

# Understanding galling wear initiation and progression using force and acoustic emissions sensors

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Understanding galling wear initiation and progression using force and acoustic emissions sensors

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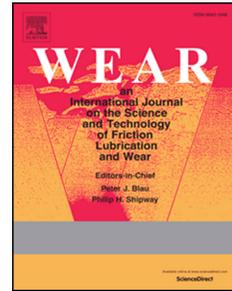
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## Title Page

### Paper title:

Understanding galling wear initiation and progression using force and acoustic emissions sensors

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# Understanding galling wear initiation and progression using force and acoustic emissions sensors

## Highlights

- AE peak feature can be used to study initiation of galling.
- Tangential force can be used to indicate prior indication of galling.
- Indenter attack angle plays an important role on the abrasive wear transition.
- Profile depth is quantitative wear measurement to study abrasive wear transition.

## Abstract

In the stamping process, tools are prone to an adhesive wear mode called galling. This galling wear mode on the stamping tool results in an abrasive wear modes like ploughing and cutting on the workpiece. To study the adhesive and abrasive wear modes relevant in sheet metal stamping processes, scratch tests were performed under controlled conditions where galling, ploughing and cutting can be observed. Two sets of scratch tests were performed to study the galling behaviour using force and acoustic emission sensors. In the first test set, scratch tests were performed at a different depth of penetration to segregate the non-galling and galling conditions. In the second test set, scratch tests were performed at a different sliding distances to understand the influence of galling on the abrasive wear modes. To study the galling behaviour, acoustic emission and force features from both of the test sets were correlated with profilometry wear measurement features like profile depth, wear index, attack angle and volume measurement of galling. From the quantitative measurement of galling on the indenter, a minimal lump was observed on the indenter when the cutting at the edges of scratch was observed. A much larger lump was observed on the indenter for conditions when fracture was observed on the workpiece at the centre of the scratch. The acoustic emission burst signal and unstable force behaviour was mainly observed when the significant lump was observed on the indenter. The methodology adopted to investigate galling wear in this study lays the strong foundation to develop real-time monitoring systems to observe the transition from non-galling to galling conditions.

Keywords: Galling, Ploughing, Cutting, Acoustic emissions, Force, Profile depth, Attack angle.

## 1. Introduction

The continued focus on vehicle weight reduction in the automotive industry has resulted in the increased use of advanced and ultra-high strength steels in car body panels. This has led to increased forming forces and stresses on the stamping tools used in automotive manufacturing, leading to premature failure of the stamping tools [1]. In dry and low lubrication forming conditions – especially for uncoated cast iron and steel tools – failure of the tooling is primarily attributed to an adhesive wear mechanism called galling. According to ASTM G40-17 [2], galling wear is a form of surface damage arising between sliding solids, distinguished by macroscopic, usually localized, roughening and creation of protrusions above the original surface. The protrusion due to galling wear results in plastic flow or material transfer, or both [2]. In sheet metal stamping process, galling occurs between sliding surfaces, due to surface defects, inefficiency of lubricants and the temperature at tool workpiece interface and results in the transfer of sheet material to the tool surface. This transferred material can then become work hardened and result in large hard protrusions on the tool surfaces, which can then cause severe scratching of the opposing sheet surfaces [3-4]. This scratching mechanism on the sheet surface can be considered as abrasive wear modes [5-7]. The most common abrasive wear modes are two and three body abrasive wear modes. According to ASTM G40-17 [2], in two body abrasive wear, the hard particles which produce the wear of one body are fixed on the surface of other body. This two body abrasive wear often changes to three body abrasive wear as the wear debris acts as abrasives between two solid bodies in relative motion [2, 9]. The most common type of abrasive wear that are observed in sheet metal stamping process are ploughing and cutting wear [6-8]. Ploughing can be defined as the formation of grooves due to plastic deformation of the softer surface between the two surfaces in relative motion. Whereas, during cutting wear, material is taken away in the form of debris [2, 9]. In sheet metal stamping process, these scratches on the sheet surface can develop very quickly due to the rapid build-up of transferred material during the growth stage of the galling mechanism [8]. This results in the need for regular maintenance of the stamping tools or unscheduled stoppages in production due to wear-induced

47 deterioration of part surface quality. In these cases, even a slight improvement in the tool maintenance method,  
48 via an improved understanding of the instantaneous state of the tools, can reduce machine downtime and  
49 unscheduled maintenance. Therefore, monitoring of tool wear in real-time in industry is of high importance, and  
50 can provide significant benefit compared to other wear measurement methods (e.g. mass loss, visual inspection,  
51 surface profilometry), since these methods do not provide timely information about possible transition in wear  
52 mechanisms and the severity of any localised wear at the tool-workpiece interface.

53 Galling initiation has been studied in sheet metal forming using different experimental setups and sensors. For  
54 example, considerable studies have been performed to study galling development on the tool using force  
55 sensors. Gård et al. [3], Karlsson et al. [4] and Heidi et al. [10] investigated the galling resistance using force  
56 sensors on slider on sheet tribology tests. In these studies, the force was observed to be unstable when galling  
57 was observed and the presence of galling was indicated by qualitative observation of the tool and sheet surfaces.  
58 Galling initiation was found to result in an increment in the measured tangential force [3, 11]. In addition to  
59 galling wear on the tools, Gård et al. [3] and Karlsson et al. [4] also observed abrasive wear on the sheet  
60 surface due to the presence of galling on the tool surface. As the galling initiation on the tool and sheet surface,  
61 was indicated qualitatively in these studies, therefore it is evident that there has been limited attempts made to  
62 correlate the force behaviour due to galling initiation with the quantitative wear measurement of tool and sheet  
63 surface. Therefore, there is a need for additional studies to understand the force behaviour due to non-galling  
64 conditions and galling conditions, by quantitatively measuring the galling and its progression on the tool surface  
65 and wear on the sheet surface.

66 Adhesive and abrasive wear have been studied together using a single experimental setup. For example, Hase et  
67 al. [12] used an acoustic emission (AE) sensor during pin on block tests using two different material conditions  
68 and found adhesive or abrasive wear, depending on the material pairs examined. To investigate adhesive wear,  
69 the material combination of a metal pin and metal block was used and adhesive wear was observed in the AE  
70 frequency range of 1-1.5 MHz. To investigate abrasive wear, the material combination of an iron pin and emery  
71 paper was used and abrasive wear was observed in the AE frequency range of 0.3-1 MHz [12]. To investigate  
72 adhesive and abrasive wear within a single set of test conditions and material combination, Sindi et al. [13] used  
73 an AE sensor on the slider on sheet setup with a material combination of tool steel and stainless steel and  
74 observed severe scratches and adhesive wear in the AE frequency range of 0.19-0.375 MHz. The AE features  
75 were qualitatively correlated with the surface images of the workpiece. As observed in the study of Hase et al.  
76 [12] and Sindi et al. [13], only the AE burst signals were used for the analysis and the results were focussed on  
77 the behaviour after abrasive wear had been observed on the sheet surface due to adhesive wear on the tool  
78 surface. However, to understand the transition from non-galling to galling conditions, there is a need for  
79 additional investigation using the continuous AE data recording and to analyse how the AE signal changes  
80 during this transition. This knowledge will be a key step towards developing AE-based real-time monitoring  
81 tools and, therefore, forms the basis for the work in this paper.

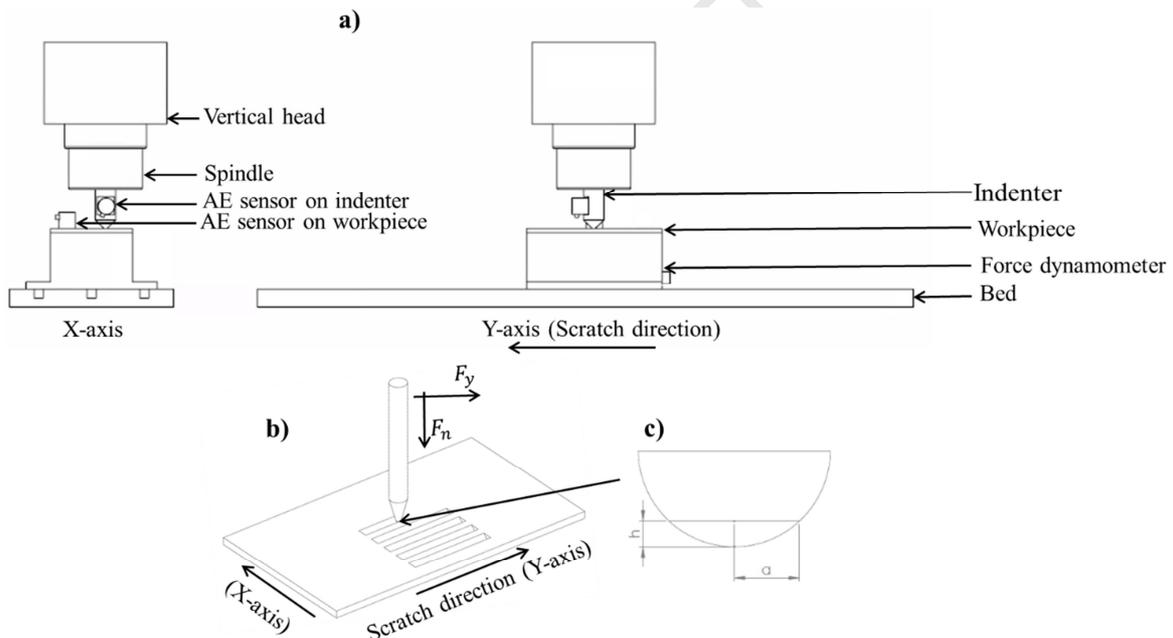
82 There have also been considerable attempts to investigate the galling wear under the industrial conditions. For  
83 example, Ubhayaratne et al. [1] studied galling initiation in a sheet metal stamping process using the audio  
84 sensor and by examining the worn condition of the sheet surfaces using optical profilometer measurements.  
85 Voss et al. [14] developed a methodology using optical profilometer wear measurement to detect and measure  
86 galling in sheet metal stamping wear tests by performing the wavelet analysis on the surface profiles of the sheet  
87 surface. Skåre et al. [15] and Shanbhag et al. [16] also investigated the galling initiation on the tool using AE  
88 sensors in similar semi-industrial sheet metal stamping tests. Shanbhag et al. [16] also investigated the galling  
89 wear using a “profile depth” wear measurement feature obtained from the surface profiles of the sheet surface.  
90 In all these studies, the presence of galling was indicated by the surface examination of the workpiece.  
91 However, in the literature, there is very little work that attempts to quantitatively measure and understand the  
92 wear mechanism on the sheet surface and wear mechanism on the tool, possibly due to the difficulty in  
93 obtaining detailed measurements of both surfaces at sufficiently regular intervals during the industrial-type test  
94 conditions. Therefore, there is a need to perform tests under controlled conditions using the same material  
95 combinations which permits the investigation of the wear mechanism on tool and the sheet surface. For this  
96 reason, scratch tests have been widely used to study only the abrasive wear modes [17-19] and also to study the  
97 combination of abrasive wear and adhesive wear modes [20-21] under controlled conditions. The transition of  
98 abrasive wear from the ploughing mode to the cutting mode is dependent on the surface conditions of the tool. A  
99 lump growth model was developed by de Rooij et al. [22-23] to explain the galling initiation on the tool during  
100 the wedge formation abrasive wear mode. However, there is need for experimental confirmation by  
101 quantitatively measuring the lump on the tool during the wedge formation mode. In addition, there has been  
102 little study or no attempt made to actively monitor the galling development during the abrasive wear transition  
103 (ploughing to cutting) using force and AE and understand how the force and AE signals change with the galling  
104 progression.

105 Since force and AE sensors have been successfully used to investigate galling, this study investigates the  
 106 influence of galling wear of the indenter on the abrasive wear mechanisms using a combination of force and AE  
 107 sensors. To understand the transition from non-galling to galling wear mechanisms, a series of experiments  
 108 were performed in controlled conditions using a scratch tester. Two sets of experiments were performed to meet  
 109 the objective. In the first set, the experiments were performed to understand the conditions that caused galling  
 110 (compared to non-galling conditions). In the second set, the experiments were performed to understand the  
 111 initiation and evolution of galling on the indenter and its influence on the abrasive wear mechanisms that occur  
 112 on the counter (workpiece) surface.

## 113 2. Experimental details

### 114 2.1 Scratch test setup

115 Displacement controlled scratch tests were performed based on a custom setup developed using a semi-  
 116 automatic turret milling machine (supplier: HAFCO MetalMaster; Product label BM23A). Scratch tests were  
 117 adopted in this study due to number of advantages, such as the ability: (a) to investigate galling under short  
 118 sliding distance using controlled depth of penetration settings; (b) to allow continuous recording of AE data  
 119 under high AE sampling frequency; (c) to allow surface examination of the workpiece samples under optical  
 120 profilometer to quantify wear features versus sliding distance, which can permit the correlation with measured  
 121 AE and force features and measured lump growth on the indenter. The tests were performed in the y-direction as  
 122 shown in Fig. 1. During the test, the rotation of the spindle was locked and the speed (feed rate) was maintained  
 123 constant. A Kistler dynamometer was attached to the bed of the milling machine using clamps and flange nuts.  
 124 The blank material (165 mm long  $\times$  100mm wide  $\times$  2 mm thick) was bolted to the dynamometer. The indenter  
 125 was conical (30° cone, 10 mm shaft diameter) with a spherical tip (1.5 mm radius).



126  
 127  
 128

Fig. 1. Schematic view of: a) scratch test setup, b) scratch orientation and c) parameters for depth of penetration measurement.

129 The tests were performed in two main test sets under dry conditions, as summarized in Table 1. For Test Set 1,  
 130 the tests were performed at a different depth of penetration,  $D_p$  (Equation 1). Six tests were conducted at varying  
 131  $D_p$  to study non-galling and galling conditions. For Test Set 2, experiments were performed at varying sliding  
 132 distances and constant  $D_p$ . Six tests were conducted at varying sliding distances to study development of galling  
 133 on the indenter and its influence on abrasive wear modes. The methodology described by Hokkirigawa et al.  
 134 [24] to calculate  $D_p$  was used. Before the start of each test, the indenter and sheet surfaces were cleaned by hand  
 135 using ethanol and Kimwipes. Dry Kimwipes were again used to clean the indenter and sheet surface to ensure  
 136 that the ethanol had been thoroughly cleaned from the surface of the samples after the cleaning process. After  
 137 each test, the indenter was removed and the surface was inspected and measured. On the workpiece, a minimum  
 138 distance of 5 mm between each scratch was used to ensure that there was no interference with the neighbouring  
 139 scratch. To show the test-to-test repeatability of results obtained from the scratch test, the scratch tests were

140 repeated under two sets of the same  $D_p$  experimental conditions. The process parameters used for the  
 141 repeatability studies are explained in Table 1.

$$142 \text{ Depth of penetration } D_p = \sqrt{\frac{\text{Height of indentation (h)}}{\text{Contact width (a)}}} \dots \dots \dots (1)$$

143 Table 1: Experimental parameters

General test conditions		
Test condition	Dry	
Sheet material	DP 780	
Indenter material	Cemented carbide with 10% Co	
Speed [mm/s]	2.2-2.4	
AE recording frequency	2 MS/s per channel	
Number of AE sensors	2	
Test conditions for each set of tests		
Test set label	Test Set 1	Test Set 2
Aim of test set	Effect of $D_p$	Effect of Sliding Distance
Depth of penetration, $D_p$ [-]	0.16, 0.18, 0.2, 0.22, 0.24, 0.26	0.22
Initial load during the test [N]	400, 600, 800, 100, 1200, 1400	1000
Sliding distance [mm]	30	5, 10, 15, 20, 25, 30
Test conditions for the Repeatability Tests		
$D_p$ [-]	0.18 (Test 1, 2, 3) and 0.24 (Test 4, 5, 6, 7)	
Sliding distance [mm]	30	
Speed [mm/s]	2.1-2.3	

## 144 2.2 Materials

145 The material used for the indenter was cemented tungsten carbide (WC-10%Co). The material used for the  
 146 workpiece was dual phase steel (supplier: Bluescope steel; grade: DP780) with a thickness of 2mm and hardness  
 147 of 28HRC. This material is classified as an Advanced High Strength Steel (AHSS) grade and is typically used  
 148 for automotive structural components such as pillars, crash rails and rocker panels. The average surface  
 149 roughness,  $R_a$ , of the as-received sheet was measured to be 1.2 $\mu$ m. The yield strength and ultimate tensile  
 150 strength of the workpiece in the rolling direction are 530 and 880 MPa, respectively, as measured by quasi-static  
 151 tensile tests conducted at room temperature in accordance with Australian Standard AS 1391-1991. The  
 152 chemical composition of DP780 was measured using glow-discharge optical emission spectroscopy technique  
 153 (GD-OES) and the results are presented in Table 2.

154 Table 2: Chemical composition of DP780

Chemical	Fe	C	Mn	Si	Al	Ni	Cr	N
% Weight	Balance	0.18	0.58	0.04	0.04	0.07	0.1	0.11

## 155 2.3 Data acquisition setup

156 Wear in the scratch test is observed on the indenter as well as on the workpiece [5]. Therefore, as shown in Fig.  
 157 1, two wideband AE sensors were used in this study (supplier: Vallen Systeme; model: AE2045S). The flat  
 158 frequency response of these AE sensors is in the range of 0.2 to 2.5 MHz. One AE sensor was clamped on the  
 159 indenter, just above the conical shape of the indenter, and the second AE sensor was clamped on the workpiece,  
 160 as shown in Fig. 1. To increase the AE transmission, a small amount of ultrasonic couplant (supplier: Cordex;  
 161 product label: UT 5000) was applied to the faces of the AE sensors and the AE sensors were clamped to the  
 162 indenter and workpiece using screw clamps. Continuous AE data recording was performed for each test at 2  
 163 MHz per channel. The AE wideband sensors were connected to the data acquisition system (supplier: National  
 164 Instruments, model: PXIe-1078) via a high-speed digitiser (supplier: National instruments, model: DCPL2) and  
 165 an amplifier (supplier: Vallen Systeme; model: AEP3N) with a gain of 40dB. Due to the large file size, the AE  
 166 data acquisition was stopped at the end of each test to allow the AE data to be recorded to the laptop (Lenovo  
 167 T430).

168 A multicomponent dynamometer (triaxial force sensor load cell, supplier: Kistler; model: 9257B) was used to  
 169 acquire tangential force during the scratch test. The dynamometer was connected to the laptop via a multi-  
 170 channel charge amplifier (supplier: Kistler, Type: 5070A). The force data was acquired at a frequency of 5 kHz.  
 171 The force data was pre-set to zero before the start of the scratch test, after the initial normal indentation into the  
 172 workpiece surface.

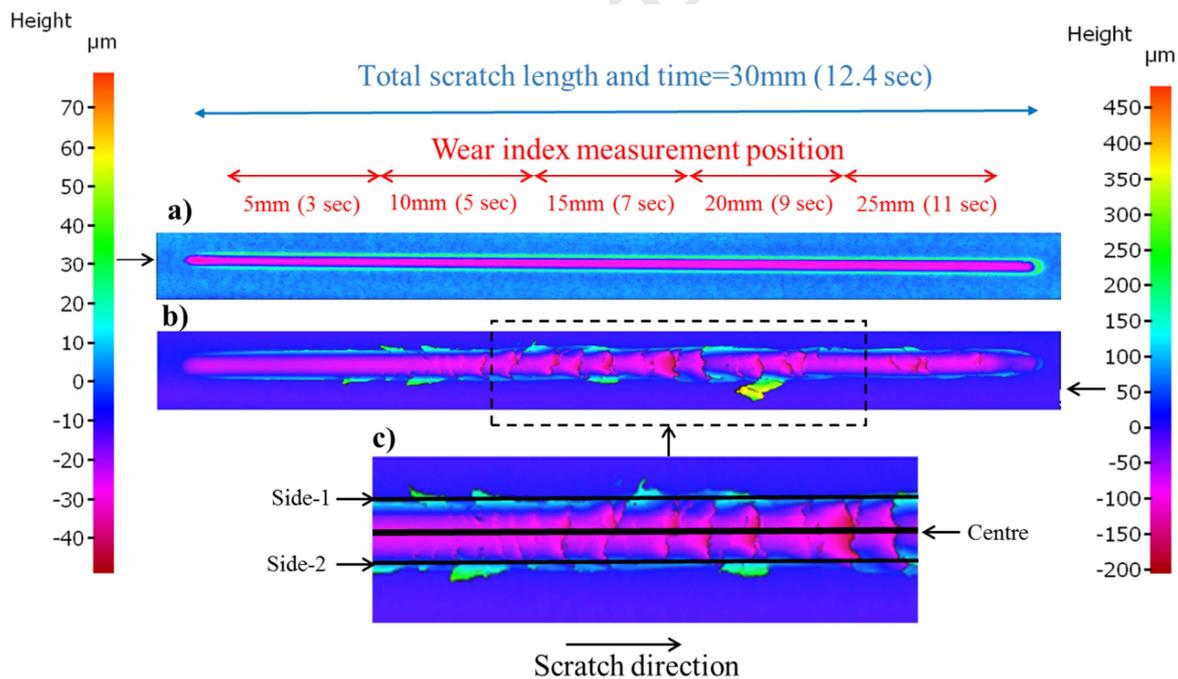
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## 174 2.4 Optical profilometry study

175 A 3D optical profilometer (equipment: Alicona-InfiniteFocus) was used to examine the surfaces of the indenter  
 176 (before and after the tests) and the scratch on the workpiece. For all of the optical profilometer measurements,  
 177 an objective magnification of 10× was used. To obtain the 3D data set for the entire scratch and indenter  
 178 surface, the special resolution was set to 20 μm for the workpiece and 1.8 μm for the indenter. As-rolled sheets  
 179 were used for the scratch test, therefore curvature and tilt removal were applied to the workpiece data using the  
 180 plane feature available in the profilometer software to remove any uneven flatness and to level the surface (IF-  
 181 MeasurementSuite v.5.1) [25].

### 182 2.4.1 Workpiece surface measurement

183 To quantify the wear on the scratch surface, two types of wear measurement were performed using the optical  
 184 profilometry measurements: profile depth and wear index. The wear index can be used to indicate the abrasive  
 185 wear mechanisms that occur during the scratch test [24]. Additionally, profile depth has shown a close  
 186 correlation with AE measurements [7, 16]. Therefore, the profile depth was measured along the scratch direction  
 187 at the centre and at the edges of the scratch as shown in Fig. 2. The width of the profile depth measurement at  
 188 the centre of scratch and at the edges of the scratch is 0.5 and 0.2 mm respectively (Fig. 2-c). The start and end  
 189 of the scratch (1 mm length) were discarded for the profile depth measurements to avoid the influence on the  
 190 indenter loading and unloading on the beginning and end of the scratch tests profiles.



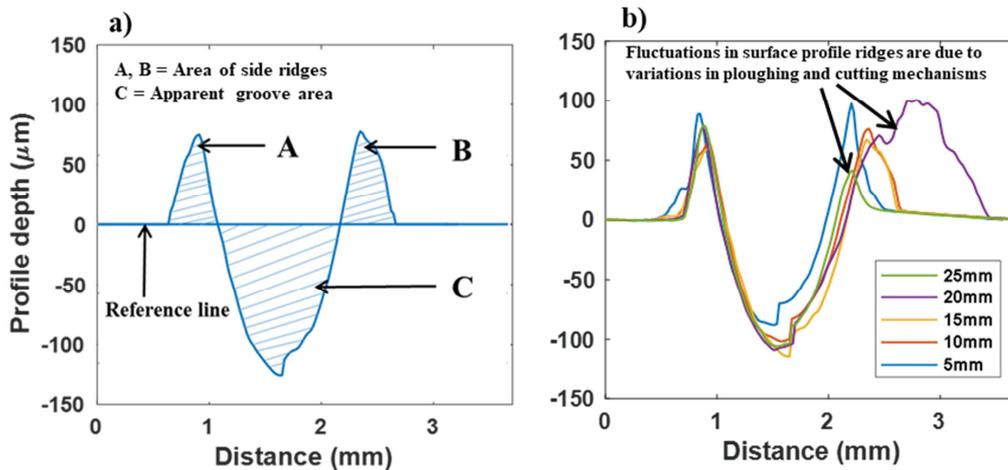
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192 Fig. 2. Explanation of profilometry measurements of workpiece after scratch tests. Surface contours of the scratch for the  
 193 following conditions: a)  $D_p = 0.18$  b)  $D_p = 0.26$ . c) Location of the profile depth measurements.

194 As shown in Fig. 2, the wear index was calculated by taking the average transverse profile over 5 mm scratch  
 195 length intervals along the scratch direction, to understand how the abrasive wear mechanisms changed during  
 196 the scratch test. The method to calculate wear index described by Hokkirigawa et al. [24] was used and is  
 197 summarised in Fig. 3 and Equations 2, 3 and 4. As shown in Fig. 2, the start and end of the scratches (2.5 mm)  
 198 were not used in the wear index calculations due to the possible unsteady conditions at the beginning and end of  
 199 the tests caused by machine start-up and finish and due to the indentation and unloading conditions experienced  
 200 at these regions. Therefore, for the 30mm scratch length tests, five transverse surface profiles were obtained  
 201 (each profile obtained by averaging the profile along a 5 mm scratch length), as shown in Fig. 2. Due to the  
 202 short sliding length for the 5 mm scratch length test in Test Set 2, the wear index was calculated for an interval

203 of 3mm and only 1mm at the start and end of scratch were discarded. The reference line of each of the surface  
 204 profile as shown in Fig. 3 was nearly horizontal. This reference line was used to segregate an area of ridges and  
 205 apparent groove area. The area of the ridges and apparent groove was calculated using the function “trapz”  
 206 available in Matlab software [17, 26].

207 To show the reliability of the wear index measurement in this study, the wear index was also calculated for all  
 208 the transverse surface profiles obtained from the new Reliability Tests. Furthermore, to show that profile  
 209 measurement and wear index measurement procedure resulted in consistent results, the wear index calculations  
 210 were conducted using a different 2.5 mm interval along the scratch direction (as well as the “standard” 5 mm  
 211 interval shown in Fig. 2). This assessment of the possible measurement-to-measurement variation was  
 212 conducted for two of the Repeatability Tests with the higher value of  $D_p$  (i.e. tests 4 and 6 with  $D_p$  0.24) and the  
 213 results will be shown in Fig. 11.



214 Fig. 3. a) Schematic of the transverse scratch profile used for wear index measurement. b) Example of the transverse surface  
 215 profiles taken at different positions along the scratch length for  $D_p$  of 0.26. (Note that each profile is averaged over the 5 mm  
 216 scratch length intervals shown in Fig. 2.)  
 217

218  $\text{Total Area of ridges } (A^1) = A + B \dots\dots\dots (2)$

219  $\text{Net Groove area } (A^{11}) = A^1 - C \dots\dots\dots (3)$

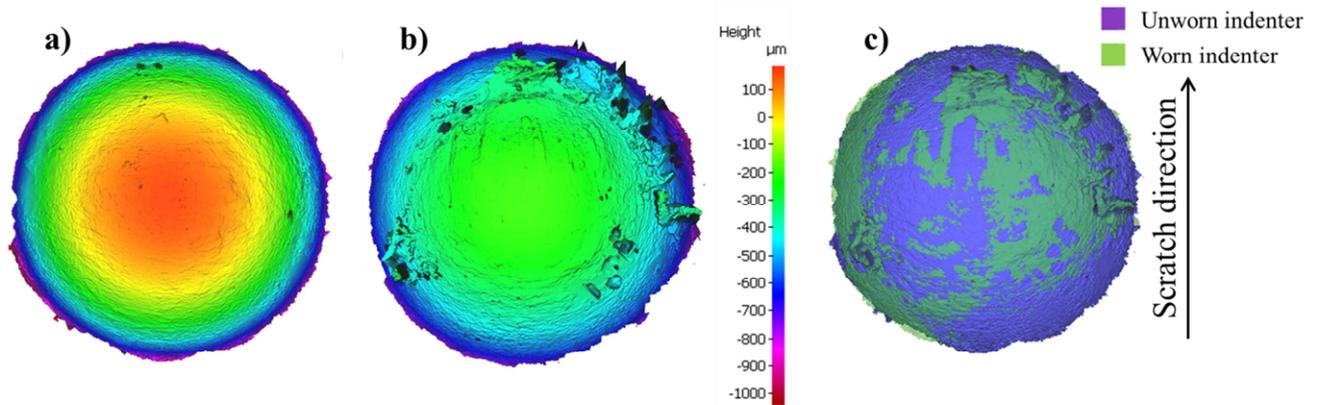
220  $\text{Wear Index } T = \frac{\text{Groove area } (A^{11})}{\text{Apparent groove area } (C)} \dots\dots\dots (4)$

## 221 2.4.2 Indenter surface measurement

### 222 2.4.2.1 Using optical profilometer

223 The surface of the indenter was measured after each test using the optical profilometer. The optical profilometry  
 224 measurements were used to visually confirm the presence of galling, in a similar manner to other work in the  
 225 literature [27]. For Test Set 2, the volume of the lump accumulated on the indenter was determined after each  
 226 test so that the amount of galling could be quantified. The difference measurement tool in the optical  
 227 profilometer equipment allows to compare two different geometries [25]. Therefore, the volume of the lump was  
 228 determined by calculating the difference between the unworn and worn surfaces, using this difference  
 229 measurement feature. The methodology adopted in this study for measuring volume of the lump via the optical  
 230 profilometry is as follows:

- 231 a) Measure the unworn surface of indenter before test (Fig. 4-a);
- 232 b) Measure the worn surface of indenter after test (Fig. 4-b);
- 233 c) Using the difference measurement feature, manually move the worn surface measurement (Fig. 4-b)  
 234 over the unworn (reference) surface in the 3D geometry space in the software;
- 235 d) Use the fit option to overlap the two surfaces to minimise the error between the two surface scans (Fig.  
 236 4-c); and
- 237 e) Calculate the volume above the reference surface to measure the debris/lump on the indenter – i.e. the  
 238 volume of adhered material.



239

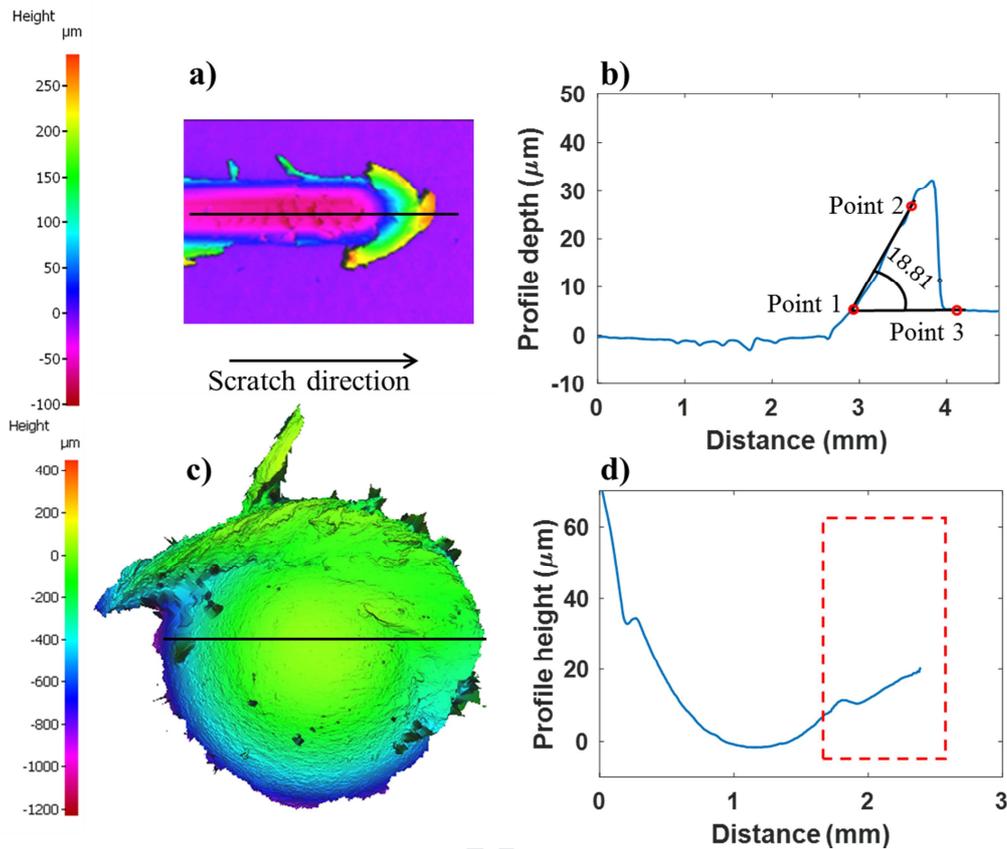
240 Fig. 4. Example profilometer contours of: a) the unworn indenter; b) the worn indenter (after the test of sliding distance  
 241 15mm,  $D_p=0.22$ ); and c) overlapped indenter surface of the unworn and worn indenter, showing the difference between the  
 242 two measurements.

#### 243 2.4.2.2 Using Scanning Electron Microscope (SEM)

244 To confirm the presence of galling on indenter tip, the lump from the indenter tip (for Repeatability Test 1,  $D_p$   
 245 0.24) was examined using SEM. The SEM measurements were conducted using a JEOL JSM-IT300 instrument,  
 246 with a secondary electron mode of 20 kV, working distance of 10.8 mm and image resolution of 1024 X 832  
 247 pixels. Due to the height limitation of vacuum chamber in the SEM instrument, the galling lump from the  
 248 indenter was manually mechanically removed from the indenter tip. The galling lump was later placed on the  
 249 workpiece holder of the SEM instrument for SEM and EDX examination.

#### 250 2.4.3 Attack angle estimation

251 The measurements of the surfaces of the indenter and workpiece after the tests were used to calculate the  
 252 approximate attack angle at the end of the scratch tests. This estimate of the attack angle was used to understand  
 253 the influence of a change in attack angle during the galling development, as it is known that attack angle  
 254 between the hard asperity and the soft workpiece plays a key role in the resulting wear mechanisms [22-23]. As  
 255 shown in Fig. 5-a, the longitudinal 2D profile on the workpiece was obtained by measuring a 0.2 mm wide  
 256 centreline at the end of the scratch. On the obtained profile (Fig. 5-b), three points were selected to obtain two  
 257 best fit lines. From the obtained best fit lines, the attack angle was measured (Fig. 5-b). A similar method was  
 258 used to understand the location of adhered (galled) material on the indenter. As shown in Fig. 5-c, a straight line  
 259 (0.2 mm wide) was selected on the worn indenter to obtain a longitudinal 2D profile of the indenter (Fig. 5-d) so  
 260 that the location of the lump on the indenter could be examined.



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Fig. 5. a) Contour image of the scratch surface (Sliding distance=20mm,  $D_p=0.22$ ) and b) the corresponding longitudinal 2D profile used for the attack angle measurement. c) Contour image of the indenter (Sliding distance=20mm,  $D_p=0.22$ ) and d) the corresponding longitudinal 2D profile used to measure the position of the galling development on the indenter (highlighted area indicates the position of lump on the indenter).

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## 2.5 Pencil lead break test

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The Hsu-Nielsen pencil lead break test was performed at the start of each test, to verify the contact between the AE sensors and the indenter and workpiece, and to examine the influence of background noise. At the start of each test, the indenter was indented into the workpiece and the pencil lead (2H lead of 0.5 mm diameter) was broken against the conical region of the indenter and against the workpiece at the region close to the indenter tip. From Fig. 6 a-d, it is evident that the amplitude of the AE burst signal caused by the pencil lead event is very large compared to that of background noise (0.03 V), showing that the effect of background noise on the AE sensor is minimal. If the AE amplitude was not similar during each pencil lead break test, then the AE sensor was unclamped and fresh couplant was applied to the AE sensor face. However, it can be observed that the amplitude of the AE burst signals in Fig. 6 b-c are small compared to that of AE burst signal observed in Fig. 6 a and d. This indicates that not all events that occur on the indenter may be captured on the AE sensor placed on the workpiece and vice-versa. This may be attributed to the attenuation of the AE signal at the indenter-workpiece interface and due to the distance between two AE sensors [28-29]. Therefore, two AE sensors are required to understand the wear events taking place on the indenter and workpiece. Before the start of each scratch test, high pressure air was blown over the workpiece-indenter area to remove possible traces of the broken pencil lead.

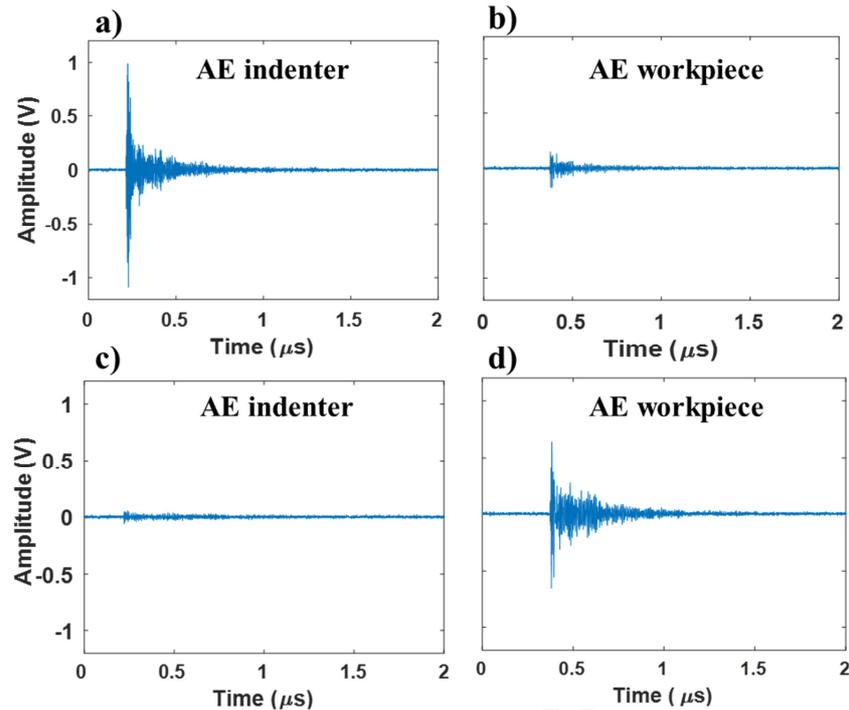


Fig. 6. Example AE measurement of a pencil lead break tests for pencil lead break on: the indenter, detected on the a) indenter, b) workpiece; and pencil lead break on the workpiece, detected on the c) indenter, d) workpiece.

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## 285 2.6 AE signal during the scratch tests

286 To understand the AE signal related to non-galling and galling conditions (Test Set 1), the AE signal was  
 287 acquired from the AE sensor placed on indenter and workpiece at different  $D_p$ . The start and end of the AE  
 288 signal were identified based on the tangential force, which is shown in the results section. The absolute peak  
 289 feature from these AE signals was later used to correlate with the profile depth measurement wear measurement.  
 290 To correlate the AE peak with the wear index feature, the maximum AE peak was calculated for the 5 mm  
 291 scratch length intervals corresponding to the position of the wear index measurement on the scratch (Fig. 2).

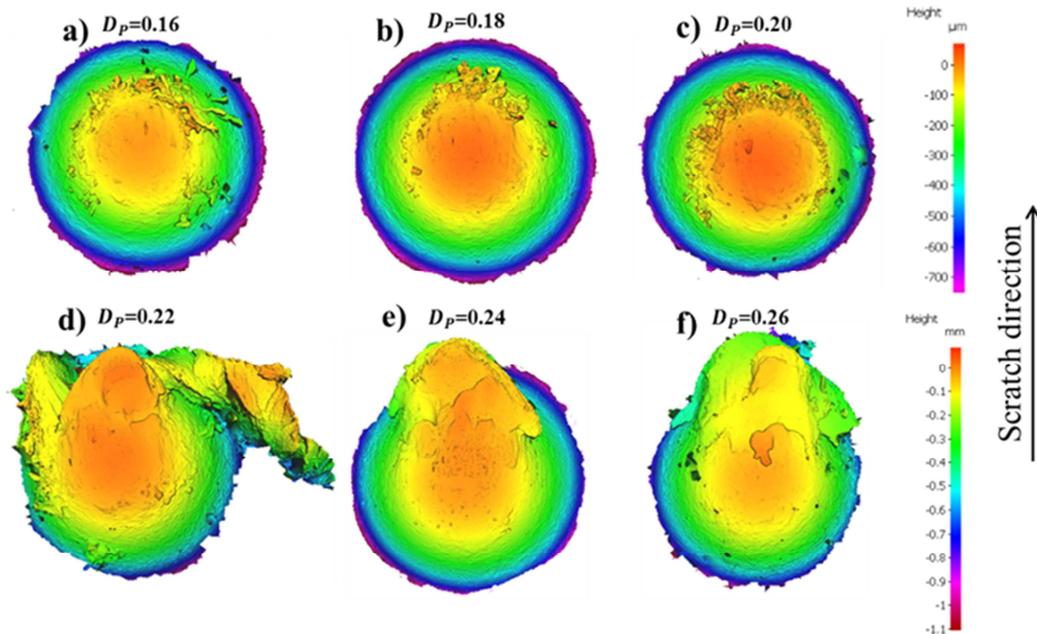
## 292 3. Experimental results

### 293 3.1. Results of scratch test performed at different depth of penetration (Test Set 1)

#### 294 3.1.1. Indenter surface measurement

##### 295 3.1.1.1 Using Optical profilometer

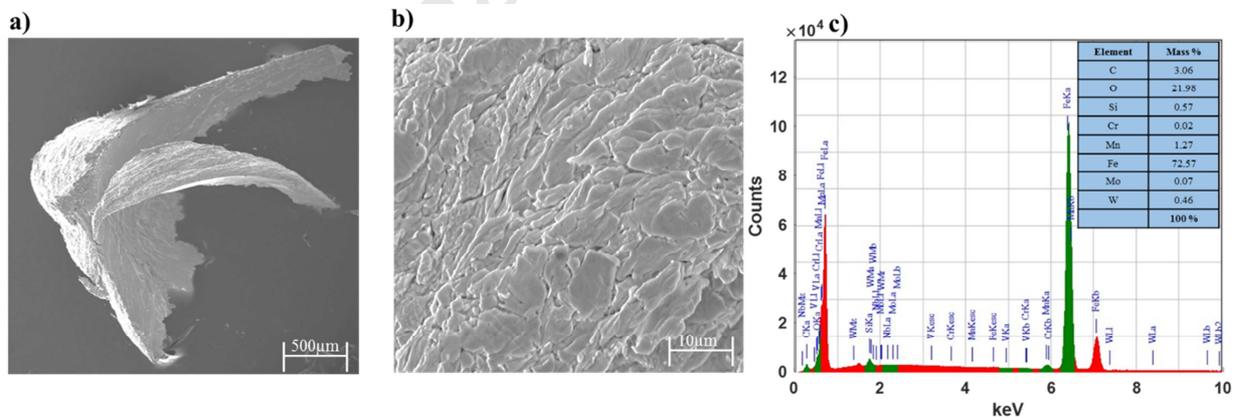
296 Fig. 7 shows the surface contours of the 3D profilometry measurements of the indenter tips after the scratch  
 297 tests, prior to any cleaning of the indenter. It is evident that only debris was observed on the indenter for the  $D_p$   
 298 of 0.16, 0.18 and 0.20 (Fig. 7 a-c). This was confirmed by squirting ethanol on the indenter tip after taking the  
 299 profilometer image, where the small debris particles evident in Fig. 7 a-c were washed away due to the action of  
 300 the ethanol. For the  $D_p$  of 0.22, 0.24 and 0.26, galling was observed on the indenter (Fig. 7 d-f). This was  
 301 confirmed by squirting ethanol on the indenter tip after taking the profilometer image. In these cases, the lump  
 302 of material on the indenter remained adhered to the indenter tip. Based on this observation, the tests with  $D_p$  of  
 303 0.16, 0.18, 0.20 are considered as non-galling conditions, while the tests with  $D_p$  of 0.22, 0.24, 0.26 are  
 304 considered as galling conditions.



305  
306 Fig. 7. Optical profilometer measurements of worn indenter after the tests for  $D_p$ : a) 0.16, b) 0.18, c) 0.20, d) 0.22, e) 0.24, f)  
307 0.26.

### 308 3.1.1.2 Using SEM and EDX

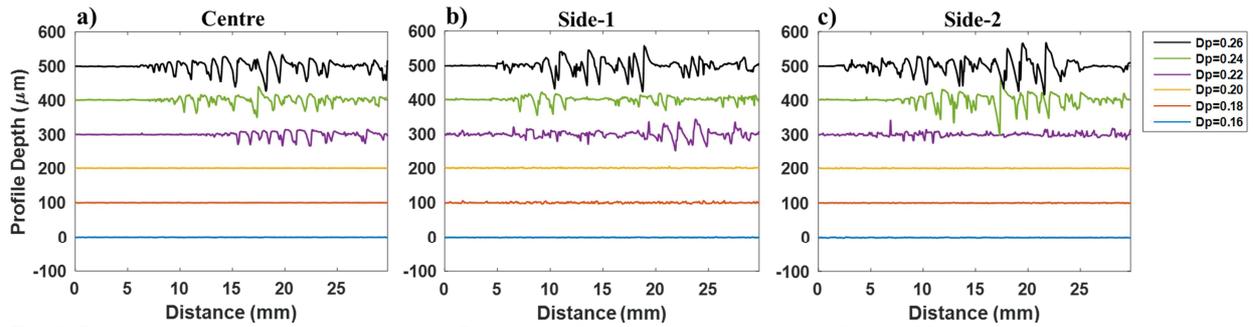
309 Fig. 8 a-b shows SEM images of the galling lump from the indenter tip from Repeatability Test 1. Fig. 8a  
310 shows the entire galled lump that was accumulated on the indenter tip. From Fig. 8b, the possible agglomeration  
311 of debris material in lump can be observed. In the EDX analysis (Fig. 8c), the presence of high amount of Fe  
312 (72.57 %) indicates the transfer of sheet material on the indenter tip. In addition, the high content of O (21.98  
313 %) presented on the galled lump can be attributed to oxidation.



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315 Fig. 8. SEM image of galling lump from Repeatability Test 1 ( $D_p$  0.24) at a) 500  $\mu\text{m}$  resolution b) 10  $\mu\text{m}$ , and c) EDX  
316 analysis.

### 317 3.1.2. Workpiece surface measurement

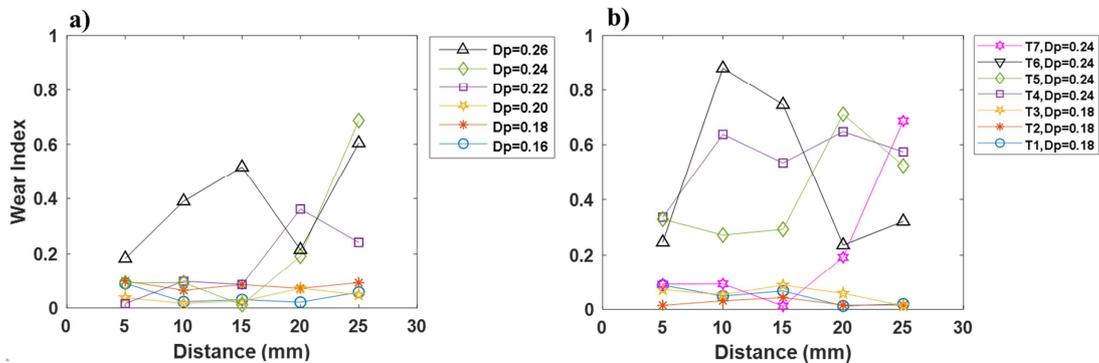
318 For the tests with  $D_p$  of 0.16, 0.18 and 0.20, there was very little variation in the profile depth at the centre and  
319 sides of the scratches (Fig. 9 a-c). This indicates only ploughing wear mode on the sheet. For the tests with  $D_p$  of  
320 0.22, 0.24 and 0.26, the variation in profile depth was observed at the centre and at the sides of the scratches  
321 (Fig. 9 a-c). This variation of the profile depth at the edges of the scratch was observed to initiate much earlier  
322 than at the centre of the scratch. This variation of profile depth at the edges is due to cutting wear at edges and  
323 the variation of profile depth at the centre is due to fracture at the centre of scratch (as can be seen in Fig. 2c).



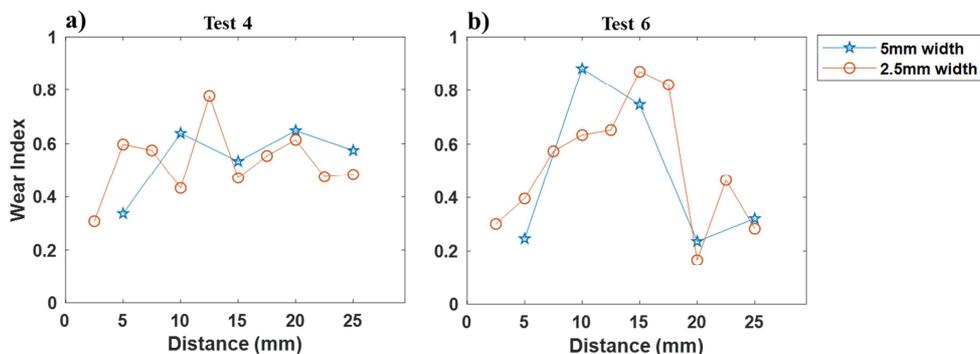
324  
325 Fig. 9. Profile depth along scratch at different  $D_p$  measured at: a) centre, b) Side-1, c) Side-2. Note that each profile has been  
326 offset by  $+100\mu\text{m}$  from the previous profile for clarity.

327 Fig. 10 a-b shows the wear index calculated from the transverse surface profiles on the workpiece obtained from  
328 Test Set 1 and the Repeatability Tests. For all the tests, the wear index was observed to be less than 0.1 at the  
329 instances where only ploughing conditions or cutting at the edges were observed. The wear index was greater  
330 than 0.1 at that instances where fracture was observed at the centre of scratch – i.e. for the tests of  $D_p=0.22$ , 0.24  
331 and 0.26. Based on these results, the wear index is greater than 0.1 for galling conditions and less than 0.1 for  
332 the non-galling conditions. The trends in the wear index measurements for the Repeatability Tests compared to  
333 the tests for  $D_p$  0.18 and  $D_p$  0.24 (Fig. 10) showed good test-to-test repeatability. In particular, the results for the  
334  $D_p$  0.18 condition showed very good consistency across all four tests. Some variation in the wear index was  
335 evident between each of the corresponding sets of wear index measurements for  $D_p$  0.24, which corresponds to  
336 conditions where the wear index is larger – i.e. greater than  $\sim 0.1$ . This variation in the wear index measurement  
337 is expected due to the inherent variation of the grooves and ridges in the scratch surface as a result of the  
338 fracture and cutting mechanisms that were found to occur (as also identified in Fig. 3b).

340 Fig. 11 a-b shows the wear index measurement using the transverse surface profile width of 2.5 and 5mm from  
341 the test  $D_p$  0.24. The wear index trend obtained from the average transverse profile over the 2.5 and 5 mm  
342 scratch length intervals (Fig. 11) showed good correlation in the trend of the wear index measurement, thus  
343 showing good measurement-to-measurement repeatability.



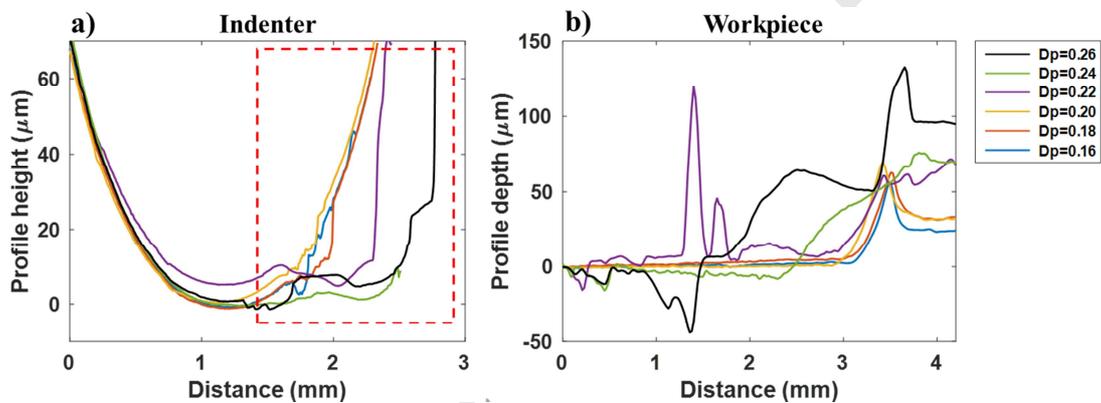
344  
345 Fig. 10. a) Wear index measured from profilometry measurements of scratches from a) Test Set 1 b) Repeatability Tests (T1,  
346 T2 and T3 performed at  $D_p$  0.18; T4, T5, T6 and T7 performed at  $D_p$  0.24)



347  
348 Fig. 11 : Wear index measured from profilometry measurements of scratches from Repeatability Tests performed using  
349 transverse profile width of 2.5 and 5mm for a) Test 4 b) Test 6 (Test 4 and 6 are performed at  $D_p$  0.24)

### 3.1.3. Study of indenter and workpiece profile to measure galling

The methodology to measure the longitudinal profiles on the indenter and workpiece and subsequently estimate the attack angle has been explained in Section 2.4.3. Fig. 12 represents the profile of the indenter and workpiece for each test performed at varying  $D_p$ . For all the tests, the location of debris and galling on the indenter begins at approximately the same location near the tip of the indenter, as indicated in the Fig. 12-a. The indenter profile significantly changes for the galling conditions compared to that of the non-galling conditions and the increasing size of the lump of adhered material is evident with increasing  $D_p$  (Fig. 12-a). Similarly, the profile of the scratch measured at the end of scratch surface changes significantly for the galling conditions compared to that of the non-galling conditions (Fig. 12-b). The attack angle of the indenter measured from the surface profiles (Fig. 12-b) for the non-galling conditions ( $D_p=0.16, 0.18$  and  $0.20$ ) is quite consistent ( $9.9^\circ, 10.0^\circ$  and  $11.4^\circ$ , respectively). For the galling conditions ( $D_p=0.22, 0.24$  and  $0.26$ ), the attack shows large variation ( $6.6^\circ, 4.6^\circ$  and  $18.8^\circ$ , respectively). This will be discussed further in Section 0. However, it is worth noting that, due to the inconsistent surface caused by the lump of adhered material for  $D_p \geq 0.22$ , the angle of attack measurement is also inconsistent.



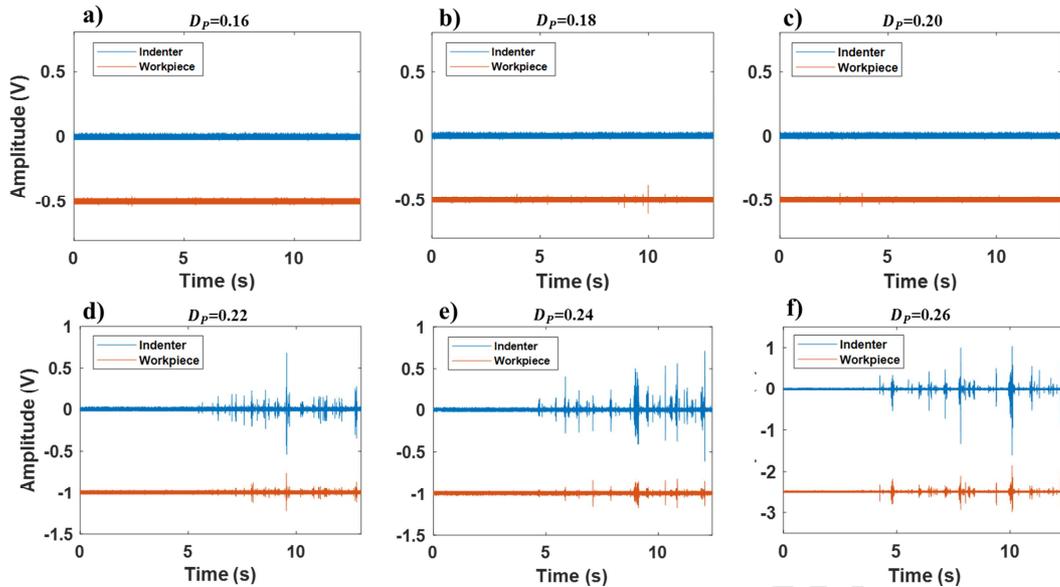
364

365 Fig. 12. The longitudinal 2D surface profile of the a) indenter and b) workpiece for Test Set 1 (varying  $D_p$ ). Highlighted area  
366 indicates the position of lump on the indenter.

### 3.1.4. AE signal

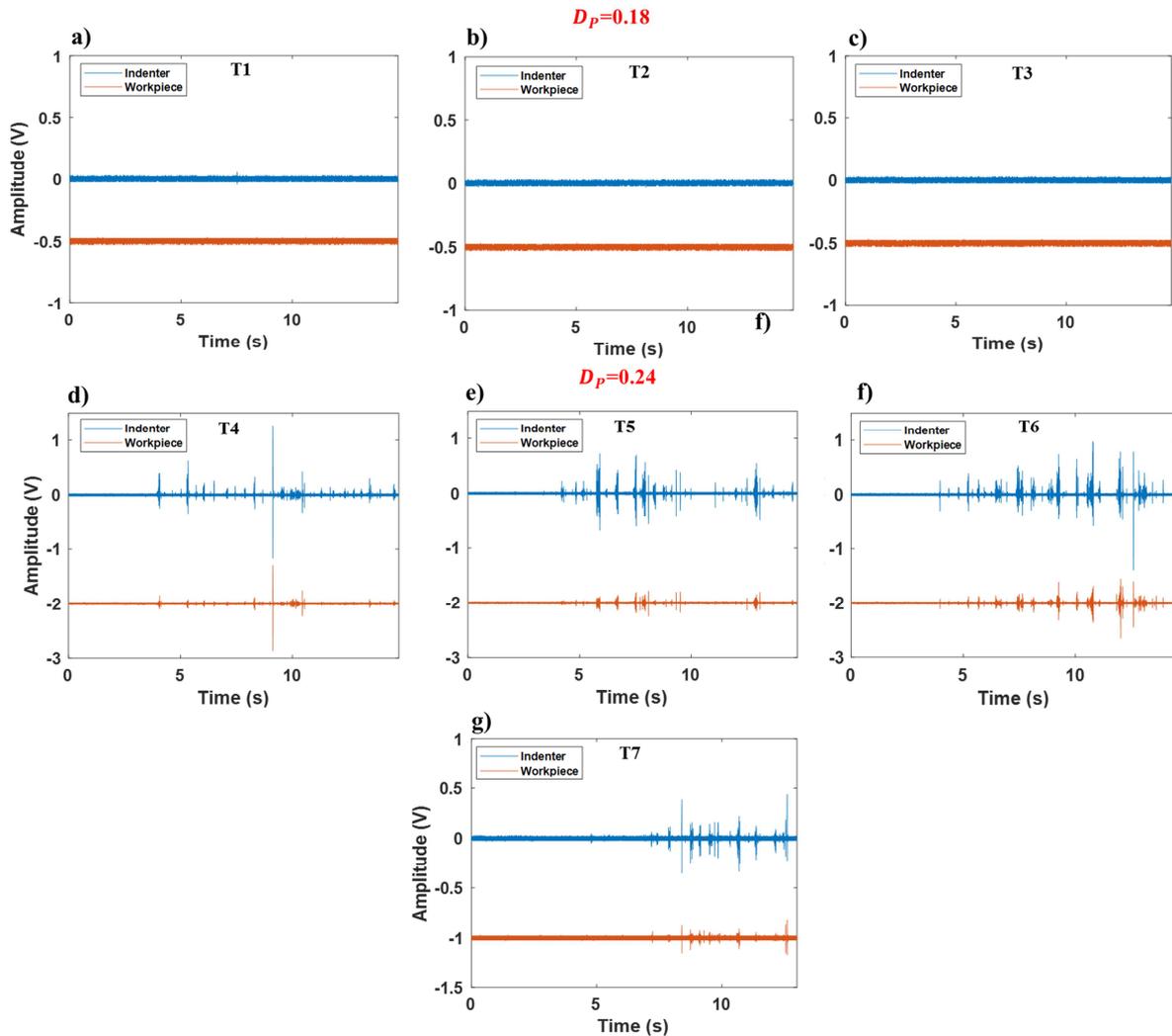
The AE signal acquired during the scratch test for Test Set 1 and the Repeatability Test is presented in Fig. 13 and Fig. 14. For the tests performed for  $D_p$  0.16, 0.18 and 0.20, AE burst signals were not observed from the AE sensor placed on the indenter and only a small number of AE burst signals of low amplitude were observed from the AE sensor placed on the workpiece (Fig. 13 a-c). Similarly, in the repeatability test performed for  $D_p$  0.18, AE burst signal were not observed from the AE sensor placed on the indenter (Fig. 14 a-c). These low amplitude AE burst signals observed from AE sensor placed on workpiece are due to plastic deformation of the workpiece during the scratch test [13]. For the tests performed for  $D_p$  of 0.22, 0.24 and 0.28, large amplitude AE signals were observed from the AE sensor placed on the indenter and workpiece (Fig. 13 d-f). Similarly trend was also observed in the repeatability test performed for  $D_p$  0.24 (Fig. 14 d-f). This indicates the presence of significant wear or fracture events on the indenter and workpiece. The amplitude of the AE burst signals and number of AE bursts observed from the AE sensor placed on the indenter is much larger than those from the AE sensor placed on the workpiece (Fig. 13 d-f).

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Fig. 13. AE signal from the scratch test for Test Set 1 for  $D_p$ : a) 0.16, b) 0.18, c) 0.20, d) 0.22, e) 0.24, f) 0.26. Note that workpiece signal has been offset in the negative amplitude direction for clarity.

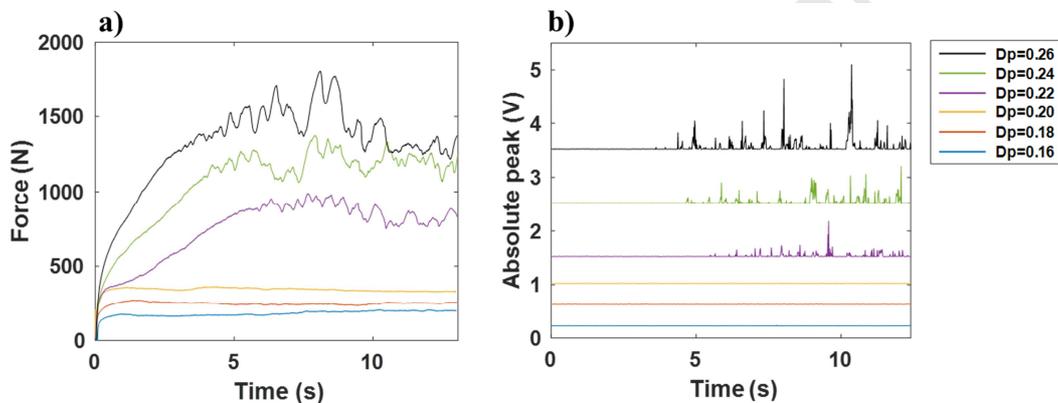


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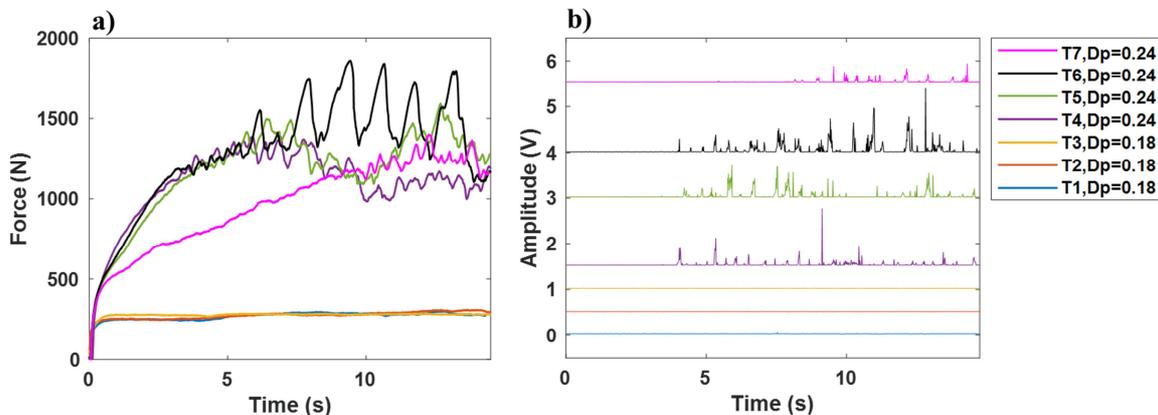
Fig. 14. AE signal from the Repeatability scratch tests for  $D_p$  0.18 a) Test 1 b) Test 2 c) Test 3; for  $D_p$  0.24 d) Test 4 e) Test 5 f) Test 6 (Note that workpiece AE signal has been offset in the negative amplitude direction for clarity; T1, T2 and T3 performed at  $D_p$  0.18; T4, T5, T6 and T7 performed at  $D_p$  0.24)

## 387 3.1.5. Correlation of tangential force and AE peak feature

388 Fig. 15 shows the measurements of tangential force and AE peak feature from Test Set 1. The tangential force  
 389 was observed to be stable for  $D_p$  of 0.16, 0.18 and 0.20 (Fig. 15-a). No AE burst signal was observed during  
 390 these tests from the AE sensor mounted on the indenter (Fig. 15-b). For the tests with  $D_p$  of 0.22, 0.24 and 0.26,  
 391 an increase in tangential force was observed for a certain distance which was then followed by an unstable  
 392 tangential force (Fig. 15-a). AE burst signals were not observed during the region where the tangential force was  
 393 increasing. The instance at which the force variation is observed, strongly correlates with the initiation of the AE  
 394 burst signal (Fig. 15-b). This can also be confirmed from the Repeatability Tests where strong correlation was  
 395 observed between force variation and AE burst signal (Fig. 16 a-b). It is worth noting that, in the Repeatability  
 396 Tests, all the AE burst signals and fluctuation in the tangential force start at approximately the same instance  
 397 (Fig. 16 a-b). However, in Test 7 performed at  $D_p$  of 0.24, the variation in force and AE peak begins much later  
 398 compared to Tests 4, 5 and 6. This is likely due to the behaviour of galling growth – i.e. the distinct initiation  
 399 and rapid growth of lump [8] – and the inherent stochastic nature of mechanical wearing processes. However, it  
 400 is worth noting that, the tangential force and AE peak feature can be used to understand non-galling and galling  
 401 conditions. To understand if these sensors can be used to determine the point at which galling initiates, the force  
 402 and AE peak data is further investigated for the experiments in Test Set 2.



403 Fig. 15. a) Tangential force measurements for Test Set 1. b) The absolute AE peak on the indenter for Test Set 1 (Note that  
 404 AE peak signal has been offset in the positive amplitude direction for clarity)  
 405



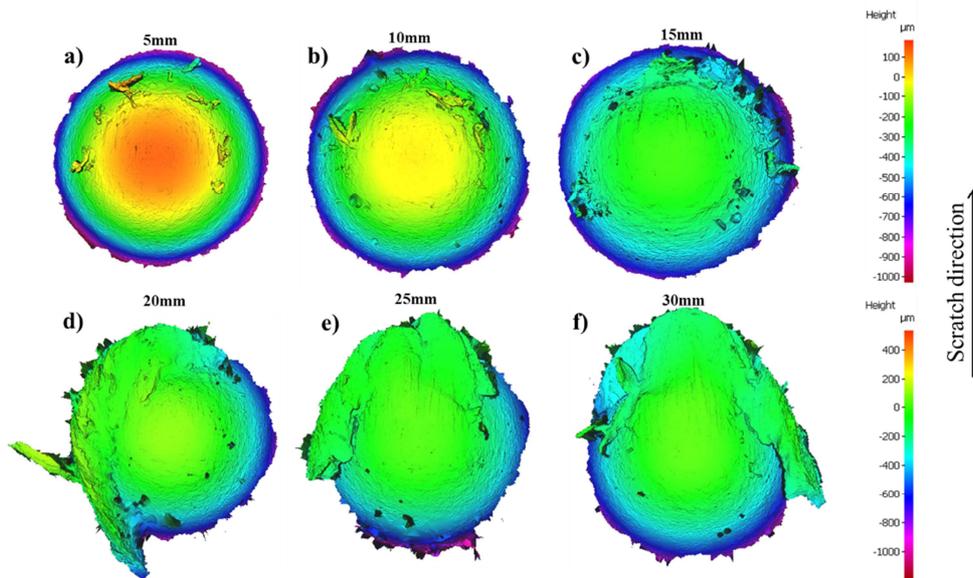
406 Fig. 16. a) Tangential force measurements for the repeatability experiments b) The absolute AE peak on the indenter for the  
 407 repeatability experiments (Note that AE peak signal has been offset in the positive amplitude direction for clarity; T1, T2  
 408 and T3 performed at  $D_p$  0.18; T4, T5, T6 and T7 performed at  $D_p$  0.24)  
 409

## 410 3.2. Results of scratch test performed at different sliding distances (Test Set 2)

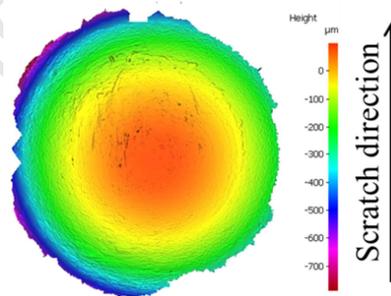
## 411 3.2.1. Indenter surface measurements

412 Fig. 17 shows the profilometer measurements of the worn indenter for Test Set 2, in which different sliding  
 413 distances were tested. For the tests where the sliding distance is 15 mm or less (Fig. 17 a-c), only loose debris  
 414 was observed on the indenter. This was again confirmed by squirting ethanol on the indenter, which washed  
 415 away the loose debris and showed that adhered material did not remain. An example of the result of this process  
 416 is shown in Fig. 18. When comparing Fig. 17c to Fig. 18 to (i.e. before and after the ethanol is squirted on the  
 417 indenter tip), it is evident that only the small loose debris particles are removed and the small lump of adhered

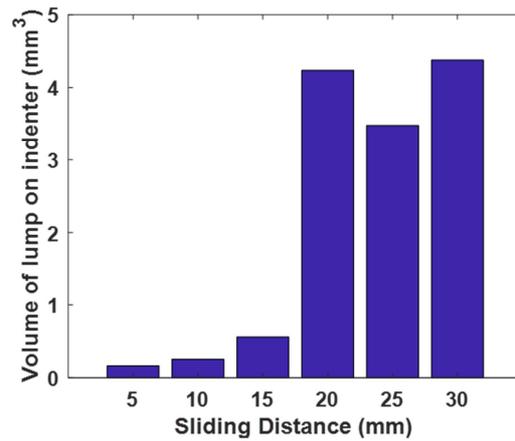
418 material remains. Conversely, for the tests where the sliding distance is 30 mm or more (Fig. 17 d-f), a major  
 419 lump was observed on the indenter. This lump stayed intact when the ethanol was squirted on the indenter. To  
 420 confirm the influence of galling on the abrasive wear modes, the volume of the lump on the indenter was  
 421 measured for the Test Set 2 experiments (Fig. 19). The methodology to calculate the volume of lump on the  
 422 indenter is explained in Section 2.4.2. The volume of lump on the indenter is minimal for the non-galling  
 423 conditions and very high for the galling conditions. This minimal volume measurement for the non-galling  
 424 conditions is due to some of the small debris particles that are captured in the measurement. A small  
 425 contribution to this volume is also possibly due to minor differences and errors between the reference surface  
 426 measurement of the indenter (unworn condition) and the surface measurement of the worn indenter and due to  
 427 the surface fitting routine used.



428 Fig. 17. Optical profilometer measurements of worn indenter after the tests for  $D_p$  of 0.22 for sliding distances of: a) 5, b) 10,  
 429 c) 15, d) 20, e) 25, f) 30 mm.  
 430



431 Fig. 18. Optical profilometer measurements of worn indenter after ethanol was squirted on indenter for the tests for  $D_p$  of  
 432 0.22 for the sliding distance of 15 mm.  
 433

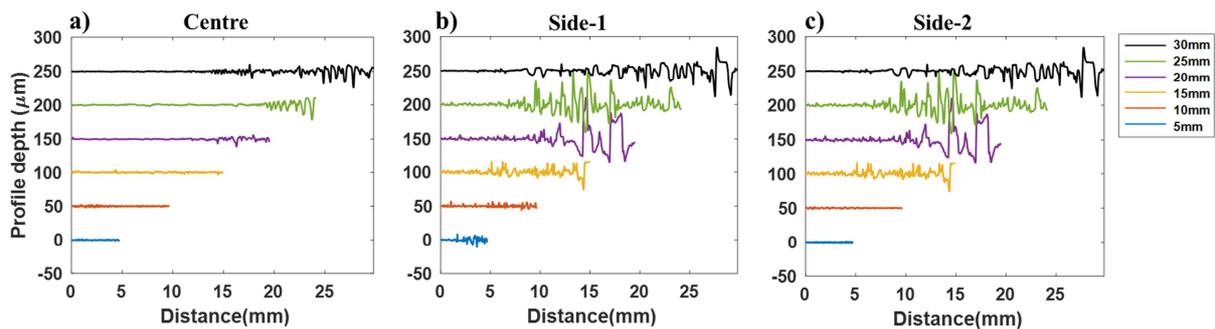


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Fig. 19. Volume of additional material measured on the indenter at different sliding distance for  $D_p = 0.22$ .

### 436 3.2.2. Workpiece surface measurements

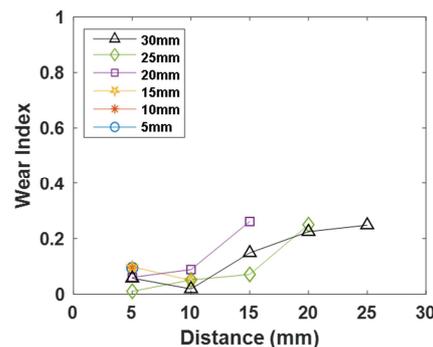
437 Fig. 20 shows the profile depth variation along the edges and centre of the scratches for Test Set 2. These  
 438 profilometry results observed for Test Set 2 are similar to those of Test Set 1. The variation at the edges of the  
 439 scratch was observed to initiate much prior to that of centre. Similar to set 1, a good correlation can be observed  
 440 between the AE burst signal and profile depth as the AE burst is only observed (Fig. 23-a) when the profile  
 441 depth variation is observed at the centre of scratch for 20, 25 and 30mm (Fig. 20-a). From the profilometry data  
 442 from test sets 1 and 2, it can be concluded that the sequence of deformation mechanisms on the workpiece due  
 443 to the increasing severity of abrasive wear modes (as  $D_p$  and/or sliding distance is increased) is: ploughing <  
 444 cutting at the edges < fracture at centre.



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Fig. 20. Profile depth for different scratch length for  $D_p$  of 0.22 measured at: a) centre, b) Side-1 c) Side-2. Note that each profile has been offset by  $+50\mu\text{m}$  from the previous profile for clarity.

448 Fig. 21 shows the wear index calculated from the transverse surface profiles on the workpiece obtained from  
 449 Test Set 2. The behaviour of the wear index is similar to that of Test Set 1 (Fig. 10), where the wear index was  
 450 observed to be less than 0.1 at the instances where ploughing and cutting at the edges were observed. The wear  
 451 index was greater than 0.1 at that instance where fracture was observed at the centre of scratch. For all the tests,  
 452 the wear index was less than 0.1 at the start of test. However, the wear index was greater than 0.1 towards the  
 453 end of the tests for sliding distances 20-30mm. This indicates that the initiation and progression of galling may  
 454 play an important role on the behaviour of the abrasive wear modes (as evidenced by the increasing wear index)  
 455 with increasing sliding distance.



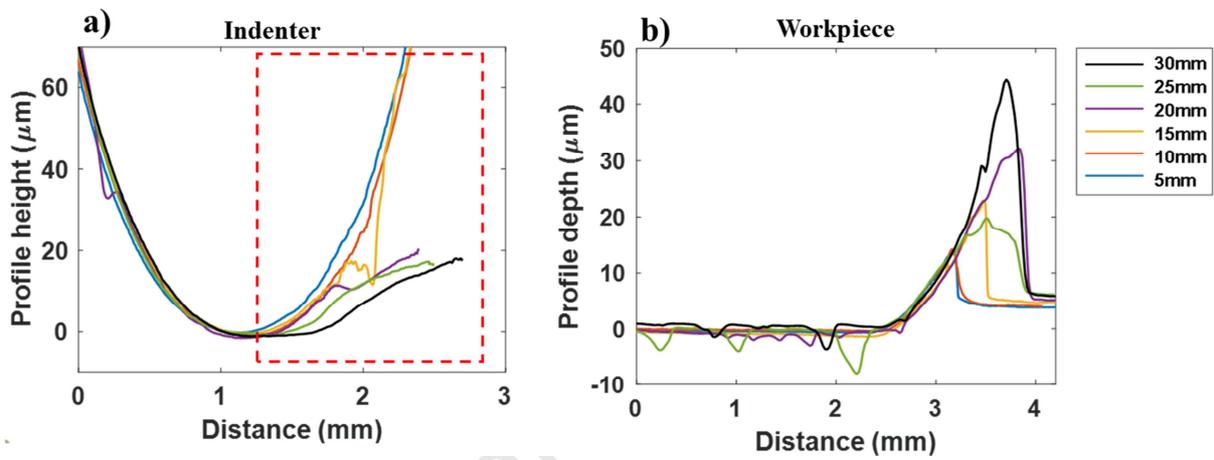
456

457

Fig. 21. Correlation of AE peak and wear index for set 2 experiments.

458 3.2.3. Study of indenter and workpiece surface to measure galling.

459 Fig. 22 shows the longitudinal profile of the indenter and workpiece for each test performed at varying sliding  
 460 distance. Similar to the tests performed for set 1, the location of debris and galling on the indenter begins at  
 461 approximately the same location for the test performed for set 2 as indicated in the Fig. 22-a. The indenter  
 462 profile significantly changes when the galling is observed on the indenter for the 20-30mm sliding distances.  
 463 Similarly, the profile of the scratch measured at the end of scratch surface increases for the galling conditions  
 464 compared to that of the non-galling conditions (Fig. 22-b). The attack angle of the indenter measured from the  
 465 surface profiles (Fig. 22-b) for the sliding distances of 5, 10 and 15mm is nearly consistent – i.e. 13.1°, 12.6° and  
 466 13.35°, respectively. For the sliding distances of 20, 25 and 30mm, the attack angle is varied – i.e. 18.8°, 13.34°  
 467 and 20.7°, respectively. Similar to Test Set 1, it is worth noting that, due to the inconsistent surface caused by  
 468 the lump of adhered material for sliding distance  $\geq 20$  mm, the angle of attack measurement is also inconsistent.

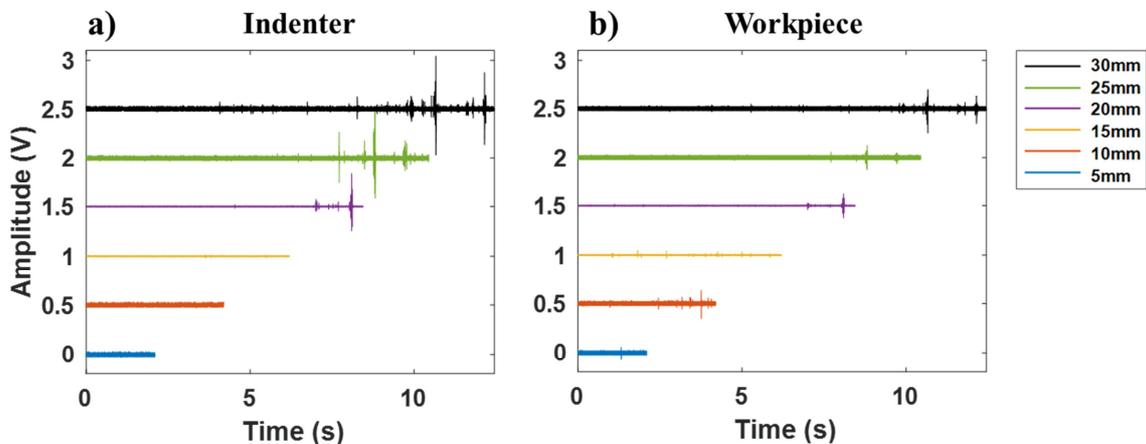


469

470 Fig. 22. The longitudinal 2D surface profile of the a) indenter and b) workpiece for Test Set 2 (varying sliding distance).  
 471 Highlighted area indicates the position of lump on the indenter.

472 3.2.4. AE signal

473 The AE signal acquired during the scratch tests for Test Set 2 is presented in Fig. 23. For the scratch test  
 474 performed at sliding intervals of 5-15 mm ( $D_p = 0.22$ ), AE burst signals were not observed from the AE sensor  
 475 placed on indenter. However, AE burst signals of low amplitude were observed from the AE sensor placed on  
 476 the workpiece. This behaviour of the AE signal is similar to that of non-galling conditions from the set 1  
 477 experiments. The large amplitude AE burst signals were observed from the AE sensors placed on the indenter  
 478 and workpiece at sliding distance intervals of 20, 25 and 30 mm ( $D_p = 0.22$ ). Similar to set 1 experiments for the  
 479 galling conditions, the amplitude of the AE burst signals and number of AE bursts measured from the AE sensor  
 480 placed on indenter is larger compared to those of the AE sensor placed on the workpiece.

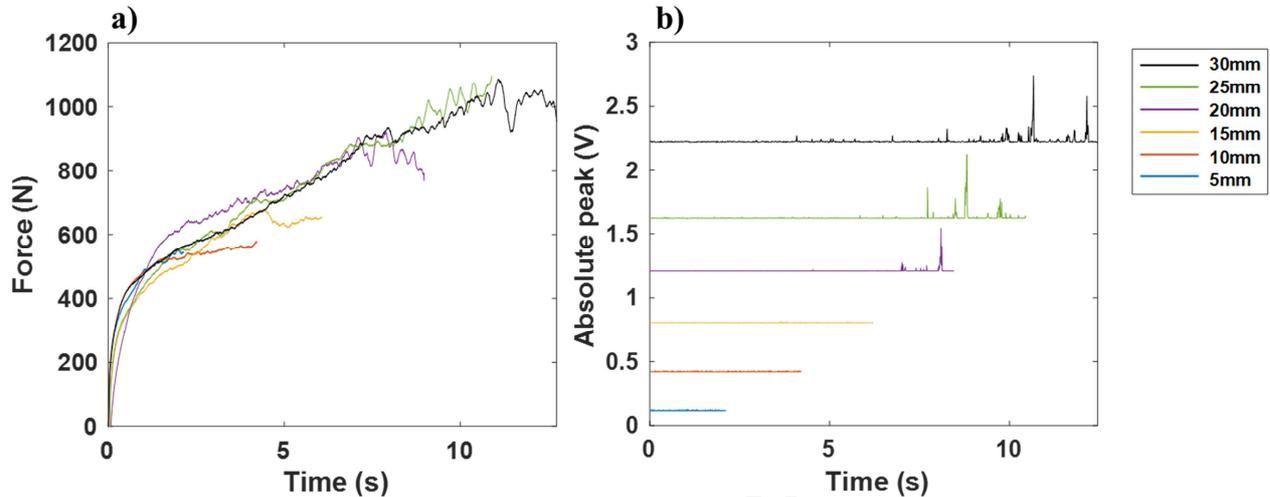


481

482 Fig. 23. AE signal for indenter and workpiece for  $D_p$  of 0.22 at different sliding distances for AE sensor placed on a)  
 483 Indenter b) Workpiece. Note that each signal has been offset by +0.5V from the previous signal for clarity.

## 484 3.2.5. Correlation of tangential force with AE peak feature

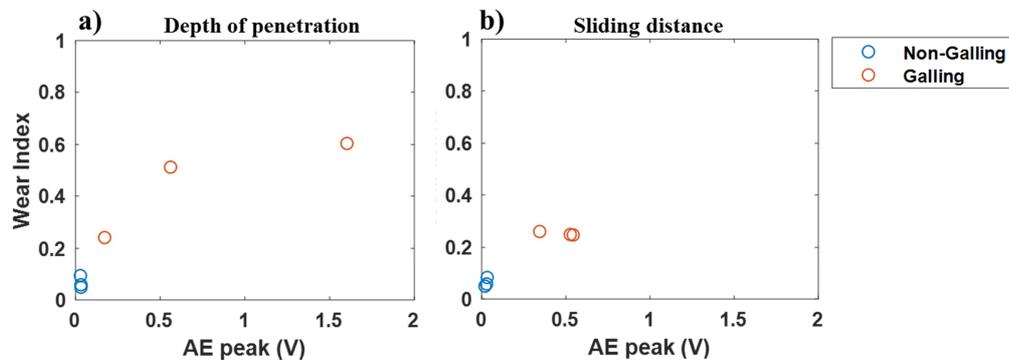
485 Fig. 24 shows the tangential force and AE peak feature measured from Test Set 2. The tangential force was  
 486 observed to increase from the start of the tests and, in general, continue to increase with increasing sliding  
 487 distance. Similar to Test Set 1, there is a strong correlation between the time at which a variation is observed in  
 488 the tangential force and the presence of the AE burst signal.



489 Fig. 24. a) Tangential force for set 2 experiments. b) The absolute peak for set 2 experiments

## 491 3.3. Relationship between wear index and indenter attack angle and AE peak

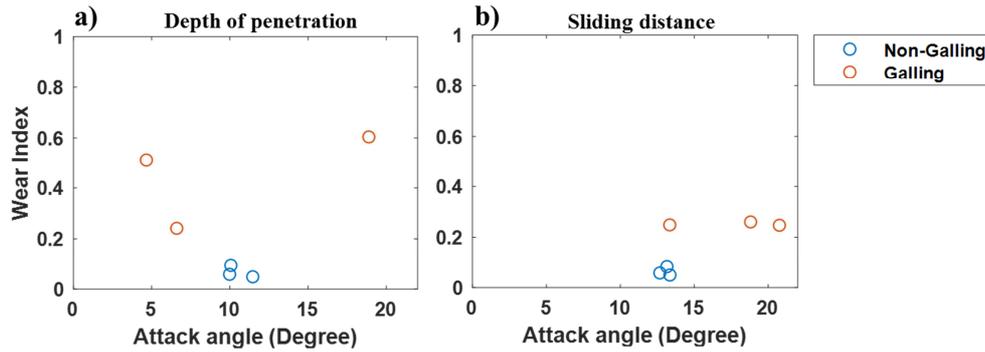
492 Fig. 25 shows the correlation of wear index with the AE peak measured at the last position (Fig. 2) at the end of  
 493 the scratch for the set 1 and set 2 tests. For the tests performed at varying  $D_p$ , when the wear index is less than  
 494 0.1, the AE peak is also less than 0.1 V. This indicates that, for the non-galling conditions, the AE burst signal  
 495 is not observed (Fig. 25-a). The AE burst signal is mainly observed when the wear index is greater than 0.1 (Fig.  
 496 25-a). This relationship between the behaviour of the wear index with the AE peak for non-galling and galling  
 497 conditions, can be validated from the test performed at varying sliding distance (Fig. 25-b).



498

499 Fig. 25. Correlation of wear index with AE peak for varying a) depth of penetration (Test Set 1) and b) sliding distance (Test  
 500 Set 2).

501 Fig. 26 represents the correlation of wear index with the attack angle measurement measured towards the end of  
 502 the scratch for the set 1 and set 2 tests. For the test performed at varying  $D_p$ , when the wear index is less than  
 503 0.1, the attack angle is in range of 10-12°. The attack angle varies mainly when the wear index value is greater  
 504 than 0.1 (Fig. 26-a). Similar correlation of wear index with the attack angle measurement can be validated from  
 505 the test performed at varying sliding distance (Fig. 26-b).



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Fig. 26. Correlation of wear index with attack angle for a) depth of penetration b) sliding distance

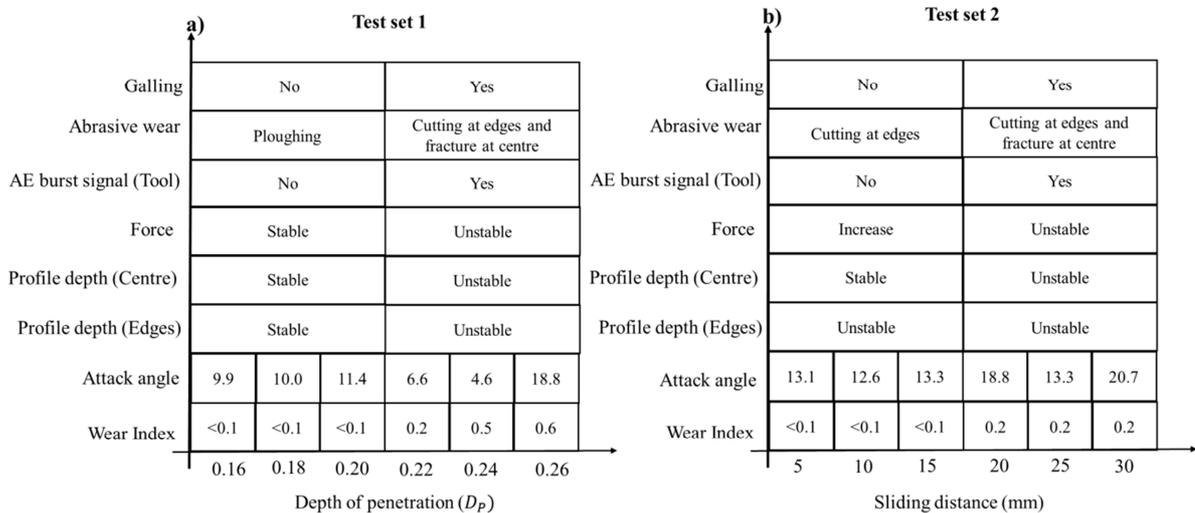
#### 508 4. Discussion

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Fig. 27 aims to summarise the important features and values of the measured parameters at the end of test sets 1 and 2, which help to show the findings from this work, based on the results presented in Section 3. In particular, the conditions for non-galling, galling and the transition from non-galling to galling are evident.



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Fig. 27: Summary of results measured at the end of the tests for: a) Test Set 1 (constant sliding distance of 30 mm with varying  $D_p$ ) and b) Test Set 2 (constant  $D_p$  of 0.22 with varying sliding distance).

#### 515 4.1. Non-galling conditions

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Fig. 27 highlights that the AE burst signal on the indenter is not observed where galling is not observed. Additionally, for the non-galling conditions ( $D_p=0.16, 0.18$  and  $0.20$ ) only ploughing wear mode is observed. This can be also confirmed by the wear index value which is less than 0.1, which correlates well with the wear index value expected during the ploughing abrasive wear mode [24]. For the non-galling conditions, when the ploughing wear modes are observed, there is very little profile depth variation at the edges and at the centre (i.e. the profile depth is stable). Similarly, the tangential force behaviour is also stable and the AE burst signal is not observed. The stability of tangential force behaviour for the non-galling conditions can be confirmed from the work of Heidi et al. [30]. This is mainly attributed to the absence of the lump at front of the indenter, which can be confirmed from the indenter profile (Fig. 12-a) for the non-galling conditions. This can be further validated by the attack angle measurement for the non-galling conditions where the attack angle is in the range of  $9-11^\circ$  (Fig. 27-a). We can also note that, the profiles of the indenter (Fig. 12-a) and the profiles at the end of the scratch (Fig. 12-b) for the non-galling conditions are very consistent. Due to the low attack angle of the indenter, there is no galling on the indenter and only ploughing wear mode is observed on the workpiece, therefore the AE burst signal is not observed here (Fig. 28-a). From this analysis, it can be concluded that, for the non-galling conditions: the wear index feature as less than 0.1; the profile depth variation at edges and centre as stable; the tangential force is stable; and the AE burst signal is not observed.

## 532 4.2. Gallling conditions

533 Fig. 27 shows that the AE burst signal is observed only for the conditions where gallling is observed. For these  
534 gallling conditions ( $D_p=0.20, 0.22$  and  $0.24$ ; sliding distance= $20, 25$  and  $30\text{mm}$ ), the variation in profile depth at  
535 the edges and at the centre was observed. The variation of profile depth at the edges and at centre of the scratch  
536 is mainly due to cutting at the edges and fracture at the centre of scratch. This can be confirmed from the wear  
537 index measurement which is observed to be greater than  $0.1$  for these conditions, indicating that the wear mode  
538 on the workpiece is no longer pure ploughing [24]. This transition in the abrasive wear mode from ploughing to  
539 cutting on the workpiece is mainly due to the gallling wear experienced on the indenter – i.e. due to the build-up  
540 of the lump at the front of the indenter. This can be confirmed by measuring change in attack angle of the  
541 indenter due to gallling which varies significantly compared to that of non-gallling conditions (Fig. 27 a-b). This  
542 can also be validated by observing the significant volume of lump on the indenter (Fig. 19), variation in indenter  
543 profile (Fig. 12-a and Fig. 22-a) and variation in scratch profile measured at the end of scratch (Fig. 12-b and  
544 Fig. 22-b). The wide variation observed in indenter attack angle for the gallling conditions may be due to the  
545 variation in volume of lump on the indenter (Fig. 19) and the inconsistent shape of the gallling observed on the  
546 indenter (Fig. 12-a, Fig. 22-a). According to Schedin et al. [31], when the lump at the indenter front end reaches  
547 a critical size, large amount of lump transfers to the indenter. This variation in volume and shape of the  
548 transferred lump on the indenter may have contributed to the change in scratch profile towards the end of the  
549 tests (Fig. 12-b, Fig. 22-b), resulting in the wide variation of indenter attack angle (Fig. 27 a-b).

550 For the gallling conditions ( $D_p=0.20, 0.22$  and  $0.24$ ; sliding distance= $20, 25$  and  $30\text{mm}$ ), the tangential force is  
551 unstable and high amplitude AE burst signals are observed. This lump stores a huge amount of the deformation  
552 energy. When the magnitude of the stress of the lump reaches the yield strength, the deformation energy is  
553 released in form of AE burst signal due to plastic ploughing [19]. In addition, as observed from Fig. 19, the  
554 volume of lump may not increase with increase in sliding distance (after  $20\text{mm}$ ). Therefore, it is likely that the  
555 fracture of lump takes place resulting in AE burst signals [31]. Additionally, as shown in Fig. 28-c, due to  
556 change in attack angle of the indenter, it result in a transition from ploughing to fracture at the centre of the  
557 scratch surface and results in AE burst signals. Therefore, for the gallling conditions, we can define the wear  
558 index feature as greater than  $0.1$ , profile depth variation at edges and centre as unstable, tangential force as  
559 unstable and AE burst signal is observed.

## 560 4.3. Transition of non-gallling to gallling conditions.

561 From Fig. 27 a-b, the AE burst signal was not observed when the cutting wear was observed at the edges of  
562 scratch. During the transition of non-gallling to the gallling conditions, the variation of profile depth was only  
563 observed at the edges of scratch and not at the centre. In this study, when the cutting wear was observed at the  
564 edges of scratch (wear index  $<0.1$ ), an increase in tangential force was observed. Podgornik et al. [11] attributed  
565 the increase in tangential force to the gallling wear initiation and de Rooij et al. [22] observed the gallling  
566 initiation in the wedge formation wear mode. However, in this study, a minimal lump was observed on the  
567 indenter surface (Fig. 17 a-c and Fig. 19). This indicates the increase in tangential force is mainly due to the  
568 influence of  $D_p$  during this process and not mainly due to gallling on the indenter. This can be confirmed from  
569 the consistency of the attack angle measurement ( $12-13$  degrees) on the workpiece surface at the end of the  
570 scratches for Test Set 2. The increase in attack angle observed during the transition of non-gallling to gallling  
571 conditions, compared to that of the non-gallling conditions, is because the lump accumulates at the indenter front  
572 end and it is not adhered to the indenter. The non-adhered lump is likely to remain at the scratch end resulting in  
573 the increase in attack angle measurement (Fig. 27-b). However, this lump at the indenter front causes the cutting  
574 wear at the edges of scratch. As the lump does not adhere to the indenter, the AE burst signal is not observed  
575 here (Fig. 28-b). The results represented in Fig. 27 indicate tangential force and profile depth variation at the  
576 edges can be used as features to study the prior indication of gallling development on the indenter and to  
577 understand the transition from the non-gallling to gallling conditions.

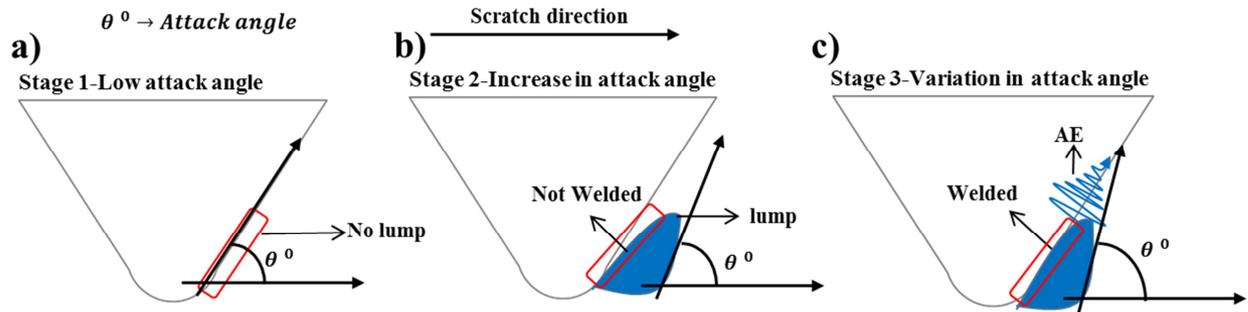


Fig. 28. Influence of galling on AE burst signal.

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## 580 5. Conclusion

581 This work investigated the transition from non-galling to galling conditions using force, AE and profilometry  
 582 wear measurements for scratch test conditions. The tests were performed at different depths of penetration and  
 583 different sliding distances. The following conclusions can be made:

- 584 1. The non-galling conditions were observed for  $D_p$  less than 0.20. Only ploughing wear was observed for the  
 585 non-galling conditions, which is due to the low indenter attack angle (less than 13 degrees) observed during  
 586 these conditions. The presence of only ploughing wear during the non-galling conditions was validated by  
 587 the wear index measurement that was less than 0.1 and the longitudinal profile depth measurement along  
 588 the edges of the scratch that was stable.
- 589 2. For the non-galling conditions, the tangential force was stable and AE burst signals were not observed on  
 590 the workpiece or indenter.
- 591 3. Galling was observed on the indenter when the  $D_p$  was more than 0.22. For these galling conditions, cutting  
 592 and fracture was observed on the workpiece. The presence of fracture is due to the increase in attack angle  
 593 of the indenter, which was a combined effect of the higher  $D_p$  and the lump of galled material at the front of  
 594 the indenter. The presence of fracture during the galling conditions was validated by wear index  
 595 measurement that was greater than 0.1 and the longitudinal profile depth measurement along the edges of  
 596 the scratch that was observed to be unstable.
- 597 4. For the galling conditions, the tangential force was observed to be unstable, and of higher magnitude than  
 598 the non-galling conditions. Additionally, for the galling conditions the AE burst signal was present.
- 599 5. During the transition from non-galling to the galling conditions, the abrasive cutting wear mode was  
 600 observed. Corresponding to the area of cutting at the edges, an increase of tangential force was observed.  
 601 This cutting at the edges is due to a change in indenter attack angle as a result of the high  $D_p$  and, due to the  
 602 lump development at the indenter front end, which was not yet adhered to the indenter. This was validated  
 603 by volume measurement of galling which indicated a minimal volume of lump on the indenter.
- 604 6. The transition from non-galling to galling conditions can be actively monitored by studying the initiation of  
 605 unstable force and the presence of AE burst signals.

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669

## Highlights

- AE peak feature can be used to study initiation of galling.
- Tangential force can be used to indicate prior indication of galling.
- Indenter attack angle plays an important role on the abrasive wear transition.
- Profile depth is quantitative wear measurement to study abrasive wear transition.

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