

1 Integration of juvenile habitat quality and river connectivity models to
2 understand and prioritise the management of barriers for Atlantic
3 salmon populations across spatial scales

4 Author details

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13 Abstract

14 Diadromous fish populations are strongly affected by in-stream barriers that cause river network
15 fragmentation, constraining productivity or preventing completion of their lifecycle. Removal or
16 reduction of barrier impacts is a restoration measure associated with unambiguous benefits.
17 Management of barriers is therefore often prioritised above other restoration actions. Barrier
18 management is prioritised at local and national scales depending on funding. However, barrier
19 prioritisation is potentially sub-optimal because existing tools do not consider habitat quality.
20 Furthermore, effects of partial barriers (those passable under certain conditions) are uncertain,
21 depending on location and potential cumulative effects.

22 A framework is presented for assessing effects of impassable manmade barriers (IMBs) on
23 longitudinal river network connectivity (percentage of upstream habitat accessible from the river
24 mouth) for Atlantic salmon across spatial scales, using Scotland as an example. The framework
25 integrates juvenile habitat quality and network connectivity models to (1) provide information
26 necessary for local and national prioritisation of barriers, and (2) assess potential effects of passable
27 manmade barriers (PMBs) within a sensitivity framework.

28 If only IMBs are considered, high levels of longitudinal connectivity are observed across most of
29 Scotland's rivers. Barrier prioritisation is sensitive to habitat weighting: not accounting for habitat
30 quality can lead to over- or underestimating the importance of IMBs. Prioritisation is also highly
31 sensitive to the passability of PMBs: if passability drops to <97% (combined up- and downstream
32 passability), the mean effect of PMBs becomes greater than IMBs at the national level. Moreover,
33 impacts on catchment connectivity, and thus production (number of juvenile salmon produced by
34 the river), could be severe, suggesting a better understanding of the passability of PMBs is important
35 for future management of migration barriers. The presented framework can be transferred to other
36 catchments, regions, or countries where necessary data are available, making it a valuable tool to
37 the broader restoration community.

38

39 **Key words:** River connectivity; barriers to migration; restoration; barrier prioritisation; scalable;
40 habitat quality

41 1 Introduction

42 River regulation and the construction of barriers for hydropower generation, irrigation, and drinking
43 water supply has led to a global increase in the number of anthropogenically impacted water bodies
44 (Grill *et al.* 2015). Fragmentation of river networks can increase the isolation of fish (sub)populations
45 (Campbell Grant, Lowe & Fagan 2007; Schick & Lindley 2007), which are likely to be less robust to
46 environmental perturbations (Freeman *et al.* 2001; Shrimpton & Heath 2003; Junge *et al.* 2014).

47 Diadromous species like Atlantic salmon (*Salmo salar*), European eel (*Anguilla anguilla*) and
48 migratory Brown trout (*Salmo trutta*, sea trout) are particularly sensitive to barriers, as juvenile and
49 adult life stages must make extensive migrations across the freshwater environment (e.g., Thorstad
50 *et al.* 2010). Barriers are therefore a potentially important constraint on production and population
51 persistence where access to and from spawning and rearing habitats is limited (Holbrook, Kinnison &
52 Zydlewski 2011; Brown *et al.* 2013), prevented (Gephard & McMenemy 2004), or delayed (Venditti,
53 Rondorf & Kraut 2000; Anon 2009; Nyqvist *et al.* 2017a).

54 Atlantic salmon is a species of high economic and conservation value that occurs throughout the
55 North Atlantic (Jonsson & Jonsson 2011) and is frequently the focus of fisheries management.
56 Scottish salmon stocks are estimated to make up 74% and 29% of the UK and European pre-fishery
57 abundance respectively (ICES 2017) and to be worth ca. £80 million per annum to the Scottish
58 economy (PACEC 2017). Barriers to migration are also a frequent cause of ecological status
59 downgrades under the Water Framework Directive (Water Framework Directive 2000/60/EC).
60 Consequently, considerable funding is spent each year on barrier improvement works using both
61 national (Scottish Environment Protection Agency Water Environment Fund) and local funding
62 schemes. However, there is currently no consistent quantitative assessment of the benefits of
63 barrier removal or modifications to fish and fisheries, which is scalable to allow both national and
64 local management decisions.

65 Connectivity metrics are widely applied in landscape ecology to describe the spatial connections
66 between key landscape elements (habitat patches) and inform conservation and management
67 (Saura & Pascual-Hortal 2007; Galpern, Manseau & Fall 2011). Recently, similar approaches have
68 been applied to rivers (linear networks) to investigate connectivity and identify the individual and
69 cumulative effects of barriers to migration (Cote *et al.* 2009; McKay *et al.* 2013; Branco *et al.* 2014;
70 Malvadkar, Scatena & Leon 2015; Rincón *et al.* 2017).

71 Despite increasing recognition of the importance of incorporating habitat quality and functional
72 habitats into connectivity metrics (e.g., Branco *et al.* 2014; Van Looy *et al.* 2014; Buddendorf *et al.*
73 2017), most previous studies of river connectivity have focussed on more readily attainable metrics
74 of river habitat such as length (Bourne *et al.* 2011; Mahlum *et al.* 2014), wetted area (Malvadkar,
75 Scatena & Leon 2015), or volume (Grill *et al.* 2014). Furthermore, focus has been on the effects of
76 impassable manmade barriers (hereafter IMBs), despite increasing evidence that passable manmade
77 barriers (hereafter PMBs) can have substantial detrimental effects (Ovidio & Philippart 2002;
78 Maynard, Kinnison & Zydlewski 2017; Birnie-Gauvin *et al.* 2018). In many cases this reflects the
79 challenges posed in characterising the effects of PMBs which can be highly variable and uncertain
80 (e.g., Bunt, Castro-Santos & Haro 2012; Noonan, Grant & Jackson 2012).

81 There is thus a need to develop a flexible scalable approach for assessing the effects of manmade
82 barriers on longitudinal connectivity for Atlantic salmon, that considers the production potential of
83 different habitats, the potential effects of PMBs under a range of passability values and provides the
84 information necessary for local and national prioritisation of management resources.

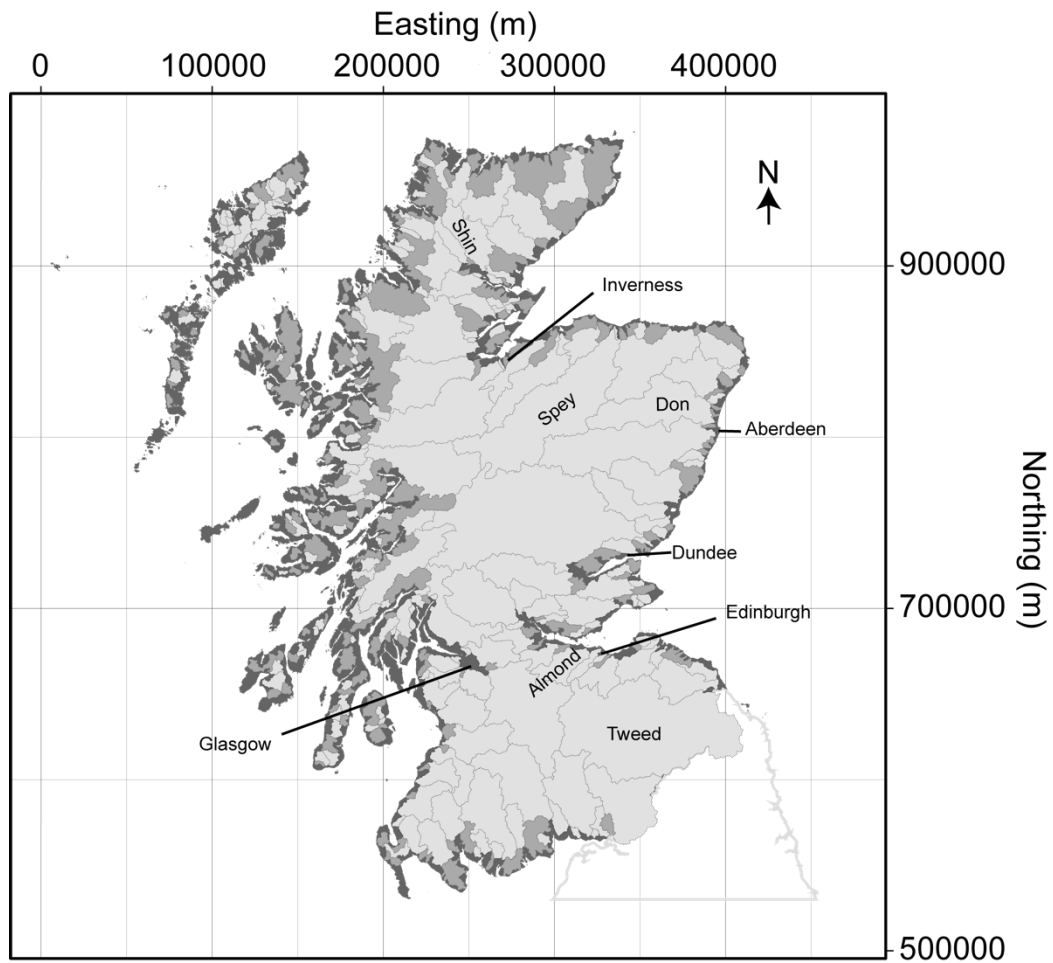
85 The objectives of this study are to: 1) understand and illustrate the effects of IMBs on inter-
86 catchment variability in habitat connectivity for Atlantic salmon using a recently derived landscape -
87 habitat quality model (Malcolm *et al.* in press); 2) develop a scalable approach for prioritising barrier
88 removal or easement at national and local scales based on the value of habitats for Atlantic salmon;
89 3) determine the effect of alternative habitat quality weightings (i.e., river length, wetted area,
90 juvenile abundance) on the assessment of barrier impacts; and 4) explore the potential importance
91 of PMBs for connectivity within a sensitivity framework.

92 2 Methods

93 2.1 Study site

94 Scotland has over >16000 individual river catchments draining to the sea (Jackson *et al.* 2018). Its
95 climate is characterised by a North-South mean annual air temperature gradient ranging from 5.8 –
96 7.6°C and East-West precipitation gradient of 700 - 4000mm (Soulsby *et al.* 2009; Jackson *et al.*
97 2018). For the purposes of this study, small coastal catchments (<10km²) which are generally
98 unproductive for salmon were excluded from the analysis leaving 628 so-called “baseline”
99 catchments (Figure 1). It was not possible to obtain juvenile salmon density weightings for the
100 Orkney and Shetland Islands due to a lack of electrofishing data (Malcolm *et al.* in press).
101 Consequently, these areas were also excluded from the current analysis leaving a final set of 605
102 catchments, of which 221 contain manmade barriers to fish migration (Figure 1).

103



104

105 Figure 1: Map of Scotland. Light grey shows baseline catchments (>10km²) with barriers to fish
 106 migration. Dark grey includes either coastal catchments or baseline catchments without barriers.
 107 River catchments mentioned in text are named. Larger urban areas are identified by a solid black line
 108 and associated text.

109

110 2.2 Spatial data

111 A detailed description of the spatial data and covariates used in this study is provided in Jackson *et*
 112 *al.* (2017). However, in brief, all analyses were performed on a topologically corrected version of the
 113 Centre for Ecology and Hydrology (CEH) digital river network (hereafter DRN). Prior to analysis, any
 114 standing waters or rivers above impassable natural barriers were assigned a zero weighting as these
 115 habitats are either inaccessible or considered to be of negligible value for juvenile salmon
 116 production. River widths were derived from the Ordnance Survey MasterMap Water Polygons

117 dataset using the methods described by Jackson *et al.* (2017), but with a number of adjustments.
118 These were implemented because small rivers (<2m) are represented as line features in the
119 MasterMap dataset and cannot be automatically assigned a width. Furthermore, zero widths can be
120 obtained where there is poor spatial agreement between the DRN and MasterMap data. Finally,
121 rivers entering lochs (large polygons) can sometimes be characterised by exaggerated widths, taking
122 information from the nearby lochs polygon. To address these constraints a pragmatic rule based
123 system was used to ensure that all rivers were assigned realistic widths. Firstly, any river sections in
124 Strahler river orders 2-8 with zero widths were assigned half the median width of all non-zero values
125 for that order. Secondly, any order 1 rivers with zero widths were assigned half the median value of
126 river order 2 rivers. This was because river orders 1 and 2 have been shown to have similar median
127 widths (Hughes, Kaufmann & Weber 2011; Downing 2012). Finally, unrealistically high width values
128 were removed by replacing any widths greater than the 90th percentile with the 90th percentile. The
129 choice of the 90th percentile was again pragmatic following visual assessment of the size distribution
130 of width values.

131

132 2.3 Scottish barriers dataset

133 The passability of barriers was informed by the Scottish Obstacles to Fish Migration data set (see:
134 <https://www.sepa.org.uk/environment/environmental-data/>, accessed 13-Aug-2018). The dataset
135 contains information on the location of barriers on the river network, whether they are natural or
136 manmade and whether they are impassable or passable under certain conditions. These data were
137 initially collated in the 1980s by staff from Marine Scotland Science using information provided by
138 District Salmon Fishery Boards, Fisheries Trusts and local angling clubs (Gardiner & Egglshaw 1986).
139 A major update to the dataset was carried out in 2006 when the data were added to the CEH digital
140 rivers network alongside information on salmon distribution (Anon 2009). Since 2008, the dataset
141 has been maintained and updated by the Scottish Environment Protection Agency (SEPA, Table 1). In

142 the dataset, barriers are considered “impassable” when <20% of fish are considered able to pass a
 143 barrier in an upstream direction.

144 For the purposes of this analysis, IMBs and impassable natural barriers were assigned a passability
 145 value of 0, hence these are full barriers to migration (Groups 1 and 3 in Table 1). Natural barriers
 146 that are passable under certain conditions were assumed to have a passability of 1, meaning they
 147 were assumed to be passable 100% of the time in an up- and downstream direction (Group 2 in
 148 Table 1). This is recognised as a simplification, but is a pragmatic approach where detailed local
 149 information is not available on the passability of individual barriers and natural barriers were not the
 150 focus of the study. PMBs were assumed to be fully passable except where the potential effects of
 151 changing passability were explored (Objective 4). The proportion of IMBs and PMBs were similar and
 152 make up ca. 20% and 27% of all barriers or ca. 43% and 57% of manmade barriers, respectively
 153 (Group 3 and 4, Table 1).

154

155 Table 1: Passability scores of barriers to fish migration. The scores result from the product for up and
 156 downstream passability.

Group	Description of barrier passability in data set	Passability (up * down)	Passability range explored (up * down)	Percentage occurrence
1	Impassable natural barrier	0	0	38
2	Passable natural barrier	1	1	15
3	Impassable manmade barrier	0	0	20
4	Passable manmade barrier	1	0.5 – 1	27

157

158 2.4 Juvenile salmon density

159 Atlantic salmon fry densities were predicted for each river segment using landscape covariates
 160 (upstream catchment area (UCA), river distance to sea, and altitude) and the national juvenile

161 salmon density model for Scotland developed by Malcolm *et al.* (in press). This model predicts the
162 benchmark densities for reaches of river, assuming habitat was fully stocked by spawners, in the
163 absence of anthropogenic pressures. Because the national juvenile density model becomes
164 increasingly uncertain for large rivers (>257km²) where electrofishing data are sparse, biased or
165 unreliable, all UCA values for density prediction in river segments where the UCA > 257km² were
166 capped at 257km². In practice this prevents unrealistically high predictions of fish abundance in large
167 mainstem rivers.

168

169 2.5 Dendritic Connectivity Index

170 Given the focus on a diadromous species, the Dendritic Connectivity Index (DCI) was used to assess
171 the impacts of barriers on longitudinal connectivity (Cote *et al.* 2009). The standard index is denoted
172 as DCI_d, where values returned are between 0 – 100%. A value of 100% would be in a river network
173 with no barriers, where all potential habitat (i.e., rivers below natural impassable barriers) is
174 accessible from the outflow. DCI_d is calculated as follows:

$$175 \quad DCI_d = \sum_{i=1}^n \frac{l_i}{L} \left(\prod_{m=1}^M p_m^u p_m^d \right) \times 100$$

176 Where L = total river length (m); p_m^u and p_m^d = upstream and downstream passabilities of barriers m
177 that exist between the downstream section (outlet) and section i (a river segment); l_i = summed
178 river length (m) of reaches x within river section i .

179 Where information on habitat quality is available, this can be used to emphasise the
180 ecological/functional importance of river segments, providing a more ecologically relevant measure
181 of impact compared to basic measures of river length or wetted area. In these cases, the metric of
182 habitat quality in each river reach can be used to replace (l_i) and the sum of the habitat quality
183 metric replaces (L). The habitat weighting (L) used in this study was the total sum of national
184 juvenile Atlantic salmon production, which was calculated as the product of the river length, channel

185 width and density predictions from the national juvenile density model for Scotland (Malcolm *et al.*
186 in press). This measure of DCI was scaled to (1) the total potential production of salmon fry in each
187 catchment (DCI_{catch}) and (2) the total potential production of salmon fry in Scotland (DCI_{scot}) by
188 varying L . The former approach provided an assessment of inter-catchment variability in
189 connectivity and the latter provided an approach for ranking barrier impacts at both national and
190 local scales and for assessing the potential impacts of PMBs.

191 2.6 Assessing the impacts of IMBs

192 For each river catchment, based on the number of times a barrier occurred on a shortest path
193 between each river segment and the catchment outflow, barriers were “removed” by sequentially
194 changing their passability value to 1 (i.e. fully passable), working in an upstream direction (i.e.,
195 working from high to low counts, where high counts are barriers that are encountered most) and
196 recalculating the DCI.

197 The increase in connectivity associated with barrier removal was recorded as ΔDCI_{scot} . This indicates
198 the percentage increase in connectivity at a national level and thus provides a basis for ranking and
199 prioritising the removal or easing of barriers to migration based on environmental gain at both local
200 and national scales. ΔDCI_{scot} assumes all downstream IMBs are also removed. Cumulative gain is
201 calculated by summing the ΔDCI_{scot} of the barrier of interest with the ΔDCI_{scot} of downstream IMBs.
202 The effect of alternative habitat weightings on barrier rankings was explored, by repeating the
203 analysis, replacing salmon production with river length ($\Delta DCI_{\text{scotL}}$) and wetted area ($\Delta DCI_{\text{scotWA}}$). The
204 change in barrier rankings with different habitat weightings was then summarised with individual
205 examples to illustrate where large differences occurred.

206 2.7 Assessing the potential impact of PMBs

207 The potential effect of PMBs on connectivity was explored by sequentially reducing the passability of
208 PMBs from 100% to 50% at 1% intervals. At each iteration, the effect of removing barriers was
209 assessed by recording the ΔDCI_{scot} for each barrier. Barriers were then ranked and the mean ΔDCI_{scot}

210 for PMBs was compared to the mean ΔDCI_{Scot} for IMBs for each value of passability. This allowed the
211 relative importance of IMBs ($n = 513$) and PMBs ($n = 917$) to be compared depending on the
212 passability of PMBs. DCI_{Catch} was also calculated for each catchment and passability value to visualise
213 the effects of changing PMB passability on catchment scale connectivity.

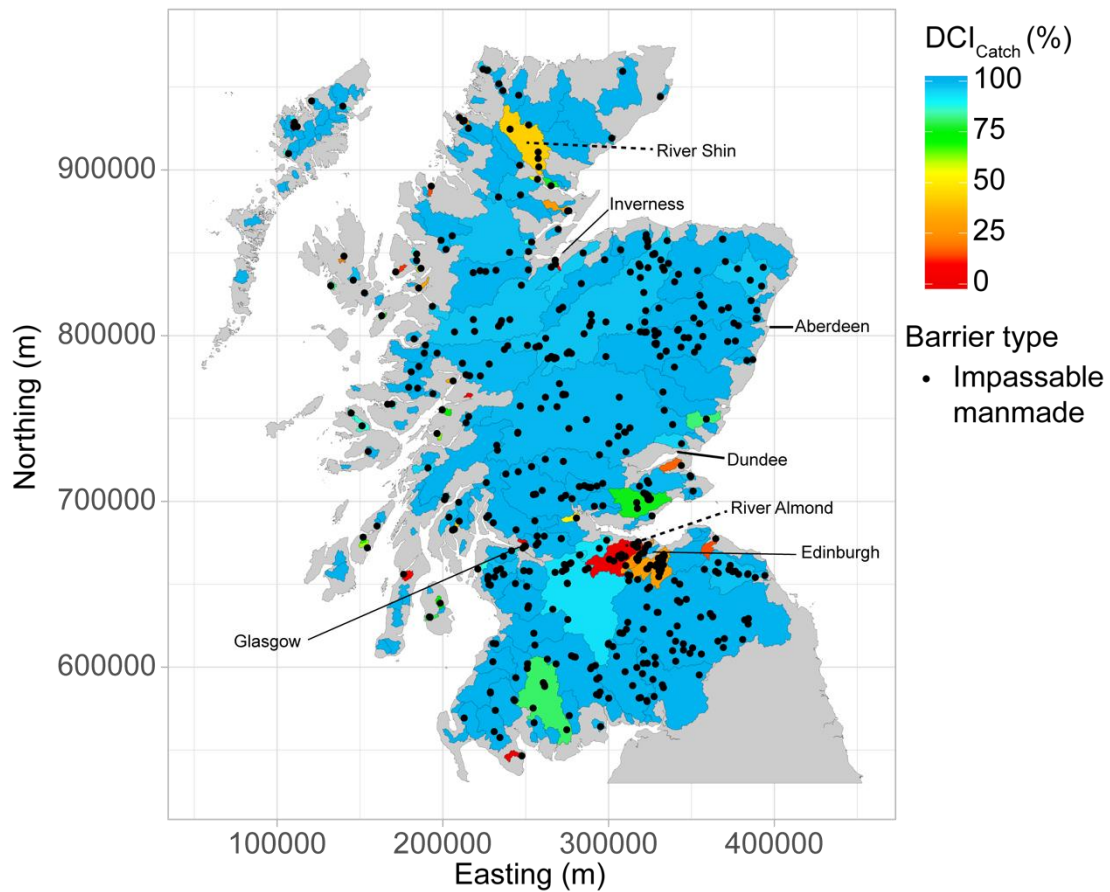
214 3 Results

215 3.1 Effects of IMBs on catchment scale connectivity

216 IMBs only had a small effect on connectivity in most catchments (Figure 2). Across Scotland 92% of
217 catchments had a DCI_{Catch} value of >95%, while only ca. 3% of catchments had a DCI_{Catch} value of
218 <25%. Catchments with low DCI_{Catch} values were typically small catchments, those situated in urban
219 areas, or both (Figure 2, Appendix A).

220 Only 126 catchments contained IMBs. Of the top 20 impacted catchments, the DCI_{Catch} ranged from
221 0% - 52.3%, however, of these, 13 had an area <35km² (Table 2). There were three catchments
222 where river access is prevented by an IMB at the outflow, resulting in a DCI_{Catch} value of 0%. The
223 largest of these was the River Almond catchment (ca. 395km²), which is located close to Edinburgh
224 (Figure 2, Table 2). The largest catchment in the top 20 was the River Shin at ca. 583km² (Figure 2,
225 Table 2) and is affected by a hydropower dam. A table showing the DCI for all catchments is provided
226 in Appendix A.

227



228

229 Figure 2: Map showing the effect of impassable manmade barriers on catchment connectivity. Blue
 230 colours indicate catchments where the impact of impassable manmade barrier barrier is low, red
 231 colours indicate catchments where impassable manmade barriers have a strong negative impact.
 232 Black dots show the location of impassable manmade barriers. Highlighted rivers and larger urban
 233 areas are identified by dashed and solid lines, respectively.

234

235 Table 2: Top 20 catchments most heavily impacted by impassable manmade barriers to migration.

236 Area = total catchment area in km²; N IMB= number of impassable manmade barriers; UCA IMB =

237 maximum Upstream Catchment Area in km² affected by Impassable Manmade Barriers.

Catchment name	DCI _{Catch}	Area (km ²)	N IMB	UCA IMB (km ²)
Allt Nathrach	0	10.2	1	10.2
Duntocher Burn	0	18.8	3	18.4
Mill Burn	0	10.4	2	8.8

Dowalton Burn	0	33.7	1	31.1
River Almond	0	394.8	11	369.2
Clachan Burn	2.99	28.8	1	13.3
Abhainn Giosla	7.03	16.7	2	15.3
River Toscaig	12.67	13.9	1	13.2
Allt Bad an Luig	14.46	13.7	1	11.7
Biel Water	14.82	60.2	1	56.5
Motray Water	17.13	62.7	1	58.0
Allt Garbh	20.49	14.5	1	11.6
Oldany River	25.91	20.8	3	17.8
Water of Leith	26.37	117.4	13	109.7
Balnagown River	29.36	59.5	2	74.0
River Esk	31.26	323.4	17	152.6
Abhainn Sron a Chreagain	33.35	11.4	1	9.7
Allt Cleann Udalain	35.16	23.8	1	20.2
Glentarsan Burn	40.8	13.2	3	12.5
Lugton Water	42.13	57.1	1	42.4

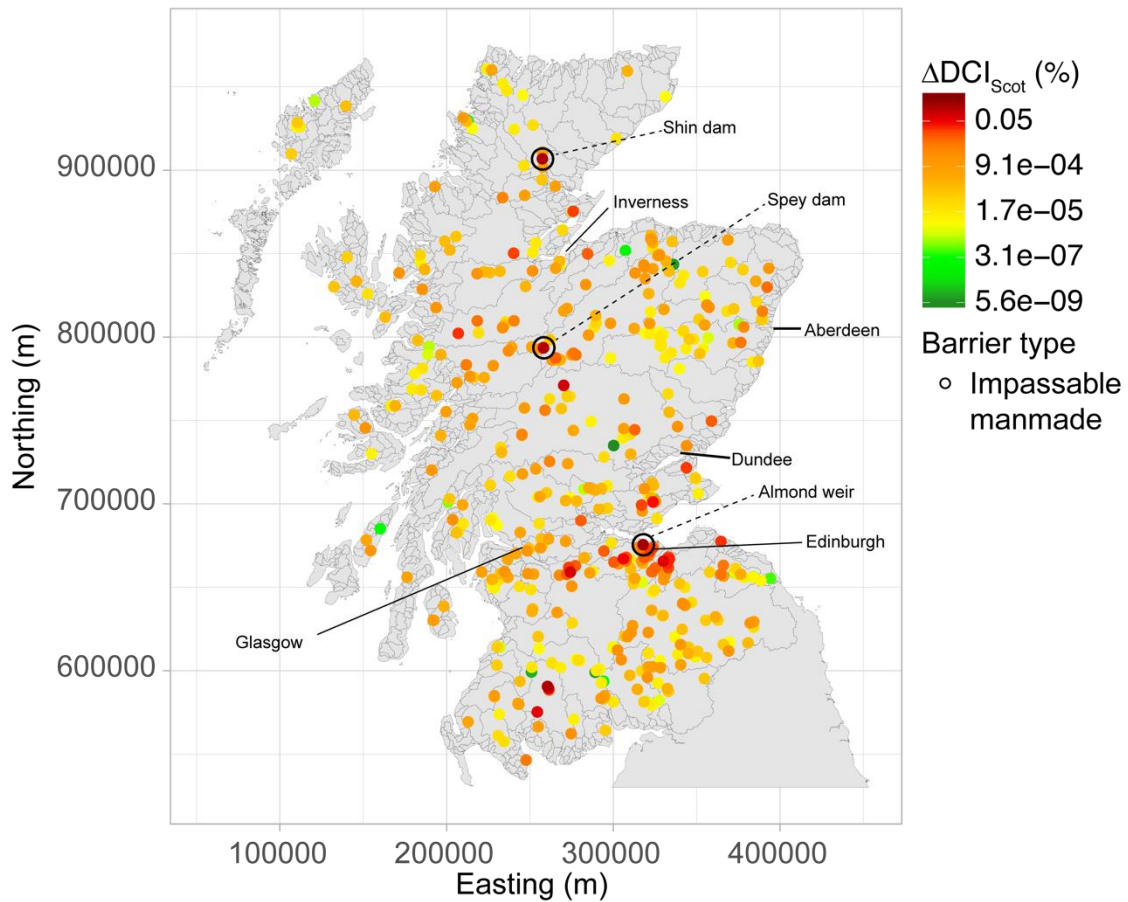
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239 [3.2 Assessing and ranking the impacts of barriers to prioritise management action at](#)
240 [national and local scales.](#)

241 The impact of individual IMBs varied over 8 orders of magnitude, ranging from 5.8×10^{-9} to 2.27×10^{-1}
242 (Figure 3). The greatest ΔDCI_{Scot} was for a weir on the River Almond near Edinburgh where removal
243 resulted in an increase in DCI_{Scot} of 0.23% (Table 3, Figure 3). The second most important barrier was
244 Shin dam at the lower end of Loch Shin which had a ΔDCI_{Scot} of 0.19% (Table 3, Figure 3). There were
245 exceptional circumstances where catchments had a high DCI_{Catch} but also contained individual
246 barriers with a high ΔDCI_{Scot} . For example, the River Spey has a DCI_{Catch} of 97.5% and the Spey dam

247 has a ΔDCI_{Scot} of 0.17% (Table 3, Figure 3). This only occurred in the upper parts of larger catchments.

248 A table showing the ΔDCI_{Scot} information for all IMBs is provided in Appendix B.



249

250 Figure 3: ΔDCI_{Scot} for impassable manmade barriers. Individually important barriers are highlighted in
 251 black circles and dashed lines; larger urban areas are identified by solid lines.

252

253 Table 3: Top 20 most important IMBs (ranked by ΔDCI_{Scot}). Barrier ID refers to the unique identifier
 254 used in the barrier dataset. Barrier type is provided where available. ΔDCI_{Scot} is the percentage
 255 increase in national connectivity where a barrier is removed. Passable Manmade
 256 Barriers/Impassable Manmade Barriers downstream are the number of passable/impassable
 257 manmade barriers downstream of a barrier. Cumulative gain is the sum of ΔDCI_{Scot} for the barrier of
 258 interest and all downstream impassable manmade barriers.

Catchment	Barrier	Barrier	ΔDCI_{Scot}	PMBs	IMBs	Cumulative
-----------	---------	---------	---------------------	------	------	------------

name	ID	type	(%)	downstream	downstream	gain
River Almond	20213	weir	0.228	0	0	0.228
River Shin	2727	dam	0.190	3	0	0.190
River Dee	20	dam	0.175	4	0	0.175
River Spey	2732	dam	0.168	0	0	0.168
River Tay	3602	dam	0.087	2	0	0.087
River Clyde	155	weir	0.085	2	2	0.103
River Esk	21235	weir	0.068	1	2	0.117
River Dee	20555	dam	0.067	4	0	0.067
River Dee	7	dam	0.060	3	0	0.060
River Almond	20217	weir	0.044	7	3	0.295
River Leven	3322	dam	0.037	12	6	0.047
River Esk	159	weir	0.034	1	0	0.034
Lugton Water	130	weir	0.033	0	0	0.033
River Esk	3337	weir	0.031	1	1	0.049
River Esk	3278	weir	0.031	1	1	0.064
River Ness	426	dam	0.029	4	0	0.029
Biel Water	164	weir	0.028	0	0	0.028
Water of Leith	20297	weir	0.026	3	3	0.053
Motray Water	3428	weir	0.025	0	0	0.025
River Clyde	20526	culvert	0.024	1	0	0.024

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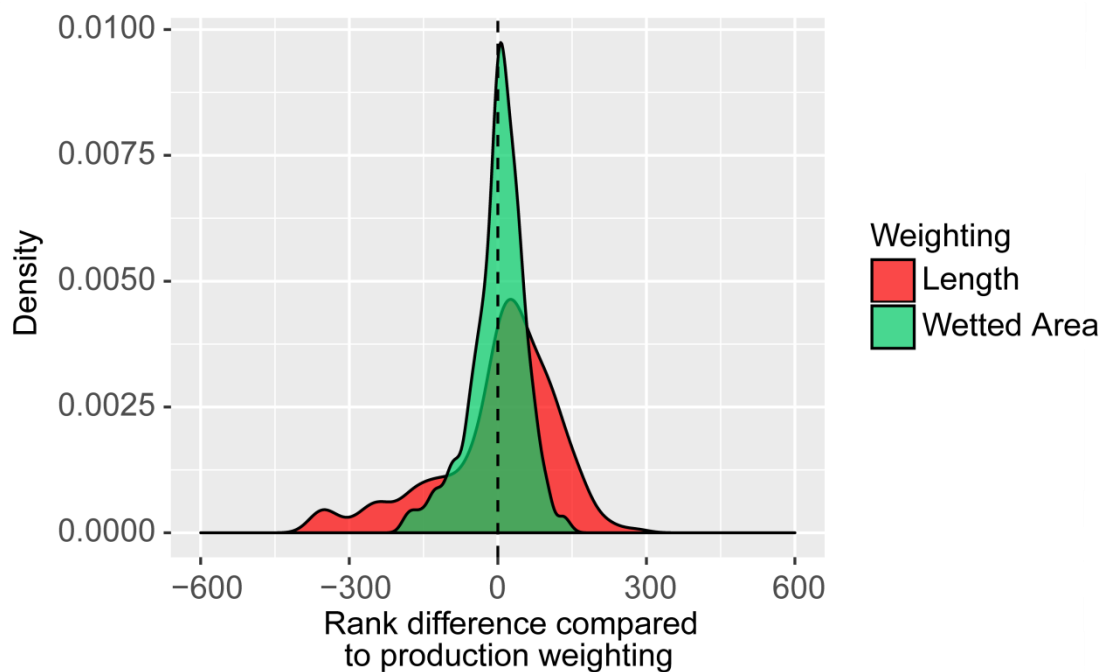
260 [3.3 Effect of alternative habitat quality weightings on the assessment of barrier](#)
261 [impacts](#)

262 There were substantial differences in the impact rankings of individual barriers depending on the
263 habitat weightings that were applied. The maximum differences in barrier rank between the salmon
264 production and river length barrier assessment were -370 and 279. The maximum difference in

265 barrier rank between the salmon production and wetted area weightings were smaller, but still
266 substantial, ranging between -181 and 145. A comparison of all the barrier ranks across the three
267 datasets suggests greater agreement between salmon production and wetted area (WA) weightings,
268 than between salmon production and length weightings (Figure 4).

269 Assuming the production weighting provides the most appropriate prioritisation of barriers,
270 overestimations of barrier rank occur where the WA (Fig. 5a) or length (Fig. 5c) upstream of an IMB
271 is large but the production value (i.e. habitat quality) is small. Conversely, underestimates occur
272 when WA (Fig. 5b) and length (Fig. 5d) upstream are small, but the production value is high.

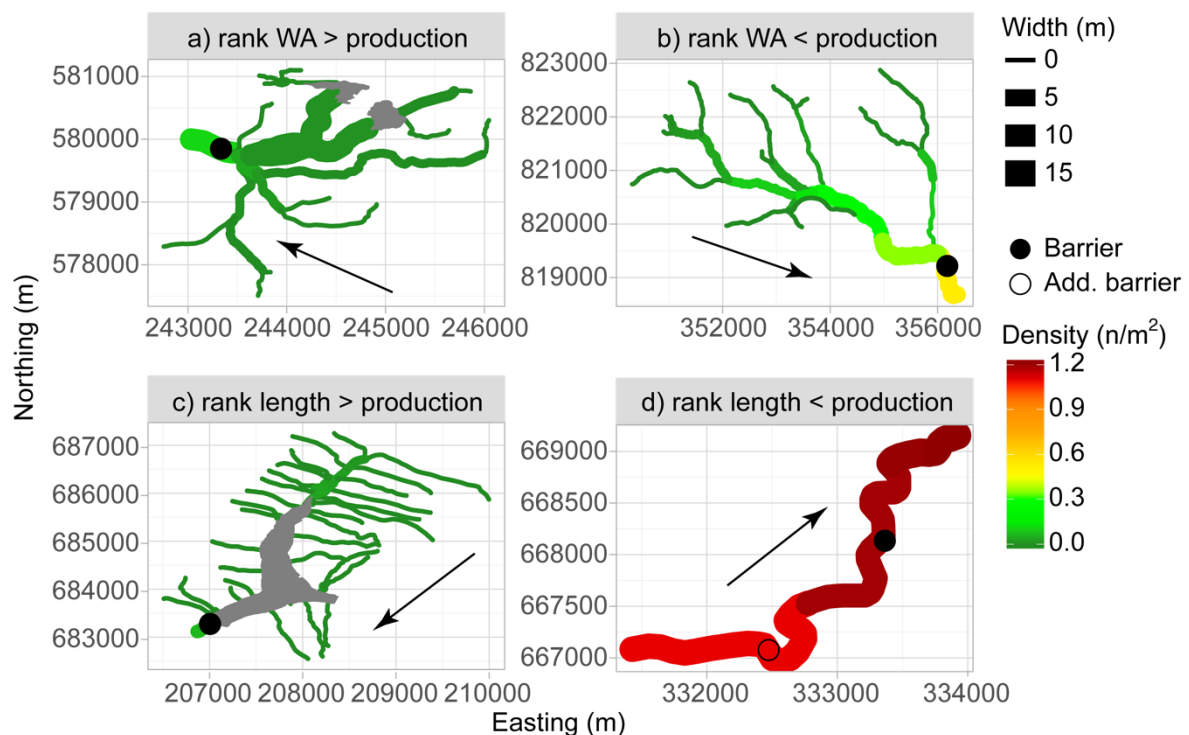
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274

275 Figure 4: Density plots showing the difference in barrier rankings between scenarios where
276 connectivity was weighted for salmon production and length (red) and for salmon production and
277 wetted area (green). The dotted vertical line is the point where the rank of barriers is the same
278 between the different weighting approaches.

279



280

281 Figure 5: Example situations where a barrier's rank for an alternative connectivity weighting is
 282 markedly higher (" $>$ ") or lower (" $<$ ") than for production weighting. Arrows in the subplots indicate
 283 the flow direction. Colours denote density predictions (production weighting); line thickness denotes
 284 river width; river length can be determined from the axis scales, note these differ between plots.
 285 Filled circles denote the barrier of interest, open circles show upstream IMBs. Lochs, which have no
 286 weighting values, are shown in grey.

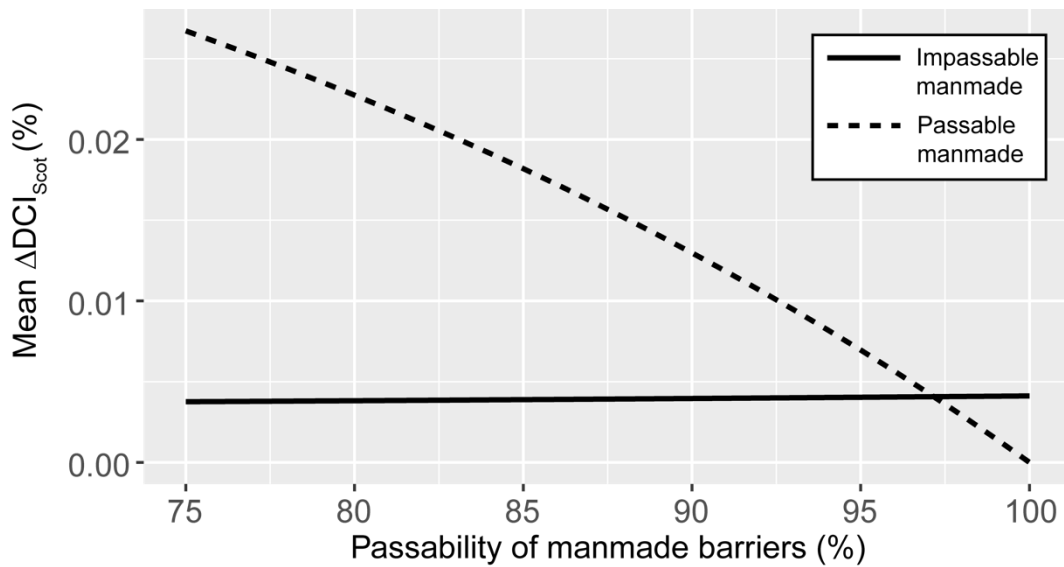
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288 3.4 Potential importance of PMBs for connectivity

289 The sensitivity analysis indicated that even small reductions in the passability of PMBs can have a
 290 substantial effect on river connectivity. Where the combined up- and downstream passability of
 291 PMBs was reduced by as little as 3%, the mean effects of PMBs would match those of current IMBs
 292 across Scotland (Figure 6). These effects can be seen in more detail in Figure 7, which shows density
 293 plots of the ΔDCI_{Scot} values for IMBs and PMBs when the passability of the latter was reduced from
 294 100%, to 75% in 5% increments. The ΔDCI_{Scot} density plots are similar for IMBs and PMBs where

295 passability of the latter is ca. 95%. However, at lower passability values the peak density curve for
296 PMB increases markedly as PMBs begin to have notable effects. Changing the passability also has a
297 marked effect on the DCI_{Catch} of particular catchments where PMBs are located close to the river
298 mouth, for example on the Rivers Don or Tweed (Figure 7, see Figure 1 for locations).

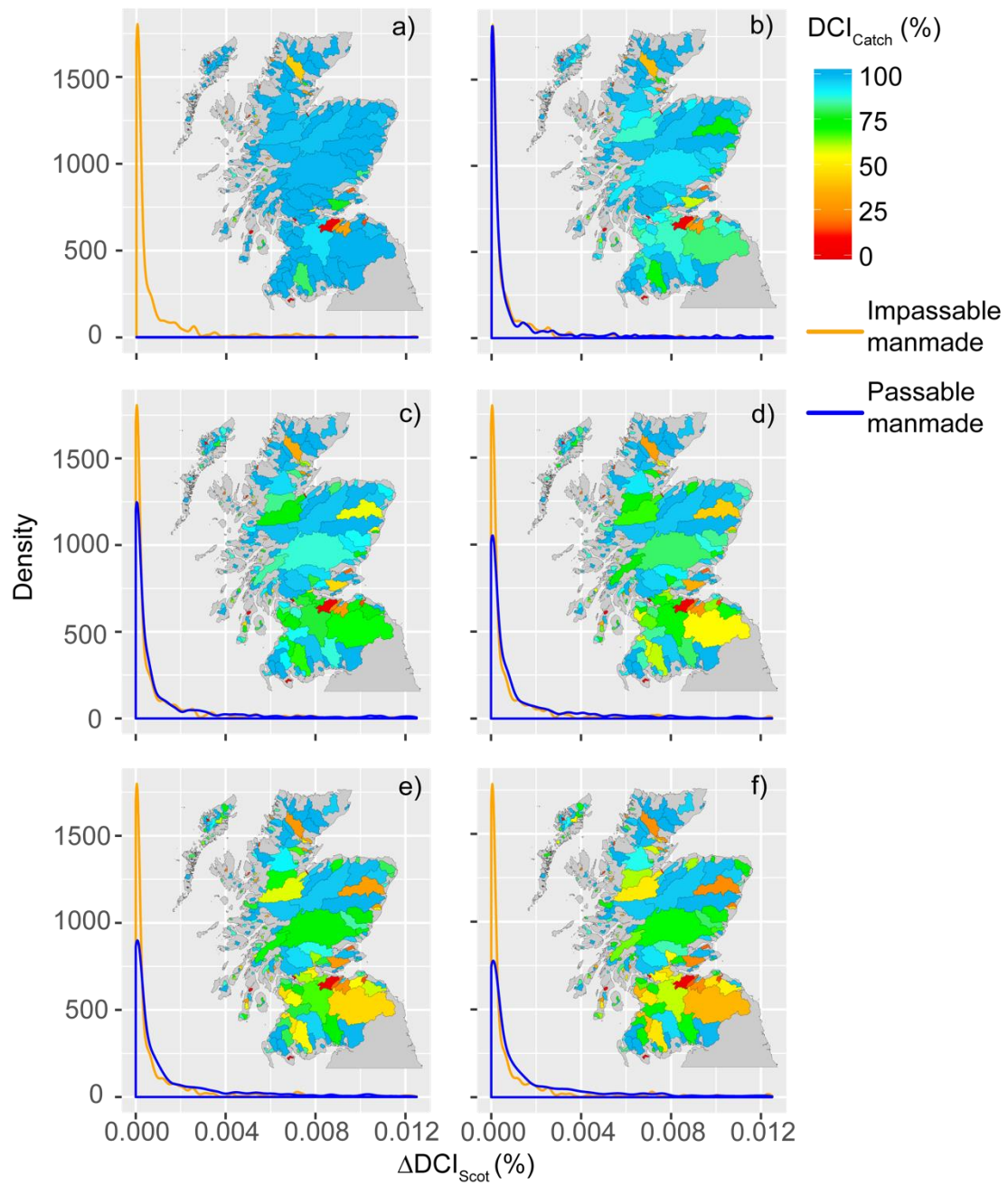
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300

301 Figure 6: Mean ΔDCI_{Scot} as a function of passability values, in percentages, for passable (dotted line, n
302 = 917) and impassable (solid line, n = 513) manmade barriers.

303



304

305 Figure 7: Density plots of ΔDCI_{Scot} for impassable manmade barriers (yellow) and passable manmade

306 barriers (blue) where the passability of PMBs is 100%, 95%, 90%, 85%, 80%, and 75% in subplots a –

307 f, respectively. Insets a - f show the DCI_{Catch} , inset a is identical to Figure 2.

308

309 4 Discussion

310 The removal of barriers to fish migration is often associated with substantial technical challenges
311 and financial costs that are addressed through a variety of local and national funding mechanisms. It
312 is therefore important that decision making is supported by a defensible, scalable, quantitative
313 framework that can be used to prioritise management action across spatial scales from individual
314 catchments to a whole country. The framework presented in this study used river connectivity
315 models in combination with a recently developed national juvenile salmon density model to
316 determine and rank the impacts of barriers on river connectivity. Through a simple re-ordering of
317 this list it is possible to prioritise barrier removal at both local and national scales. In combination with
318 information of the number of downstream IMBs and PMBs, the allocation of resources can be
319 optimised with respect to potential gains in habitat. Although previous studies have used a range of
320 readily obtained river weightings (e.g., length and wetted area) to assess connectivity and the impact
321 of barriers (Bourne *et al.* 2011; Pini Prato, Comoglio & Calles 2011; McKay *et al.* 2013; Rincón *et al.*
322 2017), relatively few have incorporated estimates of habitat quality (Branco *et al.* 2014; Shaw *et al.*
323 2016; Buddendorf *et al.* 2017; Erős *et al.* 2018). In this study, salmon fry production was used to
324 infer the value and quality of habitat. Importantly, the current study suggests that the choice of
325 weighting is important and that alternative weightings can result in substantially different
326 assessments of barrier impacts and rankings and that this could result in sub-optimal management
327 decisions.

328 The potential impacts of PMBs are often ignored, despite increasing recognition of the potential
329 impacts they pose to migratory fish species (Gowans *et al.* 2003; Scruton *et al.* 2007; Perry *et al.*
330 2016; Nyqvist *et al.* 2017b; Ovidio *et al.* 2017). This likely reflects the high uncertainty that exists in
331 defining the fish passage efficiency of particular passable barriers (Bunt, Castro-Santos & Haro 2012;
332 Noonan, Grant & Jackson 2012). The current study explored the potential impact of PMBs by varying
333 their passability over a range of values <100% and found that even small reductions in passability

334 (3%) can result in PMBs having as large an effect on connectivity as IMBs, with further compounding
335 effects on catchment connectivity. To some extent this reflects the frequent occurrence of PMBs in
336 large lower main-stem rivers. However, it can also reflect the presence of cumulative impacts, e.g.,
337 where there are multiple dams on a river with fish passes (Ovidio & Philippart 2002; Aarestrup &
338 Koed 2003; Birnie-Gauvin *et al.* 2018). These findings support the view that there should be
339 increasing focus on understanding the impacts of PMBs, by obtaining specific data on passage
340 efficiency where barriers have the potential to substantially affect connectivity. Importantly the
341 analytical framework used in this study can be readily updated to include more detailed knowledge
342 on fish passage as it becomes available.

343 Scotland has a long history of industrial development that has affected the connectivity of its rivers
344 through the construction of mill, weirs, lades and latterly hydropower infrastructure (Payne 1988).
345 However, there is also a long history of fisheries management and river conservation that dates back
346 to the formation of the River Tweed Commission in 1807, where the protection of fish passage was a
347 primary driver. It is therefore reassuring to note that the combination of environmental protection
348 and barrier removal in recent decades is reflected in high levels of river connectivity across most of
349 Scotland's river catchments. Those catchments that remain heavily impacted are often small and of
350 limited value to salmon fisheries or reflect the presence of major infrastructure that would be
351 expensive to remove or improve (e.g., hydropower dams and infrastructure). Nevertheless, the
352 analysis provided in the current study provides a framework for planning and funding further
353 improvements.

354 4.1 Limitations and future work

355 The framework presented here represents a significant advance and provides a valuable
356 management tool which can be improved as new information becomes available. It was facilitated
357 by development of a topologically corrected DRN (Jackson *et al.* 2017), a new national juvenile
358 density model (Malcolm *et al.* in press) and recently developed spatial data analysis packages in R.

359 As such, the analysis presented in this paper would not have been possible until very recently.
360 Nevertheless, a number of limitations remain that warrant further discussion.

361 River width data are important to the current analysis. However, reliable river widths were not
362 available for all rivers, particularly small rivers and those entering lochs. Furthermore, the size
363 threshold at which width data were recorded varies between locations (e.g. 1m in urban areas and
364 2m in rural areas) (Ordnance Survey 2003). Finally, this analysis was completed using two
365 complimentary datasets, the topologically corrected CEH DRN and MasterMap river polygons
366 dataset. Because these two datasets do not show complete spatial agreement, this can generate
367 further fine scale errors in the spatial data. Such issues are unlikely to substantially affect barrier
368 rankings, but could affect precise DCI_{Catch} and ΔDCI_{Scot} values.

369 The barriers dataset used in this study is being constantly updated as barriers are added, altered or
370 removed. However, not all barriers may be included. In particular, natural impassable barriers are
371 likely to be underestimated. This could result in an overestimate of the availability of habitat above
372 IMBs. In the future, improved characterisation of natural barriers will emerge from the National
373 Electrofishing Programme for Scotland (NEPS 2018), where an understanding of salmon distribution
374 and the presence of barriers informs the selection of sites for status assessments.

375 Our results show the importance of characterising the passability of barriers to reliably determine
376 connectivity. To date, our analyses have focussed specifically on the effects of barriers on Atlantic
377 salmon as that was the species for which the current barriers dataset was developed. Looking
378 forwards there will need to be a re-assessment of the passability of barriers to other fish species for
379 which management is proposed. It is recognised that this is a serious challenge as the passability of a
380 barrier results from complex interactions between species, flow, the characteristics of the barrier
381 and any fish passes that may be present. While obtaining this information will be a significant
382 challenge, the current analysis framework could readily incorporate these data as they become
383 available.

384 The national salmon fry density model used in this study was designed for salmon assessment
385 purposes. Specifically, it was designed to provide a benchmark for healthy salmon populations
386 against which electrofishing data could be compared. At present the model does not include
387 “pressure” data in the predictions. As such it is possible that potential fish production would not be
388 realised on removal of a barrier due to the presence of other pressures in the river system that
389 affect production (e.g., acidification or abstraction). Future iterations of the national juvenile salmon
390 density model will aim to incorporate the effects of hydrological and morphological pressures where
391 these are recorded consistently at the national level thereby providing more realistic expectations of
392 the benefits of barrier removal.

393 Finally, it is acknowledged that a formal cost-benefit analysis must be undertaken when prioritising
394 barrier removal (Kuby *et al.* 2005; Kemp & O'Hanley 2010; Erős *et al.* 2018) and this is a key area of
395 development that is not considered within the current framework. At present decision makers
396 would need to complete a two-stage decision making process. First, this framework could be used to
397 prioritise barriers for removal considering the presence of downstream barriers. Second, a cost
398 benefit analysis could be undertaken which assesses the financial implications of barrier removal,
399 while also considering the presence of other pressures and the likelihood of achieving expected
400 benefits.

401 5 Conclusions

402 This paper presented a novel analytical framework for prioritising management action in relation to
403 barriers at national and local scales. Atlantic salmon fry production in Scottish rivers was
404 incorporated as the weighting for the assessment given the high economic and conservation value of
405 the species. Comparisons to more readily available habitat weightings (length and wetted area)
406 indicate that the use of weightings could result in poor resource allocation, although wetted area
407 should be used in preference to river length. Finally, small changes in the passability of passable
408 manmade barriers can result in large changes in connectivity comparable to the effects of

409 impassable manmade barriers, emphasising the importance of improved understanding of the
410 passability and effects of these barriers. The approach can be easily updated to account for barrier
411 removals and improved knowledge on barrier passability. The analytical framework presented here
412 is scalable and could be transferred to other catchments/regions/countries and species, providing
413 the necessary spatial data, habitat and barriers datasets are available.

414

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423

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