1	The status and conservation of Cape Gannets Morus capensis
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23	Abstract
24	The Cape Gannet Morus capensis is one of several seabird species that are endemic to the
25	Benguela upwelling ecosystem (BUS), whose populations recently decreased leading to
26	unfavourable Red List classifications. Application of JARA, a Bayesian state-space tool for IUCN
27	Red List assessment, to updated information on areas occupied by and nest densities of breeding

28 Cape Gannets at their six colonies suggested the species should be classified as Vulnerable. 29 However, the rate of decrease of Cape Gannets in their most recent generation exceeded that of the previous generation, primarily as a result of large decreases at Bird Island, Lambert's Bay, 30 and Malgas Island off South Africa's west coast. Since the 1960s, there has been an ongoing 31 32 redistribution of the species from northwest to southeast so that c. 70% of the species now occurs 33 at Bird Island, Algoa Bay, on the eastern border of the BUS. Recruitment rather than adult survival may be limiting the present population, although information on demographic parameters and 34 mortality in fisheries is lacking for colonies in the northern BUS. Major present threats to the 35 species include a substantially decreased availability of their preferred prey in the west, heavy 36 mortality of eggs, chicks and fledglings at and around colonies inflicted by Cape Fur Seals 37 Arctocephalus pusillus and other seabirds, substantial disturbance at colonies caused by Cape 38 39 Fur Seals attacking adults ashore, oiling and disease.

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41 Keywords: Bayesian state-space model; Benguela ecosystem; Conservation status; IUCN Red
42 List; Population decline; Seabird conservation.

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#### 44 Introduction

45 The Cape Gannet Morus capensis is one of seven seabirds endemic to the Benguela upwelling 46 system off southwest Africa. It has historically bred at ten islands off the coasts of Namibia and 47 South Africa but at only six islands by 1956: Mercury, Ichaboe and Possession in Namibia; Bird at Lambert's Bay (hereafter referred to as Lambert's Bay), Malgas and Bird at Algoa Bay 48 (hereafter referred to as Bird Island, Algoa Bay) in South Africa (Figure 1, Crawford et al. 1983). 49 Although many birds regularly disperse north along the west and east coasts of Africa after 50 breeding (e.g. Broekhuysen et al. 1961, Cyrus and Robson 1980, Klages 1994), the species is 51 not migratory and adults are often present at breeding localities throughout the non-breeding 52 season (Nelson 2005, Pistorius et al. 2015). A number of historical and contemporary pressures 53

54 from human activities have caused the number of Cape Gannets to decline since the first efforts 55 to estimate their abundance in 1956 (Crawford et al. 2007). For example, Cape Gannets construct their nests from quano, of which they produce substantial quantities at breeding localities (Jarvis 56 1970). Their guano and that of other seabirds was previously harvested at southern African 57 58 islands and platforms, with much of it being shipped to Europe as a fertilizer (Crawford and 59 Shelton 1978, van Sittert and Crawford 2003). Again, Cape Gannets prefer to feed on two energyrich forage fish species, sardine Sardinops sagax and anchovy Engraulis encrasicolus, though 60 they may also utilise less nutritious fish species, such as saury Scomberesox saurus, and 61 scavenge hake Merluccius spp. and other benthic species discarded by demersal trawlers (e.g. 62 Berruti et al. 1993, Dundee 2006; Green et al. 2015, Grémillet et al. 2008, 2019). Sardine and 63 anchovy are the mainstay of the region's industrial purse-seine fisheries (Hutchings et al. 2009) 64 65 and play a major role in the transfer of energy from lower to higher trophic levels (e.g. Cury et al. 66 2000). However, access to forage fish for the regions' seabirds has changed markedly since the 1950s with the collapse of Namibia's sardine stock in the early 1970s (Crawford et al. 1983, 67 Watermeyer et al. 2008, Roux et al. 2013) and a more recent decrease in the availability to 68 69 seabirds of sardine and anchovy off South Africa's west coast after 2003 (Crawford et al. 2019).

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In the 1950s and 1960s, most Cape Gannets bred in Namibia but by 1978, numbers were shared 71 72 evenly between Namibia and South Africa (Crawford et al. 1983). Since 1997, > 80% of Cape Gannets bred in South Africa (Crawford et al. 2007). Under the criteria of the International Union 73 74 for Conservation of Nature (IUCN), in South Africa the Cape Gannet was of Least Concern in 1976 (Siegfried et al. 1976) and 1984 (Brooke 1984) but Vulnerable in 2000 (Barnes 2000) and 75 2015 (Hagen 2015). On account of its severe decrease in Namibia, it was there regarded as 76 77 Critically Endangered in 2015 (Kemper 2015). The global status of the Cape Gannet was Least 78 Concern in 1988, Near Threatened in 1994, Vulnerable in 2000 and Endangered in 2017 (BirdLife International 2019). Its 2017 assessment was based on Bayesian state-space modelling of 79

numbers breeding at the species' six extant colonies between 1956 and 2016, which indicated a
decline of c. 52% over three generations of 20.2 years (Birdlife International 2019).

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In this paper we update information on densities of nests, areas occupied by, and generation length of, Cape Gannets. We revisit the generation length calculation, reapply the model used in the 2017 assessment directly to estimates of the area occupied by breeding gannets, and propagate the uncertainty around nesting density in revised estimates of the species' abundance to ascertain whether the classification of Endangered remains applicable. We also review the threats faced by the species and identify interventions needed to secure the species' conservation.

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#### 91 Methods

92 The numbers of nests of Cape Gannets at colonies in breeding seasons were estimated from 93 measurements of the area occupied by breeding birds (in hectares [ha]) from near-vertical aerial 94 photographs, combined with ground-based measures of the densities of nests (Randall and Ross 95 1979, Klages et al. 1992). Aerial photographs were taken in November or December, when most birds were incubating or brooding (Shelton et al. 1982). Cape Gannets may commence breeding 96 in mid-August, first clutches are usually complete by early November and most fledging is 97 98 complete by the end of March (Staverees et al. 2008). The extent of the area occupied by breeding 99 birds was measured using an Ibas interactive image-analysis system. The photographs were scaled from ground measurements of straight edges, e.g. walls or buildings, near the colonies 100 (Crawford et al. 2007). In certain years (Table S1), when it was not possible to take photographs, 101 102 the area of a gannet colony was estimated by an observer walking around the colony with a Global Positioning System (GPS) that had a horizontal error of ± 4 m (Crawford et al. 2007). Disturbance 103 to birds was reduced by suspending the GPS from a pole that ensured a 2 m gap between the 104 105 observer and the gannets. In total, between 1956/57 and 2018/19, estimates of the area occupied

by breeding birds were available for 27 seasons at Mercury Island, 25 at Ichaboe Island, 23 at
Possession Island, 41 at Lambert's Bay, 38 at Malgas Island and 42 at Bird Island, Algoa Bay
(Figure 3).

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110 Measurements of the densities of nests at colonies were undertaken during breeding seasons by placing four poles, each 2 m long, on the surface of the ground, so as to form a square of 4 m<sup>2</sup>. 111 112 The numbers of whole nests and part nests that fell within a square were counted. The overall number of nests in a square was taken to be the number of whole nests plus half the number of 113 part nests (Randall and Ross 1979, Crawford et al. 2007). The number of density measurements 114 made in any season ranged from two at Possession Island in 2002/03 and 2005/06, when the 115 colony was small and it was desired to minimize disturbance, to 30 at Algoa Bay in 2005/06 116 117 (Crawford et al 2007). Usually 10–25 density measurements were made at a colony in a given 118 breeding season. Density measurements were made at Mercury, Ichaboe and Possession islands in three seasons, at Lambert's Bay in 19 seasons, at Malgas Island in 23 seasons and at Bird 119 Island, Algoa Bay in 11 seasons (Figure 2). Additionally, three published estimates were available 120 121 for Bird Island, Algoa Bay (Randall and Ross 1979, Batchelor 1982). As information on densities 122 of nests was not available for many seasons, for each locality a mean and SD density were 123 obtained, by giving equal weight to each season for which information existed.

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In addition, in the 2010/11 and 2018/19 seasons, direct counts of the numbers of Cape Gannet nests at the three Namibian colonies were made from aerial photographs (Kemper 2015, MFMR and African Penguin Conservation Project unpubl. data). This method was also applied to the small colony at Possession Island in 2011/12 and 2014/15. We used these eight direct counts of nests to check our model estimates against these cases.

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131 Generation length (*G*) for Cape Gannets was calculated as:

$$G = \frac{A+1}{(1-\phi_a)}$$

(1) 133 where A is age of first breeding and  $\phi_a$  is adult survival (Birdlife International 2000). In keeping 134 135 with the IUCN Red List guidelines, which state "where generation length varies under threat ... the more natural, i.e. pre-disturbance, generation length should be used" (IUCN Standards and 136 Petitions Subcommittee 2017), we used A = 4 years and  $\phi_a = 0.93$  based on Crawford (1999) 137 138 and the 1990 survival estimates from Distiller et al. (2012). 139 140 To determine the change occurring in the Cape Gannet population over the last 3G years, we 141 used a Bayesian state-space tool for IUCN Red List assessment (Just Another Red List Assessment [JARA], https://github.com/henning-winker/JARA, Winker and Sherley 2019). JARA 142 143 assumes that the underlying trend in the area occupied ( $I_t$ ; Figure S1) followed a conventional exponential growth model (e.g. Kéry and Schaub 2012): 144  $I_{t+1} = I_t \lambda_t$ 145 146 (2) where  $\lambda_t$  is the growth rate in year t. On the log scale, the state process model was: 147  $\mu_{t+1,i} = \mu_{t,i} + r_{t,i}$ 148 (3) 149 where  $\mu_{t,i} = \log (I_{t,i})$  and  $r_{t,i} = \log (\lambda_{t,i})$ , the year-to-year rate of change at breeding colony *i* that 150

151 is assumed to vary around  $\bar{r}_i$  – the underlying mean rate of change for the colony – but with an 152 estimable process variance  $\sigma_{\eta}^2$  that is common to all colonies  $r_{t,i} \sim Normal(\bar{r}_i, \sigma_{\eta}^2)$ . The 153 corresponding observation equation is:

154 
$$\log(y_{t,i}) = \mu_{t,i} + \epsilon_{t,i}$$
155 (4)

where  $y_{t,i}$  is the area occupied for year t and  $\epsilon_{t,i}$  is the observation residual for year t at breeding colony i. The residual error is assumed to be normally distributed on the log-scale  $\epsilon_{t,i} \sim Normal(0, \sigma_{\epsilon}^2)$  as a function of a common observation variance  $\sigma_{\epsilon}^2$ .

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160 The estimated posterior of the population size (number of breeding pairs) at each colony became:

161  $\hat{I}_{t,i} = \exp(\mu_{t,i}) \times \delta_i \times 10,000,$ 

162 
$$\delta_i = gamma(\alpha_i, \beta_i),$$

163 
$$\alpha_i = \overline{D}_i^2 / \sigma_{D,i}^2$$

164 
$$\beta_i = \overline{D}_i / \sigma_{D,i}^2$$

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where  $\delta_i$  is a colony-specific informative gamma prior for the densities of nests, parameterised via shape ( $\alpha_i$ ) and rate ( $\beta_i$ ) parameters using the observed mean ( $\overline{D}_i$ ) and SD ( $\sigma_{D,i}$ ) for nesting density (m<sup>2</sup>) at each colony. The global population  $I_{p,t}$  for year *t* was computed from the sum of all individual colony trajectory posteriors:

$$I_{p,t} = \sum_{i} \hat{I}_{t,i}$$

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(6)

(5)

The percentage change (*C*%) in numbers at each colony was calculated from the posteriors of the estimated population ( $\hat{I}_{t,i}$ ) as the difference between a three-year average around the final observed data point *T*, and a three-year average around year *T* – (3*G*) (e.g. Figure S2). The year *T* + 1 is always projected to obtain a three-year average around *T* (to reduce the influence of short-term fluctuations; Froese et al. 2017).

178 We implemented JARA in JAGS (v.4.3.0; Plummer 2003) via the "jagsUI" library (v.1.5.1; Kellner 179 2019) for R. The initial for the first modelled count  $I_{t=1,i}$  was drawn in log-space from a 'flat' normal distribution with the mean equal to the log of the first observation  $y_{t=1,i}$  and a standard deviation 180 of 1000. We used vague normal priors of Normal(0,1000) for  $\bar{r}_i$  and inverse gamma priors for 181 both the state and observation process variance of  $\sigma^2 \sim 1/gamma(0.001, 0.001)$ , which is 182 approximately uniform on the log scale (Winker et al. 2018). The model was fitted by running three 183 Monte Carlo Markov chains (MCMC) for 50,000 iterations, with a burn-in of 25,000 and a thinning 184 rate of 5. Convergence was diagnosed using the 'coda' package (Plummer et al. 2006), adopting 185 186 minimal thresholds of p = 0.05 for Geweke's (1992) diagnostics. Unless otherwise specified, we report medians and 95% Bayesian credible intervals (BCI). 187

188

- 189 **Results**
- 190 Generation length (G)

Using values of A = 4 years and  $\phi_a = 0.93$  per annum, we obtained an estimate of G = 18.3 years and 3G = c.55 years.

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194 Nesting densities

Mean ( $\pm$  SD) densities of nests·m<sup>-2</sup> at the six breeding localities of Cape Gannets were: Mercury Island 3.73  $\pm$  0.57; Ichaboe Island 3.56  $\pm$  0.67; Possession Island 4.39  $\pm$  1.12; Lambert's Bay 3.11  $\pm$  0.36; Malgas Island 2.90  $\pm$  0.17; Bird Island, Algoa Bay 3.00  $\pm$  0.276. There was no evidence of a trend in nest densities at any of the three colonies at which densities were obtained in 10 or more seasons (Figure 2).

201 Population count estimates

202 The state-space model (SSM) estimate for the total number of breeding pairs of Cape Gannets at the six colonies declined from 264,558 (95% BCI: 193,839-365,146) in 1956/57 to 134,775 203 (110,013–166,901) in 2018/19 (Figure S2). In Namibia, estimated numbers declined from c. 204 210,000 pairs, c. 80% of the global population, in 1956/57 to c. 7,000 pairs, or c. 5% of the global 205 206 population, in 2018/19. Estimated numbers at Mercury Island decreased from c. 9,000 pairs in 207 1956/57 to c. 3,500 pairs in 1969/70, recovered to c. 4,500 pairs in 1978/79 and after 1980 fluctuated around c. 2,000 pairs (Figure 3). Cape Gannets occupied much of Ichaboe Island in 208 1956/57 and 1967/68 (Rand 1963, Crawford 1991). In 1956/57, c. 180,000 pairs bred at the island. 209 Estimated numbers declined to c. 50,000 pairs in 1981/82 and fluctuated around this level until 210 the end of the 1980s, then decreased again to a present population of c. 5,000 pairs (Figure 3). 211 The numbers at Possession Island decreased from c. 20,000 pairs in 1956/57 to c. 2,900 pairs 212 213 from 1980–1992, and then to the present population of c. 130 pairs (Figure 3). Seven of the eight 214 direct counts of nests fell within the 95% credible intervals of the state-space model estimate for the corresponding year and colony (Figure S3). 215

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217 In South Africa, fewer than 6,000 pairs bred at Lambert's Bay between 1956/57 and 1978/79. The colony grew to c. 11,000 pairs in 1987/88 and then varied around 10,000 pairs from 1988/89-218 2003/04. Between c. 5,500 and 7,700 pairs were estimated to have bred there in subsequent 219 years (Figure 3). At Malgas Island, there were about 26,000 pairs between 1956/57 and 1983/84. 220 The colony increased to a peak of c. 52,000 pairs in 1996/97. Since then it decreased in fluctuating 221 222 fashion to c. 22,000 pairs in 2018/19 (Figure 3). The colony at Bird Island, Algoa Bay grew from c. 22,000 pairs in 1956/57 to c. 95,000 pairs in 2004/05 and subsequently varied around that 223 value (Figure 3). 224

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226 Percentage change (C%) and conservation status

227 The median C% in the global population over the last 3G was estimated as -44.7 (-63.8--18.9)% 228 (Figure 4), or -1.0% per annum (Figure 5). The median rate of decrease was slower when only the last 2 generations were considered (-0.18% per annum), indicating a period of population 229 230 stability (Figure 5 and S2). The annual rate of decline appears to have increased again during the 231 most recent generation (-0.97%) per annum), though the confidence in this latest estimate was 232 lowest (greatest variance in Figure 5). Overall, the majority (>87%) of the plausible estimates (the 233 posterior probability distribution) for C% exceed the decline thresholds for the IUCN threatened categories, with a 56.9% probability that the population meets the A2 criteria for a global 234 classification of Vulnerable (VU; Figure 4) and 31.0% probability of meeting the same criteria for 235 Endangered (EN; Figure 4). Only 0.12% of plausible rates of change were positive. 236

237

# 238 Discussion

MethodologicalPrevious estimates gave c. 254,000 and c. 249,000 pairs of Cape Gannets 239 240 breeding in 1956/57 and 1968/69, respectively (Crawford et al. 2007). Applying the method of 241 Crawford et al. (2007) to the most recent nesting area data suggests c. 135,000 pairs bred in 2018/19, a change of c. -46% in the 50 years since 1968/69. This accords well with our SSM 242 estimates, which produced a median decline of -44.7% over the 3G (54.9 years) prior to 243 2018/2019, from c. 247,000 pairs around 1963/64 to c. 135,000 pairs around 2018/19 (Figure 244 S2). In contrast, densities of nests varied between colonies from 2.9–4.4 m<sup>-2</sup>, without clear trends 245 at any of the three regularly monitored colonies (Figure 2). This suggests that the area used for 246 nesting expands or contracts in response to increases or decreases in numbers breeding (while 247 nesting density remains relatively constant). Nest sites may be chosen to minimise both 248 249 aggressive interactions between neighbours (Jarvis 1971) and the loss of eggs and small chicks to predators (de Ponte Machado 2010) as breeding success is higher in the interior of colonies 250 than at their periphery (Staverees et al. 2008, Mullers et al. 2009, Green and Pistorius 2013). 251

Seven of the eight direct counts of nests fell within the 95% credible intervals of the corresponding state-space model estimate (Figure S3). While this gives some credence to our approach for estimating abundance, the direct counts all come from the now relatively small Namibian colonies. Moreover, it is important to emphasize that our results represent modelled population estimates, not direct counts of the number of occupied nests as presented elsewhere (e.g. Kemper 2015).

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It is possible to obtain direct nest counts from aerial photographs, even for the very large gannet 258 259 colonies (Shelton et al. 1982). While these are likely to be more precise than our estimates, they 260 are more time-consuming to undertake and to date no work has been done to assess the uncertainty associated with that approach. Unmanned aerial vehicles (UAVs or drones) can now 261 be used to census seabird colonies cheaply, accurately and precisely (Hodgson et al. 2018), with 262 263 minimal disturbance if best-practise guidelines are followed carefully (Brisson-Curadeau et al. 264 2017). Future estimates to census Cape Gannet populations could benefit from using UAV technology to assess the intra-annual variability in colony attendance, to quantify the relative error 265 in using direct counts versus estimates of the area occupied (as applied here), and to calibrate 266 267 UAV images with aerial photographs from manned aircraft to reduce the cost and increase the 268 frequency of population estimates in future.

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#### 270 Conservation status

The most recent IUCN Red List assessment for Cape Gannets reported a –51.5% change in the numbers breeding over 61 years (1956–2017), resulting in a global Red List status of Endangered (Birdlife International 2019). Our revised abundance estimates yielded a lesser decline –44.7%, with the balance of evidence suggesting a global classification of Vulnerable as the most appropriate status. Although the analysis we undertook for the Birdlife International (2019) assessment was also based on a Bayesian SSM model fit, it differed from the analysis reported on in this paper in two keys way. First, that SSM was applied to numbers of breeding pairs that 278 had been calculated without taking into account the uncertainty in the nesting density estimates. 279 In contrast, here we considered both the uncertainty in the nesting density estimates and the estimates of the area occupied by breeding birds in modelling our revised abundance estimates. 280 Greater uncertainty will increase the spread of the posterior probabilities for %C across the 281 282 thresholds for the IUCN threatened categories. Second, we have suggested revising the generation length from 20.2 years (Birdlife International 2019) to 18.3 years based on the results 283 of Crawford (1999) and Distiller et al. (2012). This is the main reason for our more conservative 284 estimate in the median decline of -44.7% versus -51.5%, as the greatest rate of decrease in the 285 286 Cape Gannet population occurred as a result of large losses of gannets in Namibia in the 1960s and early 1970s (Figure 3 and S2) following the loss of sardine stocks in the Northern Benguela 287 (Roux et al. 2013). With a shorter generation time, these early declines contribute less to the 3G 288 289 decline estimates in our results than in those used by Birdlife International (2019). Moreover, 290 these losses were ameliorated to some extent by increases at South African colonies in the 1980s and 1990s (Figure 3), initially in the Western Cape as sardine and anchovy recovered in the 291 Southern Benguela, then at Bird Island, Algoa Bay, as these fish shifted eastwards (Roy et al. 292 293 2007, Coetzee et al. 2008). However, while the current analysis suggests a global Red List status 294 of Vulnerable would be appropriate, it is worth noting that substantial decreases at South Africa's two western colonies in the most recent 1G (since 2000) have again accelerated the rate of 295 decrease (Figure 5). 296

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# 298 Regional population change

The redistribution of Cape Gannets after 1967/68 in an anti-clockwise direction, from northwest to southeast around the southern African coast, is thought to have resulted from a similar relocation of its main prey species, sardine and anchovy (Crawford et al. 2007, 2015). Sardine off Namibia was depleted through overfishing in the 1960s and 1970s and has not recovered (e.g. Roux et al. 2013). In South Africa, there was a movement of mature sardine and anchovy from its west to south coasts at the end of the 1990s and in the present century sardine has been heavily
exploited west of Cape Agulhas (Roy et al. 2007, Coetzee et al. 2008). Additionally, there was a
substantial decrease in the epipelagic availability of sardine and anchovy off South Africa's west
coast after 2003 (Crawford et al. 2019).

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Cape Gannets likely colonised Halifax and Possession islands in the mid-19<sup>th</sup> century, possibly 309 310 due to displacement of birds from Ichaboe Island during intensive harvesting of guano there from 1843–1845 (Crawford et al. 1983). However, they did not establish a new colony off South Africa 311 during their recent redistribution. Seal Island in False Bay, where they had previously bred 312 (Crawford et al. 1983), was fully occupied by Cape Fur Seals Arctocephalus pusillus at this time 313 (Shaughnessy 1984) and attempts to settle at Robben Island in c. 1968 and Dyer Island in 314 315 1984/85 (Berruti 1985, Crawford et al. 1986) may have been cut short by disturbance. The result 316 is that >70% of all Cape Gannets now nest at Bird Island, Algoa Bay, at the eastern extremity of their breeding distribution, where the population seems to have reached an asymptote (Figure 3). 317 318 Gannets at this colony are in poorer condition than those at the smaller South African colonies 319 and have to work harder to find food, probably as a result of intra-specific competition (e.g. 320 Moseley et al. 2012, Rijklief 2016). Outside their breeding season, adult Cape Gannets may disperse > 1,000 km from colonies and juvenile birds may move > 2,000 km (Broekhuysen et al. 321 1961, Klages 1994), but there are no suitable breeding localities for the species in southern Africa 322 east of Bird Island, Algoa Bay. 323

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325 Threats

Present threats to Cape Gannets include scarcity of nutritious prey, mortality in fisheries, predation at or around colonies, disturbance at (see above) or displacement from colonies, oiling, disease and extreme weather events. Each of these pressures is discussed briefly below.

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330 Although Cape Gannets are able to switch their prey (e.g. Crawford et al. 2014, Grémillet et al. 331 2019), scarcity of good-quality prey is thought to be the main cause of their ongoing population decrease (e.g. Lewis et al. 2006, Pichegru et al. 2007, Cohen et al. 2014, Crawford et al. 2015). 332 The numbers of Cape Gannets breeding in both Namibia and South Africa were significantly 333 334 related to the biomass of sardine and anchovy (Crawford et al. 2007). In western South Africa, they were also related to the epipelagic availability of these prey species (Crawford et al. 2019). 335 336 Scarcity of energy-rich prey may decrease the proportion of adult Cape Gannets that breed 337 (Crawford and Dyer 1995) and may have influenced recent variability in numbers of nests at colonies (see Figure 3). It may also reduce breeding success (e.g. Crawford 1999, Grémillet et 338 al. 2008, Cury et al. 2011), inhibit chick growth (Mullers et al. 2009) and nest attendance 339 (Rishworth et al. 2014), increase foraging effort (Grémillet et al. 2016) and negatively impact adult 340 341 body condition (Cohen et al. 2014). Parents in better body condition spent longer periods at their 342 nests (Rishworth et al. 2014). Faced with scarcity of their natural prev, Cape Gannets exploited fishery waste from trawlers (Tew Kai et al. 2013) but this low-quality resource still results in low 343 adult body condition and reproductive performance (Moseley et al. 2012). 344

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Considerable numbers of Cape Gannets were killed off southern Africa in demersal-trawl and demersal- and pelagic-longline fisheries at the turn of the recent century (Watkins et al. 2008, Petersen et al. 2009a, 2009b) but numbers killed off South Africa have subsequently been much reduced via mitigation measures (Maree et al. 2014, Rollinson et al. 2017). Many juveniles were exhausted on arrival off Angola and easily killed by fishermen there (Broekhuysen et al. 1961). The extent to which such deliberate killing for food continues is unknown.

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Cape Fur Seals killed an estimated 27,000 fledglings around Malgas Island over the course of three breeding seasons (Makhado et al. 2006) and > 900 gannets around Ichaboe Island in May 2000 (du Toit et al. 2004). Fur seals caused cessation of breeding at Hollam's Bird Island between 356 1938 and 1956 by entirely displacing gannets from that locality (Crawford et al. 1983) and, by 357 attacking adults ashore, they also caused the abandonment of the colony at Lambert's Bay in 2005/06 (Wolfaardt and Williams 2006) and of c. 10% of the colony at Malgas Island in 2017/18 358 (Dyer et al. 2018). The removal of some damage-causing animals has prevented further losses. 359 360 At Ichaboe and Bird, Algoa Bay islands, periods of non-attendance by parents caused by poor 361 foraging conditions resulted in many eggs or small chicks being eaten by Kelp Gulls Larus 362 dominicanus (Mullers and Tinbergen 2009, Rishworth and Pistorius 2015). At Lambert's Bay, between 2009 and 2013, Kelp Gulls ate an average of 13% of eggs laid by Cape Gannets (Saul 363 and Fortuin 2015). At Malgas Island, Kelp Gulls took 10-70% of the colony's egg production 364 between 2012 and 2018 (Pichegru 2017, SANParks unpublished data). In addition, Great White 365 Pelicans Pelecanus onocrotalus have eaten gannet chicks at Malgas Island, especially smaller 366 367 individuals (Pichegru et al. 2007, de Ponte Machado 2010), although active management put in 368 place by South African National Parks since 2008 has drastically reduced their impact.

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Oil-spills are a serious threat to Cape Gannets. In 1979, fish oil killed at least 709 gannets at 370 371 Lambert's Bay but improvements in fish-offloading techniques lowered this risk (Crawford et al. 372 1983). However, fish oil from vessels processing fish or on-shore factories poses a chronic threat to gannets in Namibia (du Toit and Bartlett 2001, Kemper 2015). In 1983, oil spilt by the Castillo 373 374 de Bellver affected > 5,000 Cape Gannets in the vicinity of Saldanha Bay; c. 1,500 were caught, of which 65% were de-oiled and successfully released (Wolfaardt et al. 2009). De-oiled birds had 375 376 only slightly lower survival rates than un-oiled gannets (Altwegg et al. 2008). Recent harbour developments in Algoa Bay are likely to increase the risk of oil fouling seabirds in that region 377 (Adeniji et al. 2017). This is of concern for Cape Gannets given that 70% of the global population 378 379 now occurs at Bird Island, Algoa Bay.

Avian cholera *Pasteurella multocida* killed 172 Cape Gannets at Malgas Island in 1991 and almost 400 at Lambert's Bay in 2002 (Crawford et al. 1992, Ward and Williams 2004). In 2018, avian influenza (H5N8) was confirmed in Cape Gannets in South Africa and may have killed > 1,600 birds (Khomenko et al. 2018, Roberts 2018). At Lambert's Bay, four heat waves (35–40°C) killed 80 adult gannets attempting to shelter their nests in 1998 and 2000 (Ward and Williams 2004). Storms (heavy rain and strong winds) can also cause shorter nest attendance times and mortality of Cape Gannet chicks (Crawford et al. 1986, Rishworth and Pistorius 2015, Pistorius et al. 2015).

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# 389 Conservation interventions

Assuming an age at first breeding of 4 years, and annual survival of birds aged  $\geq 2$  years and < 2390 years of 0.91 and 0.71, respectively (Crawford 1999), an annual production of 0.32 fledglings per 391 392 pair is required to maintain a (closed) Cape Gannet population in equilibrium (Makhado et al. 393 2006). Long-term averages in breeding success have generally exceeded 0.32 fledglings per pair (e.g. Adams et al. 1992, Staverees et al. 2008, Crawford et al. 2019). In contrast, annual survival 394 of adult Cape Gannets averaged 0.83 at Lambert's Bay, 0.88 at Malgas Island and 0.90 at Bird 395 Island, Algoa Bay from 1990-2009 (Distiller et al. 2012), and mortality immediately after fledging 396 397 was deemed too high to sustain the population at Malgas Island (Makhado et al. 2006). Although better information on juvenile and immature survival and on demographic parameters at Namibian 398 colonies is required, recruitment rather than adult survival may be limiting the Cape Gannet 399 population (Distiller et al. 2012). In South Africa, adult Cape Gannets buffer their survival in 400 401 periods of scarcity of sardine and anchovy by switching their diet to include saury or less nutritious hake offal discarded by bottom trawlers (Crawford et al. 2014, Grémillet et al. 2019), but this can 402 have demographic implications through reduced breeding success and lower chick quality (e.g. 403 404 Grémillet et al. 2008, but cf. Grémillet et al. 2019).

406 In order to improve the conservation status of Cape Gannets it will be necessary to maintain the 407 present high rates of adult survival while at the same time increasing recruitment. The major threats to adult survival are mortality caused by fisheries, oiling and outbreaks of disease, which 408 409 can be countered by requiring fisheries in the Benguela ecosystem to implement best practice 410 by-catch mitigation, by marine spatial planning that minimises the risk of oil spills near colonies, 411 where gannets have their highest densities, and by implementing standard protocols to reduce 412 the possibility of pathogens spreading, e.g. rapid disposal of carcases (e.g. Khomenko et al. 413 2018). Recruitment seems to be mainly limited by insufficient high-quality prey off west southern 414 Africa, and by disturbance and high post-fledging mortality inflicted by Cape Fur Seals around some colonies. Predation mortality at or near colonies can be reduced by removing damage-415 causing animals (e.g. Makhado et al. 2009). 416

417

Although it would not reverse the changes in the distribution and availability of their main prev 418 species, ecosystem-based management should implement forage thresholds (e.g. Cury et al. 419 2011, Crawford et al. 2019) and marine spatial planning (e.g. Distiller et al. 2012) to ensure 420 421 sufficient food for Cape Gannets and other seabirds (e.g. Sherley et al. 2017, 2018). In South 422 Africa, there is substantial overlap of areas where gannets feed when breeding and where purseseiners catch sardine and anchovy (Okes et al. 2009, Pichegru et al. 2009), whereas in Namibia, 423 although some core gannet feeding areas fall within the Namibian Islands' Marine Protected Area 424 (MPA) (Ludynia et al. 2012), an improvement in forage resources for gannets depends on the 425 426 recovery of the Namibian sardine stock. The new Greater Addo MPA in Algoa Bay, and in particular the eastward extension of the MPA from Bird Island, may benefit Cape Gannets in future 427 as breeding adults generally forage to east of the island, albeit often far outside the bay (Botha 428 429 and Pistorius 2018). Given a lack of alternative breeding localities in the east, it is particularly 430 important to stabilise the deceasing smaller colonies in the west, where the impact of top-down processes is expected to increase as colony size decreases (Crawford et al. 2018). 431

432

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439

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# 701 Figures



7021500°E20°0°E25°0°E30°0°E703Figure 1: Locations of extant (black squares) and extinct (white stars) colonies of Cape Gannets704Morus capensis and other southern African localities mentioned in the text.



705 706 Figure 2: The densities of Cape Gannet Morus capensis nests at the three extant South African colonies, 1974–2018. 707



**Figure 3:** Bayesian state-space model estimates (coloured lines) and 95% credible intervals (grey polygons) of the number of Cape Gannet *Morus capensis* breeding pairs at the six extant colonies, 1956/57–2018/19. Coloured points show the raw estimated number transformed from the area occupied by breeding gannets in each year and the mean nesting density (see methods for details).



714

**Figure 4:** Posterior probability density of the percentage change (C%) of the overall population

of Cape Gannets *Morus capensis* over three generation lengths (3G). Note that all probabilities

are negative and the median rate of change -44.7%.

718



- **Figure 5**: Posterior probability densities for the annual rate of change of the overall population of
- 721 Cape Gannets Morus capensis over the last three generations (3G), the two most recent
- generations (2G), the years corresponding to the most recent generation (1G) and for all available
- years (All.yrs). The generation length was G=18.3 years.

# **Supporting Information**

**Table S1.** Bayesian State-space model estimates of the numbers of Cape Gannets (thousand pairs) that bred at the species' six extant colonies, 1956–2018 based on estimates of areas occupied by breeding Cape Gannets (Figure S1) obtained from aerial photographs or GPS measurements<sup>a</sup> and mean densities of nest at each colony. See main text for methods.

Year	Mercury Is.	Ichaboe Is.	Possession Is.	Lambert's Bay	Malgas Is.	Bird Is., Algoa Bay
1956	8.93	180.77	19.98	5.40	25.93	21.93
1957	8.42	178.04	19.35	5.20	26.33	23.22
1958	7.90	174.92	18.78	5.01	26.72	24.55
1959	7.46	171.75	18.25	4.85	27.06	26.05
1960	7.04	169.05	17.73	4.66	27.38	26.76
1961	6.62	166.04	17.13	4.49	27.79	27.36
1962	6.23	163.61	16.69	4.33	28.13	28.09
1963	5.87	160.82	16.25	4.18	28.54	28.82
1964	5.52	159.16	15.73	4.03	28.96	29.49
1965	5.20	156.65	15.33	3.88	29.26	30.26
1966	4.91	153.95	14.84	3.75	29.68	30.90
1967	4.63	151.97	14.43	3.60	30.09	31.61
1968	4.01	140.75	12.89	3.49	29.37	32.49
1969	3.47	130.73	11.56	3.37	28.69	33.32
1970	3.56	121.57	10.36	3.57	28.68	33.45
1971	3.67	112.67	9.30	3.79	28.77	33.68
1972	3.76	104.23	8.30	4.01	28.83	35.63
1973	3.87	96.71	7.46	4.24	28.83	37.69
1974	3.97	89.93	6.67	4.47	28.82	39.83
1975	4.08	83.31	5.99	4.73	28.84	41.32
1976	4.20	77.41	5.38	5.00	28.88	42.92
1977	4.32	71.99	4.81	5.27	29.02	44.64
1978	4.45	67.01	4.33	5.58	29.06	46.42
1979	3.75	60.73	3.93	6.07	29.49	45.26
1980	3.19	55.23	3.57	6.64	29.87	48.84
1981	2.70	51.48	3.57	6.90	30.18	51.15
1982	2.89	50.05	3.21	6.86	30.43	52.47
1983	2.72	48.64	3.16	7.05	28.51	51.98
1984	2.90	49.59	2.85	8.47	29.50	51.39
1985	2.57	45.64	3.06	9.06	31.34	52.43
1986	2.55	47.06	2.94	10.30	36.49	55.04
1987	2.58	48.55	2.83	11.41	39.71	56.86
1988	2.86	50.48	2.86	10.82	41.71	58.86
1989	2.83	49.03	2.77	10.41	43.64	58.36
1990	2.74	41.84	2.53	10.04	38.02	59.89

1991	2.65	35.65	2.33	10.47	41.13	62.26
1992	2.57	30.41	2.14	10.18	41.37	64.21
1993	2.36	30.38	1.44	9.95	40.05	68.57
1994	1.94	29.94	1.03	9.66	45.89	70.20
1995	1.88	29.44	0.81	9.65	49.57	70.60
1996	1.73	27.98	0.68	10.05	52.48	69.96
1997	1.54	23.66	0.54	10.20	51.51	69.41
1998	1.47	20.29	0.43	9.92	50.66	68.38
1999	1.51	17.84	0.42	10.01	49.25	74.19
2000	1.56	15.75	0.41	10.09	47.79	80.54
2001	1.61	13.87	0.41	9.32	45.54	87.43
2002	1.59	12.73	0.41	8.76	40.37	87.08
2003	1.58	11.75	0.42	8.10	35.83	89.55
2004	1.65	10.87	0.41	6.17	35.33	94.43
2005	1.53	10.11	0.37	5.86	34.80	97.64
2006	1.52	10.81	0.34	5.53	32.51	92.12
2007	1.61	10.63	0.32	6.87	32.84	90.73
2008	1.71	10.47	0.29	7.18	34.28	<sup>a</sup> 96.69
2009	1.81	10.34	0.27	<sup>a</sup> 7.03	33.02	<sup>a</sup> 94.81
2010	1.92	10.24	0.25	7.68	31.96	94.24
2011	2.04	10.10	0.23	7.66	30.88	93.61
2012	2.04	9.65	0.21	7.21	30.15	<sup>a</sup> 95.31
2013	2.05	9.24	0.19	<sup>a</sup> 5.83	28.57	<sup>a</sup> 90.79
2014	2.07	8.83	0.18	6.72	27.50	<sup>a</sup> 88.88
2015	2.08	7.66	0.16	7.34	28.08	<sup>a</sup> 91.46
2016	2.09	6.65	0.15	<sup>a</sup> 7.64	27.55	<sup>a</sup> 95.51
2017	2.10	5.80	0.14	7.38	<sup>a</sup> 24.55	96.93
2018	2.12	5.04	0.13	7.09	22.43	<sup>a</sup> 97.52



**Figure S1:** Time series of estimates of the area occupied by breeding Cape Gannets *Morus capensis* at the species' six extant colonies, 1956–2018.



**Figure S2:** Estimated trajectory (black line) of the total number of breeding pairs of Cape Gannets *Morus capensis* at the species' six extant colonies combined, 1956–2018, from the Bayesian state-space model and 95% Bayesian credible intervals (grey polygon). Vertical dashed lines show the time span from the final observation (2018/19) of 1 generation lengths (-1G; 18.3 years; blue line), 2 generation lengths (-2G; 36.6 years; green line) and (-3G; 54.9 years; red line).



**Figure S3:** Bayesian state-space model fits (coloured lines) and 95% credible intervals (grey polygons) for the estimated number of Cape Gannet *Morus capensis* breeding pairs at its three Namibian colonies, 2010/11–2018/19, compared against direct counts of the numbers of Cape Gannet nests at these colonies made from aerial photographs (coloured points).