

1 **Effect of vinylpyrrolidone polymers on the solubility and supersaturation of**
2 **drugs; a study using the Cheqsol method**

3 Elisenda Fornells¹, Elisabet Fuguet^{1,2}, Meritxell Mañé¹, Rebeca Ruiz³, Karl Box³,
4 Elisabeth Bosch¹, Clara Ràfols^{1,*}

5 ¹ Departament de Enginyeria Química i Química Analítica and Institut de Biomedicina
6 (IBUB), Universitat de Barcelona, Martí i Franquès 1-11, E-08028 Barcelona, Spain

7 ² Serra-Húnter Program, Generalitat de Catalunya, Barcelona, Spain

8 ³ Sirius Analytical Instruments Ltd., Riverside, Forest Row Business Park, Forest Row,
9 East Sussex, RH18 5DW, United Kingdom

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11

12 e-mails:

13 - Elisenda Fornells: efv.eli@gmail.com

14 - Elisabet Fuguet: elifuguetj@ub.edu

15 - Meritxell Mañé: meritxellmane@gmail.com

16 - Rebeca Ruiz: Rebeca.Ruiz@sirius-analytical.com

17 - Karl Box: Karl.Box@sirius-analytical.com

18 - Elisabeth Bosch: e.bosch@ub.edu

19 - Clara Ràfols (*corresponding autor): crafols@ub.edu

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26 hydrochloride (PubChem CID: 13770); papaverine hydrochloride (PubChem CID:
27 657373); dibucaine hydrochloride (PubChem CID: 521951); isoxicam (PubChem CID:
28 54677972); propranolol hydrochloride (PubChem CID: 91536); warfarin (PubChem
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30 CID: 5018304); benzthiazide (PubChem CID: 71313728); olanzapine (PubChem CID:
31 22995473); pindolol (PubChem CID: 688095); tetracaine (PubChem CID: 5411).

32 **Abstract**

33 The development of methods to increase the bioavailability of drugs is of great interest,
34 especially for those which are poorly soluble or permeable. One of the strategies to
35 enhance the solubility (which in turn has the potential of increase bioavailability) of
36 drugs is the use of additives in the formulation process, so that the drug can stay
37 supersaturated in biological fluids for a period of time long enough to allow absorption.
38 The use of polymers as pharmaceutical excipients in order to stabilize the
39 supersaturation of drugs is common practice. In this work, the ability of different
40 polymers of vinylpyrrolidone (K-12, K-17, K-25, K-29/32, K-90) and a copolymer of
41 vinylpyrrolidone and vinylacetate (S-630) have been tested for their impact on the
42 supersaturation of drugs. Sixteen drugs of different chemical nature have been
43 selected, and analyzed using the Cheqsol method. The results of the drug alone, and
44 of physical mixtures with the different polymers at several polymer:drug ratios have
45 been compared in terms of supersaturation extent and duration. It has been observed
46 that acidic compounds displayed enhanced solubility in different ways: sometimes the
47 supersaturated state of the drug is maintained for a long time, due to the precipitation
48 of an amorphous solid, as determined by X-ray diffraction studies; on other occasions
49 supersaturation increases but only for a short time, compared to the drug alone, and
50 then the drug precipitates to a crystalline form. Only a few basic drugs displayed
51 enhanced solubility in the presence of PVP polymers, in contrast to acidic compounds.

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54 1. Introduction

55 Pharmaceutical compounds obtained with new synthesis procedures have tended
56 towards higher molecular weights and lipophilicity, which allows drugs to cross
57 membranes more easily. However, molecular properties that promote permeation often
58 decrease solubility, and then bioavailability is diminished. In addition, some poorly
59 water-soluble molecules are also not very lipophilic (Box and Comer, 2008). To
60 understand the relationship between solubility and permeability, and visualize its
61 potential impact on drug absorption, the Biopharmaceutics Classification Scheme
62 (BCS) is used (FDA Guidance, 2015). This scheme classifies all molecules in 4
63 different classes on the basis of solubility and permeability. Molecules in class I are
64 considered to be highly soluble and highly permeable, very suitable for pharmaceutical
65 purposes. Molecules in class II have limited solubility but are permeable, while
66 molecules in Class III are soluble but poorly permeable. Finally, molecules in Class IV
67 have low solubility and low permeability (Box and Comer, 2008; Tsume *et al.*, 2014).

68 In order to achieve desirable drug absorption, active molecules should be soluble to a
69 given extent in aqueous media to ensure they are dissolved in biological fluids once
70 inside the human body (Takács-Novák *et al.*, 2013). Therefore, aqueous solubility is,
71 amongst others, an essential property to assess during early stages of drug
72 development (Shoghi *et al.*, 2013). Several methods can be used to increase drug
73 solubility, especially for class II compounds which often exhibit solubility-limited
74 absorption. Some of them are focused on solubilizing formulations (i.e. enhancing the
75 solubilizing capacity in the gastrointestinal environment), and other methods are
76 focused on maintaining the drug in a supersaturated state, so it can be available at
77 higher concentrations than its equilibrium solubility for a certain period of time (Williams
78 *et al.*, 2013; Brouwers *et al.* 2009). However, it must be considered that solubility
79 increase in biological fluids may only need to be fleeting, so the maintenance of a
80 temporary state of supersaturation would be enough to make the drug available for
81 permeation (Warren *et al.*, 2010). In other words, keeping the concentration of the drug

82 higher than its equilibrium intrinsic solubility only during the digestion time may be
83 sufficient to promote absorption.

84 For pure drugs, kinetic solubility S_k (or concentration when first precipitation occurs) is
85 generally higher than the intrinsic solubility S_0 , indicating that the drug solution is
86 frequently supersaturated just before precipitate is first observed (Box and Comer,
87 2008; Shoghi *et al.*, 2009). However, a supersaturated drug solution is
88 thermodynamically unstable and has the tendency to return to the equilibrium state by
89 precipitation to the crystalline most stable form of the drug. This process can be slowed
90 down or inhibited using some solubility enhancement strategies ((Williams *et al.*, 2013;
91 Brouwers *et al.* 2009; Xu and Dai, 2013; Ilevbare *et al.*, 2013). Among other
92 approaches, the use of different pharmaceutical excipients like polymers, surfactants or
93 cyclodextrins as stabilizers of supersaturation is common practice. In these cases, the
94 stabilizer effect does depend not only on the type of stabilizer, but also on the
95 excipient:drug ratio, and the initial degree of supersaturation of the drug (Brouwers *et al.*
96 *et al.* 2009; Ilevbare *et al.*, 2013; Khougaz and Clas, 2000; Chauhan *et al.*, 2013).

97 Poly(vinylpyrrolidone) (PVP) polymers have been extensively studied regarding their
98 ability to modify drugs' solubility. Some works are centered on the impact of PVP on
99 the inhibition of crystallization of drugs (Khougaz and Clas, 2000; Chauhan *et al.*, 2013;
100 Ozaki *et al.*, 2013); other works are focused on the knowledge of the specific
101 interactions between drugs and PVP (Khougaz and Clas, 2000; Karavas *et al.*, 2006;
102 Karavas *et al.*, 2007; Tajber *et al.*, 2005; Nair *et al.*, 2001; Molyneux and Frank, 1961a;
103 Molyneux and Frank, 1961b) which, according to FTIR experiments, seems to be
104 related to the ability of PVP units to form hydrogen bonds either through the nitrogen or
105 the carbonyl group on the pyrrole ring. Most of these studies employ only one particular
106 type of PVP (i.e. with a particular degree of polymerization, which ranges from 12 to 90
107 in the above mentioned studies) and a few different drugs. In the present work, a
108 systematic study taking into account the degree of polymerization of the PVP and the

109 PVP:drug ratio is addressed. In particular, the effect of these two parameters on the
110 degree and extent of supersaturation of different BCS class II drugs is evaluated.

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112

113 **2. Materials and methods**

114 **2.1. Instruments**

115 All solubility assays were carried out by the CheqSol method (Stuart and Box,
116 2005) using a PCA200 titrator from Sirius Analytical Instruments Ltd. (Forest Row, UK),
117 equipped with a Sirius D-PAS spectrometer, a bifurcated fibre-optic dip probe from
118 Hellma Analytics (Müllheim, Germany) with path length of 1 cm, and a two channels
119 solvent degasser from SMI-LabHut Ltd. (Churcham, UK). The apparatus was controlled
120 from a computer running the RefinementPro2 and Cheqsol software. Acidity constant
121 determinations were performed using either the PCA220 or a GLpK_a titrator also from
122 Sirius Analytical Instruments Ltd.

123 The X-Ray diffraction (XRD) characterization was performed using a *PANalytical X'Pert*
124 *PRO MPD θ/θ* powder diffractometer of 240 mm of radius equipped with a PIXcel
125 detector from PANalytical B.V. (Almelo, The Netherlands). The apparatus was set in a
126 configuration of convergent beam with a focalizing mirror and a transmission geometry,
127 with flat samples sandwiched between low absorbing films. The detector active length
128 was 3.347°. Work power was 45 kV – 40 mA with a defined beam height of 0.4 mm.
129 Five repeated scans were performed from 2 to 60 2 θ ° with a step size of 0.026 2 θ ° and
130 a measuring time of 40 seconds per step.

131

132 **2.2. Reagents**

133 Dimethyl sulfoxide >99.9% (DMSO), 0.5 M potassium hydroxide tritisol and 0.5 M
134 hydrochloric acid tritisol were purchased from Merck (Darmstadt, Germany). Potassium

135 chloride >99% was from Sigma (St. Louis, MO, USA), and potassium hydrogen
136 phthalate >99% was from Probus (Strassen, Luxembourg). PVP K-12, K-17, K-25, K-
137 29/32, K-90 and S-630 were from International Specialty Products (Wayne, NJ, USA),
138 and provided by Ashland (Covington, KY, USA). K-type PVP are homopolymers of
139 polyvinylpyrrolidone that differ in molecular weight (MW) and glass transition
140 temperature (T_g); K represents degree of polymerization, thus the higher K the higher
141 MW and T_g . PVP S-630 is a 60:40 random copolymer of vinylpyrrolidone and
142 vinylacetate; it has a lower T_g and is less hygroscopic than K-type PVP (Ashland Inc.,
143 2013). Water was purified by a Milli-Q plus system from Millipore (Bedford, MA, USA)
144 with resistivity of 18.2M Ω cm.

145 A total of 16 test compounds were used. Papaverine hydrochloride (>98%), dibucaine
146 hydrochloride (>99%), cyproheptadine hydrochloride (99%), bendroflumethiazide (Ref.
147 Std.), bupivacaine hydrochloride (Ref. Std.), isoxicam (An. Std.), propranolol
148 hydrochloride (>98.5%), warfarin (>98%), ketoprofen (>98%), and diclofenac sodium
149 salt (>98.5%) were from Sigma-Aldrich (St. Louis, MO, USA). Benzthiazide (Ref. Std.),
150 haloperidol (>98%), maprotiline hydrochloride (>99%), olanzapine (>98%), pindolol
151 (>98%), and tetracaine (>98%) were from Sigma (St. Louis, MO, USA).

152

153 **2.3. Procedures**

154 **2.3.1. pK_a determination**

155 All measurements were performed at least in triplicate, under argon atmosphere, at 25
156 \pm 0.1 $^{\circ}$ C, using standardized 0.5 M HCl and 0.5 M KOH solutions as titrants. The pH
157 electrode (Ag/AgCl, Sirius Analytical Instruments Ltd.) was calibrated titrimetrically in
158 the pH range 1.8-12.2. Temperature was monitored through a temperature probe
159 during the course of the measurement.

160 Spectrophotometric titration was the method of choice to determine acidity constants of
161 the test compounds. pK_a values were determined from pH-dependent multi-wavelength
162 UV spectra collected by the D-PAS system. In each experiment, 50 μ L of a drug stock
163 solution (10 mM in DMSO) were introduced in 10 mL of a 0.15M KCl ionic strength
164 adjusted (ISA) aqueous solution, and titrated between pH 2 and pH 12.

165 The pK_a of haloperidol and the second pK_a of dibucaine, whose ionisable groups
166 showed not enough UV activity (Allen *et al.* 1998), were determined through a
167 conventional potentiometric titration (Albert and Serjeant, 1984) in methanol-water
168 mixtures. The aqueous pK_a values were obtained through Yasuda-Shedlovsky
169 extrapolation (Avdeef *et al.*, 1993; Takács-Novák *et al.*, 1997; Yasuda, 1959;
170 Shedlovsky, 1962).

171 **2.3.2. Solubility determination**

172 Solubility measurements were carried out using the CheqSol method, which is
173 described in detail elsewhere (Stuart and Box, 2005). Briefly, 10 mL of ISA solution are
174 added to an accurately weighted amount of drug. The pH is immediately adjusted to a
175 value where the compound exists predominantly in its ionized form, titration starts (by
176 addition of amounts of KOH or HCl, according to the nature of the compound), and
177 solubility is reduced by increasing the concentration of the neutral species. When a
178 certain extent of supersaturation is achieved, precipitation starts. At this point, small
179 amounts of acidic and basic titrant are alternately added, creating subsequent positive
180 and negative pH gradients, which in turn make the drug solution go alternately from
181 subsaturated to supersaturated. The solubility of the neutral species (S_0) is determined
182 from the point at which the pH gradient becomes zero, i.e. where no net dissolution or
183 precipitation of the compound occurs, through mass and charge balances and the pK_a
184 of the compound. All measurements were performed at least in triplicate.

185 The weight of sample needed to perform the assay depends on the compound's
186 intrinsic solubility and may vary between 5.00-20.00 mg. The temperature was set to

187 25±0.5°C and an argon atmosphere was used to avoid the presence of carbon dioxide
188 in solution and its influence on the titration data. When carrying out the solubility
189 assays in the presence of PVP, a physical mixture of the drug and the PVP was used.
190 The percentage of PVP in the assay was calculated as follows:

$$191 \quad PVP \% = \frac{PVP \text{ weight}}{(drug \text{ weight} + PVP \text{ weight})} \cdot 100 \quad (1)$$

192

193 **2.3.3. Supersaturation determination**

194 Extent and time in which a solution stays supersaturated are the parameters used to
195 evaluate supersaturation. Determinations performed through the CheqSol method
196 provide this information since the drug concentration is monitored over time.
197 Henceforth, dividing the maximum measured concentration of neutral species (C_{max}) by
198 the intrinsic solubility (S_0), the supersaturation ratio (R_{ss}) can be calculated.

$$199 \quad R_{SS} = \frac{C_{max}}{S_0} \quad (2)$$

200 Also the duration of the supersaturation state (t_{ss}) can be measured from the
201 concentration vs. time data by calculating the time lapse Δt in which supersaturation
202 occurs (Hsieh *et al.*, 2012).

203

204 **2.3.4. X-Ray diffraction characterization**

205 In order to characterize the precipitate obtained while the solution is supersaturated,
206 the solubility assay was aborted at the desired time point. Then, the solid was filtered
207 through a 0.45 μm filter paper, and the filters were then left in a vacuum dessicator for
208 one day. The solid material was carefully collected and prepared for XRD
209 characterization.

210

211 3. Results and discussion

212 3.1. Physicochemical properties of studied compounds

213 Structures of the tested compounds are shown in Table 1. They are of different
214 chemical nature, and include 2 diprotic acids (bendroflumethiazide and benzthiazide)
215 which belong to the same family, 4 monoprotic acids (diclofenac, isoxicam, ketoprofen,
216 and warfarin), 3 diprotic bases (dibucaine, olanzapine and tetracaine), and 7
217 monoprotic bases (bupivacaine, cyproheptadine, haloperidol, maprotiline, papaverine,
218 pindolol, and propranolol). pK_a and S_0 of the compounds have been determined and
219 results are also shown in Table 1. Bupivacaine is the most soluble of the drugs with \log
220 $S_0 = -2.93 \pm 0.05$, whereas isoxicam is the least soluble with $\log S_0 = -5.61 \pm 0.14$. It is
221 normal behavior that compounds show a certain degree of supersaturation just before
222 precipitation occurs (Hsieh *et al.*, 2012). For this reason, it is important to know the
223 extent of the supersaturation of the compound itself, so that the effect of the addition of
224 PVP can be correctly interpreted. The maximum concentration of neutral species
225 (C_{max}), and supersaturation ratio (R_{ss}) and time (t_{ss}) of the drugs are also provided in
226 Table 1. As an example, Figure 1 shows the concentration profile of
227 bendroflumethiazide during a typical Cheqsol experiment. In this exemplary experiment
228 C_{max} is around 1500 μM , whereas S_0 is 80 μM , which provides a R_{ss} around 18, *i.e.* the
229 concentration of bendroflumethiazide is 18 times higher than its equilibrium solubility
230 just before precipitation starts. In this example the duration of the supersaturation state,
231 t_{ss} , is about 12 minutes. Values provided in Table 1 are the average of several replicate
232 measurements. It can be observed that C_{max} and t_{sat} often have high standard
233 deviations. This is not unusual because as supersaturation is a non-equilibrium
234 process, it can be easily affected by many environmental factors, which lead to high
235 dispersion in the obtained values even in replicate measurements. According to the
236 obtained results, papaverine and isoxicam are the compounds that supersaturate most
237 highly, compared to the intrinsic solubility, reaching concentrations almost sixty-fold

238 greater than S_0 . On the contrary, bupivacaine and tetracaine hardly supersaturate,
239 since the ratio C_{max}/S_0 is close to 1. With regards to supersaturation time, the longest
240 supersaturation times following precipitation are around 15-20 minutes, whereas the
241 shortest ones are in the range 4-5 minutes.

242

243 **3.2. Effect of PVP on the solubility of the drugs**

244 To check the effect of PVP on drugs solubility, Cheqsol experiments were repeated
245 using physical mixtures of drug-PVP. The effect of all available PVP at different
246 PVP:drug proportions was tested. Three different trends were observed for the
247 selected compounds related to their supersaturation, and three model compounds
248 have been selected to explain each one of the behaviors: bendroflumethiazide (case
249 A), benzthiazide (case B), and tetracaine (case C).

250 *3.2.1. Case A: long-time stabilization of the supersaturated state*

251 This is the case of bendroflumethiazide, diclofenac, ketoprofen, and warfarin. Figure 2A
252 shows how the concentration of bendroflumethiazide changes with time when different
253 proportions of PVP K-12 are present in the mixture. In the absence of PVP, after
254 reaching C_{max} , concentration decreases until the S_0 value is reached. However, when
255 PVP are present, solutions stay supersaturated at nearly the C_{max} concentration of the
256 drug for the whole duration of the experiment. So, in this case PVP do not increase the
257 maximum concentration of drug in solution, but keep this concentration stable for a
258 long time, improving the potential bioavailability. As regards the effect of the PVP:drug
259 ratio, there is a slight decrease of supersaturation concentration when the percentage
260 of PVP increases. Nevertheless, all solutions keep supersaturated at all ratios
261 PVP:drug, with degrees ranging from 7.6 (70%) to 15 (10%) for more than 2 hours. It is
262 worth noting that the reproducibility between replicate measurements is more variable

263 at low PVP:drug ratios (Figure 2B). Best reproducibility is obtained in the range 40-70%
264 PVP.

265 The effect of the PVP polymerization degree has also been tested. Figure 3 shows the
266 results of solubility measurements for bendroflumethiazide when different PVP (all of
267 them in a 50% PVP:drug ratio) are used. Apparently there is no effect of the degree of
268 polymerization on the supersaturation of the drug, since all K-type PVP provide the
269 same results. Previous studies based on FTIR measurements had already pointed out
270 existing interactions between bendroflumethiazide and K-type PVP (Tajber *et al.*, 2005,
271 Frontini and Mielck, 1997), mainly through hydrogen bonding interactions of the
272 sulfonamide groups of bendroflumethiazide and the vinylpyrrolidone units. However,
273 PVP S-630, which contains vinylacetate moieties randomly distributed in addition to the
274 vinylpyrrolidone ones, hardly modifies solubility behavior of bendroflumethiazide. This
275 latter polymer was tested at different PVP:drug ratios obtaining similar results (Table
276 S1 of the supporting material) at all S-630 levels.

277 Diclofenac, ketoprofen and warfarin behave as bendroflumethiazide in the presence of
278 PVP. Results for these three other compounds are shown in Figure 4. Diclofenac (Fig.
279 4A) and ketoprofen (Fig. 4B) interact only with K-type PVP, and solubility of the
280 compounds is stabilized at 17-fold and 2-fold its intrinsic solubility value, respectively.
281 Warfarin (Fig. 4C) shows positive interaction not only with K-type PVP, but also with
282 PVP S-630. All types of PVP make the solubility of warfarin increase approximately 20
283 times.

284 3.2.2. Case B: increase of the supersaturation concentration for a limited time

285 In this other case, the effect of PVP on drug's solubility is completely different. Now, the
286 addition of PVP makes C_{max} of the drugs increase, but for a limited period of time.
287 Thus, the drug could be potentially more bioavailable, but because of the higher
288 concentration in the supersaturated solution. This is the case of benzthiazide, isoxicam,
289 olanzapine, and pindolol. Focusing on benzthiazide as a model compound, the addition

290 of K-type PVP makes C_{max} increase from around 100 μM to about 1000 μM , depending
291 on the added PVP and its percentage (Table S2 of the supporting material). In the
292 same way, supersaturation time increases from 4 minutes (benzthiazide alone) to a
293 range between 15 and 45 minutes, depending on the PVP and percentage added.
294 There is not a clear trend that relates R_{ss} with the polymerization degree or the
295 percentage of PVP. However, it seems that higher percentages of PVP enlarge the
296 supersaturation time of the drug. The addition of PVP S-630 provokes a greater effect
297 than K-type PVP on benzthiazide solubility (Figure 5A). R_{ss} is increased from 8 to 44
298 when the percentage of S-630 is 10%, to 109 when it is 50%, and to 720 at 75% of
299 PVP. In the same way, supersaturation time increases from 4 minutes to around 25,
300 30, and 40 minutes at 10%, 50%, and 75% S-630 respectively.

301 Although the general effect on solubility is the same for all mentioned compounds (an
302 increase in the supersaturation concentration for a given period of time), the results
303 obtained for each individual compound may change according the type of PVP and its
304 percentage. For example, pindolol has a similar increase in supersaturation ratio (from
305 15 to 50-60) and time (from 6 to 20-30 minutes) independently of the percentage and
306 type of PVP used (Figure 5B). However olanzapine shows a moderate increase in
307 supersaturation degree depending on the used PVP, and also an important
308 modification in the supersaturation time; it changes from nearly 6 minutes to 25
309 minutes with a 65% S-630 addition (Figure 5C). Similar behavior is observed for
310 isoxicam (Figure 5D), which shows a R_{ss} around two times the level of the compound
311 alone, and a t_{ss} 3 or 4-fold higher. The results obtained as regards C_{max} , R_{ss} and t_{ss} for
312 the aforementioned compounds are summarized in Table S2 of the supplementary
313 information.

314 3.2.3. Case C: no effect on the solubility of the drugs

315 In the third case there is no interaction at all between the drug and the different PVP,
316 so that solubility is not affected by the addition of the polymers. This happens to

317 bupivacaine, cyproheptadine, dibucaine, haloperidol, maprotiline, papaverine,
318 propranolol, and tetracaine. Figure 6 shows the supersaturation profile of tetracaine in
319 absence and presence of 50% of K-type and S-630 PVP. In all instances the profile is
320 identical, and practically the same results are obtained at other percentages (Table S3
321 of the supplementary information). Although small increases in t_s are observed in some
322 instances, they can be attributed to the kinetic factors which can delay or accelerate
323 the precipitation of a compound.

324

325 **3.3. Effect of PVP on the morphology of the precipitates**

326 X-Ray diffraction (XRD) assays were performed for the two model compounds that
327 presented a clear modification of solubility behavior in presence of PVP, *i.e.*
328 bendroflumethiazide and benzthiazide. This analysis was performed with the PVP that
329 caused stronger modifications to the solubility of the mentioned compounds, so K-12
330 was chosen for bendroflumethiazide and S-630 for benzthiazide. The aim was to
331 assess if observed changes in drugs solubility behaviors were due to modifications in
332 solid forms when precipitation occurred, induced by the presence of PVP. XRD spectra
333 were performed on the commercial drug samples, pure K-12 and S-630 PVP, the solid
334 obtained after drug precipitation during Cheqsol determinations in the absence of PVP,
335 and the solid obtained during Cheqsol determinations in the presence of PVP. Results
336 can be observed in Figure 7. Pure drugs spectra (a) show high intensity peaks
337 reporting periodic arrangement of atoms, thus, crystalline solids. After Cheqsol
338 experiments in absence of PVP (b) all drugs precipitate also in a crystalline form, but
339 different from the initial one, so both compounds show some kind of polymorphism.
340 Thus, intrinsic solubility reported for the drugs correspond to the obtained polymorph,
341 and not to the original crystalline form. The spectra obtained for both pure PVP (K-12
342 and S-630) are very similar (c), and characterized by the absence of sharp peaks.
343 Instead, wide bands are observed, which are indicative of amorphous solids. Finally,

344 Cheqsol determinations were repeated in the presence of 50% of K-12 (for
345 bendroflumethiazide) and 50% S-630 (for benzthiazide). Spectra show different results
346 in this case. Bendroflumethiazide spectra belongs to an amorphous solid (Fig 7A,d).
347 Only two sharp peaks are present, which belong to the KCl present in the titration
348 measurements. In this case PVP induces the precipitation of a metastable amorphous
349 form, with higher solubility than the crystalline one (Hsieh *et al.*, 2012). Instead, the
350 spectra obtained for benzthiazide (Fig 7B,d) belongs to a crystalline solid, and can be
351 considered equivalent to the one obtained in absence of PVP. In this case the
352 presence of PVP may inhibit precipitation, and higher concentrations of drug can be
353 reached in solution. However, after a relative short time the polymorph of benzthiazide
354 starts to precipitate in the same form obtained in absence of PVP, so concentration in
355 solution falls to the S_0 value.

356 According to the observed results it is difficult to predict a clear effect of PVP on a
357 given drug. However, it must be pointed out that all the acidic compounds of the
358 present work modify its solubility behavior in presence of PVP; on the other hand,
359 solubility of basic compounds is hardly affected by PVP, although some bases like
360 olanzapine and pindolol improved temporarily their supersaturation degree and
361 duration by the addition of PVP. Molyneux *et al.* (Molyneux and Frank, 1961a;
362 Molyneux and Frank, 1961b) already indicated that anionic aromatic compounds
363 interact with PVP polymers. They demonstrated that the binding constants anion-PVP
364 increased as the volume of the anionic compound increased (possibly due to
365 coulombic repulsions between the anions bound to the polymer coil). In the same
366 studies, they reported that basic compounds did not interact with PVP. However, other
367 studies have shown interactions between some basic compounds and PVP, especially
368 with compounds containing heterocyclic nitrogen atoms in highly conjugated rings
369 (Karavas *et al.*, 2007) or sulphonamide groups (Tajber *et al.*, 2005). In these cases a
370 hydrogen bond can form between the -N-H moiety of the drug and the oxygen of the

371 pyrrole group of PVP. That might be the case of olanzapine and pindolol, which contain
372 heterocyclic nitrogen atoms in highly conjugated structures.

373 **4. Conclusions**

374 The used methodology provides an excellent way to study the supersaturation state of
375 drug formulations, since the constant measurement of drug concentration in solution
376 allows the determination of supersaturation extent and duration. The results from the
377 present study demonstrate that the use of PVP polymers in the formulation of certain
378 drugs can potentially improve their bioavailability by increasing the concentration of the
379 drug in solution for a given period of time. In general, solubility of acidic compounds is
380 clearly affected by PVP, although two different behaviors have been observed with
381 such kind of compounds: in the first case PVP maintain a supersaturated system for a
382 long period of time by the stabilization of the amorphous form of the compounds, as
383 evidenced by the solid state characterization; in the second case PVP maintain the
384 solution supersaturated in a higher degree compared to the compound alone, but only
385 for a limited period of time. Then a crystalline form of the drug precipitates, and
386 solubility drops to the equilibrium solubility value of the drug.

387 The type of PVP and the PVP:drug ratio play a role in the supersaturation of the drugs,
388 but there is not a clear relation between the obtained effect and the type and ratio of
389 PVP, since different effects have been observed in different drugs.

390 From the ten basic compounds studied in the present work, only two showed modified
391 solubility behavior by the addition of PVP. This fact points out that the effect of PVP on
392 solubility of basic drugs is not so evident, being the modification of solubility behavior
393 by PVP highly drug-structure dependent.

394

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487

488 **Figure captions**

489 **Figure 1:** Supersaturation profile and parameters for bendroflumethiazide.

490 **Figure 2:** (A) Supersaturation profile of bendroflumethiazide alone (○) and in presence
491 of different ratios of PVP K-12: 10% (◇), 20% (◆), 30% (▲), 40% (□), 50% (●), 60%
492 (*), 70% (△). (B) Variation of bendroflumethiazide solubility with the percentage of
493 PVP K-12 present in the physical mixtures.

494 **Figure 3:** Supersaturation profile of bendroflumethiazide alone (○) and in presence of
495 50% of PVP K-12 (■), K-17 (■), K-25 (■), K-29/32 (■), K-90 (■), and S-630 (◇).

496 **Figure 4:** Supersaturation profile of (A) diclofenac alone (○) and in presence of 50%
497 PVP K-25 (■), and 50% of PVP K-29/32 (■); (B) ketoprofen alone (○), and in presence
498 of 50% PVP K-12 (■), K-17 (■), K-25 (■), K-29/32 (■), and K-90 (■); (C) warfarin
499 alone (○), and in presence of 5% PVP K-29/32 (■), 10% PVP K-29/32 (■), 15% PVP
500 K-29/32 (■), and 5% PVP S-630 (◇).

501 **Figure 5:** Supersaturation profile of (A) benzthiazide alone (○), and in presence of
502 10% (◆), 50% (◆), and 75% (◇) of PVP S-630; (B) pindolol alone (○), and in
503 presence of 50% PVP K-90 (■), 50% PVP S-630 (◆), and 70% PVP S-630 (◆); (C)
504 olanzapine alone (○), and in presence of 50% PVP K-25 (■), 50% PVP K-90 (■), and
505 50% PVP S-630 (◇); (D) isoxicam alone (○), and in presence of 50% of PVP K-12 (■
506), K-17 (■), K-25 (■), K-29/32 (■), and K-90 (■).

507 **Figure 6:** Supersaturation profile of tetracaine alone (○) and in presence of 50% of
508 PVP K-12 (■), K-17 (■), K-25 (■), K-29/32 (■), K-90 (■), and S-630 (◇).

509 **Figure 7:** XRD spectra of (A) bendroflumethiazide: (a) commercial drug, (b) drug
510 obtained after cheqsol experiments, (c) PVP K-12, (d) solid obtained after Cheqsol
511 experiments of a mixture bendroflumethiazide:K-12 PVP at 50% ratio; (B) benzthiazide:

512 (a) commercial drug, (b) drug obtained after cheqsol experiments, (c) PVP S-630, (d)
513 solid obtained after cheqsol experiments of a mixture bendroflumethiazide:S-630 PVP
514 at 50% ratio.

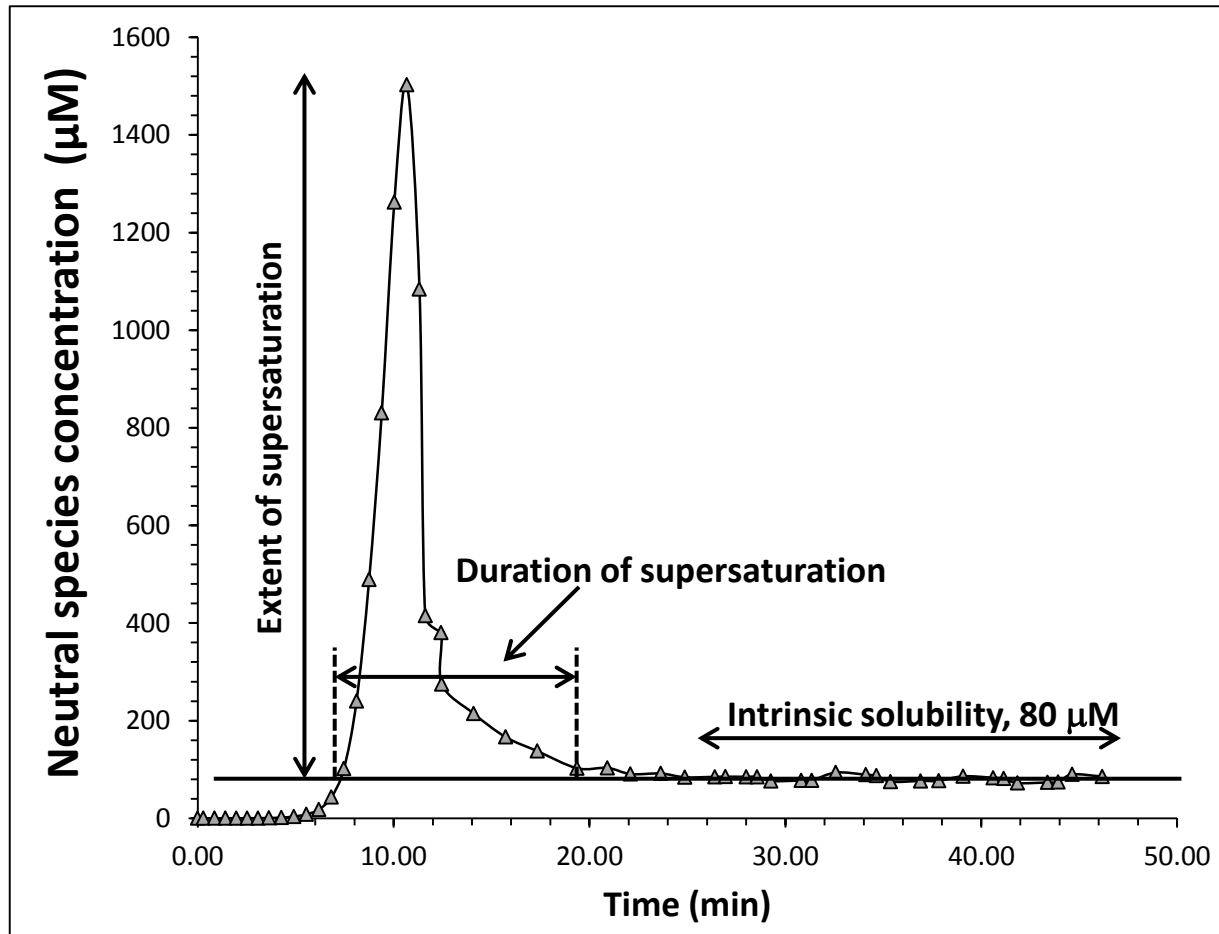


Figure 1

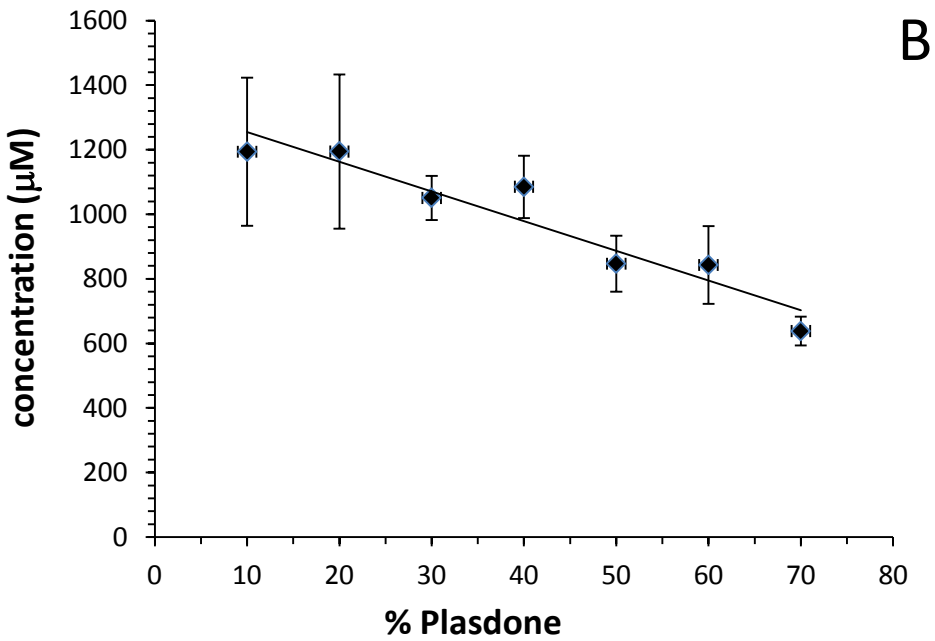
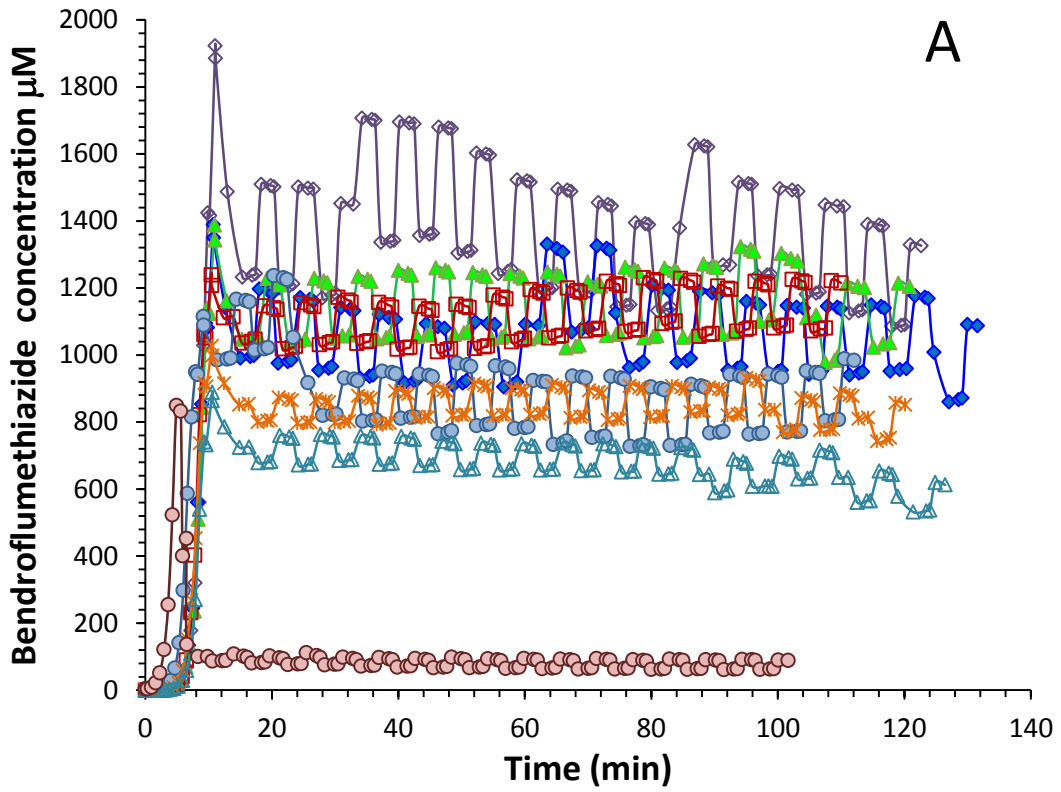


Figure 2

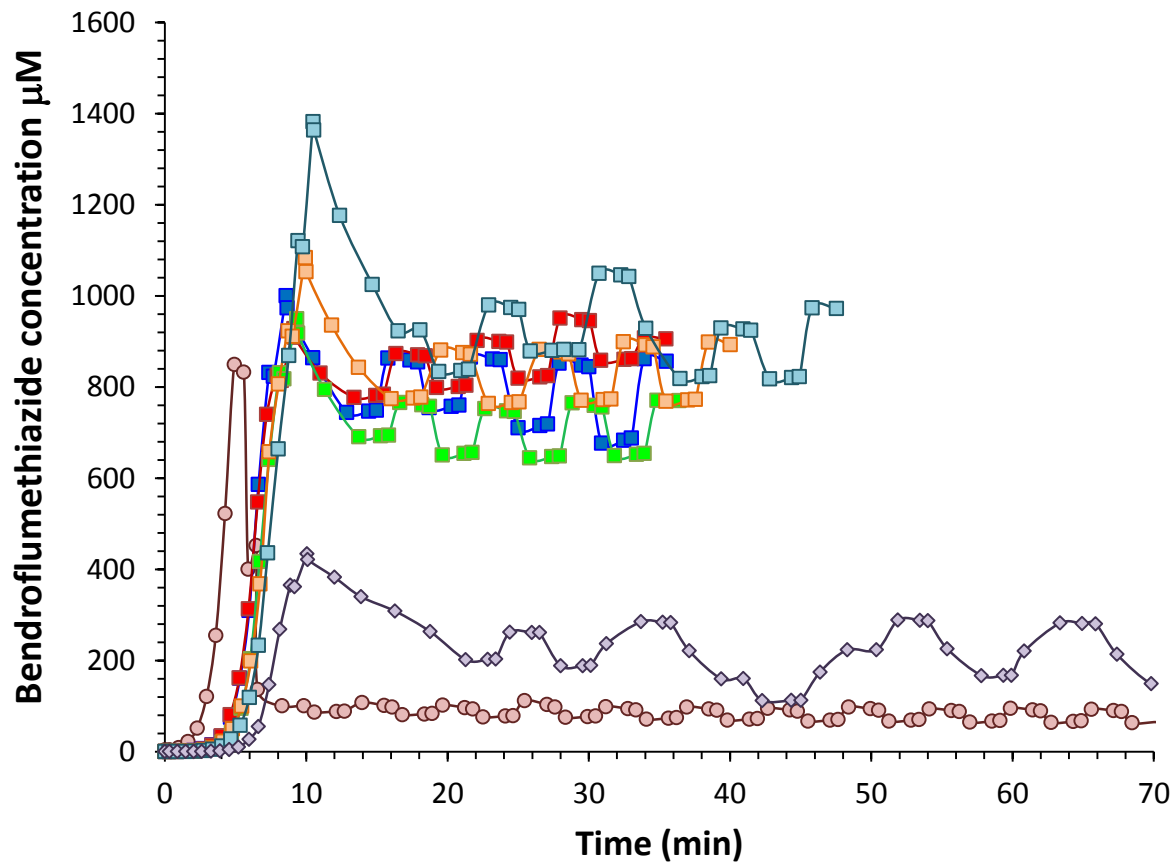


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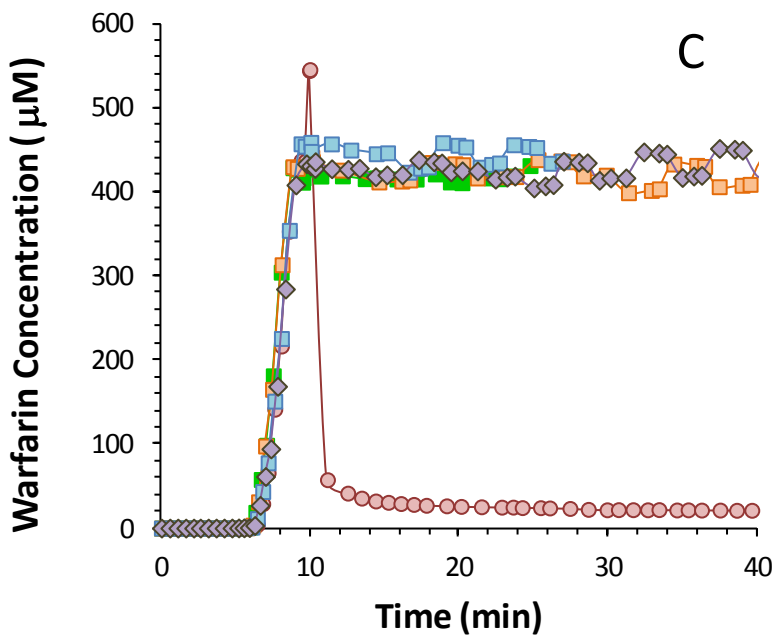
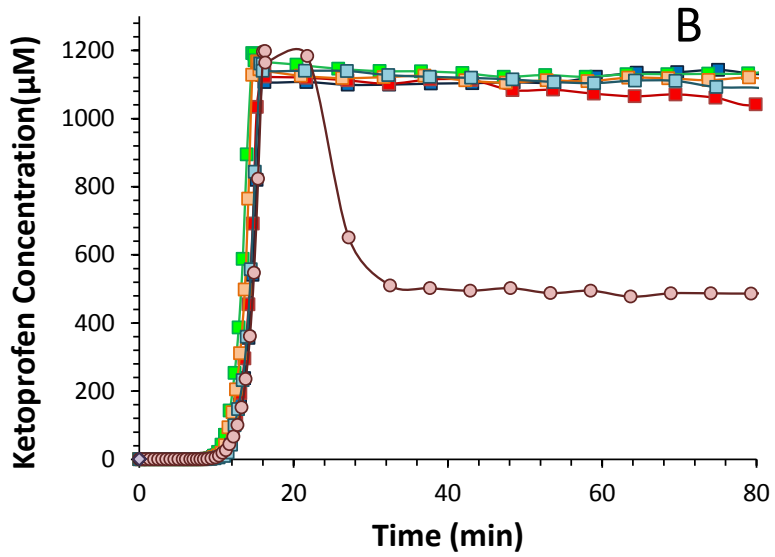
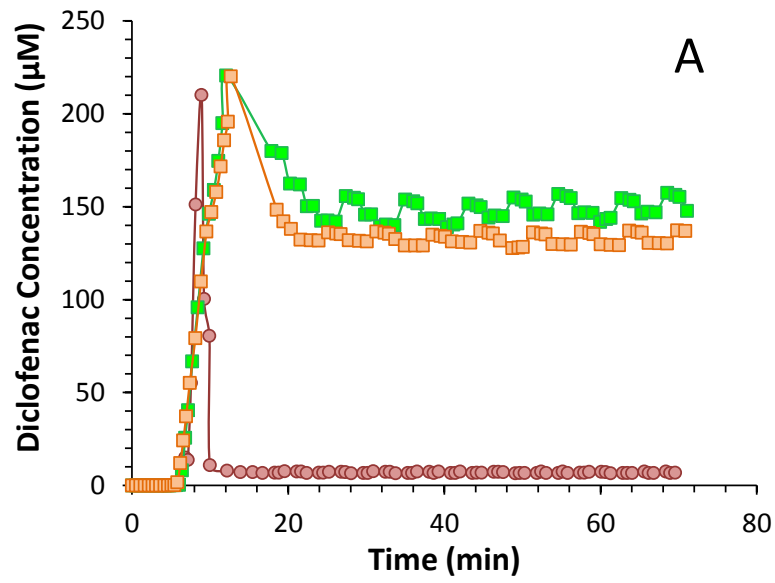


Figure 4

Figure(s)

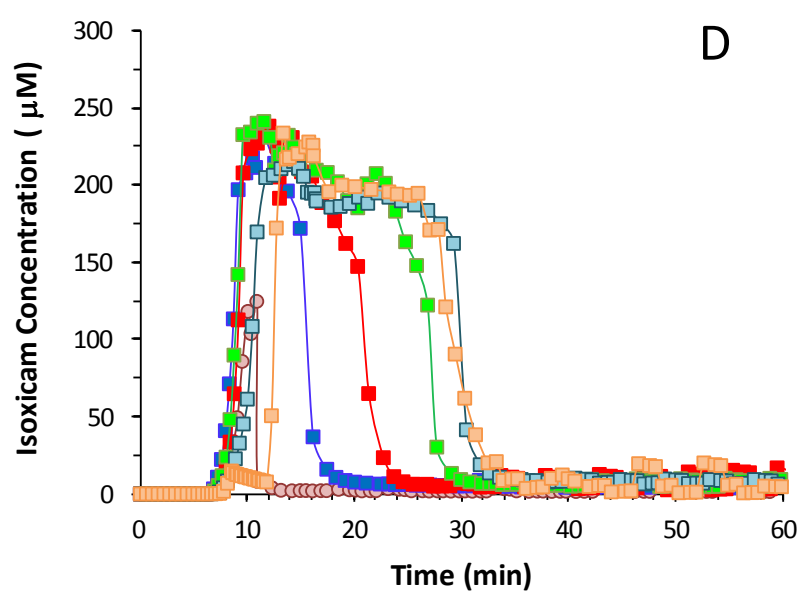
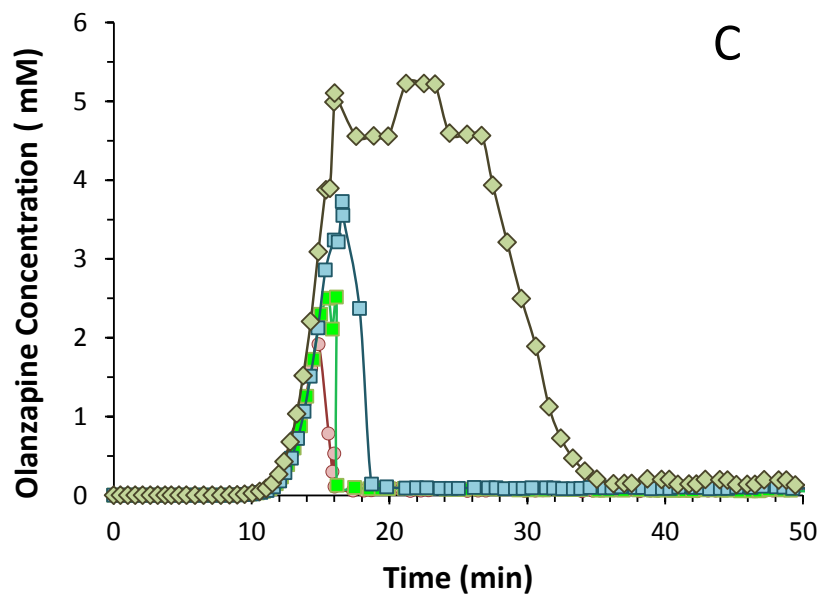
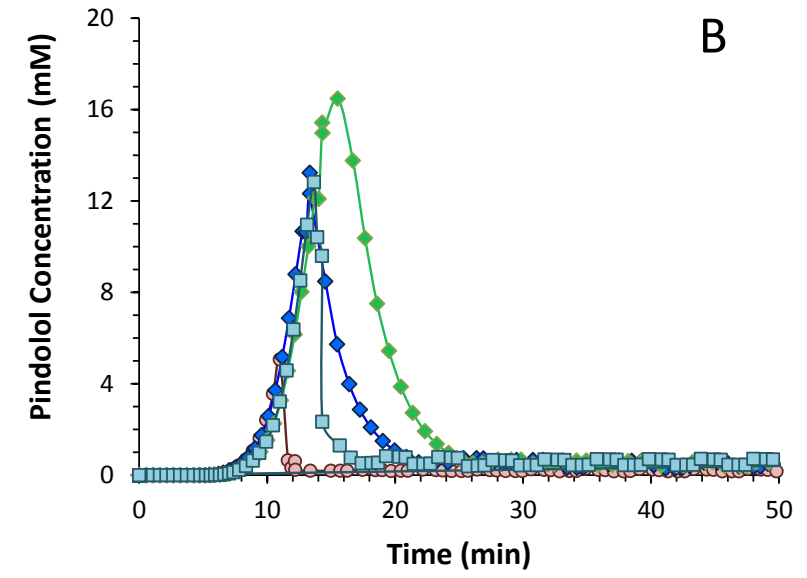
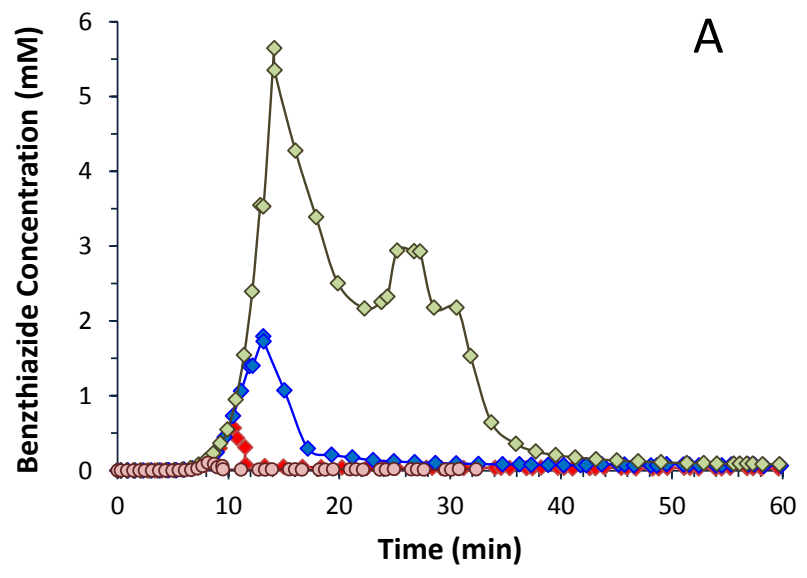


Figure 5

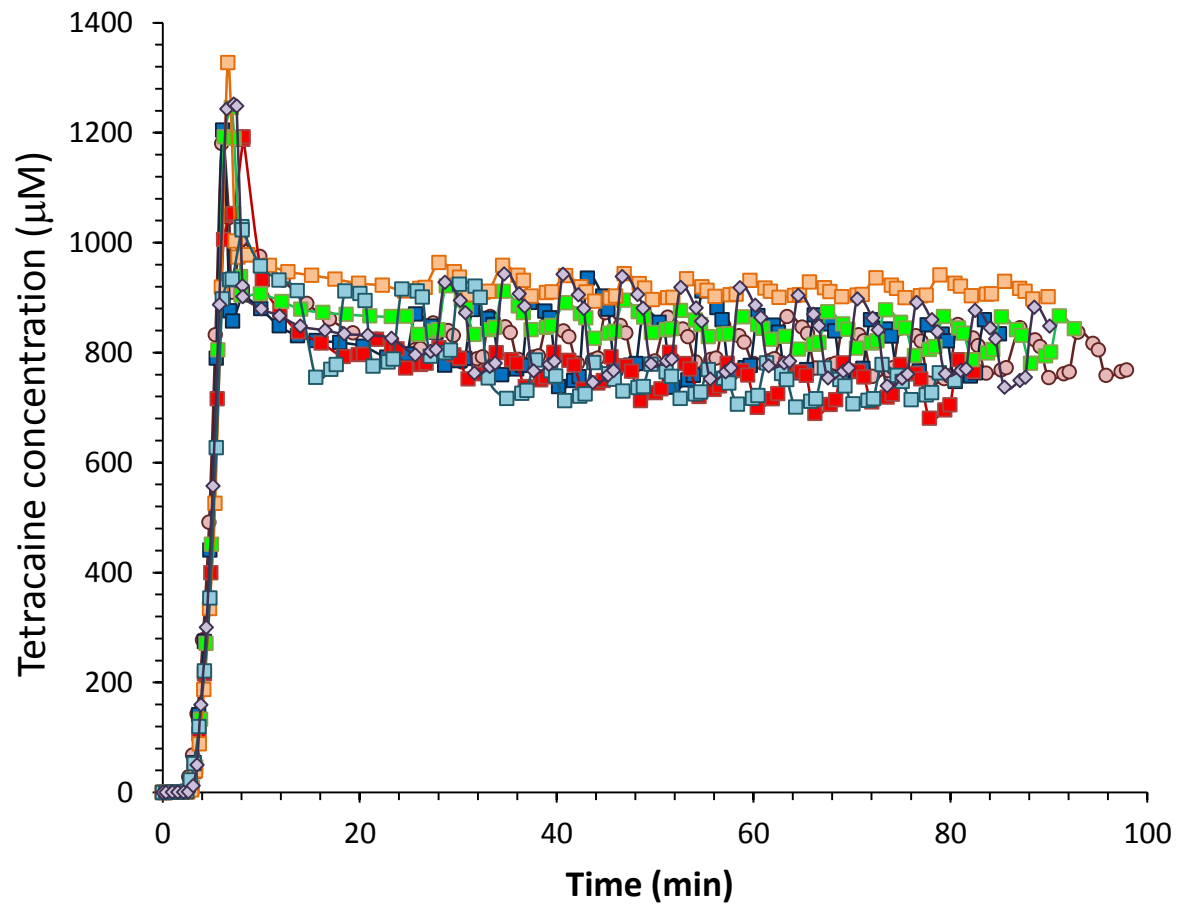


Figure 6

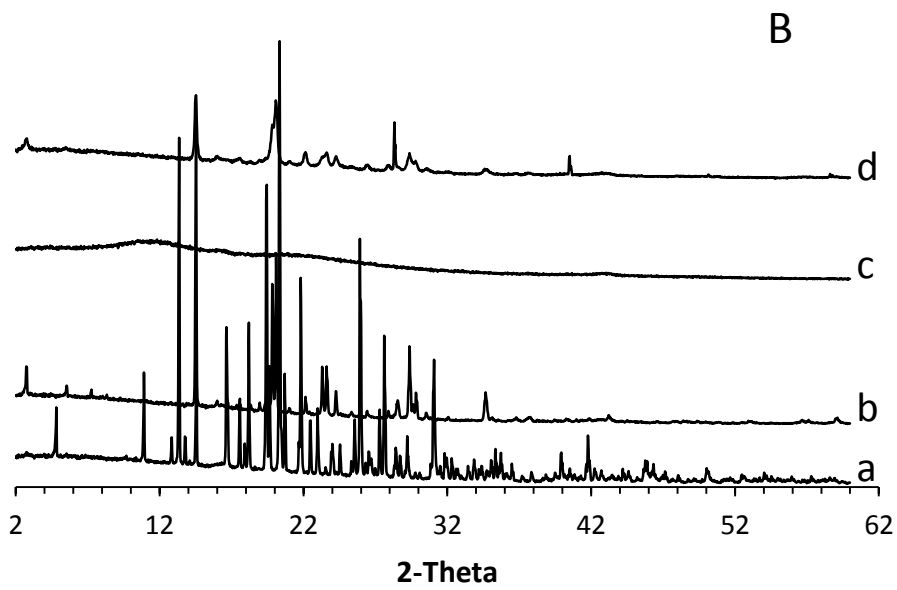
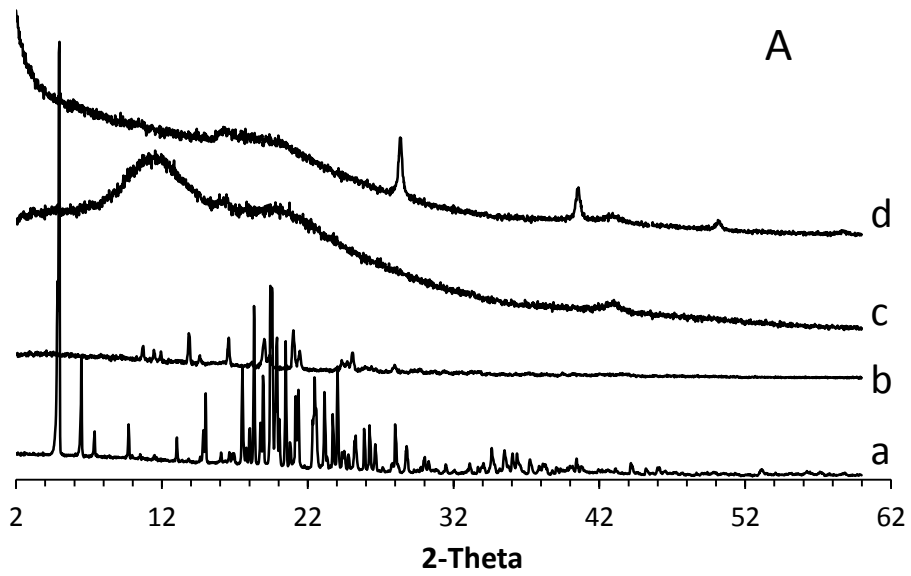
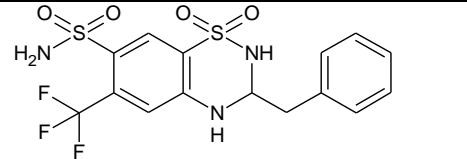
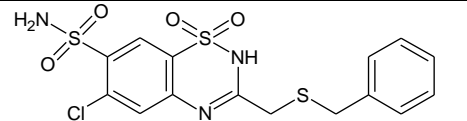
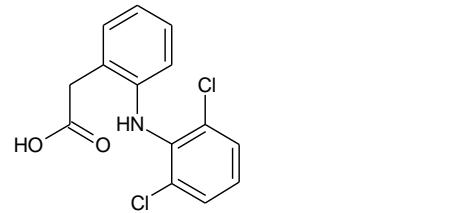
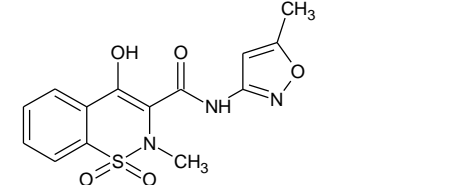
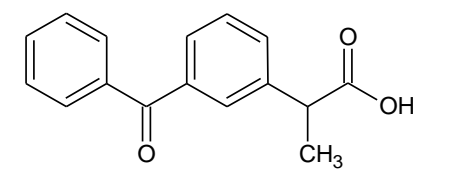
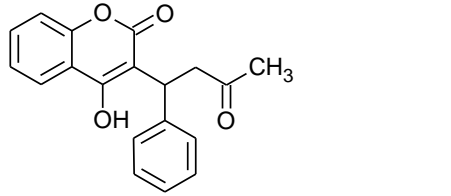
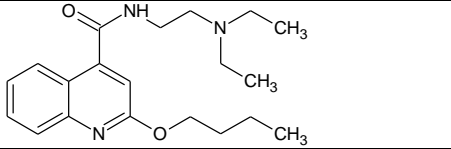
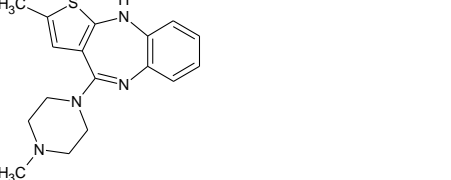
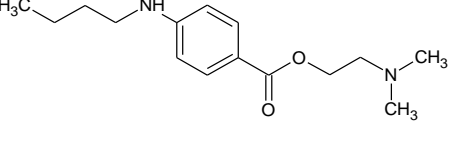
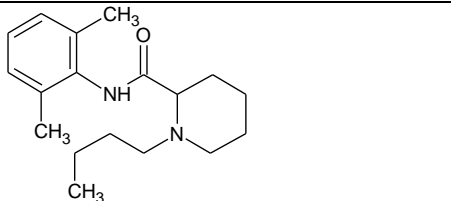
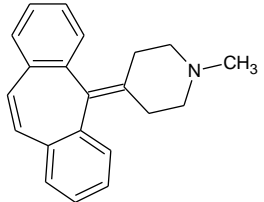
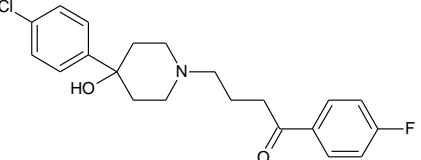
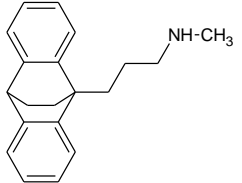
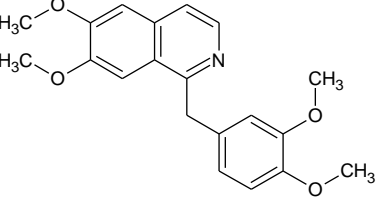
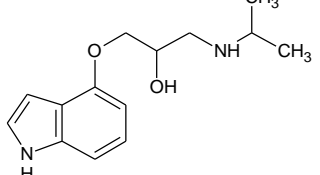


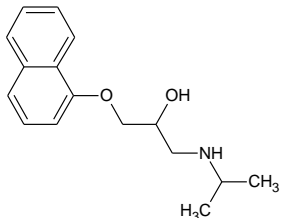
Figure 7

Table 1: Physicochemical parameters of the compounds under study. pK_a values provided are at 0.15M ionic strength and 25 °C.9

Compound	Molecular structure	Type	pK _a	S ₀ (μM)	log S ₀	C max (μM)	Supersaturation ratio (R _s)	Time of supersaturation (t _s , min)
Bendroflumethiazide		H ₂ A	8.46 ± 0.02 9.99 ± 0.01	82 ± 3	-4.09 ± 0.02	1247 ± 405	13 ± 5	12 ± 3
Benzthiazide		H ₂ A	6.64 ± 0.03 9.22 ± 0.04	12 ± 1	-4.89 ± 0.09	91 ± 11	7 ± 2	4 ± 1
Diclofenac		HA	4.13 ± 0.01	8 ± 2	-5.13 ± 0.10	198 ± 14	27 ± 4	5.1 ± 0.9
Isoxicam		HA	3.84 ± 0.02	2.5 ± 0.8	-5.61 ± 0.14	137 ± 18	55 ± 7	6 ± 2
Ketoprofen		HA	4.00 ± 0.01	585 ± 84	-3.24 ± 0.06	1550 ± 237	2.6 ± 0.2	19 ± 1

Warfarin		HA	4.89 ± 0.01	20 ± 2	-4.70 ± 0.05	395 ± 63	20 ± 3	15 ± 4
Dibucaine		BH ₂ ²⁺	2.02 ± 0.01 8.64 ± 0.3	96 ± 4	-4.02 ± 0.02	250 ± 17	2.6 ± 0.1	20 ± 13
Olanzapine		BH ₂ ²⁺	5.59 ± 0.06 8.03 ± 0.01	59 ± 7	-4.23 ± 0.05	1884 ± 30	31.6 ± 0.5	5.6 ± 0.2
Tetracaine		BH ₂ ²⁺	2.33 ± 0.01 8.53 ± 0.07	819 ± 27	-3.09 ± 0.01	1236 ± 69	1.53 ± 0.07	19.8 ± 0.8
Bupivacaine		BH ⁺	8.18 ± 0.09	1172 ± 143	-2.93 ± 0.05	1582 ± 315	1.4 ± 0.1	7 ± 2

Cyproheptadine		BH ⁺	9.40 ± 0.18	10.0 ± 0.9	-5.00 ± 0.04	160 ± 82	16 ± 6	12 ± 3
Haloperidol		BH ⁺	8.44 ± 0.17	6.81 ± 0.94	-5.22 ± 0.13	303 ± 75	45 ± 9	5 ± 2
Maprotiline		BH ⁺	10.48 ± 0.1	15.8 ± 0.9	-4.80 ± 0.02	83 ± 13	5.0 ± 0.9	7 ± 2
Papaverine		BH ⁺	6.44 ± 0.02	39 ± 11	-4.42 ± 0.13	2284 ± 515	59 ± 11	16 ± 5
Pindolol		BH ⁺	9.48 ± 0.05	256 ± 45	-3.60 ± 0.08	4163 ± 612	15 ± 1	7.5 ± 1.5

Propranolol		BH ⁺	9.48 ± 0.02	329 ± 15	-3.48 ± 0.02	1976 ± 95	6.0 ± 0.1	17 ± 7
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