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Pooled Systemic Efficacy and Safety Data from the Pivotal Phase II Studies  
(NP28673 and NP28761) of Alectinib in *ALK*-positive Non-Small-Cell Lung Cancer

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1 **Pooled Systemic Efficacy and Safety Data from the Pivotal Phase II Studies (NP28673**  
2 **and NP28761) of Alectinib in ALK-positive Non-Small-Cell Lung Cancer**

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41 personal fees from Merck and BMS IION foundation. Kim received personal fees from  
42 Roche. Barlesi received consulting fees from Roche. Govindan received travel  
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50 declared no conflict of interest. Dansin received personal fees from BMS, AstraZeneca and  
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52 ownership at Roche. Shaw received consulting fees from Ignyta, Taiho and ad board fees  
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54 EMD Serono and Foundation Medicine.

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82**ABSTRACT**

**Introduction:** Alectinib demonstrated clinical efficacy and an acceptable safety profile in two phase II studies (NP28761 and NP28673). Here we report pooled efficacy and safety data after 15 and 18 months' longer follow-up than the respective primary analyses.

**Materials and methods:** Enrolled patients had *ALK*-positive NSCLC and had progressed on, or were intolerant to, crizotinib. Patients received oral alectinib 600 mg twice daily. The primary endpoint in both studies was objective response rate (ORR) assessed by an independent review committee (IRC) using Response Evaluation Criteria in Solid Tumors (RECIST v1.1). Secondary endpoints included disease control rate (DCR); duration of response (DOR); progression-free survival (PFS); overall survival (OS); and safety.

**Results:** The pooled dataset included 225 patients (n=138 NP28673; n=87 NP28761). The response-evaluable (RE) population included 189 patients (84%; n=122 NP28673; n=67 NP28761). In the RE population, ORR by IRC was 51.3% (95% confidence interval [CI], 44.0–58.6; all partial responses), DCR was 78.8% (95% CI, 72.3–84.4), and median DOR was 14.9 months (95% CI, 11.1–20.4) after 58% of events. Median PFS by IRC was 8.3 months (95% CI, 7.0–11.3) and median OS was 26.0 months (95% CI, 21.4–not estimable). Grade  $\geq 3$  adverse events (AEs) occurred in 40% of patients, 6% withdrew treatment due to AEs and 33% had AEs leading to dose interruptions/modification.

**Conclusion:** This pooled data analysis confirmed the robust systemic efficacy of alectinib in *ALK*-positive NSCLC with a durable response rate. Alectinib also had an acceptable safety profile with a longer duration of follow-up.

**Key Words:** Alectinib; Non-Small-Cell Lung Cancer; NP28673; NP28761; Pooled Analysis.

83

**INTRODUCTION**

84 Non-small-cell lung cancer (NSCLC) harboring a chromosomal rearrangement of the  
85 anaplastic lymphoma kinase (*ALK*) gene (*ALK*-positive NSCLC), represents a distinct  
86 molecular subset of the disease, which affects approximately 5% of patients.<sup>1</sup> Crizotinib is  
87 the current standard of care for *ALK*-positive NSCLC and has extended progression-free  
88 survival (PFS) compared with cytotoxic chemotherapy (10.9 months versus 7.7 months,  
89 respectively) in the first- and second-line treatment setting.<sup>2,3</sup> Unfortunately, almost half of  
90 crizotinib-treated patients relapse within the first year. This is usually as a result of poor  
91 control of disease within the central nervous system (CNS), which is the most common site  
92 of disease progression (PD),<sup>4,5</sup> or due to secondary *ALK* resistance mutations.<sup>6,7,8</sup>

93

94 Second-generation *ALK* inhibitors have been developed with the aim of improving efficacy in  
95 patients with *ALK*-positive NSCLC, including those with CNS metastases. The *ALK* inhibitor  
96 ceritinib was granted accelerated approval by the US Food and Drug Administration (FDA) in  
97 2014 for use in patients with *ALK*-positive, metastatic NSCLC who had progressed on, or  
98 were intolerant to, crizotinib.<sup>9</sup> The European Medicines Agency (EMA) subsequently  
99 approved ceritinib in 2015 for use in the same indication.<sup>10</sup> The approvals were based on a  
100 phase I and phase II study of ceritinib in patients with *ALK*-positive NSCLC, which  
101 demonstrated median PFS of 5.7–6.9 months and objective response rates (ORRs) of 39–  
102 56%.<sup>11,12</sup> Recently, the FDA approval was extended to treatment-naïve patients with  
103 metastatic *ALK*-positive NSCLC.<sup>13</sup> The extended approval was based on results from the  
104 ASCEND-4 trial, which demonstrated superior PFS with ceritinib versus platinum-  
105 pemetrexed doublet chemotherapy in patients with treatment-naïve, *ALK*-positive NSCLC  
106 (median 16.6 vs 8.1 months; hazard ratio [HR] 0.55, 95% confidence interval [CI] 0.42–0.73;  
107  $p < 0.0001$ );<sup>14</sup> a similar trend was observed in patients with CNS metastases at baseline, but  
108 this was not significant. ORRs were improved with ceritinib versus chemotherapy,  
109 respectively, in the overall study population (73% vs 27%) and in those with measurable  
110 CNS disease at baseline (46% vs 21%).<sup>14</sup>

111  
112 Alectinib is a potent and highly selective ALK inhibitor that has demonstrated both systemic  
113 and CNS efficacy in *ALK*-positive NSCLC in a number of studies.<sup>15–18</sup> Alectinib was  
114 approved in Japan in 2014, for the treatment of ALK inhibitor-naïve patients with *ALK*-  
115 positive NSCLC, following results of a phase I/II study (AF001-JP). This study reported a  
116 high ORR of 93.5% (95% CI 82–99); follow-up for this study is still ongoing with a 3-year  
117 PFS rate of 62% (95% CI 45–75).<sup>19</sup> Similarly, significant clinical activity was reported with  
118 alectinib in two pivotal phase II studies, one global (NP28673; NCT01801111) and one North  
119 American (NP28761; NCT01871805), in patients with *ALK*-positive NSCLC who had  
120 received prior crizotinib. ORRs of 50.8% (95% CI 41.6–60.0) and 52.2% (95% CI 39.7–64.6)  
121 were observed in NP28673 and NP28761, respectively (data cut-off 27 April 2015), with  
122 median durations of response (DOR) of 14.1 months (95% CI 10.9–not estimable [NE]; 44%  
123 of events) and 13.5 months (95% CI 6.7–NE; 40% of events), respectively. Alectinib was  
124 well tolerated in the global and North American studies, as reflected by the rates of dose  
125 interruptions (23% and 36%, respectively), dose reductions (10% and 16%) and withdrawals  
126 due to adverse events (AEs) (9% and 2%, respectively) reported (27 April 2015 data cut-  
127 off).<sup>17,18</sup> Data from these two phase II studies led to the accelerated approval of alectinib in  
128 2015 by the FDA for the treatment of patients with *ALK*-positive NSCLC who have  
129 progressed on, or are intolerant to, crizotinib.<sup>20</sup> Alectinib has also received conditional  
130 approval for the same patient population from the EMA. Data from the first-line, phase III,  
131 global ALEX study demonstrated that patients treated with alectinib had a longer PFS than  
132 patients treated with crizotinib.<sup>21</sup>

133  
134 Here, we present pooled efficacy and safety analyses from these phase II studies with 15  
135 and 18 months' longer follow-up than the respective primary analyses for NP28761 (data  
136 cut-off of 22 January 2016 versus 24 October 2014) and NP28673 (data cut-off of 1  
137 February 2016 versus 18 August 2014).

138

## METHODS

## 139 Study Design

140 NP28673 and NP28761 were phase II, single-arm, open-label, multicenter studies.  
141 NP28673 was conducted across 16 countries at 56 sites and patients were enrolled between  
142 20 June 2013 and 23 April 2014. NP28761 was undertaken in 27 centers across the USA  
143 and Canada, with patients enrolled between 3 May 2012 and 4 August 2014; this timeframe  
144 also included a phase I dose-finding step, hence, the phase II portion of the study  
145 commenced on 4 September 2013. Both studies were undertaken in accordance with the  
146 principles of the Declaration of Helsinki and Good Clinical Practice Guidelines, and written  
147 informed consent was obtained from all patients. Full methodology for each study has been  
148 published previously.<sup>17,18</sup>

149

## 150 Eligibility Criteria

151 Both studies enrolled patients who were aged  $\geq 18$  years, with locally advanced or  
152 metastatic *ALK*-positive NSCLC as assessed by an FDA-approved fluorescence *in situ*  
153 hybridization test. Eligible patients had an Eastern Cooperative Oncology Group (ECOG)  
154 performance status (PS) of  $\leq 2$ , and had progressed on crizotinib. Patients with  
155 asymptomatic baseline CNS metastases (treated or untreated with radiation) and those who  
156 had received prior chemotherapy were permitted to enroll into both studies. Patients were  
157 excluded if they had received prior *ALK* inhibitor treatment other than crizotinib.

158

## 159 Study Treatment

160 All patients received 600 mg oral alectinib twice daily with a meal, until PD,  
161 unacceptable toxicity, withdrawal or death. In both studies there was a minimum washout  
162 period of 7 days between the last dose of crizotinib and the first dose of alectinib.

163

## 164 Study Endpoints

165 The primary endpoint of the pooled analysis was ORR assessed by an Independent  
166 Review Committee (IRC) using Response Evaluation Criteria in Solid Tumors (RECIST)

167 v1.1. The secondary endpoints for both studies included disease control rate (DCR), DOR,  
168 PFS, overall survival (OS), and safety. CNS secondary endpoints were also evaluated  
169 including CNS ORR and CNS DOR, and will be reported in a separate analysis.

170

### 171 **Statistical Analysis**

172 Response endpoints were assessed in the response-evaluable (RE) population,  
173 which comprised patients with measurable disease at baseline who received at least one  
174 dose of alectinib. The safety population comprised all patients who received at least one  
175 dose of alectinib. ORR was defined as the proportion of patients achieving a best overall  
176 response of confirmed complete response (CR) or partial response (PR) in the RE  
177 population. PFS and OS were assessed in the safety population. PFS was calculated from  
178 the date of first dose of alectinib until PD or death. OS was calculated from the date of first  
179 dose of alectinib until death. Time-to-event data (PFS, OS and DOR) were estimated using  
180 Kaplan-Meier analyses.

181

## 182 **RESULTS**

### 183 **Patients**

184 The pooled dataset comprised 225 patients (138 patients from study NP28673 and  
185 87 patients from study NP28761) (Supplementary Fig. 1). The RE population according to  
186 IRC included 189 patients (84%), comprising 122 patients from study NP28673 and 67  
187 patients from study NP28761. Baseline characteristics were similar across both studies  
188 (Table 1). Briefly, median patient age was 53 years (range, 22–79); 67% of patients had an  
189 ECOG PS of 1/2 and the majority of patients were White (74%). Overall, 136 (60%) patients  
190 had baseline CNS metastases and 174 (77%) had received prior chemotherapy (Table 1).

191

### 192 **Efficacy**

193 At the data cut-off (NP28673:1 February 2016 and NP28761: 22 January 2016),  
194 median follow-up for the pooled dataset was 18.8 months (range 0.6–29.7). In the RE



195 population, the ORR by IRC was 51.3% (95% CI 44.0–58.6), with 97/189 patients achieving  
196 a PR and there were no CRs. Stable disease (SD) was reported in 52/189 patients (28%)  
197 giving a DCR of 78.8% (95% CI 72.3–84.4). Median DOR was 14.9 months (95% CI 11.1–  
198 20.4) after 58% of events.

199  
200 Of the patients who had received prior chemotherapy in the RE population (n=148), 73  
201 (49%) achieved a PR; there were no CRs, giving an IRC-assessed ORR of 49.3% (95% CI  
202 41.0–57.7). In total, 44/148 patients had SD (30%), resulting in a DCR of 79.1% (95% CI  
203 71.6–85.3). The median DOR in this subgroup was also 14.9 months (95% CI 11.0–21.9)  
204 based on 59% of events.

205  
206 Overall, 24/41 (59%) chemotherapy-naïve patients in the RE population achieved a PR;  
207 there were no CRs, giving an IRC-assessed ORR of 58.5% (95% CI 42.1–73.7). SD was  
208 reported in 8/41 patients (20%) giving a DCR in this population of 78.0% (95% CI 62.4–  
209 89.4). The median DOR was 11.2 months (95% CI 8.0–NE) after 54% of events.

210  
211 A subgroup analysis of IRC-assessed ORR was performed to evaluate different prognostic  
212 factors, including gender, race, ECOG PS, CNS metastases at baseline, smoking status and  
213 prior chemotherapy. Objective response rates were generally consistent across most  
214 subgroups. Patients with an ECOG PS 0 had a numerically higher response rate compared  
215 with patients with ECOG PS 1 or 2 (65.6% [95% CI 52.3–77.3] versus 45.0% [95% CI 35.6–  
216 54.8] or 41.2% [95% CI 18.4–67.1], respectively). The analysis also showed a higher  
217 response rate in patients who were never-smokers at baseline compared with those who  
218 were past smokers (55.9% [95% CI 46.8–64.7] versus 39.0% [95% CI 26.5–52.6],  
219 respectively) (Table 2). However, it should be noted that the subgroups were relatively small  
220 and confidence intervals were overlapping.

221

222 In the pooled population, 156/225 patients (69%) had a PFS event according to the IRC at

223 the data cut-off. The median PFS was 8.3 months (95% CI 7.0–11.3) (Fig. 1) and the 6  
224 month event-free rate was 59.9% (95% CI 53.5–66.4). For patients who had only received  
225 crizotinib treatment prior to receiving alectinib (51/225; 23%), the median PFS was 8.4  
226 months (95% 5.6–16.6). With regards to OS, 96/225 patients (43%) had an OS event at the  
227 data cut-off. The median OS was 26.0 months (95% CI 21.4–NE) and the 6 month event-  
228 free rate was 85.3% (95% CI 80.6–89.9) (Fig. 2).

229

### 230 **Safety**

231 Safety was evaluated in the pooled safety population of 225 patients (138 patients  
232 from study NP28673 and 87 patients from study NP28761). The mean dose intensity of  
233 alectinib was 94.1%.

234

235 AEs occurring at a frequency of >20% (any grade) were constipation (38%), fatigue (34%),  
236 peripheral edema (28%), myalgia (25%), nausea (23%), cough (21%) and headache (21%).

237 A summary of AEs occurring at a frequency of >10% are shown in Table 3. Grade 3–5 AEs  
238 occurred in 40% of patients and the most common were dyspnea (4%), elevated levels of  
239 blood creatine phosphokinase (4%), alanine aminotransferase (3%) and aspartate  
240 aminotransferase (3%). Seven patients (3%) died during the study, including two cases of  
241 hemorrhage and one case each of dyspnea, endocarditis, intestinal perforation, pulmonary  
242 embolism, and unspecified death. Only two deaths (1%) were considered by the investigator  
243 to be treatment-related (hemorrhage and intestinal perforation).

244

245 AEs leading to dose modification or interruptions occurred in 33% of patients (n=75), while  
246 AEs leading to treatment withdrawal were reported in 6% of patients (n=14) (Table 4).

247

248

## 248 **DISCUSSION**

249 Alectinib has demonstrated clinical systemic and CNS efficacy in two pivotal phase II  
250 trials, achieving high response rates and durable responses.<sup>17,18</sup> In the present analysis,

251 efficacy and safety data were pooled from these phase II trials, with 15 and 18 months'  
252 longer follow-up for NP28761 and NP28673, respectively. These data confirmed the clinical  
253 activity and acceptable safety profile of alectinib in patients with *ALK*-positive NSCLC,  
254 following treatment with crizotinib.

255  
256 Despite the differences in standard-of-care for *ALK*-positive NSCLC between the USA and  
257 the rest of the world, the patient populations in NP28761 and NP28673 were very similar,  
258 with 80% and 74% of patients progressing on prior chemotherapy and crizotinib,  
259 respectively. Other baseline characteristics were also very similar across the two studies  
260 including patient age (median 54 versus 52 years); proportion of male patients (45 versus  
261 44%); patients with an ECOG PS of 0/1 (90 versus 91%) and patients with baseline CNS  
262 disease (60 versus 61%) in the North American and global studies respectively, supporting  
263 the rationale for combining these datasets.

264  
265 The ORR of 51.3% that we observed in the present analysis is consistent with the ORRs  
266 reported in the individual primary and updated analyses of NP28673 (49.2% and 50.8%,  
267 respectively) and NP28761 (47.8% and 52.2%, respectively).<sup>17,18</sup> In this pooled analysis,  
268 alectinib demonstrated efficacy regardless of prior treatment with chemotherapy, with an  
269 ORR of 49.3% for patients who received prior chemotherapy compared with 58.5% in  
270 patients who were chemotherapy-naïve.

271  
272 Overall, the safety profile of alectinib in this pooled analysis was consistent with data  
273 reported in the primary publications.<sup>17,18</sup> Alectinib was well tolerated and the majority of AEs  
274 were grade 1/2 in severity, with only 1% of deaths reported as being treatment related.  
275 During the pooling of these study data, exposure-response analysis was also performed.  
276 Multivariate logistic regression and Cox proportional hazards analyses of the efficacy data  
277 demonstrated no statistically significant relationship between alectinib exposure and best  
278 overall response or PFS across the two studies, and logistic regression analysis

279 demonstrated no statistically significant relationship between alectinib exposure and safety  
280 endpoints.<sup>22</sup> These exploratory analyses confirm that the alectinib dosing regimen of 600 mg  
281 twice daily provides exposures within the expected plateau range of response, supporting its  
282 selection as the global dosing regimen.

283  
284 Crizotinib was the first ALK inhibitor to be approved for the treatment of *ALK*-positive NSCLC  
285 and is the current standard of care. Crizotinib prolongs PFS, increases ORR and shows a  
286 greater improvement in global quality of life compared to chemotherapy in both previously-  
287 treated and treatment-naïve, *ALK*-positive NSCLC.<sup>2,3</sup> Ceritinib was also approved for the  
288 treatment of crizotinib-pretreated patients with *ALK*-positive NSCLC, after achieving ORR  
289 rates of 39–56% and a median PFS of 5.7–6.9 months in phase I and II studies.<sup>11,12</sup>

290 Recently, ceritinib was also approved in the first-line setting for patients with *ALK*-positive  
291 NSCLC, based on superior PFS and ORRs versus chemotherapy reported in the ASCEND-4  
292 trial.<sup>14</sup> The ORR and PFS for ceritinib are comparable with those of alectinib in this pooled  
293 analysis, but in the ASCEND-2 trial,<sup>12</sup> ceritinib was associated with high rates of dose  
294 interruptions (76%), modifications or discontinuations (54%). In contrast, alectinib  
295 demonstrated an acceptable safety profile and good tolerability in this pooled analysis, as  
296 reflected by the rates of dose interruptions and modifications (33%) and low withdrawal rates  
297 (6%). A recent study of the ALK inhibitor brigatinib, in the same setting as the two alectinib  
298 studies presented here, showed ORR of 45–54% and median PFS of 9.2–12.9 months with  
299 doses of 90 mg once daily (q.d) or 90 mg q.d for 7 days followed by 180 mg q.d,  
300 respectively. Compared with alectinib, brigatinib showed comparable rates of dose  
301 reductions (7%) and dose interruptions (18%) due to AEs at the lower dose, however, at the  
302 higher dose, brigatinib showed greater rates of dose reductions (20%), dose interruptions  
303 (36%) and discontinuations (8%).<sup>23</sup>

304  
305 Here we report the systemic efficacy and safety of the pooled population, while an analysis  
306 of the activity of alectinib on CNS metastases in this pooled dataset has recently been

307 published.<sup>24</sup> Alectinib achieved a CNS ORR of 64.0% (95% CI 49.2–77.1) with a CNS DCR  
308 of 90.0% (95% CI 78.2–96.7) and CNS DOR of 10.8 months (95% CI 78.2–90.8), showing  
309 good CNS efficacy.

310

311 Two ongoing phase III studies are directly comparing the efficacy of alectinib with crizotinib  
312 in patients with ALK inhibitor-naïve *ALK*-positive NSCLC (ALEX, NCT02075840; J-ALEX,  
313 JapicCTI-132316). Following an interim analysis, results from the J-ALEX study were  
314 released early, as the primary endpoint of PFS demonstrated superiority compared with  
315 crizotinib treatment (HR 0.34 [99.6826% CI 0.17–0.70, stratified log-rank  $p < 0.0001$ ]; median  
316 PFS not reached [95% CI 20.3–NE] versus 10.2 months [95% CI 8.2–12.0], for alectinib  
317 versus crizotinib).<sup>25, 24</sup> Grade 3/4 AEs were observed at a greater frequency in the crizotinib  
318 arm (52%) compared with the alectinib arm (27%) and rates of drug interruptions were lower  
319 with alectinib than with crizotinib (29% versus 74%, respectively). Primary data from the  
320 global ALEX study also showed that alectinib had a superior PFS compared with crizotinib  
321 (12-month event-free survival rate, 68.4% [95% CI, 61.0–75.9] with alectinib versus 48.7%  
322 [95% CI, 40.4–56.9] with crizotinib.<sup>21</sup>

323

324 In conclusion, results from this pooled analysis showed that alectinib 600 mg twice daily  
325 demonstrated clinical activity and was well tolerated in patients with *ALK*-positive NSCLC  
326 who had progressed on crizotinib. Efficacy was shown in patients who had received prior  
327 chemotherapy as well as in those who were chemotherapy-naïve.

328

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ACCEPTED MANUSCRIPT

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418 **TABLE 1.** Demographic and Baseline Characteristics of the Pooled Population (ITT  
 419 Population)

	<b>NP28761</b>	<b>NP28673</b>	<b>Difference</b>	<b>Pooled</b>
	<b>(n=87)</b>	<b>(n=138)</b>	<b>Between</b>	<b>Population</b>
			<b>Cohorts, %</b>	<b>(N=225)</b>
Median age, years (range)	54 (29–79)	52 (22–79)	2 years	53 (22–79)
Sex, n (%)				
Male	39 (45)	61 (44)	1	100 (44)
Female	48 (55)	77 (56)	1	125 (56)
ECOG PS, n (%)				
0	30 (34)	44 (32)	2	74 (33)
1	48 (55)	81 (59)	4	129 (57)
2	9 (10)	13 (9)	1	22 (10)
Race, n (%)				
White	73 (84)	93 (67)	17	166 (74)
Asian	7 (8)	36 (26)	18	43 (19)
Other	3 (3)	4 (3)	0	7 (3)
Black/African American	3 (3)	1 (0.7)	2.3	4 (2)
Multiple	1 (1)	0 (0)	1	7 (3)
Unknown	0	3 (2)	2	1 (0.4)
American Indian/Alaska	0	1 (0.7)	0.7	1 (0.4)
Native				

CNS metastases, n (%)				
Yes	52 (60)	84 (61)	1	136 (60)
No	35 (40)	54 (39)	1	89 (40)
Histology, n (%)				
Adenocarcinoma	82 (94)	133 (96)	2	215 (96)
Other	5 (6)	5 (4)	2	10 (4)
Prior chemotherapy, n (%)				
Yes	64 (74)	110 (80)	6	174 (77)
No	23 (26)	28 (20)	6	51 (23)
Crizotinib + prior therapies				
Crizotinib only	23 (26)	28 (20)	6	51 (23)
+1 therapy	0	52 (38)	38	52 (23)
+2 therapies	19 (22)	16 (12)	10	35 (16)
+3 therapies	18 (21)	17 (12)	9	35 (16)
+4 therapies	14 (16)	16 (12)	4	30 (13)
+5 therapies	8 (9)	4 (3)	6	12 (5)
≥6 therapies	5 (6)	5 (4)	2	10 (4)
Smoking status				
Active smoker	0	3 (2)	2	3 (1)
Past smoker	33 (38)	39 (28)	10	72 (32)
Never-smoker	54 (62)	96 (70)	8	150 (67)

420 CNS, central nervous system; ECOG, Eastern Cooperative Oncology Group; PS,

421 performance status.

422 **TABLE 2.** Subgroup Analyses of IRC Objective Response Rate in the Pooled Population  
 423 (IRC RE Population)

	Patients Per	Responders Per Subgroup	
	Subgroup (n=189)	n (%)	95% CI
Sex			
Male	88	46 (52.3)	41.4–63.0
Female	101	51 (50.5)	40.4–60.6
Race			
White	137	70 (51.1)	42.4–59.7
Asian	38	23 (60.5)	43.4–76.0
Other	14	4 (28.6)	8.4–58.1
ECOG PS at baseline			
0	61	40 (65.6)	52.3–77.3
1	111	50 (45.0)	35.6–54.8
2	17	7 (41.2)	18.4–67.1
CNS metastases at baseline			
Yes	113	55 (48.7)	39.2–58.3
No	76	42 (55.3)	43.4–66.7
Prior chemotherapy			
Yes	148	73 (49.3)	41.0–57.7
No	41	24 (58.5)	42.1–73.7
Number of prior regimens			
1–2	89	48 (53.9)	43.0–64.6
3–9	100	49 (49.0)	38.9–59.2
Smoking status at screening			

Active smoker	3	3 (100.0)	29.2–100.0
Past smoker	59	23 (39.0)	26.5–52.6
Never-smoker	127	71 (55.9)	46.8–64.7
Time on prior crizotinib			
≤ median	105	48 (45.7)	36.0–55.7
≥ median	84	49 (58.3)	47.1–69.0
Best response on crizotinib			
Complete response	1	1 (100)	2.5–100.0
Partial response	84	50 (59.5)	48.3–70.1
Stable disease	43	19 (44.2)	29.1–60.1
Progressive disease	47	21 (44.7)	30.2–59.9
Unknown/N/A/NE	14	6 (42.9)	17.7–71.1

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425 CI, confidence interval; CNS, central nervous system; ECOG, Eastern Cooperative  
 426 Oncology Group; NE, not evaluable; N/A, not applicable; PS, performance status; RE,  
 427 response evaluable.

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435 **Table 3.** Adverse Events with an Incidence Rate of >10% in the Pooled Studies (ITT

436 Population)

Adverse Event, n (%)	NP28761 (n=87)	NP28673 (n=138)	Difference	Pooled
			Between Cohorts, %	Population (N=225)
Patients with $\geq 1$ adverse event	84 (97)	135 (98)	1	219 (97)
Constipation	32 (37)	53 (38)	1	85 (38)
Fatigue	33 (38)	43 (31)	7	76 (34)
Peripheral edema	22 (25)	41 (30)	5	63 (28)
Myalgia	22 (25)	35 (25)	0	57 (25)
Nausea	21 (24)	30 (22)	2	51 (23)
Cough	18 (21)	30 (22)	1	48 (21)
Headache	21 (24)	26 (19)	5	47 (21)
Diarrhea	20 (23)	22 (16)	7	42 (19)
Dyspnea	17 (20)	23 (17)	3	40 (18)
Increased aspartate aminotransferase	18 (21)	18 (13)	8	36 (16)
Anemia	17 (20)	16 (12)	8	33 (15)
Weight increased	16 (18)	17 (12)	6	33 (15)
Asthenia	2 (2)	30 (22)	20	32 (14)
Upper respiratory tract infection	13 (15)	19 (14)	1	32 (14)
Vomiting	11 (13)	21 (15)	2	32 (14)
Increased alanine aminotransferase	16 (18)	15 (11)	7	31 (14)
Rash	8 (9)	22 (16)	7	30 (13)

Back pain	10 (11)	18 (13)	2	28 (12)
Increased blood bilirubin	9 (10)	18 (13)	3	27 (12)
Increased blood creatinine phosphokinase	20 (23)	6 (4)	19	26 (12)
Dizziness	11 (13)	15 (11)	2	26 (12)
Photosensitivity reaction	10 (11)	16 (12)	1	26 (12)
Arthralgia	10 (11)	15 (11)	0	25 (11)
Insomnia	11 (13)	12 (9)	4	23 (10)
Decreased appetite	5 (6)	17 (12)	6	22 (10)
Upper abdominal pain	4 (5)	17 (12)	7	21 (9)
Nasopharyngitis	3 (3)	16 (12)	9	19 (8)
Increased blood alkaline phosphatase	12 (14)	5 (4)	10	17 (8)
Hypokalemia	9 (10)	7 (5)	5	16 (7)
Oropharyngeal pain	2 (2)	14 (10)	8	16 (7)
Hypertriglyceridemia	11 (13)	0	13	11 (5)

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440 **Table 4.** Adverse Events Leading to Dose Modification, Interruption or Withdrawal in the  
 441 Pooled Studies (ITT Population).

	<b>NP28761</b>	<b>NP28673</b>	<b>Pooled Population</b>
<b>Outcome, n (%)</b>	<b>(n=87)</b>	<b>(n=138)</b>	<b>(N=225)</b>
AE leading to withdrawal from study	2 (2)	12 (9)	14 (6)
AE leading to withdrawal from treatment	2 (2)	12 (9)	14 (6)
AE leading to dose modification or interruption	37 (43)	38 (28)	75 (33)
Serious AE leading to withdrawal from treatment	1 (1)	8 (6)	9 (4)
Serious AE leading to dose modification or interruption	9 (10)	13 (9)	22 (10)
Related AE leading to withdrawal from treatment	2 (2)	8 (6)	10 (4)
Related AE leading to dose modification or interruption	24 (28)	23 (17)	47 (21)

442 AE, adverse event

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446 **FIGURE LEGENDS**

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448 **FIGURE 1.** IRC Progression-free survival of the pooled population (ITT Population, N=225).

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450 **FIGURE 2.** Overall survival of the pooled population (ITT Population, N=225).

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454 **SUPPLEMENTARY FIGURE 1.** CONSORT diagram

455 \*IRC RE population defined as patients with measurable disease at baseline according to the IRC.

456 (Not possible to include information regarding the reason for treatment discontinuations in either

457 study, as these data are not available).

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