# Running head: DISTINCT TEMPORAL AND SPATIAL ENSEMBLE CODING

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2	Temporal and spatial ensemble statistics are formed by distinct
3	mechanisms
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10	Manuscript accepted for publication in Cognition on the 04/11/2019
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23	Declarations of interest: none
24	
25	
26	
27	Abstract word count: 312
28	Introduction and Discussion word count: 2990
29	Method and Results word count: 5623
30	Number of References: 76
31	
32	

\*Revised Manuscript (Clean version) Click here to view linked References

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1

## Abstract

2 Our brains can extract a summary representation of the facial characteristics provided by a group of faces. To date, there has been a lack of clarity as to what calculations the brain is 3 4 actually performing during this ensemble perception. For example, does ensemble processing 5 average the fiducial points (e.g., distance between the eyes, width of the mouth) and surface 6 characteristics (e.g., skin tone) of a set of faces in a fashion that produces what we call a 'morph 7 average' face from the group? Or does ensemble perception extract a general 'gist average' of the 8 face set (e.g., these faces are unattractive)? Here, we take advantage of the fact that the 'morph 9 average' face derived from a group of faces is more attractive than the 'gist average'. If ensemble 10 perception is performing morph averaging, then the adaptation aftereffects elicited by a morphed 11 average face from a group should be equivalent to those elicited by the group. By contrast, if 12 ensemble perception reflects gist averaging, then the aftereffects produced by the group should 13 be distinct from those elicited by the more attractive morphed average face. In support of the 14 morph averaging hypothesis, we show that the adaptation aftereffects derived via temporal 15 ensemble perception of a group of faces are equal to those produced by the group's morphed 16 average face. Moreover, these effects increase as a linear function of increasing attractiveness in 17 the underlying group. We also reveal that spatial ensemble processing is not equal to temporal 18 ensemble processing, but instead reflects the 'gist' attractiveness of the group of faces; e.g., these 19 faces are unattractive. Finally, we show that gist averaging of a spatially presented group of faces 20 is abolished when a temporal manipulation is additionally employed; under these circumstances, 21 morph averaging becomes apparent again. In summary, we have shown for the first time that 22 temporal and spatial ensemble statistics reflect qualitatively different perceptual calculations. 23 *Keywords*: rapid serial visual presentation, adaptation, ensemble statistics, face, attractiveness

# 24 Introduction

25	When we are presented with an array of stimuli in a scene, our brains involuntarily
26	extract the ensemble statistics of the information that they convey (Alvarez, 2011; Haberman &
27	Whitney, 2007, 2012; Whitney & Yamanashi Leib, 2017). For example, we can accurately report
28	the mean emotion from a group of emotional faces (Haberman & Whitney, 2007, 2009; Whitney
29	& Yamanashi Leib, 2017; Wolfe, Kosovicheva, Leib, Wood, & Whitney, 2015; Ying & Xu, 2017).
30	Such averaging is considered to be a type of ensemble statistics (Alvarez, 2011; Ariely, 2001;
31	Haberman, Brady, & Alvarez, 2015; Haberman & Whitney, 2007, 2009, 2012; Whitney &
32	Yamanashi Leib, 2017; Ying & Xu, 2017), and can occur both spatially (i.e., multiple faces
33	presented at once in a scene; e.g., Haberman & Whitney, 2007, 2009; Ying, Burns, Lin, & Xu,
34	2019) and temporally (i.e., different faces presented one at a time in rapid succession; e.g.,
35	Haberman, Harp, & Whitney 2009; Ying & Xu, 2017).
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<ol> <li>36</li> <li>37</li> <li>38</li> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> </ol>	Despite researchers widely describing ensemble statistics as extracting the gist of a scene, it is still far from clear what this 'gist' represents (Alvarez, 2011; Whitney & Yamanashi Leib, 2017). For example, does ensemble coding extract a general representation of the group's mean characteristics, whereby the faces are summarized via what we call 'gist averaging'; e.g., the mean attractiveness of these unattractive faces is unattractive? Alternatively, does the brain calculate the fiducial points for each face (e.g., distance between eyes, width of the lips) with their surface characteristics (e.g., the redness of the cheeks), and then average them together to create a new mean face derived from this information? We call this latter form of ensemble coding 'morph averaging' due to the fact that it is very similar to how specialist computer
<ol> <li>36</li> <li>37</li> <li>38</li> <li>39</li> <li>40</li> <li>41</li> <li>42</li> <li>43</li> <li>44</li> <li>45</li> </ol>	Despite researchers widely describing ensemble statistics as extracting the gist of a scene, it is still far from clear what this 'gist' represents (Alvarez, 2011; Whitney & Yamanashi Leib, 2017). For example, does ensemble coding extract a general representation of the group's mean characteristics, whereby the faces are summarized via what we call 'gist averaging'; e.g., the mean attractiveness of these unattractive faces is unattractive? Alternatively, does the brain calculate the fiducial points for each face (e.g., distance between eyes, width of the lips) with their surface characteristics (e.g., the redness of the cheeks), and then average them together to create a new mean face derived from this information? We call this latter form of ensemble coding 'morph averaging' due to the fact that it is very similar to how specialist computer morphing software creates an average face from a group of faces (Debruine & Tiddeman, 2017,

46 Tiddeman, Burt, & Perrett, 2001).

47 Remarkably to date, there has been no clear evidence to support either the gist or morph 48 averaging accounts of ensemble coding. Here, we tested these potential hypotheses by taking 49 advantage of the well-established fact that a computer-generated average face, created by averaging the fiducial points and surface characteristics of a group of faces, is generally more 50 51 attractive than the individual faces from which the average is comprised (DeBruine, Jones, Unger, 52 & Little, 2007; Galton, 1878; Perrett, May, & Yoshikawa, 1994; Valentine, Darling, & Donnelly, 53 2004). This effect has been documented from the dawn of modern psychology, with Galton (1878) relaying that averaging leads to '... in every instance, a decided improvement of beauty' 54 55 (Valentine et al., 2004). By requiring participants to perceive facial attractiveness in a temporal 56 ensemble fashion, we can clearly test for the first time whether the morph average (i.e., the 57 ensemble statistics of the group is equivalent to the morphed average face, such that a group of 58 unattractive faces should no longer be perceived as unattractive) or the gist average (i.e., 59 ensemble perception of the group should be less attractive than the morph average, such that a 60 group of unattractive faces remains unattractive) hypothesis of ensemble coding is correct. We therefore adapted participants to a group of faces presented one at a time in rapid 61 62 serial visual presentation (RSVP; Potter, 1976). We chose an adaptation paradigm instead of a direct rating approach as adaptation is a powerful method that can detect perceptual effects even 63 64 when explicit ratings are unable to (Liu, Montaser-Kouhsari, & Xu, 2014). After adapting to a 65 face for a few seconds, the facial characteristics of the adapting face appear less apparent in 66 subsequently viewed faces (Leopold, O'Toole, Vetter, & Blanz, 2001; Luo, Burns, & Xu, 2017; 67 Rhodes & Jeffery, 2006; Webster, Kaping, Mizokami, & Duhamel, 2004; Webster & MacLeod,

2011; Xu, Dayan, Lipkin, & Qian, 2008; Ying & Xu, 2017); thus, adapting to an attractive face

69 will lead to the subsequently viewed face as being less attractive; a powerful visual illusion

70	known as an attractiveness adaptation aftereffect (Pegors, Mattar, Bryan, & Epstein, 2015;
71	Rhodes, Jeffery, Watson, Clifford, & Nakayama, 2003; Ying et al., 2019). The magnitudes of
72	these adaptation aftereffects reflect the strength of different attributes present in the adapting
73	face; i.e., an extremely attractive face will produce larger aftereffects than a face that is only
74	moderately attractive (e.g., Webster et al., 2004; Ying et al., 2019). In our first experiment, we
75	therefore compared the adaptation aftereffects produced by a group of RSVP faces, versus those
76	elicited by their computer-generated, morph average: if they are indistinguishable from one
77	another, then it would suggest that ensemble statistics is not a simple extraction of the group's
78	gist (e.g., these faces are unattractive), but instead stems from a process that is consistent with
79	morph averaging the fiducial points and surface aspects of the faces together. By contrast, if our
80	gist averaging hypothesis is correct, the computer-generated morph average face should produce
81	adaptation aftereffects that are distinct from the RSVP streams. This is because the computer-
82	generated morph average face is invariably more attractive than the underlying group it is
83	comprised of (DeBruine et al., 2007; Perrett et al., 1994; Valentine et al., 2004).

84

85

## **Experiment 1: Temporal ensemble statistics represent morph averaging**

In our first experiment, we directly tested our morph versus gist averaging hypotheses by comparing the adaptation aftereffects produced by an RSVP stream of faces to the morphed average face derived from their group. If ensemble coding represents the morph average, then we should observe (a) similar and correlated aftereffects between the RSVP face stream and its computer-generated morph average, and (b) since this morph average will be more attractive than the individual faces in the group, the unattractive face stream may fail to generate aftereffects in the direction that we would expect from those typically induced by unattractive 93 faces (e.g., the faces may produce no aftereffects, or even make faces presented after them seem
94 less attractive). On the other hand, if the ensemble coding represents gist averaging, then the
95 unattractive face stream should generate a significant aftereffect (e.g., faces presented after the
96 stream should appear more attractive relative to no adaptation baseline) since the gist average of
97 an unattractive face stream is still considered to be unattractive.

98

99 **Experiment 1: Methods** 

## 100 Participants

101 Twenty-nine participants (14 Females; Mean Age: 22.03) with normal or corrected-to-102 normal vision were recruited from Nanyang Technological University. We aimed to recruit 30 103 participants; however, one dropped out during the experiment and was not replaced, thus leaving 104 us with only 29 participants. We selected this sample size based upon previous face 105 attractiveness adaptation work (n = 30 in Pegors et al, 2015). Written informed consent was 106 provided by participants in all four experiments beforehand. This study was approved by the 107 Institutional Review Board (IRB) at Nanyang Technological University, Singapore, in 108 accordance with the Code of Ethics of the World Medical Association (Declaration of Helsinki) 109 for experiments involving human participants.

110

## 111 Apparatus

Visual stimuli were presented on a 17-inch Philips CRT monitor (refresh rate 85 Hz,
spatial resolution 1024 × 768 pixels; comparison between CRT and LCD monitor can be found
in Zhang et al., 2018). The monitor was controlled by an iMac Intel Core i3 computer running

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115 Matlab R2010a (Mathworks, MA, USA) via Psychophysics Toolbox (Brainard, 1997; Pelli,

116 1997). The experiment was conducted in a dimly lit room. During the experiment, participants
117 rested their heads on a chin rest 75 cm in front of the monitor. Each pixel subtended 0.024° on
118 the screen.

119

## 120 Visual Stimuli

121 Thirty-Five Chinese female faces were chosen from the N-FEE database (Yap, Chan & 122 Christopoulos, 2016). Due to copyright restrictions we are not allowed to publicly publish these 123 images, so we have used faces from the KDEF database for illustrative purposes (Lundqvist, 124 Flykt, & Öhman, 1998). In this study, we only selected portrait pictures from 35 female Chinese 125 Singaporeans with neutral expressions. All face images were grey scaled and masked so that only 126 the facial region of each face was visible to the participants. The luminance of the face images 127 was equalized via SHINE toolbox (Willenbockel et al., 2010). Every participant rated the 128 attractiveness of the 35 faces at least two weeks before the main experiment in Experiment 1 129 (adapted from Rhodes & Jeffery, 2006; 1 for most unattractive and 7 for most attractive). Prior to 130 rating, participants were exposed to all of the faces, each for 400ms in a randomized order, in 131 order to gauge the range of attractiveness in the faces before rating each face. Each face was 132 rated four times, with the mean rating for each face ranging between 2.67 and 5.00 (M = 3.53, 133 SD = 1.31). Inter-rater reliability was high (Cronbach's alpha = .98). The adapting stimuli were 134 selected from the four faces rated as most attractive and the four that were least attractive. 135 The test faces included one of the most attractive and one of the most unattractive faces 136 from the originally rated 35 faces (excluding the adaptors), and a further five faces that were

137 produced by morphing these two faces in equally incremental steps between them (thus giving us

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138	7 attractiveness units ranging from the original unattractive face through to the original attractive
139	face) via Webmorph (Debruine & Tiddeman, 2017). Therefore, there are seven test faces in total.
140	To minimize low-level adaptation as per prior research (Burns et al., 2017; Rhodes et al., 2003;
141	Ying & Xu, 2017; Zhao & Chubb, 2001), the adapting stimuli were displayed at $3.20^{\circ} \times 4.03^{\circ}$ ,
142	which was roughly 133% of the size of the test stimuli. The adapting stimuli and the test stimuli
143	were always presented at the same side of the central fixation cross within one trial, and their
144	centers were roughly $3.8^{\circ}$ away from the central fixation cross (159 pixels). Our reason for
145	presenting the faces in the periphery was because adaptation aftereffects have been found to be
146	greater in the visual periphery compared to the fovea (Bachy & Zaidi, 2014; Chen, Chen, Gao,
147	Yang, & Yan, 2015; Ying & Xu, 2017). Similar to Haberman, Lee, and Whitney (2015), we are
148	aware that the 'attractiveness unit' is arbitrary, and we do not mean that the (perceived)
149	attractiveness differences between the testing faces are strictly linear. The 'attractiveness unit'
150	merely represents the relative differences between these faces.

151

## 152 *Procedure*

153 Participants completed five blocks: baseline, RSVP unattractive, RSVP attractive, 154 computer-generated average unattractive morph, and computer-generated average attractive 155 morph. In the baseline condition, participants simply rated the test faces, which were presented 156 for 400 ms, as attractive or unattractive. Each test face was presented 10 times at random giving 157 a total of 70 trials in each block. The same test face sampling occurred in the attractive RSVP 158 block, but this time participants viewed an RSVP stream of the four attractive adapting faces 159 prior to viewing each test face. The temporal frequency of the RSVP sequence was 42.5 Hz, with 160 each face displayed for 23.5 ms per face frame (with no interval between two face frames, the

161 same as Ying & Xu, 2017). Thus, each adapting face was presented 40 times, in a random order, 162 during the 3.764 s adaptation phase (23.5 ms  $\times$  4 faces  $\times$  40 repetitions). Figure 1 displays the 163 trial sequence. This method was repeated for the unattractive RSVP block, except the RSVP 164 stream comprised the unattractive adaptors. The same process occurred for the attractive 165 morphed average block, except during adaptation when participants were simply presented with a single face that was created by morphing all of the four attractive adaptors' visual properties 166 167 together. The same was true for the unattractive morphed average block, except the unattractive 168 adaptors were used to create its adapting face morph. The blocks were presented in a random order, with instructions given beforehand. Participants were given breaks that were roughly equal 169 170 in duration to an experimental block to disperse any carryover effects. Participants practiced for 171 5-10 trials before participating in each of the experiments reported here.



172

Figure 1. Example trial sequence from the RSVP adaptation condition (the demonstrated faces are
AF01NES and AF34NES from the KDEF database). Participants fixated on the cross at all times. After 1.494 s, the
RSVP of the faces appeared onscreen for 3.764 s. After a short interval (0.506 s), the test face appeared for 0.4 s.
Then a beep sound prompted participants to judge the target face by pressing the 'A' button as attractive, or the 'S'
button as unattractive.

178

179 In each trial, the test stimulus presented was one of the seven test faces selected at

180 random. After that, a 50 ms beep sound prompted for participants to respond. Participants had to

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181	press the "A" or "S" key to express whether they found the test faces "attractive" or "unattractive"
182	respectively. Such two-alternative forced choice (2-AFC) methods have been commonly used in
183	adaptation experiments (e.g., Fox & Barton, 2007; Webster et al., 2004; Xu, Dayan, Lipkin, &
184	Qian, 2008). After the participant responded in each trial, the trial would terminate, thus
185	commencing the next trial. No feedback was given throughout. Within each block there were 70
186	trials, which comprised a presentation of each of the 7 test faces 10 times in a random sequence.
187	
188	Analysis
189	Participants' responses were sorted into proportions of 'attractive' responses to each test
190	stimulus per adaptation condition. A psychometric curve was created with the x-axis indexing the
191	test stimuli and the y-axis plotting the fractions of 'attractive' responses. Subsequently, the
192	psychometric curves were fitted with a sigmoidal function $f(x) = 1/[1 + e^{-a(x-b)}]$ , where $a/4$ is the
193	slope and $b$ provides the test-stimulus parameter corresponding to 50% of the psychometric
194	function, the point of subjective equality (PSE). We measured the adaptation aftereffects by
195	comparing the difference between the PSEs of the adapting conditions and the baseline condition.
196	Any subsidiary pairwise comparisons after the analysis of variance (ANOVA) were Bonferroni
197	corrected. Note that goodness of fit was evaluated by coefficient of determination ( $R^2 = 1$
198	indicates the perfect fit). The mean goodness of fit ( $R^2$ ) for all experiments was > 0.89,
199	indicating that the predicted lines fitted the observed data well.
200	To confirm that any non-significant results truly supported the null hypothesis, we used
201	Bayes Factors to analyze the data (Dienes, 2014; Rouder, Speckman, Sun, Morey, & Iverson,
202	2009) in addition to the traditional Frequentist analyses. In brief, Bayes Factor utilizes the
203	observed evidence for either the null or alternative hypothesis, with this weight of evidence

realized as a ratio between the likelihoods of the hypotheses. For instance, ' $BF_{01} = 3$ ' suggests that the observed data is 3 times more likely to fit the null-hypothesis compared to the alternative hypothesis. Generally,  $BF_{01} > 3$  is suggested to provide evidence for the null hypothesis. All statistical analyses were conducted in JASP 0.8.6 (JASP team, 2018), R 3.4.3 (R Core Team, Vienna, Austria), Matlab R2017a (Mathworks, MA, USA) and SPSS Statistics 22 (IBM, NY, USA).

210

# 211 Experiment 1: Results and Discussion

212 The results from all the participants judging the facial attractiveness of the test faces 213 under various conditions are shown in Figure 2A. We plotted the fraction of attractive responses 214 as a function of the proportion of attractiveness of the test faces. The black (solid line with filled 215 squares) psychometric curve is the baseline condition without adaptation. After adapting to the 216 most attractive RSVP face stream, the participants judged the test faces as unattractive more 217 frequently than baseline, and the psychometric curve (blue dashed line with open diamonds, 218 RSVPa) shifted to the right. This is the standard facial-attractiveness aftereffect (Hsu & Young, 219 2004; Webster et al., 2004). The same finding occurred after adapting to the morphed average of 220 this face stream (light blue solid line with filled diamonds, Statica). Curiously, after adapting to 221 the most unattractive face stream (red dotted line with circles, RSPVu) or its morphed average 222 (magenta dashed-dotted line with filled circles, Staticu), there were no adaptation aftereffects 223 observed relative to baseline.

To determine the presence of adaptation aftereffects in our experiment, we performed paired *t*-tests between the baseline PSE and the PSEs of the adaptation conditions (Figure 2B).

226 As expected, both the attractive RSVP and morph average conditions produced significant 227 after effects (both ps < .001), with participants reporting the test faces as unattractive more 228 frequently in the two attractive conditions relative to the no adaptation baseline. Surprisingly, 229 neither of the unattractive conditions produced any aftereffects (both ps > .62). Bayesian *t*-tests 230 provided further support for the null hypothesis (RSVPu:  $BF_{01} = 4.52$ ; Staticu:  $BF_{01} = 5.06$ ): the 231 unattractive conditions did not generate significant aftereffects relative to baseline. Participants 232 did not seem to be processing either set of unattractive adaptors as unattractive. These findings 233 contradict the outcome predicted by the gist averaging hypothesis, for if this hypothesis had been 234 correct, then the unattractive RSVP group should have displayed aftereffects that shifted the 235 psychometric curve in the opposite direction to those found in our attractive conditions (i.e., 236 negative relative to baseline, where test faces were rated as attractive more frequently after 237 adaptation).

238 To test whether temporal ensemble perception was indistinguishable from the computer-239 generated morph average, we performed a two-way repeated-measures ANOVA on the PSE 240 shifts relative to baseline with factors of Attractiveness (attractive vs. unattractive) and Adaptor 241 (RSVP vs. morph average). While there was a significant main effect of Attractiveness (F(1, 28)) = 49.55, p < .001,  $\eta_p^2 = .64$ ) due to the attractive conditions producing larger aftereffects than the 242 243 unattractive conditions, there was no significant main effect of Adaptor (F(1, 28) = 0.001, p = .99,  $\eta_{\rm p}^2 < .001$ ) nor any interaction (*F*(1, 28) = 0.46, *p* = .50,  $\eta_{\rm p}^2 = .016$ ). Bayesian *t*-tests comparing 244 245 the attractive RSVP condition versus the attractive morph average ( $BF_{01} = 4.57$ ), and the unattractive RSVP versus the unattractive morph average ( $BF_{01} = 4.50$ ), provided further 246 247 evidence for the null hypothesis. This confirms that the RSVP streams were processed by our 248 participants in a similar way to their morph averages. Further support for this came from the fact

Figure 2C) and unattractive (r = .43, p = .019; red full circles with solid line) RSVP streams were

251 correlated with their computer-generated morphed average face counterparts.





Figure 2. The RSVP and computer-generated morph average aftereffects (Experiment 1). (A) The psychometric functions of all participants averaged together. Error bars indicate the standard error of the mean. (B) Summary of

all participants' results. For each condition, the adaptation aftereffect measured by PSE shift relative to baseline and

the SEMs were plotted. The *p*-value shown for each condition in the figure was calculated using paired *t*-tests.

258 Noticeably, a positive adaptation aftereffect measured by PSE shift indicates the target faces were perceived as less

attractive than during baseline. The following figures adopt the same statistical analyses. (C) The relationship

between the RSVP conditions and the paired morph average conditions. Each dot represents data from one

261 participant: blue open diamond for the attractive conditions, and red filled circle for the unattractive conditions.

262

263 We found that adapting to an RSVP stream and its computer-generated morphed average face led 264 to comparable, and correlated, facial attractiveness aftereffects. While these findings replicate 265 prior work that showed similar effects for facial emotion (Ying & Xu, 2017), our results clarify 266 what characteristics of a face are extracted in order to produce temporal ensemble perception. 267 For example, the lack of differences between the morphed average faces and their RSVP groups 268 suggest that morph, rather than gist, averaging occurs during temporal ensemble coding. If gist 269 averaging had been occurring, then adapting to the unattractive face stream should have induced 270 aftereffects where the viewer rated subsequently presented test faces as attractive more often than 271 in the baseline. We did not observe this effect here with our unattractive RSVP group, instead, 272 these faces produced no aftereffects, with aftereffects actually comparable to their morphed 273 average counterpart. However, we do not think that this finding indicates that these faces were 274 not processed at all during adaptation. We believe that the data simply fits with the hypothesis 275 that the participants were morph averaging these faces together so that the group of unattractive 276 faces were processed as more attractive (i.e., roughly equal to baseline levels) than what they 277 were (i.e., unattractive). A similar lack of differences was found between the aftereffects 278 produced by the attractive group and its morphed average face. To our knowledge, this is the first 279 time that the morph averaging hypothesis of ensemble perception has been demonstrated as 280 having empirical support over the gist hypothesis.

281

# Experiment 2: Temporal ensemble coding is driven by the underlying mean attractiveness of the group

285 In Experiment 1, adapting to unattractive RSVP faces produced no significant adaptation 286 aftereffects. We do not believe that this was due our participants not processing the unattractive 287 faces. Instead, we posit that participants simply processed this face stream as more attractive than 288 the gist attractiveness of the individual faces in the group (i.e., unattractive). If this is the case, 289 then adding in a new mixed ('MIX') condition, comprised of attractive and unattractive faces, 290 should induce aftereffects somewhere in between those observed for the attractive and 291 unattractive conditions in Experiment 1. Moreover, the magnitudes of these aftereffects across all 292 conditions should also be associated with the underlying mean attractiveness of the individual 293 faces, thereby demonstrating that our visual system adapts to the RSVP of face streams in a 294 linear fashion that is consistent with the principles of ensemble coding.

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296 Experi

# **Experiment 2: Methods**

Twenty new participants (10 Females; Mean Age: 22.84) participated in this experiment. We selected this sample size for two reasons: firstly, a power analysis based upon the effect size of Experiment 1 ( $\eta_p^2 = .65$ ; using G\*Power 3.1 software; Faul, Erdfelder, Buchner, & Lang, 2009), with  $\alpha$ -value at .05, and power (1 –  $\beta$ ) at .80 indicated that we needed at least 7 participants. However, considering the differences in experimental design, we chose to greatly expand this number to roughly triple that sample size.

We used the same adaptation procedure as in Experiment 1, except there were three
adaptation conditions in addition to the baseline: RSVP of attractive faces ('ATT', four attractive

305	faces), RSVP of mixed faces ('MIX', four attractive faces and four unattractive faces), and RSVP
306	of unattractive faces ('UNA', four unattractive faces) at a reduced adaptation duration (1.88 s in
307	Exp 2 vs. 3.764 s in Exp1). Note that in the 'MIX' condition the adapting RSVP streams were
308	presented for the same duration as the 'ATT' and 'UNA' conditions (see Experiment 1, Methods
309	section). Thus, in the 'MIX' condition, each adapting face was only presented 10 times during
310	the adaptation phase, so that the adapting duration is equated across different conditions. Also,
311	each test face in each block appeared 12 times in a random order. Additionally, after the main
312	experiment, we asked the participants to rate the mean attractiveness of the RSVP sequences on a
313	7-point scale (1 for most unattractive and 7 for most attractive), with each stream presented 10
314	times. These RSVP sequences were randomly presented for the same duration (42.5 Hz; 80
315	frames $\times$ 23.5 ms; in total 1.88 s) as that during the adapting stage in the main experiment.
316	Since our data consisted of repeated measures from three observations (i.e., an
317	observation from each of the unattractive, mixed, and attractive conditions) for each participant,
318	we used the repeated measures correlation analysis (Bakdash & Marusich, 2017) to quantify the
319	strength of the relationship between the attractiveness ratings of the faces and the adaptation
320	aftereffects produced by those faces. It uses the analysis of covariance (ANCOVA) to
321	'statistically adjust for inter-participant variability', thus 'estimates the common regression slope'
322	(generating the same slope), in other words, the association shared among individuals.

- 323
- 324

# **Experiment 2: Results and Discussion**

325 The mean adaptation results from all participants are shown in Figure 6A. Similar to
326 Experiment 1, the RSVP of the Attractive condition generated a significant rightward shift of the

327 psychometric curve, while the RSVP of the Unattractive condition failed to produce a shift. 328 Interestingly, the RSVP of the Mixed condition generated a smaller yet substantial rightward 329 shift. Relative to baseline, significant aftereffects were generated by the RSVPs of attractive 330 (Figure 3A, M = .22, SEM = .004; t(19) = 5.85, p < .001) and mixed (M = .12, SEM = .002; t(19)331 = 5.06, p < .001) but not the unattractive (M = .01, SEM = .02; t(19) = .47, p = .64) faces. 332 Bayesian analyses suggested that the lack of aftereffects in the unattractive condition was in 333 favor of the null hypothesis ( $BF_{01} = 3.89$ ); i.e., no adaptation aftereffect relative to baseline. 334 Participants therefore rated the test faces as less attractive after adapting to the attractive and 335 mixed RSVP streams (Figure 3C). Moreover, we replicated Experiment 1 in showing no 336 aftereffects in the unattractive group, suggesting participants were not processing the RSVP 337 stream as unattractive. An ANOVA yielded significant differences among all three adaptation conditions (with Greenhouse-Geisser correction,  $F(1.55, 29.36) = 33.22, p < .001, \eta_p^2 = .64$ ). 338 339 Subsidiary Bonferroni corrected comparisons showed significant differences between the 340 attractive and unattractive (t(19) = 6.73, p < .001), attractive and mixed (t(19) = 3.88, p = .003), 341 and mixed and unattractive (t(19) = 5.86, p < .001) conditions.

342 As the 'Mixed' condition contains the adapting stimuli from the 'Attractive' and the 343 'Unattractive' conditions, it should in theory yield an aftereffect which is roughly equal to the 344 mean of those of two conditions. We therefore compared the adaptation aftereffects of the 'MIX' 345 condition with the average of the aftereffects from those two conditions. The paired samples t-346 test suggested that there was no significant difference between this pair (t(19) = .28, p = .78, p = .78) $BF_{01} = 4.15$ ). Therefore, the 'Mixed' condition closely resembles the midpoint of the 'Attractive' 347 348 and 'Unattractive' conditions. This indicates that the participants perceived the attractiveness of 349 the adapting stream in a graded fashion consistent with ensemble coding.

350	An ANOVA on the participants' attractiveness ratings of the RSVP streams showed they
351	were also significantly different from one another ( $F(2, 38) = 112.55, p < .001, \eta_p^2 = .86$ ).
352	Further comparisons indicated that participants judged the RSVP of the attractive faces ( $M =$
353	4.89, $SEM = .013$ ) as the most attractive, followed by the RSVP of mixed faces ( $M = 3.98$ , $SEM$
354	= .016), and the RSVP of unattractive faces ( $M = 2.65$ , $SEM = .017$ ) were judged as least
355	attractive (all <i>ps</i> < .001). Further repeated measures correlation analyses (Bakdash & Marusich,
356	2017) revealed a significant positive correlation between the attractiveness ratings of the RSVP
357	streams and the adaptation after effects (Figure 3D, $r = .71$ , $p < .001$ , 95% CI [0.50, 0.84]);
358	indicating that the brain performs temporal ensemble statistics in a linear fashion from the
359	underlying attractiveness of the stream.



361

Figure 3. Adaptation aftereffects to temporally presented RSVPs (Experiment 2) and spatially presented faces (Experiment 3). (A) The psychometric functions of Experiment 2's participants averaged together. 'Error bars indicate the standard error of the mean. (B) The psychometric functions of Experiment 3's participants averaged together. (C) Combined summary of all participants' results from Experiment 2 and Experiment 3. The hatched bars indicate Temporal Presentation RSVP conditions (Experiment 2), and the solid bars represent Spatial Presentation conditions (Experiment 3). (D) The adaptation aftereffect as a function of the attractiveness rating of the RSVP of faces in Experiment 2. (E) The adaptation aftereffect as a function of the mean attractiveness rating of the adapting

371

372 In Experiment 2, we replicated the results from Experiment 1, but further illustrated the 373 linear fashion in which the brain morph averages the attractiveness of a temporal stream of 374 attractive, unattractive and mixed faces. These results therefore lend further support to our morph 375 averaging hypothesis for temporally presented face groups. Interestingly, although the ensemble 376 representation of the unattractive face RSVP stream was not processed as unattractive, as 377 reflected by the lack of aftereffects, the direct ratings of these unattractive RSVPs did appear to 378 be perceived as unattractive to some extent (M = 2.65 out of a 1-to-7 scale, see the above Results 379 section for more details). Previous work has shown that adaptation aftereffects can yield insights 380 into perceptual operations even in the absence of differences in direct ratings (Liu et al., 2014). 381 Thus, adaptation and direct rating may reflect two distinct visual processes: perceptual vs. 382 cognitive process.

383

384

## **Experiment 3: Spatial ensemble statistics represent the gist**

Across Experiments 1 and 2 we have shown temporal ensemble perception extracts the morph average. However, is this also true for spatial ensemble coding when a group of faces is presented simultaneously? We previously showed that the adaptation aftereffects produced by spatially presented faces (i.e., a group presented onscreen at the same time) generated aftereffects in the direction that we would expect if the gist averaging hypothesis was true (Ying et al., 2019); i.e., the unattractive faces made subsequently presented faces appear more attractive, and adapting to a mix of unattractive and attractive faces produced no aftereffects relative to the

392 baseline no adaptation condition. This result is at odds with the morph averaging that we have 393 observed from our RSVP paradigms in Experiments 1 and 2. We therefore wanted to replicate 394 this gist averaging in a spatial adaptation paradigm by using the same adapting faces from 395 Experiment 2. By using identical adapting faces, we could directly compare the aftereffects 396 derived from temporal and spatial ensemble coding. If the aftereffects between Experiment 2 and 397 3 are indistinguishable, then it would imply that a similar mechanism is at work both temporally 398 and spatially; i.e., the faces are being morph averaged from their fiducial points and surface 399 characteristics. However, if the aftereffects between the two experiments are different, then it 400 would provide the first evidence that temporal and spatial ensemble statistics may reflect 401 qualitatively distinct calculations. For example, if gist averaging occurs during spatial ensemble 402 coding, then we would expect an overall negative shift for all of the adapting face conditions relative to those effects observed in Experiment 2: e.g., the unattractive group will now elicit 403 404 negative aftereffects, the mixed group will be no different from baseline, and the attractive group 405 will elicit smaller positive aftereffects than the attractive group in Experiment 2. We test these 406 hypotheses in Experiment 3.

407

## 408 **Experiment 3: Methods**

Eighteen new participants (11 Females; Mean Age: 22.78) participated in this
experiment; we had initially aimed for 20, but two dropped out during the experiment. Here we
used the same adapting faces and blocks from Experiment 2, except the mixed condition only
contained two attractive and two unattractive faces so that there were only four faces in the
adapting group. During adaptation, the four adapting faces were presented around the central

414 fixation cross (Figure 4), with the test face presented at the center of the screen. The center-

415 center difference between each adaptor and the central fixation cross is around 3° (124.5 pixels).

416 This spatial layout is similar to our recent study on ensemble coding of facial attractiveness

417 (Ying et al., 2019). The trial sequence was otherwise similar to Experiments 1 and 2. After the

- 418 experiment we asked the participants to rate the attractiveness of the eight individual adapting
- 419 faces to compute an average from the ratings, thereby reflecting the gist average.



420

Figure 4. Example trial sequence from a spatial adaptation condition (the demonstrated faces are AF01NES, AF05NES, AF06NES, AF07NES and AF34NES from KDEF database). Participants fixated on the cross at all times. After 0.506 s, four adapting faces simultaneously appeared for 2 s. After a 0.4 s interval, the test face appeared on the screen for 0.2 s. Then a beep sound indicated participants should judge the attractiveness of the target face by pressing the 'A' button for attractive, or the 'S' button for unattractive. Experimental parameters for all conditions and experiments are detailed in the Methods section.

427

# 428 **Experiment 3: Results and Discussion**

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The mean adaptation results from all participants are shown in the psychometric curves in
Figure 3B. Unlike Experiments 1 and 2, the Unattractive condition generated a leftward shift
away from baseline; this direction is what we would expect if our participants were adapting to
the unattractive group as though they were unattractive (Ying et al., 2019). Such differences
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433 relative to Experiment 2 were also observed for the Mixed condition, which failed to generate 434 any significant aftereffects. We statistically examined what aftereffects our spatial conditions produced relative to the baseline condition. Significant aftereffects were generated by both the 435 436 attractive (Figure 3C, M = .093, SEM = .016; t(17) = 5.91, p < .001) and unattractive (M = -.083, 437 SEM = .020; t(17) = -4.20, p = .001) groups. Test faces were rated as unattractive following 438 adaptation to the attractive group, and conversely rated as attractive more frequently following 439 the unattractive groups adaptation, all relative to baseline. By contrast, the mixed faces evoked no aftereffects (M = .028, SEM = .019; t(17) = 1.48, p = .16). 440

An ANOVA on the three adaptation conditions was significant (F(2, 34) = 50.42, p < .001,  $\eta_p^2 = .75$ ). Bonferroni corrected comparisons showed that the attractive and unattractive (t(17) =8.69, p < .001), attractive and mixed (t(17) = 3.56, p = .007), and mixed and unattractive (t(17) =7.93, p < .001) conditions were all significantly different from one another. As in the case of Experiment 2, there was a significant positive repeated measures correlation (r = .87, p < .001, 95% CI [0.75, 0.93]; Figure 3E) between the mean attractiveness ratings of the groups of adapting faces and their aftereffects.

448 A side by side comparison between Experiment 2 and 3 (Figure 3C), shows qualitative 449 differences between the aftereffects of our RSVP experiments and the spatial aftereffects here; note that these differences are apparent despite us using the same adapting faces between the 450 451 experiments. To confirm these differences statistically, a mixed model ANOVA on the adaptation 452 aftereffects was performed, with a between subject factor of Group (Experiment 2: Temporal vs. 453 Experiment 3: Spatial) and a within subject factor of Attractiveness (unattractive vs. mixed vs. 454 attractive). We found a significant main effect of Attractiveness (with Greenhouse-Geisser correction, F(1.60, 57.46) = 73.30, p < .001,  $\eta_{p}^{2} = .67$ ) due to differences between the adaptation 455

456 aftereffects (i.e., attractive > mixed > unattractive, Figure 3A, all ps < .001). Similarly, there was also a significant main effect of Group (F(1,36) = 12.19, p = .001,  $\eta_p^2 = .25$ ) due to the 457 458 Experiment 2 Temporal group exhibiting more positive aftereffects in contrast to our current 459 Spatial group (Exp 2 M = .12 vs. Exp 3 M = .012). Finally, the Group × Attractiveness was not significant (with Greenhouse-Geisser correction, F(1.59, 57.36) = .80, p = .45,  $\eta_p^2 = .02$ ). These 460 461 findings therefore indicate that while our participants were producing aftereffects that were 462 comparably distinct between attractiveness conditions, the actual perceptual outcomes as 463 reflected by adaptation aftereffects, appeared qualitatively different between Experiments 2 and 464 3.

465 To test whether the above differences in adaptation were also present in the direct ratings, we performed a mixed model ANOVA on the mean attractiveness ratings of the adapting faces 466 with a between subjects factor of Group (Temporal Experiment 2 vs. Spatial Experiment 3) and a 467 468 within subjects factor of Attractiveness (unattractive vs. mixed vs. attractive). There was a significant main effect of Attractiveness (F(2, 72) = 302.74, p < .001,  $\eta_p^2 = .89$ ) due to the faces 469 470 being rated significantly different from one another (i.e., attractive > mixed > unattractive, all ps < .001), but no main effect of Group (F(1, 36) = .025, p = .88,  $\eta_p^2 = .001$ ; Bayesian analyses 471 provided further support for the null hypothesis;  $BF_{01} = 4.08$ ). There was, however, a significant 472 interaction between the effects of Attractiveness and Group  $(F(2, 72) = 3.64, p = .031, \eta_p^2)$ 473 474 = .092). Despite this interaction, there were no significant between group differences in the mean 475 attractiveness ratings of the adapting faces for each of the attractiveness blocks (attractive p = .11, mixed p = .82, unattractive p = .43). Thus, presenting the adapting faces spatially or temporally 476 477 (RSVP) did not change participants' ratings of the adapting faces' attractiveness. These results 478 suggest that the qualitative differences in adaptation aftereffects derived from temporal and

479 spatial ensemble coding are not due to differences in the perceptions of the adapting faces'

480 attractiveness.

481 While there were some minor differences between the adaptation durations in 482 Experiments 2 & 3, we do not believe that these differences affect our interpretations of the data. 483 Research into the time course of face adaptation has revealed (e.g., facial identity: Rhodes, 484 Jeffery, Clifford, & Leopold, 2007; facial expression: Burton, Jeffery, Bonner, & Rhodes, 2016) 485 that adaptation aftereffects follow the classic time course pattern of 'logarithmic build-up' and 486 'exponential decay'. This means that the adaptation aftereffect can be altered *quantitatively* by 487 some changes in time (like the adaptation duration), but not *qualitatively*. We recently found that 488 facial expression adaptation aftereffect can be generated after as brief as 34 ms of adaptation 489 (Sou & Xu, 2019). Thus, the qualitative differences in aftereffects from temporal and spatial 490 ensemble coding here are likely to be maintained, even if the adaptation duration was matched 491 across conditions. To confirm this fact though, we ran a new experiment. 492

# 493 Experiment 4: Spatial-Temporal ensemble statistics induce morph 494 averaging

While the attractive and unattractive temporal face streams generated asymmetrical aftereffects in Experiment 2 (i.e., the attractive group generated aftereffects, but the unattractive faces did not), the spatial face groups generated symmetrical aftereffects in Experiment 3 (Figure 3C, attractive group generated aftereffects, as too did the unattractive group). While there are other minor differences between the procedures across Experiments 2 and 3, such as the locations of the RSVP versus the static spatial adaptor locations, we do not believe these are

501	causing the qualitative differences we observe between temporal and spatial ensemble coding.
502	Instead, we believe that these effects reflect the fact that temporal and spatial ensemble coding
503	computations are distinctly different. However, to be certain of this belief, we decided to run
504	Experiment 3 again, except this time, we added an RSVP manipulation to the adapting faces.
505	This meant that we could directly compare 'pure' spatial ensemble coding (i.e., that derived from
506	the static groups of faces in Experiment 3) versus temporal ensemble coding (i.e., that derived
507	from the RSVP of faces presented at the same four locations as the static spatial groups).
508	Furthermore, we had participants directly rate the mean attractiveness of the groups of

adapting faces in both the spatial and temporal conditions so that we could assess whether the direct rating and the adaptation measures of ensemble coding were similar across presentation methods.

512

513

# 3 **Experiment 4: Methods**

514 Twenty new participants (13 Females; Mean Age: 21.75) participated in this experiment. 515 We matched the sample size of the current experiment with the previous two experiments. The 516 general design was adapted from Experiments 2 and 3. The trial sequence was similar to that of 517 Experiment 3. During adaptation, there were four RSVP face streams simultaneously presented 518 surrounding the central fixation cross (Figure 5). The spatial locations of the four streams were identical to those in Experiment 3 ( $3^{\circ}$  away from the fixation cross). Thus, we name this 519 520 manipulation the Spatial-Temporal condition. Within each RSVP stream, the faces were 521 presented at 42.5 Hz (the same as Experiments 1 and 2) for 1.98 s (84 faces in total, and each 522 presented for 23.5 ms; the adaptation duration is almost identical to Experiment 3: 2 s). All of the faces presented within the Spatial-Temporal streams were the faces used in Experiment 3, with 'ATT', 'MIX', and 'UNA' conditions. These faces were presented in a pseudo-random order, so that within each frame, the four faces presented onscreen together were always of different

526 identities.



## 527

528 Figure 5. The Spatial-Temporal adaptor for Experiment 4 (the demonstrated faces are AF01NES, 529 AF05NES, AF06NES, AF07NES and AF34NES from KDEF database). The adaptor is four simultaneous streams of 530 RSVPs of faces (42.5 Hz, the same as Experiment 2), presented for 1.98 s in total. The spatial relationships of the 531 four streams (3° away from the central fixation cross) were the same as that in Experiment 3. Thus, the Spatial-532 Temporal adaptor is a combination of the adaptation manipulations from Experiments 2 and 3. 533 534 In addition to our adaptation paradigm, we also measured ensemble perception of facial 535 attractiveness via direct ratings. We asked our participants to rate the attractiveness of each 536 adapting face, and these adapting faces as a group in the spatial-temporal configuration on a 7-537 point scale. Each group of faces was presented for 1 s. We chose 1 s for direct rating because it 538 has been shown that this is sufficiently long for the participants to make judgments on 539 attractiveness (e.g., Ying et al., 2019). Moreover, to clarify whether the computer-generated 540 averaged face is indeed more attractive than the mean of its components, we also asked

541 participants to rate the computer-generated averaged face of the attractive and unattractive 542 groups. The order of the stimuli in direct rating tasks was randomized for each participant. 543

544

## Experiment 4: Results and Discussion

The mean adaptation results from all participants are summarized in Figure 6A. After 545 546 exposure to the attractive Spatial-Temporal faces (blue dotted line), there was a rightward shift in 547 the psychometric curve relative to baseline, indicating that the ensemble representation of this 548 group is attractive. A similar shift, albeit smaller in magnitude, is observed in the 'MIX' 549 condition (magenta dash-dotted line). By contrast, the 'UNA' condition (red dotted line) failed to 550 generate a significant shift from the baseline condition. This finding replicates our temporal 551 ensemble coding results in Experiment 2, and appears qualitatively different from the aftereffects 552 induced via spatial adaptation in Experiment 3.

553 Overall, significant aftereffects were generated by the Spatial-Temporal attractive (Figure 554 6B, M = .16, SEM = .029; t(19) = 5.57, p < .001) and mixed (M = .068, SEM = .022; t(19) = 2.97, 555 p = .008) but not the unattractive (M = -.013, SEM = .02; t(19) = -.85, p = .41) faces. Bayesian 556 analyses suggested that the lack of aftereffects in the unattractive Spatial-Temporal condition 557 was in favor of the null hypothesis ( $BF_{01} = 3.122$ ). Thus, the observed data indicates that there 558 was indeed no adaptation aftereffect in the unattractive Spatial-Temporal condition. To compare 559 the three adaptation conditions, we conducted an ANOVA and found significant differences 560 among all three adaptation conditions (with Greenhouse-Geisser correction, F(1.47,27.98) =26.36, p < .001,  $\eta_p^2 = .58$ ). Subsidiary Bonferroni corrected comparisons showed significant 561 562 differences between the attractive and unattractive (t(19) = 5.85, p < .001), attractive and mixed

563 (t(19) = 3.92, p = .003), and mixed and unattractive (t(19) = 4.82, p < .001) conditions. These 564 findings confirm our hypothesis that temporal ensemble coding induces morph averaging, 565 whereas ensemble coding for spatially presented face groups (i.e., Experiment 3) results in gist 566 averaging.



568 Figure 6. Spatial-Temporal adaptation aftereffects (Experiment 4). (A) The psychometric functions of all 569 participants averaged together. Error bar indicates the SEM. (B) Summary of all 20 participants' results from

- 570 Experiment 4. (C) The adaptation aftereffect as a function of the reported mean attractiveness of the adapting faces
- 571 in Experiment 4. Each color represents the data from one individual participant.

573 To directly test whether the RSVP spatial manipulation we employed here was similar to 574 the effects observed from the RSVP streams in Experiment 2, we ran an ANOVA on the 575 aftereffects of Experiments 2 and 4, with Group (Exp 2, Exp 4) being the between subject factor, 576 and Attractiveness (ATT, MIX, UNA) being the within subject factor. The results showed that 577 there were no significant differences between these two experiments (F(1, 38) = 2.20, p = .15,  $\eta_{\rm p}^{2}$  = .055), nor any interaction between them and the attractiveness of the faces (with 578 Greenhouse-Geisser correction, F(1.51, 57.47) = .65, p = .49,  $\eta_p^2 = .017$ ). Instead, there was only 579 580 a significant difference among the three attractiveness conditions (with Greenhouse-Geisser correction, F(1.51, 57.47) = 59.51, p < .001,  $\eta_p^2 = .61$ ). Thus, the after effects induced by a single 581 582 RSVP stream (Experiment 2) and multiple RSVP streams (Experiment 4) were comparable, and 583 reflective of morph averaging.

584 To confirm that temporal and spatial ensemble coding reflect distinct perceptual 585 outcomes, we compared the aftereffects between Experiments 3 and 4 using the same ANOVA. 586 While we did not find any significant interaction between the experiments and the attractiveness of the faces (with Greenhouse-Geisser correction, F(1.56, 55.97) = .58, p = .52,  $\eta_{p}^{2} = .016$ ), there 587 588 was a significant difference among three attractiveness conditions (with Greenhouse-Geisser correction, F(1.56, 55.97) = 66.85, p < .001,  $\eta_p^2 = .65$ ). However, in addition, there was also a 589 significant difference between the two experiments (F(1, 36) = 6.07, p = .019,  $\eta_{p}^{2} = .14$ ); the 590 591 Spatial-Temporal aftereffects in Experiment 4 were more positive than those induced by the 592 spatial group in Experiment 3. Taken together, the pattern of observed aftereffects in Spatial-593 Temporal adaptation is more similar to temporal ensemble coding, than to the static spatial 594 ensemble coding we observed in Experiment 3. In other words, the Spatial-Temporal ensemble is 595 largely driven by morph averaging of the faces from the temporal streams.

596 To examine the attractiveness ratings of the adapting faces directly, we conducted an 597 ANOVA on the participants' attractiveness ratings of the Spatial-Temporal streams and found a 598 significant difference among the three types of attractiveness adaptors (F(2, 38) = 47.72, p < .001,  $\eta_{\rm p}^2$  = .72). Further comparisons revealed that participants rated the Spatial-Temporal streams of 599 the attractive faces (M = 5.38, SEM = .015) as the most attractive, followed by the Spatial-600 601 Temporal streams of mixed faces (M = 4.16, SEM = .015), with the Spatial-Temporal streams of 602 unattractive faces (M = 3.20, SEM = .020) being rated as least attractive (all ps < .001). We 603 further compared the direct ratings between Experiments 3 and 4 with a mixed-model ANOVA. 604 There was a significant difference among the three attractiveness conditions, as expected (with Greenhouse-Geisser correction, F(1.43,51.30) = 169.33, p < .001,  $\eta_p^2 = .83$ ). Importantly, there 605 was also a significant difference between the two experiments (F(1,36) = 4.42, p = .043,  $\eta_p^2$ 606 = .11); the spatial-temporal streams (Exp 4) were rated as more attractive than the 'spatial group' 607 608 (Exp 3). Thus, both rating and adaptation aftereffects data suggest that spatial (Exp 3) and 609 spatial-temporal (Exp 4) ensemble coding are distinct from each other. There was no significant 610 interaction between the experiments and the attractiveness of the faces (with Greenhouse-Geisser correction, F(1.43,51.30) = 2.74, p = .09,  $\eta_p^2 = .071$ ). 611

Why were there similar ratings between Experiments 2 and 3, but different ratings between Experiments 3 and 4? We believe the reason was in the tasks in rating. In Experiment 2, 'mean attractiveness' was measured by participants rating the mean attractiveness of each RSVP stream; while in Experiment 3, the 'gist/mean attractive' was measured by the mean rating of individual adapting faces by another group of participants. By contrast, in Experiment 4, 'mean attractiveness' was measured by participants rating the mean attractiveness of Spatial-Temporal

620	Further repeated measures correlation analyses (Bakdash & Marusich, 2017) revealed a
621	significant positive correlation between the attractiveness ratings of the Spatial-Temporal streams
622	and the adaptation after effects (Figure 6C, $r = .65$ , $p < .001$ , 95% CI [0.42, 0.80]). This indicates
623	that the observed attractiveness aftereffects were driven by the ensemble coding of the
624	attractiveness of the adapting stimuli.

To test whether the computer-generated averaged face was more attractive than its components, we compared the mean ratings of individual attractive (M = 4.35, SEM = .18) and unattractive (M = 1.92, SEM = .19) faces with their computer-generated average faces (attractive: M = 5.65, SEM = .19; unattractive: M = 2.59, SEM = .17) conditions. We found that in both the attractive (t(19) = 7.46, p < .001) and unattractive (t(19) = 5.58, p < .001) conditions, the computer-generated average faces were more attractive than their components.

631

# 632 General Discussion

We investigated the perceptual calculations performed during ensemble statistics across four experiments. Experiment 1 showed that RSVP streams and their paired computer-generated morphed averages led to comparable, and correlated, facial attractiveness aftereffects. Experiment 2 replicated the findings from Experiment 1, thereby further supporting the morph average hypothesis; i.e., no aftereffects in the unattractive condition, such that the unattractive group was perceived as more attractive than the gist of the group (i.e., these faces are unattractive), and positive aftereffects in the mixed condition. Moreover, in Experiment 2 we

### DISTINCT TEMPORAL AND SPATIAL ENSEMBLE CODING

640 found that aftereffects increased as a function of the underlying RSVP stream's attractiveness. 641 suggesting that temporal ensemble perception occurs in a linear fashion. In contrast to the first 642 two experiments, however, Experiment 3 showed that spatial ensemble statistics favored the gist 643 averaging hypothesis; i.e., no aftereffects in the mixed condition, and negative aftereffects in the 644 unattractive condition. Combining the manipulations in Experiments 2 (temporal) and 3 (spatial) 645 together, Experiment 4 showed that ensemble coding of a Spatial-Temporal presentation of faces 646 is formed by morph averaging, and not the gist. This confirms that the observed differences 647 between Experiments 2 and 3 were not driven by the minor differences in presentation formats, 648 but by distinct ensemble coding operations. Taking all four experiments together, it is clear that 649 temporal and spatial ensemble statistics stem from qualitatively different extraction processes. 650 While a number of prior studies have examined spatial ensemble coding and temporal 651 ensemble coding (Haberman et al., 2015; Haberman & Whitney, 2007, 2009; Whitney & Levi, 652 2011; Whitney & Yamanashi Leib, 2017; Wolfe et al., 2015; Ying & Xu, 2017; Ying et al., 2019), 653 no study to our knowledge has compared the effects of both. Moreover, even if researchers had 654 compared the averaging of facial traits other than attractiveness (e.g., emotion) across these two 655 presentation formats, it would have been highly unlikely that they would have observed 656 differences between temporal and spatial ensemble coding anyway. This is because adapting to 657 facial emotion, via either a morph or gist averaging process, would result in the same outcome 658 (as illustrated in Figure 7A with hypothetical data). Here, we took advantage of the fact that 659 averaging faces together from their morphed properties makes them more attractive (DeBruine et 660 al., 2007; Leder, Goller, Forster, Schlageter, & Paul, 2017; Perrett et al., 1994; Valentine et al., 661 2004; as illustrated in Figure 7B with hypothetical data). By doing so, we confirmed that there

- are qualitative differences between how ensemble coding mechanisms extract distinct
- 663 information across spatial and temporal presentations.



664

Figure 7. The 'morph averaging' and 'gist averaging' hypotheses (the demonstrated faces are AF01NES, AF05NES, AF06NES and AF07NES from KDEF database; the digits are from hypothetical data only for demonstration purposes). (A) Ensemble coding for facial expressions: the 'morph averaging' and 'gist averaging' hypotheses predict the same perceptual outcome for emotion; i.e., happy intensity rating of 6.8. (B) Ensemble coding of facial attractiveness: the 'morph averaged' face is more attractive than the mean attractiveness of its individual component faces, with the averaged face not equal to the mean 'judgments' (i.e., attractiveness rating of 3.8 versus 2).

672

673 We should explicitly clarify to readers that the null results found in Experiments 1, 2 and 4 (i.e., in the unattractiveness conditions) actually support our morph averaging hypothesis of 674 675 temporal ensemble coding. These findings were not due to the unattractive faces not being 676 unattractive enough to elicit negative aftereffects, nor are the lack of effects due to a lack of 677 power. First, we used the very same stimuli in Experiments 2, 3, and 4, with the presentation 678 methods being the largely the only difference among the 3 studies. The negative aftereffects 679 generated by the unattractive condition in Experiment 3 shows that the unattractive group was 680 processed by the participants as unattractive; i.e., the participants perceived the subsequently

684 This finding is at odds with the suggestion that the unattractive adapting faces in 685 Experiments 1, 2, and 4 were simply insufficient in unattractiveness to elicit the aftereffects 686 expected from an unattractive group. This point is further strengthened by the large effect size in the unattractive condition's aftereffects in Experiment 3. Moreover, by analyzing the data via 687 688 Bayes Factors (Dienes, 2014; Rouder et al., 2009), we found evidence supporting the null 689 hypothesis (i.e., the unattractive RSVP faces are equivalent to baseline and their computer-690 generated average face), thus countering any suggestion that the null effects across Experiments 691 1, 2, and 4 were a result of low statistical power. Simply put, the current data strongly favors the 692 notion that the RSVP streams of unattractive faces are perceived as neither attractive nor 693 unattractive relative to participants' baseline norms of attractiveness, and that this perceptual 694 outcome was not due to these faces not being unattractive enough to elicit negative aftereffects. 695 Instead, participants must have been averaging the unattractive RSVP stream in such a fashion 696 that it made the faces be processed as more attractive than their underlying gist (i.e., unattractive). 697 This was clarified by the fact that the aftereffects of the RSVP streams were equivalent to, and 698 correlated with, their computer-generated averaged morph face counterparts.



704 Zhao, Zhen, Liu, Song, & Liu, 2017). For example, extracting the morph averaging properties of 705 a face arguably occurs at an earlier stage of encoding (Eimer, 2000; Gauthier et al., 2000; Grill-706 Spector, Knouf, & Kanwisher, 2004; Kanwisher, McDermott, & Chun, 1997; Kanwisher & 707 Yovel, 2006; Pitcher, Walsh, Yovel, & Duchaine, 2007) in comparison to when the brain can 708 conceptually calculate the aspects of a face that make it unattractive (i.e., gist; O'Doherty et al., 709 2003). If we consider the visual features processing as perceptual, and the assessment of 710 attractiveness as cognitive, we therefore provide the first direct evidence for distinct ensemble 711 processing of temporal and spatial stimuli such that ensemble coding for temporal stimuli occurs 712 at a perceptual level, whereas ensemble coding for spatial stimuli occurs at a cognitive level. 713 This spatial process may be based on 'local support' such that "data coming from spatially local 714 components of the image tend to use parallel computations, rather than global or serial methods" 715 (e.g., Firestone & Scholl, 2016; Pylyshyn, 1999; Dawson & Pylyshyn, 1988; Marr & Poggio, 716 1979; Rosenfeld, Hummel, & Zucker, 1976). On the other hand, the refresh rate of the RSVP and 717 spatial-temporal conditions in our experiments are really high. However, we are yet sure that 718 whether the temporal (morph) averaging occurs before or after the attractiveness of the 719 individual faces has been determined. We suspect that a new face norm is continuously being 720 updated as each face is presented in the RSVP stream, and its information extracted. Only once 721 this information has been extracted in the form of a new morphed face norm, can it then produce 722 a conceptual appraisal (e.g., this group of unattractive faces' information morphs together to then 723 be judged as moderately attractive) that drives subsequent adaptation aftereffects. We anticipate 724 future neuroimaging and electrophysiological work will confirm these distinct neural stages 725 responsible for driving ensemble statistics derived from temporal versus spatial averaging.

# 727 Conclusions

728 Researchers have long speculated as to the composition of the neural calculations 729 performed during ensemble coding. We have shown for the first time that temporal ensemble 730 statistics do not simply reflect the 'gist' of the attractiveness judgements attributed to a group of 731 faces, but are instead extracted by morph averaging the group's fiducial points and surface 732 characteristics together. By contrast, spatial ensemble coding appears reflective of a gist 733 averaging process in which the group's general characteristics of attractiveness (e.g., this group 734 is unattractive), can be maintained. This reveals two distinct levels of ensemble statistics that can 735 occur for the same facial trait: the gist averaging we observed during static spatial ensemble 736 coding, and the morph averaging for temporal ensemble coding. We anticipate that these results 737 will help inform a broader theoretical framework to understand ensemble perception, but also 738 enhance our knowledge of face processing and appraisal mechanisms.

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## 740 Author Contributions

H. Ying, A. Choo, E. Burns and H. Xu developed the study concept and contributed to the
study design. H. Ying and A. Choo performed testing and data collection. H. Ying performed the
data analysis and interpretation under the supervision of H. Xu. H. Ying drafted the manuscript,
and E. Burns and H. Xu provided critical revisions. All authors approved the final version of the
manuscript for submission.

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# 749 Acknowledgements

750	Supported by Nanyang	Technological University	Research Scholarship (HY)
750	Supported by Manyang	recimological Oniversity	Research Scholarship (111),

- 751 Undergraduate Research Experience on Campus (AC), College of Arts, Humanities and Social
- 752 Sciences Incentive Scheme (HX), and Singapore Ministry of Education Academic Research
- Fund (AcRF) Tier 1 (HX). H. Ying is also supported by the Social Science Foundation of Jiangsu
- 754 Province (17JYC006), the City & University strategy-Soochow University Leading Research
- 755 Team in Humanities and Social Sciences. Parts of this research (data from Exp 1) were presented
- at the Annual Meeting of Visual Science Society (VSS), May 2017, St. Pete Beach, Florida. The
- research reported here forms part of H. Ying's Ph.D. thesis at Nanyang Technological University.
- All data have been made publicly available via the Open Science Framework (OSF) and can be
- 759 accessed at <u>https://osf.io/rgdja/</u>.
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