

## Potential Therapeutic Application of Mesenchymal Stem Cells in Ophthalmology

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### Abstract

At present a wide variety of methods have been proposed to treat eye disorders, drug therapies are most commonly used. It should be noted that effective treatment modalities especially for degeneration of the retina and optic nerve are lacking. In the last few years stem cell transplantation has been proposed as an alternative method. The opportunities that stem cells provide within clinical use are almost unlimited. These cells are presently applied to treat various traumatic and degenerative disorders due to their unique biologic properties. Stem cells have high proliferative capabilities and are a self-maintained population of cells capable of differentiating into different cell types. Thus, they represent a very primary stage of a cell lineage. Their ability to differentiate into different pathways provides animals with great plasticity in the renewal of somatic cells in postnatal ontogenesis. Pre-clinical and clinical ophthalmology studies where mesenchymal stem cells are applied and various methods of their administration are discussed herein. In addition the safety and efficacy of using bone marrow- and adipose tissue-derived mesenchymal stem cells have been discussed.

**Key words:** mesenchymal stem cells; ophthalmology; eye disorders; clinical trial; retina; cornea.

## **1. Introduction**

The development and introduction of modern treatment modalities for different eye disorders are a challenge in medicine. In recent years ophthalmologists have placed a greater focus on stem cells (SCs) as the renewal and regeneration of any tissue in the adult body depends on somatic SCs, with eye tissues being no exception (Holan et al., 2015). The cornea is a protective barrier and consists of three layers with a different germinal origin: the epithelium originates from superficial ectoderm and the stroma and endothelium arise from neural crest cells (mesenchymal tissue). Experimental studies have shown that a variety of SCs are present in each of these layers (Amano et al., 2006). For example, limbal SCs maintain epithelial homeostasis and regenerate the cornea, with their deficiency being the main cause of blindness all over the world (Ksander et al., 2014). However, clinical use of cultured stromal and endothelial SCs is hindered as it is difficult to isolate them in sufficient numbers and optimized culture media are lacking. Therefore, it is a high priority to search for an alternative, readily available source of SCs which can be provided in sufficient amounts especially as stem cells have such high potential in the treatment of eye disorders characterized by permanent loss of cells such as glaucoma, age-related macular degeneration, degeneration of photoreceptor cells, hereditary retinopathy, mechanical and ischemic retinal lesions (Joe and Gregory-Evans, 2010; Oner, 2018; Song et al., 2015; Zarbin, 2016).

## **2. Overview of stem cells**

Stem cells from adults can be subdivided into three main groups; hematopoietic, multipotent mesenchymal (stromal) and tissue-specific progenitor cells. Hematopoietic stem cells are multipotent stem cells that give rise to all blood cells of myeloid (monocytes, macrophages, neutrophils, basophils, eosinophils, red

blood cells, megakaryocytes and platelets, dendritic cells) and lymphoid (T- and B cells and natural killers) lineages (Eaves, 2015).

Tissue-specific progenitor cells are poorly differentiated cells located in various tissue types and organs and are responsible for the renewal of their cell populations, in essence to replace dead cells. An example of tissue-specific progenitors includes myosatellite cells, which reside in the myocardium (Le and Chong, 2016). These cells are oligo- and unipotent. That progenitor cells can divide only a restricted number of times whilst other stem cells are capable of unlimited self-renewal is their main difference from other SCs (Klimczak and Kozłowska, 2016). Therefore in this context mesenchymal stem cells (MSCs) are of great interest. Firstly, MSCs give rise to several tissue types. They can differentiate into epithelial neuron-like cells, retinal ganglion cells, glial and photoreceptor cells (Phinney and Prockop, 2007). MSCs are also known to successfully differentiate into keratocytes and corneal epithelial cells (Sun et al., 2018; Zhang et al., 2015).

Adipose derived (AD) and bone marrow (BM) derived are the most common and available sources of MSCs. MSCs derived from adipose and bone marrow have significant potential for tissue regeneration - they secrete signaling molecules such as neurotrophic factors, growth factors or cytokines which can diffuse in a local tissue medium and interact with nearby cells (Lin et al., 2009). Immunophenotyping is one of the most relevant methods used to differentiate BM and AD MSCs from other cells. On their surfaces both MSC types carry similar positive membrane markers such as CD105 (endoglin), CD73 (5'-nucleotidase) and CD90 (Thy-1), whilst remaining negative for markers including CD45, CD34, CD14, CD11b (Dominici et al., 2006).

Multipotent abilities of BM and AD MSCs are normally assessed by their multi-lineage differentiation in three pathways such as osteogenic, adipogenic and chondrogenic ones. The differentiation into these lineages is a gold standard for MSC identification and any cell-based product should at least meet this requirement in order to be classified as MSCs (Dominici et al., 2006). For this purpose MSCs are cultured in a specific induction medium for 2-3 weeks to induce differentiation into

particular types of cells. Then they are stained for calcium, lipids and proteoglycans to demonstrate whether the cells have functionally specialized into osteocytes, adipocytes and chondrocytes, respectively (Fig. 1). The potential of MSCs for neuronal differentiation and cardiomyoblasts has also been used as criteria in some studies; however, these differentiation types are not used as a routine method to determine biologic activity of MSCs.

### **3. Stem cell treatments for ocular disorders and injuries**

#### **3.1 Stem cell use in retinal and optic nerve disorders**

When treating degenerative eye disorders, MSCs are known to have a protective effect on ganglion cells of the retina and to stimulate regeneration of their axons in the optic nerve with paracrine factors they secrete. MSCs mainly provide a trophic supply of axonal neuroprotection and regeneration in damaged cells of the retina either by direct secretion of neurotrophic factors or by possible stimulation of its endogenous cells which provide additional paracrine supply and/or effects of cell replacement when activated (Mead et al., 2015). To date positive effects of MSCs have been conclusively established in the treatment of retina endothelial defects (Zhang et al., 2015).

The administration of MSCs is also one of modern methods to treat diabetic retinopathy. A pilot clinical study conducted in China (No. ChiCTR-ONC-16008055; [chictr.org.cn](http://chictr.org.cn)) showed intravenous administration of BM MSCs to be safe and effective in this pathology (Gu et al., 2018). Two of the seventeen patients showed differing adverse effects, one showed an increase in creatine kinase levels and the other patient showed increased creatinine levels but these decreased after transfusion, unfortunately the study did not have a placebo control group and it also had a relatively short patient follow-up period of six months. Interestingly, AD MSCs implanted into the vitreous cavity in a diabetic retinopathy murine model mainly differentiated into pericytes when associating and maintaining the retinal vasculature which indicates a unique role of ADSCs in the treatment of diabetic

retinopathy (Mendel et al., 2013). Adipose-derived stem cells were also shown to stabilize retinal microvasculature in a murine model of diabetic retinopathy and went on further to show that the cells from diabetic mice were less effective than those from healthy donors (Cronk et al., 2015)

Age-related macular degeneration is the most common cause of blindness in developed countries. BM MSCs were shown in pre-clinical models of this degenerative disorder of human retina to have a protective effect on photoreceptor cells (Inoue et al., 2007; Wang et al., 2010). Although BM MSCs when injected into a subretinal area can differentiate into photoreceptor protein expressing cells, their ability to differentiate into functionally useful retinal cells is questionable. Their action is considered to be largely related to paracrine effects due to a release of neurotrophic factors (NTFs). NTFs are a family of proteins that are involved in regulating the growth, functioning and survival of neurons and other cells of the nervous system. Thus, BM MSC-based therapy can exert positive effects on the recipient's cells by producing cytokines and neurotrophic factors and alter a neurodegenerative process by means of immunomodulatory activity (Chichagova et al., 2018). Phase 2 results from eight patients (review number 56733164/203) with AD MSC implantation treatment for dry-type age-related macular degeneration and Stargardt's macular dystrophy showed no ocular or systemic complications and all experienced enhanced vision improvement (Oner et al., 2018). These disorders are of particular importance as there are no approved therapy to cure them presently, therefore finding alternative therapies is essential. Although treatments such as injections of anti-VEGF, verteporfin photodynamic therapy and steroids slow progression of age-related macular degeneration, other technologies need to be explored. In addition these methods still carry the risk of adverse events including endophthalmitis, cataract and retinal detachment and levels of 50% of patients discontinuing treatment have been reported in a retrospective study, with 47% of these due to poor treatment responses (Kataja et al., 2018). Therefore there is a great need to improve treatment types, efficacy and outcomes.

MSCs can be used to treat both acute and chronic ocular disorders. For example, an injection of BM MSCs into the anterior chamber in rodent models effectively decreased the intraocular pressure in experimental open-angle glaucoma. Researchers thought this profound effect to be due to paracrine factors of MSCs. Moreover, MSCs and factors they secreted induced reactivation of a pool of progenitor cells in the ciliary body and enhanced cell proliferation. Proliferating cells were observed within the chamber angle for at least a month (Manuguerra-Gagne et al., 2013).

### **3.3 Ocular injuries**

BM MSCs are capable of differentiating into specific keratocytes of the cornea which has been confirmed by low expression levels of markers specific for cornea epithelial cell phenotypes (Harkin et al., 2015). In cornea chemical burn models, MSCs exert their paracrine activity in a damaged cornea as anti-inflammatory and anti-angiogenic effects. Autologous BM MSCs subconjunctively injected to rats with a chemical burn of the cornea are known to promote regeneration of the corneal epithelium, to reduce inflammation and neovascularization, and to increase the expression of anti-inflammatory cytokines (Ke et al., 2015; Sharma et al., 2018). There was a similar positive effect (Fig 2) when allogenic BM MSCs were subconjunctively injected into cats with traumatic corneal ulcers (Zakirova et al., 2015). Intravenous administration of BM-MSCs to mice with corneal chemical burns also stimulated regenerative processes in the site of injury as compared to the control group without cell transplantation (Lan et al., 2012). Similarly in a rabbits with alkali burns those treated with AD MSCs or BM MSCs showed that antioxidant enzymes were restored, corneal reepithelialization was observed alongside reduced neovascularization after 15 days in comparison to controls (Cejka et al., 2016). Allogenic AD MSCs implanted subconjunctively also improved clinical manifestations of eosinophilic keratitis in cats. Feline eosinophilic keratitis is a chronic disease of the cornea caused by an immune response to an unknown antigenic challenge. None of the 5 implanted cats had systemic or local

complications over the 11-month follow-up. The state of the cornea and conjunctiva improved without any signs of regression or worsening (Villatoro et al., 2018). It is also known that limbal epithelial stem cells play several roles in ensuring that the corneal epithelium is maintained, including repopulation of the cells (Yoon et al., 2014). Work in vitro and on rabbit cornea burns models has shown that use of collagenase enzymes promotes a more suitable environment for limbal stem cells following cornea damage (Gouveia et al., 2019). By preventing YAP activation, the natural phenotype of these cells was maintained whilst having no negative effects on healthy cells, although naturally more clinical trials need to be undertaken to further assess efficacy and safety in people.

The cornea is not the only area of interest for MSC treatment. Light-induced retinal injuries in rats have also shown MSC responses including increased production of neurotrophic factor expression within the cells in response to injury, with basic fibroblast growth factor likely to be involved in this mechanism (Xu et al., 2013). Lacrimal glands in rabbits show progenitor cell reactions and epithelial-mesenchymal transitions following ligation-injury of the excretory duct (Lin et al., 2017). A study on canine keratoconjunctivitis sicca treatment using allogeneic AD MSCs showed that in mild-moderate cases eyes reverted to a healthy state (Bittencourt et al., 2016). Most of the severe cases showed improvements in tear production with one patient regaining clinically normal levels however one patient did not respond to treatment at all. In addition no short term (7-28 days) or long term (6 and 12 months) adverse effects were observed in any of the 15 patients.

### **3.4 Cornea and ocular surface disorders**

Dry eye syndrome is one of the most common eye disorders. Its worldwide prevalence ranges from 7% to 33% depending on disease diagnosis management and the population demographics investigated (Lin et al., 2003; Moss et al., 2000). Causes of this syndrome are multiple, however, inflammation on the eye surface plays an essential role in its pathogenesis. The therapeutic potential of MSCs was studied in a dry eye syndrome murine model caused by an intraorbital injection of

concanavalin A (Lee et al., 2015). The results showed that periorbitally injected MSCs reduced an infiltration with CD4 (+) T-cells and decreased inflammatory cytokine levels in the intraorbital gland and on the eye surface. In addition, MSCs stimulated the formation of a lacrimal fluid and significantly increased the number of goblet cells in the conjunctiva. The study demonstrated the integrity of the corneal epithelium when injecting MSCs. No adverse effects were reported but the authors did highlight that some immune-modulatory effects of MSCs are species-specific which highlights the need to undertake trials in differing species. A separate study in rats similarly showed increased secretory granules and goblet cell numbers using topically applied MSCs (Beyazyildiz et al., 2014; Lee et al., 2015). These results formed the conclusion that MSCs can be used to treat a number of ocular surface diseases when inflammation plays a key role in the pathogenesis (Beyazyildiz et al., 2014; Lee et al., 2015). The implantation of allogenic AD MSCs around lacrimal glands significantly improved the manifestation of clinical symptoms in dogs with a dry eye syndrome, with the effect being stable over the study period. There were no negative effects on the cornea or signs of regression or deterioration. The animals tolerated cell administration well and none of them had any systemic or local complications during the study (Villatoro et al., 2017; Villatoro et al., 2015).

#### **4. Advancing stem cell therapy in veterinary medicine**

The use of MSCs is rapidly expanding in veterinary medicine outside of ophthalmology, which may also be applicable to ocular disorders and injuries. One example is the use of AD MSC in equine bone spavin treatment trials in comparison to horses undergoing convention steroid treatment and a control group who were limited only in their movement (Nicpon et al., 2013). The AD MSCs cultivated in vitro showed progressive reductions in lameness, as did the steroid group in contrast to the control group, in addition the treatment proved to be safe. Similar results were observed in horses with superficial flexor tendon injuries treated with AD MSC combined with autologous platelet concentrate in comparison to controls (Marycz et



al., 2012). Indeed it may be possible that conventional treatments or even more recent advances in gene therapy such as those observed in equine tendon injuries (Kovac et al., 2017, 2018), could be utilized in conjunction with the stem cell therapies discussed above in order to enhance proliferation, increase wound healing and reduce recovery time.

The use of MSC has advanced dramatically over the years as have the methods used to increase and collect them. A study on mice and people showed that exercise increases hematopoietic stem/progenitor cells (HSPCs) and very small embryonic-like stem cells mobilization (Kroepfl et al., 2012; Marycz et al., 2016). Interestingly this mimics the observations seen in people following acute myocardial infarction, strokes and other disorders (Paczkowska et al., 2009; Wojakowski et al., 2009). Linking these together, application of stem cells, increased mobilization of stem cells, understanding the cascades and mechanisms behind these processes and applying complimentary therapies may offer ways in which MSC therapy can be enhanced in the future for all applications including ocular. Other mechanisms of improving stem cell therapies have been suggested including the use conventional treatments alongside stem cells, assessing proximity of delivery to the site of treatment, and overcoming immune rejection by using host cells, thus overcoming traditional graft complications (Cislo-Pakuluk and Marycz, 2017).

Therapeutic administration of MSCs can be applied to the eye in a number of modes including topical, subconjunctival, intraperitoneal [IP] and intravenous [IV]. A recent paper looking at administration following corneal injury in mice has indicated that in this incidence subconjunctival and IV showed superior therapeutic efficacies in terms of epithelial integrity, accelerated tissue restoration, and reduced fibrosis, corneal opacity and inflammation in comparison to topical or IP (Shukla et al., 2019). Therefore although topical delivery may be easily accessible for the cornea, it may not provide the highest efficacy in comparison to other methods. Cell viability and function, achieving cells retention in the affected area and providing a cell matrix may also affect efficacy. Techniques such as delivery within fibrin glue in rats and the use of a biologically active 3D matrix with MSCs modified for

increased production of VEGF165 and FGF2 in dogs have provided methods in which to enhance efficacy (Masgutov et al., 2019; Zakirova et al., 2019). Understanding the mechanisms has also resulted in MSC derived characteristics or structures such as extracellular vesicles being used in pre-clinical and clinical trials (Galieva et al., 2019). One example is a macular hole clinical trial using extracellular vesicles which has been approved by the Food and Drug Administration (NCT03437759). Most animal clinical trials are still ongoing and the many hundreds of human clinical trials are mostly in early stages therefore the full range of clinical outcomes, including adverse effects are yet to be determined. It is widely regarded that the main hurdles to overcome when considering MSC therapies are donor heterogeneity, ex vivo expansion, immunogenicity, and cryopreservation (Galderisi and Giordano, 2014; Galipeau, 2013), this is likely to be the case in both people and veterinary patients.

## **5. Concluding remarks**

The last decade has demonstrated that methods based on MSC transplantation are safe and effective. The diversity of approaches to isolate, culture and administer SCs determines the development of cell-based technologies for the treatment of numerous eye disorders depending upon the pathology. The use of AD- and BM-derived MSCs to treat certain ocular diseases is scientifically proven and evidence-based. Ensuring that techniques are simple and reproducible are especially important as this will provide a quick and effective introduction into routine clinical practice. Thus, ongoing scientific experiments/research and activities to introduce MSCs into a clinical practice offer great opportunities for cell-based therapy and highlight an essential role of MSCs in the evolution of medicine and biology.

### **Conflicts of interest**

The authors declare no conflicts of interest.

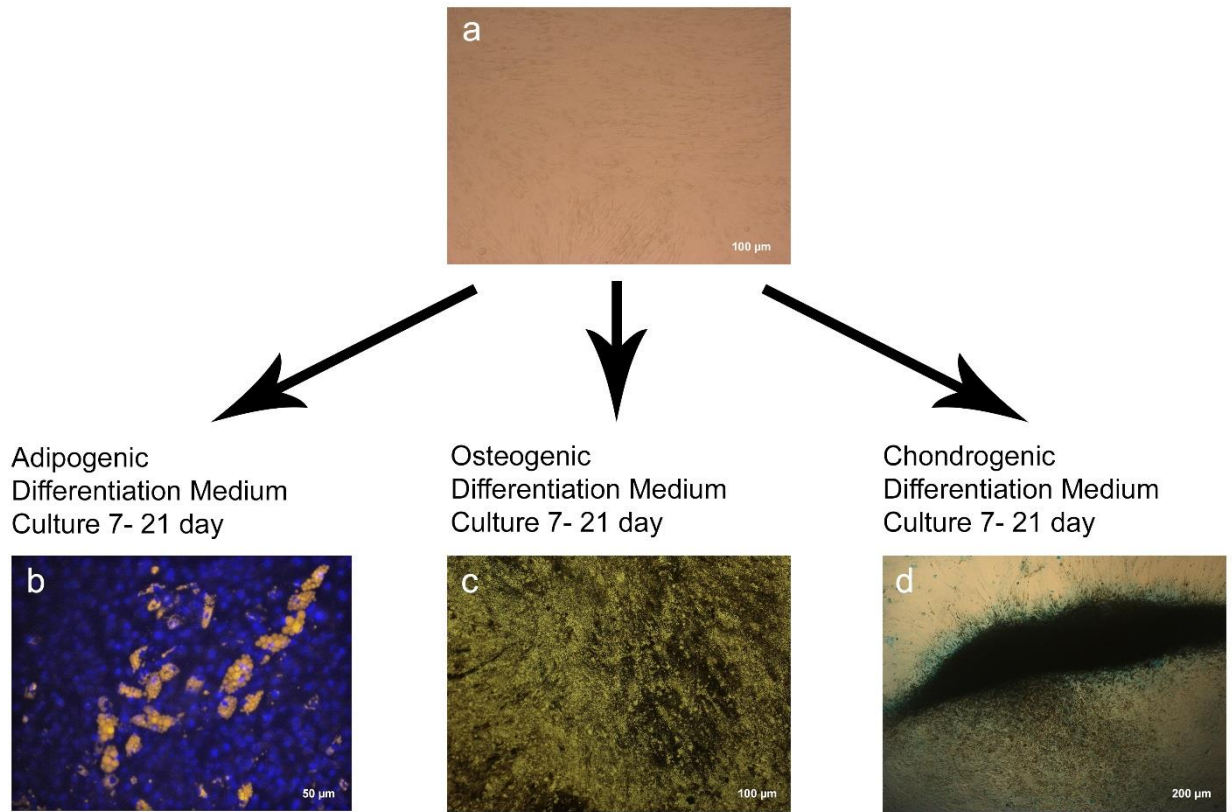
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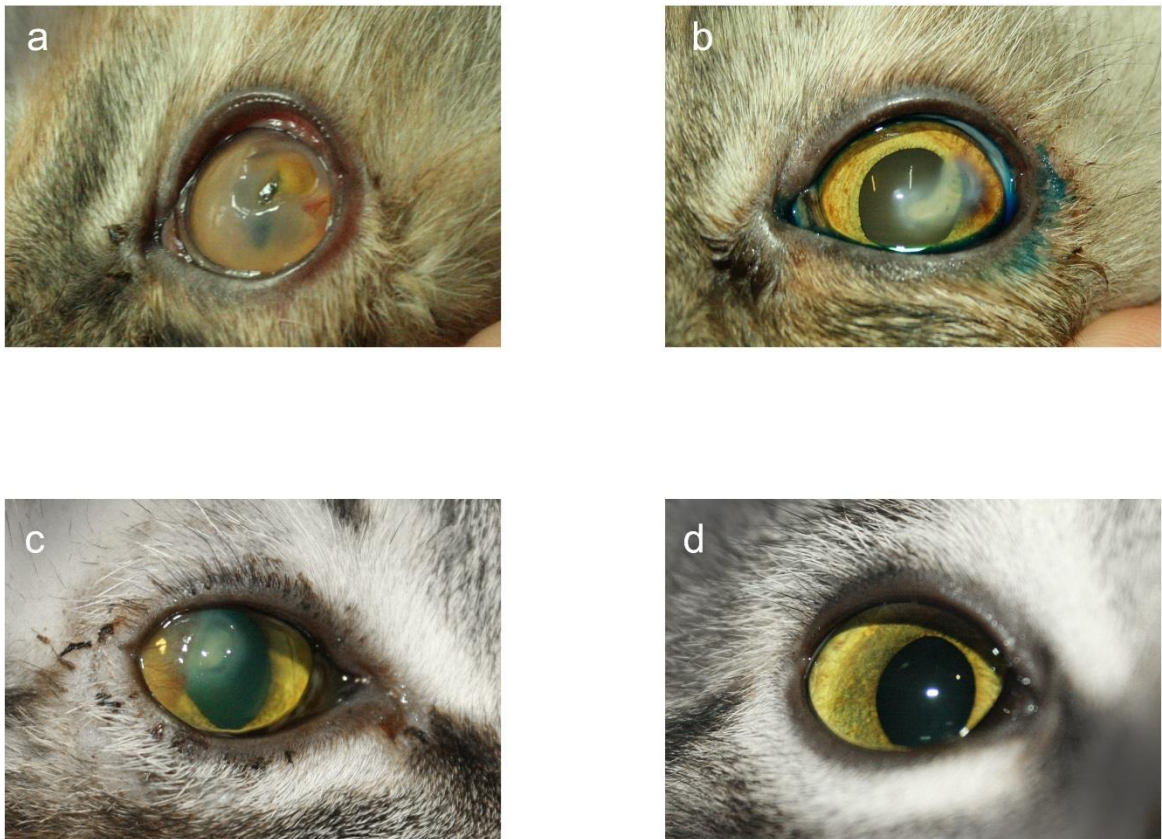
### **Author contributions**

All authors wrote the manuscript and reviewed the final draft.

### **Figure legends**



**Figure. 1 Morphology of human mesenchymal stem cells derived from adipose tissue during the differentiation protocols.** a) Human mesenchymal stem cells derived from adipose tissue. b) Adipogenic differentiation - cell nuclei are stained with Dapi (blue), adipose tissue inclusions within cells dyed in Nile Red (yellow). c) Osteogenic differentiation - Von Kossa staining, the calcium containing area is stained in black. d) Chondrogenic differentiation- acid mucopolysaccharides of the extracellular matrix formed within the differentiation of AD MSCs into chondrocytes are stained with Alcian blue.



**Figure 2. Mesenchymal stem cell treatment of corneal ulcers.** Traumatic corneal ulcers in cats treated with routine methods (a, b) and subconjunctival transplantation of allogenic AD MSCs (c, d). Deep ulcers of the cornea accompanied with pronounced blepharospasm, edema of the eyelids, tenderness, and purulent secretion were diagnosed in cats (a, c). b) At 25 days in animals treated with classical methods symptoms such as tenderness, blepharitis, blepharospasm, slight purulent and mucous secretion, keratitis and deep vascularity of the cornea were present. d) At 25 days the animals transplanted with AD MSCs had edema of the eyelids, tenderness and blepharospasm; there was no purulent and mucous secretion, a small scar rather than a corneal defect was visualized.

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