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Orbital-selective spin excitation of a magnetic porphyrin

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Scattering of electrons by localized spins is the ultimate process enabling detection and control of the magnetic state of a spin-doped material. At the molecular scale, scattering is mediated by the orbitals hosting the spin. Here we report the selective excitation of a molecular spin by tunneling through different molecular orbitals. Spatially resolved tunneling spectra on iron-porphyrins reveal that the inelastic spin excitation extends beyond the iron site, changing shape and symmetry along the molecule. Combining density functional theory simulations with a phenomenological scattering model, we show that the extension and lineshape of the inelastic signal are due to excitation pathways assisted by different frontier orbitals. By selecting the intramolecular site for electron injection, the relative weight of iron and pyrrole orbitals in the tunneling process is modified. Thus, the excitation mechanism, reflected by its spectral lineshape, depends on the degree of localization and energy alignment of the chosen molecular orbital.

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In most organometallic species, the spin of the metallic ion is distributed among several spin-polarized atomic orbitals. The organic ligands around cast an anisotropic field that breaks the orbital degeneracy and induces a preferential spin orientation. The split spin-hosting orbitals extend and mix differently with molecular states and with the surroundings, behaving as different electron channels for scattering with the spin. At the single molecule scale, the existence of several electron-spin scattering channels competing in a molecule has been usually identified indirectly by phenomena, such as the partial Kondo screening of the spin (underscreened Kondo^{8,19}), multi-orbital Kondo screening²¹, the spatial extension of Kondo spectral features^{22,23}, or by the effect of the molecular spin on superconducting quasiparticles²⁴.

Here we show that a molecular spin can be selectively excited by injecting electrons into two different orbital channels. We investigate the spin excitation of Fe-tetraphenyl porphyrin (FeTPP) molecules adsorbed on a Au(111) surface by scanning tunneling spectroscopy (STS). The spin S = 1 of FeTPP can be excited either by electron tunneling over the Fe ion or over two of the four molecular pyrrole groups. Interestingly, the inelastic spin excitation features show asymmetric components with opposite sign on each site. Asymmetry in the dI/dV spectra of magnetic systems has been typically attributed to Fano interference in a Kondo-screened spin^{7,25}. However, here Kondo screening is absent and we show that the spectral asymmetry is due to the spin-polarized orbital lying out of the particle-hole symmetry point. Then, each spectral shape reveals the alignment and hybridization of the molecular states hosting the molecular spin. With the support of density functional theory (DFT) simulations, we provide an atomistic picture of each tunneling channel based on distinct spin-polarized molecular states with strong Fe character and different distribution within the molecule.

Results

Scanning tunneling microscopy (STM) images show that the porphyrin molecules arrange in close-packed islands on the Au (111) surface (Fig. 1a) and appear with a protrusion at the center (green box in Fig. 1a) that is attributed to the Cl ligand of the intact FeTPP-Cl molecule. A fraction of the molecules exhibits instead two lobes. They correspond to the dechlorinated species FeTPP (Fig. 1b), which adopt a saddle conformation upon adsorption (Fig. 1c). FeTPP molecules can be controllably obtained by removing the Cl ligand from the FeTPP-Cl molecules using tunneling electrons (see Supplementary Fig. 1) or by annealing the substrate¹⁰. The dechlorination process changes the Fe oxidation state from Fe⁺³ to Fe⁺² and decreases the total spin from S = 3/2 to $S = 1^{10,11,26}$.

STS measurements over the molecules reveal steps in conductance at symmetric bias values (Fig. 2a), associated to the onset of inelastic tunneling^{27,28}. While the steps on the FeTPP-Cl molecules appear at $V_S = \pm 1.7 \text{ mV}$ (shown in Supplementary Note 1), the removal of the Cl ligand rises the excitation energy to $V_S = \pm 7.4 \text{ mV}$. In both cases the inelastic spectra show a dependence with the applied magnetic field (see Supplementary Note 2), which agrees with changes of the spin-multiplet of Fe-



Fig. 1 FeTPP adsorbed on Au(111). **a** Topographic STM image (7 × 5 nm²) of a close-packed island of FeTPP (red box) and FeTPP-CI (green box) molecules on Au(111). Imaging conditions: V = 0.25 V, I = 10 pA. **b** Zoom of a single FeTPP molecule and molecular structure superimposed. **c** Crosssection of the DFT relaxed structure of the FeTPP on the Au(111) surface (three gold layers) showing the saddle conformation acquired upon adsorption

porphyrin moieties^{11,24,29}. We, thus, associated these steps to spin excitations induced by tunneling electrons, and discard any possible vibrational origin³⁰.

We find a striking spatial distribution of the spectroscopic features of the FeTPP species (see Fig. 2a). While the energy position of the inelastic conductance steps remains the same ($V_S = \pm 7.4 \pm 0.5 \text{ mV}$) all over the molecule, their symmetry varies as we move off-center towards the brighter pyrroles. A stacking plot of point dI/dV spectra across the FeTPP molecule (Fig. 2b) shows inelastic steps with a rather symmetric lineshape over the pyrroles and with strong antisymmetric character over the Fe site. It is remarkable that the energy positions of the conductance steps remain nevertheless constant, indicating that the same excitation is the origin for the inelastic features across the molecule. The spin excitation is only observed along the axis formed by the brighter pyrroles (marked in the inset in Fig. 2b), and is absent over the two other pyrroles (grey dashed line in Fig. 2a).

Discussion

In a first approximation, the spin excitation energy is determined by the magnetic anisotropy of the molecule on the surface, which can be modeled with a phenomenological spin Hamiltonian (ref. ³¹, p. 15) $\hat{H}_s = D\hat{S}_z^2 + E(\hat{S}_x^2 - \hat{S}_y^2)$, where \hat{S}_x , \hat{S}_y , \hat{S}_z are the three components of the spin operator, and *D* and *E* the axial and transverse anisotropy parameters, respectively. We find that the inelastic step splits by applying a magnetic field perpendicular to the surface, in accordance with Zeeman shifts of a S = 1 multiplet (see Supplementary Figs. 2 and 3). Hence, the excitation at $V_S = \pm 7.4$ mV corresponds to a transition from the $|m_S = 0\rangle$ ground state to the $|m_S = \pm 1\rangle$ multiplet, and the excitation energy is the axial anisotropy constant D = 7.4 meV (similar to the one reported for FeTPP crystals³²). A small fraction of molecules (~15%) exhibit the inelastic step split into two smaller steps even in the absence of magnetic field, at $V_S = \pm 8.7$ and ± 6.5 mV (see Supplementary Note 3 and Supplementary Fig. 4). This is



Fig. 2 Spatial distribution of the spin excitation on FeTPP. **a** Characteristic dI/dV spectra of FeTPP measured over one of the two bright pyrrole groups (blue) and over the central Fe ion (red). As a reference, we add a spectrum acquired over the dark pyrroles with the same tip (dashed gray), showing the complete absence of features in this range. (Setpoint: V = 20 mV, I = 300 pA. Lock-in frequency 938 Hz, modulation 50 μ V rms). The insets are 1.7 × 1.7 mm² constant current dI/dV maps at V = -15 mV and V = +15 mV of the topography image shown as inset in **b**. **b** Stacking plot of point dI/dV spectra (40 curves, 2.5 nm) along the axis of a FeTPP molecule (as shown in the inset). **c** Symmetric ($G_s = \frac{1}{2}(G_{V>0} + G_{V<0})$) and antisymmetric ($G_a = \frac{1}{2}(G_{V>0} - G_{V<0})$) components of the dI/dV spectra of **a** and their flattened spatial distribution along the axis of the FeTPP molecule, as in **b**

probably due to small distortions of the molecular structure on the surface that causes in these cases a finite component of transverse magnetic anisotropy¹¹.

The above spin-model successfully predicts excitation energies and transition rates³¹. However, to fully describe the spectral shape of inelastic tunneling processes, spin-electron interactions must be taken into account. Electron scattering mechanisms contributing to the inelastic signal can be described by Hamiltonian terms of the form $H_{int} \simeq \mathcal{U} + J \cdot \mathbf{s} \cdot \mathbf{S}$. The exchange term J describes the transfer of energy and angular momentum by electrons (with spin s), and accounts for excitations of the molecular spin S. The potential scattering term \mathcal{U} reflects charge scattering processes by partially occupied localized states^{33,34}, and its role has typically been disregarded in magnetic systems.

Most of the scattering processes contribute to the tunneling conductance with bias-symmetric components. Asymmetry in the spectra of Kondo systems has been generally attributed to Fanolike interference of the exchange-scattering channel with other tunneling paths³⁵. While in the present case Kondo screening can be excluded due to the large anisotropy, high-order scattering processes still apply. In particular, it has been shown³⁴ that the presence of a potential scattering channel can produce a peculiar bias-asymmetry in the conductance spectra. As we show in the Supplemental Material (see Supplementary Note 4), a finite \mathcal{U} amplitude leads to antisymmetric components in the dI/dV spectra, arising already at biases below the excitation energy and with small logarithmic peaks/dips at the excitation onset. As a result, the shape of the inelastic features in dI/dV spectra reflects the degree of particle-hole asymmetry of the system (Fig. 3).

Following this interpretation, we separate the symmetric G_s and antisymmetric G_a parts of the spectra in Fig. 2a, b as indicated in the caption. The resulting plots (Fig. 2c) reveal that the inelastic fraction in the symmetric component is larger over the pyrrole groups by a factor of 3 (inelastic fraction $\frac{\Delta G_s}{G_s}$ amounts to 0.9 and 0.3 over pyrroles and Fe, respectively), while the antisymmetric component G_a has opposite sign on each site, and is two times larger over the Fe ion. Furthermore, the G_a component shows characteristic dips and peaks at the onset of excitation, which resemble the antisymmetric components of higher order terms associated to a nonzero potential scattering amplitude (see

Supplementary Note 5 and Supplementary Figs. 5 and 6), rather than other known sources of asymmetry, such as Fano-like interference with other tunneling channels.

We thus fitted the dI/dV spectra of Fig. 2a using a (secondorder scattering) phenomenological model developed by Ternes³⁴ (for fit results see Supplementary Fig. 5 and Supplementary Table 1). The stronger antisymmetric component of the curve taken over the Fe ion is reproduced using a large and positive ratio between potential and exchange-scattering amplitudes, i.e., $U = \mathcal{U}/J = 0.8$, whereas the opposite and weaker antisymmetric component of the curve taken on the pyrroles is due to a smaller and negative ratio, U = -0.4. In Fig. 3a we show the evolution of the asymmetry in the spectra as we sweep the parameter U (see Eq. (3) in Supplementary Information). The inelastic spectra show a characteristic asymmetry that changes sign as we move from the hole (U = 0.9) to the particle (U = -0.9) mix-valence regimes, while at the particle-hole symmetry point the spectra is symmetric. Three cases are individually plotted for clarity in Fig. 3b, resembling the asymmetry found in the experiments.

The microscopic Anderson model^{33,34,36} provides a physical interpretation for the phenomenological parameter U obtained from the fit to the experimental spectra. As described by the Schrieffer–Wolff transformation³³, U depends linearly on the ratio between energy position of the singly occupied spin state and the on-site Coulomb energy e_d/U_d . This relation conveys information about the relative alignment of the spin energy levels with respect to the Fermi energy. In Fig. 3c we included the representation of the orbital energy position for the three cases shown in Fig. 3b.

From the fitting of our experimental data for the more symmetric STS lineshape taken on the pyrroles, we obtain $\epsilon_d = -0.7U_d$, which can be interpreted as a spin state close to the electron-hole symmetry point ($\epsilon_d = -0.5U_d$), where potential scattering would be absent (U = 0). In contrast, from spectra on the Fe site we obtain $\epsilon_d = -0.1U_d$, a situation where the spin is close to the mixed-valence regime and the potential scattering is significant. Although both scenarios deviate from the electron-hole symmetry point, on the central Fe ion the situation is extreme and, therefore, the spectra show a larger antisymmetric component. Furthermore, the larger electron-hole symmetry over



Fig. 3 Influence of potential scattering in STS and orbital alignment. **a** Evolution of the simulated dl/dV spectra with the ratio of potential and exchange scattering amplitudes $U = \frac{U^{3/4}}{2}$. **b** Three representative cases, namely electron-hole symmetry (U = 0) and mix-valence regime ($U = \pm 0.9$). **c** Representation of the single-impurity Anderson model parameters for the three selected cases in **b**



Fig. 4 Frontier orbitals spatial distribution. **a** d/dV spectra of FeTPP measured on the two bright pyrrole groups (blue) and on the central Fe atom (red) (setpoint: V = 0.4 V, I = 300 pA. Lock-in frequency 938 Hz, modulation 2 mV rms). The spectra are measured in a wider range as in Fig. 2a to capture the shape and position of frontier orbitals. **b** Stacking plot of point d/dV spectra (40 curves, 2.5 nm) along the FeTPP molecule showing the spatial localization of dI/dV signal along the axis determined by the two bright pyrroles. **c** Constant height conductance maps at the energy of the resonances observed in **a**

the pyrrole groups agrees with the larger inelastic fraction over this point³⁷. Even if the Anderson model does not capture the complexity of the spin density distribution of a metal–organic system, it still provides a suitable interpretation for the energy alignment of the orbitals mediating the excitation in terms of electron-hole asymmetry. The energy alignment of the FeTPP frontier orbitals can be explored in spectra measured in a wider bias range. In consistency with the above-described picture, we find two electronic resonances around the Fermi level, each showing a different linewidth and spatial dependence (Fig. 4a). While a sharp resonance appears at $V_{\rm S} = +150$ mV on the pyrroles, a broad state is found



Fig. 5 DFT simulations of the molecular orbitals energy alignment and spatial distribution. **a** Spin-polarized DOS of the FeTPP/Au(111) system projected on Fe d_{xz} , d_{yz} , and d_{z^2} orbitals (dashed/solid lines represent majority/minority spin states). **b** Simplified scheme describing the occupation of Fe 3*d* levels for the adsorbed FeTPP (red/blue arrows indicate majority/minority spin states). The partial color filling of the d_{π} multiplet represents the distribution of their net spin 1/2 polarization obtained from DFT, which is essentially localized in the d_{yz} orbital; the total molecular spin is S = 1. **c** Total DOS of the FeTPP/Au (111) system projected on Fe states and on C and N states of the upper-pyrrole moleties. **d** Spin polarization isosurface obtained for the FeTPP/Au(111) system by subtracting occupied states with spin up and down (red/green describe spin density isosurfaces); upper pyrroles are at the top and bottom of the structure; the Au(111) surface has been omitted from the plot for clarity)

at negative bias over the Fe site (for a wider bias range see Supplementary Note 6 and Supplementary Fig. 7). The dI/dVstacking plot along the molecular axis (4b) captures these differences, and reveals an orbital pattern similar to that of the spin excitations (Fig. 2b). The dI/dV maps in Fig. 4c further localize the positive and negative frontier orbitals along two of the four pyrroles and over the Fe center, respectively. This agrees with a molecular symmetry breaking, also observed in the spin excitation maps (Fig. 2a). We note that the broad negative bias resonance on the Fe ion crosses through zero bias, revealing a situation close to the mixed-valence regime, in agreement with the outcome of the Anderson model from above.

In order to identify the orbital character of the frontier spectral features of FeTPP on Au(111), we analyzed its electronic configuration and spin state by means of DFT simulations based on the SIESTA code³⁸. We find that adding a Hubbard-like term with $U_d = 2 \text{ eV}$ to describe the Coulomb interactions between electrons in the Fe 3d shell is crucial to reproduce the orbital alignment around $E_{\rm F}$ observed in the experiment³⁹. For the freestanding molecule, our results confirm the well-known multiconfigurational character of the ground state of FeTPP^{40,41}. Correspondingly, we found almost degenerate solutions with quite different fillings of the levels associated with the metallic center and the same total spin. On the Au(111) surface the molecule adopts a distorted saddle configuration, as previously reported for porphyrins on surfaces¹². Figure 5a shows the density of states of FeTPP/Au(111) projected on Fe-d orbitals (PDOS). The FeTPP on Au(111) has a magnetic moment of 2.12 $\mu_{\rm B}$ (S = 1) shared between frontier states, which have strong character on d_{z^2} and d_{π} orbitals of the Fe ion (see the sketch in Fig. 5b). The d_{xy} and $d_{x^2-y^2}$ -derived states are fully occupied and

empty, respectively, and largely localized due to their weak interaction with the metal substrate (see Supplementary Note 7 and Supplementary Fig. 8). The d_{z^2} -derived state has a net spin polarization of 0.75 $\mu_{\rm B}$ and is quite broadened as a consequence of its large hybridization with the substrate. The d_{π} -derived states appear with a broken degeneracy caused by the saddle-like distortion of the molecular backbone on the substrate. The lowest energy configuration finds d_{yz} orbital with larger spin density, while the d_{xz} is almost completely occupied and, thus, exhibits a substantially smaller spin polarization (see Supplementary Note 8 and Supplementary Fig. 9). Namely, the spin polarization coming from the d_{π} orbitals is dominated by the contribution from d_{yz} . The computed PDOS thus pictures the multi-orbital character of the spin polarization of this system.

The spatial spin excitation pattern observed in the experiment is a consequence of different molecular states involved in the tunneling through the molecule. In Fig. 5c, we compare the PDOS on Fe orbitals and on the upper-pyrrole groups (bright pyrroles). The former resemble the dI/dV spectrum at the center of the molecule (Fig. 4a) with larger DOS at the negative part of the energy spectrum plus some weight above $E_{\rm F}$. This proves that the tunneling transmission on the Fe ion is governed by Fe d-resonances. The larger overlap of this Fe-d manifold with $E_{\rm F}$, closer to a mixed-valence configuration, is the main cause of the larger asymmetry of the spin-excitation features observed over the Fe site. In contrast, the PDOS on the upper-pyrrole atoms exhibit only a resonance at positive energy, which correlates with the dI/ dV peak measured over the protruding pyrrole groups (Fig. 4a). The resonance is composed of pyrrole states and empty d_{yz} components of the Fe ion, having a strong hybrid Fe-ligand character. As a consequence, this resonance becomes weakly spin-

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polarized (Fig. 5d). The absence of spin excitation over the lower pyrroles agrees with the lack of spin polarization of the hybrid ligand- d_{xz} states. Therefore, tunneling through pyrrole-Fe hybrid states can effectively excite the spin of the Fe ion¹⁹, whereas the smaller overlap of the d_{yz} resonance with $E_{\rm F}$ agrees with the more symmetric excitation lineshape observed in the experiment.

In summary, we have demonstrated that the spin excitation of a S = 1 metal-organic molecule can be selectively excited through two different inelastic tunneling channels. Every channel is mediated by a molecular state with different spatial extension and produces inelastic features with a characteristic asymmetry. We have shown that the asymmetry is a consequence of the spin-polarized molecular state being out of the particle-hole symmetric case. Thus, interpretation of the inelastic spectra in terms of a phenomenological scattering model can be used to detect the alignment of spin-hosting states in molecular magnets and to infer their proximity to a mix-valence configuration.

Methods

Our experiments were performed in a low temperature STM with a base temperature of 1.2 K under ultra high vacuum (UHV) conditions (JT-STM by SPECS GmbH). We thermally sublimated 5, 10, 15, 20-tetraphenyl-porphine iron(III) chloride (FeTPP-Cl) molecules on the clean Au(111) substrate at room temperature. Differential conductance (dI/dV) measurements were acquired using lock-in amplifier technique. Analysis of STM and STS data was performed with the WSxM⁴² and SpectraFox⁴³ software packages.

Ab initio DFT calculations were carried out using the generalized gradient approximation (GGA) with the Hubbard-like U_d correction as proposed by Dudarev⁴⁴ and as implemented in the 4.1 version of SIESTA code³⁸. The optB88-vdW⁴⁵ version of the nonlocal van der Waals potential is included to describe the exchange-correlation. For the description of the molecules on the substrate we considered a supercell made up of a three layer Au(111) slab, with a FeTPP molecule placed at its most stable adsorption site on the surface. The basis set consisted on double- ζ plus polarization orbitals with an energy shift of 0.011 Ry for all the atomic species. An energy cut-off of 200 Ry for the real-space grid integrations and $3 \times 3 \times 1$ *k*-point sampling were considered. The atoms on the topmost Au layer and the molecule were relaxed, until forces were smaller than 0.05 eV/Å.

Data availability. The data that support the findings of this study are available from the corresponding authors upon request.

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Author contributions

C.R.-V., D.-J.C., Z.M., and R.E. carried out the STM measurements. C.R.-V. and M.R.C. did the data analysis and adapted the phenomenological scattering model. A.S., A.G.-L., and D.S.-P. performed the theoretical simulations. C.R.-V., A.S., D.-J.C., Z.M., R.E., M.R.C., M.M.U., A.G.-L., D.S.-P., and J.I.P. discussed the results. C.R.-V., M.R.C., and J.I. P. wrote the paper with input from the other authors.

Additional information

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