



1 Article

# 2 Major Depressive Syndrome (MDS) and its 3 association with time of residence among Spanish 4 speaking au-pairs living in Germany - a cross- 5 sectional study

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15 **Abstract:** The number of au-pairs in Germany is on the rise. In 2017, about 13,500 au-pairs were  
16 living in German families, almost half of them originating from non-EU countries and many of them  
17 from Spanish speaking countries. Knowledge about mental health among au-pairs in Germany is  
18 limited. Therefore, the main objective of this study was to assess the prevalence of Major Depressive  
19 Syndrome (MDS) and its potential association with time of residence among Spanish speaking au-  
20 pairs living in Germany. A cross-sectional study was carried out, which included a sample of 409  
21 Spanish speaking au-pairs living in Germany. We classified the au-pairs into those who lived less  
22 than three weeks in Germany (newcomer au-pairs) and those who arrived two to ten months prior  
23 to the survey (experienced au-pairs). The participants were recruited by an online survey (Facebook  
24 and Instagram) from August 2018 to June 2019. Socio-demographic characteristics, time of residence  
25 in Germany and the level of education were assessed. MDS was assessed by the Patient Health  
26 Questionnaire depression module (PHQ-9). Poisson regression models were calculated to evaluate  
27 the association between time of residence in Germany and prevalence of MDS. Most of the  
28 participants were female (91%). Almost half of them came from Colombia (48%) and were in the age  
29 range between 22-24 years (40%). Prevalence of MDS was 8% among newcomers and 19% among  
30 experienced au-pairs ( $p=0.002$ ). Differences remained statistically significant after adjustment for  
31 potential confounders (age, level of education and time of residence in Germany) (Prevalence Ratio  
32 2.25; 95% Confidence Interval: 1.22-4.14). In conclusion, au-pairs may develop mental symptoms  
33 during their time abroad. Future prospective studies should aim at identifying potential risk factors  
34 and preventive measures.

35 **Keywords:** Au-pairs; migrants; time of residence; mental health; Major Depressive Syndrome.

36

## 37 1. Introduction

38 An au-pair (French for “on mutual terms”) is “a usually young foreign person who cares for  
39 children and does domestic work for a family in return for room and board and the opportunity to  
40 learn the family’s language” [1]. Being an au-pair is considered an opportunity for a young person to  
41 get to know another culture as well as to travel, gain experience and learn a language at low costs.

42 The number of au-pairs in Germany is on the rise (around 1000/year from 2012 onwards) [2]. In  
43 2017, about 13,500 au-pairs were living in German families, almost half of them originating from non-

44 EU countries. Most of the au-pairs from EU countries were from Spain, France, and Italy, while from  
45 non-EU countries the largest numbers came from Georgia, Ukraine and Colombia. Accordingly,  
46 Colombians were the largest group (514 au-pairs in 2017) amongst the Latin Americans, followed by  
47 Mexicans and Brazilians. In total, Spanish speaking au-pairs constitute one of the biggest groups of  
48 au-pairs in Germany [3].

49 According to the German Federal Agency of Work, in order to be an au-pair in Germany, the  
50 young foreigners should be between 18 and 28 years, should have completed secondary school  
51 education, should have basic German knowledge, and should have a contract with the hosting family  
52 for at least six months [4]. Usually, au-pairs search for families through agencies, via internet  
53 platforms, social networks or personal contacts. Agencies, after an application fee, help au-pairs to  
54 search for a potential family, to apply for a visa, and to provide support during their time abroad [4].

55 Au-pairs live in a structural dependency of the employer/host family [5]. Some are perceived as  
56 the cheapest way to hire a full-time domestic service, which often leads to poor working conditions  
57 such as work overload, overtime, and underpayment [6]. For example, according to the 2018  
58 economic survey of developments and trends in au-pair exchange programs, the main problems that  
59 au-pairs living in Germany reported were work overtime and having unclear work instructions [3].  
60 Additionally, preliminary results of a cross-sectional study indicate that 12% of the au-pairs  
61 experience violence in the family and 3% are suffering from sexual abuse [7].

62 Also, a French study reported that Latin American au-pairs coming to Europe suffer extra  
63 challenges because they usually come from families with a middle or high socio-economic status and  
64 high levels of education (university degrees). Hence, they are not used to perform household tasks  
65 [6]. At the same time, most of them might experience their first job and first time abroad without their  
66 families. Other challenges are the foreign language and conflicts between low and high context  
67 culture [8]. According to Würtz et al, all cultures are connected to each other through communication  
68 styles. In some places, such as Northern European countries, the communication is direct and explicit  
69 (low context culture) [9]. However, in other cultures, such as Latin Americans, an important part of  
70 the communication includes body language and implicit messages (high context culture) [9]. Hence,  
71 Latin American au-pairs come from a high context culture to the German low context culture, which  
72 is another challenge that many of them not even expect. Finally, there usually is lack of preparatory  
73 training to au-pairs before going abroad, especially when au-pairs search their host families for  
74 themselves.

75 Such cultural challenges and sometimes poor working conditions among Spanish speaking au-  
76 pairs as well as the dependence and inexperience may result in poor mental health, especially  
77 symptoms of anxiety or depression [10]. Another factor that influences migrants' mental health and  
78 well-being is the time of residence in the host country. For instance, many studies suggest that  
79 experienced migrants had worse mental health and well-being than newcomer migrants due to the  
80 so-called "healthy migrant effect" [11-13]. This effect means that migrants arrive in the host country  
81 with relative good health (a requirement for immigration and work permits) and it progressively  
82 declines over time [14]. However, specific knowledge about mental health among Spanish speaking  
83 au-pairs in Germany is limited. Therefore, the main objective of this study was to assess the  
84 prevalence of Major Depressive Syndrome (MDS) and its potential association with time of residence  
85 among Spanish speaking au-pairs living in Germany.

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## 87 **2. Materials and Methods**

### 88 *2.1. Participants and sampling*

89 A cross-sectional study was carried out from August 2018 to June 2019. To be eligible for this  
90 study, the participants needed to satisfy three inclusion criteria: 1) being an au-pair in Germany, 2)  
91 being born in a Spanish speaking country, 3) being aged from 18 to 28 years (age required in Germany  
92 to work as an au-pair from non-EU countries). Each participant accepted an inform consent form,

93 which contained information about the study objectives, the methodological procedures, and the  
94 declarations on the anonymity and confidentiality principles. At the beginning of the survey, the  
95 participants created their own identification code with three letters and three numbers (e.g. AFR987).  
96 This kept the participants anonymous and gave them the opportunity to resign from the study. The  
97 Ethics Committee of the Medical Faculty at the Ludwig Maximilian University of Munich approved  
98 the study protocol (project number 18-139).

## 100 2.2. Data collection and questionnaire instrument

101  
102 A total of 409 Spanish speaking au-pairs living in Germany participated in this cross-sectional  
103 study. We used convenience sampling due to the unavailable sampling frame and a dispersed  
104 distribution of Spanish speaking au-pairs in Germany, which is common among “hard-to-reach  
105 populations” such as migrants [15]. Hence, we applied two snowball recruitment methods: First, we  
106 contacted 16 au-pairs agencies in Latin America (9 in Colombia, 5 in Mexico and 2 in Argentina), 4 in  
107 Spain and 3 in Germany. We sent them invitation emails with the link to the online survey asking  
108 them to share these invitations with their au-pair candidates (conventional snowball sampling).  
109 Secondly, we created a Facebook advertising and set a budget for each click (0.10 €), based on the  
110 inclusion criteria of the study population. This advertising was posted every week from Friday to  
111 Monday assuming that during the weekends the participation would increase (Facebook snowball  
112 sampling). Also, we identified 58 Facebook groups of Spanish speaking au-pairs living in Germany  
113 and we posted the link to the online survey with the study information in these groups. To increase  
114 participation, we offered an online shopping voucher worth 5 euros to the participants who answered  
115 the entire questionnaire.

116 An online questionnaire (LimeSurvey®) with 21 questions was used to collect the data. The  
117 questions were taken from the Spanish short version of the European Working Condition Survey [16],  
118 and the Quality of Life and Employment, Labor and Health Conditions First National Survey (ENETS)  
119 [17]. With these instruments, we assessed socio-demographic characteristics, the level of education  
120 and the current job. We also asked about their current job to ensure that the participants were au-  
121 pairs at that moment. Major Depressive Syndrome was evaluated by the Patient Health  
122 Questionnaire depression module (PHQ-9) [18].

## 124 2.3. Variable definition

125  
126 As main exposure, we used the variable time of residence in Germany, which had two categories:  
127 “newcomer au-pairs” (au-pairs who lived less than three weeks in Germany) and “experienced au-  
128 pairs” (au-pairs who arrived two to ten months prior to survey). As outcome, we used the PHQ-9 to  
129 assess MDS. This tool is a 9-item Likert-type scale, where each item corresponds to the nine  
130 depressive symptoms criteria from the Diagnostic and Statistical Manual of Mental Disorders, 4th  
131 Edition (DSM-IV) [19]. For each symptom, the participants selected whether the symptom had  
132 bothered them during the previous two weeks: 0= “not at all”, 1= “several days”, 2= “more than the  
133 half of the days”, or 3= “nearly every day”. [20] Major Depressive Syndrome (MDS) was regarded as  
134 present if there were at least five positive responses in the “more than half the days” or “nearly every  
135 day” categories and one of these responses included depressed mood (Question 1) or anhedonia  
136 (Question 2). Other Depressive Syndrome (ODS) was diagnosed if there were two to four positive  
137 responses in the “more than half the days” or “nearly every day” categories and one of these  
138 responses included depressed mood (Question 1) or anhedonia (Question 2) [20]. For the sensitivity  
139 analysis, all participants with ODS and MDS were included in the category “depressive syndromes”.  
140 We considered as potential confounders: sex (male, female), age (in three categories: 18-21, 22-24, 25-  
141 28 years), region of origin (in four categories: Spain, Colombia, Mexico and Central America, and  
142 South America without Colombia), and high education (in two categories: yes or no, where “yes”  
143 means at least one year of university).

144

## 145 2.4. Statistical analysis

146

147 SPSS® software version 25.0 was used to analyze the data. The descriptive analyses compared  
 148 newcomers and experienced Spanish speaking au-pairs living in Germany. Nominal and ordinal  
 149 variables were described as absolute and relative frequencies. Bivariate analyses with Chi-square test  
 150 were conducted to assess statistical differences between exposure (time of residence in Germany) and  
 151 the outcome (MDS). Moreover, Poisson regression models with robust variance estimation were  
 152 performed using socio-demographic characteristics, level of education and time of residence in  
 153 Germany as predictors and MDS as outcome. Robust variance estimations help to adjust the  
 154 overestimation of the variance and produce adequate confidence intervals [21, 22]. Crude and  
 155 adjusted prevalence ratios (PRs) were calculated with 95% confidence intervals (95% CI). Missing  
 156 values (4.15%) were dropped from the analysis, leaving only complete cases (complete-case analysis).

157

## 158 3. Results

159 Most of the participants were female (91%) and 40% were between 22-24 years old. Almost half  
 160 of the participants came from Colombia (48%), followed by Mexico (23%). Regarding the level of  
 161 education, 78% of the participants were highly educated. More than half of the participants were  
 162 experienced au-pairs (57%). Gender, age, region of origin and level of education were not statistically  
 163 significantly associated with the time of residence in Germany (Table 1).  
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**Table 1.** Descriptive data of 409 Spanish-speaking Au-Pair by time of residence in Germany.

Characteristics	Missing	Time of residence		p $\chi^2$	
		Newcomers ( $\leq 3$ weeks) N= 176	Experienced ( $> 3$ weeks) N= 233		
		n (%)	n (%)		
<b>Gender</b>	Female	7	156 (89.1)	211 (90.6)	0.87
<b>Age (years)</b>	18 - 21	3	65 (37.4)	70 (30.2)	0.29
	22 – 24		63 (36.2)	97 (41.8)	
	25 – 28		46 (26.4)	65 (28.0)	
<b>Region of origin</b>	Spain	8	14 (8.1)	17 (7.4)	0.08
	Colombia		90 (52.3)	103 (45.0)	
	Mexico and Central America		46 (26.7)	57 (24.9)	
	South America (w/o Colombia)		22 (12.8)	52 (22.7)	
<b>Higher education</b>	Yes	7	139 (81.8)	179 (77.2)	0.26

Depressive symptoms	DS -	4	123 (71.1)	131 (56.5)	0.01
	DS +		21 (12.1)	30 (12.9)	
	ODS		15 (8.7)	27 (11.6)	
	MDS		14 (8.1)	44 (19.0)	

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DS-: none reported depressive symptoms

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DS+: at least one of the required screening symptoms is fulfilled, but the total symptom score is below the threshold diagnosis.

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ODS: Other Depressive Syndrome: 2-4 reported depressive symptoms and one of the symptoms is depressed mood or anhedonia.

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MDS: Major Depressive Syndrome:  $\geq 5$  reported depressive symptoms and one of the symptoms is depressed mood or anhedonia

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About 25% of the participants presented depressive syndromes, 14% had MDS. Experienced au-pairs reported a higher prevalence of MDS (19% vs. 8%;  $p < 0.001$ ) (Table 2) and higher prevalence of depressive syndromes (16% vs. 30%;  $p < 0.001$ ) as compared to newcomer au-pairs (Table 3). The remaining variables were not statistically significantly associated with any depressive syndrome. In the adjusted Poisson regression model, the bivariate results were confirmed: experienced au-pairs had more than two times the prevalence of MDS than the newcomers (Prevalence Ratio 2.25; 95% Confidence Interval: 1.22-4.14) (Table 2), and almost two times the prevalence of depressive syndromes (Prevalence Ratio 1.77; 95% Confidence Interval: 1.13-2.75) (Table 3).

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**Table 2.** Prevalence of Major Depressive Syndrome (PHQ-9) and results of crude and adjusted Poisson regression models

Characteristics		Prevalence n (%)	Crude PR (95% CI)	Adjusted PR (95% CI)
<b>Gender</b>	Male	2 (5.9)	1	N/A
	Female	56 (15.4)	2.61 (0.64-10.69)	N/A
<b>Age (years)</b>	18 - 21	21 (15.8)	1	1
	22 - 24	21 (13.2)	0.87 (0.47-1.60)	0.80 (0.38-1.65)
	25 - 28	16 (14.4)	0.88 (0.45-1.72)	0.78 (0.40-1.50)
<b>Region of origin</b>	Spain	3 (9.7)	1	N/A
	Colombia	26 (13.5)	1.38 (0.41-4.60)	N/A
	Mexico and Central America	15 (14.7)	1.52 (0.44-5.25)	N/A
	South America (w/o Colombia)	13 (17.8)	1.84 (0.52-6.46)	N/A
<b>Higher education</b>	No	13 (15.7)	1	1
	Yes	44 (13.9)	0.97 (0.51-1.84)	1.13 (0.55-2.28)

<b>Time of residence in Germany (weeks)</b>	Newcomers ( $\leq 3$ )	14 (8.1)	1	1
	Experienced ( $> 3$ )	44 (19.0)	2.20 (1.20-4.04)	2.25 (1.22-4.14)

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PR: Prevalence Ratio; 95% CI: 95% Confidence Interval  
Adjusted for age, higher education, time of residence in Germany.

**Table 3.** Prevalence of Depressive Syndromes (PHQ-9) and results of crude and adjusted Poisson regression models.

<b>Characteristics</b>		<b>Prevalence</b>	<b>Crude PR</b>	<b>Adjusted PR</b>
		<b>n (%)</b>	<b>(95% CI)</b>	<b>(95% CI)</b>
<b>Gender</b>	Male	7 (20.6)	1	N/A
	Female	92 (25.3)	1.20 (0.55-2.59)	N/A
<b>Age (years)</b>	18 - 21	33 (24.8)	1	1
	22 - 24	41 (25.8)	1.06 (0.66-1.70)	0.99 (0.60-1.64)
	25 - 28	26 (23.4)	0.91 (0.53-1.54)	0.85 (0.48-1.52)
<b>Region of origin</b>	Spain	6 (19.4)	1	N/A
	Colombia	47 (24.5)	1.25 (0.53-2.93)	N/A
	Mexico and Central America	21 (28.8)	1.48 (0.60-3.68)	N/A
	South America (w/o Colombia)	23 (22.5)	1.16 (0.47-2.86)	N/A
<b>Higher education</b>	No	21 (25.3)	1	1
	Yes	77 (24.4)	0.99 (0.60-1.62)	1.06 (0.61-1.83)
<b>Time of residence in Germany (weeks)</b>	Newcomers ( $\leq 3$ )	29 (16.8)	1	1
	Experienced ( $> 3$ )	71 (30.6)	1.76 (1.13-2.73)	1.77 (1.13-2.75)

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PR: Prevalence Ratio; 95% CI: 95% Confidence Interval  
Depressive syndromes: all participants with ODS and MDS (PHQ-9: Patient Health Questionnaire)  
Adjusted for age, higher education, time of residence in Germany.

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#### 192 4. Discussion

193 Our results show a high prevalence of MDS among Spanish speaking au-pairs living in Germany  
194 (14%), especially among experienced au-pairs (19%). Time of residence in Germany was statistically  
195 significantly associated with MDS.

196 Although no data are available on the prevalence of depression among au-pairs, previous  
197 studies identified a high prevalence of MDS and other mental diseases among live-in caregivers. Live-

198 in caregivers are temporal migrants living in a private household and providing child or elderly care  
199 and thus to some extent comparable to au-pairs [23]. For example, in Canada, Vahabi et al. reported  
200 a 23% prevalence of symptoms of depression and a 43% MDS prevalence among live-in caregivers  
201 [24]. Lack of privacy, individuals' powerlessness to have control over their living-working conditions  
202 and overtime work contributed to higher scores of depression [24]. Also, Carlos and Wilson reported  
203 that 67% of live-in caregivers experienced poor physical and mental health mainly due to overload  
204 and overtime work, living in their employers' homes, and separation from their families [25].  
205 Moreover, Spitzer et al. stated that live-in caregivers suffered stress due to lack of social and family  
206 support in the host country, disobedience from children, work overload, overtime, lack of permanent  
207 residency status, lack of food and privacy, and profound loneliness [26].

208 The decrement of mental health among au-pairs can be related to poor working conditions. Even  
209 though the migrant status and the working conditions of au-pairs are clearly defined by the German  
210 law, au-pairs from non-EU countries are excluded from certain labor rights that regular employees  
211 have [27]. Hence, control from pertinent institutions is difficult mainly because the host family's  
212 home is a closed environment [5]. Hence, in cases of poor working conditions or even violence, it is  
213 difficult for au-pairs to change or improve their situation because their living place and residence  
214 permits are tied to the host families [5, 6]. In addition, living and working in the same place might  
215 lead to lose boundaries between working and free time [14].

216 Moreover, in this study experienced au-pairs reported a higher prevalence of MDS as compared  
217 to newcomer au-pairs (19% vs. 8%). This finding is consistent with studies that expressed concerns  
218 about the continuous decline of migrants' mental health from their arrival in the host country, which  
219 is defined as the "healthy immigrant effect". Robert and Gilkinson concluded that newcomer  
220 migrants are significantly less likely than non-migrants to report symptoms of depression, anxiety,  
221 and other psychosocial distress, but it is unclear whether this health advantage persists over time  
222 [12]. Also, a study in four European countries summarized that, over time, migrants presented poorer  
223 physical and mental health than non-migrants due to lack of social networks, poor working  
224 conditions and difficulties with the non-native language [17]. Moreover, in Canada, 43% of live-in  
225 caregivers believed that their health status had worsened since they arrived to the host country [25].

226 Time of residence can also influence mental health. Migrants' acculturation process is a long-  
227 term source of emotional stress [28, 29] due to interpersonal and structural challenges specific to their  
228 poor working and living conditions [29]. Therefore, Wu and Schimmele concluded that "time of  
229 residence is an important factor in the healthy migrant effect, which appears to be disproportionately  
230 concentrated among recent immigrants" [29].

231 A strength of this study was the large sample size of a vulnerable population on a topic which  
232 has rarely been studied before. An additional advantage was the usage of an online survey to reach  
233 the Spanish speaking au-pairs because it minimized data entry errors and facilitated the data analysis.  
234 Furthermore, we applied an internet-based sampling method (conventional and Facebook snowball  
235 sampling) and provided an incentive of online shopping vouchers worth 5 euros. We included  
236 material incentives because this approach has been shown to increase participation and fulfilment in  
237 online surveys in Germany [30]. This method of using incentives lead to a relatively large sample size  
238 of 409 Spanish speaking au-pairs.

239 The application of the Spanish version of internationally standardized questionnaires  
240 instruments [16-18] permits the comparison with other international studies [31]. Furthermore, the  
241 fact that the main author (BE) is a Spanish native speaker allowed to decrease misunderstanding due  
242 to the language and also possible cultural misleading interpretations [32]. Moreover, to the extent of  
243 our knowledge, this is the first study to assess the prevalence of MDS and its potential association  
244 with time of residence among Spanish speaking au-pairs living in Germany.

245 Zochetti et al. suggest the implementation of Poisson regression calculating prevalence ratios  
246 when the prevalence of disease exceeds ten percent [33]. Due to the high prevalence of the outcome  
247 (19% MDS among experienced au-pairs), we therefore performed a Poisson regression with robust  
248 variance estimation, and calculated prevalence ratios rather than odds ratios (ORs). According to  
249 Coutinho et al., logistic regression works well for estimating the ratio of probabilities of a rare disease

250 [21]. However, PORs become a poor estimator for high prevalence diseases [34]. Therefore,  
251 differences between PRs and PORs increase when the prevalence of the disease increase as well [22].

252 On the other hand, the study suffers from some potential limitations. First, selection bias may  
253 have occurred due to the usage of a convenience sample. For instance, participants already suffering  
254 from mental disorders may have been more likely to participate in this study than healthy  
255 participants [35]. Second, it was not possible to calculate the response rate, making it hard to evaluate  
256 the representativeness of our study population [36]. Third, we included only Spanish speaking au-  
257 pairs in the study. Hence, it is difficult to know to what extent our results can be transferred to au-  
258 pairs from other countries. Finally, the cross-sectional design was another limitation due to the  
259 difficulties to make causal conclusions [37]. Future prospective studies are needed to identify  
260 potential risk factors.

261 To the extent of our knowledge, the present study is the first to assess the prevalence of MDS  
262 and its association with time of residence among Spanish speaking au-pairs living in Germany. This  
263 knowledge is important to create intervention strategies to prevent a deterioration of mental health  
264 among this vulnerable population. Such strategies should prepare and advise au-pairs and their host  
265 families before they start living together to have control throughout the year. Interventions could for  
266 example be carried out by the agencies or by governmental entities. Also, according to Vahabi and  
267 Wong, these interventions and mental health risks should be spread through social networks and  
268 social support organizations to reduce isolation and depression among live-in caregivers of which  
269 au-pairs form a special group [24].  
270

## 271 5. Conclusions

272 Au-pairs may develop and suffer from poor mental health during their time in Germany. This  
273 knowledge is critical and can be used to inform policy makers as well as to find intervention  
274 strategies and adequate counseling before and during the au-pairs program. Future prospective  
275 studies should aim at identifying potential risk factors.

276

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291

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