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Official URL: https://doi.org/10.1088/2053-1591/aaa604

To cite this version:

Saïdi, Hanane and Boujmil, Mohamed Fethi and Durand, Bernard[®] and Lazzari, Jean-Louis and Bouaïcha, Mongi *Elaboration and characterization of CuInSe2thin films using one-step electrodeposition method on silicon substrate for photovoltaic application*. (2018) Materials Research Express, 5 (1). 016414. ISSN 2053-1591

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Elaboration and characterization of CuInSe₂ thin films using onestep electrodeposition method on silicon substrate for photovoltaic application

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Keywords: bifadal solar cell, CIS/c Si, electrodeposition, galvanostatic mode, rapid thermal annealing, heterojunction solar cell

Abstract

Bifacial solar cells combining a heterojunction cell on the upper side and crystalline silicon (c-Si) homojunction on the backside are very interesting devices to a more efficient use of the solar radiation. $Cu(In,Ga)Se_2(n)/c-Si(p)/c-Si(n^+)$ or $CuInSe_2(n)/c-Si(p^+)$ are very attractive heterojunctions to reach this target. In this work, a novel attempt has been made to grow CulnSe₂ thin films on p-Si (100) substrate using one-step electrodeposition route with galvanostatic mode. The as-deposited samples were amorphous by nature which implies a rapid thermal annealing step. The effect of annealing temperature on the structural, morphological, optical and electrical properties of the fabricated hetero-structure CuInSe₂/c-Si (100) was investigated by x-ray diffraction (XRD), scanning electron microscopy, energy dispersive spectroscopy (EDS) and UV-visible spectroscopy. XRD indicates that CulnSe₂ films having single phase chalcopyrite with tetragonal crystal structure are obtained at 350 °C. Values of energy band gap of films at various annealing temperature were estimated to be in the range 0.94–1.01 eV. The optical parameters such as refractive index $n(\lambda)$ and extinction coefficient $k(\lambda)$ were estimated using an appropriate optical model. The AM1.5 current density-voltage characteristic of the fabricated Al/CulnSe₂/c-Si (100) hetero-junction solar cell exhibits a short-circuit current density J_{sc} of 4.06 mA cm⁻², an open circuit voltage V_{oc} of 0.28 V, a fill factor FF of 36.72% and a solar conversion efficiency η of 0.41%.

1. Introduction

One of the main objectives of scientific research in photovoltaic (PV) is to explore new processing techniques and new materials which assure three criteria; scalability, low-cost and manufacturability. The efficiency of mono-crystalline silicon PV cells has remained nearly unchanged for twodecades (current record efficiency being 25.8%) while the module stability is guaranteed over 20 years [1]. A second generation of solar cells based on heterojunction thin film technologies has been developed using scalable manufacturing techniques, nonexpensive substrates and alternative materials. Among them copper indium gallium diselenide (CIGS) has shown its full potential in this field [2, 3] since it has a high optical absorption coefficient ($\sim 10^5$ cm⁻¹), direct band gap and long term optoelectronic stability [4, 5]. CIGS based solar cells (with record efficiency of 22.6%) are now a major candidate for application in building integrated photovoltaics. Correspondingly, silicon HIT heterostructure solar cells, as composed of a mono thin crystalline silicon (c-Si) wafer stacked by ultra-thin amorphous silicon (a-Si) layers, have reached a record efficiency as high as 26.6%. This opens the way for new concepts of heterostructured cells on silicon (Si) combining medium or low band gap materials such as Cu₂ZnSnS₄ (CZTS), a-Si or CuInSe₂ (CIS).

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A variety of chemical and physical techniques are used to synthesize CIGS films under different experimental conditions, such as co-evaporation [6], spray pyrolysis sputtering [7], pulse laser ablation [8] and electrodeposition [9, 10]. Electrochemical deposition (ECD) is a mature cost-effectiveness method. Its easiness of implementation as a non-vacuum technique makes it suitable for large scale production [11]. Nevertheless, the negative side of ECD is that as-deposited films are amorphous, in best case nano-crystallized. Hence an annealing step is necessary to increase the grain size and to improve crystallinity. In a previous work [12], this problem was solved by annealing electrodeposited CIS films without adding selenium, using rapid thermal process (RTP) during a short time which overcomes the de-selenisation of the layers.

In recent years, many efforts have been devoted to fabricate chalcopyrite absorbing layers by the one-step ECD technique. Obtained results have led to efficiencies of 6%–7% for $30 \times 30 \text{ cm}^2$ surface area and of 10% for laboratory-scale device modules [13]. Many authors have fabricated CIS and CIGS solar cells using a wide variety of substrates such as ITO-coated glass, Mo, Al, Ti, Cu, and polyimide (PI) [14, 15]. Nevertheless, no work to date has been reported on electrodeposition of CIS or CIGS thin films on Si substrates. In addition, comparing to above mentioned works, there is much less work done on p-type Si substrates, except for those using light-assisted electrodeposition of PbSe on p-Si (100) and CdTe on p-Si (111) [16]. Here, it can be noted that the first fabrication and characterization of a heterojunction between bulk CIS and hydrogenated a-Si have been investigated in 1993 by Wu *et al* [17]. In 1994, Tiwari *et al* have reported the characterization of heteroepitaxial CuIn₃Se₅ and CuInSe₂ layers on Si substrates [18]. More recently, the 'evaporated elemental layers' technique of Cu, In and Se on Si (100) and glass substrates has been studied by Aissaoui *et al* [19]. But no solar cell efficiency has been reported for these heterostructures.

As a result, that remains an interesting challenge to integrate those direct band gap semiconductors with Si technology, so as to achieve heterostructures for bifacial solar cells. More efficient single cells are expected owing to the ability to absorb more of the solar spectrum due to the different band gaps of both materials. The better absorption of CIGS thin film may also help reducing the useful thickness of the monocrystalline silicon wafer. Additionally, this makes possible the realization of bifacial solar cells which could be a combination of an 'upside' heterojunction cell and a 'downside' c-Si homojunction. CIGS (n)/c-Si (p)/c-Si (n+) or CIS (n)/c-Si (p)/c-Si (n⁺) are possible architectures to achieve such goal.

In the present study, we report what we believe is the first successful low-cost elaboration and characterization of a heterojunction between CIS and c-Si p-Si (100) using one-step electrodeposition method at constant current density (galvanostatic mode). The focus is to determine the optimal experimental conditions during the ECD and the annealing temperature in order to obtain a high degree of crystallinity and sufficient homogeneity of the CIS films because those are critical parameters for photovoltaic application. The effect of the annealing temperature on the physical properties of ternary CIS films is discussed. The electrical performances of Al/CIS/c-Si (100) heterojunctions are investigated using current density–voltage (*J*–*V*) measurements at dark and under AM1.5 illuminations.

2. Experimental details

2.1. Materials preparation

The CIS thin films were electrodeposited on silicon substrates using the galvanostatic mode (at constant current density) with two electrodes system. We used boron doped p-type monocrystalline silicon wafers with a $\langle 100 \rangle$ crystal orientation, a thickness of 525 \pm 25 μ m and a resistivity in the 1–2 Ω cm range. Before electrodeposition, the silicon wafers were ultrasonically cleaned with acetone, ethanol and de-ionized (DI) water during 10 min. In addition, the Si substrates were then dipped into dilute hydrofluoric acid (HF, 5%) solution for one minute to remove native oxide and obtain a hydrophobic surface. This step of removing the native SiO₂ insulating film is crucial to permit the electrodeposition of CIS on the silicon substrate. Furthermore, since CIS films are successfully electrodeposited on silicon substrate with a good adhesion, no insulating SiO₂ film was left on silicon and the reaction of silicon with the electrolyte to form such oxide layer is weakly probable.

The electrodeposition technique has been carried out using a potentiostat—galvanostat connected to the two-electrodes' cell. The compact potentiostat—galvanostat (Volta Lab PGP 201) using a built-in signal generator can be used as a stand-alone instrument when it is programmed. With the Volta Master 4 software, we can easily control the current, the duration and the cycle's number of the ECD. The working electrode was a silicon substrate with a surface area of 2.5 cm² and platinum was used as a counter electrode. For the growth of CIS thin films under galvanostatic mode, a constant current density of 10 mA cm² was applied and the optimized deposition time was 10 min.

The composition of the electrolytic solutions consisted of 0.003 M of CuCl₂, 0.003 M of InCl₂, 0.008 M of SeO₂ and 0.1 M of sodium citrate which was chosen to be a complexing agent in DI water. The pH of the bath was maintained at 1.65 by the addition of HCl and the solution was stirred during deposition. The thermal

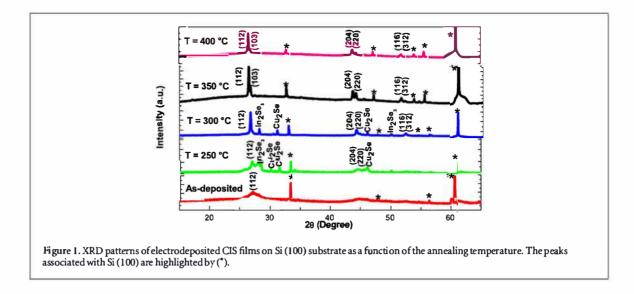


Table 1. Structural parameters of the as synthesized and annealed CIS films with RTP at various annealing temperatures.

Annealing temperature T(°C)	Bragg angle (°)	FWHM(°)	Grain size $D(nm)$	Lattice constants (Å)		
				а	с	Strain ($\varepsilon \times 10^{-2}$)
As deposited	26.88	1.05	8.0	5.730	11.511	1.900
250	26.68	0.17	46.0	5.751	11.595	0.304
300	26.74	0.14	58.0	5.770	11.474	0.250
350	26.45	0.12	67.3	5.775	11.500	0.206
400	26.44	0.11	73.4	5.776	11.505	0.203

annealing of the as-deposited CIS films was carried out in a nitrogen atmosphere at temperature in the range of 250-400 °C for 5 min by RTP using IR heating lamps.

2.2. Characterization

Structural characterization of the fabricated CIS thin films was done by XRD analysis in 2θ mode (10° - 70° angle range) using an automated Bruker D8 advanced diffractometer and the CuK_{α} ($\lambda = 1.540$ Å) radiation. The reflectance spectra $R(\lambda)$ of the electrodeposited CIS films was measured at room temperature by a UV–visible-NIR LAMBDA 950 spectrophotometer for wavelengths ranging between 350 and 2000 nm. Surface cross-sectional morphologies of the samples were observed by a scanning electron microscope (SEM) JEOL JSM-5910, with an accelerating voltage of 20 kV. In addition, their compositions were determined by EDS.

Heterojunction solar cells with an active area of about 0.78 cm² have been fabricated using conventional photolithography technique. Back and grid front aluminum (Al) contacts were deposited by thermal evaporation followed by an annealing step. J-V characteristics were measured by a digital source meter (Keithley Instruments Inc., Model 2400) in the dark and under AM1.5 (100 mW cm⁻²) illumination.

3. Results and discussion

3.1. Structural analysis

XRD patterns recorded for CIS thin films grown on Si (100) substrates under different RTP annealing temperature of 250 °C, 300 °C, 350 °C and 400 °C are depicted in figure 1. According to the width of XRD peaks, one guess that the grains' size of the as-deposited CIS layers is very small, e.g. those layers are amorphous. A low crystallization appears for CIS film treated at 250 °C. When we increase the annealing temperature, the peaks corresponding to (112), (103), (204), (220), (116) and (312) plans of the well-identified CIS chalcopyrite phase (Reference JCPDS 40-1487) appear. In addition, secondary phases of Cu₂Se and In₂Se₃ are observed for annealing temperature of 250 °C, but those binary phases are not detected above 300 °C. Several investigations [20, 21] have proved that the formation of CuInSe₂ is produced from the reaction between Cu₂Se and In₂Se₃. Upon heat treatment at 350 °C, a single chalcopyrite structure phase is obtained with a strong and preferential orientation along the (112) direction. Similar (112) peak has also been observed for CuInSe₂ films synthesized on Si (100) wafers [19]. As reported in table 1, the full width at half maximum (FWHM) of the main (112) peak is

Table 2. The elemental composition of EDS patterns of CIS thin films at different annealing temperature.

		Atomic ratio (%)		
Annealing temperature $T(^{\circ}C)$	Cu	In	Se	
250	21.92	13.81	64.26	
300	29.41	17.47	53.11	
350	26.14	22.83	51.03	
400	28.42	23.33	48.25	

found to decrease from 612 to 396 arc seconds with an increase in annealing temperature from 250 $^{\circ}$ C to 400 $^{\circ}$ C. This indicates that the crystalline nature of the samples improves when the RTP annealing temperature rises. Improved crystallinity was verified through the change in grain sizes, which were estimated from the highest peak intensity by the Scherrer's formula expressed as equation (1) [22, 23].

$$D = \frac{k\lambda}{\beta\cos\theta},\tag{1}$$

where λ is the wavelength of CuK α line ($\lambda = 1.540$ Å), θ is the Bragg angle, β is the values of the FWHM of the (112) peak and *k* is a constant usually taken equal to 0.9. The calculated values of the crystallite size are given in table 1. The largest crystallites with mean grain diameters of 67 and 73 nm are obtained at 350 °C and 400 °C, respectively. Improvement of the crystallization is observed when the annealing temperature reaches 350 °C.

In table 1, one can also note a small shift of the (112) peak to lower angle for temperatures of 350 °C and 400 °C. This can be due to a better relaxation of the strain related to the in-plane lattice mismatch (6.0%) between CIS (a = 5.78 Å) and Si (5.45 Å). The lattice constants for CIS films assuming tetragonal symmetry are calculated using the following formula:

$$\frac{1}{d_{hkk}^2} = \frac{h^2 + k^2}{a^2} + \frac{l^2}{c^2},\tag{2}$$

where $d_{(hkl)}$ is the inter-plane spacing. The two lattice parameters *a* and *c* are given in table 1. They range from 5.730 to 5.776 Å and 11.474 Å to 11.595 Å, respectively. These values are in good agreement with those indicated in the JCPDS reference files [24]. The micro-strain ε was evaluated using the following relation [25]:

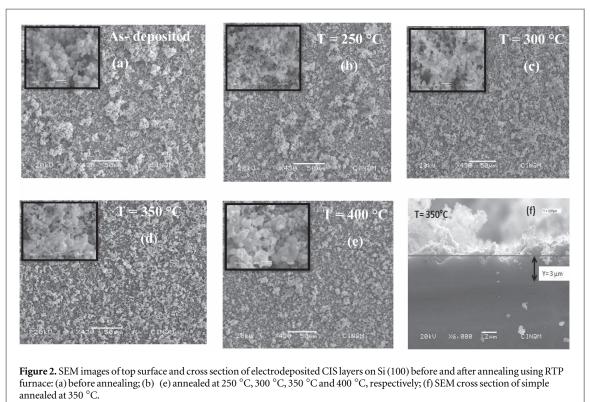
$$\varepsilon = \frac{\beta}{4\tan\theta}.$$
(3)

It is known that the micro-strain ε affects the optoelectronic properties of the films due to the distorted lattice. Values of ε decreases from 1.9% for as-deposited sample to 0.2% for sample annealed at 350 °C. This is attributed to the reduced level of defect and grain boundaries due to increased grain size. Hence, annealing temperature of 350 °C appears necessary for the formation of films with good structural quality.

3.2. Morphological and compositional analysis

The surfaces morphologies of the electrodeposited CIS displayed in figure 2 were investigated by SEM. The latter shows that the annealing temperature affects the morphology of the films by changing the particles size. In fact, micrograph of figure 2(a) shows non-homogeneous surface composed of grains with non-uniform distribution. When the annealing temperature reaches 350 °C (figure 2(d)), we notice a reduction of the size of the clusters leading to a homogeneous surface. The grain' size distribution appears to be relatively uniform with a mean crystallite size varying between 100 and 400 nm. Grains seen under SEM may contain several individual nanocrystals (NCs). This could explain the difference in values of crystallite size calculated from XRD data (about 70 nm) and those deduced from SEM micrographs. Otherwise, for the film treated at 400 °C, much larger grains appear while the surface morphology is more disordered (figure 2(e)).

Figure 2(f) provides a typical cross-sectional SEM image of the CIS film grown on Si (100) after annealing at 350 °C. The deduced film thickness is around 3 μ m, the layer looks dense, but the surface profile reveals a strong micrometer scale roughness. Such roughness is incompatible with imaging by atomic force microscopy leading to overestimated root mean squared roughness (rms) of 0.79 μ m for the as-deposited sample. The surface profiles have been studied using a DEKTAK-XT profilmeter over scan length of 300 μ m with a stylus force of 1 mg and a scan speed of 10 μ m s⁻¹. The radius of curvature of the tip is 2 μ m. The rms were found to be 0.83 μ m for the as-deposited sample and 0.70 μ m, 0.64 μ m, 1.10 μ m and 1.06 μ m for samples annealed at 250 °C, 300 °C, 350 °C and 400 °C, respectively. Those values agree with SEM morphologies observed in insert of figures 2(a)–(e).





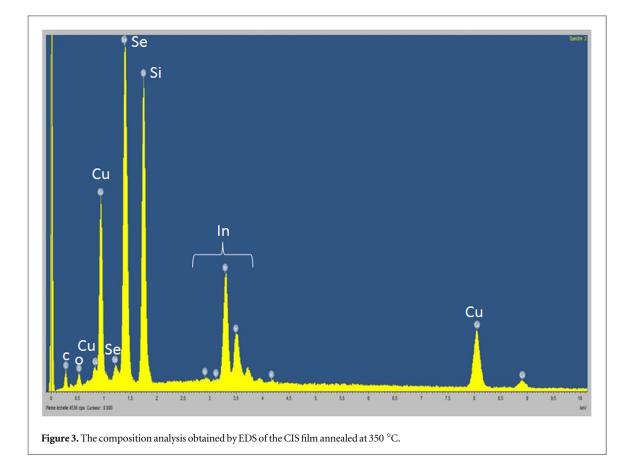
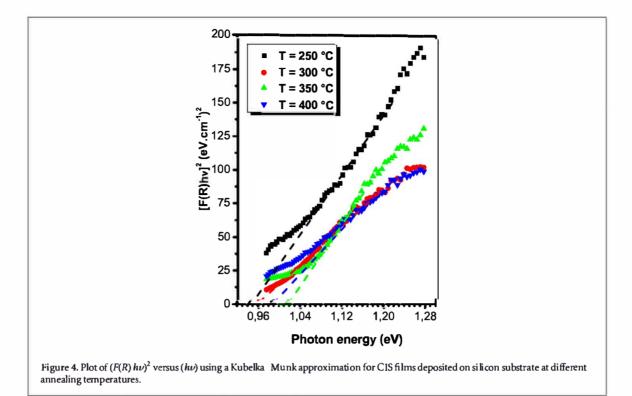


Figure 3 shows a typical EDS spectrum for sample annealed at 350 °C that reveals the presence of copper, indium and selenium elements. Silicon is likely attributed to the substrate. Other elements such as residual oxygen and carbon may be due to surface and interface contaminations. The compositional analysis of those CIS films is summarized in table 2. We note that the best stoichiometry in at% is obtained for CIS film annealed at



350 °C with no loss in Selenium. From the above results obtained by XRD, SEM and EDS analysis, it appears that samples annealed at 350 °C have improved crystallization properties.

3.3. Optical properties

The optical band gaps of layers annealed at 250 °C, 300 °C, 350 °C and 400 °C were estimated in order to determine which one presents the absorption edge closest to that of stoichiometric CIS semiconductor. Several investigations [26, 27] have reported methods to determine the absorption coefficient of electrochemically or -vacuum deposited thin films on non-absorbing substrates from measurements of light reflectance. But in this case, it is difficult to evaluate the absorption coefficient of these films using the Lambert–Beer law. Hence, the total reflection spectra (specular and diffusion) were recorded using an integrated sphere on different spots for each film to minimize the error of missing the diffused component of the reflected beam. Besides, it is well-known that CIS is a direct band gap semiconductor which can be estimated from the linear absorption coefficient, α , according to Tauc's formula [28]:

$$\alpha h v = A_0 (h v - E_g)^{1/2}, \tag{4}$$

where $h\nu$ is the incident photon energy, A_0 is a constant and h is the Planck constant.

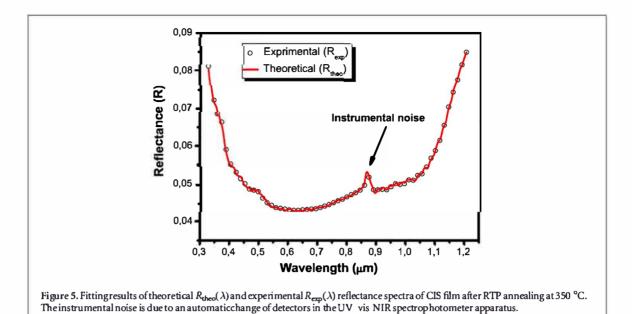
The absorption coefficient could be approximated using the Kubelka–Munk function F(R), defined with respect to reflectivity of dense samples ($R = R_{\infty}$) through the following equation:

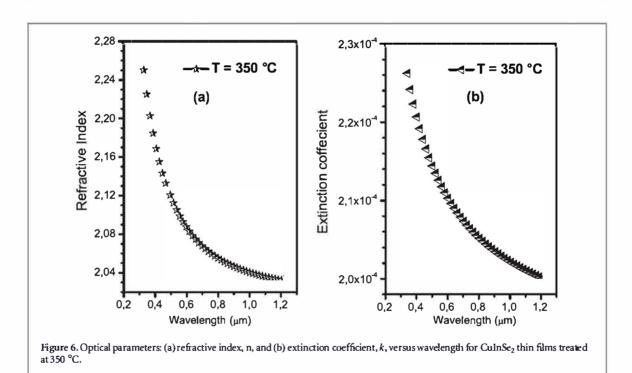
$$F(R) = \frac{(1-R)^2}{2R}.$$
 (5)

The optical band gap of CIS films has been calculated by plotting $[F(R)h\nu]^2$ versus $h\nu$ as illustrated in figure 4, where we extrapolate the straight line- portion of the absorption edge to find the intercept with energy axis. We found that the band gap energy value increases from 0.94 to 1.01 eV when the annealing temperature rises. We also note that the sample annealed at 350 ° C have larger band gap (1.01 eV) than that one annealed at 400 °C (0.98 eV). In general, higher values of the optical band gaps are assigned to less intrinsic and extrinsic defects which give less contribution below the absorption edge. Similar result was shown by other studies [29, 30]. An optimum value of $E_g \sim 1.01$ eV is likely with the formation of a single ternary CIS phase.

3.4. Optical modeling

A fitting program written in Mathlab was used in order to extract the optical parameters such as the refractive index, $n(\lambda)$, the extinction coefficient $k(\lambda)$ and the band gap energy from experimental curves of reflectivity $R(\lambda)$. We used the Fresnel matrix method applied to CIS thin films where we consider two consecutive layers in contact with air, e.g. an air/CuInSe₂/Si(100)/air stacking. Both layers have complex refractive indexes and thicknesses (\tilde{n}_1, d_1) and (\tilde{n}_2, d_2), respectively. The refractive index in air is noted n_0 . More detailed information





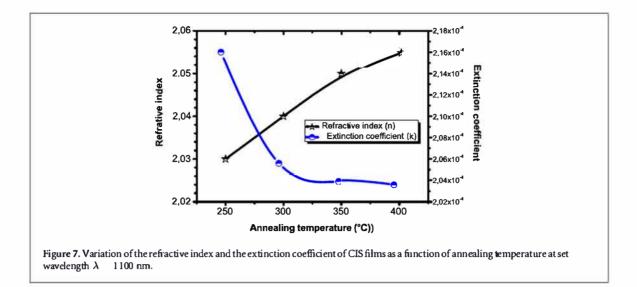
on calculation methods using Fresnel matrix within this model are reported in our previous work [12]. The theoretical values of optical parameters were determined using the *Cauchy* model according to the following equations:

$$n = A + \frac{B}{\lambda^2} + \frac{C}{\lambda^4},\tag{6}$$

$$k = \frac{D}{\lambda} + \frac{E}{\lambda^3} + \frac{F}{\lambda^5},\tag{7}$$

where λ is the wavelength and A, B, C, D, E and F are constants. By fitting theoretical curve of $R(\lambda)$ to experimental one over the entire spectral range [300–1300 nm] as plotted in figure 5, we can determine the band gap energy, the refractive index, n, and the extinction coefficient, k, of all films. Thereby, the best fitting result was obtained for the CIS film after RTP annealing at 350 °C.

Figures 6(a) and (b) show the variation in extinction coefficient and refractive index as a function of the wavelength for CIS film treated at 350 °C, which reveals a decreasing in refractive index and extinction coefficient with increasing wavelength. Values of *n* and *k* reach 2.03 and 2.0 10⁻⁴, respectively, in the near infrared range.



The effect of annealing temperature on the optical constants of CIS films are illustrated in figure 7 for a fixed wavelength of 1100 nm. The refractive index rises when the annealing temperature increases to a value of 2.055 for films heated at 400 °C. This could be explained by a densification of the films after annealing. In contrast, the extinction coefficient decreases considerably with annealing temperature, reaching a value of about 2.04×10^{-4} for film annealed in the 350 °C-400 °C range. These results indicate the formation of a single CIS chalcopyrite phase above 350 °C. The band gap energy was estimated from the absorption coefficient according to the following equation:

$$\alpha = \frac{4\pi k}{\lambda}.$$
(8)

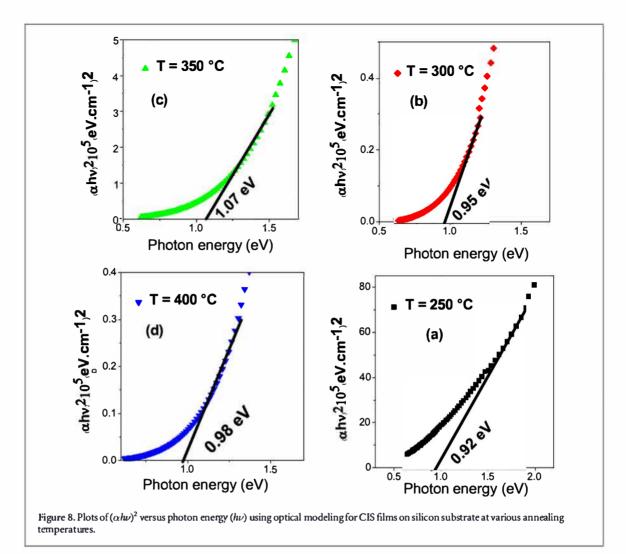
Values of the optical band gap E_g of CIS layers as a function of annealing temperature are shown in figure 8. The samples exhibit a gradual shift in the optical spectra towards higher energies. These band gaps range from 0.92 to 1.07 eV when the annealing temperature rises. These values are in good agreement with those deduced from the reflection spectra. The lower values of E_g obtained at 250 °C and 300 °C could be due to absorption by impurity or by the In₂Se₃ secondary phase.

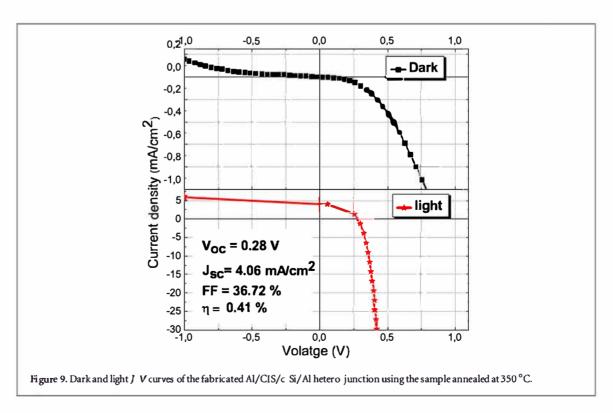
3.5. Current density-voltage characterization

Highly polycrystalline CIS thin films were obtained using one-step electrodeposition upon galvanostatic mode on silicon substrate followed by RTP annealing at 350 °C. For front grid and back contacts, we thermally evaporate aluminum. The typical *J*–*V* characteristics of the fabricated Al/CIS/Si (100) heterojunction under dark and AM1.5 illumination are plot in figure 9. One can notice the rectifying behavior of this heterojunction under dark. Values of serial R_s and shunt R_{sh} resistances are 2 Ω and 1000 Ω , respectively. These values arevery interesting for such heterojunction solar cell. They indicatelow recombination (R_s) and low leakage current (R_{sh}). The cell exhibits a short circuit current density J_{SC} of 4.06 mA cm⁻², an open circuit voltage V_{OC} of 0.28 V, a fill factor FF of 36.72% and a power conversion efficiency η of 0.41%.

 V_{OC} and J_{SC} are much lower than the ones obtained for solar cells fabricated by others cost-effective techniques in [31, 32]. However, similar poor efficiency of 0.3% was obtained by Jin *et al* [33] with heterojunctions based on CuInSe₂ NCs/CdS quantum dots (QDs)/ZnO nanowire (NW) arrays. It is generally assumed that low FF are related to charge percolation in nanost ructured materials such as CIGS, NCs, NWs or QDs. The low J_{SC} may arise from a strong recombination of the photo-carriers at surface and interface states. The low V_{OC} value is surprising. It could be due to a compositional band gap narrowing of CIS layer at the interface or to a type II CIS/Si tunneling interface.

Further works could improve the cell performances through (i) optimizing the physicochemical surface preparation of the silicon substrate, (ii) a mechanical chemical polishing of CIS layers, (iii) a surface passivation of CIS nanocrystallites, (iv) the use of a transparent conductive oxide deposited in a conformal way by atomic layer deposition, or (v) through the incorporation of Ga into the absorber to increase the band gap energy. For that purpose, an accurate study of the chemical bonding should be done by x-ray photoemission spectroscopy at each step of process.





4. Conclusion

In summary, a galvanostatic electrodeposition method was successfully employed to deposit CuInSe₂ thin films on monocrystalline Si (100) substrates. The as-deposited films are amorphous thus requiring RTP heat treatment. The effect of annealing temperature on physicochemical proprieties of CIS films was investigated by XRD, SEM and EDS analysis. We pointed out the formation at 350 °C of nanocrystalline layers of single phase stoichiometric CIS with preferential orientation along (112) direction.

The optical modeling showed that the refractive index and the extinction coefficient in the near infrared region ($\lambda = 1100$ nm) are greatly influenced by the annealing temperature. The optical band gap measured by UV–visible-IR reflectance is about 1.01 eV which is close to that calculated by optical modeling of theoretical spectra (1.07 eV).

The electrical characteristics of CIS/c-Si based heterojunction solar cell were assessed by J-V measurements under dark and AM1.5 illumination. A 36.72% fill factor, a 4.06 mA cm² short circuit current density, a 0.28 V open circuit voltage, and a 0.41% power conversion efficiency were obtained. These poor performances maybe explained by percolation of the photo-carriers to the Al contact surface and to CIS/Si interface followed by a strong recombination at defect states. Capacitance–voltage spectroscopy may help to understand the origin of the low value of V_{oc} . The minority carrier lifetime should be adressed as well.

Nevertheless, this novel one step electrodeposition approach has proved being successful to fabricate a heterojunction cell between a nanocrystalline CuInSe₂ film and a monocrystalline silicon substrate. Although perfectible, this simple process allows an easy control of the chemical composition of CIS layers, and could be applicable to produce CIS based solar cell and modules.

Acknowledgments

Authors would like to thank Vasile Heresanu, Damien Chaudanson, Alain Ranguis and Frédéric Bedu from the Centre Interdisciplinaire de Nanoscience de Marseille for their fruitful help in characterization of CIS samples by XRD, SEM, EDS, AFM and surface profilometry, respectively.

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