



Next generation serology: integrating cross-sectional and capture-recapture approaches to infer disease dynamics

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1 Running head: Next generation serology field design

2 **Next generation serology: integrating cross-sectional and capture-recapture approaches**
3 **to infer disease dynamics**

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12 *Abstract.* Two approaches have been classically used in disease ecology to estimate
13 epidemiological parameters from field studies: cross-sectional sampling from unmarked
14 individuals and longitudinal capture-recapture setups, which generally involve more limited
15 numbers of marked individuals due to cost and logistical constraints. Although the benefits of
16 longitudinal setups are increasingly acknowledged in the disease ecology community, cross-
17 sectional data remain largely over-represented in the literature, probably because of the
18 inherent costs of longitudinal surveys. In this context, we used simulated data to compare the
19 performances of cross-sectional and longitudinal designs to estimate the force of infection
20 (*i.e.*, the rate at which susceptible individuals become infected). Then, inspired from recent
21 method developments in quantitative ecology, we explore the benefits of integrating both
22 cross-sectional (seroprevalences) and longitudinal (individuals histories) datasets. In doing so,
23 we investigate the effects of host species life history, antibody persistence and degree of *a*
24 *priori* knowledge and uncertainty on demographic and epidemiological parameters, as those
25 are expected to affect in different ways the level of inference possible from the data. Our
26 results highlight how those elements are important to consider to determine optimal sampling
27 designs. In the case of long-lived species exposed to infectious agents resulting in persistent
28 antibody responses, integrated designs are especially valuable as they benefit from the
29 performances of longitudinal designs even with relatively small longitudinal sample sizes. As
30 an illustration, we apply this approach to a combination of empirical and simulated data
31 inspired from a case of bats exposed to a rabies virus. Overall, this work highlights that
32 serology field studies could greatly benefit from the opportunity of integrating cross-sectional
33 and longitudinal designs.

34 **Key-words:** eco-epidemiology, detectability, immunity persistence, sampling strategy, study
35 design, wildlife

37 Understanding the ecology and evolution of infectious diseases in wildlife has been
38 highlighted as critical for public health (Jones et al. 2008) and biodiversity conservation
39 (Smith et al. 2006). Natural host-parasite systems also offer useful models to obtain valuable
40 insights on evolutionary ecology processes such as coevolution and local adaptation (Gandon
41 2002) or host and vector movements (Boulinier et al. 2016). However, investigations in the
42 wild have been hampered by the difficulty of collecting data allowing efficient inference of
43 eco-epidemiological dynamics (Plowright et al. 2019). For instance, the force of infection
44 (*i.e.*, the rate at which susceptible individuals acquire an infectious disease), a key eco-
45 epidemiological parameter (Hens et al. 2012), is difficult to estimate from field data as it
46 requires assessing how many individuals went from susceptible (e.g., non-infected and non-
47 immunized) to infected in a given time period, which is rarely observable. Estimating these
48 parameters is however a critical step in the characterization of epidemiological dynamics and
49 factors impacting them. Methods allowing their estimation from field data are thus needed.

50 The benefits of longitudinal setups, defined here as the repeated sampling of the same
51 individuals across time, notably using capture-recapture designs, are increasingly
52 acknowledged in the disease ecology community (e.g., Jenelle et al. 2007, Lachish et al. 2007,
53 Chambert et al. 2012, Buzdugan et al. 2017, Marescot et al. 2018). However, cross-sectional
54 data, defined here as the sampling of unmarked individuals at one or more points in time,
55 remain largely over-represented in the literature, probably because of the inherent costs of
56 longitudinal surveys. It requires much more time and skills to spot marked individuals and to
57 recapture them than to capture a random sample of individuals in a target population (e.g., if a
58 marked fur seal is spotted in the middle of a harem, field workers may have to postpone the

59 capture to limit disturbance and biting risks, while in a cross-sectional sampling design, the
60 capture of another, more peripheral, individual would be much easier).

61 Recent advances in population ecology, such as the advent of integrated modeling, may
62 open new perspectives for the estimation of eco-epidemiological parameters. Indeed,
63 Integrated Population Modelling (IPM) has proven effective to improve demographic
64 parameter estimations by integrating datasets of different natures (e.g., capture-recapture and
65 counts) on the condition that they depend partly on the same set of (demographic) parameters
66 (Besbeas et al. 2002, Schaub et al. 2007, Abadi et al. 2010, Fletcher et al. 2019). In disease
67 ecology, a similar approach could thus be used to integrate low cost cross-sectional data with
68 longitudinal data that provide key elements about processes underlying the dynamics of the
69 considered variables (e.g., the kinetics of the immune response). IPM has been recently
70 applied in an epidemiological context (McDonald et al. 2016), but to our knowledge
71 approaches integrating cross-sectional and capture-recapture epidemiological data have never
72 been explicitly used to estimate epidemiological parameters.

73 In some species, individuals can be marked and repeatedly (re)captured across time,
74 allowing longitudinal sampling. This is particularly true for long-lived vertebrates showing
75 seasonal and colonial breeding (such as seabirds, pinnipeds, and chiropterans) and which are
76 often faithful to their breeding or roosting site (e.g., Chambert et al. 2012b, Robardet et al.
77 2017, Gamble et al. 2019a). In these systems, capture-recapture approaches have started to be
78 used to estimate epidemiological state transition probabilities (e.g., from healthy to
79 symptomatic) while accounting for recapture probabilities below unity, which are unavoidable
80 in wild settings (Jennelle et al. 2007, Conn and Cooch 2009). However, longitudinal studies
81 are usually based on relatively small sample sizes because field efforts needed to resight and
82 recapture marked individuals tend to be intensive. In contrast, cross-sectional studies are

83 usually less costly and may also allow the estimation of epidemiological state transition
84 probabilities. This type of data can generally be used to monitor variations of prevalences
85 (*i.e.*, the proportion of infected individuals) or seroprevalences (*i.e.*, proportions of
86 seropositive individuals). However, linking variations of prevalences or seroprevalences to
87 epidemiological dynamics often requires additional data seldom available in wild populations,
88 such as knowledge on the infectious period (e.g., Hénau et al. 2010) and/or refined antibody
89 kinetic curves (e.g., Borremans et al. 2016, Pepin et al. 2017), or strong assumptions on the
90 host demography (e.g., Samuel et al. 2015). Both approaches (longitudinal and cross-
91 sectional) thus present relative pros and cons. Because cross-sectional and longitudinal data
92 are outcomes of the same eco-epidemiological processes based on the same demographic and
93 epidemiological parameters (notably survival, force of infection, and antibody level
94 persistence), their combination into an integrated model should improve the estimation of
95 these parameters.

96 Serology has proven effective to detect patterns of exposure to many infectious agents and
97 infer eco-epidemiological processes (Gilbert et al. 2013, Metcalf et al. 2016). Moreover, a
98 wide range of approaches are now available to apply serology to wild settings (e.g., Garnier et
99 al. 2017). However, the interpretation of serological data is not straightforward as they do not
100 directly inform on the timing of infection. The reliability of the inference that can be made
101 from serological data is thus dependent on the ecological and epidemiological characteristics
102 of the considered system. Sampling schemes may need to be adjusted to reflect both these
103 characteristics and what is possible in terms of field efforts. For instance, in some host-
104 parasite systems, detectable antibody levels persist for many years after exposure (e.g.,
105 antibody level against the Newcastle disease virus vaccine in Ramos et al. 2014), while in
106 other cases, they wane within a few weeks (e.g., antibody level against the avian cholera agent

107 in Samuel et al. 2003), complicating interpretation of serological data. Methods allowing the
108 estimation of the force of infection from serological data when the kinetics of the immune
109 response is not known are needed to better characterize the factors driving epidemiological
110 dynamics.

111 In the present study, we use a simulation approach to compare the performances of
112 different sampling designs to estimate the seroconversion probability, a proxy of the force of
113 infection, when the kinetics of the immune response after exposure is not known. This
114 parameter can be estimated either from the temporal variations of the seroprevalence based on
115 cross-sectional data (e.g., Samuel et al. 2015) or as the transition probability from
116 seronegative to seropositive states in a capture-recapture model based on longitudinal data
117 (e.g., Conn and Cooch 2009). We moreover consider the possibility of integrating both
118 sources of data in an integrated framework inspired from IPM. Based on data simulated under
119 different scenarios, we notably account for several key parameters expected to have a strong
120 impact on the observation process and the inference that can be made from serological data:
121 host lifespan, temporal persistence of antibody levels, and detection and recapture
122 probabilities. For instance, low annual survival will increase the turnover of individuals in the
123 host population, which is expected to lower the benefit of longitudinal sampling designs,
124 which rely on the repeated sampling of individuals. Finally, we illustrate how this method
125 could be used on empirical data by considering the case of a serotine bat (*Eptesicus serotinus*)
126 colony exposed to a rabies virus.

127 The results of the present study could have important implications regarding current
128 practices in eco-epidemiology by (1) highlighting the benefits of longitudinal sampling
129 designs compared to cross-sectional sampling designs, and (2) opening to possibility of

130 integrating the two types of approaches to design cost-efficient sampling protocols in study
131 systems not yet subject to longitudinal monitoring programs.

132 MATERIALS AND METHODS

133 *Eco-epidemiological model*

134 Individual data resulting from an eco-epidemiological inter-annual process were simulated
135 with a set of parameters fixed to different values in order to represent different demographic
136 and epidemiological situations (Fig. 1 a): survival (ϕ), seroconversion (λ ; *i.e.*, the probability
137 for a seronegative individual to become seropositive, which usually corresponds to the
138 mounting of an antibody response after exposure to an infectious agent) and seroreversion (ω ;
139 *i.e.*, the probability for a seropositive individual to become seronegative, which corresponds to
140 the waning of the antibody response) probabilities. To illustrate how eco-epidemiological
141 parameters could be quantified from serological data, we have chosen the simple situation of
142 populations at the demographic and endemic equilibria with all individuals recruiting as
143 seronegative and exposure having no impact on survival or detectability. Additional details and
144 illustrations are given in Appendix S1-A.

145 *Cross-sectional sampling*

146 Each year, n_{CS} individuals are randomly captured and sampled for serological analyses.
147 Seroprevalence at time t (π_t) is calculated as the proportion of seropositive individuals among
148 the tested individuals. π_t thus corresponds to the probability for a sample randomly collected in
149 a population to be seropositive at time t . Seroprevalences at times t and $t+1$ are linked by a
150 function of survival, seroreversion, and seroconversion probabilities. Such approaches have
151 previously been used to estimate seroconversion probabilities in wild populations (e.g., Hénau
152 et al. 2013, Samuel et al. 2015). Under the eco-epidemiological model assumptions (see above),
153 this relation is given by equation 1:

$$154 \quad \pi_{t+1} = \pi_t \phi (1 - \omega) + \pi_t \phi \omega \lambda + (1 - \pi_t) \phi \lambda + r \lambda \quad (1)$$

155 In equation 1, the first additive term $[\pi_t \phi (1 - \omega)]$ corresponds to seropositive individuals at
 156 time t that survive and maintain detectable antibody levels between time t and $t+1$; the second
 157 $[\pi_t \phi \omega \lambda]$ to seropositive individuals at time t that survive, lose their antibodies and seroconvert
 158 between t and $t+1$; the third $[(1 - \pi_t) \phi \lambda]$ to seronegative individuals at time t that survive and
 159 seroconvert between t and $t+1$; and the last $[r \lambda]$ to individuals that recruit (here with a
 160 probability r) and seroconvert between t and $t+1$.

161 Under the assumption of demographic equilibrium, recruitment exactly compensates for
 162 mortality and r can be written as $(1 - \phi)$; and under the assumption of endemic equilibrium,
 163 seroprevalence (π^*) is stable over time (equation 2; intermediary steps are clarified in Appendix
 164 S1, equations S1-3). Serological states of the samples thus follow the binomial distribution
 165 given in equation 3.

$$166 \quad \pi^* = -\frac{\lambda}{\phi (1 - \omega + \omega \lambda - \lambda) - 1} \quad (2) \quad y \sim B \left(n_{CS}, -\frac{\lambda}{\phi (1 - \omega + \omega \lambda - \lambda) - 1} \right) \quad (3)$$

167 The estimation of unknown parameters will be facilitated if some of these parameters are
 168 known *a priori*. In this study, we thus notably considered the case when the model was
 169 informed with some values for the survival and the seroreversion probabilities (true or
 170 erroneous, e.g., based on the literature). Additional details are given in Appendix S1-A.

171 *Longitudinal sampling*

172 On the first year of the observation process, n_{LG} random individuals are captured and
 173 marked with a tag allowing individuals to be identified without recapture (e.g., rings or PIT
 174 tags). Each of the following years, each alive marked individual is resighted with a probability
 175 p and its serological state is ascertained with a probability δ corresponding to the recapture
 176 probability after resighting (the serological state being ascertained at the same time from a

177 blood sample). A fixed number of individuals is captured each year, with a priority on marked
178 individuals and some newly marked individuals if necessary to complete the sample size to
179 n_{LG} . An observation event is then attributed each year to each marked individual of the study
180 and recorded in the matrix m : 0 if not seen (for an individual either dead, alive but not present
181 in the study site, or present but not detected), 1 if captured and ascertained as seronegative, 2
182 if captured and ascertained as seropositive or 3 if seen but not captured (uncertain serological
183 state; Appendix S1-A). Note that we considered no state misclassification (*i.e.*, test sensitivity
184 and specificity are equal to one). These assumptions are discussed in Appendix S1-A.

185 Multievent models allowing for state uncertainty (corresponding to event 3) were then fitted
186 on the individual histories (Pradel 2005), similarly to classical applications to demographic
187 studies (Gimenez et al. 2012). Such models are increasingly used in population ecology and in
188 eco-epidemiology (e.g., Conn and Cooch 2009, Robardet et al. 2017, Buzdugan et al. 2017,
189 Marescot et al. 2018).

190 *Integrated modelling*

191 For a given simulated population, the cross-sectional and the longitudinal datasets (y and m
192 respectively) can be integrated together (Fig. 1 b; Schaub et al. 2007). Under the assumption
193 of independence of the two datasets (only data from unmarked individuals are included in the
194 cross-sectional dataset), the combined likelihood function (L_{IPM}) can thus be expressed as the
195 product of the likelihood function of the cross-sectional (L_{CS}) and longitudinal (L_{LG}) models:

$$196 L_{IPM}(y, m | \phi, \lambda, \omega, p, \delta) = L_{CS}(y | \phi, \lambda, \omega) \times L_{LG}(m | \phi, \lambda, \omega, p, \delta) \quad (4)$$

197 These parameters can thus conjointly be estimated based on the cross-sectional and
198 longitudinal datasets (y and m). As both datasets result from processes sharing some similar
199 eco-epidemiological parameters, the integrated estimator of these parameters is expected to be
200 less biased and more precise (Schaub et al. 2007, Abadi et al. 2010). As we considered

201 situations in which a small proportion of the population is sampled ($\leq 10\%$ unmarked
202 individuals and $\leq 10\%$ marked individuals) and cross-sectional and longitudinal samples
203 were chosen randomly, leading to only a potentially small overlap of the two datasets, we
204 made the assumption that our cross-sectional and longitudinal datasets were independent. In
205 addition to the assumption of independence of the two datasets typical to integrated models,
206 the main assumptions are the ones made by the multievent capture-recapture model (see for
207 instance Riecke et al. 2019) and when formalizing the temporal variations of the
208 seroprevalence. These assumptions are discussed in more details in Appendix S1-A.

209 *Simulations and model fitting*

210 For each set of parameters, 1000 populations with a size of 600 individuals were simulated
211 using a specifically developed individual based model (see Appendix S2 for codes). To
212 compare the performances of both designs under various scenarios, one cross-sectional
213 sample and one longitudinal sample of 50 individuals ($n_{CS} = n_{LG}$) per year were then taken per
214 simulated population following the designs described above. In the case of integrated
215 modelling, several combinations of cross-sectional ($n_{CS} = 20, 40$ or 60) and longitudinal (n_{LG}
216 $= 20$ or 40 or 60) sample sizes were tested. Unless otherwise stated, the resighting (p) and
217 recapture (δ) probabilities were set to 0.80 and sampling was conducted over five years after
218 having reached the endemic equilibrium (Fig. S1). Within a time step, samples were collected
219 after exposure. The performances of the estimators were then compared based first on their
220 bias, and second on their Mean Square Error ($MSE = \text{bias}^2 + \text{variance}$) in order to account for
221 the bias and the precision of the estimators; the lower the bias or MSE, the more accurate the
222 estimator. In the three cases (cross-sectional, longitudinal and integrated), eco-
223 epidemiological parameters were estimated from the data by maximization of likelihood using
224 a frequentist approach. This method was preferred due to reduced computation time compared

225 to Bayesian inference. Sensitivity analyses were conducted to explore the validity of the
 226 results for ranges of biological and observation parameters. All simulations and analyses were
 227 run within R 3.3.3. Simulation codes are provided in Appendix S2, including examples of
 228 frequentist and Bayesian estimations of the parameters.

229 *Illustrative example*

230 The integrated estimator was then applied to a real case study of serotine bats (*Eptesicus*
 231 *serotinus*) exposed to a bat rabies virus (European Bat Lyssavirus type 1; EBLV-1) in Pagny-
 232 sur-Moselle, France (Robardet et al. 2017). Because we were unable to find a dataset
 233 combining cross-sectional and capture-recapture setups in the literature, we chose to use this
 234 capture-recapture dataset and to simulate additional cross-sectional data using the simulation
 235 model presented above and parameterized based on the demographic and epidemiological
 236 parameters estimated using a multievent model. Juvenile serotine bats from the study site are
 237 known to be exposed to EBLV-1 (Robardet et al. 2017). Thus, instead of making the
 238 assumption that individuals recruit as seronegative (as in equation 1), we made the assumption
 239 that females recruit in the breeder pool with the same probability of being seropositive as
 240 former breeders (*i.e.*, seroprevalence is similar in the new recruit and former breeder pools),
 241 leading to equation 5 in which new recruits and former breeders are not distinguished:

$$242 \pi_{t+1 \text{ bats, EBLV-1}} = \pi_{t \text{ bats, EBLV-1}} \phi (1 - \omega) + \pi_{t \text{ bats, EBLV-1}} \phi \omega \lambda + (1 - \pi_{t \text{ bats, EBLV-1}}) \phi \lambda \quad (5)$$

243 And seroprevalence at the equilibrium can be written:

$$244 \pi_{\text{bats, EBLV-1}}^* = \frac{\lambda}{(\omega - \omega \lambda + \lambda)} \quad (6)$$

245 The simulation of the cross-sectional data was also modified to reflect this assumption.

246 We considered 102 marked individuals captured between one and five times over eight
 247 capture occasions (corresponding to the empirical longitudinal data). In parallel, during each
 248 of the eight capture occasions, n_{cs} (20, 40 or 60) unmarked individuals were randomly

249 captured and used to calculate the seroprevalence at each occasion (corresponding to the
250 simulated cross-sectional data). We then estimated the survival, seroconversion and
251 seroreversion probabilities using the integrated estimator based on the best model retained in
252 Robardet et al. (2017), in which the resighting probability varies over time: $\phi(\cdot)$, $\lambda(\cdot)$, $\omega(\cdot)$,
253 $p(t)$, $\delta(\cdot)$. Additional details are given in Appendix S1-B and codes in Appendix S3.

254 RESULTS

255 *Cross-sectional estimator.* The seroconversion probability (λ) was estimated without bias
256 (*i.e.* absolute difference between the true and estimated value close to zero) when using the
257 cross-sectional estimator informed with the true values of the survival (ϕ) and seroreversion
258 (ω) probabilities (Fig. 2 a and b). In contrast, informing the cross-sectional estimator with
259 slightly erroneous values for these parameters led to biases when lifespan and antibody
260 persistence were long (when ϕ tends to one and ω tends to zero). The bias was smaller when
261 lifespan or antibody persistence were short, which can easily be explained by the fact that
262 when ϕ tends to zero and/or ω tends to one, π^* tends to λ (equation 2) and the seroconversion
263 probability can thus be directly deducted from the observed seroprevalence. Hence, the cross-
264 sectional estimator overall performed better (lower MSE independently of the *a priori*
265 knowledge) when ϕ was low (*i.e.*, short-lived host species) and/or ω was high (*i.e.*, short-lived
266 immune response; Fig. 2 a and b and sensitivity analyses presented in Fig. S4).

267 *Longitudinal estimator.* When using the longitudinal estimator, the seroconversion
268 probability (λ) was estimated without bias without any *a priori* knowledge of the true survival
269 (ϕ) and seroreversion (ω) probabilities, except when the survival probability was close to zero
270 (Fig. 2 a). In addition to the higher bias, precision was also lower at low survival probabilities.
271 The lower performances observed for low survival probabilities are expected when using
272 capture-recapture models as fewer individuals can be recaptured over the years, reducing the

273 effective sample size. Precision was also slightly decreased when antibody level persistence
274 was longer (low ω). This could be explained by the model not being able to distinguish
275 individuals that maintained their antibody levels (at a probability $1 - \omega$) from individuals that
276 were observed seropositive once and then seroreverted and got exposed again (at a probability
277 $\omega \times \lambda$) as both situations fit with the observation of the individuals as seropositive during two
278 consecutive occasions. This is supported by the fact that the precision was lower for higher
279 seroconversion probabilities when the seroreversion was low but not when it was high (Fig. 2
280 c and d). Hence, the longitudinal estimator overall performed better when ϕ was high (*i.e.*,
281 long-lived host species) and/or ω was high (*i.e.*, short-lived immune response; sensitivity
282 analyses presented in Fig. S4).

283 *Integrated estimator.* Similarly to the longitudinal estimator, the integrated estimator of the
284 seroconversion probability (λ) was unbiased without having to rely on any *a priori* knowledge
285 on the survival (ϕ) and/or seroreversion (ω) probabilities (Fig. 3). In addition, integrating
286 cross-sectional data to longitudinal data increased the precision of the estimator for any fixed
287 longitudinal sample size. For instance, when antibody level persistence was long, adding 20
288 unmarked individuals to 20 marked individuals at each sampled occasion allowed the standard
289 error of the estimated values to be divided by 1.7. The results are not trivial though: for
290 instance, for intermediate antibody level persistence, sampling longitudinally 20 marked
291 individuals and a novel batch of 20 unmarked individuals at each yearly sampling occasion
292 gives a more accurate estimation than sampling longitudinally 40 marked individuals (Fig. 3
293 b), while this is not the case for persisting antibody levels (Fig. 3 a). In such comparisons, one
294 need to keep in mind the relative field costs (in time spent and skills required) associated with
295 (re)capturing marked versus unmarked individuals (see Fig. S9 for illustrative examples).
296 Additional results are presented in the Appendix S1-C, notably considering the effects of

297 various biological (host survival, antibody persistence; Fig. S5) and observations parameters
298 (resighting and recapture probabilities, study duration, sample sizes; Fig. S6-8).

299 *Illustrative example.* The estimation of seroconversion probability was improved (smaller
300 confidence interval) when longitudinal and cross-sectional data were integrated together
301 (compared to using only longitudinal data; Table 1). For instance, the seroconversion
302 probability [95% confidence interval] was estimated at 0.085 [0.033; 0.201] using the
303 longitudinal design and 0.079 [0.043; 0.139] using the integrated design including data from
304 60 unmarked individuals each year. The estimates of survival, resighting and recapture
305 probabilities were unchanged, as expected considering that these parameters were not
306 expected to impact seroprevalence (equation 5).

307

308

DISCUSSION

309 Based on an eco-epidemiological model and simulations under different sampling
310 scenarios, our results suggest that longitudinal data analyzed in capture-recapture frameworks
311 are preferable to cross-sectional data when poor *a priori* knowledge (for instance on the
312 survival and seroreversion probabilities) is available on the system, which is the case with
313 most wildlife-parasites systems. The cross-sectional estimator can nonetheless be accurate for
314 hosts with short lifespan and/or short antibody level persistence or when informed with
315 reliable *a priori* knowledge on these parameters. In contrast, the longitudinal approach
316 provided accurate estimates and also allowed survival and seroreversion probabilities to be
317 estimated along with observation parameters (resighting and recapture probabilities; e.g., the
318 serotine bat example). Finally, the integrated estimator benefited from the performances of
319 longitudinal designs, notably it did not rely on any *a priori* known parameters, even with
320 relatively small longitudinal sample sizes. Based on these results, we hope to encourage

321 researchers to think about the benefits of implementing longitudinal setups, potentially of
322 relatively small scope, in parallel to already existing cross-sectional studies. We also propose
323 a method to integrate these two types of data, which we believe could be useful in the future
324 to motivate researchers to switch from cross-sectional to integrated designs. The method we
325 present here also offers the possibility to integrate datasets that were previously analyzed
326 independently, and thus to improve the inference of eco-epidemiological processes made from
327 these data. For instance, multi-site cross-sectional data could be integrated with single-site
328 longitudinal data (e.g., Picard-Meyer et al. 2011 and Robardet et al. 2017) to overcome the
329 need of *a priori* knowledge on the host kinetics of the immune response, which is likely
330 conserved within a species sampled across sites.

331 Although the benefits of longitudinal setups are increasingly acknowledged in the disease
332 ecology community, our study is the first to our knowledge to explore the conditions in which
333 these benefits are actually found. Overall, the results highlight that the key elements to
334 determine an optimal sampling design are: (1) host species life history, (2) the degree of
335 antibody persistence and (3) the degree of *a priori* knowledge and uncertainty on
336 demographic and epidemiologic parameters. This work also stresses the potential benefits of
337 incorporating data from capture-recapture sampling designs in eco-epidemiological analyses,
338 often largely based on cross-sectional field surveys. In practice, this integrated approach
339 would be particularly beneficial in systems in which (1) individuals can be recaptured over
340 several years (relatively long lifespan and high site faithfulness) and (2) large numbers of
341 unmarked individuals can be sampled without increasing too much the cost of the study. This
342 is for instance the case when samples can be collected when accidental capture is frequent
343 (e.g., when using non-targeted capture methods such as mist nets, harp or Sherman traps: e.g.,
344 Robardet et al. 2017, Mariën et al. 2018), or as part of harvesting practices (e.g., Rossi et al.

345 2005), or from the offspring of colonial breeders (e.g., Chambert et al. 2012b). In such cases,
346 seroprevalence data from unmarked individuals may be collected with minimal additional
347 effort in parallel to capture-recapture setups. For instance, particularly efficient cross-sectional
348 sampling designs may not even require the capture of adults if the sampling of offspring, or
349 eggs, can be used as a reliable alternative to adult blood sampling (Alekseev et al. 2014,
350 Hammouda et al. 2014, Gamble et al. 2019b; discussed in Appendix S1-D). Further
351 simulation work could aim at optimizing designs (e.g., sample sizes, sampling frequencies,
352 study duration...) for various scenarios, similar to work performed for occupancy models
353 (Mackenzie and Royle 2005, Guillera-Aroita and Lahoz-Monfort 2012).

354 The present study illustrates that setting up a capture-recapture program, potentially in
355 parallel to extensive cross-sectional sampling, to estimate epidemiological parameters may be
356 particularly rewarding in long-lived host species and when specific antibody level persistence
357 is unknown, which is often the case for non-model species (e.g., seabirds, Chambert et al.
358 2012b; or marine mammals, Chambert et al. 2012a). Conversely, in a species expected to be
359 subjected to high yearly mortality probabilities (e.g., small passerines, Grosbois et al. 2006; or
360 rodents, Mariën et al. 2018), cross-sectional surveys may be the most efficient way to explore
361 inter-annual processes. Nevertheless, implementing longitudinal, or integrated, setups can still
362 be valuable in short-lived species to study processes occurring at smaller time scales (e.g.,
363 monthly; Mariën et al. 2018). In case of doubt about annual survival and/or the temporal
364 persistence of antibody levels, it is always advisable to implement a capture-recapture
365 program at a time scale adapted to the host species phenology. The inter-annual time scale we
366 considered here may be particularly suited to the long-term monitoring of seasonally breeding
367 species or to investigate the potential impact of diseases on long-lived populations (e.g.,
368 Lachish et al. 2007, Robardet et al. 2017). In disease systems with strong expected within-

369 and between-year dynamics, the approach would need to incorporate some temporal hierarchy
370 in considered eco-epidemiological parameters and in the corresponding timing of sampling.

371 Overall, given the relatively realistic situations we considered and the possibility to tailor
372 the approach to more specific cases, the present study could have important implications
373 regarding current practices in eco-epidemiology. For instance, the presented approach could
374 be adapted to consider the time variations of the force of infection to account for epidemic
375 cases or to incorporating parameters to account for a potential disease-induced mortality
376 (discussed in Appendix S1-A). Our study continues to expand the currently proposed
377 framework to improve inference of the circulation of infectious agents in wild populations
378 using serological data (see Appendix S1-E). The sampling design will of course have to be
379 adapted to the main objective of the survey (Yoccoz et al. 2001). For instance, if the main
380 objective of the study is to estimate the seroconversion probability in a long-lived host
381 species, putting important efforts on recapture (to insure a high δ) as part of a longitudinal
382 setting, and integrating additional cross-sectional data could greatly improve the precision of
383 the seroconversion estimators (Figure S6 b, top panel). In contrast, if the main interest is on
384 the survival probability, putting more effort on resighting (independently of recapture) could
385 improve the precision of the estimates (Lahoz-Monfort et al. 2014, Lieury et al. 2017), but
386 integrating cross-sectional data will provide no added benefit (Figure S6 a, middle panel). In
387 any case, as already advocated in other papers (Albert et al. 2010, Garnett et al. 2011, Restif
388 et al. 2012), but still seldom done (Herzog et al. 2017), we recommend *a priori* modelling
389 based on available knowledge when designing eco-epidemiological studies, notably to
390 account for host demography, immune response characteristics and sampling costs (Fig. S9).
391 In addition to the assumption of independence of the datasets, the approach we used relies on
392 the same assumptions as the ones classically made by the chosen capture-recapture and

393 compartmented epidemiological models, and thus the same limitations apply. Notably, it is
394 important to note that, because we used a simulated dataset, the performances of the three
395 presented approaches could have been overestimated. For instance, we did not consider the
396 effect of potential heterogeneities between individuals included in the cross-sectional and
397 longitudinal datasets (e.g., mean age differences or differences in age variances between the
398 marked and unmarked individuals). If they cannot be avoided, these sources of
399 heterogeneities could be accounted for in the modelling process. Finally, considering the
400 recent advances made in quantitative ecology, this approach could be applied to more
401 complex scenarios than the one we considered here, by being combined with methods
402 accounting for state misclassification by repeating sampling (McClintock et al. 2010, Lahoz-
403 Monfort et al. 2016), using the information contained in quantitative measurements (Choquet
404 et al. 2013), combining assays such as serology and direct detection (Viana et al. 2016,
405 Buzdugan et al. 2017) or by integrating individual traits more explicitly (Plard et al. 2019).

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581

TABLES

582 TABLE 1. Eco-epidemiological parameters estimated from a bat colony exposed to a rabies
 583 virus using the longitudinal or integrated design. The estimates are presented with their 95%
 584 confidence interval between brackets. Note that the confidence interval of seroconversion
 585 probability (in bold) is smaller when using the integrated design.

| Parameter | Design | | | |
|--|---------------------------------------|--|--|--|
| | Longitudinal | Integrated <i>n_{CS}</i> = 20 | Integrated <i>n_{CS}</i> = 40 | Integrated <i>n_{CS}</i> = 60 |
| Survival ϕ | 0.750 [0.684; 0.807] | 0.750 [0.684; 0.807] | 0.750 [0.684; 0.807] | 0.750 [0.684; 0.807] |
| Seroconversion λ | 0.085 [0.033; 0.201] | 0.072 [0.038; 0.130] | 0.085 [0.046; 0.151] | 0.079 [0.043; 0.139] |
| Seroreversion ω | 0.145 [0.072; 0.271] | 0.152 [0.080; 0.269] | 0.145 [0.075; 0.261] | 0.148 [0.078; 0.265] |
| Resighting t = 1 p_1 | 0.793 [0.733; 0.843] | 0.793 [0.733; 0.843] | 0.793 [0.733; 0.843] | 0.793 [0.733; 0.843] |
| Resighting t = 2 p_2 | 0.152 [0.049; 0.383] | 0.152 [0.049; 0.383] | 0.152 [0.049; 0.383] | 0.152 [0.049; 0.383] |
| Resighting t = 3 p_3 | 0.865 [0.630; 0.960] | 0.865 [0.630; 0.960] | 0.865 [0.630; 0.960] | 0.865 [0.630; 0.960] |
| Resighting t = 4 p_4 | 0.138 [0.062; 0.277] | 0.138 [0.062; 0.277] | 0.138 [0.062; 0.277] | 0.138 [0.062; 0.277] |
| Resighting t = 5 p_5 | 0.365 [0.218; 0.542] | 0.365 [0.218; 0.542] | 0.365 [0.218; 0.542] | 0.365 [0.218; 0.542] |
| Resighting t = 6 p_6 | 0.702 [0.477; 0.859] | 0.702 [0.477; 0.859] | 0.702 [0.477; 0.859] | 0.702 [0.477; 0.859] |
| Resighting t = 7 p_7 | 0.646 [0.421; 0.821] | 0.646 [0.421; 0.821] | 0.646 [0.421; 0.821] | 0.646 [0.421; 0.821] |
| Recapture δ | 0.659 [0.385; 0.856] | 0.659 [0.385; 0.856] | 0.659 [0.385; 0.856] | 0.659 [0.385; 0.856] |

586

587

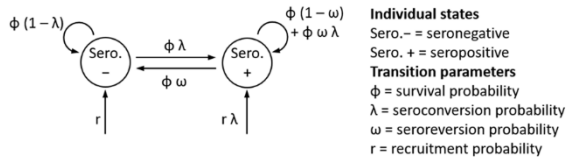
FIGURES

588 FIGURE 1. Methodological framework: eco-epidemiological process used for data simulation
589 (a) and modelling framework for the estimation of the eco-epidemiological parameters (b).

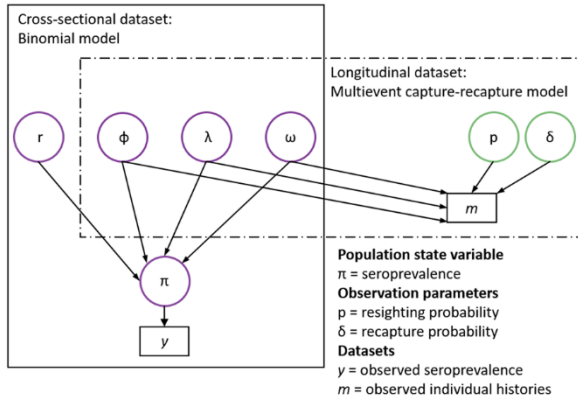
590 FIGURE 2. The longitudinal design overall leads to no bias but low precision in the estimation
591 of the seroconversion probability (λ) while small errors in *a priori* fixed seroreversion ($\tilde{\omega}$) or
592 survival ($\tilde{\phi}$) probabilities can lead to strong biases in cross sectional designs, especially for
593 long-lived host species and persisting antibody levels. Estimated values of the seroconversion
594 probability and corresponding bias (a, b) or MSE (c, d) in relation to survival (a),
595 seroreversion (b) and seroconversion (c, d) probabilities using cross-sectional or longitudinal
596 estimators. For the cross-sectional design, results are shown for a realistic gradient of error on
597 the *a priori* fixed value of seroreversion ($\tilde{\omega}$) or survival ($\tilde{\phi}$), while the longitudinal design does
598 not require those parameters to be set *a priori* (not informed). The true seroconversion
599 probability is represented by a black dashed line (a, b) or black diamonds (c, d). Notes: (a): a
600 null seroreversion value corresponds to a lifelong persistence of antibody levels. (b): a
601 survival value of $\phi \times p$ corresponds to an underestimated survival probability comparable to
602 the raw return rate probability which is sometime used in the literature (the < 1 resighting
603 probability being ignored).

604 FIGURE 3. The integrated estimator leads to higher precision in the estimation of the
605 seroconversion probability (λ) compared to the integrated estimator. Estimated values of the
606 seroconversion probability and corresponding MSE for different combinations on datasets
607 analyzed with the longitudinal ($n_{CS} = 0$) or the integrated ($n_{CS} > 0$) model. Two situations were
608 explored: intermediate (a) or long (b) persistence of the antibody levels. The true
609 seroconversion probability is represented by a black dashed line. The cross-sectional model is
610 not represented on this figure as it requires *a priori* reliable knowledge on ϕ and ω .

a) Framework for the eco-epidemiological simulations



b) Framework for the integrated modelling for parameter estimation



611

