

1 **Dosimetric comparison of five different techniques for**  
2 **craniospinal irradiation across 15 European centers: Analysis**  
3 **on behalf of the SIOP-E-BTG (radiotherapy working group)**  
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5 Enrica Seravalli (1), M. Bosman (1), Yasmin Lassen-Ramshad (2), Anne Vestergaard (2),  
6 Foppe Oldenburger (3), Jorrit Visser (3), Efi Koutsouveli (4), Chryssa Paraskevopoulou (4),  
7 Gail Horan (5), Thankamma Ajithkumar (5), Beate Timmermann (6), Carolina Fuentes (6),  
8 Gillian Whitfield (7), Thomas Marchant (7), Laetitia Padovani (8), Eloise. Garnier (8),  
9 Lorenza Gandola (9), Silvia Meroni (9), Bianca Hoeben (10), Martijn Kusters (10), Claire  
10 Alapetite (11), S. Losa (11), F. Goudjil (11), Henriette Magelssen (12), M. Evensen (12),  
11 Frank Saran (13), Gregory Smyth (13), Barbara Rombi (14), Roberto Righetto (14), Rolf-  
12 Dieter Kortmann (15), Geert O. Janssens (1)

13

14 (1) Department of Radiation Oncology, University Medical Centre Utrecht and Princess  
15 Maxima Centre for Pediatric Oncology, Utrecht, The Netherlands.

16 (2) Dept. of Oncology and Danish Centre for Particle Therapy, Aarhus University  
17 Hospital, Aarhus, Denmark

18 (3) Dept. of Radiation Oncology, Academic Medical Center, Amsterdam, The Netherlands

19 (4) Dept. of Radiation Oncology, Hygeia Hospital, Athens, Greece

20 (5) Dept. of Oncology, Cambridge University Hospitals NHS Foundation Trust,  
21 Cambridge, UK

22 (6) Clinic for Particle Therapy, West German Protontherapy Center Essen, University  
23 Hospital Essen, Germany

24 (7) The Christie NHS Foundation Trust, Manchester, UK

25 (8) Dept. of Radiotherapy, Centre Hospitalier Universitaire de La Timone, Marseille,  
26 France

27 (9) Pediatric Radiotherapy Unit, Fondazione IRCCS Istituto Nazionale dei Tumori, Milano,  
28 Italy

29 (10) Dept. of Radiation Oncology, Radboud University Medical Center, Nijmegen, the  
30 Netherlands

31 (11) Department of Radiation Oncology, Institut Curie and Centre de protontherapie,  
32 Paris & Orsay, France

33 (12) Dept. of Radiation Oncology, Norwegian Radium Hospital, University of Oslo, Oslo,  
34 Norway

35 (13) The Royal Marsden NHS Foundation Trust, Sutton, United Kingdom

36 (14) Protontherapy Center, Azienda Provinciale per i Servizi Sanitari APSS, Trento, Italy

37 (15) Dept. of Radiation Therapy, University Hospital Leipzig, Leipzig, Germany

38

39 **Corresponding author:**

40 Enrica Seravalli (PhD), Department of Radiation Oncology, University Medical Centre Utrecht  
41 and Princess Maxima Centre for Pediatric Oncology, Postbox, 3508 GA Utrecht, The  
42 Netherlands.

43 Phone: 00-31-88-7560496 E-mail: E.Seravalli@umcutrecht.nl

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45 Short title : CSI by 3D-CRT, IMRT, VMAT, TomoTherapy® or PBS

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47 Number of pages: 40

48 Word count: 3027

49 Tables: 4 plus 1 as supplementary material

50 Figures: 3 plus 1 as supplementary material

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52 **CONFLICT OF INTEREST NOTIFICATION**

53 Actual or potential conflicts of interest for this study do not exist.

54

55 **ABSTRACT**

56 **Purpose:**

57 Conventional techniques (3D-CRT) for craniospinal irradiation (CSI) are still widely used.  
58 Modern techniques (IMRT, VMAT, TomoTherapy<sup>®</sup>, proton pencil beam scanning [PBS]) are  
59 applied in a limited number of centers.

60 For a 14-year old patient, we aimed to compare dose distributions of five CSI techniques  
61 applied across Europe and generated according to the participating institute protocols,  
62 therefore representing daily practice.

63 **Material & Methods:**

64 A multicenter (n=15) dosimetric analysis of five different techniques for CSI (3D-CRT, IMRT,  
65 VMAT, TomoTherapy<sup>®</sup>, PBS; 3 centers per technique) was performed using the same  
66 patient data, set of delineations, and dose prescription (36.0/1.8Gy). Different treatment  
67 plans were optimized based on the same planning target volume margin. All participating  
68 institutes returned their best treatment plan applicable in clinic.

69 **Results:**

70 The modern radiotherapy techniques investigated resulted in superior  
71 conformity/homogeneity-indices (CI/HI), particularly in the spinal part of the target (CI: 3D-  
72 CRT:0.3 vs. modern:0.6; HI: 3D-CRT:0.2 vs. modern:0.1), and demonstrated a decreased  
73 dose to the thyroid, heart, esophagus, and pancreas. Dose reductions of >10.0Gy were  
74 observed with PBS compared to modern photon techniques for parotid glands, thyroid, and  
75 pancreas. Per technique, a wide range in dosimetry among centers using the same  
76 technique was observed (e.g. thyroid mean dose: VMAT: 5.6–24.6Gy; PBS: 0.3–10.1Gy).

77 **Conclusions:**

78 The investigated modern radiotherapy techniques demonstrate superior dosimetric results  
79 compared to 3D-CRT. The lowest mean dose for organs at risk is obtained with proton  
80 therapy. However, for a large number of organs ranges in mean doses were wide and

81 overlapping between techniques making it difficult to recommend one radiotherapy

82 technique over another.

83 **Funding**

84 None declared

85 **KEYWORDS**

86 Craniospinal irradiation, conventional technique, 3D-CRT, VMAT, IMRT, TomoTherapy<sup>®</sup>,  
87 proton therapy, dose comparison

88 **PRESENTATIONS**

89 This study was presented at the ESTRO 36 meeting, Vienna, Austria, May 6-9, 2017.

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92 **INTRODUCTION**

93 Craniospinal irradiation (CSI) is indicated for medulloblastoma and some rarer tumors with  
94 signs of leptomeningeal spread, particularly germ-cell tumors, atypical teratoid rhabdoid  
95 tumors, and ependymomas [1-8].

96 The technique most commonly used for treating the craniospinal axis is a combination of  
97 two lateral opposed photons beams for the brain, matched to one or more posterior photon  
98 fields to treat the spine [9,10]. This approach results in dose inhomogeneity, especially at  
99 the beam junction(s), and a significant dose anterior to the spinal target volume. Over the  
100 last decade, other techniques for CSI have been investigated in order to decrease the dose  
101 to the organs outside the target volume, in particular the thyroid, heart, and intestines [11-  
102 15]. Intensity-Modulated Radiation Therapy (IMRT), Volumetric Modulated Arc Therapy  
103 (VMAT), and TomoTherapy® are highly conformal techniques which can reduce the dose to  
104 the structures anterior to the vertebrae at the expense of a larger volume of low-dose  
105 irradiation to the entire body. Due to the steep dose gradient, both electron and proton  
106 beam radiation provide substantial sparing of non-target tissues anterior to the spinal target  
107 volume compared to photons [16,17].

108 In clinical practice, the reason for using more conformal techniques is better sparing of  
109 healthy tissue. However, the vast majority of late effects reported after CSI in childhood  
110 arise from irradiation of the target volume [18-21]. Dose and age influence toxicity outcome  
111 and are the justification for dose reduction, altered fractionation regimens, a combination  
112 with systemic agents or target volume adaptations [22-26]. Further decrease of late  
113 toxicity, e.g. second malignancies outside the target volume, primary hypothyroidism,  
114 cardiovascular events, restrictive lung disease, and metabolic syndrome might be obtained  
115 with modern radiotherapy techniques that lower the dose to the structures anterior to the  
116 vertebrae without compromising the target coverage [21,27-32].

117 The lack of exit dose and high conformity observed with protons are potential reasons for  
118 referring patients with a CSI indication to proton therapy centers. However, when referring

119 for proton therapy it is important to balance other factors, such as treatment delay,  
120 accessibility, associated financial issues, social disruption of the family, and secondary  
121 malignancy estimation.

122 The question we tried to answer in this work was how radiation type and technique  
123 influences target dose coverage and OAR dose burden, and how these variables vary when  
124 such techniques are executed by different institutions.

125 In this study we compare dose distributions of five CSI techniques currently applied across  
126 Europe, generated for a single patient and according to the participating institute protocols,  
127 therefore representing daily practice.

128 To the authors' knowledge, this is the first time a CSI dose distribution comparison has  
129 been performed using the same patient data and with three different institutes plan each of  
130 the considered delivery techniques.

131



132 **METHODS & MATERIALS**

133 A CT scan from a 14-year-old boy, previously irradiated for high-risk medulloblastoma, was  
134 selected. Approval for the study was obtained from the University Medical Center Utrecht,  
135 Research Ethics Committee.

136 An individual head-neck support with five-point fixation mask (Civco Medical Solutions,  
137 Kalona, Iowa, USA), vacuum mattress (BlueBag™ Vacuum Cushion, Elekta, Stockholm,  
138 Sweden), and a customized knee-feet fixation (MacroMedics BV, Waddinxveen, The  
139 Netherlands) were used to scan (slice thickness 3 mm) the patient in a supine position for  
140 radiotherapy.

141 Contouring of the clinical target volume (CTV) and organs at risk (OAR) was performed at  
142 one center (Utrecht, The Netherlands). The cranial part of the CTV comprised the entire  
143 brain, cranial nerves, and meninges. The spinal part of the CTV contained the spinal canal  
144 as observed on CT scan including the cerebrospinal fluid extension to the spinal ganglia. The  
145 inferior limit of the spinal CTV was defined by a co-registered MRI at the caudal extent of  
146 the thecal sac.

147 The planning target volume (PTV) consisted of a uniform expansion around the CTV of 5  
148 mm for the brain ( $PTV_{\text{brain}}$ ) and the spinal levels C1-L2 ( $PTV_{\text{spine}}$ ), and of 8 mm for the levels  
149 L3-S3 ( $PTV_{\text{spine}}$ ).  $PTV_{\text{total}}$  is defined as the combination of  $PTV_{\text{brain}}$  and  $PTV_{\text{spine}}$ . Outlined OARs  
150 included: scalp, left/right lenses, left/right parotid and submandibular glands, thyroid,  
151 larynx and proximal esophagus, esophagus, heart, left/right lungs, intestines and stomach,  
152 pancreas, and left/right kidneys. The total normal tissue volume (TNTV) corresponds to the  
153 external contour of the body, imaged on the CT scan, minus  $PTV_{\text{total}}$ .

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155 **Treatment planning**

156 The radiotherapy department of the University Medical Center Utrecht, The Netherlands,  
157 sent the CT-scan with contours to fourteen additional SIOP-E-linked institutes participating  
158 in this study. Each center used either 3D-CRT, IMRT, VMAT, TomoTherapy® (in the

159 following Tomotherapy), or PBS for CSI, and three centers per technique were included.  
160 Selection of participating centers was based on participation in the radiotherapy working  
161 group meeting of the SIOP-E-Brain Tumor Group and the availability to generate a  
162 respective treatment plan for CSI. Three institutes per technique were randomly identified.  
163 All participating institutes were asked to return the best treatment plan, applicable in daily  
164 practice, for a dose prescription of 36.0 Gy in 20 fractions of 1.8 Gy, and meeting the  
165 following criteria: (1) high weighing for PTV<sub>total</sub> coverage (at least 95% of PTV<sub>total</sub> should  
166 receive 95% of the prescribed dose), and (2) maximal sparing of the OARs.  
167 An overview of the major characteristics per technique and per center is listed in Table 1.  
168 An overview of the constraints used by the centers is given in Table S1.  
169 In order to quantify inter-patient dosimetric differences on organs at risk five patients with  
170 indication for CSI, previously irradiated at the radiotherapy department of the University  
171 Medical Center Utrecht, were re-planned using VMAT by the same planner for a dose-  
172 prescription of 36.0 Gy in 20 fractions of 1.8 Gy.

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**Table 1.** Overview of the treatment planning geometry per technique, and per center.

	Center	3D-CRT	IMRT	VMAT	Tomotherapy	PBS
TPS	1 2 3	Eclipse Pinnacle Oncentra Masterplan	Pinnacle Pinnacle Oncentra	Monaco Eclipse Monaco	Tomotherapy Tomotherapy Tomotherapy	Raystation Eclipse Raystation
Dose algorithm	1 2 3	AAA, Adaptive Convolve Collapsed Cone	Collapsed cone Adaptive Convolve Collapsed cone	Monte Carlo AAA Monte Carlo	Convolution-superposition Collapsed cone Collapsed cone	Pencil beam Pencil beam Pencil beam
Dose grid size (mm)	1 2 3	2 2.5 3	4 3 2	3 2.5 3	2.15 2.15 2.54	2 2 2
Energy (MV)	1 2 3	6, 15 6 6, 15	6 6 6	6 6 6	6 6 6	180 MeV-100 MeV 180 MeV-100 MeV 180 MeV - 70 MeV
Technique characteristics	1	-	Forward planned	Full arc	Full arc	Spot size 3 mm, range shifter thickness 75 mm, all MUs delivered with range shifter, airgap 300mm, robust optimization
Brain	2	Forward planned	Forward planned	Full arc	Full arc	Spot size depends on depth, range shifter 75mm, all MU's delivered with range shifter, airgap 20mm, robust optimization
	3	-	2 IMRT beams	2 partial arcs	Full arc	Spot size 3 mm, range shifter thickness 40 mm, the percentage of MU's delivered with range shifter depends on beam, airgap 300mm, single field optimization
Spine	1 2 3	- At extended SSD -	Posterior fields Posterior fields inverse opt 5 IMRT beams	2 posterior partial arcs 2 partial arcs 3 partial arcs	Full arc Full arc Full arc	Same as for brain Same as for brain Same as for brain
Number of isocenters	1 2 3	3 2 3	3 3 3	3 3 3	1 1 1	3 3 3
Isocenter location	1 2 3	mid brain, thoracic/lumbar spine mid brain, thoracic spine mid brain, thoracic/lumbar spine	mid brain, thoracic/lumbar spine mid brain, thoracic/lumbar spine mid brain, thoracic/lumbar spine	mid brain, thoracic/lumbar spine mid brain, thoracic/lumbar spine mid brain, thoracic/lumbar spine	-	C1, thoracic/lumbar spine mid brain, thoracic/lumbar spine mid brain, thoracic/lumbar spine
Beam(s) gantry angle* (°)	1 2 3	Brain: 85, 272 Spine: 180 Brain: 90, 270 Spine: 180 Brain: 85, 270 Spine: 180	Brain: 90, 270 Spine: 120, 145, 180, 215, 240 Brain: 90, 270 Spine: 135, 180, 225 Brain: 90, 270 Spine: 120, 150, 180, 210, 240	Brain: 180.1-179.9 Spine: 180-240 and 100-180 Brain and spine: 180.1 - 179.9 Avoidance sectors: thoracic spine: 245-320, 50-115, lumbar spine: 230-300, 67-130 Brain: 180-130, 50/130 Thoracic spine: 180-90, 90-90, 300-120 Lumbar spine: 180-90, 90-90, 300-120	-	Brain: 30, 330 Spine: 0 Brain: 30, 330 Spine : 180 Brain: 180, 90 couch - 15, 270 couch 15, Spine: 180
Number of junctions	1 2 3	3 3 2	2 2 2	2 2 2	-	2 2 2
Length of junction in CC direction (cm)	1 2 3	6 1.6 1.5	6 4 3	8 3 3	-	10 8 8

**Abbreviations:** TPS: Treatment Planning System; CC: Cranio Caudal direction; SSD: Source-to-Skin-Distance  
\* For VMAT the start/stop gantry angle of the arc is indicated

186 **Plan evaluation**

187 Radiotherapy treatment plans were compared per technique and each specific technique  
188 also between centers. Dose-volume histograms were evaluated for the PTVs (PTV<sub>total</sub>,  
189 PTV<sub>brain</sub> and PTV<sub>spine</sub>) and the OARs. Conformity index (CI) and homogeneity index (HI) were  
190 calculated by using the van 't Riet formula [33] (CI: range 0-1, with 1 being highly-  
191 conformal) and Kataria formula [34] (HI: range 0-1, with 1 being highly heterogeneous):

$$CI = \frac{(V_{95\%}^{PTV})^2}{V^{PTV} \times V_{95\%}}$$

192

$$HI = \frac{D_{2\%}^{PTV} - D_{98\%}^{PTV}}{D_{mean}^{PTV}}$$

193

194 In the formula:  $V_{95\%}$  represents the volume receiving at least 95% of the prescribed dose;  
195  $D_{x\%}$  the dose received by x% of the volume of the PTV.

196 For the TNTV the percentage of volume receiving at least 1.0, 2.0, 5.0, 34.2 and 36.0 Gy  
197 was calculated. The median and range (minimum/maximum) of each of the dosimetric  
198 parameters were computed for each technique.

199 Superiority of the different techniques was assessed based on the highest conformity  
200 (highest CI) and homogeneity (lowest HI) for the PTV, in combination with the lowest mean  
201 dose to the OARs.

202 For the purpose of this study, a difference between techniques is considered of "potential  
203 clinical significance" if a mean dose difference  $\geq 5.0$  Gy is observed for the OARs. This  
204 threshold is chosen based on a consensus between the participating institutes.

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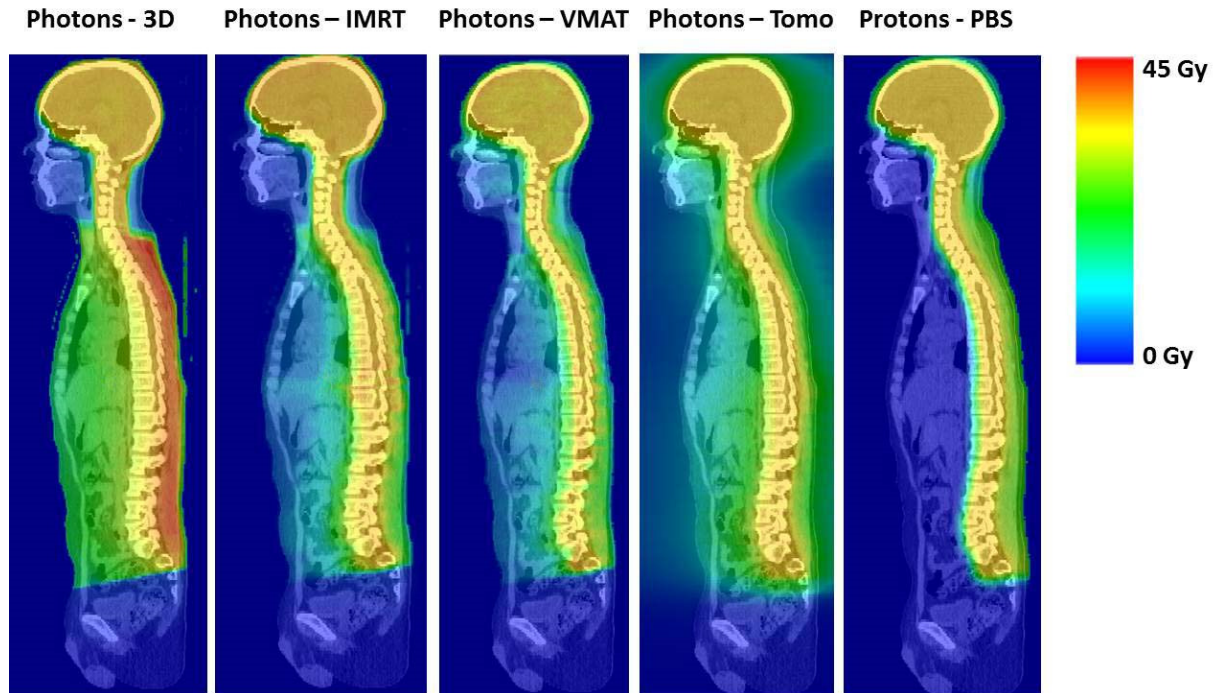
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208 **RESULTS**

209 Figure 1 represents the dose distribution in a sagittal plane for a 14-year old boy, receiving  
210 36.0 Gy by the five different radiotherapy techniques considered in this work.

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213 Figure 1. Craniospinal axis dose distribution with photons (3D-CRT, IMRT, VMAT, Tomotherapy) and protons. Only  
214 one out of three generated plans per technique is depicted.

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216 **Conformity and homogeneity**

217 The median CI for the  $PTV_{total}$  of all modern radiotherapy techniques was superior compared  
218 to 3D-CRT, and this was attributable to the spinal part of the target volume ( Table 2). The  
219 median HI for  $PTV_{total}$  was similar for all techniques when considering the range of data per  
220 technique, however better median HI values for  $PTV_{spine}$  were observed with modern  
221 radiotherapy techniques ( Table 2).

222 In particular for the 3D-CRT technique, hot spots within the  $PTV_{spine}$  (V107%: 10.6-27.1%)  
223 and absolute doses above 40.0 Gy (111 %) were observed (Table 2).

224 The largest variation between centers using the same technique for the CI of the  $PTV_{brain}$   
225 was found for IMRT (0.8-1.0) and PBS (0.7-0.9). For the CI of the  $PTV_{spine}$  , largest

226 variation was observed for VMAT (0.6-0.8), Tomotherapy (0.5-0.7) and PBS (0.5-0.7). PBS  
227 dose distributions showed the widest range in D2% (PTV<sub>brain</sub>: 36.4-40.0 Gy; PTV<sub>spine</sub>: 36.4-  
228 39.6) while VMAT dose distributions in D98% (PTV<sub>brain</sub>: 33.7-35.5 Gy; PTV<sub>spine</sub>: 33.7-35.2  
229 Gy) (Figure 2 and Table 2).

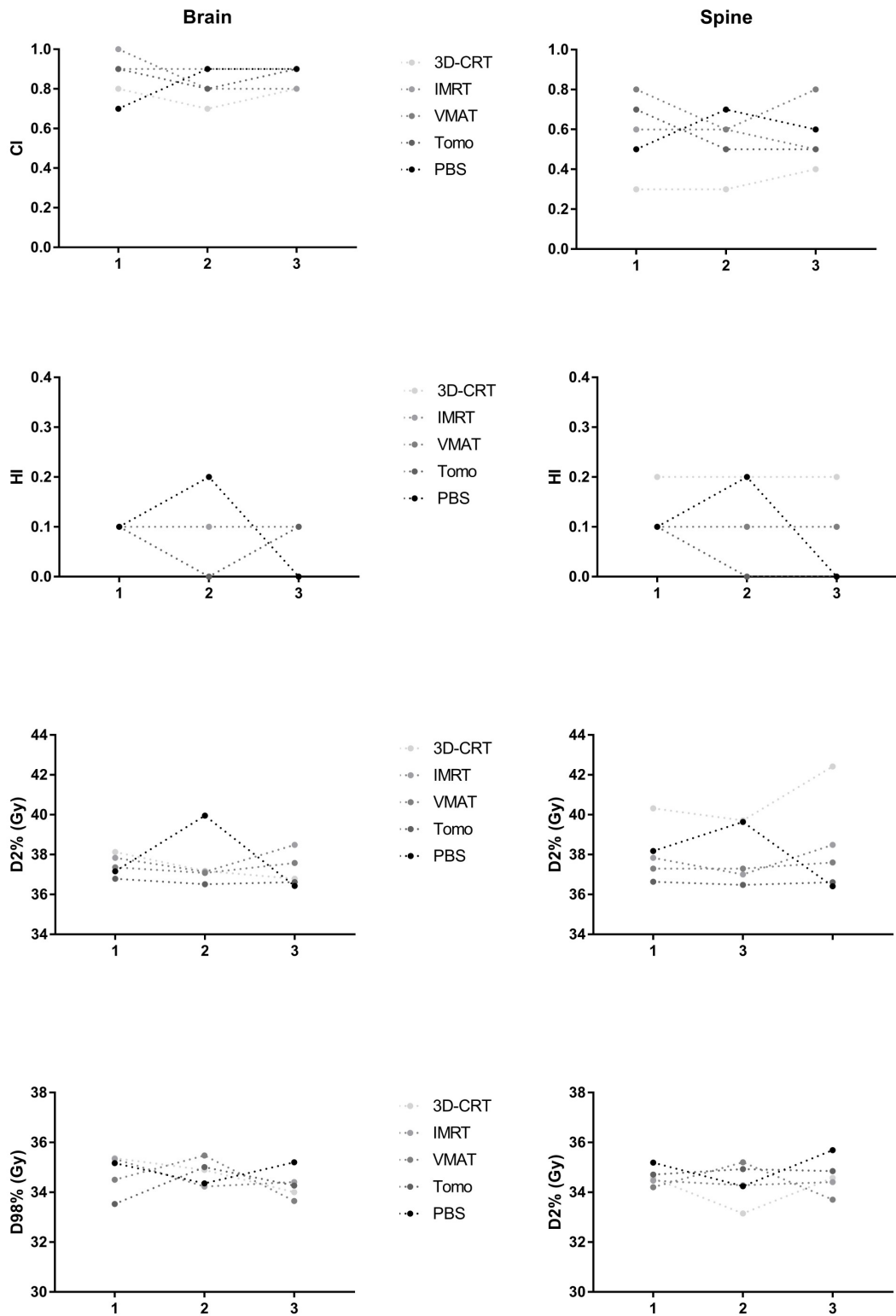


Figure 2 CI, HI, D2% and D98% of the PTV<sub>brain</sub> and PTV<sub>spine</sub> per center per technique

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233 **Normal tissue sparing**

234 Compared with 3D-CRT, a decrease in the mean dose to the thyroid by more than 10.0 Gy  
235 (28.5 Gy vs. 15.1\* Gy) was observed for all modern photon radiotherapy techniques, while a  
236 decrease between 5.0 and 10.0 Gy for the mean dose of both parotid glands (20.5 Gy vs  
237 14.9\* Gy), heart (13.4 Gy vs. 8.1\* Gy), esophagus (29.9 Gy vs. 20.7\* Gy) and pancreas  
238 (17.1 Gy vs. 11.5\* Gy) was seen (Figure 3, Table 3).

239 With respect to modern photon techniques, PBS further reduced the mean dose to the OARs  
240 by more than 10.0 Gy for the average of both parotid glands (14.9\* Gy vs. 4.0 Gy), thyroid  
241 (15.1\* Gy vs. 0.8 Gy), esophagus (20.7\* Gy vs. 2.3 Gy) and pancreas (11.5\* Gy vs. 0.0 Gy)  
242 while mean dose benefits between 5.0 to 10.0 Gy were observed for the lenses (9.2\* Gy vs.  
243 1.8 Gy), submandibular glands (7.9\* Gy vs. 1.4 Gy), larynx and proximal esophagus (11.1\*  
244 Gy vs. 2.3 Gy), heart (8.1\* Gy vs. 0.0 Gy), lungs (8.3\* Gy vs. 2.2 Gy), and intestines (9.6\*  
245 Gy vs. 0.4 Gy) (Figure 3, Table 3).

246 When comparing one specific radiotherapy technique among the three participating centers,  
247 a wide range in mean doses delivered to the OARs was found (Table 3). Ranges of >10.0 Gy  
248 were observed for the lenses (Tomotherapy), thyroid (VMAT, Tomotherapy), larynx +  
249 proximal esophagus (3D-CRT, VMAT, Tomotherapy, PBS), and esophagus (VMAT,  
250 Tomotherapy). Differences larger than 10Gy for D1cc between centers applying the same  
251 technique were even more frequent (Table 4).  $D_{mean}$  ranges between 5.0 to 10.0 Gy were  
252 seen for the lenses (3D-CRT, VMAT, PBS), parotid and submandibular glands (3D-CRT,  
253 VMAT, PBS), thyroid (IMRT, PBS), heart (VMAT), intestines-stomach, pancreas and  
254 esophagus (VMAT, Tomotherapy), and kidneys (PBS). The range in mean doses for OARs of  
255 the spine was the narrowest for 3D-CRT.

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\* Average of the Dmean median value of the three modern photon techniques



256 For all photon techniques, 3D-CRT provided the smallest V1Gy, V2Gy and V5Gy of the TNTV  
257 but the highest V34.2Gy and V36Gy. Overlap in TNTV dose was observed for the three  
258 modern photon techniques. The lowest TNTV dose was observed with PBS (Table 2).  
259 The largest inter-patient difference (maximum minus minimum value) found in  $D_{\text{mean}}$  for all  
260 OARs, considered in the manuscript, is 3 Gy (data not shown).

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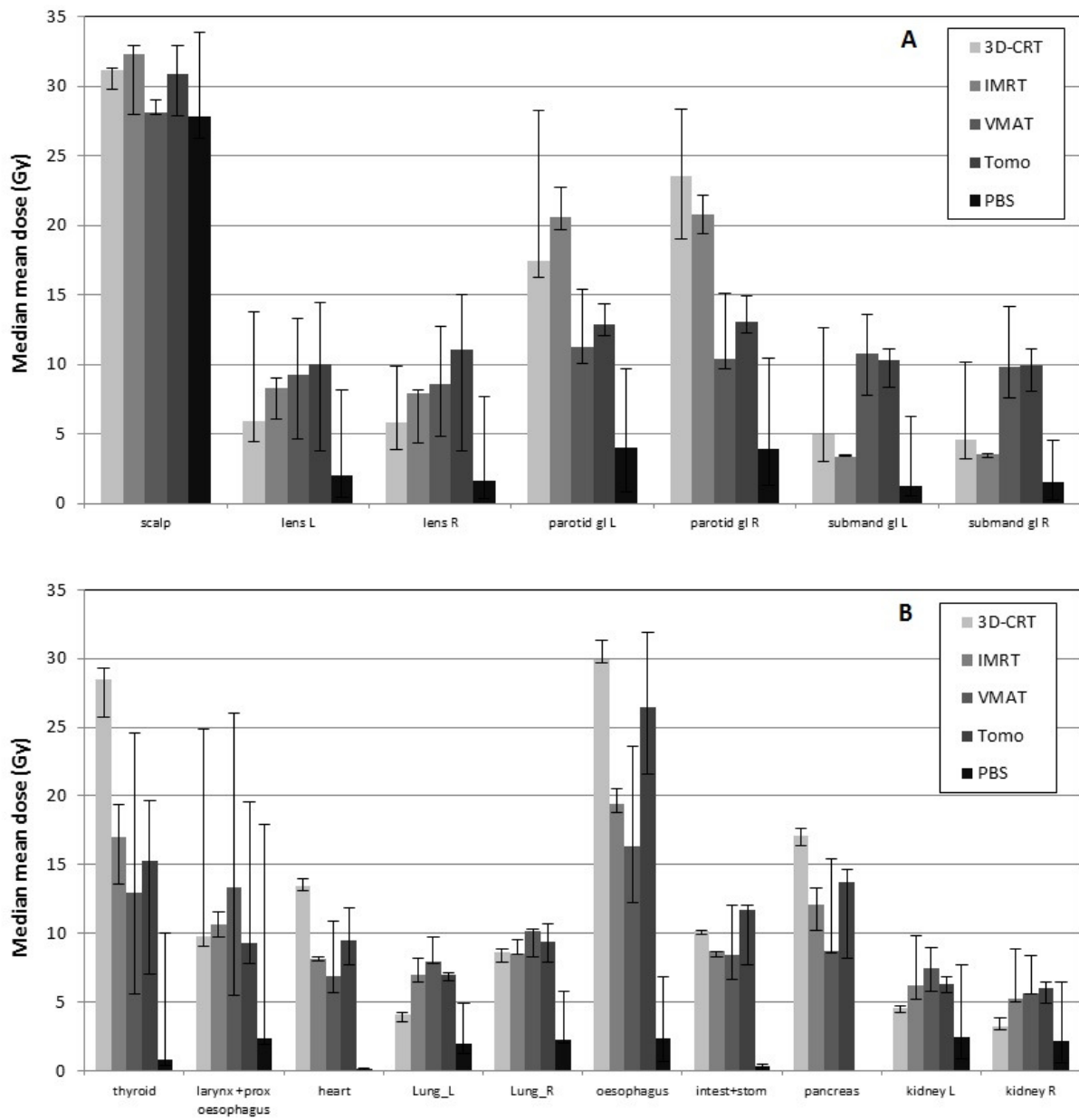
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**Figure 3.** Median  $D_{\text{mean}}$  (Gy) for the organs at risk surrounding the brain (A) and the spine (B). Error bars show the range (min, max) per technique [Tomo: Tomotherapy; PBS: proton pencil beam scanning].

**Table 2.** Dosimetric parameters for PTVs and total normal tissue volume per technique

	3D-CRT Median [Range]	IMRT Median [Range]	VMAT Median [Range]	Tomo Median [Range]	PBS Median [Range]
<i>PTV total dosimetry</i>					
V95% (%)	97.8 [97.7-99.7]	98.3 [97.0-99.7]	98.8 [96.2-100.0]	98.2 [96.8-99.7]	99.8 [98.4-99.9]
V107% (%)	5.5 [2.8-7.1]	0.0 [0.0-1.5]	0.0 [0.0-0.2]	0.0 [0.0-0.0]	0.1 [0.0-6.4]
Dmean (Gy)	36.4 [36.1-37.2]	36.7 [36.0-36.8]	35.9 [35.7-36.1]	35.9 [35.8-36.0]	36.0 [36.0-36.1]
D2% (Gy)	39.4 [38.8-40.5]	37.8 [37.1-38.4]	37.3 [37.1-37.6]	36.6 [36.5-36.8]	37.7 [36.4-39.8]
D98% (Gy)	34.1 [34.1-34.9]	34.3 [33.8-34.8]	34.4 [33.8-35.4]	34.3 [33.7-35.0]	35.2 [34.3-35.3]
CI	0.6 [0.5-0.6]	0.7 [0.6-0.7]	0.9 [0.8-0.9]	0.8 [0.7-0.9]	0.8 [0.7-0.8]
HI	0.1 [0.1-0.2]	0.1 [0.08-0.1]	0.1 [0.0-0.1]	0.1 [0.04-0.1]	0.1 [0.03-0.2]
<i>PTV brain dosimetry</i>					
V95% (%)	99.1 [97.1-99.9]	98.3 [98.2-99.9]	99.2 [95.1-99.9]	98.1 [96.4-99.5]	99.7 [98.8-99.8]
V107% (%)	0.0 [0.0-0.0]	0.0 [0.0-1.7]	0.0 [0.0-0.0]	0.0 [0.0-0.0]	0.0 [0.0-7.4]
Dmean (Gy)	36.3 [35.6-37.2]	36.9 [36.0-37.0]	35.9 [35.6-36.1]	35.9 [35.8-36.0]	36.1 [36.0-36.1]
D2% (Gy)	37.2 [36.8-38.1]	37.8 [37.1-38.5]	37.4 [37.1-37.6]	36.6 [36.5-36.8]	37.2 [36.4-40.0]
D98% (Gy)	34.9 [34.0-35.4]	34.4 [34.2-35.3]	34.5 [33.7-35.5]	34.3 [33.5-35.0]	35.2 [34.4-35.2]
CI	0.8 [0.7-0.8]	0.8 [0.8-1.0]	0.9 [0.8-0.9]	0.9 [0.8-0.9]	0.9 [0.7-0.9]
HI	0.1 [0.06-0.1]	0.1 [0.07-0.1]	0.1 [0.0-0.1]	0.1 [0.0-0.1]	0.1 [0.0-0.2]
<i>PTV spine dosimetry</i>					
V95% (%)	99.3 [94.0-99.3]	98.2 [94.2-99.1]	99.7 [97.9-99.9]	99.5 [98.8-99.6]	99.8 [98.2-99.9]
V107% (%)	20.7 [10.6-27.1]	0.2 [0.0-0.4]	0.0 [0.0-0.3]	0.0 [0.0-0.0]	0.2 [0.0-3.7]
Dmean (Gy)	37.2 [36.5-37.5]	36.0 [35.9-36.2]	35.8 [35.8-36.2]	35.9 [35.8-35.9]	36.0 [35.9-36.3]
D2% (Gy)	40.3 [39.7-42.4]	37.8 [37.0-38.5]	37.3 [37.3-37.6]	36.6 [36.5-36.6]	38.2 [36.4-39.6]
D98% (Gy)	34.6 [33.2-34.6]	34.4 [34.3-34.5]	34.2 [33.7-35.2]	34.9 [34.7-34.9]	35.2 [34.2-35.7]
CI	0.3 [0.3-0.4]	0.6 [0.5-0.6]	0.8 [0.6-0.8]	0.5 [0.5-0.7]	0.6 [0.5-0.7]
HI	0.2 [0.1-0.2]	0.1 [0.08-0.1]	0.1 [0.06-0.1]	0.0 [0.0-0.1]	0.1 [0.0-0.2]
<i>TNTV</i>					
V1Gy (%)	52.6 [46.1-56.1]	66.1 [64.9-79.6]	70.2 [63.7-75.5]	69.5 [62.5-71.7]	15.4 [11.3-20.1]
V2Gy (%)	35.9 [33.-38.3]	57.2 [52.9-62.4]	62.2 [54.8-71.5]	60.1 [52.7-64.2]	14.1 [10.5-18.5]
V5Gy (%)	22.9 [22.2-23.4]	41.7 [38.9-48.0]	43.3 [38.6-48.7]	45.9 [37.4-49.7]	12.2 [9.1-16.1]
V34.2Gy (%)	5.1 [5.0-5.3]	3.4 [1.9-3.5]	0.7 [0.7-1.7]	1.7 [0.5-2.1]	1.3 [1.0-2.9]
V36Gy (%)	3.7 [3.2-3.7]	0.9 [0.8-1.6]	0.1 [0.1-0.5]	0.3 [0.01-0.3]	0.4 [0.2-0.8]

280 Vx% is the volume receiving at least x% of the prescribed dose  
281 Dx% is the dose received by x% of the volume  
282 VxGy is the volume receiving at least xGy of the prescribed dose  
283 CI is the conformity index  
284 HI is the homogeneity index  
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**Table 3.** D<sub>mean</sub>(Gy) for organs at risk with individual techniques

D <sub>mean</sub> OARs	3D-CRT Median [Range]	IMRT Median [Range]	VMAT Median [Range]	Tomo Median [Range]	PBS Median [Range]
Scalp (Gy)	31.2 [29.8-31.3]	32.3 [28.0-32.9]	28.1 [28.0-29.0]	30.9 [27.9-32.9]	27.8 [26.3-34.0]
Lens L (Gy)	5.9 <i>[4.5-13.8]</i>	8.3 [6.1-9.0]	9.3 <i>[4.6-13.3]</i>	10.1 <b>[3.8-14.5]</b>	2.0 <i>[0.5-8.2]</i>
Lens R (Gy)	5.8 <i>[3.9-9.9]</i>	8.0 [4.3-8.2]	8.6 <i>[4.8-12.7]</i>	11.1 <b>[3.8-15.0]</b>	1.7 <i>[0.4-7.7]</i>
Parotid gland L (Gy)	23.5 <i>[19.0-28.4]</i>	20.8 [19.4-22.2]	10.4 <i>[9.7-15.1]</i>	13.1 [12.2-15.0]	4.0 [1.3-10.5]
Parotid gland R (Gy)	17.4 <b>[16.3-28.2]</b>	20.6 [19.7-22.7]	11.3 <i>[10.1-15.4]</i>	12.9 [12.0-14.4]	4.0 <i>[0.8-9.7]</i>
Submandibular gland L (Gy)	4.6 <i>[3.2-10.1]</i>	3.6 [3.3-3.6]	9.8 <i>[7.6-14.2]</i>	9.9 [8.1-11.1]	1.5 <i>[0.2-4.6]</i>
Submandibular gland R (Gy)	5.0 <i>[3.1-12.6]</i>	3.4 [3.4-3.5]	10.8 <i>[7.8-13.6]</i>	10.8 [8.4-11.2]	1.3 <i>[0.6-6.3]</i>
Thyroid (Gy)	28.5 [25.7-29.3]	17.0 <i>[13.6-19.4]</i>	13.0 <b>[5.6-24.6]</b>	15.3 <b>[7.0-19.7]</b>	0.8 <i>[0.3-10.1]</i>
Larynx + prox esophagus (Gy)	9.8 <b>[9.0-24.9]</b>	10.7 [9.7-11.6]	13.3 <b>[5.5-26.0]</b>	9.3 <b>[7.8-19.5]</b>	2.3 <b>[1.9-17.9]</b>
Heart (Gy)	13.4 [13.1-14.0]	8.1 [8.0-8.3]	6.9 <i>[5.7-10.9]</i>	9.4 [7.7-11.9]	0.01 [0.01-0.2]
Lung L (Gy)	4.1 <i>[3.6-4.2]</i>	7.0 [6.5-8.2]	7.9 <i>[7.8-9.7]</i>	6.9 <i>[6.5-7.1]</i>	2.0 <i>[1.3-4.9]</i>
Lung R (Gy)	8.6 <i>[7.9-8.8]</i>	8.6 [8.5-9.5]	10.2 [8.3-10.3]	9.4 [7.9-10.7]	2.3 <i>[2.0-5.8]</i>
Esophagus (Gy)	29.9 [29.7-31.3]	19.4 [18.8-20.5]	16.3 <b>[12.2-23.6]</b>	26.5 <b>[21.6-31.9]</b>	2.3 <i>[0.7-6.8]</i>
Intestines (Gy)	10.1 [9.9-10.2]	8.7 [8.3-8.7]	8.4 [6.6-12.0]	11.7 [7.7-12.0]	0.4 [0.1-0.5]
Pancreas (Gy)	17.1 [16.4-17.6]	12.1 [10.2-13.3]	8.7 <i>[8.5-15.4]</i>	13.7 [8.2-14.7]	0.0 [0.0-0.0]
Kidney L (Gy)	4.5 <i>[4.2-4.8]</i>	6.2 [5.2-9.8]	7.5 [5.8-9.0]	6.3 [5.7-6.8]	2.5 <i>[0.9-7.7]</i>
Kidney R (Gy)	3.3 <i>[3.0-3.9]</i>	5.3 [5.0-8.9]	5.6 <i>[5.6-8.4]</i>	6.1 <i>[4.9-6.5]</i>	2.3 <i>[2.0-5.8]</i>

\* Differences per technique >10.0 Gy or between 5.0- 10.0 Gy are indicated in **bold** or *italic*, respectively.

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302 **Table 4.** D<sub>1cc</sub> (Gy) for organs at risk with individual techniques

D <sub>1cc</sub> OARs	3D-CRT	IMRT	VMAT	Tomo	PBS
	Median [Range]	Median [Range]	Median [Range]	Median [Range]	Median [Range]
Scalp (Gy)	37.1 [36.2-38.0]	37.1 [36.8-37.8]	36.0 [35.3-37.5]	36.0 [35.3-36.3]	36.9 [35.8-37.7]
Lens L (Gy)	<b>9.4</b> <b>[6.2-21.8]</b>	13.7 [13.0-13.8]	<b>10.8</b> <b>[5.3-17.0]</b>	<b>11.7</b> <b>[4.6-16.9]</b>	3.7 <i>[1.6-10.8]</i>
Lens R (Gy)	<b>13.7</b> <b>[5.2-20.8]</b>	15.8 [15.2-16.0]	<b>10.3</b> <b>[5.6-17.2]</b>	<b>12.9</b> <b>[4.5-17.1]</b>	3.6 <i>[1.2-10.9]</i>
Parotid gland L (Gy)	36.5 [35.9-37.5]	36.5 [35.8-36.7]	19.2 <i>[18.9-23.6]</i>	23.6 [23.2-25.1]	<b>16.1</b> <b>[14.4-31.1]</b>
Parotid gland R (Gy)	36.2 [36.0-37.4]	36.4 [36.0-37.7]	20.8 <i>[19.8-24.6]</i>	22.7 [22.0-24.9]	<b>13.3</b> <b>[9.9-28.6]</b>
Submandibular gland L (Gy)	<b>9.1</b> <b>[5.1-19.0]</b>	4.7 [3.6-8.4]	17.0 <i>[12.7-19.6]</i>	13.7 [10.9-15.0]	<b>10.9</b> <b>[1.6-15.1]</b>
Submandibular gland R (Gy)	<b>17.6</b> <b>[4.2-19.4]</b>	6.8 [6.4-10.5]	14.9 <i>[14.5-19.7]</i>	14.2 [12.1-15.6]	<b>9.5</b> <b>[4.2-23.0]</b>
Thyroid (Gy)	30.7 [29.4-30.8]	26.1 <i>[20.7-27.7]</i>	<b>17.9</b> <b>[14.5-30.1]</b>	<b>24.2</b> <b>[13.6-28.6]</b>	<b>7.4</b> <b>[5.6-25.8]</b>
Larynx + prox esophagus (Gy)	31.7 [30.2-31.8]	30.1 <i>[24.3-32.1]</i>	<b>20.2</b> <b>[14.8-33.5]</b>	<b>24.7</b> <b>[12.5-30.4]</b>	<b>17.5</b> <b>[11.2-33.5]</b>
Heart (Gy)	29.1 [28.5-29.9]	15.1 [14.9-18.6]	11.7 [10.9-16.9]	<b>17.4</b> <b>[14.0-24.4]</b>	0.3 [0.2-3.5]
Lung L (Gy)	33.0 [31.3-33.8]	27.8 <i>[25.8-30.4]</i>	27.2 [25.3-27.6]	29.9 <i>[25.1-31.1]</i>	28.5 <i>[26.4-33.7]</i>
Lung R (Gy)	33.1 [32.4-35.7]	28.3 [26.1-30.6]	28.4 [25.4-28.8]	29.3 <i>[27.6-33.0]</i>	28.1 <i>[27.8-33.6]</i>
Esophagus (Gy)	32.4 <i>[31.2-37.1]</i>	<b>32.1</b> <b>[26.3-38.9]</b>	<b>22.6</b> <b>[18.9-32.3]</b>	28.5 [26.5-31.1]	<b>13.6</b> <b>[6.5-26.8]</b>
Intestines (Gy)	31.0 [28.8-32.3]	23.9 [23.1-24.7]	<b>17.7</b> <b>[17.3-26.3]</b>	27.4 <i>[22.1-29.9]</i>	<b>11.4</b> <b>[1.0-16.2]</b>
Pancreas (Gy)	<b>28.6</b> <b>[27.5-39.4]</b>	19.8 <i>[15.5-23.9]</i>	<b>13.2</b> <b>[11.0-21.9]</b>	<b>21.4</b> <b>[10.3-24.7]</b>	0.1 [0.1-0.3]
Kidney L (Gy)	33.3 [32.7-33.3]	24.2 <i>[19.2-28.8]</i>	<b>23.3</b> <b>[14.9-25.9]</b>	21.8 <i>[21.0-26.6]</i>	<b>23.7</b> <b>[20.3-34.3]</b>
Kidney R (Gy)	31.8 [29.4-32.3]	21.7 <i>[21.0-27.7]</i>	21.8 [19.7-23.0]	22.5 <i>[21.8-27.9]</i>	23.2 <i>[14.5-33.8]</i>

\* Differences per technique >10.0 Gy or between 5.0- 10.0 Gy are indicated in **bold** or *italic*, respectively.

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308 **DISCUSSION**

309 This multicenter dosimetric comparison of five different radiotherapy techniques (3D-CRT,  
310 IMRT, VMAT, Tomotherapy and PBS) currently applied for CSI demonstrates improved dose  
311 conformity and homogeneity of the target volume with all modern radiotherapy techniques  
312 compared with 3D-CRT, as well as a reduction in mean dose of >5.0 Gy to organs such as  
313 the thyroid, heart, esophagus, and pancreas. Compared to IMRT, VMAT, and Tomotherapy,  
314 an additional decrease in mean dose (>5.0 Gy) is found with PBS for lenses, parotid- and  
315 submandibular glands, larynx, thyroid, lungs, heart, intestines, stomach and pancreas.  
316 However, caution is needed in the interpretation of these results since ranges in mean dose  
317 for a number of OARs are wide per technique and also overlapping between different  
318 techniques. For example, the mean thyroid dose can range between 5.6 Gy and 24.6 Gy  
319 with VMAT and between 0.3 Gy and 10.1 Gy with PBS, depending on the treatment center.

320 In the literature several reports demonstrate improved CI and HI for the PTV and field-  
321 junctions by the use of modern radiotherapy techniques compared with 3D-CRT  
322 [11,13,17,35,36]. However, it should be mentioned that knowledge on the uncertainties  
323 related to possible motion of the target and correct target volume delineation are pre-  
324 requisites for highly-conformal techniques. The latter becomes relevant at the meningeal  
325 surfaces and cerebrospinal fluid in the dural reflections of the cranial nerves [37, 38].

326 In clinical practice, the reason for using more conformal techniques is better sparing of  
327 healthy tissue outside the planning target volume. However, nearly all published data on  
328 late toxicity after CSI concern neuro-cognitive decline, endocrinopathies, or growth  
329 retardation, in fact problems inherent to the treatment of the target volume [18-21]. In  
330 contrast, fewer results have been published on late toxicity outside the craniospinal target  
331 volume despite the use of the conventional 3D-CRT for decades [27-32]. As the introduction  
332 of modern radiotherapy techniques is of more recent date, it is still too early to be able to  
333 demonstrate a clinical benefit due to better sparing of the OARs surrounding the

334 craniospinal PTV. Nevertheless, for the thyroid, heart, lung, and pancreas, it may be  
335 relevant to improve organ sparing even at relatively low dose levels [21,29-32].

336 Techniques like IMRT, VMAT and Tomotherapy have the potential to decrease the dose to  
337 the thyroid, heart, esophagus and pancreas compared with 3D-CRT at the cost of a higher  
338 integral dose and therefore a higher potential risk of second malignancies induction. For this  
339 reason, an higher TNTV dose with modern photon techniques is often used as the argument  
340 for 3D-CRT continuation. Proton beam therapy is therefore very attractive, as it offers both  
341 high conformity and reduction of integral dose. In the literature several papers report on the  
342 estimated risk for secondary malignancies based on empirical models [e.g. 39]. However,  
343 the authors believe that this risk estimation should be based on clinical data. Unfortunately,  
344 very little clinical information on dose dependency for second malignancy induction is  
345 available. With a median follow-up of ten years, two reports on second malignancies after  
346 3D-CRT have suggested tumor induction mainly within or adjacent to the PTV [27,28].  
347 Therefore, it is uncertain whether a significant increase in second malignancies will be  
348 observed due to low dose irradiation to structures anterior to the vertebrae with modern  
349 photon techniques. However, although studies did not show that the unintended dose  
350 outside the target volume causes clinically significant side effects including secondary  
351 cancer, attempts should be made to keep dose to the OARs as low as possible. The same is  
352 true when administering protons by maximally limiting the scattered contribution from  
353 secondary neutrons, i.e. by preferably using PBS technology rather than passive scattered  
354 beams [40]. Additional reasons to refer patients for proton therapy are further dosimetric  
355 reductions in mean dose to the organs at risk compared to modern photon techniques.  
356 However, it might be questioned whether any clinical benefit will be observed if the doses  
357 received by the organs at risk remain far below the expected normal tissue tolerances  
358 [21,31, 41, 42]. Although the dosimetric outcome of this work is in favor of proton therapy  
359 and to a lesser extent of modern photon techniques, significant range in mean doses (up to

360 20 Gy) to the OARs are found between centers using a similar technique. This inter-center  
361 variation in mean doses to the OARs is larger than the differences in OARs doses reported  
362 by other published studies comparing irradiation techniques [12, 14, 35, 36]. On one hand,  
363 the large dose range points towards an effect of mastering a technique to a different  
364 extent, as already observed for VMAT dose distributions by Fogliata et al. [43]. On the other  
365 hand, these differences can be attributed to the choice of the optimization criteria made by  
366 the centers, prioritizing one objective over another (Table S1). For this planning study no  
367 fixed list of constraints for the OARs was provided to the participants in order to reflect daily  
368 practice in different centers using similar techniques. This means that in absence of an  
369 international guideline on dose-constraints for OARs related to CSI, a significant dose-range  
370 will persist between centers using similar techniques. However, this observation also  
371 impacts the potential benefit of one technique compared to another. Knowledge based  
372 planning systems could help reducing the differences in OAR sparing between institutions  
373 and techniques [44, 45].

374 As no consensus on dose constraints to vertebral bodies does exist at present time, an  
375 adolescent patient was chosen for this study to avoid discussions related to growth  
376 problems between centers. Including the vertebrae in the target volume will increase the  
377 dose to the structures antero-lateral of the vertebral bodies to some extent. However, it is  
378 not expected that the observations/conclusions from this study will alter by additional dose  
379 steering on the vertebrae. In addition, selecting an adolescent patient with a larger spinal  
380 target volume is technically more challenging.

381 Although we are aware of the fact that this work is based on the analysis of one patient  
382 only, we do not expect that expanding the number of patients will change our findings given  
383 the fact that the CSA target volume is quite consistent in between patients, and in relation  
384 to the surrounding structures [46]. The widest range of OARs mean doses for five different  
385 patients planned by VMAT at our department was 3 Gy. The latter value is smaller than the  
386 variation observed for some OARs in between centers using the same technique or in



387 between techniques. This observation supports the methodology of the study to focus on  
388 one patient for assessing inter-center variation as it reflects the daily reality for one patient.  
389 The variation in dosimetry could be reduced if the treatment planning exercise would have  
390 been repeated using the same constraints for all centers, as already demonstrated by  
391 Verbakel et al. [47], However, this re-optimization of the treatment planning technique  
392 does not reflect current situations across different centers and techniques.  
393 For comparison purposes the same PTV margin was used for all techniques. We  
394 acknowledge that this uncertainty margin is inherent to a technique, equipment, and  
395 institutional protocols (e.g. patient immobilization methods, patient setup error correction  
396 protocols) [48]. Locally adopted PTV margins will have a potential impact on OARs dose in  
397 proximity of the target volume. However, it is expected that the found dosimetric range per  
398 institution and per technique will persist. Furthermore, the effect of patient (re)positioning  
399 uncertainties on the dose distribution has not been taken into account in this analysis. In  
400 fact, one technique might be more robust than another resulting in smaller detrimental  
401 effects on the ideal static dose distribution calculated by the treatment planning system  
402 [49-51]. Comparing the robustness of the different techniques is part of a future work.  
403 Finally, this is an in-silico treatment planning study and it has been demonstrated that a  
404 robust in-silico planning study may overestimate the potential dosimetric benefits of one  
405 technique over another [52,53].

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408 **CONCLUSION**

409 Compared with 3D-CRT, modern radiotherapy techniques demonstrate a superior dose  
410 distribution often at the cost of a higher integral dose. With protons a further dosimetric  
411 reduction is observed for the OARs and integral body dose. Nevertheless, a wide range of  
412 doses to the OARs is found even between centers using similar techniques. In addition, an  
413 international guideline with dose constraints for CSI is essential to ensure comparable  
414 outcome between different centers.

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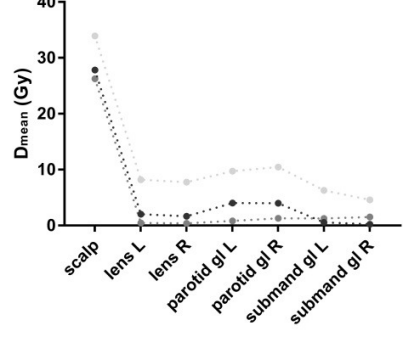
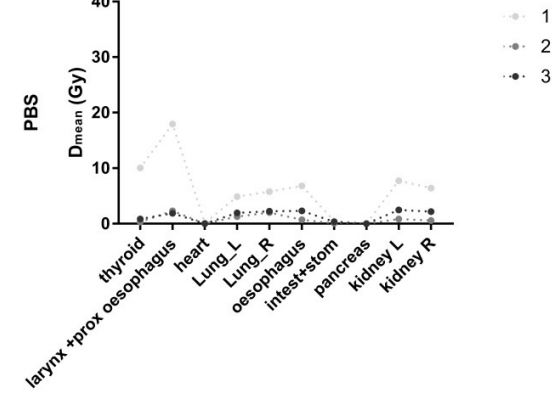
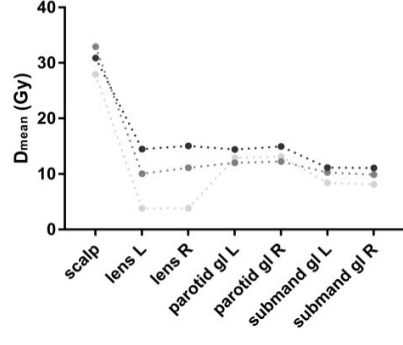
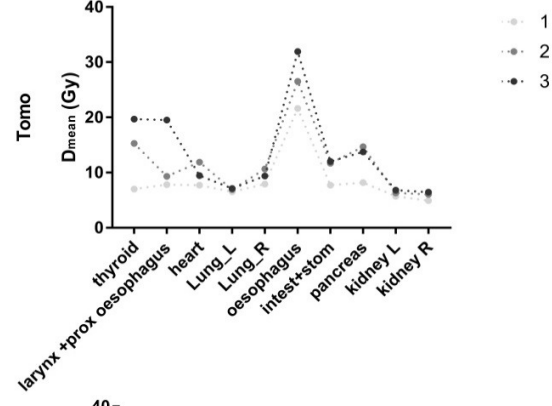
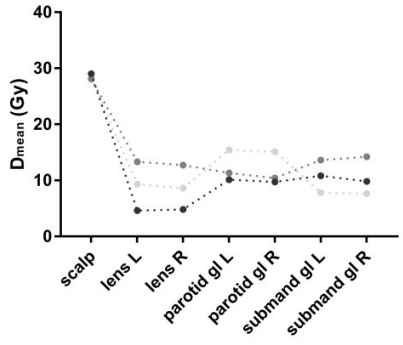
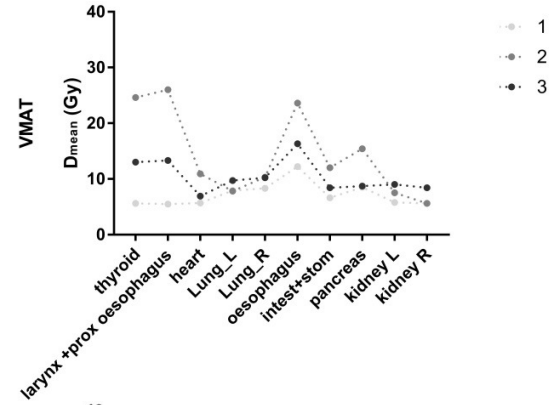
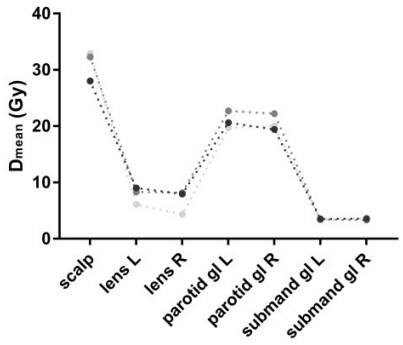
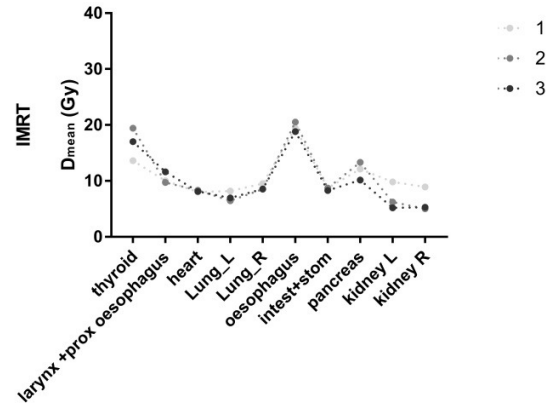
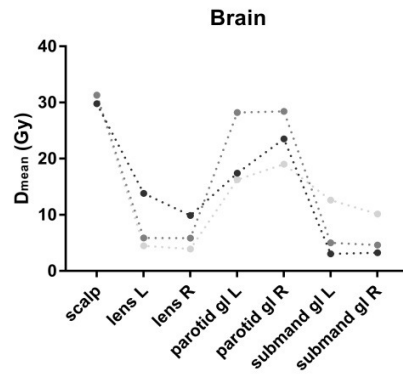
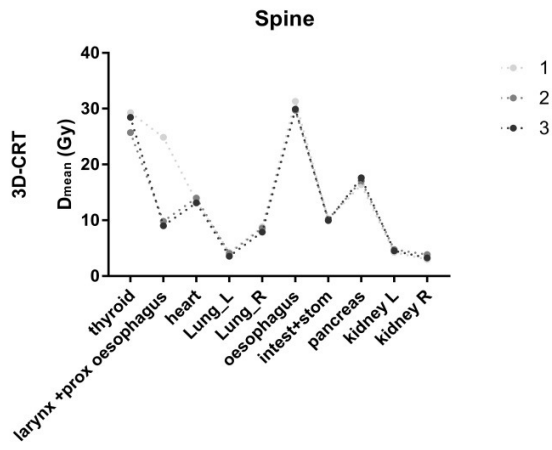
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**SUPPLEMENTARY MATERIAL**

**Table S1.** Overview of the constraints used for the OARs per technique, and per center.

	Center	3D-CRT	IMRT	VMAT	Tomotherapy	PBS
Thyroid	1	No limit	Max EUD <12 Gy	D <sub>mean</sub> <5-10 Gy	D <sub>max</sub> < 15 Gy	No limit
	2	No limit	No limit	No limit	D <sub>mean</sub> < 19.5 Gy	No limit
	3	No limit	No limit	No limit	D <sub>mean</sub> ~6-20Gy	No limit
Larynx	1	No limit	Max EUD <10 Gy	D <sub>mean</sub> <5-10 Gy	D <sub>max</sub> < 15 Gy	No limit
	2	No limit	No limit	No limit	No limit	No limit
	3	No limit	No limit	No limit	No limit	No limit
Heart	1	No limit	Max dose <20 Gy	D <sub>mean</sub> <5-8 Gy	D <sub>max</sub> < 15 Gy	No limit
	2	No limit	No limit	No limit	D <sub>mean</sub> < 14 Gy	No limit
	3	No limit	No limit	No limit	D <sub>mean</sub> ~6-11Gy	No limit
Lungs	1	No limit	Max DVH 5% <20Gy	D <sub>mean</sub> <5-10 Gy	D <sub>max</sub> < 34 Gy	No limit
	2	No limit	No limit	No limit	D <sub>mean</sub> < 12 Gy	No limit
	3	No limit	No limit	No limit	D <sub>mean</sub> ~6-11Gy	No limit
Oesophagus	1	No limit	Max EUD <10 Gy	D <sub>mean</sub> < 14 Gy	No limit	No limit
	2	No limit	No limit	No limit	D <sub>mean</sub> < 33 Gy	No limit
	3	No limit	No limit	No limit	D <sub>mean</sub> ~10-20Gy	No limit
Intestine+stomach	1	No limit	No limit	No limit	D <sub>max</sub> < 30 Gy	No limit
	2	No limit	No limit	No limit	V40Gy < 100 %	No limit
	3	No limit	No limit	No limit	No limit	No limit
Pancreas	1	No limit	No limit	No limit	No limit	No limit
	2	No limit	No limit	No limit	No limit	No limit
	3	No limit	No limit	No limit	No limit	No limit
Kidneys	1	No limit	Max DVH 5% <20Gy V20 aim < 25%, accept < 35%	D <sub>mean</sub> <5-8 Gy	D <sub>max</sub> < 34 Gy	No limit
	2	No limit	No limit	No limit	D <sub>mean</sub> < 10 Gy	No limit
	3	No limit	No limit	No limit	D <sub>mean</sub> ~4-7Gy	No limit
Scalp	1	No limit	Max EUD <12 Gy	No limit	No limit	No limit
	2	No limit	No limit	No limit	No limit	No limit
	3	No limit	No limit	No limit	No limit	No limit
Lens	1	No limit	Max EUD <10 Gy	D <sub>mean</sub> < 12-14 Gy	D <sub>max</sub> <4.5 Gy	D <sub>max</sub> < 10Gy
	2	No limit	No limit	No limit	D <sub>mean</sub> < 6 Gy	D <sub>mean</sub> < 8Gy
	3	D <sub>mean</sub> <10Gy	No limit	No limit	D <sub>mean</sub> < 28 Gy	No limit
Parotid glands	1	No limit	Max dose <20 Gy	D <sub>mean</sub> <5-10 Gy	D <sub>max</sub> < 25 Gy	No limit
	2	No limit	No limit	No limit	D <sub>mean</sub> < 12 Gy	No limit
	3	No limit	No limit	No limit	No limit	No limit
Submandibularis glands	1	No limit	Max DVH 5% <20Gy	D <sub>mean</sub> <5-10 Gy	No limit	No limit
	2	No limit	No limit	No limit	D <sub>mean</sub> < 12 Gy	No limit
	3	No limit	No limit	No limit	No limit	No limit

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594 **Figure S1.**  $D_{\text{mean}}$  (Gy) for the organs at risk surrounding the brain and the spine per  
595 technique and per center.

596 [Tomo: Tomotherapy; PBS: proton pencil beam scanning].

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641 **LEGEND OF THE FIGURES**

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643 **Figure 1**

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645 Craniospinal axis dose distribution with photons (3D-CRT, IMRT, VMAT, Tomotherapy) and  
646 protons. Only one out of three generated plans per technique is depicted.

647

648 **Figure 2:**

649 CI, HI, D2% and D98% of the PTV<sub>brain</sub> and PTV<sub>spine</sub> per center and per technique

650 [Tomo: Tomotherapy; PBS: proton pencil beam scanning].

651

652 **Figure 3:**

653 Median  $D_{\text{mean}}$  (Gy) for the organs at risk surrounding the brain (A) and the spine (B). Error  
654 bars show the range (min, max) per technique

655 [Tomo: Tomotherapy; PBS: proton pencil beam scanning].

656

657 **Figure S1:**

658  $D_{\text{mean}}$  (Gy) for the organs at risk surrounding the brain and the spine per technique and per  
659 center.

660 [Tomo: Tomotherapy; PBS: proton pencil beam scanning].

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