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1 **Risk factors and dynamics of verotoxigenic Escherichia coli O157:H7**  
2 **on cattle farms: An observational study combining information from**  
3 **questionnaires, spatial data and molecular analyses**

4

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25 **Abstract**

26 The increasing number of human cases infected with a highly virulent type of  
27 verotoxigenic *Escherichia coli* (VTEC) O157:H7 in Sweden is the result of  
28 domestic transmission originating in regional clusters of infected cattle farms. To  
29 control the spread of the bacteria a comprehensive picture of infection dynamics,  
30 routes of transmission between farms and risk factors for persistence is urgently  
31 needed. The aim of the study was to investigate different aspects of the  
32 epidemiology of VTEC O157:H7 on the Swedish island of Öland by combining  
33 information from environmental sampling of VTEC O157:H7 from 80 farms with  
34 information from farmer questionnaires, spatial and molecular analyses. The farms  
35 were sampled in the spring and fall of 2014 and on four of them additional samples  
36 were collected during summer and winter. The results show a high prevalence of  
37 VTEC O157:H7 and a high proportion of strains belonging to the virulent clade 8.  
38 Farms that became infected between samplings were all located in an area with  
39 high cattle density. The most important risk factors identified are generally  
40 associated with biosecurity and indicate that visitors travelling between farms may  
41 be important for transmission. In addition, whole genome sequencing of a subset  
42 of isolates from the four farms where additional sampling was performed revealed  
43 ongoing local transmission that cannot be observed with a lower resolution typing  
44 method. Our observations also show that VTEC O157:H7 may persist in the farm  
45 environment for extended periods of time, suggesting that specific on-farm  
46 measures to reduce environmental prevalence and spread between groups of  
47 animals may be required in these cases.

48 Keywords: VTEC O157, EHEC, epidemiology, clade 8, transmission

49

## 50 **Introduction**

51 Verotoxin-producing *Escherichia coli* serotype O157:H7 (VTEC O157:H7) is a zoonotic  
52 pathogen causing public health concerns across the world (Majowicz et al., 2014). It  
53 belongs to the group enterohemorrhagic *E. coli* (EHEC) that, in addition to severe  
54 gastrointestinal disease, can cause serious complications such as hemolytic uremic  
55 syndrome (HUS) in children and the elderly (Karmali, 2004). In Sweden, these are often  
56 associated with a specific group of VTEC O157:H7 called clade 8, a strain known to  
57 cause more serious disease, with proportionally higher numbers of hospitalizations and  
58 cases of HUS (Manning et al., 2008). A recent international comparison suggested that  
59 all the included clade 8 isolates from Sweden were derived from a single introduction  
60 from North America around 1990 (Franz et al., 2018) and the important connection  
61 between infected cattle farms and human cases is well established (Eriksson et al., 2011;  
62 Söderlund et al., 2014). Fortunately, the overall prevalence of clade 8 in Sweden is  
63 relatively low but local clustering of VTEC O157:H7 and clade 8 can lead to high local  
64 prevalence within the cattle population (Widgren et al., 2015) and thus lead to an  
65 increased hazard for the surrounding human population. Historically, the presence of  
66 VTEC O157:H7 has been a problem in south western parts of Sweden, especially the  
67 county of Halland (Eriksson et al., 2005), but after 2011 this established pattern began to  
68 change. In 2013 the incidence of human cases in the eastern county of Kalmar, previously  
69 a low incidence area, had the highest incidence in the country (7.3 cases per 100 000  
70 inhabitants) (Folkhälsomyndigheten, 2019). In addition, national surveillance identified  
71 clade 8 in slaughtered cattle from the island of Öland (part of Kalmar county) for the first  
72 time in 2014 (Unpublished data, National Veterinary Institute, Uppsala, Sweden).

73 It has been previously suggested that reducing on farm prevalence of VTEC  
74 O157:H7 is the most efficient way to control the disease in humans (Bell, 2002; LeJeune

75 and Wetzel, 2007). The importance of reducing transmission from cattle is also  
76 emphasised in the Swedish strategy for reducing human cases of VTEC O157:H7 which  
77 highlights the need for actions throughout the food chain, including control measures on  
78 infected farms (Socialstyrelsen, 2014). However, identification of infected animals and  
79 farms is difficult as cattle carry VTEC O157:H7 in their intestine without showing clinical  
80 symptoms (Chase-Topping et al., 2008). Also, farms have been shown to be transiently  
81 infected, often clearing the bacteria after 3-4 months (Widgren et al., 2015; Zhang et al.,  
82 2010), although there are examples where farms have been observed to be positive for  
83 longer periods of time (Fremaux et al., 2006; Herbert et al., 2014; Lahti et al., 2003;  
84 LeJeune et al., 2004; Tamminen et al., 2018). This variation in farm-persistence means  
85 that the need and usefulness of control measures differ between farms as some farms may  
86 not require any interventions to clear the bacteria. On farm persistence will also, in  
87 combination with transmission rate, influence local prevalence. Previous studies have  
88 indicated that long-distance transmission typically occurs through cattle trade but that  
89 ongoing local spread between farms is important for prevalence (Herbert et al., 2014;  
90 Widgren et al., 2018). A simulation study indicated that multiple transmission routes exist  
91 (Zhang et al., 2010). For example wildlife, like birds and flies (Ahmad et al., 2007;  
92 Cernicchiaro et al., 2012; Swirski et al., 2014; Synge et al., 2003), human activities, like  
93 purchase and movement of animals (Widgren et al., 2015) and taking animals to shows  
94 (Cernicchiaro et al., 2009), may all play a role. Still, the underlying drivers of local spread  
95 and persistence are poorly understood.

96         One of the reasons for this knowledge gap is that the microbiological analyses  
97 performed in the majority of earlier studies on VTEC O157:H7 have been limited to  
98 isolating the bacteria through culture-based methods, like direct plating on specific agars  
99 or immunomagnetic separation, followed by confirming the presence of virulence genes

100 via polymerase chain reaction (PCR). The availability of typing methods, like multi-locus  
101 variable number tandem repeat analysis (MLVA) and pulse field gel electrophoresis  
102 (PFGE), has led to new insights, showing that different types of VTEC O157:H7 may  
103 behave differently. Some are more or less likely to cause disease in humans, while certain  
104 variants are more likely to persist in the cattle population (Herbert et al., 2014; Söderlund  
105 et al., 2014). With whole genome sequencing (WGS) and the use of single nucleotide  
106 polymorphisms (SNPs) to characterise isolates, even more information is becoming  
107 available for the study of VTEC O157:H7 transmission and dynamics. Some recent  
108 studies have used these techniques to study host associations and international  
109 transmission events (Franz et al., 2018; Strachan et al., 2015).

110         The purpose of this observational study was to investigate the epidemiology of  
111 VTEC O157:H7 in cattle herds on the Swedish island of Öland. The main objective was  
112 to study prevalence on the island as well as the dynamics of clearance, persistence and  
113 new infection of VTEC O157:H7 between spring and fall 2014 in order to evaluate the  
114 need for, and appropriate structure of, control measures in the area. To provide further  
115 guidance on most efficient control measures, risk factors associated with the presence,  
116 infection and reinfection of VTEC O157:H7 were analysed and modern molecular  
117 techniques were used to explore persistence and local transmission of VTEC O157:H7.

## 118 **Materials and methods**

119 This study was part of a national surveillance effort financed by the Swedish Board of  
120 Agriculture. Environmental samples were collected from 80 cattle farms on Öland on two  
121 occasions, once in April and once in October 2014 (Figure 1). Öland is an island located  
122 on the east coast of Sweden and is 137 km long and up to 16 km wide. Sample size  
123 calculation using <http://epitools.ausvet.com.au> indicated that this could estimate true  
124 prevalence on Öland with 5 % precision and 90 % confidence level when the assumed

125 prevalence was 10 % (national average) (Humphry et al., 2004). Sampling was performed  
126 by the local livestock association who also recruited farmers across the island. The local  
127 livestock association staff phoned farmers before scheduled routine visits for e.g.  
128 dehorning or insemination and asked farmers to participate in the study. As motivation,  
129 farmers were offered a small financial compensation. The local livestock association  
130 continued recruiting until 80 farms across the island had been enrolled in the study. Two  
131 farmers declined to participate over the phone which means that 82 farmers in total were  
132 contacted.

### 133 *On-farm sampling*

134 Two environmental sampling techniques were used on all farms, as previously described  
135 by Widgren et al. (2013). Overshoe sampling (OS) was performed by fitting gauze soaked  
136 with phosphate buffered saline (PBS) over plastic overshoes and walking around in the  
137 pens. The gauze was rotated during sampling so the whole gauze was used and then each  
138 gauze was removed and the pair placed in a plastic bag. Collectors placed a new pair of  
139 plastic covers over their boots before each sampling to ensure no cross-contamination.  
140 While walking around the pen the person also collected a pooled fecal sample (PS)  
141 consisting of fresh faeces collected from 15-20 pick points on the floor or from the deep  
142 litter bedding. Approximately 1 cm<sup>3</sup> of feces was picked from each point and placed in a  
143 100 ml plastic container. Samples were collected from two groups of animals; calves  
144 (from weaning up to six months of age) and young stock (approximately 6 -12 months of  
145 age). One PS and one OS was collected from each group, meaning that a total of two OS  
146 and two PS samples were collected per sampling occasion from each farm. Sampling was  
147 performed by personnel of the local livestock association. Samples were collected in the  
148 beginning of the working week (Monday-Wednesday) and shipped to the National

149 Veterinary Institute by standard post. Sample analysis started the day after sampling.

## 150 *Analysis of VTEC O157:H7*

### 151 *Microbiological analysis*

152 For each sample (the pair of gauzes or 25 mg of feces), 225 ml of modified tryptic soy  
153 broth (mTSB) (Oxoid) (supplemented with 20 mg/l of novobiocin) was added and mixed  
154 with the sample in a stomacher. Samples were then pre-enriched at  $41.5\text{ }^{\circ}\text{C} \pm 0.5\text{ }^{\circ}\text{C}$  for  
155 18–24 h. After pre-enrichment, immunomagnetic separation (IMS) was performed with  
156 paramagnetic beads (Dynabeads anti-E. coli O157; Dynal) according to the  
157 manufacturer's instructions. IMS was performed either directly after 18–24 h of  
158 incubation or after the pre-enriched broth had been stored in cold storage for 24–48 h at  
159  $4\text{ }^{\circ}\text{C}$ . After IMS, the beads were spread out on sorbitol McConkey agar (Oxoid)  
160 supplemented with 0.05 mg/l cefixime and 2.5 mg/l of potassium tellurite (CT-SMAC;  
161 Dynal). After incubation at  $37\text{ }^{\circ}\text{C}$  for 18–24 h, the agar plates were screened for suspected  
162 sorbitol negative colonies of E. coli O157. Up to 5 suspected colonies were picked for  
163 agglutination with a latex kit (DR 622; Oxoid) and colonies which yielded a positive  
164 agglutination were further tested biochemically using the API 20 E system (bioMérieux).  
165 If positive for VTEC O157:H7, PCR according to Paton & Paton (1998) and Gannon and  
166 others (1997) was performed to identify the presence of genes coding for verotoxin 1 and  
167 2 (*vtx1* and *vtx2*) and intimin (*eaeA*). Belonging to clade 8 was determined by real-time  
168 PCR as described by Söderlund et al. (2014).

### 169 *MLVA-typing*

170 Multi-locus variable number tandem repeat analysis typing (MLVA) analysis was  
171 performed on all strains of VTEC O157:H7 as previously described (Söderlund et al.,



172 2014).

173 *Whole genome sequencing*

174 Four farms included in the study were part of a parallel research project, and from these  
175 additional samples were available. In addition to the spring and fall sampling previously  
176 described, these farms were visited three times during summer (in July, June and  
177 September) as well as once in December, as presented in Figure 2. Sampling of barn and  
178 pasture environments was performed around groups of calves and young stock by  
179 combining OS and PS as described above. Manure samples were collected from the  
180 manure pit. Samples were then enriched and treated as described above. Flies were caught  
181 in traps on pasture. At arrival to the National Veterinary institute they were placed in a  
182 stomacher bag and homogenized before enrichment as previously described. Whole  
183 genome sequencing was performed on 30 isolates of clade 8 recovered from these farms  
184 throughout the year (collection month presented in Figure 2). DNA was extracted using  
185 a DNeasy Blood & Tissue kit automated on a BioRobot system (Qiagen). Sequencing  
186 libraries were prepared using the Nextera XT kit and sequenced on an Illumina MiSeq  
187 system with 2 x 250 bp paired-end reads.

188 Processing, assembly and analysis of raw reads was performed using the  
189 Nullarbor pipeline in “accurate” mode (Seemann et al., 2017) using *E. coli* O157:H7 str.  
190 Sakai (NC\_002695.2) as the reference. Recombination of the core genome was assessed  
191 in Gubbins (Croucher et al., 2015) and a phylogenetic tree based on core genome SNP-  
192 distance was generated in RAxML based on Maximum likelihood (model GTRGamma  
193 with 1000 bootstraps) (Stamatakis, 2014). The phylogenetic relationship was illustrated  
194 using Interactive Tree of Life (iTOL) software (Letunic and Bork, 2016)

195 ***Questionnaire***

196 Information about the farms was collected through a questionnaire sent by post to farmers  
197 in October 2014 (around the time of the fall sampling), along with the documents  
198 necessary to receive compensation for participating in the study. Farmers that had not  
199 responded by the end of November 2014 were reminded by phone or email. The  
200 questionnaire (available in Swedish from the corresponding author) included questions  
201 about general herd characteristics, contacts with other farms, hygiene routines and  
202 specific events during the time between sample collections. The majority of the questions  
203 were closed but included room for additional comments. All questions about contacts  
204 included an additional row for stating which farms the contact was concerning. The  
205 questionnaire was developed in cooperation with a representative from Farm and Animal  
206 Health Services and reviewed by a veterinarian specialized in cattle medicine and herd  
207 health.

208 ***Data management***

209 Data were entered in Microsoft Excel and exported to R Statistical software (R Core  
210 Team, 2018) where statistical analysis was performed. Coordinates representing the farm  
211 building of all cattle farms on the island were retrieved from the national registry for  
212 animal production sites at the Swedish Board of Agriculture through the national database  
213 “Geodata” (<https://www.geodata.se>). For each farm the number of neighbours was  
214 calculated in QGIS by summing the number of other cattle farms located within a 5 km  
215 radius. The radius was selected based on a previous Swedish study which found that  
216 infected farms within this distance increases risk of becoming infected (Widgren et al.,  
217 2015). Variables from the questionnaire were categorised as either “herd characteristics”,  
218 i.e. variables that would stay the same over time, or “between sampling events”, i.e.

219 specific events that had occurred between the spring and fall samplings (see  
220 Supplementary material, Table 1). The 80 study farms were organized into four groups  
221 based on their infection status: NN (negative at both samplings), NP (negative at the first  
222 sampling and positive at the second), PN (positive at the first sampling and negative at  
223 the second) and PP (positive at both samplings).

#### 224 *Statistical analysis*

225 To assess spatial clustering of positive herds for each of the two sampling occasions, we  
226 used Cuzick-Edwards' kNN (k nearest neighbours) and Ripley's K function tests (Cuzick  
227 and Edwards, 1990; Ripley, 1981). Both of these tests account for the underlying  
228 population at risk and determine if the observed distribution of positive farms is  
229 significantly different from a randomly simulated one. The two methods differ in the  
230 choice of statistics that depend on: the number of neighbours and Euclidian distance,  
231 respectively. The analysis was performed using "smacpod" R package (French,  
232 2018) and random distributions of cases were simulated 1000 times.

233         The associations between general herd characteristic and presence of VTEC  
234 O157:H7 at any sampling occasion were analysed using a generalized linear mixed model  
235 fit by maximum likelihood (Adaptive Gauss-Hermite Quadrature). Herd was included as  
236 a random variable to account for the two sampling occasions and the model run with 25  
237 iterations using the package lme4 (Bates et al., 2015). Multicollinearity among the  
238 variables was checked using the variance inflation factor (VIF) in the car package (Fox  
239 and Weisberg, 2011). A backwards model selection was performed using Akaike  
240 Information Criterion (AIC). Non-significant ( $p > 0.1$ ) variables were excluded one at a  
241 time and the change in AIC evaluated. If AIC decreased the variable was left out. If AIC  
242 remained the same the variable was kept. Confounding was controlled by reintroducing

243 each excluded variable to the final model and evaluating the change in AIC and in  
244 estimates of the other variables. The overall goodness of fit was assessed by Hosmer-  
245 Lemeshow test using the package “ResourceSelection” and splitting the data into 10  
246 groups (Lele et al., 2019; Lemeshow and Hosmer, 1982). Area under the curve (AUC)  
247 was calculated using the package “pROC” (Turck et al., 2011). Residual errors of the  
248 model were analysed using Moran’s I in the package “spdep” to assess spatial  
249 independence. In addition to analysing association between residual errors and 10 nearest  
250 neighbours, we also calculated bisquared weights based on Euclidean distance for the 10  
251 nearest neighbours of each farm and analysed the association.

252 All variables, including “between sampling events”, were used to study risk  
253 factors for persistence of VTEC O157:H7 on a farm by comparing farms that cleared  
254 themselves of the bacteria between the spring and fall sampling with farms that remained  
255 positive in fall. Similarly farms negative in spring and which remained negative were  
256 compared to farms where the bacteria was introduced over summer to study risk factors  
257 for introduction. Farms that were positive in spring and farms where infection was  
258 introduced over summer were relatively few and due to the small sample size, analysis  
259 was limited to Wilcoxon rank test, using the “coin” package (Hothorn et al., 2006), for  
260 the quantitative variables and Fisher’s Exact test for the qualitative variables using the  
261 package “hypergea” (Boenn, 2018). In addition a comparison of “between sampling  
262 events” of farms positive in fall and farms negative in fall was performed as described  
263 above.

264 A matrix of genetic distance, as extracted from the Maximum Likelihood  
265 phylogenetic tree generated in RAxML, between the 30 whole genome sequenced isolates  
266 from the four farms was created. From this pairwise distances between all isolates was  
267 extracted rendering 465 observations. The association between genetic distance between

268 each pair of isolates and geographical distance between the collection points (i.e. distance  
269 between farms or 0 km for isolates from the same farm) was analysed using a linear model  
270 in the R base package. Difference in days between collection was calculated between all  
271 isolates and included as a fixed effect in the model to account for strain development over  
272 time. In addition to geographical distance a model comparing driving distance (retrieved  
273 through Google maps) and genetic distance was also fitted. Normality of residuals and  
274 signs of heteroscedasticity were graphically assessed through diagnostic plots.

## 275 **Results**

### 276 *Presence of VTEC O157:H7 on the 80 farms*

277 Results of spring and fall samplings including results from MLVA typing are presented  
278 in Figure 1. In spring, VTEC O157:H7 was found on 21 farms; all isolates except two  
279 belonged to clade 8. In fall, the number of positive farms was again 21 and all isolates  
280 belonged to clade 8. Thus, no seasonal difference in prevalence between spring and fall  
281 was observed. Three of the 80 farms declined to participate in the follow-up sampling  
282 and of these 1 had been positive for clade 8 in spring. Of the farms negative in spring 44  
283 were negative at both samplings (NN) and 13 became positive during summer (NP). Of  
284 the farms positive in spring, eight were positive also on the second sampling (PP) and 12  
285 became negative (PN). As seen in Figure 1, there was strong similarity in MLVA profiles  
286 between farms indicating a recent introduction and rapid spread of the bacteria between  
287 farms. In total five clusters of clade 8 were found, although the differences between them  
288 were very small. The dominating cluster (150-A1) was found all over the island. Multiple  
289 MLVA types were identified on four farms on the same sampling occasion (2 farms with  
290 2 MLVA types and 2 farms with 3 MLVA-types). The two isolates that did not belong to  
291 clade 8 were found in the south and north of the island. We detected strong spatial

292 clustering of positive farms in the fall, while in the spring their distribution was random  
293 (Figure 3).

#### 294 ***Risk factors for presence of VTEC O157:H7***

295 Completed questionnaires were received from 55 of the 80 farms. Thirteen farms were  
296 positive for the bacteria in spring and 14 farms were positive in the fall sampling. Out of  
297 these 14 farms, 6 had been positive in the spring sampling. All responses to the  
298 questionnaire can be found in the supplementary material (Table S1). Between-farm  
299 contacts stated by farmers are presented in Figure 4.

#### 300 *Presence of VTEC O157:H7 at any sampling occasion*

301 After model selection, the final model of herd characteristics associated with the presence  
302 of VTEC O157:H7 contained 4 variables presented in Table 1. We tested for spatial  
303 autocorrelation of the residuals using Moran's I, which was not significant, indicating  
304 that the assumption of independence was fulfilled. Being a large farm with many animals,  
305 having several neighbours and using reproductive services (meaning that the farmer  
306 continuously used artificial insemination services provided by the local livestock  
307 association) was significantly associated with the presence of VTEC O157:H7 on farms.  
308 In addition, having a cat on the farm was retained in the model as removing it increased  
309 AIC.

310 Table 1. Results from the final logistic regression model<sup>a</sup> for risk factors associated with  
311 presence of VTEC O157:H7 on a farm at any sampling occasion with farm ID included  
312 as a random effect<sup>b</sup>.

	OR	Estimate	(SE)	p-value
Cat (yes)	3.0	1.092	(0.650)	p < 0.10
Use reproductive services (yes)	4.4	1.487	(0.735)	p < 0.05
Number of cattle	2	0.700	(0.264)	p < 0.01
Neighbours within 5 km	1.15	0.148	(0.066)	p < 0.05

<sup>a</sup>Hosmer-Lemeshow goodness of fit test was 8.79 with 8 d.f and p = 0.36, AUC was 87%.

<sup>b</sup>Variance explained by farm was 0.7 (with standard deviation 0.83)

313 *Clearance, introduction and persistence of VTEC O157:H7*

314 A selection of variables (with p-values < 0.15) from the comparison of farms that were  
 315 negative for VTEC O157:H7 on both sampling occasions (NN) and farms where infection  
 316 was introduced during summer (NP) and the comparison between farms positive on both  
 317 occasions (PP) to farms that cleared infection over summer (NP) are presented in Table  
 318 2. Similarly a selection of variables (with p-values < 0.15) related to “in between sampling  
 319 events” and comparison of farm status in the fall sampling are presented in Table 3.

320 Table 2. Risk factors associated with new infection or clearance of VTEC O157:H7. For  
 321 quantitative variables arithmetic mean and quartiles (25<sup>th</sup> : 75<sup>th</sup>) are presented.  
 322 (NN= negative on both sampling occasions, NP=negative in spring, positive fall, PN=positive spring,  
 323 negative fall, PP = positive on both occasions, <sup>a</sup> indicates Wilcoxon-Mann-Whitney test, <sup>b</sup> indicates  
 324 Fisher Exact test)

Risk factor		NN n=33	NP n=9	OR (95 % CI)	p-value
Number of cattle	(Quant)	245 (150:301)	296 (247:350)		0.14 <sup>a</sup>
Number of neighbours (within 5 km)	(Quant)	18 (15:21)	23 (24:25)		<0.05 <sup>a</sup>
Horse	Yes	3	3	5	0.10 <sup>b</sup>
	No	30	6	(0.8-31.3)	
Purchased animals	Yes	3	4	7.4	<0.05 <sup>b</sup>
	No	30	5	(1.0-68.0)	
Any known contact with positive farm	Yes	7	5	4.4	0.09 <sup>b</sup>
	No	26	4	(0.7-29.3)	
		PN n=7	PP n=6		
Number of cattle	(Quant)	194 (138:230)	435 (313:553)		<0.01 <sup>a</sup>
Number of neighbours (within 5 km)	(Quant)	25 (23:26)	17 (16:18)		<0.05 <sup>a</sup>
Type of farm	Milk	5	1		<0.05 <sup>b</sup>
	Combination	2	5		
Any known contact with positive farm	Yes	0	4	27	<0.05 <sup>b</sup>
	No	7	2	(1.0-698.8)	
Visits to other farms the passed 5 months	Yes	0	3	15	0.07 <sup>b</sup>
	No	7	3	(0.6-376.7)	

325

326 Table 3. Comparison between farms positive for VTEC O157:H7 in the fall sampling  
 327 and farms negative in the fall sampling using Fisher Exact test).  
 328 (FN=negative in fall sampling, FP=positive in fall sampling,

Risk factor		FN n=40	FP n=15	OR (95 % CI)	p-value
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Purchased animals	Yes	3	5	4.4	0.10
	No	36	10	(0.8-26.5)	
Any known contact with positive farm	Yes	7	9	6.8	<0.01
	No	33	6	(1.6-32.3)	
Share Agricultural Machines	Yes	20	12	3.3	0.07
	No	20	3	(0.9-24.8)	

### 329 ***Whole genome sequencing***

330 Average SNP distance between isolates from the 4 farms are presented in Figure 2 and  
331 show that isolates from farm 1, 2 and 4 generally had shorter SNP distance between each  
332 other compared to isolates from Farm 3 that were more distant. Distance between isolates  
333 within the same farm varied and was smallest on Farm 4 where average SNP distance  
334 was 64. On Farm 1 and 2 it was 109 and 108 respectively whereas the isolates from Farm  
335 3 had an average distance of 216. This pattern is also seen in the phylogenetic tree of the  
336 core genome (Fig. 5). On Farm 3, highly similar isolates of VTEC O157:H7 were found  
337 in the September, October and December sampling. These were isolated from different  
338 sources, including environmental sampling of pasture, from flies on the pasture as well  
339 as the barn and the manure pit. On this farm there was also another group of similar  
340 isolates collected from the barn and on pasture in the May, October and December  
341 samplings that were more closely related to the isolates from the other farms. Isolates  
342 from Farm 1, 2 and 4 showed high genetic relatedness but generally clustered within farm  
343 and sampling date. Isolates did not have a clear environmental niche and closely related  
344 isolates were retrieved from samples collected from different sources.

345 The association between genetic distance and distance between farms (Fig. 6) was  
346 highly significant ( $p < 0.001$ ), but as  $R^2$  was only 0.33 it is clear that the distance only  
347 explains part of the variation observed. The model improved slightly when using driving  
348 distance instead of geographical distance (adjusted  $R^2$  increased from 0.33 to 0.37).  
349 However, the association was attributable to the dissimilarity of the isolates from Farm 3



350 which was located furthest away from the other farms and the significant association  
351 disappeared when isolates from this farm were removed from the analysis.

## 352 **Discussion**

### 353 *Presence of VTEC O157:H7 and clade 8 on Öland*

354 In this study, VTEC O157:H7 was detected on 26 % and 27 % of the sampled farms  
355 during the spring and fall, respectively. This is higher than in previous national studies  
356 that reported 8.9 % (Eriksson et al., 2005) and 6.1 – 13.6 % (Widgren et al., 2015). This  
357 study used the same sampling scheme as the study by Widgren et al. (2015), a method  
358 that has been shown to reliably identify herds with animals shedding VTEC O157:H7  
359 (Widgren et al., 2013). This could indicate that this region differs from other regions  
360 included in the earlier studies. However, previous studies have shown that prevalence  
361 varies between years and the results may represent an unusual year and not regional  
362 differences (Widgren et al., 2015). It should also be noted that the farms included in this  
363 study were not selected at random, which might have led to selection bias due to  
364 convenience sampling. Thus, the prevalence observed should be interpreted with caution.

365 In addition to the high prevalence, the proportion of positive farms where clade 8  
366 was present was also very high (95 %) compared to previous national studies in other  
367 regions where the observed proportion has varied between 0 – 55 % (Söderlund et al.,  
368 2014; Widgren et al., 2015). Due to the association between human cases of VTEC  
369 O157:H7 and cattle density (Frank et al., 2008; Kistemann et al., 2004) the high presence  
370 of this virulent strain should be considered an important threat to public health. This is  
371 particularly important on Öland as it is a major food-producing region as well as a popular  
372 area for recreational activities in the summer.

373 Farmers on Öland differ from the majority of Swedish farmers as they often own  
374 multiple small areas of land spread across the island instead of one large area centred  
375 around a barn. This means that animals are frequently transported around the island to  
376 different pastures during summer and pastures of different farms are often located close  
377 to each other with only simple fences separating the animals. While this would lead us to  
378 expect bidirectional contact between farms, a large number of one-way contacts are  
379 present in the network based on answers from the questionnaire (Fig 4). It is likely that  
380 multiple contacts occur between farms, especially between neighbouring pastures, and  
381 this information is perhaps not best-captured by our questionnaire as it requires farmers  
382 to provide excessively thorough catalogues of land-ownership adjacencies.

### 383 ***Risk factors and transmission of VTEC O157:H7***

384 Previous international studies have shown higher levels of VTEC O157:H7 in summer  
385 and fall (Barkocy-Gallagher et al., 2003; Schouten et al., 2005 and in Sweden a study  
386 found that the probability of detecting VTEC O157:H7 on dairy farms increases in the  
387 third and fourth quarter of the year (Widgren et al., 2015). In this study no clear  
388 differences in proportion of positive farms were observed between the spring and fall  
389 periods. However, analysis of the spatial clustering of positive herds (Fig 3) revealed a  
390 strong clustering in the fall but not in the spring sampling. This might suggest that local  
391 transmission is more intensive during summer months compared to winter, when animals  
392 are generally kept inside. For example cattle could be encountering new strains on pasture  
393 and bringing them home, as observed through the whole genome sequencing of isolates  
394 from Farm 3.

395 The analyses of the responses from the questionnaires support previous findings  
396 that larger farm size and the purchase of animals increase the risk of having VTEC

397 O157:H7 on a farm (Herbert et al., 2014; Widgren et al., 2015). The increased risk  
398 associated with the use of reproductive services may be linked to receiving visitors that  
399 travel frequently between farms in the area. Implementation of biosecurity measures for  
400 these local movements may be an important target for controlling VTEC O157:H7.  
401 However, considering other routes, like birds, flies and purchase of animals (Ahmad et  
402 al., 2007; Cernicchiaro et al., 2009; Schouten et al., 2004; Wilson et al., 1993) may also  
403 be necessary. In addition, it cannot be excluded that the association reflects an  
404 unmeasured effect related to difference between farmers that choose to use reproductive  
405 services and those that carry out the task themselves, as many farmers in Sweden choose  
406 to do.

407         The association between genetic distance and geographic distance observed  
408 between the sequenced isolates also indicate that local transmission through movement  
409 of humans and vehicles may be of potential importance. However, as this analysis  
410 included a limited selection of isolates from a small number of farms, and that the  
411 geographically distant Farm 3 heavily influenced the association, results from this  
412 analysis should be interpreted carefully. Still, it is interesting that the model improved  
413 slightly when road distance was used compared to geographical distance between the  
414 farms and this should be further explored in future studies with genetic distances available  
415 for correlation with a larger number of pairwise geographical distances. It is also obvious  
416 from the presented data, that even best-resolution typing techniques (WGS) have  
417 limitations in a region with highly related genotypes. In these settings genetic diversity  
418 resulting from separate sources of infection can be indistinguishable from diversity that  
419 has emerged within an individual farm. When it comes to tracing the source of isolates  
420 back to farms, e.g. from a human case, in an outbreak situation, it is also clear that an

421 isolate cannot be reliably attributed to a single farm simply based on sequencing results.  
422 Therefore, source attribution will have to rely on epidemiological evidence.

423 It is also interesting that the presence of a cat on the farm was weakly associated  
424 with presence of VTEC O157:H7. It has previously been shown that cats and cattle from  
425 the same farm can carry comparable types of VTEC (Joris et al., 2013). Hence, cats might  
426 serve as a disease vector as they move around freely within, and potentially between  
427 nearby farms. The free movement of such animals between farms makes evaluation of  
428 the associated risk indirect as cats could be a hazard to both farms that report keeping cats  
429 and farms that report not keeping cats, potentially leading to underestimation of the risk  
430 observed in this study. Thus, studies directly looking at VTEC carriage in cats would be  
431 required to elucidate any role they play in the dissemination of these agents.

#### 432 ***Persistence or reinfection?***

433 Previous studies using MLVA and PFGE have identified that related strains may persist  
434 on farms and hypothesised that the farm was the reservoir of the pathogen in these cases  
435 (Joris et al., 2013; Lahti et al., 2003; LeJeune et al., 2004; Sanderson et al., 2006). In this  
436 study, MLVA also indicated persistence between sampling occasions but when looking  
437 in more detail using whole genome sequencing there are examples of several strains that  
438 appear to jump between three of the farms, indicating ongoing transmission or the  
439 continuous presence of multiple strains on the same farms. This insight into the  
440 transmission dynamics of VTEC O157:H7 would not have been possible using other  
441 typing techniques. The close genetic relationship observed between the isolates in this  
442 study thus highlights the need for maximum-resolution typing strategies to differentiate  
443 between closely related strains of VTEC O157:H7 (and other organisms). This is  
444 particularly true in relatively closed systems such as the studied farms where the majority

445 of relevant circulating strains are homogenous and likely to have derived from a recent  
446 common ancestor.

447         The only farm where isolates were consistently related over time was Farm 3.  
448 Although the limited number of farms with available sequences does not allow firm  
449 conclusions to be drawn about persistence and re-infection risks, the two observed  
450 patterns generate new hypotheses when considering the risk factors identified from our  
451 analysis of farming practices. We may be identifying a mix of risk factors associated with  
452 new infection as well as persistence. For example, the underlying reason behind the risk  
453 associated with increasing number of animals may be related to having enough animals  
454 on farm to get a circulation of the bacteria. This may explain the highly significant  
455 difference in size between the farms that cleared infection during summer and those that  
456 remained positive. However, large farms in this particular area of Sweden may also have  
457 their animals spread out on pastures on several parts of the island and thereby have a  
458 larger contact network. It has also been shown that larger farms have increased number  
459 of professional visits compared to smaller farms (Nöremark et al., 2013). Thus, the risk  
460 for introduction of new strains is likely higher on larger farms.

461         Untangling these relationships will require additional studies including WGS  
462 techniques in the future. In addition to understanding to which extent persistence occurs,  
463 the potential role of persistently infected farms in sustaining bacterial circulation in an  
464 area may be important to consider. Identifying and understanding the drivers behind  
465 persistence on farms may also be of particular importance because of the association  
466 between persisting strains and clinical disease in humans (Herbert et al., 2014). It is also  
467 important to recognize that both patterns exist when considering control of the pathogen,  
468 as farms with persisting isolates likely require other control measures than farms where  
469 new strains are frequently introduced.

## 470 *Implementation of interventions and on-farm measures on Öland*

471 As a response to the wide spread of clade 8 on the island authorities (including national  
472 agencies as well as the local municipality) jointly generated information campaigns. One  
473 was targeted to the public including information about hand hygiene when in contact with  
474 cattle. In addition, information notices were put up on entrances to cattle pastures around  
475 the island. Farmers were informed about the bacteria and how to prevent transmission to  
476 humans visiting their farms. In addition farms where the bacteria had been identified were  
477 offered repeated sampling during 2015 and, if they remained positive, advice on how to  
478 reduce the infectious pressure on their farms were provided. These recommendations  
479 were mainly targeted on minimizing contact between animal groups and other measures  
480 previously described in Tamminen et al. (2018), but additional advice based on the results  
481 from this study is now being developed. For example control of flies is now being  
482 included. The frequent transmission between farms has also shifted the national public  
483 health strategy from focusing on individual farms to considering high risk areas and  
484 highlighted the importance of biosecurity measures within these areas.

## 485 **Conclusion**

486 This study reports an unusually high prevalence of VTEC O157:H7 and high proportion  
487 of clade 8 on the studied farms on Öland island, which is a significant public health  
488 concern. Presence of VTEC O157:H7 was positively associated with the previously  
489 known risk factors: size of farm and number of close neighbours. In addition, risk factors  
490 related to biosecurity, such as using reproductive services and having a cat on the farm,  
491 were also identified as important. All the collected isolates were genetically similar,  
492 reinforcing the need for using whole genome sequencing techniques to study local  
493 transmission dynamics of VTEC O157:H7.

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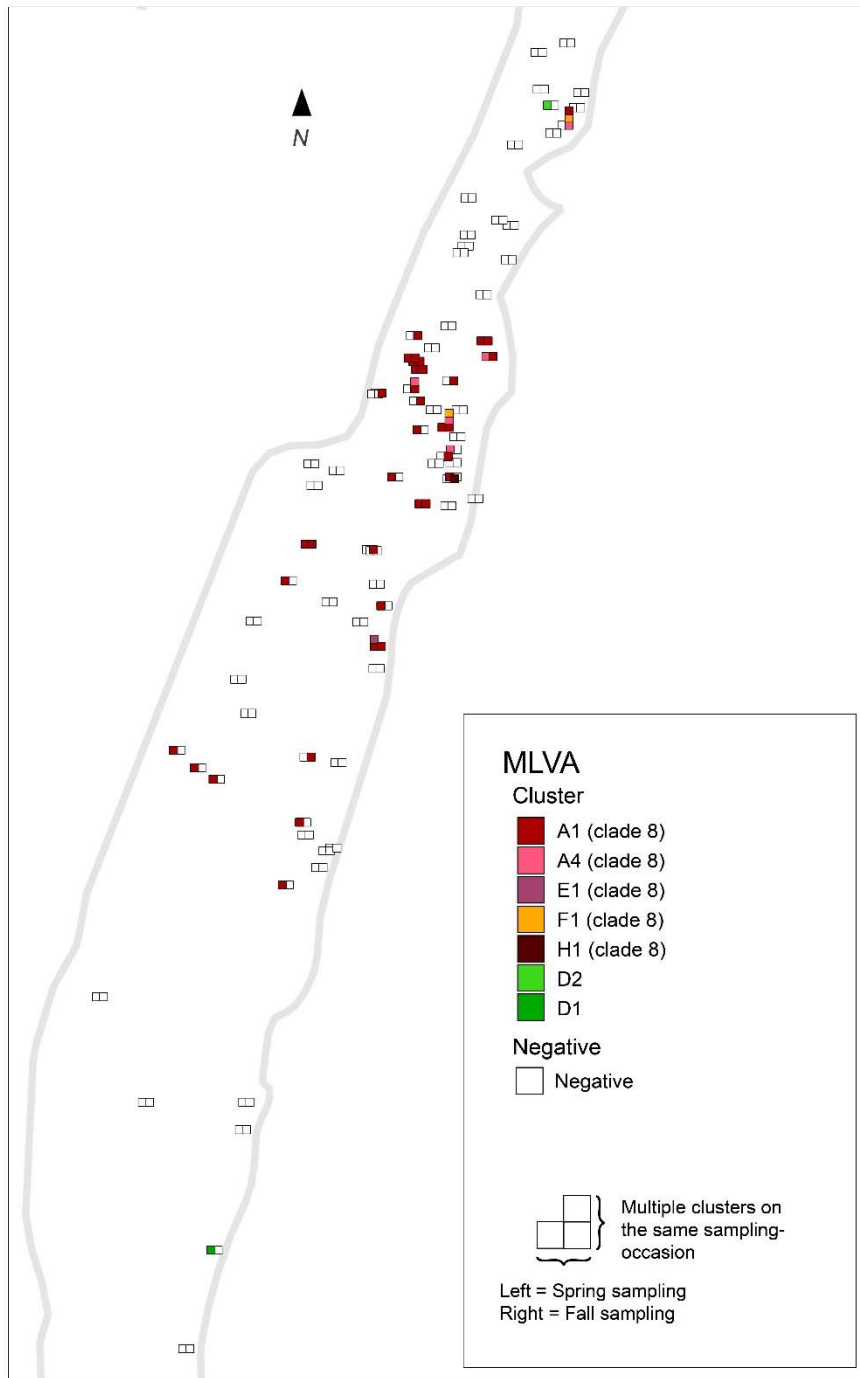
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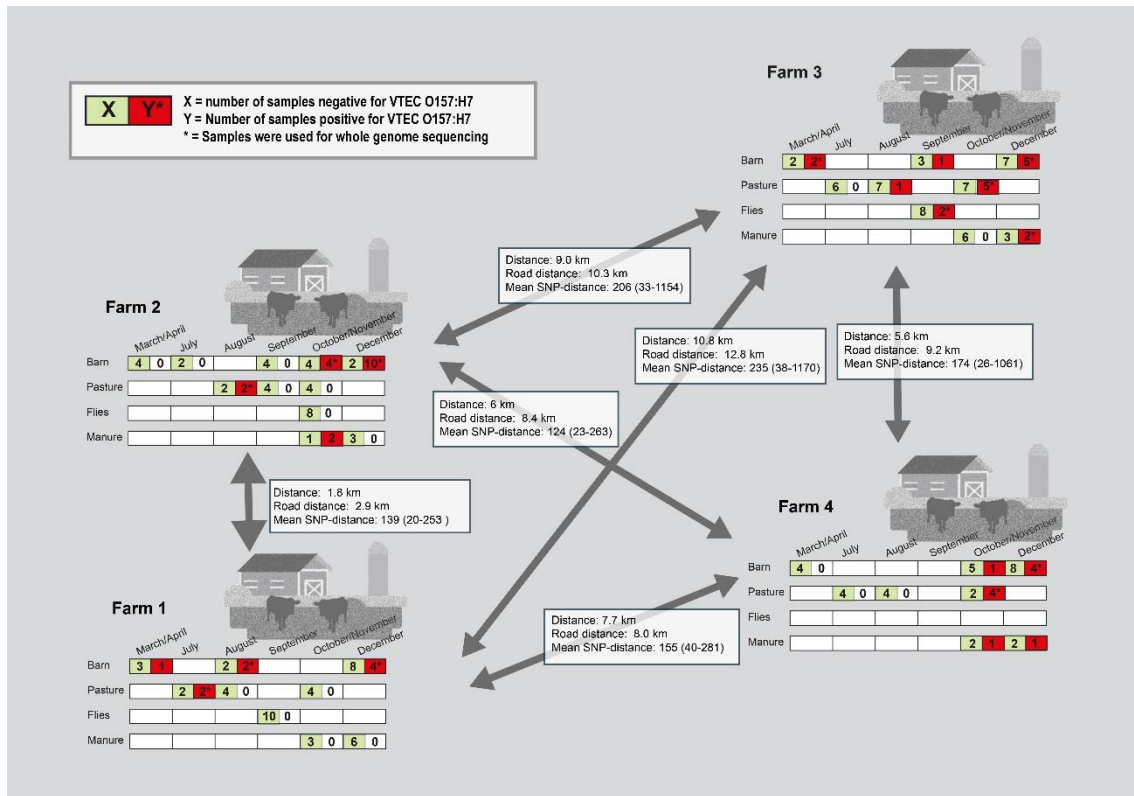
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688

689 **Figure 1.** Presence of VTEC O157:H7 established by environmental sampling of 80  
 690 Swedish farms in spring and fall 2014. Colour represents MLVA-type of isolates.  
 691 Location of farms have been nudged and presentation of the coastline indistinct to avoid  
 692 identification of individual farms. The island is 137 km long and 16 km wide (at the  
 693 widest point).  
 694

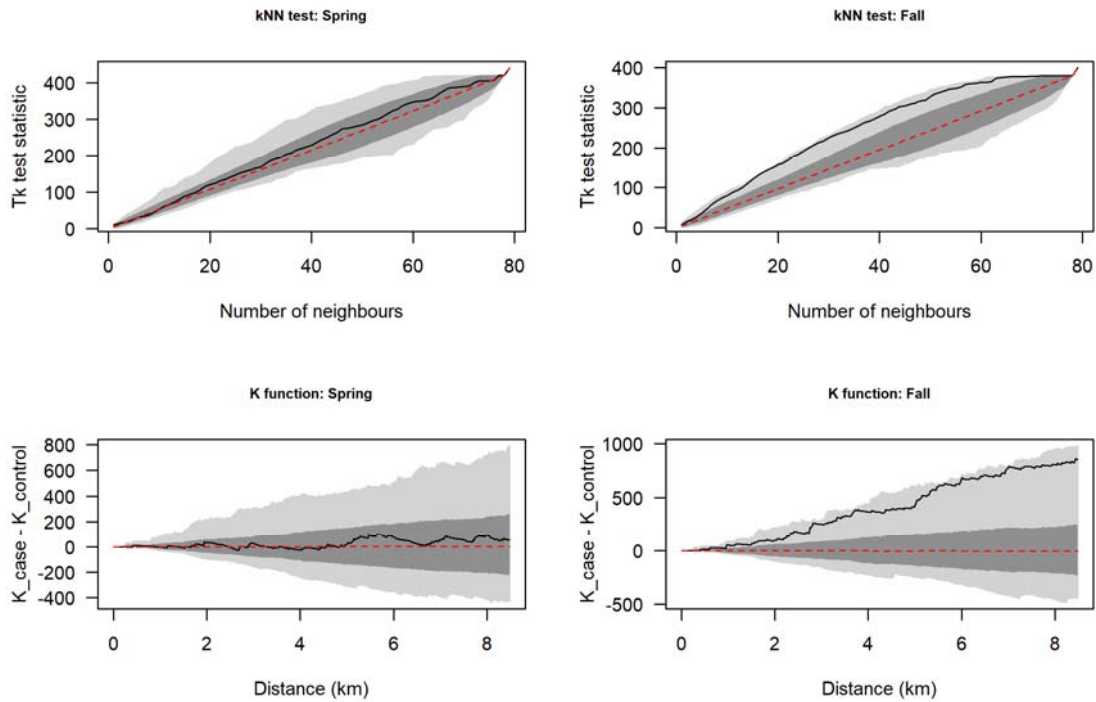




695

696 **Figure 2.** Additional sampling occasions and types of samples collected from the four  
 697 farms that were part of a parallel research project during 2014. From sampling  
 698 occasions with positive samples marked with \* isolates were used for whole genome  
 699 sequencing.

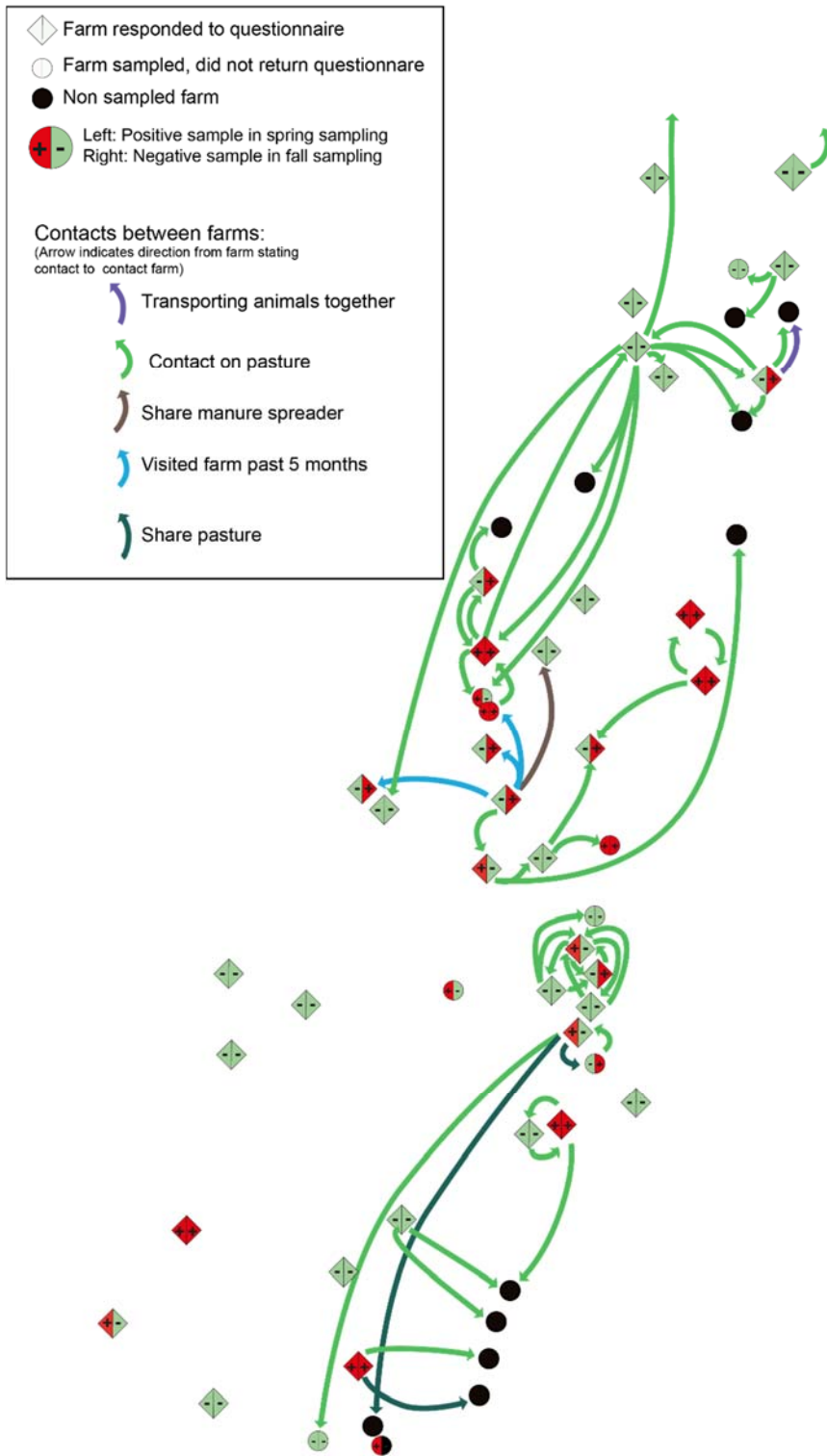
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701

702 **Figure 3.** Spatial clustering of positive herds in the spring (left) and the fall (right). Top  
 703 row shows results of the Cuzick-Edwards' kNN test: clustering is observed when the  
 704 test statistic for the observed distribution (solid black line) exceeds upperbound of the  
 705 95% envelope of test statistics for simulated distributions (darkgrey area). Bottom row  
 706 shows results of K function test: here, the difference between K functions for cases and  
 707 controls was measured.

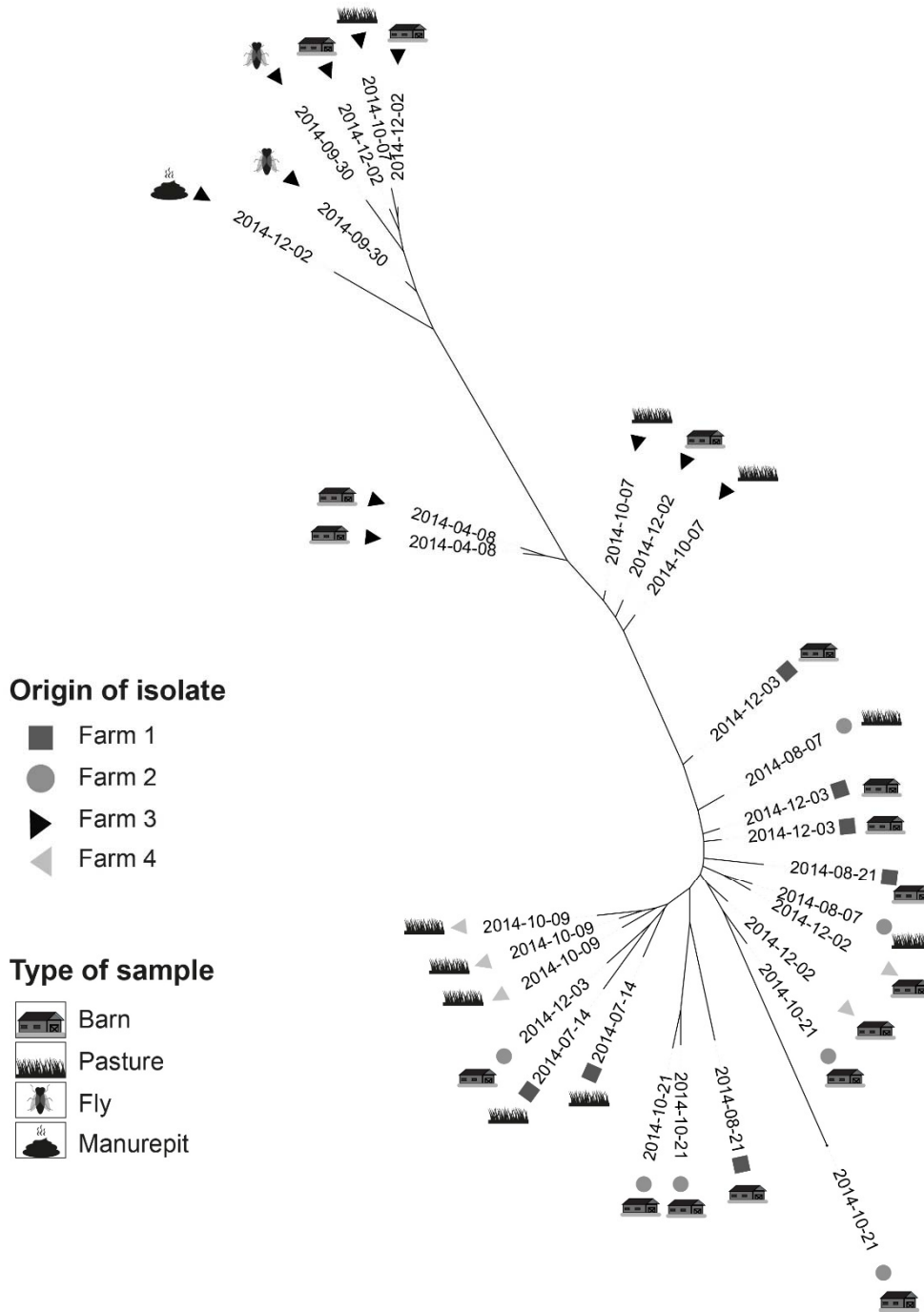
708



709

710 **Figure 4.** Between farm contacts in between samplings as specified by farmers in  
 711 questionnaire. (Figure represents part of the island and is not to scale. The location of  
 712 farms have been shifted to avoid identification of individual farms and enable  
 713 presentation.

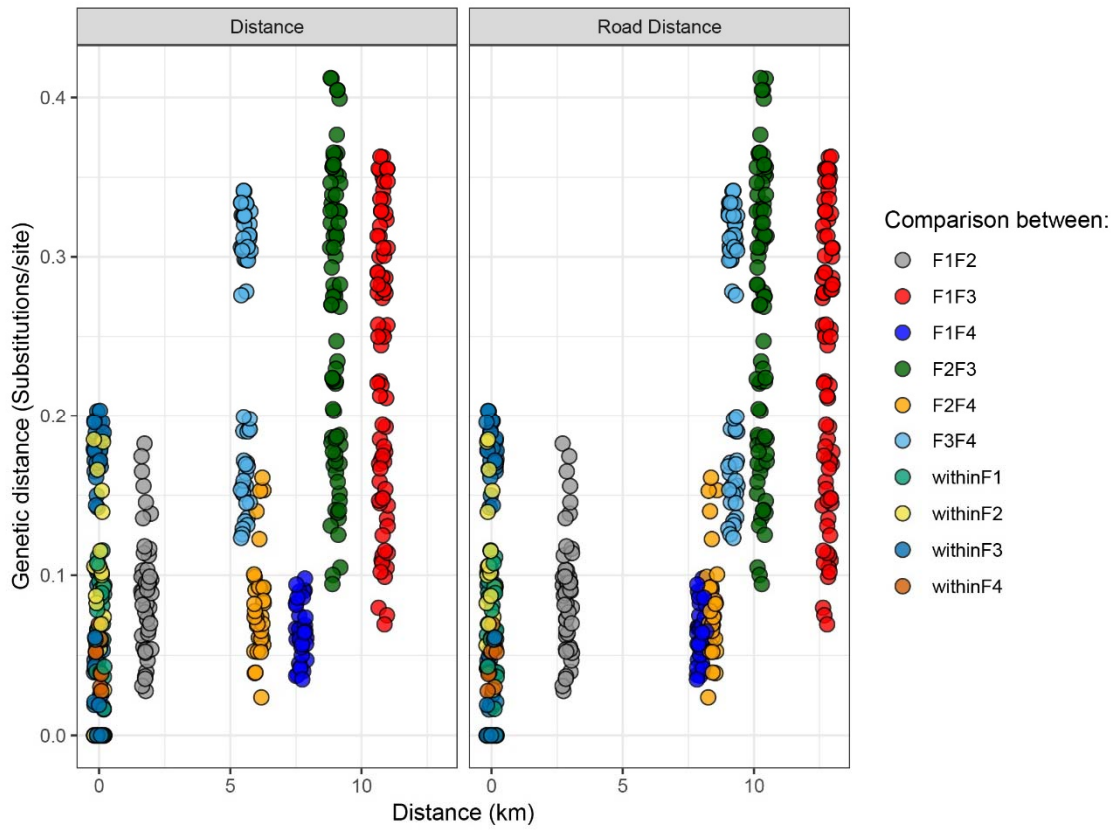
Tree scale: 0.01



714

715 **Figure 5.** Phylogenetic tree based on core SNP-distance between isolates. Distance  
716 indicates substitutions per site and date indicates day of sampling.

717



718

719 **Figure 6.** Genetic distance between all isolates (based on core SNP-distance derived  
 720 from the Maximum Likelihood phylogenetic tree) and the association with distance  
 721 between farms and road distance between farms.

722

723 **Supplementary material**

724 Table S1. Responses to farmer questionnaires sent out in fall 2014. (NN= farm negative on  
 725 both sampling occasions, NP=negative in spring, positive fall, PN=positive spring, negative fall, PP =  
 726 positive on both occasions

		NN	NP	PN	PP
Number of farms:		33	9	7	6
<b>Farm characteristics:</b>					
Type of farm	Beef	1	1	0	0
	Milk	18	6	5	1
	Combination	14	1	2	5
Dog	Yes	20	8	5	4
	No	13	1	2	2
Cat	Yes	6	3	2	3
	No	27	6	5	3
Sheep	Yes	7	2	1	2
	No	26	7	6	4
Horse	Yes	3	3	1	1
	No	30	6	6	5
Pig	Yes	2	0	0	0
	No	31	9	7	6
Poultry	Yes	5	0	2	0
	No	28	9	5	6
Using reproductive services	Yes	19	3	6	4
	No	14	6	1	2
Employees	Yes (without own animals)	14	4	4	4
	Yes (with own animals)	12	4	2	1
	No	6	1	1	1
	Missing	1	0	0	0
<i>Collaborations and sharing of equipment</i>					
Share agricultural machines	Yes	18	7	2	5
	No	15	2	5	1
Share claw treatment crush	Yes	5	3	1	0
	No	28	6	6	6
Share vehicles for animal transport	Yes	6	2	1	2
	No	27	7	6	4

Share manure spreader	Yes	21	8	4	4
	No	12	1	3	2
<i>Pest problems:</i>					
Wild game	Yes	2	2	1	0
	No	31	7	6	6
Birds	Yes	14	4	3	4
	No	19	5	4	2
Rodents	Yes	2	1	1	1
	No	31	8	6	5
Other	Yes	0	0	0	2
	No	33	9	7	4
<i>Cleaning routines:</i>					
Use high pressure for cleaning	Yes	17	3	5	5
	No	14	4	1	1
	Missing	2	2	1	0
Use hot water for cleaning	Yes	4	1	0	1
	No	27	6	6	5
	Missing	2	2	1	0
Only clean out bedding material from pens	Yes	2	1	1	1
	No	29	6	5	5
	Missing	2	2	1	0
Use slaked lime	Yes	9	1	2	3
	No	24	8	5	3
<b>Between sampling events</b>					
<i>Contacts:</i>					
Purchased animals	Yes	3	4	1	1
	No	30	5	6	5
Shared pasture during 2014	Yes	5	0	2	1
	No	28	9	5	5
Nose-nose contact on pasture	Yes	21	6	6	5
	No	12	3	1	1
Nose-nose contact with known positive	Yes	2	0	0	0
	No	31	9	7	6
Access to natural water resources on pasture	Yes	23	6	6	5
	No	10	3	1	1
Transport animals together with animals from other farm	Yes	2	1	0	0
	No	31	8	7	6

Transport with known positive farm	Yes	0	0	0	0
	No	33	9	7	6
Any known contact with positive	Yes	7	5	0	4
	No	26	4	7	2
Visits to other farms the passed 5 months	Yes	12	3	0	3
	No	19	6	7	3
	Missing	2	0	0	0
<i>Cleaning</i>					
Cleaning and disinfection of emptied stable during summer 2014	Yes	24	7	6	4
	No	7	2	1	2
	Missing	2	0	0	0
Continuous cleaning during summer	Yes	21	4	5	6
	No	11	3	1	0
	Missing	1	2	1	0
Change in cleaning routines the passed 5 months	Yes	1	0	1	0
	No	32	9	6	6

727