

1 **Experimental study on the thermal performance of a grey water heat harnessing**
2 **exchanger using Phase Change Materials**

3
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7

8 **Abstract**

9
10 To integrate heat extraction and storage into a single unit along with decoupling of demand and
11 supply, Phase Change Materials (PCMs) can be used to harness heat from grey water (GW). A
12 simple heat exchanger linking both the GW and incoming mains cold water (CW) in a counter
13 flow arrangement with a PCM is experimentally tested. To enhance the thermal conductivity
14 of the PCM, metallic copper fins are placed throughout the cross-section of the pipes.

15 The charging with a GW temperature of 325K and the discharging with a CW temperature of
16 285K, of the PCM is investigated. The influence of the mass flow rates of both the fluids is
17 investigated by varying it between discrete values of 0.1 kg/s and 0.05 kg/s. Similarly the
18 operation strategy of the heat exchanger is varied between the solo operation of the GW and
19 CW compared with the simultaneous flows of both. Finally two different PCMs; with a melting
20 temperature of 298K (RT-25) and 315K (RT-42) are also tested.

21 The mass flow rate is proportional to performance with 0.1 kg/s showing the best results but
22 being less influential for RT-25 as compared to RT-42. In RT-25 most heat is transferred as
23 latent heat with a higher phase change rate whilst RT-42 transfers sensible heat. The solo
24 operation strategy of non-simultaneous GW and CW flow is more effective as this exchanger
25 is meant to decouple demand and supply. The ability of RT-25 to retain heat over the long term

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26 is also greater compared to RT-42. Ullage issues and corrosion concerns of the metallic
27 container and pipes are also dominant over the long-term usage of these PCMs.

28

29 **Keywords: Grey water, PCMs, Heat harnessing, passive houses**

30

31 **Highlights:**

32

- 33 • Experimental testing of grey and cold water flow in a PCM exchanger is performed.
- 34 • A mass flow rate of 0.1 kg/s has better charging and discharging of the PCM.
- 35 • A PCM with a melt temperature of 298K outperforms one with that of 315K.
- 36 • About 20 kg of the PCM RT-25 is melted in 2700s from bottom to top.
- 37 • Compared to melting, freezing is a slower and less heat intensive process.

38

39 **1. Introduction**

40

41

42 Buildings account for about one third of the primary energy consumption in the world [1]. At
43 the same time approximately 50% of this consumption is used for heating/cooling purposes.
44 This contributes to nearly half of the global greenhouse gas emissions [2]. For a sustainable
45 future it is of utmost importance to reduce this energy consumption while sourcing most of it
46 from renewable sources. At the same time energy consumed for heating must be lowered
47 with more efficient technologies.

48 To reduce the demand of energy in buildings, the concept of ultra-low energy or passive

49 houses was successfully developed in the 1990s which consume 80-90% lesser energy

50 compared to conventional buildings [1]. However in these buildings, there is still a great

51 disparity between the demand for space heating (15 kWh/m² annually) and hot water heating

52 (50 kWh/m² annually) [2]. Similarly it is argued that conventional renewable technologies

53 including solar, biomass and wind energy are unsuitable and unreliable in densely populated
54 urban centres with unpredictable climatic conditions. Energy harnessing is the scavenging of
55 a portion of an abundant supply of waste man-made energy and is considered a third
56 generation renewable technology [1]. The exergy content of waste thermal energy is high
57 with a great potential to harness but has been overlooked due to current economic conditions
58 owing to the low prices of fossil fuels. Additionally, the future idea of fourth generation
59 district heating grids is perceived as the best heating method especially for urban centres [3].
60 To realize this concept, there is a requirement of integrated sources and sinks in a
61 decentralized smart heating grid [4]. This decentralization must be implemented at both a
62 district and national scale. The shortcomings of these three aspects point towards the
63 harnessing of waste heat from grey water (GW) at different scales.

64

65 GW heat harnessing is not a new concept [1]. Heat pumps and simple counter-flow heat
66 exchangers are commercially available to harness heat in non-industrial buildings. However
67 the efficiencies of these technologies is low, demand and supply are coupled and most
68 importantly heat extraction and storage are done in two different steps. For this reason the
69 inclusion of Phase Change Materials (PCMs) in harnessing this waste thermal energy is
70 proposed.

71

72 PCMs have a long history of passively reducing the energy demand in buildings [5]. Based
73 on numerical and experimental results it is recommended to use organic paraffin based PCMs
74 or salt hydrates for low-temperatures applications below a 373K [6]. At the same time there
75 have been a limited number of studies for recovering waste heat in non-industrial applications
76 [1]. The most common are from air-conditioners, refrigerators and automobiles. In all these
77 applications, recovery is done from a gas to the PCM. The biggest constraint in these

78 applications is the low thermal conductivity of the PCM [7]. Primarily there are three ways to
79 enhance this conductivity, amongst which the usage of macro-structured fins is the most
80 common, especially with regards to passive fluid heat extraction [8,9]. Hosseini et al. [10]
81 numerically studied the variation in angles of installation between longitudinal fins for
82 melting of RT-35 with a laminar flow heat transfer fluid (HTF) at 333K. In this triple fin
83 arrangement the fin angles of 120° with the two bottom fins on the lower side of the pipe
84 reduce the melting time by 22.5%. Since natural convection originates from the bottom of the
85 container moving upwards, the top fins have the least impact on enhancement in melting
86 time. Rudonja et al. [11] numerically investigated enhancement in heat transfer of a cylinder
87 shaped heat exchanger filled with paraffin and longitudinal copper fins. A unique geometric
88 parameter termed the surface ratio is introduced for fins which is inversely proportional to the
89 melting time. Al-Abidi et al. [12] experimentally investigated the usage of longitudinal fins in
90 a concentric pipe heat exchanger for a liquid desiccant air-conditioning unit, filled with a
91 PCM in the centre. Based on a comparison with a similar configuration without the four
92 longitudinal fins the melting time with fins is reduced by 40%. Most studies in the domain of
93 PCMs can either be classified as experimental or numerical [13]. The behaviour of fin
94 addition is application and size dependent, where numerical simulations with scaling down
95 the dimensions do not give realistic results, for this reason a practical test bench is essential
96 [14]. Experimental studies for using PCMs in common building applications for various
97 climatic zones in the world have been conducted [15]. At the same time most experimental
98 work with regards to waste heat in buildings is classified according to heating, cooling and
99 air-conditioning applications [16]. Nevertheless the diversity of the work and conditions
100 encountered reveal that a direct hypothesis or conclusion is hard to draw, and every
101 application requires a custom designed testing of a test rig [13]. Finally most of the
102 experimental work, emphasizes on either melting or freezing of the PCM with either laminar

103 or turbulent flow of the HTF [17]. Similarly most studies use longitudinal welded or clipped
104 fins to join the fins to the HTF pipe [12] [18].

105

106 An experimental study to assess both aspects of melting and freezing of an organic PCM, in a
107 waste heat thermal recovery application of non-industrial GW with innovative mechanically
108 connected copper pipes and fins to enhance thermal conductivity, is a research gap and a
109 novel idea which will be investigated in this paper.

110

111 Most studies have established that the inclusion of fins increase the thermal performance in
112 comparison to a non-fin arrangement whilst most have also effectively analysed the
113 appropriate application specific fin dimensions as well. [19]. It is also an established fact that
114 the rate of PCM phase change is directly proportional to the inlet temperature of the HTF [10]
115 [14] [20]. For this reason a comparison without a fin arrangement is not executed but a
116 sensitivity analysis on the HTF flow rates and operation strategy is performed. At the same
117 time to assess the strength of melting or freezing two different PCMs (RT-25 and RT-42) are
118 used having temperature differences (ΔT) to suit either the HTF (GW) or the heat absorbing
119 fluid (HAF) (CW). Finally unlike in recent research, the aspect of PCM heat retention and
120 ullage is analysed along with the container/pipe material corrosion affects.

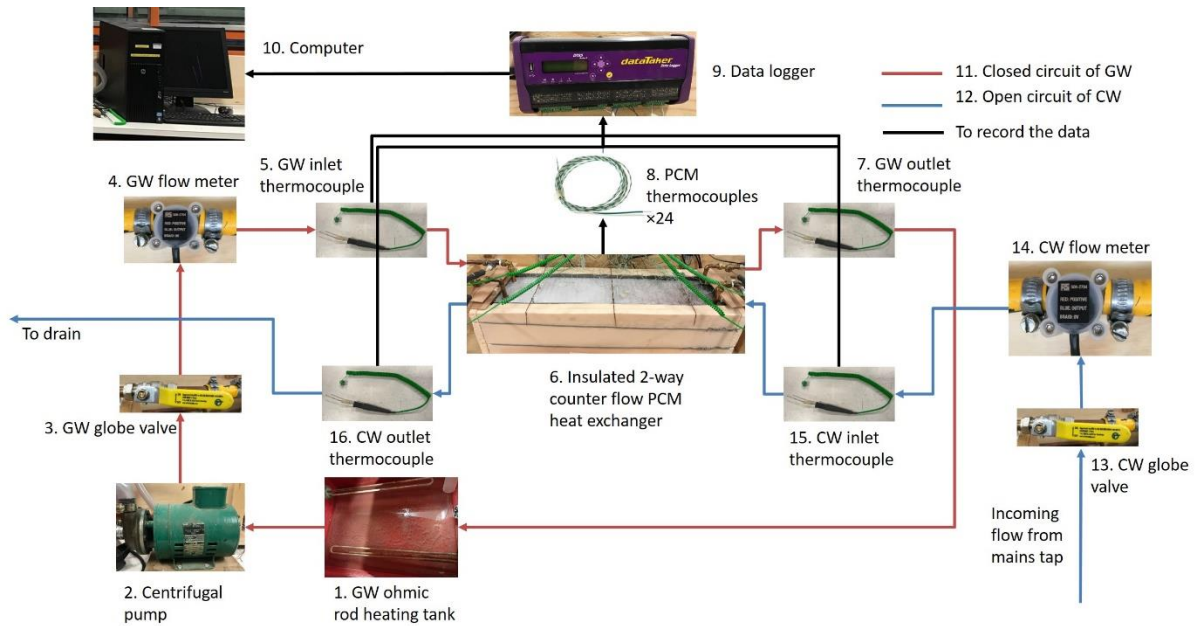
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122 **2. Experimental setup**

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125 The experimental setup consists of a closed circuit GW and an open circuit CW flow
126 arrangement, as depicted in Figure 1:



127

128 *Figure 1: Schematic of the experimental layout*

129

130 The storage tank (1) has a supply of conventional GW, with an ohmic rod heater embedded in

131 it. It is connected to a centrifugal pump (2) which in turn is linked to a globe valve (3) to

132 control the flow rate of the fluid. The circuit then consists of a flow meter (4) followed by a

133 probe-type thermocouple (5) to record the inlet conditions of the GW. This circuit is

134 connected to the heat exchanger (6) followed by another probe-type thermocouple to measure

135 the GW outlet temperature (7). Within the heat exchanger twenty-four point-type

136 thermocouples (8) are arranged on the fins and within the PCM to measure the conditions.

137 The GW then flows back to the tank though a pipe, in a closed circuit (11). Similarly the CW

138 is coming from a conventional building tap into a globe valve (13) through a flow meter (14)

139 and probe-type thermocouple (15) to record the inlet conditions. It is then routed through the

140 heat exchanger (6) in a counter flow arrangement with the GW following an outlet probe-type

141 thermocouple (16) before going down a drain in this open circuit (12). The lid of the heat

142 exchanger (6) is removable for better internal visualization. All twenty-eight thermocouples

143 are connected to a data logger (9) which in turn stores the instrument readings every 10s, in a

144 desktop computer (10).

145 A detailed list of the important auxiliary equipment used, with the relevant technical
 146 parameters is presented in Table 1:

147
 148 *Table 1: Technical details of the auxiliary equipment*

| Equipment | Numbering in Figure 1 | Technical details |
|---------------------------|-----------------------|--|
| Ohmic rod heater and tank | 1 | Tank Capacity: 180m ³ Heating elements: 2 × 5kW GW: Water, soap residuals, bacteria, biological particles, impurities |
| Centrifugal pump | 2 | Make & model: Stuart Turner Maximum flow rate & head: 0.92kg/s & 1.5m Minimum flow rate and head: 0.15kg/s & 6m Power supply: 230 V AC |
| Flow meter | 4 & 14 | Make & model: RS Pro 508-2704 Range: 0.001 – 0.17kg/s Type: Magnetic K factor: 1420 pulses per 0.0038m ³ Frequency: 235Hz Uncertainty: +-3% Voltage: 4.5 – 24 DC |
| Probe thermometers | 5,7,15,16 | Make & model: TME KM03 Type: K-type (nickel chrome–alumel) Length & thickness: 100 & 3mm Response time: 3s Temperature range: 73 – 1,373K Uncertainty: ±0.25% |
| Point thermocouples | 8 | Make & model: RS Pro 621-2170 Type: K-type (nickel chrome–alumel) Wire thickness & coating: 0.3mm glass fibre Response time: 0.7s Temperature range: 223 – 673K Uncertainty: ±0.25% |
| Data logger | 9 | Make & model: Datataker DT85 Series 4 Memory: 128 MB internal Uncertainty: ±0.15% Minimum record time: 1s |

149
 150
 151 The calibration of the instruments is as per ISO 71025:2005. The cumulative uncertainty in
 152 the thermocouple readings is about 0.4% while 3.15% for the flow meters. Additionally
 153 external systematic uncertainties are present with varying ambient and boundary conditions.

154

155 **2.1 GW & CW**

156
157

158 A comprehensive study on the characteristics and potential of GW heat harnessing, based on
159 different levels has been put forward [1]. A summary of the typical characteristics of GW and
160 CW are presented in Table 2:

161 *Table 2: Characteristics of the GW and the CW in conventional household appliances*

| | GW | CW |
|-------------------------------|--|-------------------------------|
| Temperature | 313-353K | 278-293K |
| Mass flow rates | 0.02 -0.1kg/s | 0.02 -0.15kg/s |
| Typical pipe diameters | 25-40mm | 8-35mm |
| Contents | Water, chemicals, detergent, organic particles, bacteria, debris | Chemically treated hard water |

162

163 This data is based on conventional eco-friendly appliances in residential buildings [1]. The
164 flow rates vary considerably as they are dependent on the frequency and the duration of usage
165 of an appliance. Normally the amount of CW coming in is the same as the GW going out
166 with minor deviations depending on the retention time of the water in an appliance. Incoming
167 pipes (CW) are thinner to maintain the pressure and flowrates in an appliance while outgoing
168 pipes (GW) are larger to account for the additional debris added through usage. The
169 temperature of the CW is dependent on the ambient conditions. For this test bench murky
170 GW at 325K with actual debris, bacteria and detergent residuals is circulated in the closed
171 loop circuit of Figure 1.

172

173 **2.2 Heat exchanger**

174
175

176 Harnessing of heat from GW has several constraints. The presence of biological impurities
177 increases the vulnerability of fouling and biofilm deposits inside the pipes over the long-term.
178 For this reason simple circular cross sectional pipes with no sharp turns or edges are used.
179 Secondly the flow of the GW in conventional buildings is only due to the pull of gravity.

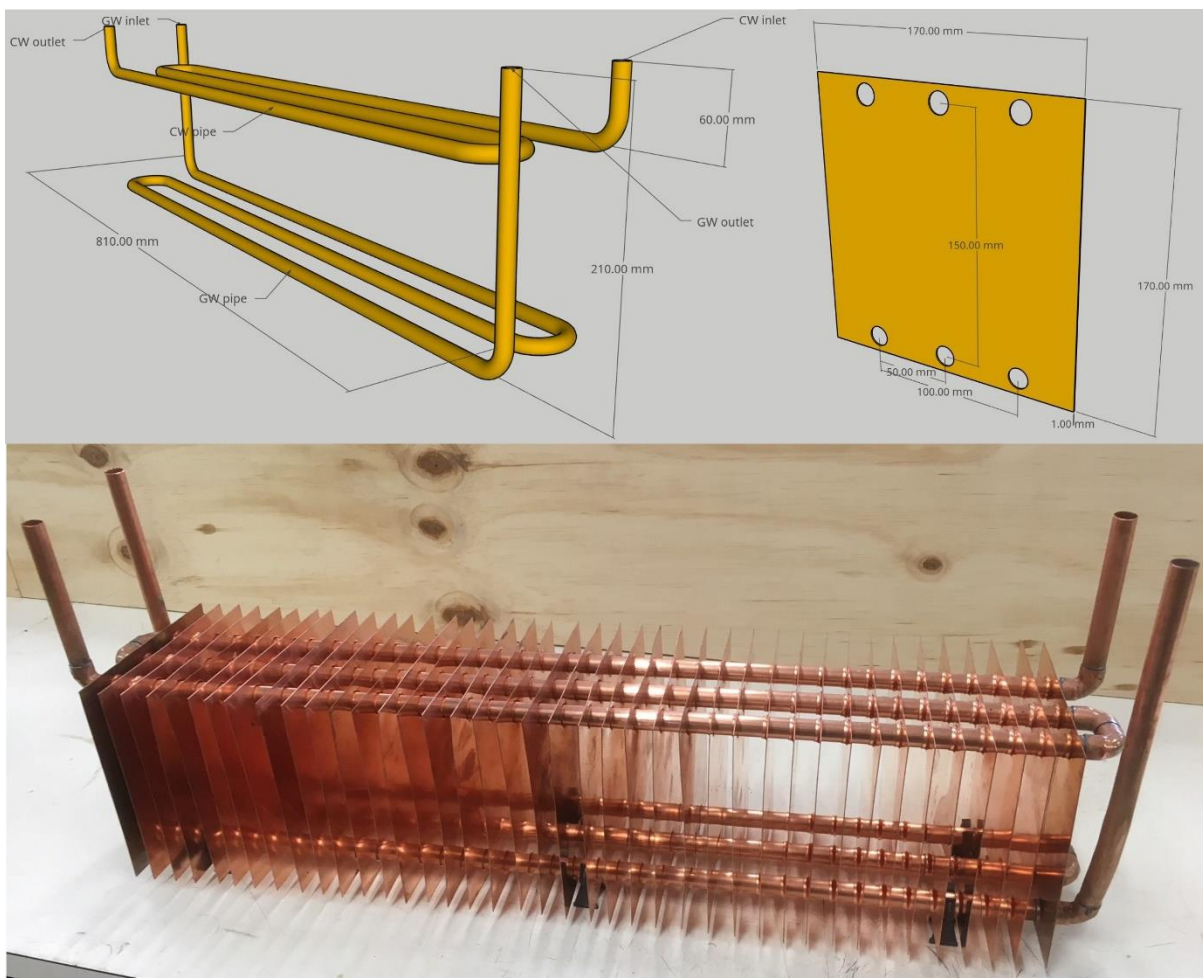
180 Hence the margin for pressure losses is minimal, once again implying the usage of straight
181 pipes with minimal sharp surfaces. Since the flow impulse is high having a high flow rate for
182 a short time duration, the maximum amount of heat is to be transferred with heat transfer
183 enhancement techniques, required both internal and external to the pipes.

184 Counter flow copper pipes are embedded in the PCM filled heat exchanger with a mild steel
185 container enclosed with insulating Styrofoam. In the past, plumbing systems in buildings
186 were made by metals including copper, cast iron or even lead. Nowadays PVC plastic piping
187 is sufficiently cheap for usage within conventional buildings. However their thermal
188 conductivity is quite low, hence copper with a thermal conductivity of $401\text{W}/(\text{m.K})$ is used as
189 the piping material for both the GW and CW. Thin pipes (1mm thickness) of 12.7mm
190 diameter are used although from Table 2, the typical diameters in residential buildings are
191 different. These pipes increase the contact area and turbulence to give a better heat transfer
192 compared to large diameter pipes. When practically connecting the exchanger to an
193 appliance, a reducer could be used irrespective of the connecting materials. To enhance the
194 thermal conductivity of the PCM external to the pipe flow, copper fins are attached to the
195 circumference of both pipes as it is the most commonly used technique [21]. Fins are the
196 most effective and easiest thermal conductivity enhancement technique for PCMs with
197 simplicity in design and manufacturing [9].

198

199 The main piping sections of both the GW and CW are identical with two U-bends as both
200 pipes are vertically cascaded upon each other in a counter flow arrangement. Due to
201 differences in density between both phases of the PCM, gravity-assisted natural convection
202 induced by buoyancy will play a primary role in PCM phase change especially during
203 melting. For this reason the GW pipe is best suited to be vertically below the CW flow [10].
204 If both are horizontally parallel, the advantages of natural convection could not quite have

205 been exploited. In this configuration with the GW pipe in the lower half of the heat exchanger
206 a larger portion of the PCM is exposed to natural convection which in turn enables the CW to
207 maximise the heat absorbed [22]. Similarly if the length of the fins is not long enough, heat
208 by conduction is not propagated to the far ends of the container. The aspect ratio can be
209 defined as the height of the fins to the gap between them [23]. A large aspect ratio
210 corresponds to high tightly packed fins while a small ratio to short widely spaced fins. Low
211 aspect ratios are effective only near the vicinity of the source while large ratios propagate
212 heat further into the PCM as in this application. A total of 40 radial fins are attached along
213 the circumference of the pipes, with a gap of about 18mm. The bottom pipe is supported by
214 six plastic stands to keep the exchanger erect at 25 mm from the lower surface of the steel
215 container as observable in the overall design of Figure 2:

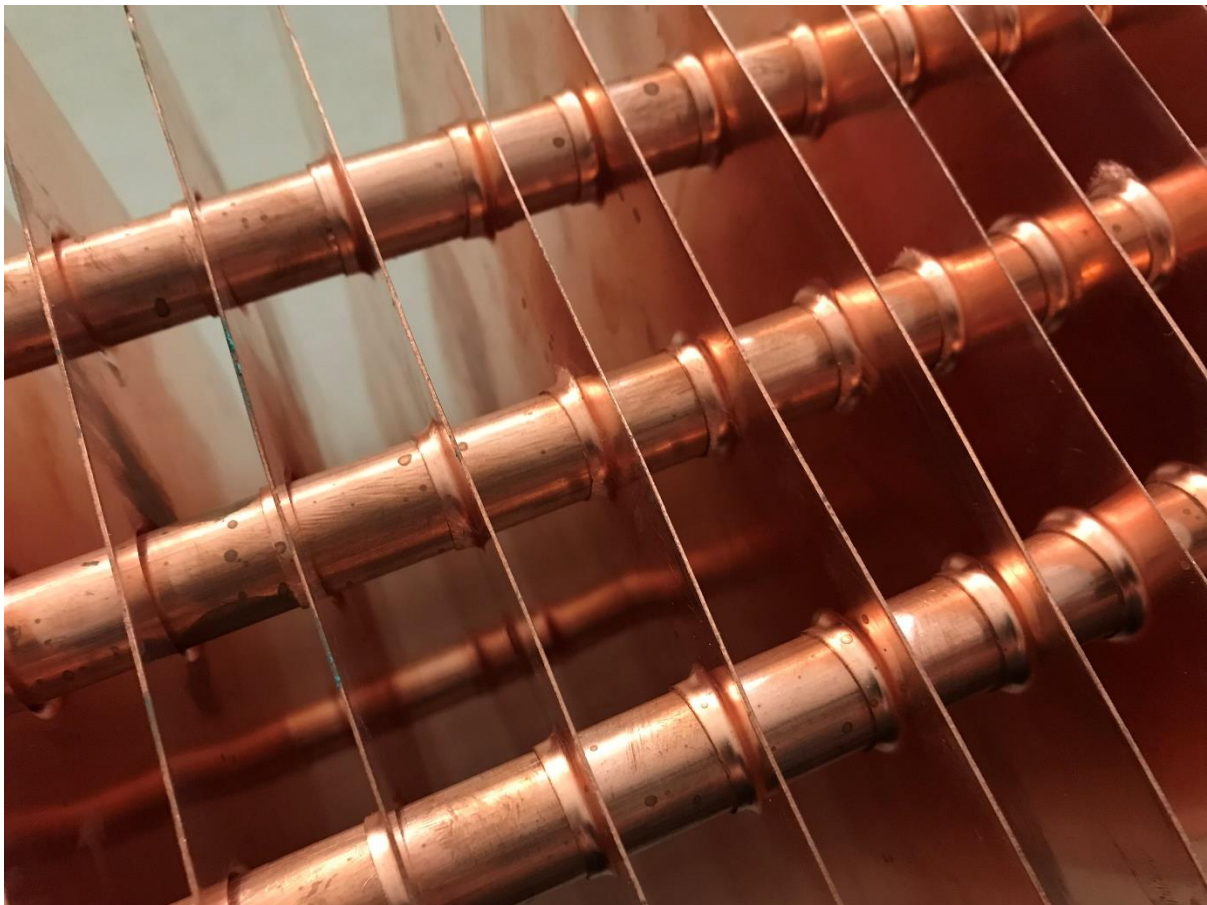


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217 *Figure 2: Overall configuration of the heat exchanger*

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220 It is predicted that increasing the number of fins with higher dimensions result in better
221 thermal performance. However the fin thickness has the least effect on this performance [19].
222 The dimensions and pitch of the fins are selected to maximise phase change to the entire heat
223 exchanger [1]. The fins are not welded onto the pipe, but have a unique mechanical
224 attachment made using a jig. This will ensure better contact of the fin with the pipes,
225 uniformity in manufacturing unlike welding and is more economical. Welding of fins causes
226 a comparatively higher thermal contact resistance especially with dissimilar filler metals[12]
227 while clipping has losses in the mechanical bond after several thermal cycles [18]. A close up
228 of the attachment of the fins to the pipes is shown in Figure 3:

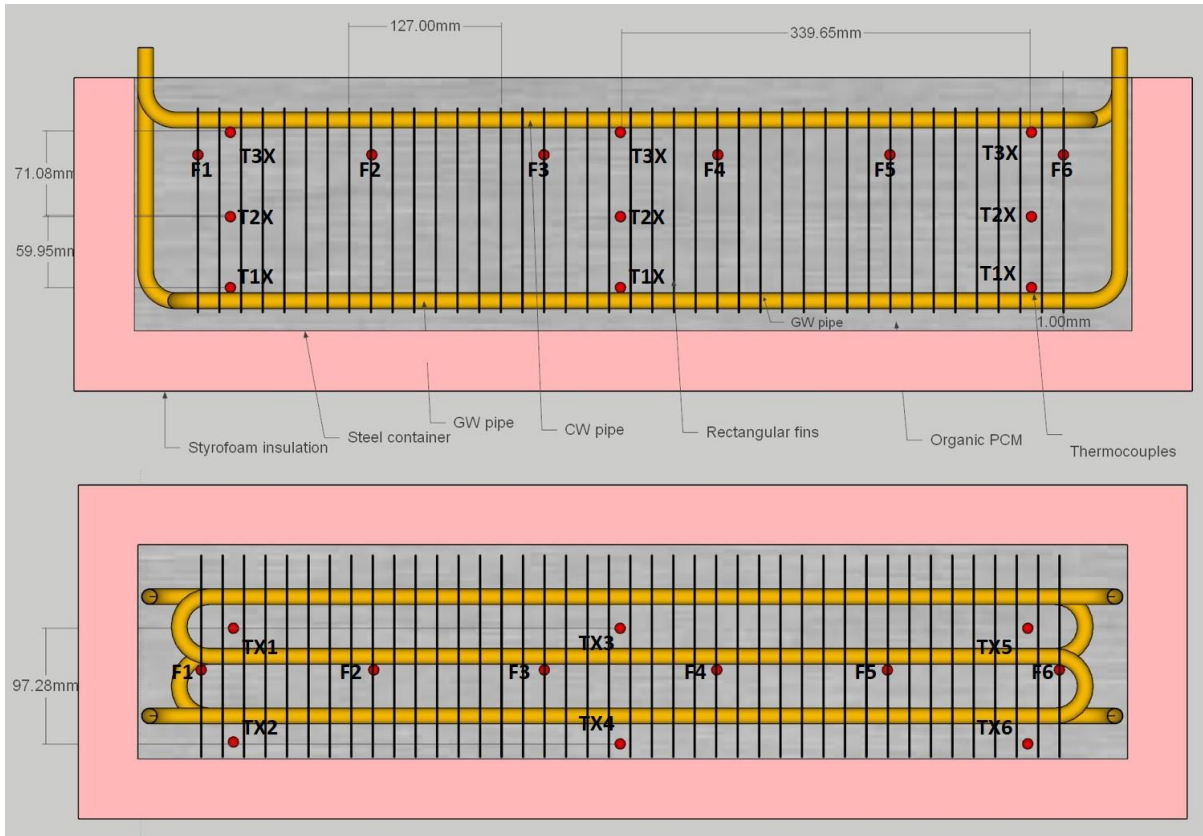


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Figure 3: Surface contact between the fins and the pipes created using a mechanical jig

232 Typically the geometrical configurations of PCM containers are cylindrical, spherical,
233 rectangular, and square slab type [20]. Rectangular shell and tube enclosures of PCM-fin
234 applications are the most common and are used as a benchmark for comparison to other
235 geometries [19] [22]. For this reason a rectangular layout with the PCM filling the shell side
236 having the GW and CW tubes within is used. Since double pipe concentric and plate heat
237 exchangers have the worst performance with PCMs over the long term, they are not opted for
238 this application [22]. The comparatively low viscosity of liquid PCM make sealing of heat
239 exchangers quite challenging, hence the pipes come out of the top in the layout of this
240 application [23]. This minimized manufacturing costs without the need to drill and seal holes.
241 The container is 820mm in length, 180mm in width and 210mm in height made out of mild
242 steel sheets with 2mm thickness, to ensure the PCM did not corrode it over the long term, as
243 steel is the most compatible with paraffin PCMs [7]. Plastic is lightweight and insulating, and
244 considered as a good option for PCM containers. However over a ten month investigation
245 high moisture sorption and contamination of the PCM in contact with the plastic is observed
246 over many operation cycles [22]. Apart from stainless steel, even brass, aluminium and
247 copper containers are corroded when in contact with PCMs [22]. Mild steel does corrode but
248 at a fairly low rate whilst being more economical compared to stainless steel, as will be
249 demonstrated in section **Error! Reference source not found.**

250 The container has a Styrofoam enclosure with a thickness of 10cm having a thermal
251 conductivity of $0.033\text{W}/(\text{m.K})$ [24]. The lid is made up of removable wood coupled with the
252 Styrofoam, to facilitate installation of the thermocouples and visualization of the
253 charging/discharging of the PCM without considerable heat losses. A final internal layout of
254 the cross section of the assembled exchanger is presented in Figure 4.



255

256 *Figure 4: Assembled layout of the heat exchanger with the thermocouple positions*

257

258 As stated previously a set of twenty-four thermocouples are arranged within to measure the
 259 temperature profiles of the fins and PCM over the time duration, with the rod dots

260 representing them in Figure 4. The lower layer of the thermocouples placed inside the PCM

261 are numbering T1X with X from 1-6. Similarly the middle layer has a prefix of 2 while the

262 top layer of thermocouples has a prefix of 3. Although there will be natural convection

263 currents due to the density difference in both phases of the PCMs, it will not affect the

264 position of the thermocouples as they are rigidly fixed.

265 **2.3 PCM**

266

267

268 Two different organic paraffin PCMs are tested with different flow conditions detailed in

269 section 2.4. Their thermal characteristics are presented in Table 3 [25,26]:

270

271 *Table 3: Thermal characteristics of the PCMs*

| PCM | Density (kg/m ³) | Thermal conductivity (W/(m.K)) | Specific Heat (J/(kg. K)) | Latent heat capacity (J/kg) | Liquidus temperature (K) | Solidus temperature (K) |
|-------|------------------------------|--------------------------------|---------------------------|-----------------------------|--------------------------|-------------------------|
| RT-25 | Solid: 880 Liquid: 760 | 0.2 | 2,000 | 170,000 | 299.15 | 295.15 |
| RT-42 | Solid: 880 Liquid: 760 | 0.2 | 2,000 | 165,000 | 316.15 | 311.15 |

272
273

274 Paraffin’s and salt hydrates are amongst the most commercially used and researched upon of
 275 all PCMs [22]. Paraffin is an alkane with the chemical formula of C_nH_{2n+2} having a non-
 276 corrosive and durable structure [24]. They are transparent in the liquid phase, permitting the
 277 visual observance of both melting and freezing, with the removable lid of the heat exchanger.
 278 At the same time they are chemically inert, comparatively more stable and non-toxic being
 279 harmless to ecological systems [27]. For these reasons they are perfectly suited for this
 280 application.

281 The mass of the PCM used to fill the heat exchanger is about 20kg. The inlet temperature of
 282 the GW is about 325-328K while that of the CW is 285-288K. Using RT-25 provides a
 283 higher ΔT between GW and the PCM melt temperature, while RT-42 provides a higher ΔT
 284 with the CW, both of about 30K. In this way the strength of both the melting and freezing
 285 processes can be assessed, on the performance.

286

287 **2.4 Procedure**

288

289 The experiment is conducted by varying different operating conditions to study the effects on
 290 the outcome. Initially the variation of two different PCMs will assess the strength of the

291 melting (GW flow) and freezing (CW flow). Additionally two different operation strategies
 292 are implemented. In the solo flow operation strategy only the GW followed by the CW flow
 293 each for 2700s while in the simultaneous operation both flow together for a total of 2700s.
 294 The flow rates of both streams of fluid are similar when assessing this operation strategy.
 295 This operation strategy would assess the strength of the decoupling of demand and supply
 296 between GW and CW flow. Finally two different mass flow rates of 0.05 kg/s and 0.1 kg/s
 297 are investigated based on the boundary conditions presented in Table 2. This is to assess the
 298 effect of increased turbulence on the thermal performance. With these different combinations
 299 a total of 8 experimental tests are carried out to analyse differences on the outcome.

300

301 **3. Results & discussion**

302

303 Results for all sets of readings are analysed for both melting and freezing based on measures
 304 of thermal performance. It can be assumed that the entire PCM domain is divided into 18
 305 equal volume rectangular blocks around each thermocouple, giving the average temperature
 306 of that block. The approximate liquid fraction can be calculated based on the commonly used
 307 lever rule [14]:

$$Volume\ fraction = \frac{T - T_{Solidus}}{T_{Liquidus} - T_{Solidus}} \quad (1)$$

308 The solidus and liquidus temperatures of both PCMs are presented in Table 3. The Stefan
 309 number is the ratio of the sensible to latent heat transferred by the PCM. It can be defined as:

310

$$St = \frac{Sensible\ heat\ transfer}{Latent\ heat\ transfer} = \frac{mc(T_{final} - T_{initial})}{mL} \quad (2)$$

311 The average temperature for the eighteen thermocouples is used to calculate the sensible
 312 energy. The latent heat transfer is calculated based on the change in mass fraction of a phase

313 as per equation (1). The overall desire is to transfer the maximum heat from the GW to the
314 CW. The average heat transfer efficiency can be defined as:

315

$$\text{Heat transfer efficiency} = \frac{\text{Heat transferred from GW}}{\text{Heat absorbed by CW}} = \frac{\dot{m}_{GW} \times C \times (T_{in} - T_{out})}{\dot{m}_{CW} \times C \times (T_{out} - T_{in})} \quad (3)$$

316 In a similar experiment this efficiency is at 71.8% which meant that 28.2% of the heat is lost
317 within the PCM during the heat exchange process [12].

318 To minimize uncertainties in the results a series of trials are conducted for both charging and
319 discharging from which 90% confidence levels are achieved. This is since PCM properties
320 are non-homogenous and there are impurities impregnated within it over time. The
321 experiment readings are recorded in an indoor laboratory with an ambient temperature in the
322 range of 295-297K.

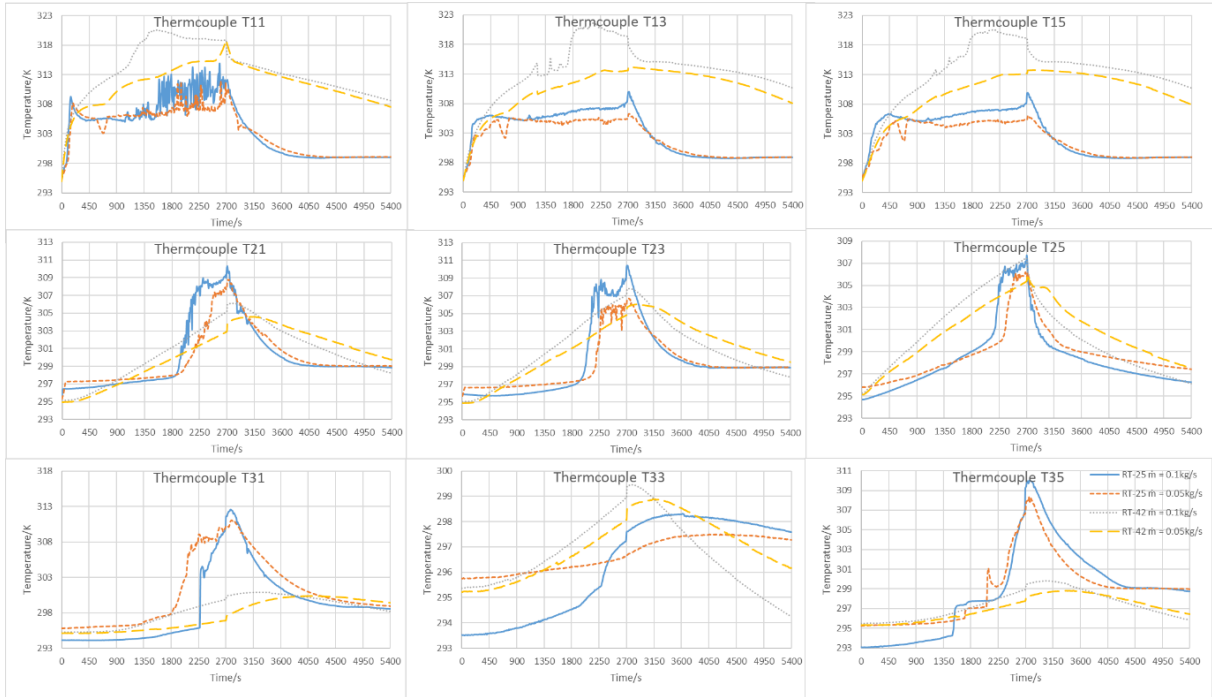
323 **3.1 Solo flow operation strategy**

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325

326 An overview of the temperature variations in the odd-numbered thermocouples of all tiers on
327 the upper side of the heat exchanger are presented in Figure 5:

328



329

330 *Figure 5: Temperature variation for the odd-numbered thermocouples in the solo flow strategy*

331

332

The general trend in the even numbered lower side thermocouples of Figure 4 are more or

333

less similar. Several important factors can be observed from these plots. Firstly due to

334

buoyancy induced natural convection the plots exhibit some waviness and spikes only during

335

the melting phase especially for RT-25 at a mass flow rate of 0.1 kg/s in thermocouple T11.

336

A reason for this is the close proximity of the thermocouple to a higher heat influx from the

337

GW pipe. The waviness is not initiated in RT-42 since as it is sensibly heated but only while

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phase change mostly in RT-25, which proves that initially conduction is the main source of

339

heat transfer followed by natural convection in melting. The thermal conductivity of a PCM

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in the liquid state is even lesser than that in the solid, for this reason convection is extremely

341

important [9]. In the first tier of thermocouples the temperature profiles for RT-42 rises

342

higher than that of RT-25. This rise is attributed to sensible heating as compared to latent

343

heating which is faster requiring a much less heat influx. Similar to this in another study [28],

344

the PCM next to a fin melted 4 times faster than the PCM a few millimetres away, as the

345

speed of conduction in the initial phases of the melt is much higher compared to the slow

346 laminar natural convection away from the HTF. Similarly in freezing sensible cooling is
347 much faster compared to latent cooling as observable between the RT-25 and RT-42 trend
348 lines. Initially the slope of the curves for RT-25 is much greater during the sensible cooling
349 regime followed by a less steep curve. At the same time latent cooling is much faster in the
350 initial phases followed by almost horizontal cooling trends. This is attributed to the fact that
351 initially in freezing the role of natural convection is prominent but vanishes as it is replaced
352 by conduction heat transfer. This trend can be confirmed from literature as well, that melting
353 of a PCM is convection dominated while freezing is conduction dominated [22] [27] [30]. In
354 the later phases of freezing due to the low thermal conductivity the solidified PCM around
355 the pipe behaves like an insulating medium [29]. Based on the trend lines almost all of RT-
356 25 melts in the 2700s whilst only the first tier of thermocouples of the RT-42 case melt.
357 Melting of RT-25 propagates from the bottom to the top and from the outside to the inside of
358 the heat exchanger. Hot melted PCM is pushed upwards and sideways whilst the solid portion
359 is sandwiched in the middle [10]. In general, the variation in mass flow rate does not have
360 any significant impact for RT-25 but a higher impact for RT-42. The trend lines are similar
361 for both mass flow rates with 0.05 kg/s below by an average of 2K compared to 0.1kg/s. This
362 is quite interesting since the amount of heat flux from both 0.05kg/s and 0.1kg/s does not
363 vary significantly. Although there is more turbulence in the 0.1kg/s flow condition but most
364 of the fluid passes through the pipe section without contact to the pipe surface. This inhibits
365 heat transfer and comparatively in the 0.05kg/s flow regime most of the fluid remains in
366 contact with the pipe surface providing a comparatively similar heat transfer.

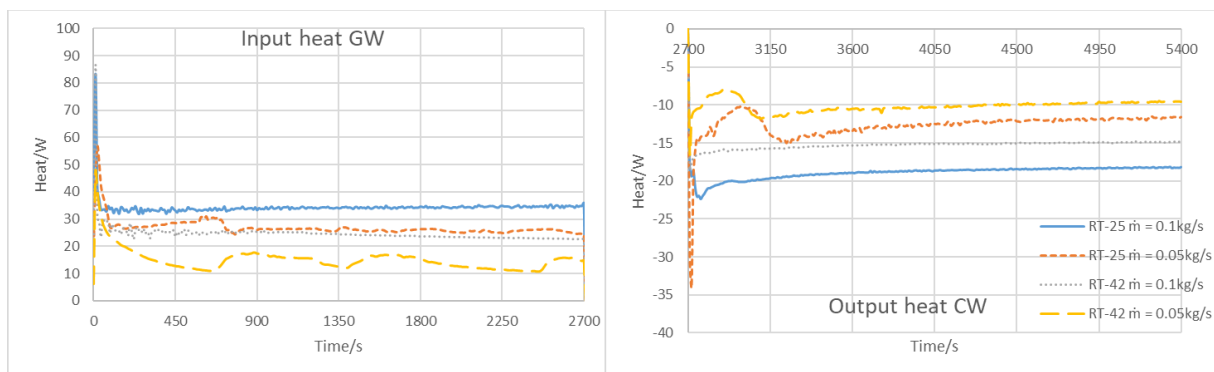
367 In the second tier of thermocouples the phase change peak for RT-25 is shifted by about
368 1,500-1800s during melting. At the same time, in this layer the maximum temperature of RT-
369 25 overtakes RT-42, showing that melting propagates much faster due natural convection
370 compared to the conduction in RT-42 as there is no phase change at this level. In freezing

371 (2,700-5,400s) there is a gradual decrease in the RT-42 temperature compared to an abrupt
 372 decrease in RT-25, attributed to the higher initial ΔT between the incoming CW.
 373 Along the length of the pipe heat propagation lowers resulting in a later peak for
 374 thermocouples TX5 compared to the initial thermocouples. The larger the temperature
 375 difference between the HTF and the PCM the faster is the phase change [23], as can be seen
 376 that RT-25 is melting dominated while RT-42 is freezing dominated once it has completely
 377 melted. In the upper tier RT-42 has negligible temperature increment and eventually a similar
 378 trend in freezing, since the phase has not changed at all.

379

380 An overview of the heat transferred by the GW and the CW is presented in Figure 6:

381

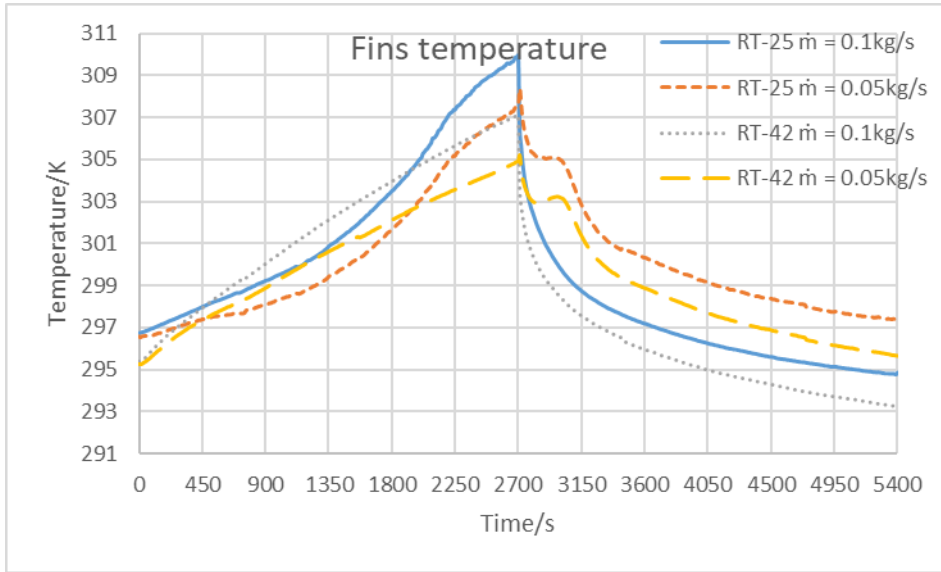


382
 383 *Figure 6: Heat transferred from the GW and CW streams in the solo flow strategy*

384

385 Initially the amount is high during the transient zone for both GW and CW which eventually
 386 reaches a steady state and gradually reduces with the passage of time. As the PCM
 387 temperature rises the temperature difference between the HTF and the PCM diminishes
 388 resulting in a decrease in heat transfer [28]. Eventually if the time duration was greater the
 389 heat transfer would asymptotically approach zero as the temperature of the PCM approaches
 390 that of the HTF/HAF. The magnitude of heat transferred by the GW is much larger compared
 391 to that of the CW, which once again proves melting is a comparatively faster process due to
 392 natural convection domination. Finally the average temperature profiles of the six
 393 thermocouples attached to the fins is presented in Figure 7:

394



395
396

Figure 7: Average temperature of the fins in the solo flow strategy

397

398 The inclusion of fins results in uniformity in the temperature distribution, and increment in
 399 the heat transfer rate along with a decrement in the phase change time [19]. As from Figure 7,
 400 this is due to the fact that the average temperature of the fins approaches that of the fluid
 401 flows in the pipes. It is higher for RT-25 as this PCM has a greater ΔT with the GW and the
 402 fins eventually propagate more heat forward to the PCM. At the same time this temperature
 403 profile is almost independent of the mass flow rate. With the fins, the initial conduction in
 404 melting of the PCM is quite strong enabling natural convection to initiate early compared to a
 405 case without fins [29].

406 An overview of the thermal parameters calculated to establish the performance are in Table 4:

407 Table 4: Thermal performance criteria for the solo flow operation strategy

| | | Solo flow operation | | | |
|----------|--|----------------------------|-----------------------------|----------------------------|-----------------------------|
| Criteria | | RT-25 $\dot{m} = 0.1$ kg/s | RT-25 $\dot{m} = 0.05$ kg/s | RT-42 $\dot{m} = 0.1$ kg/s | RT-42 $\dot{m} = 0.05$ kg/s |
| 1 | Order of propagation of heat in lower thermocouple layer T1X | 1-3-5-4-2-6 | 1-3-5-4-2-6 | 1-3-5-4-2-6 | 1-3-5-4-2-6 |

| | | | | | |
|----|---|-------------|-------------|-------------|-------------|
| 2 | Order of propagation of heat in middle thermocouple layer T2X | 4-1-3-5-6-2 | 4-1-3-5-6-2 | 5-3-4-6-1-2 | 5-3-4-6-1-2 |
| 3 | Order of propagation of heat in upper thermocouple layer T3X | 6-1-5-2-4-3 | 1-6-5-2-3-4 | 1-6-3-5-2-4 | 6-3-2-5-4-1 |
| 4 | Liquid fraction after GW flow | 0.94 | 0.92 | 0.28 | 0.12 |
| 5 | Liquid fraction after CW flow | 0.84 | 0.87 | 0.00 | 0.00 |
| 6 | Stefan number after GW flow | 0.16 | 0.12 | 0.53 | 0.95 |
| 7 | Stefan number after CW flow | 1.11 | 1.54 | 0.25 | 0.30 |
| 8 | GW to CW heat transfer efficiency | 54.76 | 46.82 | 61.61 | 66.95 |
| 9 | Average temperature lost by GW | -8.19 | -12.89 | -5.89 | -7.22 |
| 10 | Average temperature gain by CW | 4.52 | 6.09 | 3.68 | 4.88 |

408

409 From the first three rows it is clear that irrespective of the mass flow rate or PCM the heat
410 propagates from the circumference of the container to the centre. Due to the vertical portion
411 of lengths of the GW pipe coming out of the heat exchanger as depicted in Figure 2**Error!**
412 **Reference source not found.**, the thermocouples T36 and T16 are the fastest to melt in the
413 third layer. Melting propagates upwards due to buoyancy while freezing propagates
414 downwards due to its higher density, which proves that this layout is perfect for this
415 application [22]. Almost the entire 20kg of RT-25 melts in the 2700s while only 25% of RT-
416 42 does. However freezing in RT-25 is almost negligible while quite dominant in RT-42,
417 hence proving the claim that the ΔT between the fluid and PCM phase change temperature
418 has a major role in deciding the melting of freezing domination. A lower Stefan number is

419 desired to maximise latent heat transfer and minimise sensible heat transfer. This insures
420 maximum heat transfer and should be zero in the ideal case. The Stefan number is inversely
421 proportional to the mass flow rate for freezing of CW flow for both PCMs. However for
422 melting it is dependent on the PCM and mass flow rate. A 0.05kg/s mass flow rate with RT-
423 25 gives the best result as most heat in this condition is latent dominated. Since most heat
424 transfer in freezing of RT-25 is sensible, the Stefan numbers are comparatively much higher.
425 An interesting observation is that the heat transfer efficiency for RT-42 is higher compared to
426 that of RT-25 although the average temperature differences are comparatively lesser for RT-
427 42. For the GW harnessing application the desire is to have higher ΔT of the fluid streams
428 which makes RT-25 more suitable for this application. The heat transfer efficiency can be
429 further incremented by improving heat transfer to the CW. In this application the amount is
430 considerably low probably due to the fact that the distance between the pipes is large,
431 forming the basis of a future optimization study.

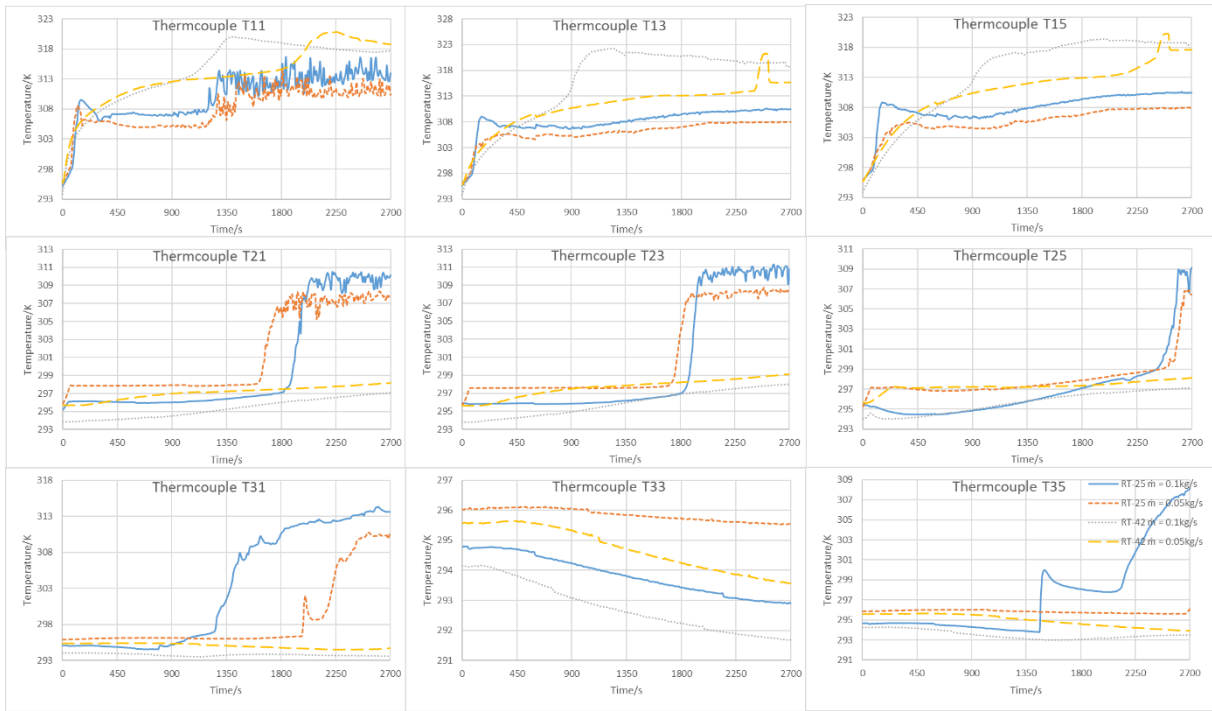
432

433 **3.2 Simultaneous dual flow operation strategy**

434

435

436 To draw a comparative analysis with the solo flow strategy a similar analysis is presented for
437 the dual flow strategy. An overview of the temperature variations in the similar set of
438 thermocouples is presented in Figure 8:



439

440 *Figure 8: Temperature variation for the odd-numbered thermocouples in the dual flow strategy*

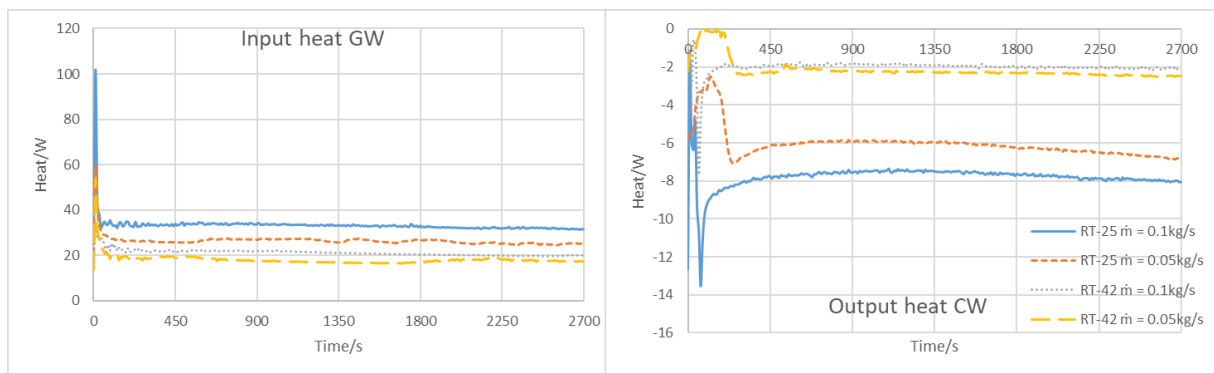
441

442 The general trends for the lower tier of thermocouples is mostly similar to the solo flow
 443 strategy since this tier is close to the GW pipe. For both PCMs and flow rates in this layer the
 444 thermocouples are melting dominated with gradual increments in temperature. The main
 445 difference of strategies in the results lies in the middle layer of thermocouples. For the RT-42
 446 there is almost no change in state. The CW is pressing the freezing front from the top while
 447 the GW is pushing the melting front from the bottom. However since the ΔT for RT-25
 448 favours the GW and melting, it dominates after gradually being ineffective in the initial
 449 1,800s. Eventually the RT-25 in this layer melts with convection trends visible towards the
 450 end of the plot. The most interesting trends are observed in the top tier of thermocouples. As
 451 mentioned phase change occurs from the outside towards the inside of the heat exchanger.
 452 For this reason thermocouples T31 and T35 exhibit phase change only for RT-25. However
 453 all PCMs in the T33 thermocouple decrease in temperature and remain in solid state. The
 454 melting front in the RT-25 is able to propagate only upwards and on the outskirts of the
 455 container. On the other hand in this top layer the RT-42 goes at a temperature below the

456 ambient since the incoming CW is at 285-288K. During this dual mode operation the solid
 457 PCM is sandwiched in centre of the container close to its centre of gravity for all cases. Also
 458 in several literature sources, the melted PCM has reportedly moved upwards resulting in the
 459 solid section sinking in between in this position [28]. This is due to the fact that the liquid has
 460 a lower density compared to the solid resulting in buoyancy and naturally driven convection.
 461 Typically uneven melting and freezing prolongs the total phase change time, as in this
 462 strategy [19]. At the phase change interface there is a combination of both conduction and
 463 convection. Convection in the liquid phase dominates the conduction in the solid phase as is
 464 evident by the propagation of the melt front for RT-25.

465 The variation in heat transferred by the GW and the CW is presented in Figure 9:

466



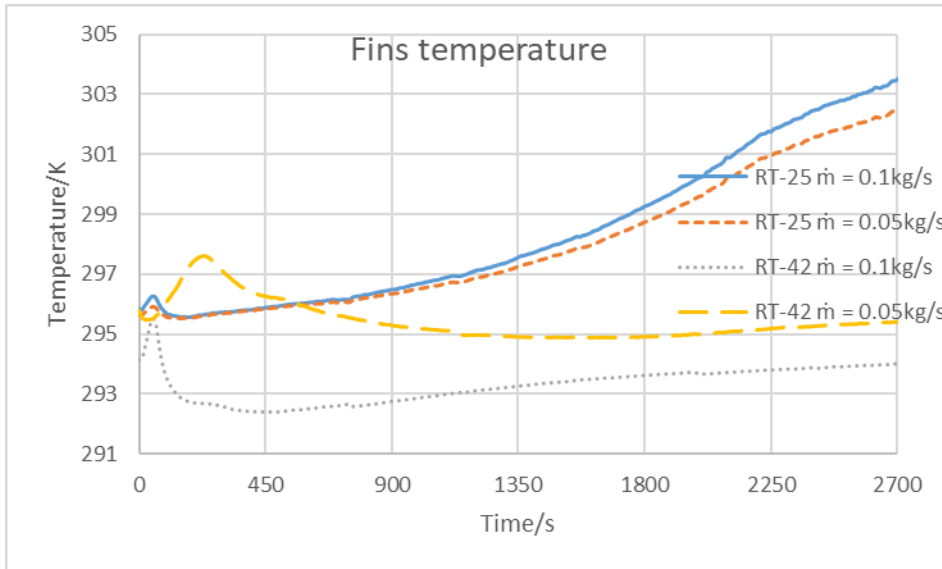
467

468 *Figure 9: Heat transferred from the GW and CW streams in the dual flow strategy*

469

470 The trends are exactly the same with a notable difference that the values on the y-axis are
 471 much lesser especially for the CW case. This is due to the fact that the phase change front
 472 never reaches the CW pipe. At the same time with the passage of time the heat absorbed by
 473 the CW is incrementing with time for RT-25, since melting is dominating the freezing and the
 474 phase change front is eventually reaching the top of the heat exchanger. An overview of the
 475 average fin temperatures is presented in Figure 10:

476



477

478 *Figure 10: Average temperature of the fins in the dual flow strategy*

479

480 Compared to the solo strategy the temperature of the fins is lower for RT-25 for both flow
 481 rates. However for RT-42 it is close to that of the CW flow. This is due to the fact that the
 482 thermocouples are attached much closer to the CW flow, due to manufacturing constraints.
 483 Nevertheless RT-25 considerably manages to increase the fin temperature towards the GW
 484 flow regime proving the strength of the melting process in this strategy.

485

486 The thermal parameters calculated for this dual flow strategy after 2,700s are presented in
 487 Table 5:

488 *Table 5: Thermal performance criteria for the dual flow operation strategy*

| Dual flow operation | | | | | |
|----------------------------|---|--|---|--|---|
| Criteria | | RT-25 $\dot{m} = 0.1\text{kg/s}$ | RT-25 $\dot{m} = 0.05\text{kg/s}$ | RT-42 $\dot{m} = 0.1\text{kg/s}$ | RT-42 $\dot{m} = 0.05\text{kg/s}$ |
| 1 | Order of propagation of heat in lower thermocouple layer T1X | 1-3-5-4-2-6 | 1-3-5-4-2-6 | 1-3-5-4-2-6 | 1-3-5-4-2-6 |
| 2 | Order of propagation of heat in middle | 3-1-4-2-6-5 | 1-3-4-2-6-5 | 6-4-3-5-2-1 | 5-4-6-3-1-2 |

| | | | | | |
|----------|---|-------------|-------------|-------------|-------------|
| | thermocouple layer T2X | | | | |
| 3 | Order of propagation of heat in upper thermocouple layer T3X | 1-2-5-6-4-3 | 6-1-2-4-5-3 | 6-2-1-5-4-3 | 2-6-4-1-5-3 |
| 4 | Liquid fraction | 0.93 | 0.86 | 0.25 | 0.23 |
| 5 | Stefan number | 0.17 | 0.09 | 0.42 | 0.39 |
| 6 | GW to CW heat transfer efficiency | 23.46 | 22.84 | 9.44 | 11.70 |
| 7 | Average temperature lost by GW | -7.92 | -12.60 | -5.09 | -8.69 |
| 8 | Average temperature gain by CW | 1.86 | 2.88 | 0.48 | 1.02 |

489

490 As a comparison to the solo flow strategy the lower layer of thermocouples propagate heat in
491 the exact same order is they are near the vicinity of the GW. However the middle tier of
492 thermocouples have a reverse order. Also evident from the third tier, the phase change
493 propagates along the length of the GW pipe for RT-25. For the case of RT-42 the CW
494 suppresses phase change in most parts of the container. An interesting result is that in spite of
495 the freezing front pressing downwards due to the CW flow, both PCMs manage similar or
496 even higher phase change fractions, compared to the solo flow operation strategy. As RT-25
497 is melting dominated it suppresses the freezing initiated by the CW to manage a high phase
498 change. In RT-42 most of the GW heat does not propagate far into the container and is
499 focused around the GW resulting in a higher phase change in that region instead of a mushier
500 PCM as the average temperature of the entire domain is about 4K lower compared to the solo
501 flow strategy. The thermal resistance beyond this volume in the vicinity of the flow is higher
502 and the heat flux is unable to diffuse deep upwards. The remaining PCM will act as a thermal
503 insulator instead of a thermal sink in this application of a high impulse flow GW [23]. This is

504 also evident from the lower Stefan numbers indicating that most of the heat is latent with a
505 higher phase change. However since the phase change is the same but the phase change
506 volume is lower than the solo operation case, it results in comparatively lesser heat transfer
507 from the GW and CW flows. At the same time most phase change mass is confined close to
508 the GW pipe, making it of little use for extraction. This fact is reflected in the heat transfer
509 efficiencies which are even lower than the solo flow operation strategy making it clear that as
510 per the original objective to decouple demand and supply this exchanger is not suitable for
511 dual flow operation.

512

513 3.3 Retention time, ullage and corrosion

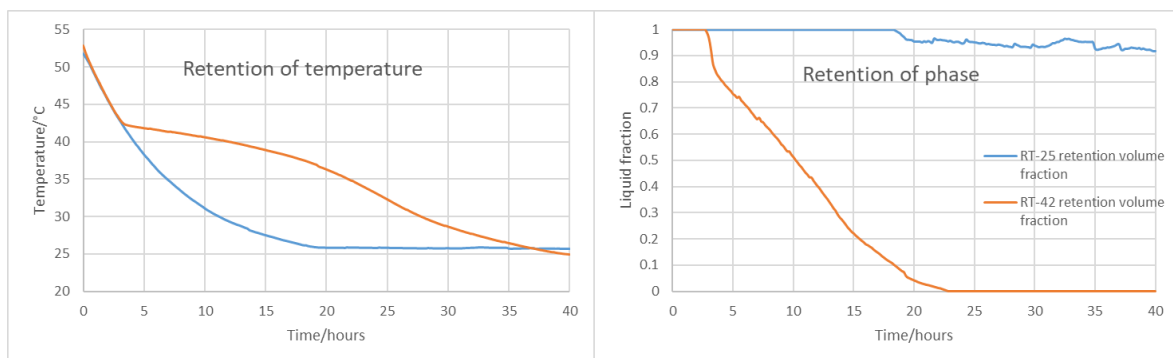
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515

516 Three important additional observations are important especially for the long term usage over
517 many charging and discharging cycles of the PCM. The retention of heat in both PCMs for a
518 duration of 40 hours is presented in Figure 11:

519

520



521

522 *Figure 11: Heat retention for both PCMs*

523

524 It is clear that eventually both the PCMs reach the ambient temperature at the end of the 40
525 hour period. However since the melt temperature of RT-25 is closer to the ambient, it remains
526 in liquid phase, retaining most of its thermal energy. This is extremely beneficial for this
527 application since the original objective is to decouple demand and supply, to a maximum

528 duration. On the other hand RT-42 loses almost all its thermal energy, over the long term.
529 However a negative aspect is that as the melt temperature of RT-25 is close to the ambient a
530 uniform temperature distribution within the PCM is not possible to achieve, even under
531 several cooling cycles. This is another added systematic uncertainty in the results as
532 sometimes portions of RT-25 are already in the mushy state and cannot be further cooled.
533
534 At the same time an important observation from all thermocouple plots of both strategies
535 show that PCMs have no specific phase change temperature and it does so over a range [31].
536 This is because PCMs are non-crystalline with an amorphous structure. At the same time it is
537 due to impurities and the non-homogenous thermo-physical characteristics over many cycles.
538 Transition temperatures are greatly dependent on the heat input [22]. Uneven ullage
539 formation is also a direct consequence of this non-homogenous lattice. The solid is typically
540 less in volume compared to the liquid and the empty space as a consequence is referred to as
541 the ullage [23]. In this setup there is a reduction of about 2-3mm height of PCM when
542 transiting from liquid to solid. This is similar in literature, where the change in volume is
543 between 15-20% [23] [27]. At the same time ullage creates air bubbles in the PCM core,
544 which act as an insulator. An overview of this phenomena in this test rig is presented in
545 Figure 12:



546

547 *Figure 12: Shrinkage of volume and air bubble deposits due to ullage after PCM solidification*

548

549 Ullage is inevitable and is highly dependent on the container design. The primary goal of
 550 which is spreading the PCM further away from the HTF to achieve a uniform phase change to
 551 minimize the creation of an insulating air layer created within the ullage space [23]. To
 552 eliminate ullage PCM shape stability is also another widely researched area typically using
 553 polyethylene into the structure of the liquid PCM to retain volume [22]. However it is
 554 believed that fins and surface area enhancement techniques reduce the possibility of void
 555 formation to make the PCM more uniform and consistent [32].

556

557 Paraffin's are known to be the most stable amongst all PCMs as in this case phase
 558 segregation and super-cooling affects are not observed [22]. However as expected minor
 559 pitting is observed in the mild steel container and copper fins that are in contact with the
 560 paraffin as presented in Figure 13:



561
562 *Figure 13: Pitting on the surface of the mild steel container and copper fins*

563

564 This is a result of direct contact for a duration of about 8 months. Paraffin PCMs themselves
565 only have issues with thermal conductivity and in general do not have limitations of
566 corrosiveness, thermal and mechanical instability [33].

567

568 **4. Conclusion**

569

570 Based on the GW heat harnessing application a counter-flow exchanger with copper fins as
571 an enhancement technique is experimentally investigated. Different operation strategies along
572 with analysing the effects of different mass flow rates and PCMs is assessed. Based on the
573 results the solo flow operation strategy of both the GW and CW flow is the better option.
574 Similarly a lower mass flow rate with RT-25 as the PCM gives the optimum performance. In
575 this configuration about 92% of the PCM melts with a Stefan number of 0.12. About 6% of
576 the PCM is frozen at a Stefan number of 1.54. The GW to CW heat transfer efficiency is

577 47%. This configuration enabled the maximum amount of latent heat transfer as compared to
578 sensible heat.

579 Nevertheless the results of this experiment indicate several shortcomings with domains of
580 further optimization.

- 581 • The length of the pipes is not adequate as the temperature differential of both the CW
582 and GW is quite low. Due to manufacturing constraints this layout is used but the
583 lengths must be longer as in conventional heat exchangers.
- 584 • The thermal response or diffusivity needs to be improved especially during freezing.
585 For this reason an optimization study based on the dimensions of the fins and layout
586 of the container design must be conducted. Such a study in a numerical software after
587 validation with this experimental test rig is essential [18].
- 588 • To further improve the retention time to more than 40 hours, better insulation with
589 proper sealing strategies have to be implemented [23].
- 590 • It is also recommended to conduct long term loading tests to rectify the non-
591 homogenous behaviour of paraffin PCMs along with the chemical stability in
592 conjunction with the fins and container.

593

594 At the moment this heat exchanger can be categorized as being at a Technology Readiness
595 Level (TRL) of 3 or 4. Several improvements would still be needed before practically
596 demonstrating it in real working conditions.

597 **Abbreviations**

598

| | |
|------------|--------------------------|
| PCM | Phase Change Material |
| GW | Grey water |
| CW | Cold water |
| HTF | Heat transfer fluid |
| ΔT | Temperature difference |
| HAF | Heat absorbing fluid |
| T-XX | Thermocouples in the PCM |

| | |
|-----|--|
| F-X | Thermocouples on the fins |
| T | Temperature in K |
| St | Stefan number |
| c | Specific heat capacity in (J/ (kg. K)) |
| m | Mass in kg |
| L | Latent heat in J/kg |
| TRL | Technology Readiness Level |

599

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