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# The mean state and variability of the North Atlantic circulation: a perspective from ocean reanalyses

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### 24 Key Points:

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25	• Ocean reanalyses are potentially useful tools for understanding ocean circulation.
26	• Some consistency among reanalyses in interannual and decadal variability of the
27	circulation.
28	• Improvements in some aspects of the ocean circulation as the observational cov-
29	erage has improved.

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#### 30 Abstract

The observational network around the North Atlantic has improved significantly over 31 the last few decades with subsurface profiling floats and satellite observations, and the 32 recent efforts to monitor the Atlantic Meridional Overturning Circulation (AMOC). These 33 have shown decadal timescale changes across the North Atlantic including in heat con-34 tent, heat transport and the circulation. However there are still significant gaps in the 35 observational coverage. Ocean reanalyses integrate the observations with a dynamically 36 consistent ocean model and can be used to understand the observed changes. However 37 the ability of the reanalyses to represent the dynamics must also be assessed. 38

We use an ensemble of global ocean reanalyses to examine the time mean state and 39 interannual-decadal variability of the North Atlantic ocean since 1993. We assess how 40 well the reanalyses are able to capture processes and whether any understanding can be 41 gained. In particular we examine aspects of the circulation including convection, AMOC 42 and gyre strengths, and transports. We find that reanalyses show some consistency, in 43 particular showing a weakening of the subpolar gyre and AMOC at  $50^{\circ}$ N from the mid-44 90s until at least 2009 (related to decadal variability in previous studies), a strengthen-45 ing and then weakening of the AMOC at  $26.5^{\circ}$ N since 2000, and impacts of circulation 46 changes on transports. These results agree with model studies and the AMOC obser-47 vations at  $26.5^{\circ}$ N since 2005. We also see less spread across the ensemble in AMOC strength 48 and mixed layer depth, suggesting improvements as the observational coverage has im-49 proved. 50

<sup>51</sup> Plain language summary

The observational network around the North Atlantic has improved significantly over the last few decades revealing changes over decadal timescales in the North Atlantic, including in heat content, heat transport and the circulation. However there are still significant gaps in the observational coverage. Ocean reanalyses fill in these gaps by combining the observations with a computer model of the ocean to give consistent estimates of the ocean state. These reanalyses are potentially useful tools that can be used to understand the observed changes, however their skill must also be assessed.

<sup>59</sup> We use an ensemble of global ocean reanalyses in order to examine the mean state and variability of the North Atlantic ocean since 1993. In particular we examine the convection, the circulation, transports of heat and fresh water and temperature and salinity changes. We find that reanalyses show some consistency in their results, suggesting that they may be useful for understanding circulation changes in regions and times where there are no observations. We also show improvements in some aspects of the ocean circulation as the observational coverage has improved. This highlights the importance of continuing observational campaigns.

#### 67 1 Introduction

Although the North Atlantic has warmed since preindustrial times (Collins et al., 68 2013), it has also exhibited large variability on different timescales, particularly of up-69 per ocean temperatures (Sutton et al., 2018; Knight et al., 2005). This variability has 70 been shown to have wide-ranging impacts, for instance on precipitation in Europe (Sutton 71 & Dong, 2012), the North Atlantic storm track (Peings & Magnusdottir, 2014), monsoons, 72 and hurricane frequency (R. Zhang & Delworth, 2006; Smith et al., 2010). As well as decadal 73 and multi-decadal variability, there has also been significant interannual variability, such 74 as significant cooling of the subtropics in 2010 and the recent cooling of the subpolar gyre 75 (Cunningham et al., 2013; Grist et al., 2016). These sea surface temperature anomalies 76 can influence the weather and climate over Europe (Josey et al., 2018), in particular through 77 influencing the winter North Atlantic Oscillation (Cassou et al., 2007), summer precip-78 itation (Dunstone et al., 2018) and potentially heat waves (Duchez et al., 2016). Increas-79 ing observational coverage over the last few decades, particularly with satellite measure-80 ments of sea level and sea surface temperatures (SST), and the Argo network provid-81 ing temperature and salinity profiles, has revealed large changes in ocean properties and 82 generated a need to understand the processes driving the changes (Robson et al., 2018; 83 von Schuckmann & et al, 2018). 84

In the subpolar gyre a warming was observed in the late 1990s, and several model-85 based studies have now attributed this warming to increased northwards heat transport 86 due to a strong Atlantic Meridional Overturning Circulation (AMOC) (Robson et al., 87 2012; Williams et al., 2014; Yeager & Danabasoglu, 2014), while some reanalysis stud-88 ies (Yang et al., 2016; Piecuch et al., 2017) suggest that changes in gyre advection were 89 important as well. Although we do not have direct measurements of the strength of the 90 AMOC during this period, model experiments generally agree that the AMOC in the 91 subpolar region was strong in the mid 90s and weakened over the following decade (Robson 92

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et al., 2012; Danabasoglu et al., 2016). Similarly the subpolar gyre (SPG) strength was 93 found to be strong in the mid 90s and then weakened, in agreement with proxies for SPG 94 strength based on altimeter data (Häkkinen & Rhines, 2004). Studies have linked the 95 strong AMOC and SPG circulations in the mid 1990s to increased densities in the Labrador 96 Seas caused by buoyancy forcing during a persistently positive phase of the North At-97 lantic Oscillation (NAO) in the preceding years (Eden & Willebrand, 2001; Deshayes & 98 Frankignoul, 2008; Lohmann et al., 2009; Robson et al., 2012; Yeager & Danabasoglu, 99 2014; Yang et al., 2016). However recent observations have suggested that the AMOC 100 could be more influenced by water mass transformations to the east of Greenland (Lozier 101 et al., 2019). More recently the warming and salinification of the subpolar region has re-102 versed to a cooling and freshening, consistent with weakening heat and salt transports 103 (Robson et al., 2016; Hermanson et al., 2014), although there is also strong evidence that 104 the more extreme cooling seen in 2014 was caused by anomalous surface heat fluxes (Grist 105 et al., 2016; Josey et al., 2018). This cooling has resulted in an increase in density in the 106 Labrador Seas, with an associated increase in deep convection (Yashayaev & Loder, 2017). 107

In the subtropics the variability has been markedly different with interannual vari-108 ability superimposed on a more gradual warming trend (Robson et al., 2018; Williams 109 et al., 2014). The AMOC at 26.5°N has been monitored since 2004 by the RAPID-MOCHA 110 array (McCarthy et al., 2015) revealing interannual variability including a large, tem-111 porary weakening in winter 2009-2010, believed to be wind-driven (McCarthy et al., 2012; 112 C. D. Roberts et al., 2013a; Evans et al., 2017) that caused a cooling of the subtropics 113 (Cunningham et al., 2013). The AMOC strength has also weakened since 2004, and has 114 been found to be in a weaker state since 2008 (Smeed et al., 2018). Although there have 115 been suggestions of a longer term (centennial) weakening (Caesar et al., 2018; Thornal-116 ley et al., 2018), there is some evidence that the observed decadal weakening is due to 117 decadal variability (Jackson et al., 2016). Prior to 2004 there were only intermittent mea-118 surements of AMOC strength. Although modeling studies mostly agree that the AMOC 119 in the subpolar gyre was strong in the mid 90s and then weakened, there is more dis-120 agreement amongst models about the changes in the subtropical gyre (Danabasoglu et 121 al., 2016). Jackson et al. (2016), using an ocean reanalysis that agreed well with the RAPID 122 observations, suggested that the AMOC at  $26.5^{\circ}$ N increased over the decade up to 2004 123 and then weakened after as a lagged response to the weakening of the subpolar AMOC 124 and Labrador Sea densities during the previous decade. Previous model-based studies 125

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have also shown a lagged relationship between the subpolar and subtropical AMOC (Yeager
& Danabasoglu, 2014), and a relationship of the AMOC with densities in the Labrador
Sea (Robson et al., 2014).

A greater understanding of these processes can help to separate natural variabil-129 ity from anthropogenic change. It is also fundamental to our ability to make predictions 130 on interannual to centennial timescales. However observations are still limited, partic-131 ularly when it comes to transports and process-related quantities such as convection. Ocean 132 and climate models are useful tools in studying such processes, however they suffer from 133 biases and can show a wide range of timescales and driving processes of variability. One 134 tool that has been less used so far is the ocean reanalysis. Reanalyses are ocean mod-135 els that are forced by meteorological boundary conditions from atmospheric reanalyses 136 and assimilate observations such as in situ temperature and salinity, SST, sea level anoma-137 lies and sea ice concentration (Storto et al., 2019). As such, they integrate the observa-138 tions within a dynamically consistent ocean model, although the assimilation itself can 139 alter the dynamics. Reanalyses differ with regard to the types of observations assimi-140 lated, the method of assimilation, the surface forcing, and of course the ocean model used 141 (Balmaseda et al., 2015), with those designed to cover the satellite period able to use more 142 observational types than those covering longer periods. An advantage of reanalyses as 143 compared to other data products is that they can provide transports, and other prop-144 erties, that can be hard to measure continuously. However care must be taken that the 145 reanalysis is sufficiently constrained by the observations in the region of interest, and that 146 the constraints themselves do not adversely affect the processes involved creating spu-147 rious results (Storto et al., 2019). Multimodel ensembles can help interpretation by pro-148 viding a range of possible behaviors (Masina et al., 2017; Storto et al., 2018). There is 149 also temporal variability in the type and number of observations assimilated, so users 150 must be aware that the quality of the reanalysis for a particular purpose could change 151 in time. 152

The ORA (Ocean Reanalysis) Intercomparison Project was initiated under CLI-VAR GSOP and GODAE-Oceanview and has produced a series of papers examining global ocean reanalyses and focusing on different aspects of the ocean state (e.g. steric sea level, air-sea fluxes, ocean heat and salt content among others). These were then brought together in a special issue of Climate Dynamics (Balmaseda et al., 2015; Toyoda et al., 2017a, 2017b; Chevallier et al., 2017; Tietsche et al., 2017; Karspeck et al., 2017; Shi et al., 2017;

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Valdivieso et al., 2017; Palmer et al., 2017; Masina et al., 2017; Storto et al., 2017). A 159 further paper on the polar oceans was later added (Uotila et al., 2018). Most of these 160 papers focused on consistency of the mean states amongst reanalyses although several 161 also looked at diagnostics of variability. Palmer et al. (2017) showed many reanalyses 162 had consistent ocean heat content (OHC) trends as a function of depth, and that a sig-163 nificant component of recent OHC increase was below 700m depth. The North Atlantic 164 was seen to be an area of substantial agreement in upper OHC trends, consistent with 165 this being a better observed region. However there have been substantial disagreements 166 shown across reanalyses: Karspeck et al. (2017) looked at the AMOC in long reanaly-167 ses starting before 1960, and found disagreement in AMOC variability and strength in 168 these early, observation-sparse periods. 169

This study advances beyond many previous ORA studies in presenting a more pro-170 cess oriented approach aimed at understanding differences and similarities. We focus on 171 the dynamics of the North Atlantic since 1993, which is when satellite altimetry data 172 (e.g. see Forget and Ponte (2015)) became routinely available and vastly increased the 173 observations that could be assimilated in a reanalysis. Over this period the increase in 174 observations has also revealed changes in temperature and salinity in the North Atlantic, 175 along with changes in circulation patterns both observed and inferred. The aim of this 176 study is to examine the climatology and inter-annual to decadal changes of the North 177 Atlantic ocean in a multi-model ensemble of global ocean reanalyses. In particular we 178 ask: Where is there agreement or disagreement across reanalyses? Can we learn what 179 makes reanalyses good at specific processes? Can these reanalyses improve our under-180 standing of the dynamics in the North Atlantic ocean? 181

Section 2 describes the reanalyses used. We then discuss the climatologies of the
 products in section 3 and the changes seen in section 4. Section 5 provides a discussion
 and summary. We also list acronyms used in Table 1.

- <sup>185</sup> 2 Models and methods
  - 2.1 Reanalyses

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In this study, we have analyzed data from eleven ORA products (C-GLORSv7, ECCO
 V4 R3, ECDA3, GECCO2, GLORYS2v4, GLORYS12v1, GloSea5, GONDOLA100A, NorCPM v1, ORAS5 and UR025.4) in the North Atlantic (Table 2). It should also be noted that

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6 of the reanalyses use the NEMO ocean model and 5 of these use the same resolution 190  $(0.25^{\circ})$ . The latest addition to this set of NEMO reanalyses is the higher resolution  $(1/12^{\circ})$ 191 GLORYS12v1 reanalysis that has been included in this study. Although these reanal-192 yses use very similar models and assimilated data, they do differ in the assimilation tech-193 niques used, and there are still many interesting differences in the results (Storto et al., 194 2018). The other products however cover a wide range of model systems, resolutions, and 195 data assimilation approaches. ECCO V4 R3 and GECCO2 use a 4DVar assimilation scheme 196 which optimizes the solution through adjusting parameters (including surface fluxes, wind 197 stresses, mixing parameters) rather than apply increments in temperature and salinity. 198 The NorCPM-v1 reanalysis has a coupled atmospheric component and hence has quite 199 different surface fluxes and wind stresses from the other reanalyses, which are forced by 200 atmospheric reanalysis fields. In NorCPM-v1 there is no atmospheric constraint and as-201 similation is only carried on the ocean component (weakly coupled data assimilation). 202 The adjustment in the other components (atmosphere, sea ice) occurs dynamically dur-203 ing the integration of the system. NorCPM-v1 is also an outlier in being the only reanal-204 ysis using anomaly rather than full field assimilation, hence its mean state is unconstrained 205 by observations. We do include it in the analysis for completeness. 206

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#### 2.2 Observational data

Where appropriate we also compare the ensemble to observational estimates, al-208 though in some circumstances suitable observational estimates are not available. We in-209 clude temperatures, salinities and densities from the gridded observational analyses EN4 210 (Good et al., 2013) and CORA (Cabanes et al., 2013). These use some of the same data 211 as assimilated in the reanalyses (in particular subsurface temperature and salinity pro-212 files), however they use statistical techniques to infill missing data, rather than assim-213 ilation in a dynamical model. We also include AMOC volume and heat transports from 214 the RAPID-MOCHA array (McCarthy et al., 2015; Smeed et al., 2017; Johns et al., 2011), 215 volume transports from the new OSNAP array (Lozier et al., 2019) and various estimates 216 of the meridional heat and freshwater transports from sections across the North Atlantic. 217 We also include a comparison with the climatological estimate of the March mixed layer 218 depth from de Boyer-Montegut, Madec, Fischer, Lazar, and Iudicone (2004). 219

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#### 2.3 Methods

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Definitions of individual diagnostics are included in the sections and figure captions. Not all data were made available from all reanalyses, hence not all reanalyses are included in all figures.

We use climatologies based on the years 1993-2010 since that is the common pe-224 riod available for all reanalyses, apart from mixed layer depths where we use a more re-225 cent period (2004-2010) since there is large uncertainty earlier than that. Timeseries are 226 shown for the full period (since 1993) for each reanalysis, some of which extend to 2017. 227 For timeseries we use monthly means where available (some diagnostics were only avail-228 able as annual means for NorCPM-v1). We examine interannual to decadal changes by 229 smoothing monthly values with a 12 month running mean, which also has the advantage 230 of removing the seasonal cycle. Timeseries are shown as either the total value (with smooth-231 ing) or as anomalies from the climatology of the relevant reanalysis. 232

Significance of relationships between two variables are tested using a null hypoth-233 esis that there is no correlation or no trend and a 95% confidence interval (p=0.05). Cor-234 relation coefficients (R) and probabilities of the null test (p) are quoted. In particular 235 the correlations of scatter plots between two variables or between two timeseries are tested 236 using a t test (with the null hypothesis that there is no correlation). Significance of a 237 trend in a timeseries is tested against the variability of that timeseries (using a t test and 238 the null hypothesis that the trend is zero). The significance of a difference between two 239 n-year means is tested in comparison with the bootstrapped distribution of differences 240 between n-year means. 241

- <sup>242</sup> **3 Mean state**
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#### 3.1 Convection and formation of deep water masses

March mixed layer depth climatologies are shown in Fig 1 (see caption for definition). These are often used as a proxy for deep convection, which alters densities in the subpolar North Atlantic and hence affects ocean dynamics. There are two centres of deep convection in observations and reanalyses: in the Labrador and GIN (Greenland-Iceland-Norway) Seas. About half the reanalyses have depths of convection in the Labrador Seas that are comparable to the observational climatology (although this is based on a much longer time period, (de Boyer-Montegut et al., 2004)). The other half have too deep and widespread convection, apart from GECCO2 where the mixed layer depth is very shallow. Most reanalyses have much too deep convection in the GIN seas, as has been noted
in a previous reanalysis comparison (Uotila et al., 2018) and seen in coupled climate models (Heuzé, 2017). A previous comparison of mixed layer depths across reanalyses was
also made by Toyoda et al. (2017a) who looked globally at shallow mixed layer depths,
rather than regions of deep convection. They do note that there is little consistency amongst

- and between observational and reanalyses data sets at high latitudes.
  - 3.2 Circulation

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The AMOC streamfunction in many reanalyses looks similar to that found in free-259 running models (Danabasoglu et al., 2014), with a North Atlantic overturning cell in the 260 upper 3000m (Fig 2). This depicts the northwards volume transport in the upper 1000m 261 of the Atlantic, followed by sinking and a southwards return flow between 1000-3000m 262 approximately. In common with free-running models there are considerable differences 263 in the latitude of the streamfunction maximum (Danabasoglu et al., 2016). In some cases 264 there are discontinuities at some latitudes, possibly suggesting an impact of the assim-265 ilation scheme. In particular, GloSea5 is suspect in the South Atlantic and near the equa-266 tor (where there is a discontinuity in streamfunction strength): this issue has been traced 267 to the method of assimilating sea surface height, and will be the subject of a future pub-268 lication (M. Bell, personal communication). In most reanalyses the reversed Antarctic 269 Bottom Water cell below 3000m is very weak compared to forced and coupled models 270 (Ba et al., 2014; Danabasoglu et al., 2016). This could be because there is little constraint 271 from data at these depths. 272

One place where the AMOC has been continuously monitored is at  $26.5^{\circ}$ N, where 273 the RAPID array (McCarthy et al., 2015) has been in place since 2004. Reanalysis pro-274 files of the AMOC at this section (Fig 2, are calculated here using the same methodol-275 ogy as the observations (see C. D. Roberts et al. (2013a)) and for the same time period 276 (2004-2010)). They show upper northwards transport (increasing streamfunction with 277 depth) and deeper southwards transport (decreasing streamfunction). There is mostly 278 a good agreement with the observations for the value and depth of the streamfunction 279 maximum, although some reanalyses have too shallow a return flow. Previous studies 280 have noted that data assimilation usually improves the AMOC mean strength over that 281

in forced ocean only models (Balmaseda et al., 2007; Tett et al., 2014; Karspeck et al.,
2017).

Recently observations of the AMOC in the subpolar gyre have begun with the OS-284 NAP initiative (Lozier et al., 2017). These have calculated an AMOC in density space 285 with time mean profiles (Fig 13a) showing a northwards transport of Atlantic waters be-286 tween densities  $1027.2-1027.6 \text{ kg/m}^3$  and a denser return flow. There is also a small south-287 wards transport of very light, surface waters. There is a good agreement with the mag-288 nitudes of the AMOC (14.9  $\pm$  0.9 Sv) and the density at which the profile peaks in the 289 observations (Lozier et al., 2019). Some reanalyses have a stronger overturning, however 290 we note that the observational time series is short so far (<2 years), so the observational 291 error on the long term mean is uncertain. 292

To assess the large-scale horizontal circulation we can compare the vertically in-293 tegrated (barotropic) streamfunctions (Fig 3). These are the vertically integrated stream-294 functions and are referenced to values on the eastern Atlantic coasts. They show two gyres: 295 an anticyclonic subtropical gyre (STG) and cyclonic subpolar gyre (SPG), depicting the 296 vertically integrated velocities. The medium  $(0.25^{\circ})$  and high  $(1/12^{\circ})$  resolution reanal-297 yses clearly show more fine-scale features and a very localized intensification of the Gulf 298 Stream near the western boundary, whereas lower resolution reanalyses have smoother 299 subtropical gyres with generally broader boundary currents. This may be because of a 300 greater influence of inertial recirculations at higher resolution, as previously found by 301 Yeager (2015). Treguier, Deshayes, Lique, Dussin, and Molines (2012) also found that 302 increased resolution strengthened the Gulf Stream. 303

To directly compare the circulations we split the STG and SPG into 4 boxes (Fig 4) covering the western boundary and interior regions. There is consistency between the 305 interior gyre strength in the 6 NEMO models, and with ECCO V4 R3 and ECDA3. The 306 outliers are NorCPM-v1 (which does not constrain the mean state) and GECCO2 where 307 the interior STG is stronger than other reanalyses (see also subtropical gyre in Fig. 3). 308 ECCO V4 R3 and GECCO2 use 4DVar which modifies surface fluxes within given er-309 ror bounds, including wind stresses that have a strong impact on the gyre strengths through 310 Sverdrup dynamics. Hence it is likely that modifications to wind stresses in GECCO2 311 have changed the gyre strengths, though we note that ECCO V4 R3 (which uses differ-312

-10-

ent wind forcing products as the initial estimate and different optimization windows and iterations) has gyre strengths more consistent with other reanalyses.

In the interior of the subtropics the NorCPM-v1 and GONDOLA100A upper layer gyres are weaker (with smaller interior southward flow) but their gyres are deeper with perhaps 30% of the flow below 1100m, while most products have weaker deep interior southward flows. GECCO2 has a strong deep flow as well as a strong upper layer flow. We see no relationship between the depth of the interior flow and the depth of the AMOC circulation (Fig 2).

A comparison of the time mean strength of various circulation metrics is shown in 321 Fig 5. There is a marginally significant relationship with reanalyses that have denser up-322 per Labrador Sea (LS) densities having a stronger AMOC at 50°N (R = 0.60, p = 0.06,323 Fig 5a). This is in agreement with results from an ocean only model intercomparison (Danabasoglu 324 et al., 2014). Observational products (EN4 and CORA) show large uncertainties in the 325 densities of the upper LS, however they suggest that those NEMO reanalyses with lighter 326 upper LS and weaker AMOC at 50°N (M50) are less realistic. There is no significant cor-327 relation between the AMOC at  $26.5^{\circ}$ N (M26) and either M50 or the deeper Labrador 328 Sea density (Fig 5b,c). Reanalyses with a stronger (more negative) SPG tend to have 329 a weaker subpolar AMOC. This relationship is not significant (R = 0.58, p = 0.13, 330 Fig 5d), though we note that the sample size is small. Danabasoglu et al. (2014) show 331 a relationship between the AMOC strength and the Labrador Sea mixed layer depth (MLD), 332 however we do not see such a relationship, possibly because the MLD is very noisy dur-333 ing the first part of the timeseries in many reanalyses (Fig 9c). 334

#### 3.3 Transports

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Time mean meridional ocean heat and freshwater transports (OHT/OFWT) are shown in Fig 6. These are calculated from monthly velocity, temperature and salinity fields and so do not include fluxes from variability at a higher frequency than monthly. Parameterized transports (Gent & McWilliams, 1990) are included for those reanalyses that use them. The OHT is northwards at every latitude through the Atlantic, with the maximum between 25 and 35 °N in most reanalyses. The OFWT has a minimum around 35-45°N, showing a maximum in southwards freshwater transport. A reduction (increase) in OFWT as latitude increase would be balanced in steady state by an export (import)
of freshwater from surface fluxes.

Northwards heat transports (Fig 6a) at most latitudes are strongest in NorCPM-345 v1 (maximum 1.4 PW). It does not constrain the mean state and it is likely the trans-346 port is strong because of the strong AMOC (Fig 2). ECCO V4 R3 has the weakest heat 347 transport at most latitudes with a maximum of 0.92 PW. Other reanalyses underesti-348 mate the transport around 26.5 °N, but mostly agree with the observational estimates 349 further north of  $35^{\circ}$ N. However it is possible that the methodology for the observational 350 estimates at 26.5°N could overestimate the heat transport (Stepanov et al., 2016). GloSea5 351 shows a rapid drop off of the heat transport in the South Atlantic caused by the very 352 weak AMOC found there (Fig 2). 353

At  $26.5^{\circ}$ N there is a significant correlation (R=0.79, p=0.02) of the mean AMOC 354 strength with the total heat transport (Fig 7b), as seen across an ocean model ensem-355 ble (Danabasoglu et al., 2014). The heat and freshwater transport can also be decom-356 posed into overturning and horizontal circulation components (and throughflow compo-357 nent for freshwater), see Bryden and Imawaki (2001); McDonagh et al. (2015). The re-358 lationship with the total heat content occurs because of a strong correlation of the AMOC 359 with the overturning heat transport at  $26.5^{\circ}$ N (R=0.81, p=0.01, Fig 7a). However us-360 ing this relationship to predict observed heat transports from AMOC strength, under-361 estimates the observed heat transport (Johns et al., 2011), even when comparing with 362 the reanalyses available over the RAPID climatology period (2005-2015). This discrep-363 ancy has been seen in many models previously (Danabasoglu et al., 2014) and in pre-364 vious reanalyses (Masina et al., 2017). Msadek et al. (2013) attribute this to an under-365 estimation of the gyre component (due to poor representation of the transports near the 366 western boundary) and an underestimation of the overturning part because of an overly 367 diffusive thermocline. Figure 16 shows that most reanalyses underestimate both of these 368 components. 369

Further north (50°N), the AMOC still determines the overturning part of the heat transport, however the gyre transport is important as well (Fig 17). It should be noted that the decomposition into gyre and overturning components in the subpolar North Atlantic is less meaningful than in the subtropics since the thermohaline circulation projects onto both components. We can look at the relationships with the total heat transport,

-12-

<sup>375</sup> but find no significant relationship between the total heat transport and either the SPG <sup>376</sup> or M50 strength (Fig 7f,h).

For freshwater transport (Fig 6b), all reanalyses transport freshwater southwards 377 across the equator due to the horizontal circulation, (see (Mignac et al., 2019)), other 378 than NorCPM-v1 which is fully coupled and the atmospheric bias is a main contribu-379 tor to the ocean bias in the tropical Atlantic (Lübbecke et al., 2018). The NEMO reanal-380 yses all show relatively strong southward transport at 36, 45 and 53°N. They also show 381 greater transports of heat than the other reanalyses between 30 and  $55^{\circ}$ N, and this may 382 be because of their eddy-permitting resolution since ocean models have been shown to 383 have differences in heat and fresh water transport with resolution (Treguier et al., 2012; 384 M. J. Roberts et al., 2016). Observational estimates at 36°N show a wide range of val-385 ues and do not constrain the reanalyses. 386

There is a significant relationship (R=-0.84, p=0.01) between the overturning part 387 of the freshwater transport at  $26.5^{\circ}$ N and the AMOC (Fig 7c), but there are no signif-388 icant relationships between the total freshwater transport and AMOC at  $26.5^{\circ}$ N (R=-389 0.25, p=0.55, Fig 7d) or for any freshwater components at 50°N (not shown). The fact 390 that relationships between the AMOC and freshwater transports are less significant than 391 for heat transports could be because there is, historically, less salinity data to assimilate 392 than temperature and so uncertainties can be expected to be bigger. It is also possible 393 that the distribution of salinity within the ocean results in a greater dominance of the 394 horizontal component. 395

#### 396 4 Variability

397

#### 4.1 Heat and Fresh Water Content

The temperature and salinity of the upper 500m of the North Atlantic shows co-398 herent variability (Fig 8). The subtropics (25-45°N) show an increase towards warmer 399 and more saline conditions, although there is more agreement across reanalyses in the 400 temperature than salinity changes. This warming and salinification is consistent with 401 anthropogenically driven trends towards a warmer and saltier subtropics, likely caused 402 by anthropogenic changes in surface fluxes (Rhein et al., 2013). Monitoring volumetric 403 changes above some temperature or salinity criteria can help identify thermohaline changes 404 associated with water mass redistribution (which can change the volume of water above 405

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this criteria) as opposed to air-sea exchange (which only directly change the near-surface 406 temperature or salinity) (Palmer & Haines, 2009; Evans et al., 2017). However we note 407 that assimilation could also cause volumetric changes. This volumetric analysis is shown 408 in Fig 8 using the volume of water greater than  $10^{\circ}$ C or 35.3 PSU; these criteria are cho-409 sen to represent the subtropical pycnocline. Some reanalyses show an increase in the vol-410 ume of warm water in the subtropics, particularly since 2000, suggesting that water mass 411 redistribution (such as advection) may also be playing a role, however this signal is not 412 consistent across reanalyses. 413

In the subpolar region  $(45-65^{\circ}N)$  there is an increase in temperature and salinity 414 from the mid 90s to around 2005, and then a decrease, with the largest cooling seen in 415 2014. The volumetric analysis shows similar changes, suggesting a role for advection in 416 these decadal scale changes. This is in agreement with previous studies showing the warm-417 ing and cooling of the subpolar gyre through changes in advection (Robson et al., 2012; 418 Piecuch et al., 2017; Robson et al., 2016; Hermanson et al., 2014). However we note that 419 the large cooling seen in 2014 has been attributed to surface fluxes (Grist et al., 2016; 420 Josey et al., 2018). There are other interannual signals such as the coherent subtropi-421 cal cooling and subpolar warming in 2010. The subtropical cooling has previously been 422 shown to have been driven by a weak AMOC and hence heat transport at  $26.5^{\circ}$ N (Cunningham 423 et al., 2013) with an important contribution driven by wind variations (Evans et al., 2017). 424

425

#### 4.2 Convection and formation of deep water masses

Figure 9 shows anomalous densities in the upper (0-500m) and lower (1500-1900m) 426 Labrador Seas waters. There are significant differences between the densities of reanal-427 yses, but most capture the general trends. Most show a decrease in 0-500 m density in 428 the late 90s and a strong increase after 2014. In the 1500-1900 m layer most reanalyses 429 show a reduction in density since the mid 90s, although the timing and magnitude of weak-430 ening are varied. However, some reanalyses also appear to have unrealistic trends that 431 do not agree with the observations; e.g. ORAS5 has a very large initial decline in deep 432 density; GONDOLA100A has a positive density trend at depth. It should be noted, how-433 ever, that there is less observational data in the LS, particularly in winter, prior to the 434 introduction of Argo in the early 2000s. Hence there are uncertainties in the observa-435 tional products: an indication of the uncertainty is given by the differences in the two 436 observational products (EN4 and CORA). 437

The density of sea water is a product of the non-linear interaction between tem-438 perature, salinity and pressure, and is complicated by the fact that temperature and salin-439 ity effects are often largely compensated (Robson et al., 2016). Recently it has been shown 440 that systematic biases in the mean state and variability of temperature and salinity in 441 the Labrador Sea in both free-running models and reanalyses can change whether tem-442 perature or salinity has the dominant control on density changes (Menary et al., 2015, 443 2016; Menary & Hermanson, 2018). Furthermore, Menary and Hermanson (2018) showed ллл that uncertainty in this relationship has important implications for initialising and eval-445 uating near-term climate predictions. Therefore, we evaluate whether temperature or 446 salinity dominates the variability in the Labrador Sea densities by computing the rel-447 ative correlation between density anomalies (i.e. including both changes in temperature 448 and salinity), and the density anomalies that would result from only changes in temper-449 ature or salinity. Figure 10 shows whether temperature or salinity dominate the density 450 variability for all the different ocean reanalyses (see caption for details). In observations 451 the density variability of surface waters (0-200m) is mostly driven by salinity variabil-452 ity, however in deeper layers the density variability is mostly driven by temperature vari-453 ability. Most models agree with the observations in terms of the density drivers, how-454 ever there are some significant outliers. NorCPM-v1 is always temperature dominated, 455 probably because its mean state is not constrained. GONDOLA100A, GECCO2 and ECCO 456 V4 R3 also all have salinity dominated density anomalies at depth, which likely explains 457 the lack of a weakening trend in their representations of densities in the 1500-1900 m layer 458 (Fig 9b, 14b). The greater spread at depth is likely because there are less observations 459 there to constrain the ocean properties. 460

For mixed layer depth (MLD) in the Labrador Sea (Fig 9c) there is initially a large 461 spread of values with many reanalyses showing large inter-annual variability, suggest-462 ing an inability to realistically simulate the MLD. Despite the initially large variability, 463 there is increasing consistency with time (apart from NorCPM-v1) suggesting an improve-464 ment in representation of deep convection as observational coverage increases (around 465 the time of the introduction of Argo in the mid 2000s). Many reanalyses show a tem-466 porary deepening in mixed layer depth in 2008 and then a sustained deepening since 2010, 467 consistent with the increase in upper ocean densities and in agreement with observations 468 of MLD (Vage et al., 2008; Yashayaev & Loder, 2017). 469

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#### 470 4.3 AMOC Circulation

Figure 11 shows the timeseries of the AMOC at 26.5 and 50°N, which are representative of the variability within the subtropical and subpolar regions respectively (not shown). As well as the timeseries of individual reanalyses, the figure also shows an ensemble mean and spread (2 x standard deviation) of the anomalies relative to each climatology. This allows an assessment of how much the variability agrees across the reanalyses.

In winter 2009/10, a substantial temporary weakening of the AMOC at  $26.5^{\circ}$ N was 477 observed, linked to a strongly negative NAO. This is suggested to have been caused by 478 both Ekman (through the zonal wind stress) and wind-driven non-Ekman (through wind-479 driven upwelling of density surfaces) components (McCarthy et al., 2012; C. D. Roberts 480 et al., 2013a). All reanalyses show a temporary weakening of the AMOC (see first col-481 umn in Fig 11g) although this weakening is less than observed in most cases. The dips 482 captured in winters 2009/10 and 2012/13 can be partially attributed to the Ekman com-483 ponent (blue line in Fig 11e) with many reanalyses failing to capture the non-Ekman weak-484 ening in 2009/10 (not shown). All reanalyses show a weakening of the AMOC from 2006-485 2013 (most of which are significant compared to the internal variability of each timeseries, 486 see methods), in agreement with the observations, although the magnitude of weaken-487 ing is again generally smaller than in the observations (Fig 11g). All reanalyses also show 488 a brief weakening from 1999-2001 (although this is only significant in one reanalysis) and 489 then a strengthening (mostly significant) from 2001-2006. 490

Prior to 1999 the reanalyses show a larger spread in the AMOC strength at  $26.5^{\circ}$ N 491 implying greater uncertainty. The consistency of the variability across the reanalyses since 492 1999 suggests a common driving factor, and supports the results by Jackson et al. (2016) 493 that the observed AMOC decline may have been preceded by an increase. There is no 494 consistent trend over the whole period (Fig 11h), although this does not preclude a longer 495 term weakening trend. In an ensemble of forced models, Danabasoglu et al. (2016) found 496 that the AMOC at  $26.5^{\circ}$ N strengthened in the couple of decades before 1998 and then 497 showed a significant weakening from 1998-2007 in half the models. Inspection of the time-498 series (Fig. 1 in Danabasoglu et al. (2016)), however, shows that this weakening mostly 499 occurs in the few years after 1998, with the multimodel mean showing a weakening of 500 2-3Sv between 1998-2004. This is similar to the weakening seen in our ensemble around 501

year 2000, although occurring over a longer period of time. A recent study looking at 502 the AMOC in a different ensemble of reanalyses (Karspeck et al., 2017) found little agree-503 ment with the AMOC observed at 26.5°N, contrary to results here. We note that Karspeck 504 et al. (2017) only considered reanalyses over the period 1960-2012 when there was lit-505 tle data to assimilate for the majority of the period. Therefore many of the reanalyses 506 did not assimilate more recent sources of data such as altimeter data. This study con-507 siders a more diverse set of reanalyses, only a few of which overlap with, or have prede-508 cessors in, the Karspeck et al. (2017) study. 509

A more in depth comparison with the RAPID observations is made in Fig 12 which 510 shows the correlations with the observational array and standard deviations for the AMOC 511 components. Out of those reanalyses where this comparison is possible, the best corre-512 lations with the RAPID observations are achieved with the four NEMO 0.25 reanaly-513 ses and ECCO V4 R3. It is perhaps not surprising that there is agreement amongst the 514 NEMO reanalyses (since they use the same ocean model and observations for assimila-515 tion), however it should be noted that they still show a range of values for the changes 516 and trends in Fig 11g,h. ECCO V4 R3 however is a very different reanalysis in that it 517 uses a different ocean model (MITgcm) and assimilation scheme. Most reanalyses also 518 underestimate the interannual variability. It should also be noted that the components 519 of the upper and lower limbs of the AMOC (apart from the Ekman component which 520 is determined by the wind fields used) compare less favorably to the observations than 521 the total (Fig 12). Although the Ekman component contributes to the agreement of the 522 total AMOC to the observations, there is also better agreement of the AMOC minus the 523 Ekman transport with observation (not shown) than any of the individual components. 524 This suggests that the resemblance to observations is through some constraint (as yet 525 unknown) of the system on the total transport, rather than through capturing individ-526 ual components, ie resolving the Florida Straits flow and getting the depth structure of 527 the deep AMOC return flow (see also Forget (2010); C. D. Roberts et al. (2013a); Kohl 528 (2015); Jackson et al. (2016)) 529

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At  $50^{\circ}$ N the variability is consistent across most reanalyses although there are a wide range of mean strengths (Fig 11b,d,f and Fig 2). Much of this interannual variabil-531 ity is from the wind-driven Ekman transport (Fig 11f shows the Ekman transport cal-532 culated from GloSea5). It is to be expected that the Ekman transport would be simi-533 lar across the reanalyses since it is essentially prescribed through wind fields (though mod-534

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ified by ECCO V4 R3 and GECCO2). Most of the reanalyses show significant weaken-535 ing between 1993 and 2009 (Fig 11b,d,f,h) consistent with other studies suggesting a weak-536 ening over that period caused by density decreases in the Labrador Sea (Robson et al., 537 2012; Danabasoglu et al., 2016; Robson et al., 2016). This weakening is not seen in the 538 Ekman component, but is seen in the multi-model mean minus the Ekman component 539 (red line in Fig 11f). The magnitude of weakening is of a similar magnitude to trends 540 in the AMOC at 45°N from 1995-2007 in an ensemble of forced ocean models (multimodel 541 mean -0.15 Sv/year, Danabasoglu et al. (2016)) and a previous ensemble of reanalyses 542 (multimodel mean  $\sim$ -0.16 Sv/year Karspeck et al. (2017)). Most reanalyses also show 543 a significant weakening for the longer period 1993-2016 (not shown). 544

Recent observations by the OSNAP array have measured the AMOC in the sub-545 polar gyre. This is across a line stretching from Newfoundland, Canada to the south-546 ern tip of Greenland and then to Scotland and measures the AMOC in density space. 547 Since there are only 21 months of observations currently we do a comparison of monthly 548 values in Fig 13d. Those reanalyses for which this calculation was done show very sim-549 ilar variability, with a minimum in winter 2014/15 followed by an increase in spring/summer 550 2015, and a gradual weakening to winter 2016. Although the timing of the variability 551 fits with the seasonal cycle of most reanalyses (Fig 13c), the magnitude of the observed 552 changes is much larger than the seasonal cycle: in particular the minimum in winter 2014/15553 is unusually low compared to the rest of the period since 1993. We hypothesize that the 554 monthly variability since 2014 is wind-driven (though not Ekman driven, see Lozier et 555 al. (2019)), which could explain the ability of the reanalyses to reproduce it consistently. 556 Interannual to decadal changes (Fig 13b) are more diverse. Most of the reanalyses show 557 some coherence in variability since 2006, with a weakening in 2008/2009, increasing abruptly 558 around 2009/2010 (which is possibly associated with the strong negative NAO that caused 559 the weakening at 26.5°N (McCarthy et al., 2012; C. D. Roberts et al., 2013a)), then weak-560 ening again in 2012. However prior to 2006 there is little consistency in the signals. We 561 note that the increase around 2010 is similar to that seen in the AMOC in depth space 562 at 50°N (Fig 11b,d,f), however the OSNAP section does not otherwise show the same 563 consistent interannual variability. 564

Many studies have shown relationships between the AMOC strength and the density in the Labrador Sea over decadal timescales (Jackson et al., 2016; C. D. Roberts et al., 2013b). About half of the reanalyses show a weakening trend in the 0-500m LS den-

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sity from 1993-2009 (although about half show little trend), and most show a weaken-568 ing trend in 1500-1900m density. Observational products agree that there was a density 569 decrease over this period at both depths. Most reanalyses also agree that there was a 570 weakening of M50, but there is no significant relationship found across the reanalyses 571 between the trends in either 0-500m density or 1500-1900m density, and the trends in 572 M50 (Fig 14a,b). This suggests that either the sensitivity of the AMOC weakening to 573 the density weakening varies across the ensemble or that there is no direct relationship 574 within the reanalyses. This may be because aspects of the assimilation modify the re-575 lationship. It is also possible, however, that there would be a stronger relationship with 576 a different density metric, for instance some models and reanalyses have shown a rela-577 tionship with the GIN seas density or using a lagged correlation (Ba et al., 2014; Storto 578 et al., 2016). Recent observations of overturning in the subpolar gyre have found that 579 the majority of the overturning occurs to the east of Greenland, raising questions as to 580 how relationships between the Labrador Sea density and AMOC strength should be in-581 terpreted (Lozier et al., 2019). 582

Studies of decadal variability have shown lagged relationships of the AMOC at different latitudes, with the AMOC in the SPG preceding that at 26.5°N (Williams et al., 2014; Yeager & Danabasoglu, 2014). We do not have sufficient years to examine correlations between the two timeseries, however we note that Jackson et al. (2016) suggested that the weakening of the SPG AMOC since the mid 90s was related to the later observed weakening of the AMOC at 26.5°N. Hence we compare the magnitudes of weakening between these two events (Fig 14d), but see no relationship across reanalyses.

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#### 4.4 Gyre Circulation

Anomalies of the SPG and STG strengths are shown in Fig 15. These are defined 591 as the maximum of the barotropic streamfunctions over 60-30°W, 50-60°N (SPG) and 592 80-50°W,25-38°N (STG). For the SPG there is a weakening (positive trend in the stream-593 function) up to 2009 seen in the ensemble average. All ensemble members show this pos-594 itive trend which is significant in most of the members (Fig 15g). For the trend to 2016 595 GONDOLA100A disagrees with the rest of the ensemble in having a significant strength-596 ening (negative trend). The weakening of the subpolar gyre from a maximum in the mid 597 90s has also been seen in many previous studies (Boning et al., 2006; Lohmann et al., 598 2009; Danabasoglu et al., 2016). An index of subpolar gyre strength based on observed 599

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sea surface heights (Häkkinen & Rhines, 2004) also shows a weakening since the mid 90s,
however modified definitions of the gyre index have shown a partial recovery since 2010
(Foukal & Lozier, 2017; Hatun & Chafik, 2018).

There is also a temporary strengthening of the SPG around 2009-2010. This is likely to be linked to the strong negative NAO that is associated with a weakening of the AMOC at  $26.5^{\circ}$ N and a strengthening at  $50^{\circ}$ N. The STG in GLORYS2v4 is very weak between 1998 and 2004, leading to a large ensemble spread over that period. Most ensemble members show a weakening of the STG from 1993-2016, however this is only significant in a couple of members (Fig 15g).

Although most reanalyses agree that there was a weakening of the SPG and M50, there is again no significant relationship across the ensemble (Fig 14c). A relationship between the two has been seen in other studies (Boning et al., 2006; Ba et al., 2014; Danabasoglu et al., 2016). Yeager (2015) show that this relationship is through the interaction of deep densities with the topography.

#### **4.5** Transports

Heat transports at  $26.5^{\circ}$ N are strongly dominated by the overturning component 615 with little transport by the horizontal circulation component (Fig 16). This is in agree-616 ment with observations and other modeling studies (Johns et al., 2011; Msadek et al., 617 2013; Danabasoglu et al., 2016). We find strong correlations between the AMOC trends 618 over 2005-2015 and the trends in both overturning and total heat transports (R > 0.86, 619 p < 0.01, Fig 18a,b). The reanalyses also show strong correlations of the interannual 620 AMOC and heat transport timeseries within each reanalysis at 26.5°N (Fig 18e). Re-621 gression coefficients of annual means in those reanalyses where the comparison is signif-622 icant are between 0.04-0.08 PW/Sv with the observations being within this range (0.07) 623 PW/Sv). A comparison with forced ocean models gives similar values (Danabasoglu et 624 al., 2016), and the regression coefficient when comparing trends (Fig 18b) is also within 625 this range (0.05 PW/Sv). This evidence all points to a strong relationship between the 626 AMOC at  $26.5^{\circ}$ N and the heat transport at this latitude. 627

We also note that there is some correspondence between periods where the heat transports are high (1999, 2006-2008, 2012) with periods when there is an increase in subtropical temperature, and periods where heat transports are low (2000, 2010-2013)

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with periods of subtropical cooling (Fig 8a and 16a). Surface heat fluxes can also be important in changing the temperature of the region, and reanalyses also have changes in heat from the assimilation of data. A rigorous examination of the heat budget across reanalyses would require a comparison of assimilation terms, as well as surface fluxes, and hence is difficult for a multi-model ensemble of reanalyses.

For freshwater transport, although there is a good relationship between the AMOC and the overturning transport component at 26.5°N (R = -0.92, p < 0.01, Fig 18c), the horizontal transport component also plays an important role in the variability and strength of the freshwater transport, which prevents any clear relationship of the AMOC with the total transport (R = -0.28, p = 0.54, Fig 18d).

At 50°N most of the variability and strength of the heat and freshwater transports depends on the horizontal part, rather than the overturning part of the transport (Fig 17). However we note that the thermohaline circulation, which represents the circulation resulting from water mass transformation, has a strong horizontal component in the subpolar region, rather than being predominantly in the overturning component (Yeager, 2015).

There is a clear weakening seen in the horizontal and total heat transport at 50°N from the mid 90s (see Fig 17). Strong transports of heat and freshwater near the start of the period are consistent with the warming and salinification seen in the subpolar gyre, and weaker transports towards the end of the period are consistent with a cooling and freshening (Fig 8). We note that surface fluxes also play a role and that the recent cooling since 2014 in the subpolar gyre has been linked to surface cooling (Grist et al., 2016; Josey et al., 2018).

Although there is a significant correlation between the trends of AMOC and overturning transport of heat at 50°N (R = 0.83, p = 0.02), this is not a significant contribution to the trend in total heat transport (Fig 17). Indeed there is no significant relationship between the trends in AMOC or SPG and trends in total heat or freshwater transports at 50°N (not shown). In most individual reanalyses there are significant correlations between the total heat transport timeseries and both the AMOC and SPG timeseries, but this is likely because these timeseries all have trends (Fig 18e).

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#### 5 Discussion and conclusions

We have presented results from examining the mean state and variability of the North Atlantic since 1993 from an ensemble of global ocean reanalyses. The results here are relevant to those using and developing the reanalyses and those wanting to understand how and why the North Atlantic has changed recently. We focus our discussion and conclusions on the questions introduced in the introduction.

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#### 5.1 Where is there agreement or disagreement across reanalyses?

Reanalyses are able to capture many aspects of the dynamics in the North Atlantic.In particular:

- Although there is large disagreement among reanalyses in the Labrador Sea mixed
   layer depth initially, this improves in time. This is likely to be because of greater
   observational constraints later in the period (eg the introduction of Argo in the
   mid 2000s).
- There is consistency across the ensemble of variability in the AMOC at both 26.5
  and 50°N (and agreement of the former with independent observations). This is
  in contrast with a previous study (Karspeck et al., 2017) that found little agreement of reanalyses over an earlier, more observation-sparse period. There is also
  agreement of monthly variability with new observations of overturning in the subpolar North Atlantic.
- At 26.5°N the reanalyses mostly agree with the independent observational estimates of mean AMOC strength. However they underestimate the ocean heat transport (OHT) per Sverdrup of volume transport, despite having a strong correlation between AMOC and OHT. This discrepancy has previously been seen in ocean models (Danabasoglu et al., 2014).
- The reanalyses using NEMO at 0.25 and 1/12° have more intense Gulf Streams and stronger transports of heat and freshwater from 30-50°N. These differences may be because they have higher horizontal resolutions (eddy-permitting and eddyresolving).
- NorCPM-v1 is an outlier in the mean comparisons because it uses anomaly assimilation. GECCO2 is also an outlier in several comparisons, particularly of variability. This may be because it was run over several short (5 year) windows. ORAS5

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has a large change in Labrador Sea density and AMOC strength from 1996-2000
 which is associated with extra buoyancy loss caused by SST nudging and sparse
 in-situ observations in the early period (Tietsche, personal comm).

#### 5.2 Can we learn what makes reanalyses good at specific processes?

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- A greater availability of observations can improve the representation of processes. In particular mixed layer depths within the Labrador Sea improve over the latter half of the period studied. There is also a greater agreement among the reanalyses (and with observations from 2004) of the variability of AMOC strength at 26.5N than in a previous study looking at an earlier, more observation-sparse period.
- Some reanalyses have density variability in the deep Labrador Sea that is driven
   by salinity, rather than temperature, variability. This may affect their ability to
   capture the observed decline and may have an impact on dynamics. This suggests
   that more deep observations, such as deep Argo, are needed.
- Eddy-permitting and resolving resolution, such as used in the NEMO-based re analyses, can strengthen western boundary currents and transports at mid-latitudes.
- ECCO V4 R3 uses a 4DVar scheme where adjustments are made to parameters 708 such as surface forcing and ocean mixing rather than directly modifying temper-709 ature and salinity through increments. It shows similar variability to other (non 710 4DVar) reanalyses, and to some independent observations. This improves our con-711 fidence that both 4DVar and non-4DVar schemes can produce reasonable results. 712 However ECCO V4 R3 does have the wrong density drivers and trends in the deep 713 Labrador Sea water, possibly because the assimilation scheme does not directly 714 affect deep properties and instead changes much be subducted or vertically mixed 715 from the surface, or changes can be made by modifications of the mixing itself (for 716 instance by changes in winds). We do note, though, that 4DVar has advantages 717 in that it avoids direct adjustments of water masses, and is therefore more dynam-718 ically consistent. 719

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## 5.3 Can these reanalyses improve our understanding of the dynamics in the North Atlantic ocean?

- Results support the subpolar picture of a decrease in Labrador Sea density, and
   a weakening SPG and AMOC at 50°N over the period (attributed by other stud ies to decadal-multidecadal variability). Heat and freshwater transports also show
   a decline. The strong (weak) transports in 1993-2005 (2005-2016) are consistent
   with an increase (decrease) in temperature and salinity.
- Results support the subtropical picture of strong interannual variability, with a gradual warming and salinification consistent with anthropogenic climate change.
   A strong relationship between the AMOC and the heat transport at 26.5 °N is found, which in turn can impact the subtropical heat content.
- Reanalyses with denser mean upper Labrador Sea densities have a stronger mean AMOC at 50°N. No relationships are found between the trends across the reanal yses. There is also no relationship found between the AMOC at 26.5 and 50°N,
   either in mean strength or variability.
- Although there is a strong relationship between the AMOC and heat transport at 26.5°N, there is no clear relationship across the reanalyses between the heat transport at 50°N and the SPG or AMOC transports (either for the mean or variability).
- Reanalyses mostly agree that the AMOC at 26.5°N showed a weakening from 1999-2001, followed by a strengthening from 2001-2006 and then a weakening from 2006-2013. This suggests that the observed weakening (since 2004) is part of interannual-decadal variability.
- Reanalyses mostly agree that the AMOC at 50°N has interannual variability from
   the Ekman component superimposed on a more gradual weakening from the mid
   90s.
- Reanalyses also compare well with the OSNAP section, suggesting that they may
   be useful tools to further understand the variability and its cause
- Although many relationships found in modeling studies are not found to hold across these reanalyses, it does not mean that those relationships do not hold in reality. For example, we see trends from the mid 90s in many variables in the subpolar gyre region. These variables could be physically related and show correlations of timeseries, however

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the strengths and timing of these relationships could differ across reanalyses. Hence re-752 lationships between trends are not found. It is also possible that stronger relationships 753 would be found with different metrics, time periods or lags. In reanalyses it is also pos-754 sible that relationships can be obscured or changed by spatial or temporal variations in 755 the quality of the observational constraints. Hence to properly explore mechanisms us-756 ing a reanalysis, a good understanding is required of whether relevant processes are phys-757 ically consistent, or whether there are spurious impacts from the assimilation (Storto et 758 al., 2019). 759

Nevertheless, reanalyses are promising tools to examine recent climate variability 760 alongside free running ocean models (which can experience biases) and observations (which 761 are temporally and spatially sparse). Reanalyses cannot be a replacement for observa-762 tions: in particular a good observational coverage is necessary for constraining reanal-763 yses. Independent observations, such as the AMOC transports calculated by the RAPID 764 and OSNAP sections, are also independent checks. We note that although reanalyses are 765 able to realistically simulate many aspects of the AMOC at  $26.5^{\circ}$ N, they cannot sim-766 ulate important details, such as the different AMOC components. Hence it is important 767 to continue these observational campaigns, along with developing ocean reanalyses, in 768 order to understand and monitor the ocean. 769

### Table 1: Acronyms used

Acronym	Full name	Notes
3DVar	Three dimensional variational analysis	technique
4DVar	Four dimensional variational analysis	technique
AER	Atmospheric and environmental research	institute/group
AMOC	Atlantic Meridional Overturning Circulation	physical quantity
BBL	Bottom boundary layer	technique
BCCR	Bjerknes centre for climate research	institute/group
BSF	Barotropic streamfunction	physical quantity
CICE	Sea ice model	model
CLIVAR	Climate Variability and Predictability	institute/group
CMCC	Centro Euro-Mediterraneo sui Cambiamenti Climatici	institute/group
CORA	Coriolis ocean dataset for reanalysis	ocean observational product
ECMWF	European Center for Medium-range Weather Forecasting	institute/group
EN4	EN4	ocean observational product
EnKF	Ensemble Kalman filter	technique
ERA	ECMWF reanalysis	atmospheric reanalysis produc
FGAT	First guess at appropriate time	technique
GCM	Coupled general circulation model	model
GFDL	Geophysical Fluid Dynamics Laboratory	institute/group
GODAE	Global Ocean Data Assimilation Experiment	institute/group
GSOP	Global synthesis and observations panel	institute/group
JMA	Japan meteorological agency	institute/group
JPL	Jet propulsion laboratory	institute/group
JRA	Japan reanalysis	atmospheric reanalysis produc
KF	Kalman filter	technique
LIM	Louvain-la-Neuve Sea Ice Model	model
LS	Labrador Sea	physical quantity
M26	AMOC strength at 26.5N	physical quantity
M50	AMOC strength at 50N	physical quantity
MICOM	Miami Isopycnal Coordinate Ocean Model	model
MIT	Massachusetts Institute of Technology	institute/group

MITgcm	MIT general circulation model	model
MLD	mixed layer depth	physical quantity
MOCHA	Meridional overturning circulation and heat-flux array	ocean observational product
MOM	Modular Ocean Model	model
MRI	Meteorological Research Institute	institute/group
MRI.COM	Meteorological Research Institute Community Ocean Model	model
NAO	North Atlantic Oscillation	physical quantity
NCEP	National center for environmental prediction	atmospheric reanalysis product
NEMO	Nucleus for European Modelling of the Ocean	model
NOAA	National Oceanic and Atmospheric Administration	institute/group
OBP	Ocean bottom pressure	physical quantity
OFWT	Ocean fresh water transport	physical quantity
OHC	Ocean heat content	physical quantity
OHT	Ocean heat transport	physical quantity
OI	Optimal interpolation	technique
ORA	Ocean Reanalysis	institute/group
OSNAP	Overturning in the subpolar north atlantic project	ocean observational product
RAPID	Observational array for measuring AMOC at $26.5\mathrm{N}$	ocean observational product
S	salinity	physical quantity
SIC	Sea ice concentration	physical quantity
SIS	GFDL Sea Ice Simulator	model
SIT	Sea ice thickness	physical quantity
SPG	Subpolar gyre	physical quantity
SSH	Sea surface height	physical quantity
SSS	Sea surface salinity	physical quantity
SST	Sea surface temperature	physical quantity
STG	subtropical gyre	physical quantity
Т	temperature	physical quantity

Name	C-GLORSv7	ECDA3	GECCO2	GLORYS2v4	GloSea5	ECCO V4 R3	ORAS5	NorCPM-v1	UR025.4	GONDOLA100A	GLORYS12v1
Institution	CMCC	GFDL/NOAA	Hamburg	Mercator	UK Met Of-	MIT/JPL/AER	ECMWF	BCCR	University of	MRI/JMA	Mercator
Nominal horizontal	$0.25^{\circ}$	$1x1/3^{\circ}$	$0$ miversuy $1 \times 1/3 - 1^{\circ}$	Ocean 0.25°	$0.25^{\circ}$	$1x1/3-1^{\circ}$	$0.25^{\circ}$	1°	heading 0.25°	$1x \ 1/3-0.5^{\circ}$	$0$ Cean $1/12^{\circ}$
Vertical resolution	75 z-levels	50 z-levels	50 z-levels	75 z-levels	75 z-levels	50 z-levels	75 z-levels	53 isopycnal	75 z-levels	60 z-levels ± RRL	50 z-levels
Top-level	$\sim 1 \text{ m}$	10 m	10  m	$\sim 1 \text{ m}$	$\sim 1 \text{ m}$	10m	$\sim 1 \text{ m}$	tayers variable	$\sim 1 \text{ m}$	+DDL ∼1m	$\sim 1 \text{ m}$
unckness Includes GM Ocean-ice	N NEMO3.6/LIM2	Y MOM4/SIS	$\substack{\mathrm{Y}}{\mathrm{MITgcm}}$	N NEMO3.1/LIM2	N NEMO3.4/	$\stackrel{\rm Y}{_{\rm MITgcm}}$	N NEMO3.4/LIM2	Y MICOM/CICE	N NEMO3.2/LIM2	Y MRI.COMv4.2	N NEMO3.1/LIM2
model Time period	1989–2016	1970 - 2017	1948–2017	1992 - 2016	CICE4.1 1989–2017	1992 - 2015	1979-2017	1985–2010, 30 member	1989-2010	19582015	1992 - 2016
Initialization	C-GLORSv5	cold start	optimized	spinup	spinup	optimized	spinup	ensemble EnKF	cold start	Jan 2000	spinup
Source of atmospheric forcing data	ERA-Interim	NCEP RA1	NCEP RA1	ERA-Interim	ERA-Interim	ERA-Interim	ERA-Interim, NWP after 2015	anomary Coupled	ERA-Interim	reanaiysis JRA55-do v1.3	ERA-Interim
DA-Method	3DVAR	EnKF	4DVAR adjoint	reduced order KF + 3DVAR	3DVAR	4DVAR adjoint	3DVAR FGAT	EnK <i>F</i> anomaly	Ю	3DVar + robust diag- nostic	reduced order KF + 3DVAR large scale bias correc- tion to in-situ
Data Assimilated	T, S, SSH, SST, SIC, SIT	T, S, SST	T, S, SSH, SST, SSS	T, S, SSH, SST	T, S, SSH, SST, SIC	T, S, SSH, SST, SSS,	T, S, SSH, SST, SIC	Anomalies of T, S, SST	T, S, SSH, SST, SIC	T, S, SSH, SST, SIC	T, S T, S, SSH, SST
Relaxation	large- scale T,S climatology	None	None	None	SSS (Haney flux). Weak relaxation to T,S climatol-	None None	SSS. Weak relaxation to T,S climatology	None	None	T,S climatology	None
-28- cuence Before Befo	Storto and Masina (2016); Storto et al. (2016)	S. Zhang, Harrison, Rosati, and Wittenberg (2007); Chang, Zhang, Rosati, Delworth, and Stern (2013)	Kohl (2015)	Ferry et al. (2012)	Jackson et Jackson et al. (2016); MacLachlan et al. (2015); Blockley et al. (2014)	Forget et al. (2015); Fuku- mori et al. (2017)	Zuo, A, Ti- etsche, Mo- gensen, and Mayer (2019)	Counillon et al. (2016); Wang et al. (2017)	Valdivieso, Haines, Zuo, and Lea (2014)	Toyoda et al. (2016)	Lellouche et al. (2018)
770	Table 2.	Description of	reanalyses. 1)	Notation in colu	mns 3,6,10 of ro	w 2 implies a zo	nal resolution o	f $1^{\circ}$ and a meri	dional resolution	n varying from	).5 or 1°
122	down to $1/$	'3° near the equ	ator.								

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900

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Data for the figures is available to download with the DOI 10.5281/zenodo.2598509.

Data from some reanalysis products are available to download from http://marine.copernicus.eu/services-

- portfolio/access-to-products/ under product names GLOBAL\_REANALYSIS\_PHY\_001\_025
- 903 (GLORYS2v4), GLOBAL\_REANALYSIS\_PHY\_001\_026 (C-GLORSv7, GLORYS2v4, GloSea5
- and ORAS5) and GLOBAL\_REANALYSIS\_PHY\_001\_030 (GLORYS12V1).



Figure 1. March mean (2004-2010) mixed layer depth (m) defined as the depth at which the density differences from the surface is  $0.03 \ kg/m^3$  (calculated from monthly mean density fields). The observational data set is the March mixed layer depth from de Boyer-Montegut et al. (2004).



Figure 2. AMOC streamfunctions (from velocities) and profiles at 26.5°N (calculated using the RAPID methodology) and 50°N (from velocities). Units are Sverdrups ( $Sv = 10^6 m^3/s$ ). Profiles use the time period 2004-2015 to agree with the observations, though the streamfunctions use the standard climatology period (1993-2010). Note that NorCPM-v1 is an outlier because it uses anomaly assimilation and hence the mean state is not constrained.



Figure 3. Barotropic streamfunctions (Sv) referenced to zero at the eastern boundary. Note
 that NorCPM-v1 uses anomaly assimilation and hence the mean state is not constrained.



Figure 4. 4-box model of the volume transports divided into upper, lower, deep western 782 boundary and interior flows for (a) the subtropical gyre  $(26^{\circ}N-40^{\circ}N)$ , and (b) the subpolar gyre 783  $(50^{\circ}\text{N-}65^{\circ}\text{N})$ . Units are Sv. 8° off the coast is chosen to separate the western boundary and in-784 terior, and the ensemble mean AMOC depth is used to separate the upper and lower limbs of 785 the circulation for each region. The black error bars represent the uncertainty due to the varying 786 AMOC depth between the models by using the standard deviation of the ensemble AMOC depth. 787 The circles with dots correspond to flows going out of the page whereas the crosses represent 788 flows going into the page. The circles without symbols mean that there is no consensus between 789 the products about the direction of the flow. Note that NorCPM-v1 is an outlier because it uses 790 anomaly assimilation and hence the mean state is not constrained. 791



Figure 5. Comparison of the mean strengths of different variables across reanalyses (see labels). This includes the AMOC strength at 26.5°N and 50°N (M26,M50), the density in the Labrador Sea over 0-500m and 1500-1900m (over the region 75-40°W and 50-65°N), and the SPG strength. The black bars in the upper plots show the Labrador Sea densities from the EN4 and CORA observational estimates (with an arbitrary x value of M50=15Sv), with the difference indicating observational uncertainty. Note that NorCPM-v1 is not included in this analysis because it uses anomaly assimilation and hence the mean state is not constrained.



Figure 6. Mean meridional heat (top, in PW) and freshwater (bottom, in Sv) transports as a function of latitude. Also shown are observational measurements as symbols. Note that NorCPM-v1 is an outlier because it uses anomaly assimilation and hence the mean state is not constrained.



Figure 7. Comparison of the mean strengths of different variables across reanalyses (see labels). This includes the AMOC strength at 26.5°N and 50°N (M26,M50), the SPG strength and ocean heat and freshwater transports (OHT, OFWT). For the transports we also show the total transport and the overturning and horizontal components. Note that NorCPM-v1 is not included in this analysis because it uses anomaly assimilation and hence the mean state is not constrained.



Figure 8. Anomalies of temperature (top row) in °C and salinity (third row) in PSU over the top 500m. Also shown is the volume of water (in m<sup>3</sup>) where T>10°C (second row) or S>35.3psu (bottom row). Left panels are for regions 25-45°N in the Atlantic and right panels for regions 45-65°N. All timeseries are anomalies with a 12 month running mean applied.



Figure 9. Time series of Labrador Sea density anomalies averaged over a) 0-500m or b) 1500-1900m and the region 75-40°W and 50-65°N. c) The maximum mixed layer depth over the Labrador Sea (measured as the maximum over the region and over the year of mixed layer depths defined as the depth at which the monthly mean density differs by 0.03 kg/m<sup>3</sup> from that at the surface



Figure 10. The relative strength of temperature or salinity in controlling density anomalies 817 in the western subpolar North Atlantic. Positive values show density anomalies are dominated by 818 temperature, whereas negative shows density anomalies are dominated by salinity. The density 819 control metric is the difference between rT and rS, where rT (rS) is the correlation coefficient 820 between the density resulting from changes in temperature (salinity) only (ie with the other vari-821 able constant), and the full density timeseries (Menary et al., 2016). Density drivers have been 822 calculated for four different depth ranges (x-axis). The black cross shows the values from the 823 EN4 observational analysis. 824



Figure 11. Timeseries of anomalous AMOC strength (with 12 month running mean). a,c) 825 Individual models at 26.5°N (thick black line is timeseries from RAPID) and b,d) at 50N. Re-826 analyses are split between NEMO and non-NEMO for clarity. e) ensemble mean (black) and 827  $2 \times$  standard deviation (grey) of AMOC anomalies at  $26.5^{\circ}$ N, with the RAPID anomaly time-828 series (thick black). Also shown is the Ekman transport calculated from ERA Interim winds as 829 in C. D. Roberts et al. (2013a) (blue) f) As e but without observational timeseries and with the 830 ensemble mean minus Ekman (red). (g,h) Comparisons of AMOC changes across the ensemble. 831 Each cross is a model, with large crosses assessed as significant changes compared to each model 832 timeseries. Black crosses are the changes for the ensemble mean and black circles are from the 833 observations. g) M26 anomaly in 2009.5-2010.5 (compared to 2011-2015 time mean); M26 in 834 1998.5-1999.5 minus 2000.5-2001.5; M26 in 2005-2007 minus 2000-2002; M26 in 2012-2014 minus 835 -40-2005-2007. f) trend in M26 (1993-2016); trend in M50 (1993-2009) 836



Figure 12. Taylor diagrams comparing timeseries of observations of AMOC components 837 from RAPID, with components calculated from the reanalyses using the RAPID methodol-838 ogy (C. D. Roberts et al., 2013a). Shown are (a) the AMOC calculated with velocities, (b) 839 the AMOC and upper ocean components as calculated using the RAPID methodology, (c) the 840 AMOC and lower ocean components as calculated using the RAPID methodology. Colors show 841 842 different reanalyses, symbols show different components. All standard deviations are normalized by the observational standard deviations and all statistics are calculated on annual means. 843 Note that not all the models have calculated the RAPID decomposition and that models with 844 insufficient years (UR025.4 and NorCPM-v1) are excluded. 845



Figure 13. Overturning in density space along the OSNAP line using potential density referenced to the surface a) The time mean streamfunction in density space. b) The overturning strength (maximum in density space) with a 12 month running mean. c) Seasonal cycle of the overturning strength. d) Monthly values of last few years of overturning strength since 2014. The black line is the observational estimate from OSNAP (Lozier et al., 2019).



Figure 14. Comparisons of trends in the Labrador Sea density (0-500m and 1500-1900m), the SPG and the AMOC 50°N (M50) over the period 1993-2009, and the trend in the AMOC at 26.5°N (M26) from 2005-2015. All trends are from 1993-2009 apart from M26 which is from 2005-2015. Reanalyses where the trend in both variables is significant (using p=0.1) have large crosses. In panels a and b we also include values of density trends from EN4 and CORA observational analyses as a black bar. The bar is arbitrarily centered on x=0. Dashed lines indicate the lines of zero trend.



Timeseries of anomalies of gyre strengths (with 12 month running mean). Note Figure 15. 858 that GECCO2 has been omitted from this figure because the variability is much larger than 859 the scales. Individual models for a,c) the SPG (average of the barotropic streamfunction over 860 60-30°W, 50-60°N) and b,d) the STG (average of the barotropic streamfunction over 80-50°W,25-861 38°N). e) ensemble mean (black) and 2 x standard deviation (grey) of SPG timeseries. f) As e 862 but for the STG. g) Comparisons of trends across the ensemble. Each cross is a model, with large 863 crosses assessed as significant changes compared to each model timeseries. Black crosses are the 864 changes for the ensemble mean. 865



Figure 16. Heat transports (left hand columns) and freshwater transports (right hand columns) at 26.5°N. Shown is the gyre component (bottom), the overturning component (middle) and the sum (top). Note that no throughflow component is included in the sum for the freshwater transport, making it an equivalent freshwater transport referenced to 26.5°N. For equivalent freshwater and transport component definitions see McDonagh et al. (2015).



Figure 17. As Fig 16 but at  $50^{\circ}$ N.

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Figure 18. Comparison of the trends of AMOC at 26.5°N (M26) with trends of a) the overturning component of OHT, b) the total heat transport, c) the overturning component of OFWT d) the total component of OFWT. Trends are over 2005-2015 and those reanalyses where both variables have significant trends use a large symbol. Observations from RAPID are shown in black circles. e) Correlations of annual mean tinfeseries of M26 and M50 with the overturning and total components of heat transport. Large crosses show significant relationships.

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