bits/sample,).
293 We recorded each focal male for as long as possible, interrupting and reinitiating recording if
294 the bird moved or paused singing.

295 For each focal male, one ~3min long clip of continuous singing was analyzed using
296 RavenPro v1.5 software (Bioacoustics Research Program 2013). Only high quality recordings
297 were used, with high signal-to-noise ratios and without loud background, overlapping sounds.
298 Clips were bandpass filtered to include only sounds with frequencies between 1.3 and 10 khz,
299 which include the bird songs but exclude much of the low-frequency background urban
300 noises. Within each clip, individual syllable types were identified and counted. A syllable was
301 defined as a sound, or group of sounds, that was separated from other sounds by more than
302 0.04 s of silence (Botero et al. 2009). A particular song can be composed of one or more
303 syllables. Despite the large diversity of syllable types found in clips, classification of syllables
304 was straightforward because of the repetitive nature of mockingbird songs, where a particular

305 song is repeated a few times before switching to a new one. All quantifications of song
306 parameters were made separately for each male, and no effort was made to quantify
307 similarities in syllable or song composition between different males. Syllable classifications
308 for all clips were made blind with respect of the areas where the recording was performed and
309 were conducted by one of two independent observers and repeated or checked by Renata
310 Durães Ribeiro (RDR).

311

312 Two measures of syllable versatility were quantified, following Derrickson (1988):
313 syllable type versatility (number of syllable types divided by the total number of syllables
314 contained per clip) and syllable switch rate (number of transitions between two different
315 syllable types divided by the total number of possible transitions). Syllable consistency was
316 measured as the average spectral cross-correlation (SPCC) among different renditions of the
317 same syllable, following Botero et al. (2009). Twenty syllable types were randomly selected
318 per individual, and 3-10 repeats were used per syllable type (depending on available number
319 of renditions in clip), with every repeat being compared to every other repeat of the same
320 syllable type. Peak correlation values were calculated between spectrograms based on linear
321 power and normalized to vary from 0 (no similarity between the two sounds) to 1 (sounds are
322 identical). To minimize the influence of background noise, only the frequency bands covered
323 by each syllable type were used in the comparisons. SPCC coefficients were averaged for
324 each syllable type, then across the 20 syllable types, to provide a mean syllable consistency
325 coefficient for each focal bird. Syllable type versatility, switch rate, and syllable consistency
326 were compared for adult males recorded in low or high lead areas using Mann-Whitney tests.
327 In most cases, the recordings used to estimate syllable versatility were the same used to

328 estimate syllable consistency; in three cases, however, recordings made for a given male were
329 too short to estimate versatility, or not clean enough to estimate consistency. As such, the
330 identity of individuals used in these two analyses differed slightly.

331

332 2.9. Aggression trials

333 Presentation experiments designed to measure aggression towards conspecifics by free-flying
334 birds with active nests were conducted between 25 March and 29 June 2016 and between 19
335 May and 10 July 2017, periods that coincided with active breeding in the three
336 neighborhoods. 24 presentations took place in the Lakeshore neighborhood, 21 in the Marigny
337 Neighborhood and 38 in the Uptown neighborhood. Lakeshore and Marigny presentations
338 were undertaken in the 2016 field season, and the Uptown presentations in the 2017 field
339 season. As soil lead levels are believed to be relatively consistent over multiple years, we did
340 not consider an effect of year of sampling on our results (Meilke et al. 2016).

341

342 In each trial, a simulated territory intrusion was staged using a taxidermized mount of
343 a mockingbird accompanied by playback of a singing male. The taxidermized mount was
344 posed in an aggressive posture (wing patches exposed and tail raised), placed in a protective
345 cage atop a tripod at a fixed height of 1.5m and covered with a cloth until the beginning of the
346 aggression trial. The auditory stimulus consisted of recorded songs of singing males played on
347 a portable Pignose® speaker placed directly beneath the mount. Recordings were made by
348 RDR in 2015 from territories in both high and low neighborhoods (to account for possible
349 difference in song quality depending on neighborhood; henceforth, 'stimulus type'), and had
350 their RMS amplitude normalized to 3,000 units in RavenPro v1.5 prior to use in experimental

351 trials. Four high-quality recordings of similar duration from each neighborhood were used as
352 stimuli and birds were exposed to a randomly selected stimulus from either neighborhood.

353

354 In each trial, the mount was placed in an open area at a standardized distance of 8 m
355 from active nests during the period of nest construction and before the start of incubation to
356 control for potential differences in response intensity associated with nesting stage. Before
357 starting the trial, the focal, free-flying bird was determined to be within line of sight of the
358 mount, at which point the trial began by uncovering the mount and playing 1 minute of
359 recorded song at 85 dB from a speaker placed under the mount. This recording was repeated
360 for 30 seconds at 3 additional time points (02:30 min, 05:30 min, 07:00 min) during the 10-
361 minute trial. The bird was considered to have responded to the experimental intrusion when it
362 moved toward the mount; latency to response was recorded as the time elapsed between the
363 start of the trial and this initial response. If no birds responded during the 10-minute period,
364 the trial was not included in our analysis in order to eliminate false zeros and the presentation
365 was not repeated towards this bird. This situation occurred only in a very small number (<5)
366 of attempted presentations and did not appear to be influenced by neighborhood.

367

368 Over the 10 minute trial, the distance in meters between the focal bird and the mount
369 was recorded at the start of every minute. If more than one free flying bird responded, the
370 locations and activity of both birds were recorded and the individual with the stronger
371 response was considered to be the male of the pair as males participate in territory defense
372 more frequently than females (Breitwisch et al. 1986). Aggressive displays were recorded
373 continuously during the trial and included: number of “hissing” scold calls; number of times

374 wings were raised to display wing patches; number of times tail was raised; singing (number
375 of minutes); number of swooping flights towards the mount (fly-bys). If the bird landed on the
376 cage, total time on the cage (in minutes) was recorded. Only one trial was conducted per pair /
377 nest to control for potential pseudo-replication.

378

379

380

381 2.10. Data analyses

382 Data were analyzed using one or more statistical methods, as appropriate for the given data
383 type. Lead concentrations of blood and feathers were analyzed using one-way analysis of
384 variance (ANOVA) with neighborhood identity (Marigny, Uptown or Lakeshore) as a fixed
385 effect. Pearson's product-moment correlation between blood and feather samples was
386 calculated for individuals. One-way ANOVA's were similarly used to compare greenness
387 scores and lead values between neighborhoods. The results of the above one-way ANOVA
388 were also confirmed using Multi-Response Permutation Procedures (MRPP). A two-way
389 ANOVA was used to analysis body condition. Neighborhood and sex of the adults (when
390 known, n=14 of 28), and their interactions were included as predictor variables. The results of
391 the aggression trails were analyzed using a combination of Principal component analysis
392 (PCA) and MRPP, described in detail below. ANOVA and PCA were performed in R Studio
393 1.1.419 (RStudio Team 2015). Tests in R Studio were two-tailed. Values represent means \pm 1
394 S.E. MRPP tests were run using the Blossom Version W2008.04 statistical package (Cade and
395 Richard 2005). Effect sizes were calculated using the package sjstats in R studio (Lüdecke D
396 2018)

397

398

399 *2.10.1. Principal component analysis*

400 As several of the aggressive response variables were correlated with each other, we performed
401 a Principal Component Analysis (PCA) to generate composite measures of aggressive
402 response. Response variables included in the PCA were number of minutes perched on the
403 mounts cage, number of minutes within 5 m of mount, number of scold calls, number of tail
404 raises, number of wing raises, number of fly-bys, and latency to respond to the presentation.
405 The first two principal components were used as response variables when comparing birds
406 from high and low lead neighborhoods using ANOVA models. In addition to neighborhood,
407 the stage of the nest (before or after laying of the first egg; all trials were conducted prior to
408 the onset of incubation, which begins after the final egg of the clutch is laid), stimulus type
409 (song from high or low lead area) and the presence or absence of females at the nest were
410 considered as predictor variables in initial models. The best models for the first and second
411 PC response were selected through stepwise model reduction (removing non-significant
412 variables) based on AIC score. Only neighborhood was included as a predictor variable in
413 the final model for both PC responses.

414

415 *2.10.2. Multi-Response Permutation Procedure*

416 Multi Response Permutation Procedures (MRPP) are a group of Euclidean distance-based
417 statistical tests that make no assumption about the distribution of the data and satisfy the
418 congruence principle. The probability value (p-value) associated with the MRPP is the
419 proportion of all possible test statistic values under the null hypothesis that are less than or

420 equal to the observed test statistic of the actual observations. (Cade and Richards 2005,
421 Mielke PW, et al. 2017, Berry et al. 2014).

422

423 2.11. Study design limitations

424 *2.11.1. Limitations of blood lead measurements.*

425 Due to the relatively small size of mockingbirds ($49.7\text{g} \pm 4.3$) blood was collected into a
426 heparinized capillary tube as noted above. Capillary tubes were then stored up to several
427 months at $\sim 4^{\circ}\text{C}$ until processing. Many blood samples were partially dried and thus wet
428 weights from these samples are not reflective of the original blood volume collected into the
429 capillary tubes. Blood lead values from samples collected in 2017 are reported in $\mu\text{g}/\text{dL}$
430 based on dry weight values with a correction factor of 0.2, calculated from the wet weight: dry
431 weight ratio of NIST SRM 955c samples ($n = 3, 0.19 - 0.21$). Blood lead values from
432 samples collected in 2015 (no blood samples were analyzed from 2016) were reported based
433 on wet weights. Reported lead concentration values for the mockingbirds in this study should
434 be interpreted given these sample collection and storage limitations. The potential error in the
435 blood lead measurements reported is not expected to alter the overall trends in differences in
436 lead levels in Mockingbirds between neighborhoods, but should be noted for future
437 comparisons with other studies on blood lead concentrations in avian species.

438

439 *2.11.2. Natal dispersal and movement*

440 In contrast to the inferences we can make about the apparent lack of seasonal
441 movements in this population (above), we are at present unable to make any inferences about
442 the extent of natal dispersal and therefore we do not know the degree to which individuals that

443 were nestlings and fledglings in a high lead neighborhood subsequently dispersed into a low
444 lead neighborhood, or vice-versa. This represents another important area for future inquiry,
445 because of the disproportionate importance that exposure to contaminants during early
446 development may have on behavior and cognition (Burger 1990, Burger and Gochfeld. 2005).
447 Additionally, data for this study were collected over three consecutive breeding seasons
448 between the years 2015 and 2017. While we believe soil lead exposure was consistent over
449 this period, inter-annual variations in weather, food availability or competition may have
450 existed which we would be unable to account for. Mean precipitation and temperature for
451 these months did not differ substantially from recorded seasonal averages from the past
452 century (NOAA National centers for Environmental Information), but it is still possible that
453 there may have been variation for which we were unable to control.

454

455

456 **3. Results**

457 *3.1. Soil lead*

458 EDXRF measurements of soil lead taken across the three focal neighborhoods confirmed the
459 differences in lead concentration previously reported in Mielke et al. (2016). The results of the
460 MRPP analysis on soil lead by EDXRF found that Lakeshore had significantly lower lead
461 than Marigny (Median lead concentration: Lakeshore = 7 mg/kg versus Marigny = 264 mg/kg,
462 $p < 0.001$) and Uptown (Median Lead concentration: Uptown = 112 mg/kg, < 0.001). No
463 statistical difference in soil lead was detected between Marigny and Uptown ($p = 0.139$),
464 consistent with Mielke et al. (2016). The effect sizes of the differences between Marigny and
465 Lakeview and between Uptown and Lakeview were substantial ($\eta_p^2 = 0.89$ and 0.30

466 respectivley) with 95% confidence intervals not crossing zero in either case. Whereas between
467 Uptown and Marigny the effect size was small ($\eta_p^2 = 0.11$) and the 95% confidence intervals
468 crossed zero, suggesting little difference in soil lead concentrations.

469

470 3.2. Lead levels in blood and feathers

471 Lead levels among adult mockingbirds were higher in both the high lead neighborhoods than
472 in the low lead neighborhood, for whole blood samples (low lead: Lakeshore = $3 \pm \text{SE } 0.6$
473 $\mu\text{g/dl}$, $n = 7$, high-lead : Uptown = $10 \pm \text{SE } 2 \mu\text{g/dl}$, $n = 12$, Marigny = $10 \pm 2 \mu\text{g/dl}$, $n =$
474 7 ; $p < 0.001$, $F_{11} = 16.5$; Fig.3a) and feather samples (low lead: Lakeshore = $2.76 \pm \text{SE } 1.28$
475 $\mu\text{g/g}$, $n = 8$; high lead: Marigny = $13.19 \pm \text{SE } 1.97 \mu\text{g/g}$, $n = 12$, Uptown = $14.20 \pm \text{SE } 1.74 \mu\text{g/g}$,
476 $n = 10$; $p < 0.001$, $F_{27} = 10.7$, Fig. 3b).

477

478 MRPP analysis corroborated these results, finding equally significant differences in
479 both blood (Table. 2.a) and feather (Table 2.b) lead levels between high and low lead
480 neighborhoods.

481

482

483 3.3. Body condition

484 There was no significant difference in the body condition of birds between neighborhoods
485 (mean \pm SE: Lakeshore = 1.36 ± 0.29 , $n = 7$, Marigny = 1.29 ± 0.02 , $n = 12$; Uptown = $1.37 \pm$
486 0.04 , $n = 9$; $F_{20} = 1.5$, $p = 0.23$), nor was there any significant effect of sex (1.34 ± 0.08 males,
487 1.38 ± 0.19 females, $F_{20} = 0.39$, $p = 0.67$), or the interaction term ($F_{20} = 0.85$, $p = 0.48$). There
488 was no correlation between individual body condition and blood lead ($R = 0.327$, $p = 0.13$, $df =$
489 20) or feather lead ($R = 0.07$, $p = 0.72$, $df = 23$).

490

491 3.4. Song quality

492 Males in the low (Marigny, n = 5) or high (Lakeshore, n = 7) lead neighborhoods did not
493 differ in syllable type versatility (low lead: 0.25 ± 0.13 syllables types/total number of
494 syllables; high lead: 0.19 ± 0.04 ; $Z = 0.81$, $p = 0.42$) or syllable switch rate (low lead: $0.41 \pm$
495 0.12 transitions/total number of possible transitions; high lead: 0.39 ± 0.08 ; $Z = 0.16$, $p =$
496 0.87). Males in low or high lead areas also did not differ in syllable consistency (low lead:
497 0.79 ± 0.02 average spectral cross-correlation; high lead: 0.84 ± 0.02 ; $Z = -1.62$, $p = 0.10$).

498

499 3.5. Aggression trials500 *3.5.1. Principal component analysis*

501 The first two principal components explained 51% of the variance in aggressive response of
502 the birds (Table 1). PC1 was influenced mainly by number of minutes within 5 m of mount,
503 number of scold calls, number of tail raises, number of fly-bys and latency to respond; PC2
504 was dominated by number of wing raises and number of minutes on the cage. Thus, higher
505 values of PC1 and PC2 were associated with a more aggressive response.

506

507 PC1 was significantly higher in both high lead neighborhoods ($p < 0.001$, $F_{80} = 12.5$,
508 Figure 4) compared to the low lead neighborhood (Lakeshore), but the high lead
509 neighborhoods did not differ significantly from each other (Marigny and Uptown, $p = 0.08$).
510 PC2 did not differ significantly between neighborhoods ($p = 0.09$, $F_{80} = 2.39$), but there was a
511 non-significant trend for lower values in Lakeshore.

512

513 3.5.2. MRPP

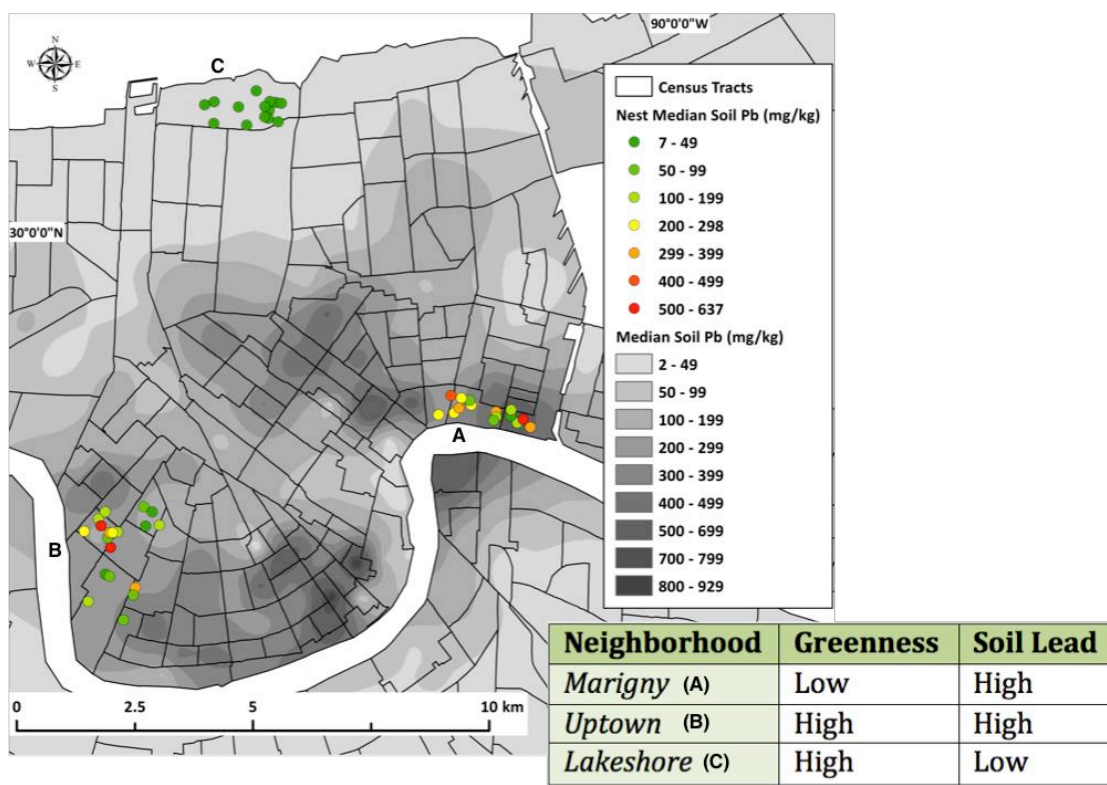
514 The results of the MRPP analysis were in agreement with the PCA analysis, with significantly
 515 higher rates of scold calls, fly-bys and tail raises (which was described by PC1) in the high
 516 lead neighborhoods (Marigny and Uptown) compared to the low lead neighborhood
 517 (Lakeshore) (Table. 3, supplementary material).

518

519

520

521 **Figures**

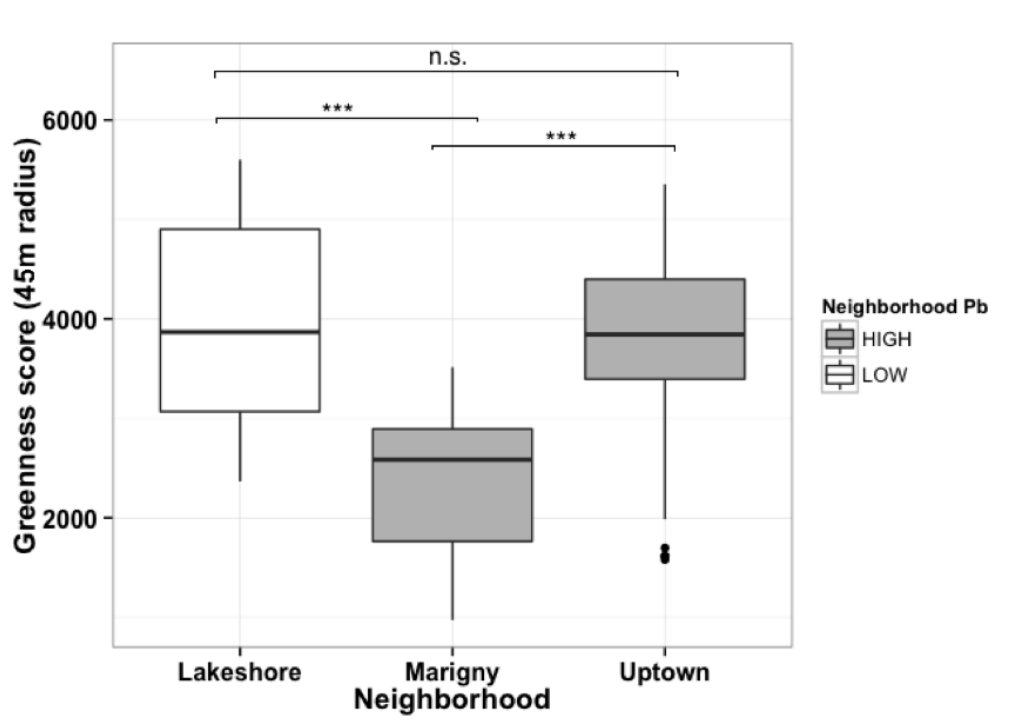


522

523

524 **Figure 1.** Soil lead concentrations of New Orleans sampled between 2013 and 2015. Study
 525 sites are depicted by bold black letters, A) Marigny (High-lead, Low-Green), B) Uptown
 526 (High-lead, High-Green) and C) Lakeshore (Low-lead, High-Green),. Grey-scale represents
 527 soil lead medians presented in Mielke et al. 2016. The MRPP results indicate extremely small
 528 p-values (< 0.0001) for soil lead between C compared with A and B, while A and B have
 529 similar amounts of soil lead. Very large differences in greenness (i.e. p-values < 0.0001)
 530 appear between A compared with B and C, while greenness of B and C are similar. Greenness
 531 and soil lead categories show in the green text box.

532



533

534 **Figure 2.**

535 Greenness score of territories by neighborhood, based on 45m radius around nests (n=83).

536 Marigny differs significantly from both Lakeshore and Uptown ($p < 0.001$); there was no

537 significant difference between Uptown and Lakeshore ($p=0.64$). Comparisons in greenness
 538 score between Marigny and Lakeview and between Marigny and Uptown had a large effect
 539 sizes ($\eta_p^2 = 0.40$ and 0.26 respectively) with 95% confidence intervals not crossing zero,
 540 whereas between Lakeshore and Uptown effect size was relatively low (0.11), with 95%
 541 confidence intervals encompassing zero.

542

543

544

545

546

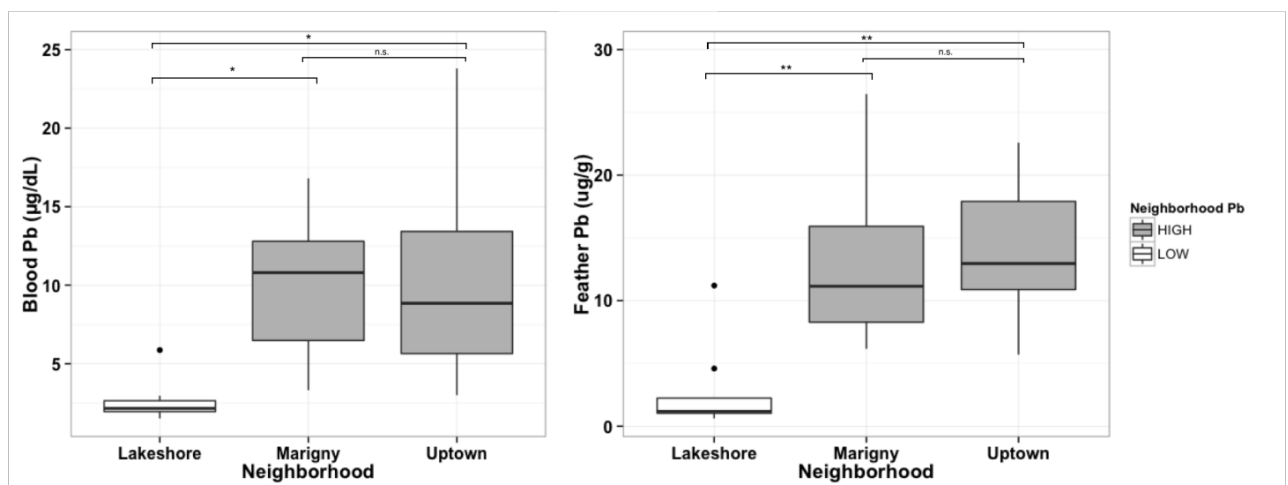
547

548

549

550 a)

b)

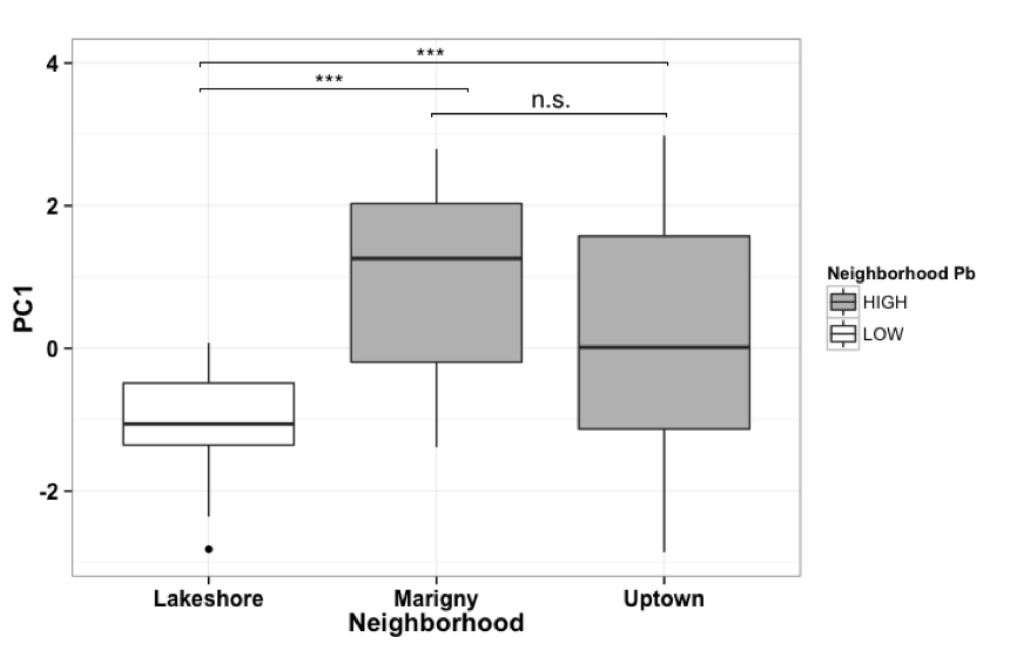


551

552

553

554 **Figure 3.** Blood (a) and feather (b) lead (Pb) concentrations ($\mu\text{g}/\text{dL}$ and $\mu\text{g}/\text{g}$ respectively)
 555 documented in northern mockingbirds in three New Orleans neighborhoods. Northern
 556 mockingbirds in the high lead neighborhoods (Marigny and Uptown) had higher
 557 concentrations of lead in the blood and feathers relative to birds from the low lead
 558 neighborhood (Lakeshore) (** = <0.01 , * = <0.05).
 559
 560



561
 562 **Figure 4.** First principal component (PC1) representing aggressive response by adult breeding
 563 northern mockingbirds from three neighborhoods differing in soil lead levels, in response to a
 564 simulated territorial intrusion. Northern mockingbirds in the high lead neighborhoods
 565 (Marginy and Uptown) exhibited a more aggressive response to stimulated intrusions than did
 566 birds from the low lead neighborhood (Lakeshore). (***) = < 0.001 . Statistical significances
 567 presented in figure are from pairwise comparison of neighborhoods. PC1 was dominated by

568 number of minutes within 5 m of mount, number of scold calls, number of tail raises, number
569 of fly-bys and latency to respond.
570

571

572

573 **Table 1**

574 (a) Principal Components Analysis (PCA) of aggressive response by breeding male northern

575 mockingbirds to a simulated territorial intruder. (b) Eigen factor loadings from PCA of

576 aggression trial responses. Factor loadings for principal components $> |0.50|$ are in boldface.

577

(a) Proportion of variance explained

	PC1	PC2	PC3
Proportion of Variance	0.33	0.17	0.15
Cumulative Proportion	0.33	0.51	0.65
<i>df</i>	83	83	83

(b) Eigen loadings

Min on cage	0.44	-0.76	-0.14
Min < 5m cage	0.71	0.46	-0.16
No. Scold	-0.56	0.18	0.58
No. Fly By	0.78	-0.04	0.05
No. wing raise	0.27	-0.32	-0.79
No. tail raise	0.55	0.52	0.03
Latency	0.54	0.10	0.21

578

579 **Table 2.**580 Lead concentrations in blood $\mu\text{g}/\text{dL}$ (a) and feathers $\mu\text{g}/\text{g}$ (b) of Mockingbirds captured in581 different neighborhoods, and p values from MRPP comparisons between neighborhoods.

582 Median values shown in blue/italic.

583

a)				b)			
Blood Pb in $\mu\text{g}/\text{dL}$	Lakeshore	Marigny	Uptown	Feather Pb in $\mu\text{g}/\text{g}$	Lakeshore	Marigny	Uptown
n	7	7	12	n	8	12	10
min	1.5	3.3	3	min	0.6	6.2	5.7
25%	1.9	5.6	5	25%	0.9	8.2	10.2
50%	2.2	10.8	8.9	50%	1.2	11.2	13
75%	3	5.6	13.7	75%	3.8	16.8	19.2
max	5.9	16.8	23.8	max	11.2	26.5	22.6
MRPP Comparisons	Test Statistic	p value		MRPP Comparisons	Test Statistic	p value	
Lakeshore Vs Marigny	-4.93	0.003		Lakeshore Vs Marigny	-7.17	2.1×10^{-4}	
Lakeshore Vs Uptown	-5.38	0.002		Lakeshore Vs Uptown	-7.34	3.3×10^{-4}	
Marigny Vs Uptown	0.92	1		Marigny Vs Uptown	0.47	0.586	

584

585

586

587

588

589 **4. Discussion**

590

591 Our findings are consistent with a strong correlative relationship between soil lead levels,
592 corresponding concentrations of lead in blood and feather, and intensity of aggressive
593 response among free-living individuals of an urban songbird, the Northern Mockingbird.
594 There was no indication that any difference existed between neighborhoods in vocal repertoire
595 and complexity, which we used as an indirect metric of potential developmental stress and
596 cognitive ability in this species, or physiological condition.

597

598 **4.1. Environmental, blood and feather lead**

599 Adult birds from neighborhoods with high soil lead had on average four times more lead in
600 blood and feathers and were significantly more aggressive in the context of simulated
601 territorial intrusion. Importantly, differences in aggressive response tracked environmental
602 lead levels rather than neighborhood greenness, a proxy for habitat quality (Gaston 2010, Shih
603 2017).

604

605 To put our blood lead results in perspective, the blood lead concentrations of adults
606 from our high lead neighborhoods were on average ten times the level that has been linked by
607 some studies to neurological impairment in children (Canfield et al. 2003). Further, Cervantes
608 et al. (2012) found that golden hamsters doubled the rate of aggressive behaviors when fed a

609 lead-supplemented diet resulting in blood lead concentrations of $5.5 \pm 07 \mu\text{g/dL}$, which is
610 approximately half the average concentrations found in the blood of mockingbirds in our
611 high-lead neighborhood ($10 \pm 2 \mu\text{g/dl}$). The concentrations we recorded are all the more
612 striking given the fact that soil lead levels in our two 'high' lead neighborhoods are in fact
613 quite moderate relative to many urban areas (Laidlaw et al. 2017). Although limited evidence
614 suggests that birds may be able to tolerate higher blood lead concentrations than mammals
615 before showing adverse effects (Scheuhammer 1987, Buekers et al. 2009), health effects, such
616 as reduction in essential enzyme functioning, have been documented in bird species with
617 similar blood lead concentrations to those we report here (Pain 1989, Work and Smith 1996).

618

619 4.2. Aggression

620 We used an experimental approach based on presentation of a taxidermic conspecific mount
621 (i.e., stimulus) to free-flying birds in the nest construction phase to evaluate one such effect:
622 increased aggression. We found that high lead birds exhibited increased frequency of calls and
623 aggressive displays and spent more time in close proximity to the stimulus relative to birds
624 from the low lead neighborhood. This pattern was robust across two methods of data analysis
625 and mirrors findings previously observed in humans, where the relationship between lead
626 exposure and aggressive antisocial behavior has been robust across several studies (Dietrich et
627 al. 2001, Mielke and Zahran 2012, Stretesky and Lynch 2001, Weber et al. 2013), and in
628 captive animals, where several studies have found similar relationships (Li et al. 2003,
629 Delville 1999, Cervantes et al. 2005). However, there are few comparable **studies** we are
630 aware of in wild birds. These include Janssens et al. (2003b) who found only minor
631 differences in the responsiveness of a territorial great tits (*Parus major*) from areas

632 contaminated with heavy metals, including lead, towards a simulated intrusion, and Grunst et
633 al 2018 who found no effect of proximity to a heavy metal source on aggression, though
634 found other personality traits to be affected. Thus, there is evidence to suggest that the
635 impacts of lead may vary across species or contexts, highlighting the need for additional work
636 on this topic from a broader array of organisms.

637

638 It is not clear how this apparent increase in aggression may influence survival and
639 reproduction in northern mockingbirds. On the one hand, hyper-aggressive behavior could
640 reduce reproductive success, or survival, or both via heightened energetic demands (e.g.,
641 vigorously responding birds exhibited symptoms of physical stress such as bill gaping; SMC
642 personal observation). For example, it might be associated with reduced vigilance and its
643 associated increases in susceptibility to predation (Dunn et al. 2004, Hess et al. 2016), or time
644 budget trade-offs that serve to reduce foraging efficacy and offspring provisioning. On the
645 other hand, increased aggression could increase fitness by increasing the ability to defend
646 territories and secure resources and mates through competitive interactions (Smith and
647 Blumstein 2008). Additionally, lead-induced aggression could have implications for
648 cuckoldry and extra pair paternity rates by influencing the intensity of interactions with
649 intruding males, or alternatively weakening pair bonds if intra-pair aggression occurs
650 (Westneat and Stewart 2003; Moreno *et al.* 2010). Further work to better understand the
651 fitness consequences of lead-induced aggression in this system would improve our collective
652 understanding of the consequences of chronic lead exposure among urban wildlife. Likewise,
653 further research is needed to establish the mechanism behind increased aggression in lead

654 exposed birds, such as how lead impacts on neurological function might interact with
655 endocrine regulated behavior.

656

657 4.3. Mockingbirds as an indicator of environmental lead

658 In contrast to studies on species whose movements are unknown or that may be relatively
659 mobile (e.g., urban pigeons (*Columba livia*) with a home range of ca. 2 km; (Cai and Calisi
660 2016)), mockingbirds are strongly territorial during the breeding season, when the current
661 study was conducted, and, in many cases, year-round (Logan and Wingfield 1990, Logan
662 1987), strengthening the putative linkage between local soil and organismal lead levels. As
663 suggested by Cai and Calisi (2016) in regards to urban pigeons, the ability to link lead levels
664 in mockingbirds to local environmental lead levels suggests that this species may be useful as
665 a bio-indicator of lead contamination risk for other species, including humans, associated with
666 given areas. Blood lead is reflective of lead exposure over several days prior to sampling,
667 whereas feather lead reflects exposure over the longer period of feather growth prior to molt
668 (Burger 1993). In mockingbirds, molt occurs in August - October of each year, approximately
669 nine months prior to our data collection period (Zaias and Breitwisch 1990), Farnsworth et al.
670 2011). The fact that lead levels in blood and feathers showed a similar trend in relation to
671 neighborhood lead, therefore suggests relatively stable exposure across these time periods,
672 consistent with limited seasonal movements and perhaps year-round territoriality in our study
673 population.

674

675 4.4. Song performance

676 Motivated by literature linking song performance to cognitive ability in birds (Pepperberg
677 2009, Boogart et al. 2011a), we predicted that birds in high lead neighborhoods would exhibit
678 reduced singing ability as a result of cognitive impairment caused by lead exposure. However,
679 a recent study on captive swamp sparrows (*Melospiza georgiana*) found no relationship
680 between song performance and cognitive ability (DuBois et al. 2018). No difference between
681 adults from high vs. low lead neighborhoods were found in the traits we measured, suggesting
682 that lead may not impact cognitive function as assessed by song quality. As such, more direct
683 tests of cognitive ability (e.g. problem solving ability or utilization of novel resources; e.g.,
684 (Seed et al. 2006, Grodzinski and Clayton 2010, Clayton et al. 2001) may be needed to more
685 resolve the relationship between cognitive ability and lead exposure in this system.
686 Additionally, due to the large variation between individuals and relatively low sample size of
687 this study, it is possible that our analysis lacked sufficient power to pick up potentially subtle
688 difference between neighborhoods.

689

690

691

692 4.5. Body condition

693 There was no significant difference in our measure of body condition between individuals
694 from high vs. low lead neighborhoods, although there was a non-significant trend toward
695 adults in Marigny, (the high-lead, low-greenness neighborhood) having inferior condition.
696 Other studies have also failed to find a clear relationship between lead load and body
697 condition in wild birds (Roux and Marra 2007, Snoeijs et al. 2004), and a number of
698 experimental studies involving the supplementing of high lead food to wild birds have

699 likewise found only limited impact on growth and physiological condition (Ruuskanen et al.
700 2015, Eeva et al. 2014). There are several potential explanations for this lack of relationship
701 in the current study. It may be that the degree of lead contamination occurring among
702 mockingbirds in New Orleans may not have a measurable impact on physiological condition.
703 Alternatively, it is possible that more sophisticated measures of condition (e.g., blood
704 chemistry), or samples taken during more stressful conditions might detect differences (Roux
705 and Marra 2007).

706

707 **5. Conclusion**

708 We report correlational evidence that lead levels in blood and inert tissue of an urban songbird
709 accurately reflects local concentrations of lead in the soil, and that higher exposure to lead is
710 associated with increased aggression toward conspecifics. These findings suggest that sub-
711 lethal lead exposure can have potentially serious behavioral impacts on urban wildlife, similar
712 to those observed in better documented human and laboratory animal systems. Given the
713 sheer number of individuals of wildlife and pets that are likely to come into regular contact
714 with lead-contaminated soil, our hope is that this study will stimulate further research into
715 pathways of ingestion and short-term and long-term consequences of sub-lethal exposure to
716 lead in urban animals.

717

718

719

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721

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731

732

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