

Manuscript Details

Manuscript number	UFUG_2019_183_R1
Title	An integrated overview of physiological and biochemical responses of <i>Celtis australis</i> to drought stress
Article type	Research Paper

Abstract

Trees in Mediterranean areas frequently face severe drought stress events, due to sudden decreases in soil water availability associated to intense heat waves. The knowledge of strategies adopted by plants to cope with the environmental pressures associated to Mediterranean climate is crucial for reforestation strategies and planning future urban greening. Here we investigated the physiological and biochemical adjustments activated by *Celtis australis* in response to drought stress during summer. Despite widely used for reforestation in Southern Mediterranean, how *C. australis* responds to the severe challenges imposed by Mediterranean climate has not been investigated yet. In our study, we performed analyses of water relations, gas exchange and PSII performance, the concentration of photosynthetic pigments, the activity and the concentration of primary antioxidants in plants exposed to drought stress of increasing severity. Data of our study reveal that *C. australis* displays both conservative water use and isohydric behavior in response to drought, and diffusive resistance mostly limits photosynthesis even at severe drought. Our study also reveals an effective down-regulation rather than permanent impairment of PSII photochemistry in response to drought stress of increasing severity, since excess electron transport due to declines in photosynthesis was matched by an increase in nonphotochemical quenching. However, our study highlights that under severe drought, zeaxanthin (and neoxanthin), likely served an important function as chloroplast antioxidant, other than sustaining nonphotochemical quenching. Antioxidant enzymes and ascorbate also contributed in countering oxidative stress in severely droughted plants. Large adjustments in the suite of physiological and biochemical traits may effectively enable *C. australis* to gain carbon at appreciable rates while avoiding irreversible damage to the photosynthetic apparatus even when challenged by severe drought stress, thereby making this species an excellent candidate for forest and urban plantings in sites experiencing extended periods of drought stress.

Keywords	antioxidant enzymes; gas exchange and PSII performance; isohydry; Mediterranean climate; photo-oxidative stress; xanthophylls
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Suggested reviewers	Raquel Esteban, Juan Carlos Melgar, Glynn Percival

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Response to reviewers

Authors would like to thank reviewers for their comments and suggestions. We edited the manuscript accordingly.

Reviewer 1

Abstract: The second part of the abstract relative to the results should be reported the differences in percentage in order to clearer for the readers.

Percentages have been added in the second part of the abstract

Line 6: drought

Added

Line 83: et al it must not be in italics. Review all the text.

Done

Line 175: How did you determine the proteins?

Protein content was determined using the Protein Assay Kit (Bio Rad[®], Hercules, CA, USA). This information has been added in the text.

Line 209-218: Add the differences in percentage in order to clearer for the readers.

Percentages have been added for Jmax, Fv/Fm, F0/Fm, and NPQ as requested

Line 232: also the Apx did not differe between WW and WS at moderate drought. Rewrite.

The sentence has been rewritten as requested: "The activities of antioxidant enzymes, with the exception of CAT, varied significantly early during drought stress imposition (on average by 45% between WW and WS leaves at moderate drought, Fig.6 a-c). In contrast, the activities of all antioxidant enzymes greatly increased at severe drought (on average + 130%)".

Report the unit of measure in the figure 6.

Unit of measure have been added to figure 6 except for ASA/DHA which is a pure number

-Reviewer 2

-Line 81-83. This sentence seems to be extremely speculative, I recommend avoid this sentence. It is not contributing to the surrounding information.

The sentence and the references cited therein have been removed

Line 179: Carbonyl content is measured at 360/370 nm and the protein absorbance is measured at 280 nm.

The sentence was rephrased: “The lipid peroxidation was determined spectrophotometrically based on the formation of malondialdehyde (MDA) using the thiobarbituric acid (TBA) reaction, whereas protein-carbonyl content was determined by the reaction with 2,4-dinitrophenylhydrazine, following the protocols reported in Tattini et al., 2015.”

Line 215-216: No statistical differences were observed between moderate and severe drought stress.

The sentence has been rephrased to highlight that Fv/Fm did not show progressive decline during drought: “Maximum efficiency of PSII photochemistry (Fv/Fm, Fig. 4a) decreased similarly at moderate stress and severe stress (-5% and -10%, compared to well-watered trees)”

Line 232: SOD and APX specific activities were statistically increased at moderate stress.

SOD was erroneously indicated as not changing at moderate drought. Actually this was a mistake, because the only antioxidant enzyme not affected at moderate drought was CAT. The text was edited accordingly.

Line 254-255: This sentence seems highly speculative. Could the authors measure those variables? Or has been described as a characteristic for *C. australis*?

We did not measure stomatal frequency and anatomy in this study. However, previous research (Abrams, 1994) compared these traits in 17 species, including *Celtis*, and we used these findings to interpret our data. We are aware this might be somehow speculative, so we rephrased the sentence to highlight that we did not measure this traits directly: “Though we have not measured morphological traits of leaf surface, the dense indumentum of non-glandular trichomes coupled with a low frequency of paracytic and small-sized stomata previously reported in *Celtis* (Abrams et al., 1994) might have contributed to high stomatal limitations to photosynthesis observed in our study”

Line 252-256: please revise the coherence of this sentence. It seems a redundant or cyclic thought, mixing causes and consequences.

The sentences have been revised (see comment above).

Line 282: Is the reference related to the experimental system or is it a possible explanation of the results? Please rewrite the sentence.

The sentence has been rephrased: “The carotenoid reduction in WW leaves was possibly due to the concomitant effect of high both solar irradiance and air temperature to which leaves were exposed in our study, as also recently reported by (Tattini et al. (2015).”

Line 283: please provide the meaning of “MEP”.

MEP has been spelled out

Line 326-327: ASA decreased in WS plants, as revealed by the reduced ASA/DHA ratio.

ASA does not change significantly between WW and WS plants at both moderate and severe stress. This is clear in figure 6d. The change in ASA/DHA observed at severe stress was due to a slight increase in DHA. The sentence was changed, however, to improve clarity and readability: "Since drought stress did not affect the ASA concentration, whereas the ASA/DHA ratio decreased relatively little (-18%) even in severely droughted WS leaves, it is suggested that the chloroplasts were equipped with an efficient system for the removal of H₂O₂ (Jubani-Mari et al., 2010)."

Line 328-331: Please clarify this sentence meaning. Are the authors aiming to correlate CAT specific activity with photorespiration? Or are independent mechanisms that could contribute to *C. australis* drought-response?

CAT and photorespiration are independent mechanisms that contribute to drought response. However, because CAT is mainly located in peroxisomes, enhanced activity of this enzyme may be particularly important to metabolize photorespiratory H₂O₂, which, as noted above, can be produced at higher rates when water becomes limiting. The sentence has been rephrased to improve clarity

Lines 467, 471, 486: Please revise the reference style.

Done

Line 493: Please provide the year of publication.

Done

Highlights

An avoidance mechanism (isohydry) governs the responses of *C. australis* to drought

Diffusional limitations mostly constrain photosynthesis even at severe drought

De-epoxidized xanthophylls mostly sustain NPQ at moderate, but not at severe drought

Zeaxanthin and neoxanthin likely serve relevant antioxidant functions at severe drought

Antioxidant enzymes and ASA constitute an effective ROS detoxification system

An integrated overview of physiological and biochemical responses of *Celtis australis* to drought stress

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ABSTRACT

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Trees in Mediterranean areas frequently face severe drought stress events, due to sudden decreases in soil water availability associated to intense heat waves. The knowledge of strategies adopted by plants to cope with the environmental pressures associated to Mediterranean climate is crucial for reforestation strategies and planning future urban greening. Here we investigated the physiological and biochemical adjustments activated by *Celtis australis* in response to drought stress during summer. Despite widely used for reforestation in Southern Mediterranean, how *C. australis* responds to the severe challenges imposed by Mediterranean climate has not investigated yet. In our study, we performed analyses of water relations, gas exchange and PSII performance, the concentration of photosynthetic pigments, the activity and the concentration of primary antioxidants in plants exposed to drought stress of increasing severity. Data of our study reveal that *C. australis* displays both conservative water use and isohydric behavior in response to drought, and diffusive resistance mostly limits photosynthesis even at severe drought. Our study also reveals an effective down-regulation rather than permanent impairment of PSII photochemistry in response to drought stress of increasing severity, since excess electron transport due to declines in photosynthesis (-61% at severe stress, compared to control) was matched by an increase in nonphotochemical quenching (+71% at severe stress, compared to control). However, our study highlights that under severe drought, zeaxanthin (and neoxanthin) increased by 75% (and 25%), likely served an important function as chloroplast antioxidant, other than sustaining nonphotochemical quenching. Antioxidant enzymes and ascorbate also increased (+132% on average for superoxide dismutase, ascorbate peroxidase, and catalase) and contributed in countering oxidative stress in severely droughted plants. Large adjustments in the suite of physiological and biochemical traits may effectively enable *C. australis* to gain carbon at appreciable rates while avoiding irreversible damage to the photosynthetic apparatus even when challenged by severe drought stress, thereby making this species an excellent candidate for forest and urban plantings in sites experiencing extended periods of drought stress.

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Key words: antioxidant enzymes, gas exchange and PSII performance, isohydry, Mediterranean climate, photo-oxidative stress, xanthophylls

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30 1. Introduction

31 There is strong interest in understanding plant responses to drought since the frequency of intense
32 dry periods has increased much during the last three decades, and it is predicted to rise further because
33 of climate change (Flexas et al., 2014; Matesanz and Valladares, 2014; Percival, 2017). Plants living in
34 the Mediterranean basin frequently experience severe drought conditions, since rainfall scarcity occurs
35 in concomitance with intense heat waves under excessive solar irradiance (Bussotti et al., 2014; Matesanz
36 and Valladares, 2014). The negative impact of multiple stressors on plant performance will be
37 exacerbated by climate change (Allen et al., 2010), thereby increasing the risk of regional-scale mortality
38 in both forest and urban Mediterranean areas (Giorgi and Lionello, 2008). There is recent evidence,
39 indeed, that in response to drought stress, Mediterranean plants display a more negative predawn leaf
40 water potential (ψ_w) compared to not only temperate and tropical species, but also to desert plants
41 (Martinez-Vilalta et al., 2014).

42 Plants inhabiting the Mediterranean areas adopt different strategies to cope with the severe
43 scarcity of water available to the roots during the summer period (Lo Gullo and Salleo 1988; Quero et
44 al., 2011), which originates from both rainfall scarcity and high evapo-transpiration demand. Some
45 species display a near-anisohydric stomatal behavior in response to drought stress, which is manifested
46 by low stomatal sensitivity to vapor pressure deficit (VPD) an active osmotic adjustment, and marked
47 changes in cell wall elasticity and xylem traits (Kozlowski and Pallardy, 2002). This results in near-
48 anisohydric plants actively decreasing their leaf ψ_w to similar or even greater extent than the drop in soil
49 water potential, thereby maintaining soil-to-leaf water flux and a positive net carbon assimilation, even
50 at very negative soil water potentials (Kozlowski and Pallardy, 2002; Roman et al., 2015). Instead, near-
51 isohydric species display early depression in stomatal conductance to maintain ψ_w within a narrow range
52 and avoid embolism, but at expenses of photosynthetic gas exchange (Quero et al., 2011; Tattini et al.,
53 2015). This large reduction in the use radiant energy for carbon fixation enhances greatly the generation
54 of reactive oxygen species (ROS), and imposes severe photo-oxidative stress to leaves (Hernández et al.,
55 2012; Tattini et al., 2015).

56 Highly integrated biochemical adjustments operate in plants to effectively limit ROS formation
57 and scavenge ROS once they are formed in response to drought (Noctor et al., 2014). These include the
58 activation of a network of ‘antioxidant’ defenses, primarily constituted by photosynthetic pigments,
59 antioxidant enzymes and low molecular weight antioxidants (Apel and Hirt, 2004; Pintó-Matijuan and

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115 60 Munné-Bosch, 2014; Esteban et al., 2015a,b). This metabolic plasticity is of crucial significance for the
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117 61 survival of near-isohydric plants to the environmental challenges imposed by the Mediterranean climate
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119 62 (Tattini et al., 2015). There is still uncertainty about the effectiveness of such antioxidant system in
120 63 preserving leaves from irreversible photooxidative damage during severe drought stress (Fini et al., 2012;
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122 64 Noctor et al., 2014; Tattini et al., 2015).

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124 65 For instance, loss of chlorophyll may reduce centers of light absorption under excessive sunlight,
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126 66 but contemporarily limits the plant capacity to assimilate carbon and to promote new growth. There is
127 67 controversial evidence that reduction in chlorophyll concentration is effective in reducing drought-
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129 68 induced photooxidative damage (Munné-Bosch et al., 2001; 2003), and chlorophyll loss has been
130 69 associated to species or genotypes that display low resistance to drought (Colom and Vazzana 2003). In
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132 70 contrast, increases in carotenoid biosynthesis in response to several abiotic stresses have been
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134 71 documented in several species (possibly through ROS-mediated signaling, Fanciullino et al., 2014), with
135 72 a very few exceptions (e.g. when leaves suffer from very severe dehydration, Colom and Vazzana 2003).
136
137 73 This conforms to the notion that the ratio of carotenoids, particularly of violaxanthin cycle pigments
138 74 (VAZ) to chlorophyll, increases when the radiation use efficiency is limited by a wide range of
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140 75 environmental constraints, including drought (Esteban et al., 2015a; Tattini et al., 2015). Carotenoids
141 76 may indeed serve functions that go well beyond their mere ability to quench the excess energy in the
142
143 77 chloroplast, when plants face severe drought (Davison et al., 2002; Demmig-Adams and Adams, 2006;
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145 78 Ramel et al., 2012; Esteban et al., 2015a,b). Zeaxanthin (Zea) may enhance the rigidity of thylakoid
146 79 membranes, thus limiting lipid peroxidation (Jahns and Holzwarth, 2012; Domonkos et al., 2013; Havaux
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148 80 and Garcia-Plazaola, 2014; Esteban et al., 2015a, Tattini et al., 2015). Similarly, β -carotene (β -car), due
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150 81 to its specific location in the core complexes of PSI and PSII, may effectively quench the highly reactive
151 82 singlet oxygen (1O_2), rather than deactivate the chlorophyll triplet state ($^3Chl^*$) (Ramel et al., 2012, 2013).

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153 83 Similarly, the effectiveness of antioxidant enzymes to control cellular redox homeostasis has been
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155 84 questioned in some instances (Peltzer and Polle, 2001; Peltzer et al., 2002; Fini et al., 2011, 2012). There
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157 85 is evidence that the activities of catalase and ascorbate peroxidases substantially decrease in plants facing
158 86 a severe light excess (Mubarakshina et al., 2010; Fini et al., 2011, Agati et al., 2012; Fini et al., 2012),
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160 87 as observed in isohydric plants suffering from multiple stressors associated to Mediterranean summer
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162 88 conditions (Tattini et al., 2015).

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171 89 *Celtis australis*, native of thermophile mixed deciduous forests in the South Mediterranean is largely
172 used in reforestation plans in semiarid riparian zone as well as in restoration programs of natural
173 90 Mediterranean ecosystems (Schirone et al., 2011). In addition to its importance in natural Mediterranean
174 91 ecosystems, *C. australis* is also widely planted in the green infrastructure in cities across the Southern
175 92 Mediterranean (Oliveira et al., 2011; Gratani et al., 2016). Despite the large utilization of this species to
176 93 extensively replace ornamental trees that are not native to the Mediterranean (Konijnendijk, 2008), the
177 94 responses of *C. australis* to drought have been poorly investigated.
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182 96 Therefore, in our study we investigated the physiological and biochemical strategies adopted by
183 97 *C. australis* to cope with drought stress of increasing severity during Mediterranean summer. We
184 98 analyzed (1) water relations, gas exchange and PSII performance; (2) relevant biochemical traits involved
185 99 in photoprotection mechanisms, such as the concentration of photosynthetic pigments, the activity and
186 100 the concentration of primary antioxidants and (3) markers of photo-oxidative damage, related to the
187 101 oxidation of membrane lipids and proteins.
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192 102 **2. Material and Methods**

193 103 *2.1. Plant material and growth conditions*

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195 104 Two-year-old *Celtis australis* L. plants were grown in 8-L pots with a peat/pumice substrate
196 (50:50, v:v), and grown outside in screen houses in Florence, Italy (43° 46' N, 11° 15' E). Screen houses
197 105 were covered with a 100 µm ETFE fluoropolymer film (NOWOFLON® ET-6235, NOWOFLON®
198 106 Kunststoffprodukte GmbH & Co. KG, Siegsdorf, Germany), as reported in Agati et al. (2011). Drought
199 107 stress was imposed by withholding water (WS plants) whereas control plants (WW) were irrigated daily
200 108 to pot capacity, over a two-week experimental period. Pots were weighed daily, and actual water content
201 109 (AWC) of the substrate, a parameter depicting available moisture, was calculated as described in Fini et
202 110 al. (2013). Solar irradiance and air temperature, over the experimental period, were recorded at the
203 111 Institute of Biometeorology of the National Research Council of Italy
204 112 (<http://www.lamma.rete.toscana.it/en/weather-stations-data>) located 200 m away from the experimental
205 113 site. The experiment was performed in July, under minimum/maximum temperatures of $18.3 \pm 1.5 / 33.1$
206 114 ± 2.6 °C, and midday photosynthetic photon flux density (PPFD) of 1780 ± 140 µmol quanta m⁻² s⁻¹
207 115 (mean ± standard deviation, $n = 15$). Measurements were conducted one and two weeks after withholding
208 116 water in fully developed leaves.
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210 118 *2.2. Analysis of water relations, gas exchanges and chlorophyll fluorescence*

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227 119 Measurements of predawn water (ψ_w) and osmotic (ψ_π) potentials, and relative water content
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229 120 (RWC) were conducted as in Tattini et al. (2002). Net assimilation rate (A_N), stomatal conductance (g_s),
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231 121 and intercellular CO₂ concentration (C_i) were measured using a LI-6400 portable photosynthesis system
232 122 (Li-Cor, Lincoln, NE, USA). Measurements were conducted at 1200 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$, a leaf
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234 123 temperature of 30°C, and external CO₂ concentration of 400 $\mu\text{mol mol}^{-1}$. Irradiance was provided by the
235 124 Li-Cor integrated light source. To draw photosynthetic response curves to internal CO₂ concentration
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237 125 (A_N/C_i), measurements were first recorded at 400 $\mu\text{mol mol}^{-1}$ external CO₂ concentration (C_a). Then, C_a
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239 126 was decreased stepwise to 50 $\mu\text{mol mol}^{-1}$, returned to 400 $\mu\text{mol mol}^{-1}$, finally increased to 1800 μmol
240 127 mol^{-1} as reported in Tattini et al. (2015). The apparent maximum carboxylation rate allowed by Rubisco
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242 128 ($V_{c,\text{max}}$), and the apparent maximum electron transport rate contributing to ribulose-1,5-bisphosphate
243 129 (RuBP) regeneration (J_{max}) were calculated from A_N/C_i curves, as described by Sharkey et al. (2007). A
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245 130 quantitative analysis of stomatal (SL), and non-stomatal (NSL) limitations to A_N was performed from
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247 131 A_N/C_i curves as described previously (Lawlor, 2002; Long and Bernacchi, 2003). Briefly, SL were
248 132 assessed as: $\text{SL} = (A'' - A')/A''$, where A' is net CO₂ assimilation at ambient CO₂ concentration and A''
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250 133 is CO₂ assimilation assuming $C_i = C_a$ (i.e. infinite g_s). NSL were assessed as $\text{NSL} = (A - B)/A$ where A
251 134 and B denote CO₂ assimilation at ambient CO₂ concentration in unstressed and drought stressed plants,
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253 135 respectively.

254
255 136 Chlorophyll-fluorescence kinetics analysis was conducted using an Imaging-PAM chlorophyll
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257 137 fluorometer (Heinz Walz, Effeltrich, Germany), as detailed in Tattini et al. (2015). Minimum
258 138 fluorescence (F_0) was determined after a 0.8 $\mu\text{mol m}^{-2} \text{s}^{-1}$ measuring light in dark-adapted leaves (over
259
260 139 a 30-min period), and maximum fluorescence in the dark-adapted state (F_m) using saturating pulses (0.5
261 140 s) of red light (8000 $\mu\text{mol m}^{-2} \text{s}^{-1}$). Maximum PSII photochemistry (F_v/F_m) was then calculated as F_v/F_m
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263 141 $= (F_m - F_0)/F_m$. Steady state fluorescence (F_s) was recorded under actinic light of 1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$, then
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265 142 the maximum fluorescence under actinic light (F_m') was recorded following saturating light pulses.
266 143 Nonphotochemical quenching (NPQ) was calculated as $\text{NPQ} = (F_m - F_m')/F_m'$ and actual quantum yield
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268 144 of PSII (Φ_{PSII}) as $\Phi_{\text{PSII}} = (F_m' - F_s)/F_m'$. The electron transport rate (ETR) was calculated as $\text{ETR} = 0.5 \times$
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270 145 $\Phi_{\text{PSII}} \times \text{PPFD} \times \text{leaf absorptance}$. The factor 0.5 assumes an equal distribution of photons between PSI
271 146 and PSII. Leaf absorptance of 0.87 was determined using a Li-Cor 1800 spectroradiometer equipped with
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273 147 a Li-Cor 1800-125 integrating sphere, as previously reported (Tattini et al. 2005).

274 275 148 *2.3. Analysis of photosynthetic pigments*

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283 149 Photosynthetic pigments were analyzed following the protocol of Tattini et al. (2015). Fresh leaf material
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285 150 (300 mg) was extracted with 2×5 mL acetone (with the addition of $0.5 \text{ g L}^{-1} \text{ CaCO}_3$) and injected (15
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287 151 μL) in a Perkin Elmer Flexar liquid chromatograph equipped with a quaternary 200Q/410 pump and a
288 152 LC 200 photodiode array detector (PAD) (Perkin Elmer, Bradford, CT, USA). Photosynthetic pigments
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290 153 were separated in a 250×4.6 mm Agilent Zorbax SB-C₁₈ (5 μm) column operating at 30°C , and eluted
291 154 with a linear gradient solvent system, from 100% CH₃CN/MeOH (95/5 with 0.05% of triethylamine) to
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293 155 100% MeOH/ethyl acetate (6.8/3.2), at a flow rate of 1 mL min^{-1} over a 18-min run. Violaxanthin-cycle
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295 156 pigments (violaxanthin, Vio; antheraxanthin, Ant; zeaxanthin, Zea), neoxanthin (Neo), lutein (Lut), β -
296 157 carotene (β -car), chlorophylls *a* and *b*, were identified using spectral characteristics and retention times.
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298 158 Pigments were quantified using authentic standards from Extrasynthese (Lyon-Nord, Genay, France) and
299 159 from Sigma Aldrich (Milan, Italy), respectively.
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301 160 *2.4. Analysis of antioxidant enzymes and ascorbic acid*

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304 161 The activities of antioxidant enzymes and the concentrations of reduced (ASA) and oxidized (DHA)
305 162 ascorbic acid concentration were determined spectrophotometrically on 500 mg fresh leaf material,
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307 163 frozen in liquid nitrogen and extracted with 2 mL of 100 mM potassium phosphate buffer (pH 7.0) with
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309 164 the addition of ethylene diamine tetra-acetic acid (EDTA), as recently reported (Tattini et al., 2015).
310 165 Protein content was determined using the Protein Assay Kit (Bio Rad®, Hercules, CA, USA). Catalase
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312 166 (*CAT*, EC 1.11.1.6) activity was measured at 270 nm by measuring the rate of conversion of H₂O₂ to O₂
313 167 and H₂O. The activity of ascorbate peroxidase (*APX*, EC 1.11.1.11) was determined by monitoring at
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315 168 265 nm the H₂O₂-dependent ASA oxidation in a reaction mixture (in phosphate buffer, pH 6.4) consisting
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317 169 of 50 μM ASA, 90 μM H₂O₂, 50-100 μg protein. Non-enzymatic H₂O₂-dependent and H₂O₂-independent
318 170 ASA oxidation were subtracted to correct *APX* activity. The analysis of superoxide dismutase (*SOD*; EC
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320 171 1.15.1.1) activity was conducted by measurements at 560 nm of the inhibition of nitroblue tetrazolium
321 172 (NBT) reduction by *SOD*. The amount of enzyme required to reduce the NBT reduction state by 50%
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323 173 was defined as one unit of *SOD*. The concentrations of ASA and DHA were determined as in Tattini et
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325 174 al. (2015).
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327 175 *2.5. Analysis of lipid peroxidation and protein oxidation*

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329 176 The lipid peroxidation was determined spectrophotometrically based on the formation of
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331 177 malondialdehyde (MDA) using the thiobarbituric acid (TBA) reaction, whereas protein-carbonyl content
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339 178 was determined by the reaction with 2,4-dinitrophenylhydrazine, following the protocols reported in
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341 179 Tattini et al., 2015.

343 180 2.6. *Experimental design and statistical analysis*

345 181 The experiment was a completely randomized block design, with four blocks (screen houses), each
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347 182 consisting of eight plants per water treatment. Measurements were conducted when AWC of WS plants
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349 183 reached 25-30% (moderate stress, 7 days after withholding water), and when AWC < 10% (severe stress,
350 184 15 days after withholding water). Water relations, gas exchange and chlorophyll fluorescence kinetics
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352 185 were analyzed in four replicate plants per treatment between 11:30-14:00 h. Each replicate consisted of
353 186 two leaves. Identification and quantification of metabolites and the activities of antioxidant enzyme were
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355 187 conducted on four replicate plants (two leaves per replicate) sampled at 11:30 and 14:00 h, and pooled
356 188 together prior to analysis. Data were analyzed using repeated measures with ANOVA (SPSS v.20, IBM,
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358 189 NY, USA), with water treatment as the between-subjects factor and time as the within-subjects factor.
359
360 190 Significant differences among means were determined with Tukey's test at the 5% level.

362 191 3. Results

364 192 3.1. *Drought effects on water relations, gas exchange and PSII performance*

366
367 193 *C. australis* did not suffer from severe leaf water unbalance during drought stress (Fig. 1). Predawn leaf
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369 194 water potential declined already after one week of drought stress, and decreased further, though to lesser
370 195 extent, as drought stress progressed (Fig. 1a). Turgor potential decreased in WS plants (ψ_p varied from
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372 196 -1.45 in WW to -1.18 MPa in WS leaves at day 15, data not shown), since osmotic potential did not
373 197 vary between WW and WS plants (Fig. 1b). Nonetheless, relative water content (RWC) was unaffected
374
375 198 by the water treatment (Fig. 1c).

377 199 Net photosynthesis (A_N , -29% , Fig. 2a) and particularly stomatal conductance (g_s , -48% , Fig. 2b)
378
379 200 decreased substantially already at moderate drought, and declined further as the stress became more
380
381 201 severe, with slightly greater reductions in g_s than in A_N . Drought-induced depressions in A_N were mostly
382 202 due to stomatal limitations (SL, Fig. 3), irrespective of the severity of drought. This is in line with the
383
384 203 observation that the intercellular CO_2 concentration (C_i) was lower in WS leaves than in WW leaves
385
386 204 throughout the experiment (Fig. 2c). Nonetheless, NSL to A_N (i.e. mesophyll resistance to CO_2 diffusion
387 205 to chloroplasts plus biochemical constraints to CO_2 carboxylation, Fini et al., 2016) considerably
388
389 206 increased from moderate to severe drought (inset in Fig. 3).

393
394
395 207 The apparent rate of carboxylation by Rubisco ($V_{c,max}$, Fig. 2d) did not vary, whereas the apparent
396
397 208 maximum electron transport rate contributing to RuBP regeneration (J_{max} , Fig. 2e) declined significantly
398
399 209 (-26%) at moderate drought in WS leaves. Both parameters, particularly J_{max} decreased steeply (-68%)
400 210 from moderate to severe drought in WS leaves. The electron transport rate (ETR, Fig. 2f) did not vary at
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402 211 moderate drought, and the reduction in ETR at severe drought was less than the declines in other
403
404 212 photosynthetic parameters.

405
406 213 Maximum efficiency of PSII photochemistry (F_v/F_m , Fig. 4a) decreased similarly at moderate stress and
407 214 severe stress (-5% and -10%, compared to well-watered trees), because of increases in the fluorescence
408
409 215 yield of open reaction centers (i.e., F_0/F_m increased by 25% in WS leaves at severe drought, Fig. 4b). The
410
411 216 removal of excess energy estimated by NPQ significantly increased at moderate stress (+54%), but did
412 217 not change further at severe drought (Fig. 4c).

413 414 415 218 *3.2. Drought effects on photosynthetic pigments*

416
417 219 The concentration of total chlorophyll (Chl_{tot} , Fig. 5a) did not differ between WS and WW leaves,
418
419 220 whereas the concentration of carotenoids (Car_{tot}) was higher in severely WS leaves than in the WW ones
420 221 (Fig. 5b). In WW leaves, all carotenoids, with the exception of Vio (Fig. 5g) and β -car (Fig. 5d),
421
422 222 decreased in concentration throughout the experiment. While the concentrations of Lut (+20%, Fig. 5e),
423 223 Neo (+34%, Fig. 5f) and Zea (+34%, Fig. 5h) increased in WS leaves from moderate to severe drought,
424
425 224 the reverse was observed regarding the concentration of β -car. The concentration of VAZ pigments in
426
427 225 WS leaves largely exceeded that in WW leaves, not only on Chl_{tot} basis (on average +51%, Fig. 5c), but
428 226 also on leaf mass basis (+39%, data not shown). The de-epoxidation state of VAZ pigments (DES, Fig.
429
430 227 5i), which was on average 90% higher in WS than in WW leaves, increased already at mild drought, but
431 228 much less from mild to severe drought.

433 434 229 *3.3. Drought effects on enzymatic and non-enzymatic antioxidants, and markers of oxidative* 435 230 *damage*

436
437 231 The activities of antioxidant enzymes, with the exception of CAT, varied significantly early during
438
439 232 drought stress imposition (on average by 45% between WW and WS leaves at moderate drought, Fig.6
440
441 233 a-c). In contrast, the activities of all antioxidant enzymes greatly increased at severe drought (on average
442 234 + 130%). We did not observe changes in the concentration of ascorbic acid (ASA) between WW and WS
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451 235 leaves (Fig. 6d), whereas the ratio of ASA to DHA (dehydroascorbic acid) was significantly higher in
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453 236 WW compared to WS leaves at severe drought (Fig. 6e).

454
455 237 Moderately droughted leaves experienced greater photooxidative damage, here estimated by the
456
457 238 peroxidation of leaf membrane lipids (MDA, Fig. 7a) and by the formation of carbonyl groups due to
458
459 239 protein oxidation (Fig. 7b), compared WW leaves. Instead, both MDA and carbonyl group concentration
460 240 did not differ between WW and severely droughted WS leaves.

462 241 **4. Discussion**

464 465 242 *4.1. Celtis australis displays near-isohydric behavior under drought and diffusional* 466 243 *limitations mostly constrain photosynthesis*

468
469 244 Our study indicates *C. australis* does not tolerate dehydration since leaf osmotic potential did not
470 245 vary between WW and WS leaves (Kozłowski and Pallardy, 2002). Instead, markedly higher reductions
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472 246 in A_N and g_s than in both ψ_w and tissue hydration (RWC), irrespective of the severity of drought, are
473
474 247 consistent with a near-isohydric behaviour regulating the response of *C. australis* to low water
475 248 availability. Data of our study suggests that *C. australis* adopts a conservative use of water (Moreno-
476
477 249 Gutierrez et al., 2012) to cope with water deficit. Drought stressed leaves display a higher intrinsic water
478
479 250 use efficiency ($iWUE = A_N/g_s$, was on average 105.4 ± 8.3 mmol CO₂ mol⁻¹ H₂O, mean \pm SD, $n = 8$)
480 251 compared to WW leaves ($iWUE$ averaged 75.2 ± 5.6). Water and CO₂ exchange between the atmosphere
481
482 252 and the sub-stomatal chamber were hindered by high stomatal limitations occurring in both WW (on
483 253 average SL accounted for 36%) and WS leaves (SL reached 44%), regardless of soil water availability.
484
485 254 Indeed, in our study, saturating photosynthesis and stomatal conductance did not exceed $7.85 \mu\text{mol m}^{-2}$
486 255 s^{-1} and $108.4 \text{ mmol m}^{-2} \text{ s}^{-1}$, respectively, under well-watered conditions. Though we have not measured
487
488 256 morphological traits of leaf surface, the dense indumentum of non-glandular trichomes coupled with a
489
490 257 low frequency of paracytic and small-sized stomata previously reported in *Celtis* (Abrams et al., 1994)
491 258 might have contributed to high stomatal limitations to photosynthesis observed in our study.

492
493 259 Albeit stomatal closure mostly limited A_N in drought stressed *C. australis* leaves, our study also
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495 260 evidences that both the apparent decreases in both maximum Rubisco carboxylation ($V_{c,max}$), and
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497 261 particularly the RuBP regeneration (J_{max}) contributed in limiting photosynthesis at severe drought
498 262 (Medrano et al., 2002; Flexas et al., 2014). The markedly greater declines in $V_{c,max}$ and J_{max} than in ETR,
499
500 263 coupled with small reductions in F_v/F_m , suggest down-regulation rather than permanent impairment of

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506
507 264 the photosynthetic apparatus occurred in *C. Australis* even at severe drought (Murchie and Lawson,
508 265 2013). In our study, drought-induced declines in F_v/F_m resulted from large enhancements in F_0/F_m . Since
510 266 Chl_{tot} did not vary between WW and WS plants, we suggest that drought stress mostly affected the
512 267 photochemical efficiency of PSII reaction centers, possibly due to the physical separation of PSII reaction
513 268 centers from both light harvesting complexes and from the donor side of PSII (Murchie and Lawson,
515 269 2013).

517
518 270 Regulatory mechanisms aimed dissipating the excess energy in the chloroplast are evident in our
519 271 study. Drought-induced increase in ETR/A_N (on average ETR/A_N varied from 14.2 ± 2.4 in WW to 20.1
520 272 ± 2.1 in WS leaves, mean \pm s.d., $n = 8$) was paralleled by an increased amount of energy dissipated as
522 273 heat, as revealed by the increase in NPQ. However, we note that ETR/A_N significantly increased ($P =$
523 274 0.007) in WS plants from moderate ($ETR/A_N = 17.7 \pm 1.8$, mean \pm S.D. $n = 4$) to severe drought (ETR/A_N
525 275 $= 24.2 \pm 1.9$) without a parallel enhancement in NPQ. We hypothesize that alternative dissipation
527 276 processes, such as photorespiration, (see section 4.3 for further details) may have additionally contributed
528 277 in dissipating excess electrons.

531 278 *4.2. Carotenoids only in part contributed to nonphotochemical quenching in severely droughted* 532 279 *leaves*

535 280 The concentration of carotenoids decreased in WW, but not in WS leaves during the experiment.
536 281 The carotenoid reduction in WW leaves was possibly due to the concomitant effect of high both solar
537 282 irradiance and air temperature to which leaves were exposed in our study, as also recently reported by
538 283 (Tattini et al. (2015). Since fresh assimilated carbon available to the biosynthesis of Methylerythritol 4-
541 284 phosphate (MEP)-derived products progressively decreased, we suggest that an increased flux of fresh
543 285 assimilated carbon was devoted to the biosynthesis of photoprotective pigments in WS leaves, as drought
544 286 stress became more severe. This may have relevant functional reasons, since WS leaves retained Chl_{tot}
546 287 at the level of WW leaves, and hence were exposed to photo-oxidative stress of increasing severity as
548 288 drought stress progressed.

550 289 Our hypothesis is further corroborated by the observation that the composition, not only the bulk
551 290 of carotenoid pigments underwent large variations because of the severity of drought. Firstly, the
552 291 significantly higher concentration of VAZ, coupled with the greater contribution of Zea to the VAZ pool,
553 292 sustained the superior dissipation of excess energy through NPQ observed in WS than in WW leaves. It
554 293 is worth nothing, however, that the concentration of VAZ pigments relative to Chl_{tot} was high enough

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562
563 294 (even in WW leaves) to exceed largely their potential binding sites in antenna proteins (Esteban *et al.*,
564 295 2015a). This suggests that Zea likely served antioxidant functions in the chloroplast (Havaux *et al.*, 2007;
565 296 Peguero-Pina *et al.*, 2013; Esteban *et al.*, 2015a,b), the significance of which increased along with the
566 297 severity of drought stress. This is in line with the observation that while the VAZ pool and de-epoxidation
567 298 state of VAZ significantly increased, NPQ did not vary in from moderately to severely droughted, WS
568 299 leaves. Secondly, the increase in Zea concentration was not paralleled by a decrease in Vio concentration,
569 300 but by a decrease in β -car concentration, as drought stress progressed. We hypothesize that Zea may have
570 301 been in part synthesized from β -car (through the action of β -car hydroxylase, Davison *et al.*, 2002) in
571 302 severely droughted plants. Indeed, the ratio of Zea to β -car increased from 0.72 in moderately to 1.38 in
572 303 severely droughted leaves. This likely enhanced the rigidity of thylakoid membranes and limited
573 304 membrane lipid peroxidation (Gruszecki and Strzałka 2005; Domonkos *et al.*, 2013), thereby contributing
574 305 to drought resistance. We cannot exclude that the decrease in β -car concentration, as the drought become
575 306 more severe, may have resulted from its direct oxidation by 1O_2 , with formation of oxidation products
576 307 (which is known to occur also in low-light conditions, Ramel *et al.*, 2012) that have not been examined
577 308 in our study. However, β -car oxidation unlikely fully accounted for the large decrease in β -car
578 309 concentration (0.09 μ mol on leaf mass basis) from moderate to severe drought in WS leaves. Finally, the
579 310 increases in the concentration of other major components of the xanthophyll pool, such as Lut and Neo,
580 311 during drought stress progression may have also relevant functional reasons. Lut has the greatest ability
581 312 to quench $^3Chl^*$ compared to other xanthophylls, because of its specific ability to bind at the L1 site of
582 313 the major LHCII complex (Mozzo *et al.*, 2008), whereas the location of Neo at the periphery of the PSII
583 314 super complexes may be an effective scavenger of superoxide anion (O_2^- ; Dall'Osto *et al.*, 2007).

597 315 *4.3 Antioxidant enzymes effectively preserve drought stressed leaves from oxidative damage*

599
600 316 Data of our study suggest a central role of *SOD*, *CAT*, and *APX* in protecting *C. australis* against
601 317 intense drought stress, since their activities increased considerably and markers of oxidative damage did
602 318 not vary as drought stress progressed. Data of our study are in contrast with the marked declines in the
603 319 activities of APX and CAT observed in species with wider geographical distribution when exposed to
604 320 long periods of drought stress and high air temperature (Peltzer and Polle, 2011; Peltzer *et al.*, 2002; Fini
605 321 *et al.*, 2012; Tattini *et al.*, 2015). Our data offer additional experimental support to previous suggestions
606 322 that the depression in the activities of antioxidant enzymes occurs when leaves are challenged by a severe
607 323 excess of excitation energy (Mullineaux and Karpinski, 2002; Mubarakshina *et al.*, 2010; Fini *et al.*,
608 324 2012; Tattini *et al.*, 2015). Indeed, in our experiment, A_N was still appreciable and ETR/A_N did not

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619 325 increase steeply even at severe drought. Since drought stress did not affect the ASA concentration,
620
621 326 whereas the ASA/DHA ratio decreased relatively little (-18%) even in severely droughted WS leaves, it
622
623 327 is suggested that the chloroplasts were equipped with an efficient system for the removal of H₂O₂ (Jubani-
624 328 Mari et al., 2010). The observation of the steep enhancement of CAT activity from moderate to severe
625
626 329 drought stress is interesting, and may further corroborate our hypothesis that photorespiration might have
627 330 represented an effective dissipation process for excess reducing power in severely droughted leaves
628
629 331 (Noctor et al., 2014). In fact, because CAT is mainly located in peroxisomes, enhanced activity of this
630
631 332 enzyme may be particularly important to metabolize photorespiratory H₂O₂, which, as noted above, can
632 333 be produced at higher rates when water becomes limiting (Noctor et al., 2014).
633

634 334 **5. Conclusions**

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636
637 335 *C. australis* displays a very effective -near-isohydric- strategy to cope with the scarcity of soil
638 336 water, and diffusional limitations mostly constrain photosynthesis even at severe drought. Our study
639
640 337 reveals an effective down-regulation rather than permanent impairment of PSII photochemistry in
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642 338 response to drought stress of increasing severity. It is therefore conceivable that prompt recovery of
643 339 photosynthetic performance will occur upon the removal of stomatal limitations, when water is newly
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645 340 available to the roots. We have shown that *C. australis* did not suffer from severe photo-oxidative
646 341 damage, here estimated based on the products of both lipid and protein oxidation, even when challenged
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648 342 by severe drought. We offer evidence of a major role of de-epoxidized xanthophylls in sustaining the
649 343 thermal dissipation of excess radiant energy through NPQ at moderate drought, whereas at severe drought
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651 344 both Zea (which likely was partially synthesized thorough hydroxylation of β -car) and Neo likely served
652
653 345 prominent antioxidant functions. Our study also reveals pivotal roles of antioxidant enzymes and ascorbic
654 346 acid in ROS detoxification, the significance of which increased along with the severity of drought.
655

656 347 Our study supports the view that *C. australis*, an isohydric species with a conservative use of use,
658 348 is effectively equipped to match the oxidative load generated by the reductions in CO₂ availability for
659
660 349 photosynthesis during drought. This makes *C. australis* an excellent candidate for forest and urban
661 350 plantings in sites experiencing extended periods of drought stress.
662

663 351 **Funding**

665
666 352 This study was funded by the Project TreeCity, PRIN (2010-2011), Rome, Italy.
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Figure captions

Figure 1. Predawn leaf water (Ψ_w , a) and osmotic (Ψ_π , b) potentials, and relative water content (RWC, c) in well-watered (WW, open bars) or drought stressed (WS, grey bars) leaves of *Celtis australis* sampled at moderate (7 d) and severe (15 d) drought stress. Data (means \pm SD, $n = 4$) were analyzed with repeated measures with ANOVA, and bars with different letters differ significantly at $P < 0.05$, using Tukey's test.

Figure 2. Photosynthesis (A_N , a), stomatal conductance (g_s , b), intercellular CO₂ concentration (C_i , c), apparent maximum both carboxylation rate allowed by Rubisco ($V_{c,max}$, d), electron transport rate contributing to ribulose-1,5-bisphosphate (RuBP) regeneration (J_{max} , e), and the actual electron transport rate (ETR, f) in well-watered (WW, open bars) and drought stressed (WS, grey bars) leaves of *Celtis australis*, measured at moderate (7 d) and severe (15 d) drought stress. Data (means \pm SD, $n = 4$) were analyzed with repeated measures with ANOVA, and bars with different letters differ significantly at $P < 0.05$, using Tukey's test.

Figure 3. Stomatal limitations (SL, in percent) to photosynthesis (A_N) in well-watered (WW, open bars) or drought stressed (WS, grey bars) leaves of *Celtis australis* sampled at moderate (7 d) and severe (15 d) drought stress. Graph in the inset shows the contribution of non-stomatal limitations (NSL, in percent) to A_N in droughted leaves. Data (means \pm SD, $n = 4$) were analyzed with repeated measures with ANOVA, and bars with different letters differ significantly at $P < 0.05$, using Tukey's test.

Figure 4. The maximum efficiency of PSII photochemistry (F_v/F_m , a), the ratio of minimum (F_0) to maximum (F_m) fluorescence (F_0/F_m , b), and the nonphotochemical quenching (NPQ, c) in well-watered (WW, open bars) or drought stressed (WS, grey bars) leaves of *Celtis australis* sampled at moderate (7 d) and severe (15 d) drought stress. Data (means \pm SD, $n = 4$) were analyzed with repeated measures with ANOVA, and bars with different letters differ significantly at $P < 0.05$, using Tukey's test.

Figure 5. The concentrations of total chlorophyll (Chl_{tot} , a) and total carotenoids (Car_{tot} , b), the concentration of violaxanthin-cycle pigments (VAZ) relative to Chl_{tot} (c), the concentrations of lutein (d), β -carotene (e), neoxanthin (f), violaxanthin (g), zeaxanthin (h), and the de-epoxidation state (DES) of VAZ ($DES = (0.5A + Z) (V + A + Z)^{-1}$, i) in well-watered (WW, open bars) or drought stressed (WS, grey bars) leaves of *Celtis australis* sampled at moderate (7 d) and severe (15 d) drought stress. Data (means \pm SD, $n = 4$) were analyzed with repeated measures with ANOVA, and bars with different letters differ significantly at $P < 0.05$, using Tukey's test.

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Figure 6. The activities (on protein, p., basis) of (a) superoxide dismutase (SOD), (b) ascorbate peroxidase (APX,) and (c) catalase (CAT), (d) the concentration (on DW basis) of reduced ascorbate (ASA) and (e) the ratio of ASA to oxidized ascorbate (DHA) (ASA/DHA) in well-watered (WW, open bars) or drought stressed (WS, grey bars) leaves of *Celtis australis* sampled at moderate (7 d) and severe (15 d) drought stress. Data (means \pm SD, $n = 4$) were analyzed with repeated measures with ANOVA, and bars with different letters differ significantly at $P < 0.05$, using Tukey's test.

Figure 7. The concentrations of malondialdehyde (MDA, a) and of carbonyl groups (b) in well-watered (WW, open bars) or drought stressed (WS, grey bars) leaves of *Celtis australis* sampled at moderate (7 d) and severe (15 d) drought stress. Data (means \pm SD, $n = 4$) were analyzed with repeated measures with ANOVA, and bars with different letters differ significantly at $P < 0.05$, using Tukey's test.













