

On forbidden induced subgraphs for unit disk graphs

Aistis Atminas*

Viktor Zamaraev†

Abstract

A unit disk graph is the intersection graph of disks of equal radii in the plane. The class of unit disk graphs is hereditary, and therefore admits a characterization in terms of minimal forbidden induced subgraphs. In spite of quite active study of unit disk graphs very little is known about minimal forbidden induced subgraphs for this class. We found only finitely many minimal non unit disk graphs in the literature. In this paper we study in a systematic way forbidden induced subgraphs for the class of unit disk graphs. We develop several structural and geometrical tools, and use them to reveal infinitely many new minimal non unit disk graphs. Further we use these results to investigate structure of co-bipartite unit disk graphs. In particular, we give structural characterization of those co-bipartite unit disk graphs whose edges between parts form a C_4 -free bipartite graph, and show that bipartite complements of these graphs are also unit disk graphs. Our results lead us to propose a conjecture that the class of co-bipartite unit disk graphs is closed under bipartite complementation.

1 Introduction

A graph is *unit disk graph* (UDG for short) if its vertices can be represented as points in the plane such that two vertices are adjacent if and only if the corresponding points are at distance at most 1 from each other. Unit disk graphs have been very actively studied in recent decades. One of the reasons for this is that UDGs appear to be useful in number of applications. Perhaps a major application area for UDGs is wireless networks. Here a UDG is used to model the topology of a network consisting of nodes that communicate by means of omnidirectional antennas with equal transmission-reception range. Many research projects aimed at designing algorithms for different graph optimization problems specifically on unit disk graphs, as solutions to these problems are of practical importance for efficient operation of modeled networks. We refer the reader to [2, 3] and references therein for more details on applications of UDGs.

The class of unit disk graphs is *hereditary*, that is, closed under vertex deletion or, equivalently, closed under induced subgraphs¹. It is well known and can be easily proved that every hereditary class of graphs admits characterization in terms of minimal forbidden induced subgraphs. Formally, for a hereditary class \mathcal{X} there exists a unique minimal under inclusion set of graphs M such that \mathcal{X} coincides with the family $Free(M)$ of graphs none of which contains a graph from M as an induced subgraph. Graphs in M are called *minimal forbidden induced subgraphs* for \mathcal{X} . Such an obstructive specification of a hereditary class may be useful for investigation of its structural, algorithmic and combinatorial properties. For instance, forbidden subgraphs characterization of a class may be helpful in testing whether a graph belongs to the class or not. In particular, if the set of minimal forbidden subgraphs is finite, then, clearly, the problem of recognizing graphs in the class is polynomially solvable. However, describing a hereditary class in terms of its minimal forbidden induced subgraphs may be an extremely hard problem. For example, for the class of perfect graphs it took more than 40 years to obtain forbidden subgraph characterization [5].

Despite extensive study of the class of unit disk graphs very little is known about its forbidden induced subgraphs. We found only few minimal non unit disk graphs in the literature, namely, $K_{1,6}$,

*School of Science and Technology, Nottingham Trent University, Nottingham NG11 8NS, UK. E-mail: Aistis.Atminas@ntu.ac.uk.

†Mathematics Institute, University of Warwick, Coventry CV4 7AL, UK. E-mail: V.Zamaraev@warwick.ac.uk. The author acknowledges support from EPSRC, grant EP/L020408/1; and from Russian Foundation for Basic Research, grant 14-01-00515-a.

¹All subgraphs in this paper are induced and further we sometimes omit word ‘induced’.

$K_{2,3}$, and five other graphs (see Figure 1) [10, 11]. However, unless $P = NP$, the set of minimal forbidden induced subgraphs is infinite, since the problem of recognizing unit disk graphs is known to be NP-hard [4]. Interestingly, only the fact that unit disk graphs avoid $K_{1,6}$ already turned out to be useful in algorithms design. For example, the fact was utilized in [13] for obtaining 3-approximation algorithm for the maximum independent set problem and 5-approximation algorithm for the dominating set problem. In [7] da Fonseca et al. used additional geometrical restrictions of UDGs to design an algorithm for the latter problem with better approximation factor $44/9$. The authors pointed out that further improvement may require new information about forbidden induced subgraphs for UDGs, and in a subsequent paper [8] they developed algorithm for recognizing UDGs. Unfortunately, (though, not surprising as the corresponding problem is NP-hard) in worst cases the algorithm works exponential time, and the experimental results are available only for small graphs and do not discover any new minimal forbidden subgraphs.

In the present paper we systematically study forbidden induced subgraphs for the class of unit disk graphs, and reveal infinitely many new minimal forbidden subgraphs. For example, we show that all complements of even cycles with at least eight vertices are minimal non-UDGs. In contrast, all complements of odd cycles are UDGs. We use the obtained results to investigate structure of co-bipartite unit disk graphs. Specifically, we characterize the class of C_4^* -free co-bipartite UDGs, that is co-bipartite UDGs whose edges between parts form a bipartite graph without cycle on four vertices. Further we show that bipartite complement of every C_4^* -free co-bipartite UDG is also (co-bipartite) UDG. This fact and the structure of the set of found obstructions leads us to pose a conjecture that the class of co-bipartite UDGs is closed under bipartite complementation.

The paper is organized as follows. In Section 2 we introduce necessary definitions and notation. In Section 3 we develop auxiliary geometrical and structural tools that may be of their own interest. Using these tools we derive new minimal forbidden induced subgraphs in Section 4. In Section 5 we give structural characterization of certain classes of co-bipartite UDGs. In the last Section 6 we discuss the results and open problems.

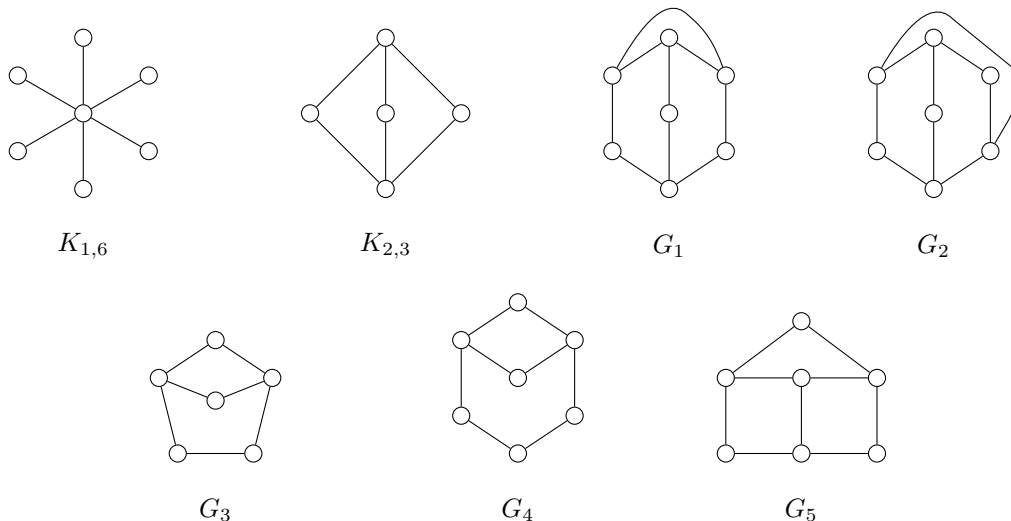


Figure 1: Known minimal non unit disk graphs

2 Preliminaries

Let (V, E) denote a graph with vertex set V and edge set E . An edge connecting vertices u and v is denoted uv . For a graph G by $V(G)$ and $E(G)$ we denote the vertex set and the edge set of G , respectively. The complement of a graph G is denoted as \overline{G} . For a vertex v and a set $A \subseteq V(G)$, $N(v)$ denotes the set of neighbours of v , and $N_A(v) = N(v) \cap A$. Given a subset $A \subseteq V(G)$, $G[A]$ denotes the subgraph of G induced by A , and $G \setminus A$ denotes a graph obtained from G by removing vertices in A . If $A = \{v\}$, then we omit braces and write $G \setminus v$. As usual, K_n , P_n and C_n denote a complete n -vertex graph, a

chordless path on n vertices and a chordless cycle on n vertices, respectively. A vertex of a graph G is *pendant* if it has exactly one neighbour in G . A set of pairwise non-adjacent vertices in a graph is called an *independent set*, and a set of pairwise adjacent vertices is a *clique*. A graph is *bipartite* if its vertex set can be partitioned into two independent sets. By (U, W, E) we denote a bipartite graph with fixed partition of its vertex set into two independent sets U and W , and edge set E . A graph is *co-bipartite* if its vertex set can be partitioned into two cliques. By $(U, W, E)_c$ we denote a co-bipartite graph with fixed partition of its vertex set into two cliques U and W , and set E of edges connecting vertices in different parts of the graph. Let G be a bipartite graph (U, W, E) (a co-bipartite graph $(U, W, E)_c$, respectively) with fixed bipartition $U \cup W$, then by \overline{G}^b we denote the *bipartite complement* of G , that is the bipartite graph $(U, W, (U \times W) \setminus E)$ (the co-bipartite graph $(U, W, (U \times W) \setminus E)_c$, respectively). Also by G^* we denote the graph obtained from G by complementing its subgraphs $G[U]$ and $G[W]$, i.e. $G^* = (U, W, E)_c$ ($G^* = (U, W, E)$, respectively). Figure 2 illustrates operations G^* , \overline{G}^b , and \overline{G} .

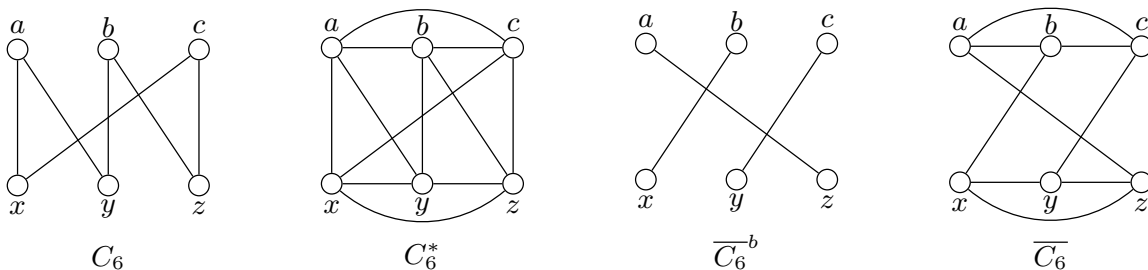


Figure 2: Graph C_6 and its complementations

A graph $G = (V, E)$ is a *unit disk graph* (UDG for short) if there exists a function $f : V \rightarrow \mathbb{R}^2$ such that $uv \in E$ if and only if $\delta(f(u), f(v)) \leq 1$, where $\delta(a, b)$ is the Euclidean distance between two points $a, b \in \mathbb{R}^2$. Function f is called a *UDG-representation* (or simply *representation*) of G . For two vertices $u, v \in V(G)$ the distance $\delta(f(u), f(v))$ between the images of u and v under a representation f is denoted $\delta_f(u, v)$, or simply $\delta(u, v)$, when the context is clear. For a set of vertices $U \subseteq V(G)$, $f(U)$ denotes the set of images of vertices in U , i.e. $f(U) = \{f(u) : u \in U\}$.

Let S be a finite set of points in \mathbb{R}^2 . By $\text{Conv}(S)$ we denote the convex hull of S . A point $x \in S$ that does not belong to the convex hull $\text{Conv}(S \setminus \{x\})$ is called an *extreme point* of $\text{Conv}(S)$. For two distinct points $a, b \in \mathbb{R}^2$ we denote by $L(a, b)$ the line through the points and by $[a, b]$ the line segment joining a and b . The distance between two parallel lines L_1 and L_2 is denoted by $\delta(L_1, L_2)$. We say that two line segments $[a, b]$ and $[c, d]$ *cross* if their intersection consists of a single point different from a, b, c and d . For three non-collinear points a, b, c the triangle with vertices a, b, c is denoted by $\triangle abc$, and $\angle abc$ denotes the angle between sides $[a, b]$ and $[b, c]$ of the triangle. We will denote a point in the Cartesian coordinate system as (x, y) , and in polar as $(r, \alpha)_p$ such that $(r, \alpha)_p = (r \sin(\alpha), r \cos(\alpha))$.

In Sections 5.2-5.4 dealing with UDG-representations we will make frequent use of following basic inequalities and equations:

$$1 - \frac{x}{2} - \frac{x^2}{2} \leq \sqrt{1-x} \leq 1 - \frac{x}{2} \quad (1)$$

$$x - \frac{x^3}{6} \leq \sin(x) \leq x \quad (2)$$

$$\cos(2\beta) = \cos^2(\beta) - \sin^2(\beta) \quad (3)$$

$$\sin(2\beta) = 2 \sin(\beta) \cos(\beta) \quad (4)$$

$$\delta(a, b)^2 + \delta(b, c)^2 - 2 \cos(\angle abc) \delta(a, b) \delta(b, c) = \delta(a, c)^2 \quad (5)$$

The inequalities (1) and (2) hold for all $x \in [-1, 1]$ and $x \geq 0$, respectively. Both are coming from truncated Taylor series expansions, but one can also find direct proofs of these facts, by squaring (1) and considering derivatives in (2). The equations (3) and (4) are standard facts and hold for all $\beta \in \mathbb{R}$. The equation (5) is known as the Law of cosines and holds for any triangle abc .

3 Tools

In this section we develop several geometric and structural tools which are helpful in further sections, though may be of their own interest.

3.1 Basic tools

We use the following obvious claim.

Claim 1. *Let $a, b, c \in \mathbb{R}^2$ be three non-collinear points such that $\delta(a, b) \leq 1$ and $\delta(a, c) \leq 1$. Then $\delta(a, d) \leq 1$ for every point $d \in \triangle abc$.*

Informally, the following lemma says that any UDG-representation of a C_4 is a convex quadrilateral with sides corresponding to the edges of the C_4 .

Lemma 1 (Convexity of C_4). *Let $G = (V, E)$ be a UDG and let a subset $\{v_1, v_2, v_3, v_4\} \subseteq V$ induce a C_4 in G such that $\{v_1v_2, v_2v_3, v_3v_4, v_4v_1\} \subseteq E$. Then for any representation f of the graph, $\text{Conv}(p_1, p_2, p_3, p_4)$ is a quadrangle, and $[p_1, p_3]$ and $[p_2, p_4]$ cross, where $p_i = f(v_i)$, $i = 1, \dots, 4$.*

Proof. First, let us show that no three points in $S = \{p_1, p_2, p_3, p_4\}$ are collinear, i.e. no three points in S lie on the same line. Indeed, assume, that p_1, p_2 and p_3 lie on the same line. As v_1v_2 and v_2v_3 are edges of G and v_1v_3 is a non-edge, we know that $\delta(p_1, p_2) \leq 1$ and $\delta(p_2, p_3) \leq 1$, while $\delta(p_1, p_3) > 1$. From this it follows, that p_2 must lie between p_1 and p_3 , and hence, in particular, belongs to the triangle $\triangle p_4p_1p_3$. Since v_4 is adjacent to v_1 and v_3 , we have $\delta(p_4, p_1) \leq 1$ and $\delta(p_4, p_3) \leq 1$. Hence, Claim 1 now applies to the triangle $\triangle p_4p_1p_3$ and we deduce that $\delta(p_4, p_2) \leq 1$. But this contradicts the assumption that v_2v_4 is a non-edge. By symmetry the same conclusion follows for the other three triples of points from S .

Suppose now that $\text{Conv}(S)$ is a triangle. Without loss of generality let p_1, p_2, p_3 be the extreme points of the triangle. As v_1v_2, v_2v_3 are edges of G , we have $\delta(p_2, p_1) \leq 1$ and $\delta(p_2, p_3) \leq 1$. By Claim 1 applied to the triangle $\triangle p_2p_1p_3$, we deduce that $\delta(p_2, p_4) \leq 1$. But this contradicts the the assumption that v_2v_4 is a non-edge.

Finally, suppose that $\text{Conv}(S)$ is a quadrangle and $[p_1, p_3]$ and $[p_2, p_4]$ do not cross, i.e. these segments are two opposite sides of the quadrangle. As these segments have both length greater than 1, we will show that this implies that one of the diagonals of the quadrangle must be of size greater than 1 as well and hence a contradiction. Consider the case when $[p_1, p_4]$, $[p_2, p_3]$ forms the diagonals of the quadrilateral and crosses at some point q . Without loss of generality, let $\delta(q, p_3) \leq \delta(q, p_4)$. By the triangle inequality

$$1 < \delta(p_1, p_3) \leq \delta(p_1, q) + \delta(q, p_3) \leq \delta(p_1, q) + \delta(q, p_4) = \delta(p_1, p_4) \leq 1,$$

a contradiction. Similarly, we arrive at a contradiction if we assume that the diagonals of the quadrangle are $[p_1, p_2]$ and $[p_3, p_4]$. These contradictions prove that $[p_1, p_3]$ and $[p_2, p_4]$ must cross and finish the proof of the lemma. □

Corollary 1. *Let $G = (V, E)$ be a UDG and let a subset $\{v_1, v_2, v_3, v_4\} \subseteq V$ induce a C_4 in G such that $\{v_1v_2, v_2v_3, v_3v_4, v_4v_1\} \subseteq E$. Then for any representation f of the graph, p_3 and p_4 lie on the same side of the line $L(p_1, p_2)$, where $p_i = f(v_i)$, $i = 1, \dots, 4$.*

When we deal with UDG-representations of complements of graphs the following form of Lemma 1 is more convenient.

Lemma 2. *Let $G = (V, E)$ be a graph and vertices v_1, v_2, v_3, v_4 induce $2K_2$ in G with edges $v_1v_3, v_2v_4 \in E$. If \overline{G} is UDG, then for any representation f of \overline{G} , $\text{Conv}(p_1, p_2, p_3, p_4)$ is a quadrangle and $[p_1, p_3]$ and $[p_2, p_4]$ cross, where $p_i = f(v_i)$, $i = 1, \dots, 4$.*

Lemma 3. *Let $G = (V, E)$ be a graph and let $\{v_1, v_2, v_3, v_4, v_5, v_6\} \subseteq V$ induce a P_6 in G with edges $v_i v_{i+1} \in E$ for $i = 1, \dots, 5$. If \overline{G} is a UDG then for any representation f of \overline{G} convex hull $\text{Conv}(p_2, p_3, p_4, p_5)$ is a quadrangle, and $[p_2, p_3]$ and $[p_4, p_5]$ cross, where $p_i = f(v_i)$, $i = 1, \dots, 6$.*

Proof. First, let us note that neither p_3 nor p_4 lies on line $L = L(p_2, p_5)$. Indeed, suppose p_4 lies on L , then $\text{Conv}(p_1, p_2, p_4, p_5)$ is not a quadrangle. However, it should be a quadrangle by Lemma 2, as $\{v_1, v_2, v_4, v_5\}$ induces a $2K_2$ in G . This contradiction proves that p_4 does not belong to the line L . By symmetry the same conclusion holds for p_3 .

Further, we claim that p_3 and p_4 are on the same side of L . Suppose to the contrary, L separates p_3 and p_4 . By Lemma 2, $[p_5, p_6]$ crosses $[p_2, p_3]$, hence we deduce that p_6 must lie on the same side of L as p_3 (see Figure 3a). Also, by Lemma 2, $[p_1, p_2]$ crosses $[p_4, p_5]$, hence, p_1 must be on the same side of L as p_4 . From this we deduce that p_1 and p_6 are separated by L and hence $[p_1, p_2]$ and $[p_5, p_6]$ lie in different half-planes and do not cross. The latter is impossible, since $[p_1, p_2]$ and $[p_5, p_6]$ cross by Lemma 2.

Let $S = \{p_2, p_3, p_4, p_5\}$ and suppose that $\text{Conv}(S)$ is a triangle. Since p_3 and p_4 are on the same side of L , either p_3 or p_4 is not an extreme point of $\text{Conv}(S)$. Without loss of generality, assume p_3 is not an extreme point of $\text{Conv}(S)$ (see Figure 3b). Since $\delta(p_2, p_5) \leq 1$ and $\delta(p_2, p_4) \leq 1$, by Claim 1 we obtain $\delta(p_2, p_3) \leq 1$. This is a contradiction as $v_2 v_3$ is a non-edge in \overline{G} . This shows that $\text{Conv}(S)$ is a quadrangle.

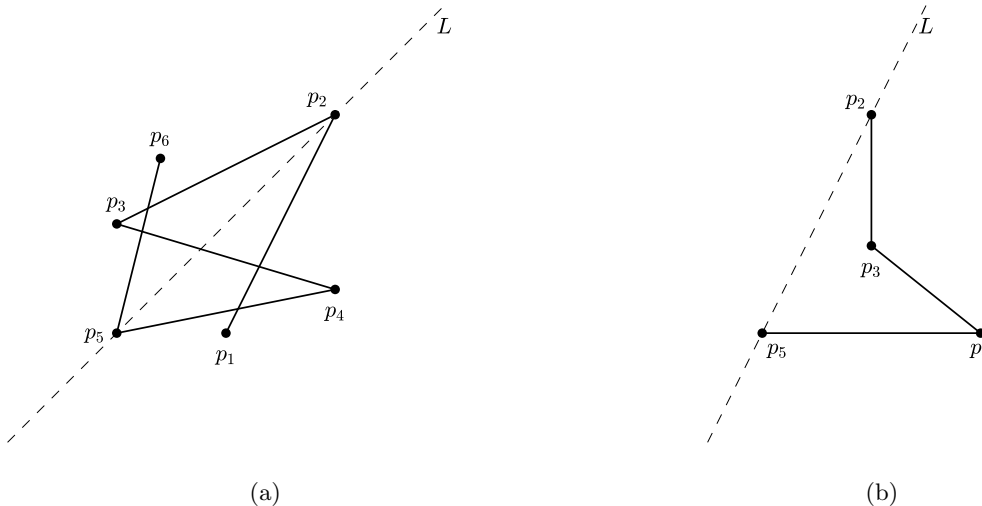


Figure 3

Finally, suppose that $\text{Conv}(S)$ is a quadrangle, but $[p_2, p_3]$ and $[p_4, p_5]$ do not cross. Since p_3 and p_4 are on the same side of L , $[p_2, p_4]$ crosses $[p_3, p_5]$. Let q be the crossing point of these intervals. Without loss of generality, assume $\delta(p_3, q) \geq \delta(p_4, q)$. Then

$$1 < \delta(p_4, p_5) \leq \delta(p_4, q) + \delta(q, p_5) \leq \delta(p_3, q) + \delta(q, p_5) = \delta(p_3, p_5) \leq 1,$$

a contradiction. This finishes the proof of the lemma. \square

3.2 Edge-asteroid triples

A set of three edges in a graph is called an *edge-asteroid triple* if for each pair of the edges, there is a path in the graph containing both of the edges that avoids the neighbourhoods of the end-vertices of the third edge.

Lemma 4. *Let $G = (U, W, E)_c$ be a co-bipartite UDG. Then \overline{G} contains no edge-asteroid triples.*

Proof. Let f be a representation of the unit disk graph G , and for $v \in V(G)$ let $p_v = f(v)$. Suppose to the contrary that \overline{G} contains an edge-asteroid triple $\{e_1, e_2, e_3\} \subset E$. Denote by u_i and w_i the end-vertices of e_i , where $u_i \in U$, $w_i \in W$, $i \in \{1, 2, 3\}$. For distinct $i, j, k \in \{1, 2, 3\}$, let P_i be a path in \overline{G} that avoids the neighbourhood of u_i and the neighbourhood w_i , and whose terminal edges are e_j and e_k . By Lemma

2 the interval corresponding to each edge of P_i crosses $[p_{u_i}, p_{w_i}]$. Since \overline{G} is bipartite, this implies that the images of the vertices in $V(P_i) \cap U$ lie on one side of $L_i = L(p_{u_i}, p_{w_i})$ and the images of the vertices in $V(P_i) \cap W$ lie on the other side of L_i . In particular, p_{u_j} and p_{u_k} lie on one side of L_i and p_{w_j} and p_{w_k} lie on the other side.

On the other hand, since, by Lemma 2, the intervals corresponding to e_1, e_2, e_3 pairwise cross, there exists $i \in \{1, 2, 3\}$ such that p_{u_j} and p_{w_k} are on the same side of L_i . Indeed, if, say, p_{u_1} and p_{u_2} lie on the same side of L_3 and p_{w_1} and p_{w_2} lie on the other side, then necessarily either L_1 has p_{u_2} and p_{w_3} on one of its sides or L_2 has p_{u_1} and p_{w_3} on one of its sides (see Figure 4a). This contradiction establishes the lemma.

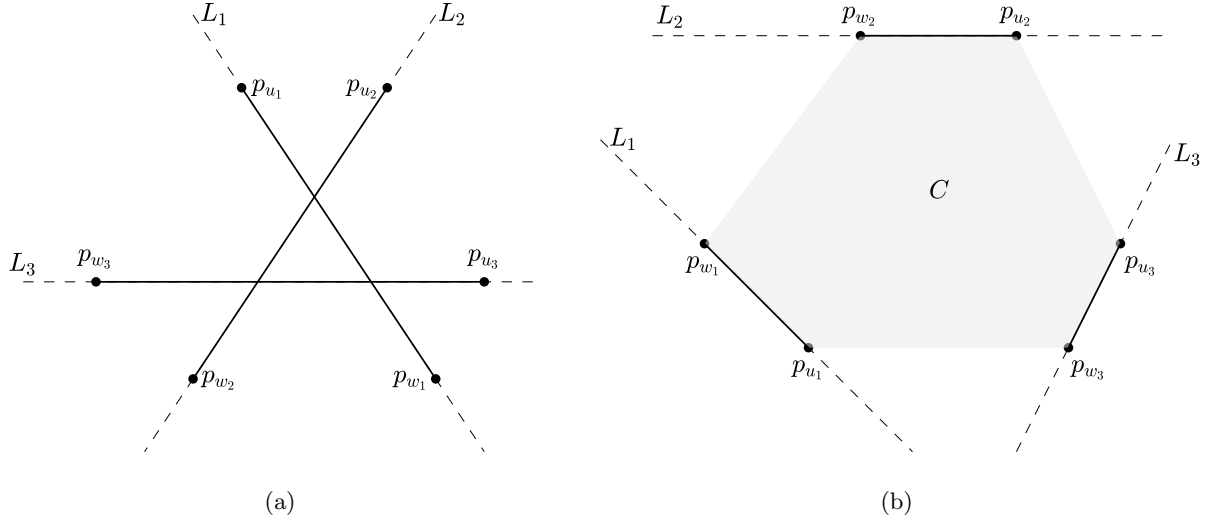


Figure 4

□

Lemma 5. *Let $G = (U, W, E)_c$ be a co-bipartite UDG. Then G^* contains no edge-asteroid triples.*

Proof. Let f be a representation of unit disk graph G , and for $v \in V(G)$ let $p_v = f(v)$. Suppose to the contrary that G^* contains an edge-asteroid triple $\{e_1, e_2, e_3\} \subset E$. Denote by u_i and w_i the end-vertices of e_i , where $u_i \in U$, $w_i \in W$, $i \in \{1, 2, 3\}$. For distinct $i, j, k \in \{1, 2, 3\}$, let P_i be a path in G^* that avoids the neighbourhoods of u_i and w_i , and whose terminal edges are e_j and e_k . Corollary 1 implies that for every edge vu of P_i both p_v and p_u lie on the same side of $L_i = L(p_{u_i}, p_{w_i})$. Therefore all the images of the vertices of P_i lie on the same side of L_i . In particular, $p_{u_j}, p_{w_j}, p_{u_k}$ and p_{w_k} lie on the same side of L_i . The latter fact means that $p_{u_1}, p_{w_1}, p_{u_2}, p_{w_2}, p_{u_3}, p_{w_3}$ are extreme points of $C = \text{Conv}(p_{u_1}, p_{w_1}, p_{u_2}, p_{w_2}, p_{u_3}, p_{w_3})$ and for every $i \in \{1, 2, 3\}$ p_{u_i} and p_{w_i} are adjacent extreme points of the convex hull (see Figure 4b).

Now we will show that p_{u_i} and p_{w_j} for $j \neq i$ cannot be adjacent extreme points of the convex hull. Indeed, assume for contradiction, p_{u_i} is adjacent to p_{w_j} for $j \neq i$. Then, as we proved above, $p_{w_i}, p_{u_i}, p_{w_j}, p_{u_j}$ must be a sequence of consecutive extreme points in the convex hull. However, $\{w_i, u_i, w_j, u_j\}$ forms a C_4 in G and by Lemma 1, $[p_{w_i}, p_{u_j}]$ must be crossing $[p_{w_j}, p_{u_i}]$, a contradiction. Hence, we deduce, that p_{u_i} is adjacent to p_{w_j} if and only if $i = j$.

Now assume, without loss of generality, that p_{w_1} is adjacent to p_{w_2} in C . This gives us a sequence of extremal points in the convex hull $p_{u_1}, p_{w_1}, p_{w_2}, p_{u_2}$. But then p_{w_3} is adjacent to either p_{u_1} or to p_{u_2} in C (see Figure 4b), a contradiction.

□

4 Minimal forbidden induced subgraphs

Theorem 6. *For every integer $k \geq 1$, $\overline{K_2 + C_{2k+1}}$ is a minimal non-UDG.*

Proof. Let $G = (V, E)$ be a graph isomorphic to $K_2 + C_{2k+1}$, where $V = \{u, w, c_1, \dots, c_{2k+1}\}$ and $E = \{c_i c_j : |i - j| = 1\} \cup \{uw, c_1 c_{2k+1}\}$ (see Figure 5a). Suppose to the contrary \overline{G} is a UDG and let f be a representation of \overline{G} , and let p_v denote $f(v)$ for $v \in V$. By Lemma 2 every linear interval corresponding to an edge of the cycle C_{2k+1} crosses $[p_u, p_w]$. That means that the vertices of the cycle are partitioned into two parts, according to the side of line $L(p_u, p_w)$ the image of a vertex belongs to. Moreover, there are no edges between vertices in the same part. This leads to the contradictory conclusion that C_{2k+1} is a bipartite graph.

To prove the minimality of the graphs it is sufficient to show that $\overline{K_1 + C_{2k+1}}$ is a UDG for any natural k . Indeed, notice that by removing a vertex from $\overline{K_2 + C_{2k+1}}$ we get a graph which is either $\overline{K_1 + C_{2k+1}}$ or $\overline{K_2 + P_{2k}}$. The latter one is, in turn, an induced subgraph of $\overline{K_1 + C_{2k+5}}$. To show that $\overline{K_1 + C_{2k+1}}$ is a UDG, put $2k + 1$ points p_0, p_1, \dots, p_{2k} equally spaced on the circle of radius r , i.e. in polar coordinates these points can be written as $(r, 0)_p, (r, \frac{2\pi}{2k+1})_p, (r, 2\frac{2\pi}{2k+1})_p, \dots, (r, 2k\frac{2\pi}{2k+1})_p$. We also add one point p_c at the center $(0,0)$. Choose the radius r of the circle such that the distance between p_0 and p_k , and between p_0 and p_{k+1} is greater than 1, and the distances between p_0 and the other points is at most 1. It is easy to see that the UDG represented by these points is $\overline{K_1 + C_{2k+1}}$. See Figure 5b for an example of the representation of $\overline{K_1 + C_7}$.

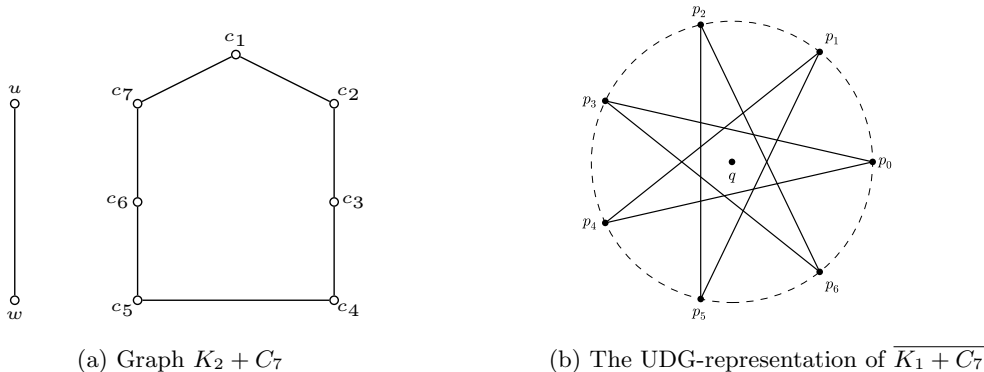


Figure 5

□

Corollary 2. *For every integer $k \geq 1$, $\overline{P_k}$ is UDG.*

Theorem 7. *For every integer $k \geq 4$, $\overline{C_{2k}}$ is a minimal non-UDG.*

Proof. Note that by removing a vertex from $\overline{C_{2k}}$ we get $\overline{P_{2k-1}}$, which is UDG by Corollary 2. Therefore it remains to show that $\overline{C_{2k}}$ is not UDG. For $k \geq 5$ the desired result immediately follows from Lemma 4 and the fact that C_{2k} contains an edge-asteroid triple. To prove the result for $k = 4$, consider $G = (V, E)$ with $V = \{v_1, \dots, v_8\}$ and $E = \{(v_1, v_8)\} \cup \{(v_i, v_j) : |i - j| = 1\}$ (see Figure 6), and let f be a representation of \overline{G} , and let p_v denote $f(v)$, as before. By Lemma 2 the linear interval corresponding to an edge of G , different from $v_8 v_1, v_1 v_2$ and $v_2 v_3$, crosses $[p_{v_1}, p_{v_2}]$. This leads to the conclusion that p_{v_3} and p_{v_8} are on different sides of $L(p_{v_1}, p_{v_2})$. Therefore $[p_{v_1}, p_{v_8}]$ and $[p_{v_2}, p_{v_3}]$ do not cross, which contradicts Lemma 3. □

Theorem 8. *For every integer $k \geq 4$, C_{2k}^* is a minimal non-UDG.*

Proof. For $k \geq 5$ the theorem immediately follows from Lemma 5 and the fact that C_{2k} contains an edge-asteroid triple. Notice that $C_8^* \simeq \overline{C_8}$ (see Figure 6) and hence the conclusion follows from Theorem 7.

We remark that one can also prove that C_8^* is not a unit disk graph by similar means as in Theorem 7 by proving a *-analog of Lemma 3.

To prove the minimality of C_{2k}^* it is sufficient to show that P_s^* is UDG for every natural s . Such a representation could be seen in the Figure 10c with a description in Theorem 15. \square

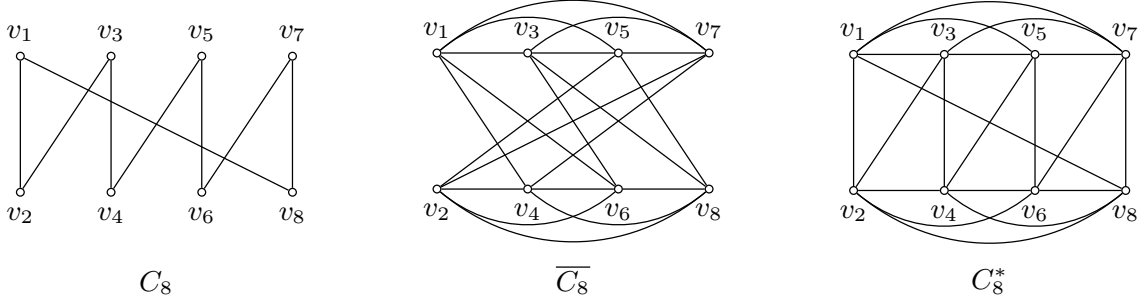


Figure 6: Graphs C_8 , $\overline{C_8}$, and C_8^*

Using Lemmas 4 and 5 one can find more forbidden (not necessarily minimal) induced subgraphs for the class of unit disk graphs. For example, $\overline{S_{3,3,3}}$, $\overline{F_1}$, $\overline{F_2}$, $\overline{F_3}$, $S_{3,3,3}^*$, F_1^* , F_2^* and F_3^* are forbidden, since each of the graphs $S_{3,3,3}$, F_1 , F_2 and F_3 (see Figure 7) contains an edge-asteroid triple. Also, $\overline{F_4}$ and F_4^* are forbidden, as they coincide with F_1^* and $\overline{F_1}$, respectively. The results of the next section imply that all the mentioned forbidden graphs are in fact minimal.

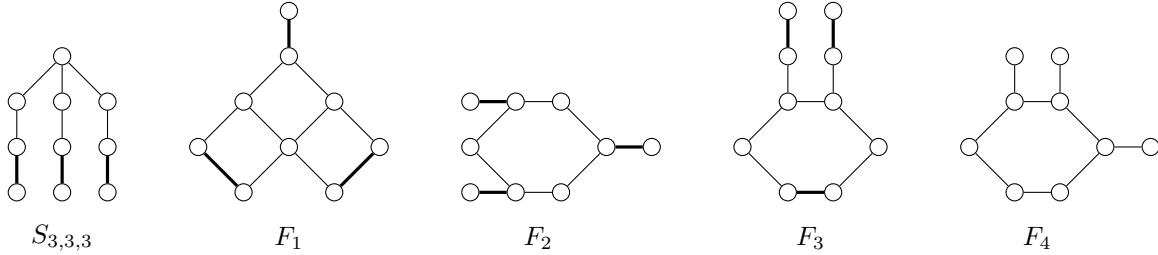


Figure 7: Bipartite graphs $S_{3,3,3}$, F_1 , F_2 and F_3 contain an edge-asteroid triple. Graph F_4 is the bipartite complementation of F_1 .

5 Structure of some subclasses of co-bipartite unit disk graphs

For easier reference, let C_6^{+3c} denote F_4 which reads “cycle on 6 vertices plus 3 consecutive (pendant) vertices”, C_6^{+3nc} denote F_2 which reads “cycle on 6 vertices plus 3 non-consecutive (pendant) vertices” and let C_6^{+2l2} denote F_3 which reads “cycle on 6 vertices plus 2 (consecutive pendant) paths of length 2”. It follows from Lemmas 4 and 5, and the previous section that for every co-bipartite unit disk graph $G = (U, W, E)_c$, both G^* and \overline{G} lie in the class $Free(S_{3,3,3}, C_3, C_5, C_6^{+3c}, C_6^{+3nc}, C_6^{+2l2}, C_7, C_8, \dots)$, i.e. the class of bipartite graphs which do not contain $S_{3,3,3}$, C_6^{+3c} , C_6^{+3nc} , C_6^{+2l2} and C_k for $k \geq 8$ as induced subgraphs. Thus, obtaining the structure of the graphs in this class and showing which of them give rise to co-bipartite UDGs, would give complete characterization of the class of co-bipartite UDGs. As a step to the desired characterization of co-bipartite UDGs we additionally forbid C_4 and get structural characterization of graphs in the resulting class

$$\mathcal{X} = Free(S_{3,3,3}, C_3, C_4, C_5, C_6^{+3c}, C_6^{+3nc}, C_6^{+2l2}, C_7, C_8, \dots).$$

Further, we show that for every graph $G = (U, W, E) \in \mathcal{X}$ both G^* and \overline{G} are UDGs. In other words we obtain both structural and forbidden induced subgraph characterizations for the following two classes of co-bipartite UDGs:

\mathcal{Y} – the class of C_4^* -free co-bipartite UDGs, i.e. co-bipartite UDGs $G = (U, W, E)_c$ such that $G^* = (U, W, E)$ do not contain C_4 ;

\mathcal{Z} – the class of $2K_2$ -free co-bipartite UDGs.

In Section 5.1 we describe the structure of the graphs in the class \mathcal{X} . By the results of the previous section it follows that $\mathcal{Y} \subseteq \mathcal{X}^*$ and $\mathcal{Z} \subseteq \overline{\mathcal{X}}$, where $\mathcal{X}^* = \{G^* : G = (U, W, E) \in \mathcal{X}\}$ and $\overline{\mathcal{X}} = \{\overline{G} : G \in \mathcal{X}\}$. In Section 5.2 we use the structure of graphs in \mathcal{X} to obtain a UDG-representation of every graph in \mathcal{X}^* . This implies that $\mathcal{Y} = \mathcal{X}^*$, and gives both structural and induced forbidden subgraph characterization for the class \mathcal{Y} . In Section 5.3 we show that a UDG-representation of G^* can be transformed to a UDG-representation of \overline{G} , provided that the former representation satisfies certain conditions. Finally, in Section 5.4, we use this transformation to deduce UDG-representation for every graph in $\overline{\mathcal{X}}$, which implies that $\mathcal{Z} = \overline{\mathcal{X}}$. As before this gives both structural and forbidden subgraph characterization for the graphs in \mathcal{Z} .

5.1 Structure of graphs in \mathcal{X}

Notice that the only cycle which is allowed in the class \mathcal{X} is a C_6 , which we call a *hexagon*. It follows that a graph $G \in \mathcal{X}$ which does not contain a hexagon is a forest without $S_{3,3,3}$. It is not hard to convince oneself that every connected component of a $S_{3,3,3}$ -free forest contains a path such that all other vertices are within distance 2 from the vertices of the path. Such graphs consist of caterpillar-like connected components which are known in the literature as *lobsters*. *Gluing vertices* of a lobster are the endpoints of a shortest path whose second neighbourhood dominates the graph. See Figure 9b for an example of lobster with highlighted gluing vertices. Now we turn to the general case, where $G \in \mathcal{X}$ is allowed to contain a hexagon.

Let H be a hexagon. We say that vertices of a set $S \subseteq V(H)$ of hexagon H are *consecutive*, if $H[S]$ is connected. Any two vertices of H which are distance 3 away from each other we call a *diagonal* of H . Two hexagons H_1 and H_2 are disjoint if $S = V(H_1) \cap V(H_2) = \emptyset$, otherwise we say that they share the set S . If $|S| = 2$ and the two vertices in S are adjacent, we say that the hexagons share an edge.

Lemma 9. *If two hexagons H_1 and H_2 of $G \in \mathcal{X}$ are not disjoint then one of the following holds:*

- *They share exactly one vertex.*
- *They share an edge.*
- *They share two vertices that form a diagonal in each of the hexagons.*
- *They share 4 consecutive vertices, i.e. the intersection of two hexagons is a P_4 .*

Further, $E(G[V(H_1) \cup V(H_2)]) = E(G[V(H_1)]) \cup E(G[V(H_2)])$.

Proof. It can be easily checked that in all the other cases a cycle of forbidden length 3, 4, 5, 7 or 8 would arise. \square

For $k \geq 2$ let us define the graph $C_{6,k}$ to be a graph with $V(C_{6,k}) = \{a, b, a_j, b_j : 1 \leq j \leq k\}$ and $E(C_{6,k}) = \{aa_j, a_jb_j, b_jb : 1 \leq j \leq k\}$ (see Figure 8a). In particular, $C_{6,2}$ is isomorphic to C_6 . A connected graph is *2-connected* if there is no vertex whose removal disconnects the graph. A maximal 2-connected subgraph of a graph is called *2-connected component* of this graph.

Lemma 10. *Let $G \in \mathcal{X}$ be a 2-connected graph with no two hexagons sharing an edge. Then the graph G is isomorphic to $C_{6,k}$ for some k .*

Proof. First we will show that there are no two hexagons sharing one vertex. Suppose, for contradiction, there are two hexagons H_1 and H_2 with one vertex in common, say $V(H_1) \cap V(H_2) = \{v\}$ for some $v \in V(G)$. By Lemma 9, apart from the 12 edges forming two cycles of length 6, there are no other edges in $G[V(H_1) \cup V(H_2)]$. Further, one can observe that any vertex $w \in V(G)$ outside the hexagons is adjacent to at most one vertex in $V(H_1) \cup V(H_2)$. Indeed, if it has at least two neighbours in H_1 or at least two neighbours in H_2 then a cycle of length at most 5 arises. Also, if w is adjacent to one vertex in

$H_1 \setminus v$ and to one vertex in $H_2 \setminus v$, then either w creates a cycle of length not equal to 6 or w is adjacent to a neighbour of v in one of H_1 and H_2 , and to the vertex which is diagonally opposite to v in the other hexagon, in which case we have two hexagons sharing an edge, hence again a contradiction. Now, as the graph is 2-connected, there is a path from $V(H_1) \setminus \{v\}$ to $V(H_2) \setminus \{v\}$. We pick a path $p = h_1 v_1 v_2 \dots v_k h_2$ of minimal length, where $h_1 \in V(H_1) \setminus \{v\}$, $h_2 \in V(H_2) \setminus \{v\}$, $v_1, v_2, \dots, v_k \notin V(H_1) \cup V(H_2)$, and $k \geq 2$. Then, v_i has at most one neighbour in $V(H_1) \cup V(H_2)$ with the neighbour of v_1 being h_1 , neighbour of v_k being h_2 , and v_2, v_3, \dots, v_{k-1} can only be adjacent to v by minimality of the path. Also, by minimality, the path p does not have chords, i.e. edges connecting two non-consecutive vertices of p . Now, if v_i is adjacent to v for some i , then either a cycle of length not equal to 6 arises or there are two hexagons sharing the edge vv_i . Otherwise, p together with the shortest path between h_1 and h_2 in $V(H_1) \cup V(H_2)$ either induce a cycle of length more than 6, or one of h_1 or h_2 is a neighbour of v in which case we have two hexagons sharing an edge (vh_1 or vh_2). The contradiction shows that there are no two hexagons sharing a vertex.

Now, as G is 2-connected it contains a cycle of length 6. Let us consider the maximal subgraph G' isomorphic to $C_{6,k}$ containing this cycle. We will show that G coincides with G' . Suppose not, i.e., suppose there is a vertex v in G that is not in G' . As G is 2-connected, there are two vertex disjoint paths from vertex v to a vertex in G' . These two paths form a cycle, from which it is not hard to obtain a chordless cycle that has some vertices in G' and some vertices not in G' . As the only possible chordless cycles in \mathcal{X} are C_6 's, we deduce that there is a hexagon C that is not contained in G' but shares some vertices with some of the hexagons of G' . If C shares 4 consecutive vertices with some hexagon, then it must share at least one vertex with each of the hexagons of G' , which is possible only if $V(C) \cup V(G')$ induces $C_{6,k+1}$ in G . But this contradicts maximality of G' . Otherwise, if C shares a diagonal with some of the hexagons of G' , then it either shares a diagonal with all hexagons or it shares one vertex with some hexagon. The latter case is impossible by the previous paragraph, and the former case proves that $V(C) \cup V(G')$ induces $C_{6,k+2}$ contradicting the maximality of G' . Thus, we deduce that G is isomorphic to $C_{6,k}$. \square

We say that an edge xy of a graph G is a *cut-edge* if $G \setminus \{x, y\}$ has more connected components than G .

Lemma 11. *If $G \in \mathcal{X}$ has two hexagons H_1 and H_2 sharing an edge, then the edge is a cut-edge.*

Proof. Let two hexagons share an edge, i.e., $V(H_1) \cap V(H_2) = \{v_1, v_2\}$ with $v_1 v_2 \in E(G)$ and we know by Lemma 9 that $E(G[V(H_1) \cup V(H_2)]) = E(G[V(H_1)]) \cup E(G[V(H_2)])$. Notice that each vertex in $V(G) \setminus (V(H_1) \cup V(H_2))$ has at most 1 neighbour in $V(H_1) \cup V(H_2)$. Indeed, if a vertex has two neighbours in one of the hexagons, then a cycle of length less than 6 arises. If a vertex is adjacent to a vertex h_1 in $H_1 \setminus \{v_1, v_2\}$ and a vertex h_2 in $H_2 \setminus \{v_1, v_2\}$, then the longer path from h_1 to h_2 in $G[V(H_1) \cup V(H_2)] \setminus \{v_1\}$ or in $G[V(H_1) \cup V(H_2)] \setminus \{v_2\}$ together with v would make a chordless cycle of length more than 6.

Now suppose to the contrary that $G \setminus \{v_1, v_2\}$ is connected. Then, there is a path between $V(H_1) \setminus \{v_1, v_2\}$ and $V(H_2) \setminus \{v_1, v_2\}$. Let $p = h_1 w_1 w_2 \dots w_k h_2$ be such a path of minimal length, where $h_1 \in V(H_1) \setminus \{v_1, v_2\}$ and $h_2 \in V(H_2) \setminus \{v_1, v_2\}$. The above discussion implies that $k \geq 2$. Moreover, by minimality of p , none of the vertices w_1, \dots, w_k belongs to $V(H_1) \cup V(H_2)$; for $i = 2, \dots, k-1$, if w_i has a neighbour in the hexagons, then this neighbour is either v_1 or v_2 ; and the path p does not have chords. Now, let us denote the vertices of H_1 by v_1, v_2, \dots, v_6 and vertices of H_2 by $v_1, v_2, v'_3, v'_4, v'_5, v'_6$ with the edges $\{v_1 v_2, v_2 v_3, v_3 v_4, v_4 v_5, v_5 v_6, v_6 v_1, v_2 v'_3, v'_3 v'_4, v'_4 v'_5, v'_5 v'_6, v'_6 v_1\}$. Then, note that $h_1 \notin \{v_3, v_6\}$ as otherwise $V(H_1) \cup \{w_1, v'_3, v'_6\}$ induce a C_6^{+3c} . Similarly, $h_2 \notin \{v'_3, v'_6\}$. So without loss of generality we can assume $h_1 = v_4$ and $h_2 \in \{v'_4, v'_5\}$. Then the paths connecting h_1 and h_2 in $G[V(H_1) \cup V(H_2)] \setminus \{v_1\}$ and in $G[V(H_1) \cup V(H_2)] \setminus \{v_2\}$ both have at least 5 vertices. Each of these paths together with the path p form a cycle of length more than 6, and hence each of the cycles has a chord. Let $v_1 w_i$ be a chord in one of the cycles, and $v_2 w_j$ be a chord in the other cycle, such that i and j are smallest possible. Then both $v_1 v_6 v_5 v_4 w_1 w_2 \dots w_i$ and $v_2 v_3 v_4 w_1 w_2 \dots w_j$ are chordless cycles. Since every chordless cycle in G is a hexagon, we conclude that $i = 2$ and $j = 3$. But then $v_1 v_2 w_2 w_3$ induce a C_4 . This contradiction finishes the proof. \square

Let $n \in \mathbb{N}$, and $k_i \in \mathbb{N}, k_i \geq 2$ for every $i = 1, \dots, n$, and $d_1, \dots, d_{n-1} \in \{1, -1\}$. Let C_{6,k_i}^i be a graph isomorphic to C_{6,k_i} with $V(C_{6,k_i}^i) = \{a^i, b^i, a_j^i, b_j^i : 1 \leq j \leq k_i\}$ and $E(C_{6,k_i}^i) = \{a^i a_j^i, a_j^i b_j^i, b_j^i b^i : 1 \leq$

$j \leq k_i\}$. A *hexagonal strip* $H(k_1, d_1, k_2, d_2, \dots, k_{n-1}, d_{n-1}, k_n)$ is the graph obtained by gluing together $C_{6,k_1}^1, \dots, C_{6,k_n}^n$ in such a way that the edge $b_2^i b^i$ is glued to $a^{i+1} a_1^{i+1}$ and the direction is described by d_i :

- if $d_i = 1$, then b_2^i is identified with a^{i+1} and b^i is identified with a_1^{i+1} ;
- if $d_i = -1$, then b_2^i is identified with a_1^{i+1} and b^i is identified with a^{i+1} .

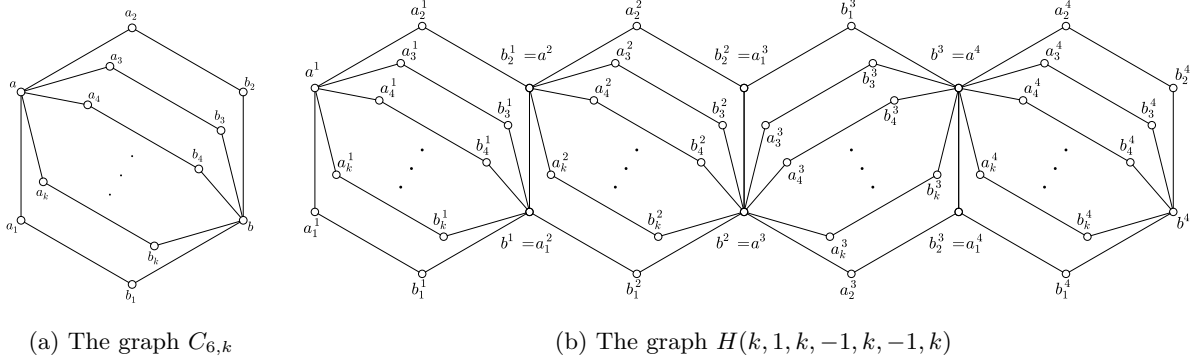


Figure 8: The graphs $C_{6,k}$ and $H(k, 1, k, -1, k, -1, k)$

Lemma 12. *Let G be a 2-connected graph in \mathcal{X} . Then G is isomorphic to $H(k_1, d_1, \dots, k_{n-1}, d_{n-1}, k_n)$, for some $n \in \mathbb{N}$, and $k_i \in \mathbb{N}, k_i \geq 2, d_i \in \{1, -1\}, i = 1, \dots, n$.*

Proof. If two hexagons intersect at an edge then we call such an edge *shared*. We prove the statement by induction on the number of shared edges. If there are no shared edges, then the conclusion follows from Lemma 10. So suppose there is a shared edge $v_1 v_2$. By the Lemma 11 we know that such an edge is a cut-edge. Let C'_1, C'_2, \dots, C'_k be the components of $G \setminus \{v_1, v_2\}$, and let $C_i = G[V(C'_i) \cup \{v_1, v_2\}]$. It is easy to see that each of C_1, C_2, \dots, C_k is 2-connected and has fewer shared edges than G . Hence, by induction, each of these graphs is a hexagonal strip. Further, we conclude that $k = 2$ and C_1 and C_2 are properly glued along $v_1 v_2$ to form a hexagonal strip, because otherwise an induced copy of forbidden C_6^{+2l2} would arise. \square

Lemma 13. *Let G be a graph in \mathcal{X} and H be a 2-connected component of G . Then H is isomorphic to some hexagonal strip $H(k_1, d_1, k_2, d_2, \dots, k_{n-1}, d_{n-1}, k_n)$ and vertices a^i, b^i, a_1^i, b_2^i can only be additionally adjacent to some pendant vertices of G , with exception of at most one vertex in each of the sets $\{a^1, a_1^1\}$ and $\{b^n, b_2^n\}$. Such exceptional vertices can be adjacent to some non-pendant vertices of G . Further, all the other vertices of H do not have any more neighbours in $G \setminus V(H)$.*

Proof. The structure of H follows from Lemma 12, so we only need to argue about the adjacencies between the vertices in $V(G) \setminus V(H)$ and the vertices in $V(H)$. Consider a connected component C of $G \setminus V(H)$. As H is a maximal 2-connected subgraph, the vertices of C can only be adjacent to at most one vertex of H . If C has some vertices adjacent to $v \in V(H)$, we will refer to C as a *v-component*. Since G is C_3 -free, we have that every *v-component* of size at least 2, must have two vertices u, w such that $uw, vw \in E(G)$, u is non-adjacent to any vertex of H , and w is non-adjacent to any vertex of H other than v .

Let us first consider a *v-component* for $v \in \{a^i, b^i, a_1^i, b_2^i : 1 \leq i \leq n\} \setminus \{a^1, a_1^1, b^n, b_2^n\}$. Suppose the component has size at least 2, hence, by the above argument, the *v-component* has two vertices $u, w \in V(G) \setminus V(H)$ such that $uw, vw \in E(G)$. But then u, w and the two hexagons of H , which share an edge containing v , form a subgraph containing an induced C_6^{+2l2} . We conclude that any $v \in \{a^i, b^i, a_1^i, b_2^i : 1 \leq i \leq n\} \setminus \{a^1, a_1^1, b^n, b_2^n\}$ is adjacent to pendant vertices of G only.

Now, consider a vertex b_k^i for any $i \neq n$ and $k \neq 2$. Suppose to the contrary, that there is a vertex $w \in V(G) \setminus V(H)$ which is adjacent to b_k^i . Then, w, b_k^i, a_2^i, a^i together with $a^{i+1}, a_1^{i+1}, a_2^{i+1}, b^{i+1}, b_1^{i+1}, b_2^{i+1}$

induce a C_6^{+2l2} . Hence, vertices b_k^i for any $i \neq n$ and $k \neq 2$, have no neighbours outside H . Similarly, one can deduce that a_k^i has no neighbours for any $i \neq 1$, $k \neq 1$.

We are left to argue about adjacencies of the vertices a^1, a_i^1 and b^n, b_i^n . Consider the case when $n > 1$. Notice that if a_i^1 and a_j^1 each have a neighbour outside H , for some $i \neq j$, then taking the two neighbours together with hexagon $G[a^1, a_i^1, a_j^1, b_i^1, b_j^1, b_1^1]$, and together with a neighbour of b^1 either b_1^2 or a_2^2 (depending on whether a_1^2 or a_2 gets identified with b^1 , respectively), we get an induced C_6^{+3nc} . This contradiction proves that only one of a_i^1 may have a neighbour outside H . Moreover, a_2^1 does not have a neighbour outside H , as otherwise an induced C_6^{+3c} would arise. Therefore, without loss of generality we can assume that if a_i^1 has a neighbour outside H , then $i = 1$. It is clear that if there is an a^1 -component and an a_1^1 -component which both have sizes at least 2, then we have an induced C_6^{+2l2} . The analogous arguments holds for b^n, b_i^n . This finishes the proof for $n > 1$. The case $n = 1$ can be shown to hold by similar analysis. □

Let G be a graph consisting of a hexagonal strip $H(k_1, d_1, k_2, d_2, \dots, k_{n-1}, d_{n-1}, k_n)$ together with some pendant vertices attached to a^i, b^i, a_1^i, b_2^i and with some radius 2 trees attached to a vertex $a \in \{a^1, a_1^1\}$ and a vertex $b \in \{b^n, b_2^n\}$. Then we call G a *hexagonal caterpillar with gluing vertices* a and b . Further let H_1, H_2, \dots, H_k be a set of vertex disjoint hexagonal caterpillars or lobsters, with a_i and b_i being gluing vertices of H_i , for $i = 1, \dots, k$. Then the *generalized hexagonal caterpillar* $(H_1, b_1, a_2, H_2, b_2, a_3, H_3, \dots, b_{k-1}, a_k, H_k)$ is the graph obtained from H_1, H_2, \dots, H_k by identifying pairs of vertices b_i and a_{i+1} for every $i = 1, \dots, k - 1$.

This description gives us a universal structure for the graphs in \mathcal{X} . One can deduce this by noting that any graph in \mathcal{X} should consist of 2-connected components provided by Lemma 13 and lobsters glued together, and that the generalized hexagonal caterpillars described above are the most general graphs we can obtain with this gluing without forming $S_{3,3,3}$. We state this as the main result of this section.

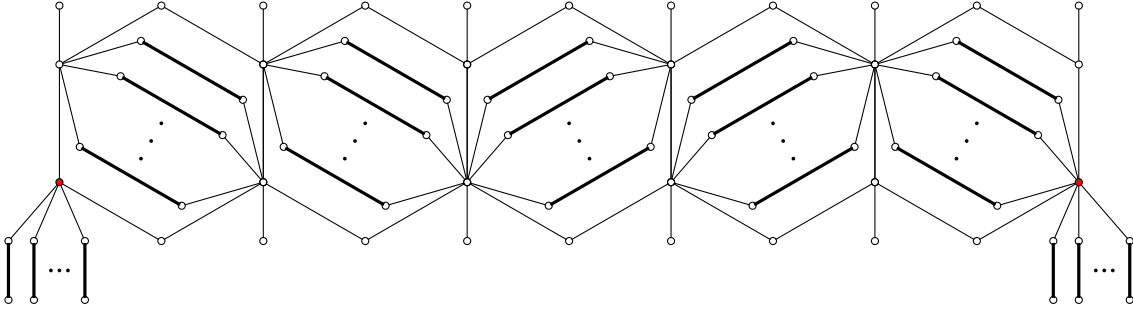
Theorem 14. *Generalized hexagonal caterpillars are universal graphs for the class \mathcal{X} , that is, each such graph belongs to \mathcal{X} and every graph $G \in \mathcal{X}$ is an induced subgraph of some generalized hexagonal caterpillar.*

In further sections we will use the structural characterization of graphs in \mathcal{X} to show that for every $G \in \mathcal{X}$ both G^* and \overline{G} are UDGs. First, by Theorem 14 it is enough to prove the result only for generalized hexagonal caterpillars. Further, without loss of generality we can restrict our consideration to those graphs in \mathcal{X} in which no vertex is adjacent to more than one pendant vertex. Indeed, assume a graph $G \in \mathcal{X}$ has a vertex with two pendant neighbours a and b . Then a and b belong to the same part in G , and therefore to the same part in both G^* and \overline{G} , in particular a and b are adjacent in these graphs. Moreover, in each of the graphs $N(a) \setminus \{b\} = N(b) \setminus \{a\}$. This implies that if we have a UDG-representation f for $H \setminus \{b\}$, where H is one of G^* and \overline{G} , then an extension f' of f to $V(H)$ with $f'(b) = f(a)$ is the UDG-representation for H . Therefore, from now on when we refer to a graph in \mathcal{X} we mean a generalized hexagonal caterpillar which is constructed from hexagonal caterpillars or lobsters whose vertices have at most one pendant neighbour (see Figure 9).

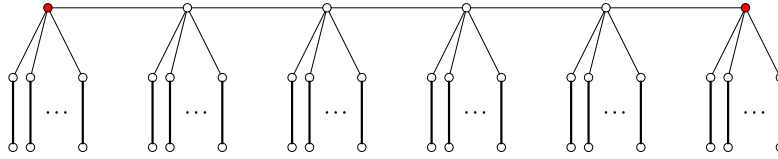
5.2 C_4^* -free co-bipartite unit disk graphs

In this section we show that for a graph $G \in \mathcal{X}$ the graph G^* is UDG. We do this in two steps. First, we represent basic graphs in \mathcal{X}^* and then show how representation of a general graph in \mathcal{X}^* can be obtained from a representation of a basic graph. To explain this formally we introduce some definitions.

Let G be a bipartite or co-bipartite graph with parts U and W , and let uw be an edge of G with $u \in U$ and $w \in W$. An edge $u'w'$ of G with $u' \in U$ and $w' \in W$ is a *twin* of uw if $N_W(u) \Delta N_W(u') = \{w, w'\}$ and $N_U(w) \Delta N_U(w') = \{u, u'\}$, where $P \Delta Q$ is the symmetric difference of sets P and Q . In this case we also say that the vertex u' is a *twin* of the vertex u and the vertex w' is a twin of the vertex w . Notice that the relation of being twins is symmetric and transitive. The graph G is *basic* if it does not contain twin edges. The operation of *duplication* of the edge uw is to add one or more new edges to G each of which is a twin of uw . Note that uw and $u'w'$ are twins in G if and only if they are twins in G^* . Each of the thick edges in Figures 9a and 9b is called *parallel edge* of hexagonal caterpillar or lobster, respectively. Let H



(a) Hexagonal caterpillar with gluing vertices (filled vertices)



(b) Lobsters with gluing vertices (filled vertices)

Figure 9

be a generalized hexagonal caterpillar obtained from H_1, \dots, H_k , then an edge of H is called *parallel*, if it is a parallel edge of one of the graphs H_1, \dots, H_k . Similarly, an edge of H^* is parallel, if it is parallel edge in H . It follows from the results of Section 5.1 that a generalized hexagonal caterpillar is either basic or can be obtained from a basic one by duplicating some of its parallel edges. In Section 5.2.1 we show how to represent graphs in \mathcal{X}^* corresponding to basic generalized hexagonal caterpillars, and in Section 5.2.2 we extend this representation to the case of arbitrary generalized hexagonal caterpillars.

5.2.1 Representation of basic graphs

Theorem 15. *Let G be a basic lobster in \mathcal{X} . Then G^* is UDG.*

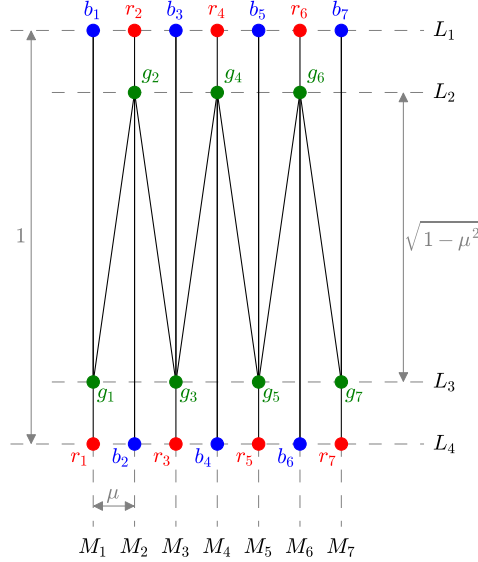
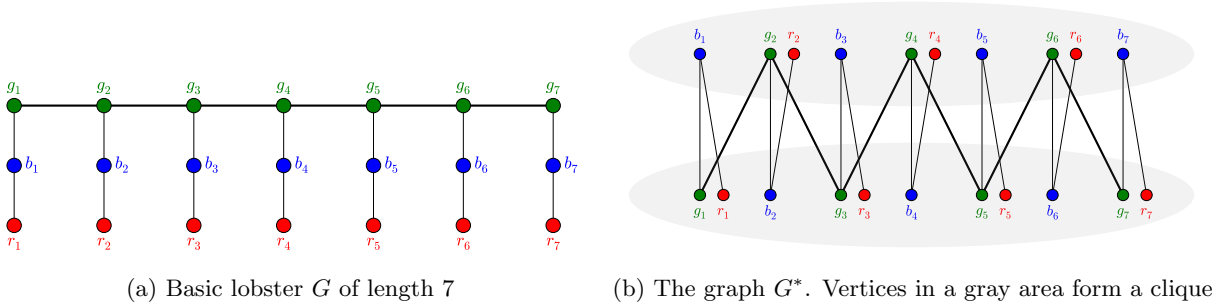
Proof. We will show how to obtain UDG-representation f of G^* for the lobster G with the vertex set $V(G) = \{g_i, b_i, r_i : 1 \leq i \leq n\}$ and edge set $E(G) = \{g_i g_{i+1} : 1 \leq i \leq n-1\} \cup \{g_i b_i, b_i r_i : 1 \leq i \leq n\}$. We will refer to the vertices $\mathcal{G} = \{g_i : 1 \leq i \leq n\}$, $\mathcal{B} = \{b_i : 1 \leq i \leq n\}$ and $\mathcal{R} = \{r_i : 1 \leq i \leq n\}$ and to their images in the plane as to green, blue and red, respectively (see Figure 10, for the visualization of the proof). Let us denote the parts of bipartition of G by $C_1 = \{b_i, g_j, r_k : 1 \leq i, j, k \leq n, i - \text{odd}, j, k - \text{even}\}$ and $C_2 = \{b_i, g_j, r_k : 1 \leq i, j, k \leq n, i - \text{even}, j, k - \text{odd}\}$. Finally, denote by $\mathcal{G}_1, \mathcal{B}_1, \mathcal{R}_1$ and $\mathcal{G}_2, \mathcal{B}_2, \mathcal{R}_2$, the green, blue and red vertices belonging to parts C_1 and C_2 , respectively.

To put the points on the plane, we first fix some $\mu \in (0, \frac{1}{n})$ and draw parallel lines L_1, L_2, L_3, L_4 such that L_2 and L_3 are between L_1 and L_4 and $\delta(L_1, L_4) = 1$, $\delta(L_2, L_3) = \sqrt{1 - \mu^2}$, $\delta(L_1, L_2) = \delta(L_3, L_4) = (1 - \sqrt{1 - \mu^2})/2$. Then we draw k lines $\{M_i : 1 \leq i \leq n\}$ perpendicular to line L_1 and evenly spaced with distance μ between consecutive ones, i.e. $\delta(M_1, M_i) = (i-1)\mu$ for all $1 \leq i \leq n$ and all M_i 's are on one side of M_1 . The intersections between M_i 's and L_j 's define the points of our UDG-representation of G^* as follows:

- if i is odd, then $f(b_i) = M_i \cap L_1$, $f(r_i) = M_i \cap L_4$, $f(g_i) = M_i \cap L_3$;
- if i is even, then $f(b_i) = M_i \cap L_4$, $f(r_i) = M_i \cap L_1$, $f(g_i) = M_i \cap L_2$.

It is not hard to see that $f(C_1) \subseteq L_1 \cup L_2$ and $f(C_2) \subseteq L_3 \cup L_4$. The diameter of $f(C_1)$ is bounded by $\sqrt{\delta(L_1, L_2)^2 + \delta(M_1, M_n)^2}$. As $\delta(L_1, L_2) = \frac{1 - \sqrt{1 - \mu^2}}{2} \leq \frac{1 - (1 - \mu^2)}{2} = \frac{\mu^2}{2}$ and $\delta(M_1, M_n) = (n-1)\mu$, we

deduce that the diameter of $f(C_1)$ is at most $n\mu$. By symmetry, the diameter of $f(C_2)$ is also bounded by $n\mu$. Thus, as $\mu \leq 1/n$, the diameter of each of $f(C_1)$ and $f(C_2)$ is at most 1, which correspond to cliques C_1 and C_2 in G^* . It remains to show that $u \in C_1$ and $v \in C_2$ are adjacent in G^* if and only if the distance between the corresponding points $f(u)$ and $f(v)$ is at most 1. Since this is mostly technical task, we moved the confirming calculations to Appendix A. \square



(c) The UDG-representation of G^*

Figure 10

Theorem 16. *Let G be a basic graph in \mathcal{X} . Then G^* is UDG.*

Proof. We will abuse the notation and instead of denoting the image of a vertex v by $f(v)$, we will refer to it simply by v . Thus, when talking about adjacencies, v will be considered as a vertex of the graph G^* , and when talking about distances, or some other geometric properties, v will mean the point $f(v)$. We denote $n = |V(G)|$ and we fix a positive parameter $\epsilon < \min\{\frac{1}{15n}, \frac{1}{128}\}$.

Single hexagon. We start by representing graph G^* when G is isomorphic to $C_{6,3}$ (see Figure 11a). Let $V(G) = \{g_1, g_2, g_3, b_1, b_2, b_3, r_1, r_2\}$ and $E(G) = \{g_1g_2, g_2g_3, g_3b_3, b_3b_2, b_2b_1, b_1g_1, b_1r_1, r_1r_2, r_2g_3\}$. To construct a UDG-representation f of G^* , first, we place 6 points $\{x_{12}, b_2, x_{23}, y_{12}, g_2, y_{23}\}$ in the plane forming two rectangles as follows (see Figure 11b):

- $\{x_{12}, b_2, y_{23}, g_2\}$ forms a $1 \times \epsilon$ rectangle, where $\delta(x_{12}, g_2) = \delta(b_2, y_{23}) = 1$, $\delta(x_{12}, b_2) = \delta(g_2, y_{23}) = \epsilon$ and $[x_{12}, g_2]$ is perpendicular to $[g_2, y_{23}]$.

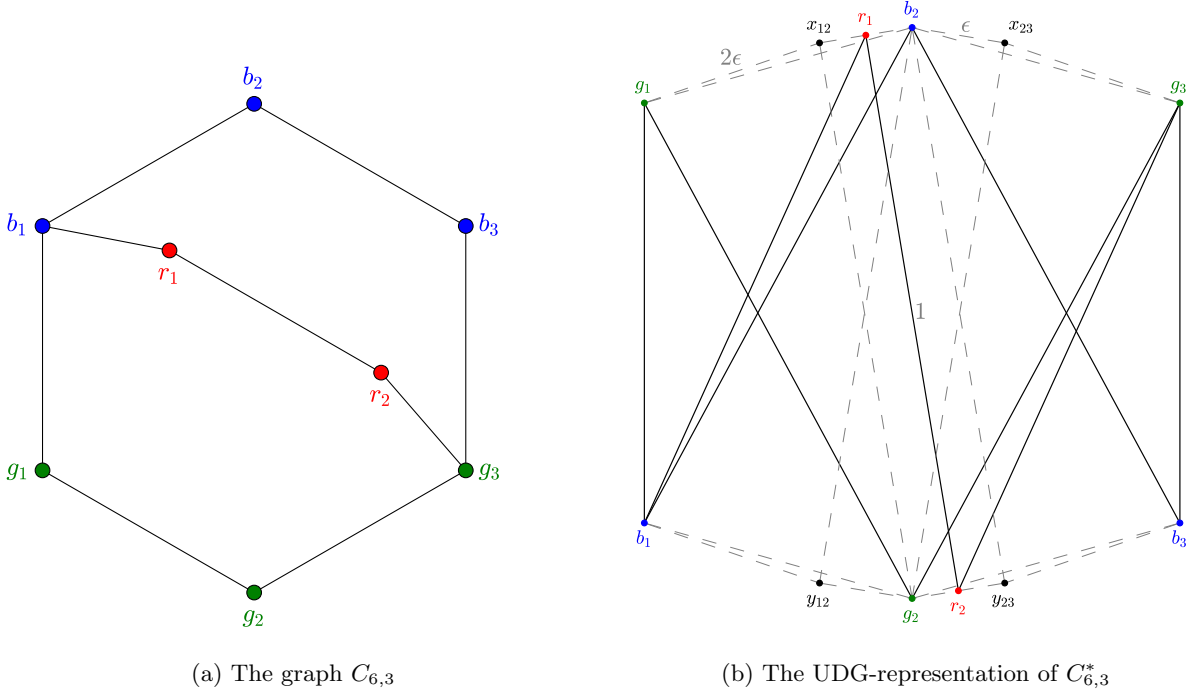


Figure 11

- $\{b_2, x_{23}, g_2, y_{12}\}$ forms a $1 \times \epsilon$ rectangle, where $\delta(b_2, y_{12}) = \delta(x_{23}, g_2) = 1$, $\delta(b_2, x_{23}) = \delta(y_{12}, g_2) = \epsilon$ and $[b_2, x_{23}]$ is perpendicular to $[x_{23}, g_2]$, and $x_{23} \neq x_{12}$.

Further, we place points $\{g_1, g_3, b_1, b_3\}$ as shown in Figure 11b such that:

- $\delta(g_1, g_2) = \delta(g_2, g_3) = \delta(b_1, b_2) = \delta(b_2, b_3) = 1$.
- $\delta(g_1, x_{12}) = \delta(g_3, x_{23}) = \delta(b_1, y_{12}) = \delta(b_3, y_{23}) = 2\epsilon$.

Finally, we place the points $\{r_1, r_2\}$ as follows:

- r_1 in the middle of the segment $[x_{12}, b_2]$, r_2 in the middle of the segment $[g_2, y_{23}]$.

We argue that this is indeed a UDG-representation of G^* . First of all, observe that the two parts of bipartition of G are $C_1 = \{g_1, g_3, b_2, r_1\}$ and $C_2 = \{b_1, b_3, g_2, r_2\}$. By triangle inequalities, one may obtain that the distances between the points in $f(C_1)$ (resp. $f(C_2)$) are at most $6\epsilon < 1$. Hence we only need to deal with distances between $f(C_1)$ and $f(C_2)$. Note that $\{b_2, r_1, g_2, r_2\}$ belongs to the rectangle $\text{Conv}(x_{12}, b_2, y_{23}, g_2)$ and then it is easy to see that $\delta(r_1, r_2) = 1$ and the other distances $\delta(r_1, g_2), \delta(r_2, b_2), \delta(b_2, g_2)$ between these points are at least $\sqrt{1 + (\epsilon/2)^2} \geq 1 + \epsilon^2/16$. The rest of the pairs of vertices in the different parts C_1 and C_2 include a ‘‘corner’’ vertex – g_1, g_3, b_1 or b_3 , and by symmetry, it is enough to show

Claim 1. $\delta(g_1, y) \leq 1$ for all $y \in [b_1, y_{12}] \cup [y_{12}, g_2]$; and

Claim 2. $\delta(g_1, y) > 1$ for all $y \in (g_2, y_{23}] \cup [y_{23}, b_3]$.

One can see that Claim 1 holds, by extending the segment $[g_1, b_1]$ to $[g_1, b]$ such that $\triangle g_1 b g_2$ is a right-angled triangle with diagonal $[g_1, g_2]$ of length 1, and $[g_1, b]$ being perpendicular to $[b, g_2]$. Then, noticing that y_{12} and b_1 lie inside this triangle, we conclude, that all the points of $[b_1, y_{12}] \cup [y_{12}, g_2]$ lie inside this triangle and have distances at most 1 to any vertex of the triangle (in particular to g_1). We note that

one can be more precise and by estimating the projections calculate that the distance between g_1 and y_{12} is at most $\sqrt{1 - (\epsilon/2)^2} \leq 1 - \epsilon^2/8$ and the distance between g_1 and the midpoint of $[y_{12}, g_2]$ is at most $\sqrt{1 - (\epsilon/4)^2} \leq 1 - \epsilon^2/32$. Also, one can calculate that the distance between g_1 and b_1 is between $1 - 10\epsilon^2$ and $1 - 9\epsilon^2$ (this estimate holds for $\epsilon < 1/5$).

Regarding Claim 2, one should first observe that $\text{Conv}(g_1, g_2, b_2, x_{12})$ is a quadrilateral, i.e. x_{12} indeed lies above the line g_1b_2 in the Figure 11 (and by symmetry y_{23} lies below g_2b_3). This follows from the fact that $\triangle g_1x_{12}g_2$ is an isosceles triangle with $\angle g_2g_1x_{12} = \angle g_1x_{12}g_2 = \alpha < 90$. Thus $\angle g_1x_{12}b_2 = \alpha + 90 < 180$. From this we deduce that $\angle b_2g_1g_2 < \alpha < 90$ and hence $\angle g_1g_2b_3 > 90$. The latter inequality implies that any point on $(g_2, b_3]$ has distance greater than $\delta(g_1, g_2) = 1$ and hence we are done. Indeed, it is not hard to evaluate using the Pythagorean theorem, that $\delta(g_1, y_{23}) \geq \sqrt{1 + \epsilon^2} \geq 1 + \epsilon^2/4$ and $\delta(g_1, r_2) \geq \sqrt{1 + (\epsilon/2)^2} \geq 1 + \epsilon^2/16$.

Two hexagons sharing an edge. Now we proceed to showing how to represent G^* , where G consists of two $C_{6,3}$ sharing an edge, and two additional pending vertices a_3 and h_3 (see Figure 12a). The corresponding representation is illustrated in Figure 12b. Points a_3, h_3 are placed in such a way that:

- $a_3, h_3 \in L(b_3, g_3)$ and $\delta(a_3, b_3) = 1$, $\delta(g_3, h_3) = 1$, as shown in the picture.

It is easy to see that distance from a_3 to every point in the opposite part except b_3 is larger than 1, or indeed larger than $\sqrt{1 + (2\epsilon)^2}$. By symmetry, the same holds for points h_3 and g_3 . The distances involving points g_3 and b_3 are as needed for UDG-representation, because these points belong to both hexagons. For the rest distances, it is enough to show the following

Claim 3. For any $x \in [x_{34}, b_4] \cup [b_4, x_{45}] \cup [x_{45}, g_5]$ and any $y \in [y_{23}, g_2] \cup [g_2, y_{12}] \cup [y_{12}, b_1]$, $\delta(x, y) > 1$.

For any $y \in [b_1, y_{12}] \cup [y_{12}, g_2]$ and any $x \in [g_3, x_{34}] \cup [x_{34}, b_4] \cup [b_4, x_{45}] \cup [x_{45}, y_5]$ we have that $\delta(x, y) \geq \delta(g_3, y)$ and $\delta(g_3, y) > 1$ follows by Claim 2. Thus, to prove Claim 3, we can restrict ourselves to $y \in [g_2, y_{23}]$. By symmetry, we can also restrict to $x \in [x_{34}, b_4]$, for which it is enough to prove that $\delta(y_{23}, x_{34}) > 1$. One can easily convince oneself that $\delta(x_{12}, x_{23}) < \delta(x_{23}, x_{34})$, hence $\delta(y_{23}, x_{34}) = \sqrt{\delta(y_{23}, x_{23})^2 + \delta(x_{23}, x_{34})^2} > \sqrt{\delta(y_{23}, x_{23})^2 + \delta(x_{12}, x_{23})^2} = \delta(x_{12}, y_{23}) = \sqrt{1 + \epsilon^2}$. Therefore Claim 3 holds and we are done with joining two hexagons by an edge. Moreover, it is not hard to see that the arguments in Claims 1-3 extend to any collection of edge-adjacent hexagons, i.e. to a basic hexagonal caterpillar with attached pendant vertices.

Two hexagons sharing a vertex. Now we will show how to represent G^* , where G consists of two $C_{6,3}$ sharing a vertex (see Figure 13a). The representation is obtained from the above representation for two hexagons sharing an edge, but we replace the vertex g_3 by two vertices g'_3 and g''_3 (see Figure 13b) such that:

- g'_3 is the midpoint of $[g_3, x_{23}]$ and g''_3 is the midpoint of $[g_3, x_{34}]$.

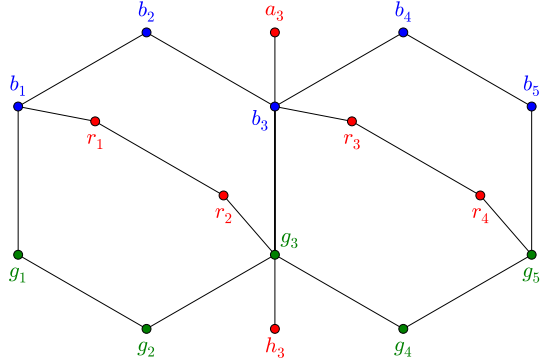
To prove that the points g'_3 and g''_3 have proper distances in the two adjacent hexagons, and in a chain of hexagons sharing a vertex or an edge, we will show the following

Claim 4. Denote the midpoints of $[b_1, y_{12}]$, $[y_{12}, g_2]$, $[y_{34}, g_4]$, $[y_{45}, b_5]$ by b'_1, R_2, R_4, b'_5 , respectively. Then, for $x \in \{b_1, b'_1, R_2, R_4, g_4, r_4, b'_5, b_5\}$ we have $\delta(g'_3, x) > 1$ and for $x \in \{g_2, r_2, b_3\}$ we have $\delta(g'_3, x) < 1$.

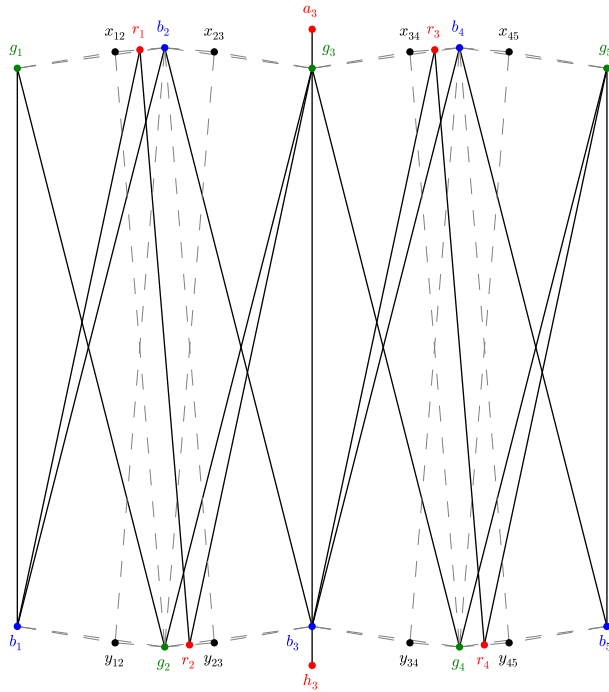
The proof of Claim 4 is given in Appendix B.1. We notice that the proof for distances from g'_3 to R_2, R_4, b'_1 and b'_5 , ensures that g'_3 has correct adjacencies with respect to all possible choices of direction of diagonals in hexagons and with possibility of having further hexagons adjacent at a single vertex g_1 or g_5 . Finally, we place the points $\{h'_3, h''_3, a_3, a_4\}$ in the plane as follows:

- a_3 is the midpoint of $[g'_3, g''_3]$ and h'_3, a_4, h''_3 are distance 1 below the points g'_3, a_3 and g''_3 , respectively.

It is clear that each of h'_3, a_4, h''_3 is distance more than 1 away from all the vertices in the upper part except g'_3, a_3, g''_3 , respectively. Hence we only need to verify the distances involving a_3 . Observe that for



(a) Two hexagons joined along an edge

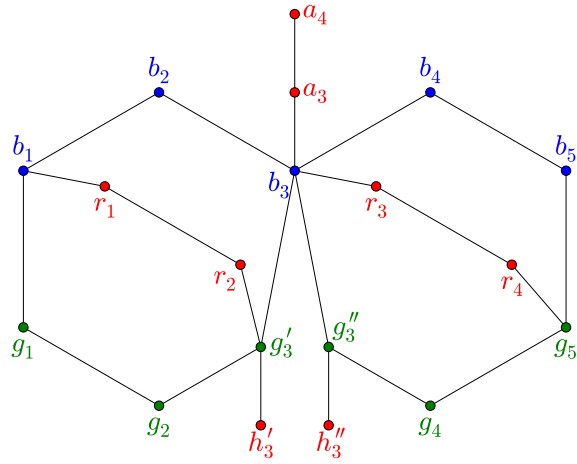


(b) The UDG-representation of two hexagons joined along an edge

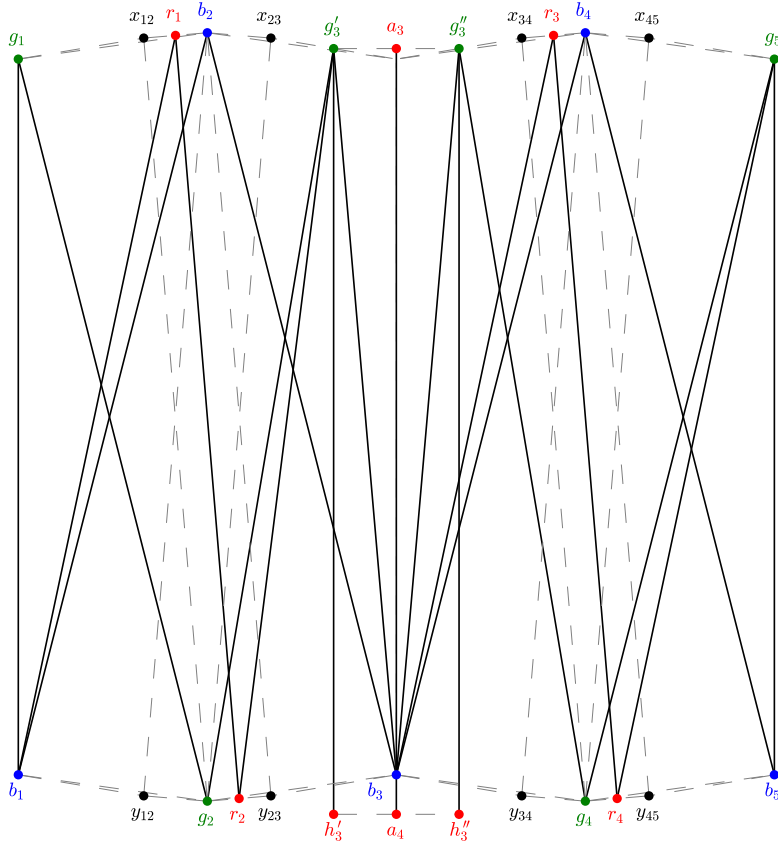
Figure 12

all $y \in [b_1, y_{12}] \cup [y_{12}, g_2]$ we have $\delta(a_3, y) > \delta(g_3, y) \geq 1$. Also $\delta(a_3, b_3) < \delta(g'_3, b_3) < 1$. Hence, it is enough to show that $\delta(a_3, r_2) > 1$. The proof of the latter fact can be found in Appendix B.2.

Connecting a chain of hexagons with a lobster. To finish the proof, we will show how a chain of hexagons can be attached to a lobster. An example is pictured in Figure 14. To attach a hexagon to a lobster at vertex g_7 , we use the representation of the hexagon obtained for joining hexagons at one vertex, i.e., we use point b'_7 with an attached pending vertex and point g_7 with a leg of size 2 attached exactly as in the construction of a hexagon joined to another hexagon at a vertex. This ensures that the first leg of a lobster is attached correctly. Then we use the construction of the lobster obtained in Theorem 15. In Theorem 15, the lobster was uniquely determined by a parameter μ . The distance between two inner



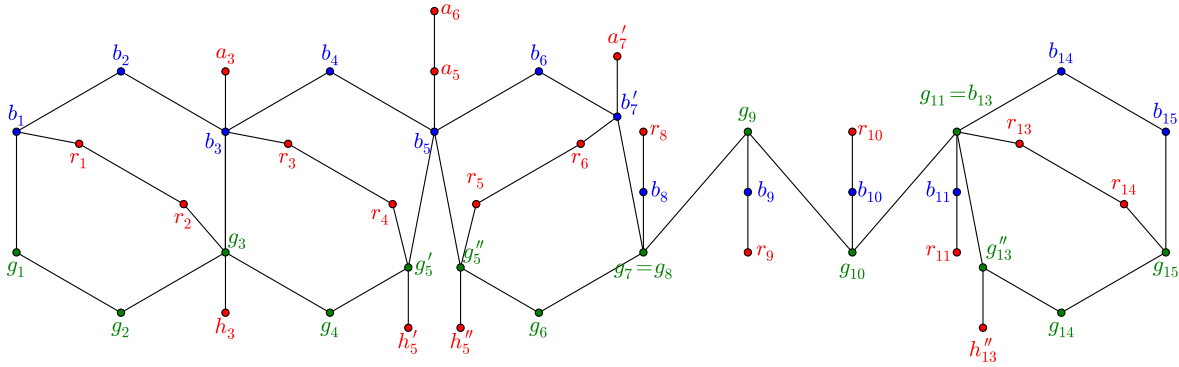
(a) Two hexagons sharing a vertex



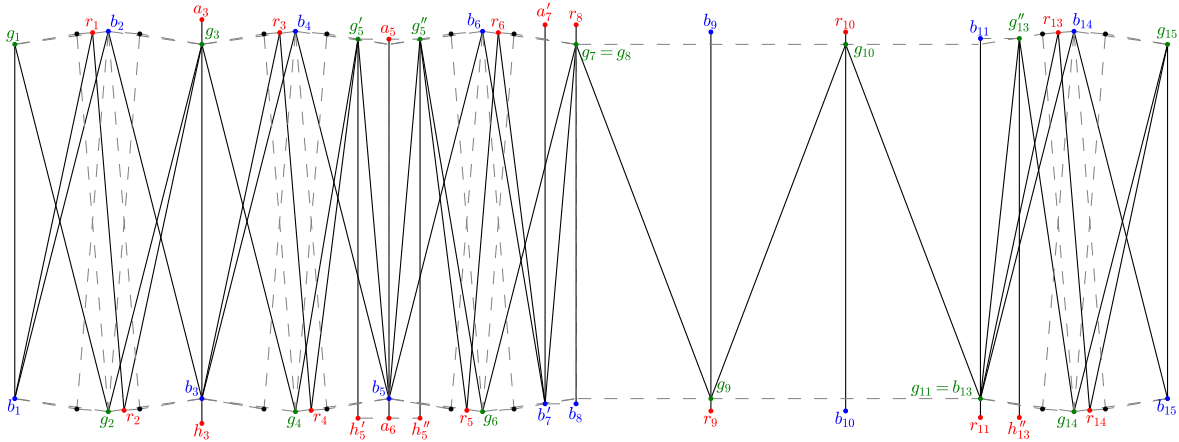
(b) The UDG-representation of two hexagons sharing a vertex

Figure 13

lines L_2 and L_3 was $\sqrt{1 - \mu^2}$. Here, we choose μ to be such that this distance is equal to $\delta(g_1, b_1)$. For the record, as we noted before, $1 - 10\epsilon^2 \leq \delta(g_1, b_1) \leq 1 - 9\epsilon^2$ implies that $(1 - 10\epsilon^2)^2 \leq 1 - \mu^2 \leq (1 - 9\epsilon^2)^2$. Expanding, one can estimate that $1 - 25\epsilon^2 \leq (1 - 10\epsilon^2)^2$ and for $\epsilon < 1/9$, we can obtain the estimate $(1 - 9\epsilon^2)^2 \leq 1 - 16\epsilon^2$. Hence it follows that $4\epsilon \leq \mu \leq 5\epsilon$, which is roughly represented as the spacing between the lobster legs in Figure 14b. It easily follows that the inner vertices of the lobster are more than 1 away from any inner (not belonging to lobster) vertices of any hexagon. This completes the proof that for any basic graph $G \in \mathcal{X}$, G^* is representable as a UDG. \square



(a) Hexagonal caterpillar



(b) The UDG-representation of hexagonal caterpillar

Figure 14

In the following theorem we prove several properties of the above representation of basic graphs that are important for representation of general graphs.

Theorem 17 (Properties of basic graph representation). *Let $G = (U, W, E)_c$ be a basic C_4^* -free co-bipartite UDG with $n = |V(G)|$. Then for every positive $\epsilon < \min\{\frac{1}{15n}, \frac{1}{128}\}$ there exist Δ, q, r with $0 < \Delta < \frac{1}{3}$, $0 < q \leq r < 6$, and a UDG-representation $f : V(G) \rightarrow \mathbb{R}^2$ of G with the following properties:*

- (1) $f(U) \subseteq D_1$ and $f(W) \subseteq D_2$, where $D_1 = [0, \Delta] \times [-\Lambda, \Lambda]$, $D_2 = [0, \Delta] \times [1 - \Lambda, 1 + \Lambda]$ with $\Lambda = r\epsilon^2$;
- (2) For any vertices $a \in U$ and $b \in W$ either $\delta_f(a, b) = 1$ or $|\delta_f(a, b) - 1| \geq q\epsilon^2$;

(3) For every parallel edge ab of G , $\delta_f(a, b) = 1$. Moreover, $\delta_f(a, c) \neq 1$ and $\delta_f(c, b) \neq 1$ for any vertex $c \in V(G)$ different from a and b .

Proof. Notice that $G = (G^*)^*$, and $G^* = (U, W, E)$ is a basic graph in \mathcal{X} . Let f be a representation of G obtained in Theorem 16. To the assumption that $\epsilon < \frac{1}{128}$ made in the theorem, we also add $\epsilon < \frac{1}{15n}$ to ensure that the representation lies in the strip of length $\Delta < \frac{1}{3}$. One can obtain such estimate by noting that the distance in x -coordinate between two consecutive points is less than 5ϵ , hence, the n points will fit in the strip of length $5n\epsilon < \frac{1}{3}$. Observe that the shortest distance in y -coordinate between two points from different parts is obtained by $\delta_f(g_1, b_1)$ and it is at least $1 - 10\epsilon^2$. Also observe that every point has at least 1 neighbour in the other part, i.e. distance at most 1 from some point in another part. From these two observations, we can conclude that all the points lie in two strips of width $10\epsilon^2$ which are distance $1 - 10\epsilon^2$ away from each other. Hence, it follows that $r = 5$ satisfies the conditions. From the proof of the theorem it is also not hard to see that we can take $q = \frac{1}{64}$. Finally, notice that every parallel edge satisfies property (3), so we are done. \square

5.2.2 Representation of general graphs

Let $G = (U, W, E)_c$ be an arbitrary graph from \mathcal{X}^* and let H be a basic graph in \mathcal{X}^* such that G is obtained from H by duplicating some of its parallel edges. In this section we show how to extend a representation of H described in the previous section to a representation of G . We also prove that the resulting representation possesses certain properties, that will be important in Section 5.3.

Let $e_i = a_i b_i, i = 1, \dots, s$ be parallel edges of H that have twins in G . For $i = 1, \dots, s$ let $e_i^j = a_i^j b_i^j, j = 1, \dots, k_i$, be twin edges of e_i in G , and let $k = k_1 + \dots + k_s$. We say that vertices in $V(G) \setminus V(H)$ are *new* vertices. For convenience we let $a_i^0 = a_i$ and $b_i^0 = b_i$. Let h be a representation of H with chosen positive $\epsilon < \min \left\{ \frac{1}{15|V(H)|}, \frac{1}{128} \right\}$ and parameters Δ, q and r guaranteed by Theorem 17.

First we define an extension $g : V(G) \rightarrow \mathbb{R}^2$ of h and then show that g is a representation of G . To define g we choose $t = \frac{q}{64\sqrt{r}} > 0$ and let $t_1 = \frac{t}{k}$. Since g is an extension of h , it maps all vertices of H to the same points as h does, that is $g(x) = h(x)$ for every $x \in V(H)$. Further, we define mapping of new vertices. Informally, for the edge $e_i = a_i b_i$ we place $a_i^j, b_i^j, j = 1, \dots, k_i$ in the plane in such a way that $a_i, b_i, a_i^{k_i}, b_i^{k_i}$ form a “narrow” rectangle with $[a_i, b_i]$ and $[a_i^{k_i}, b_i^{k_i}]$ being parallel sides, and $[a_i^j, b_i^j], j = 1, \dots, k_i - 1$ are segments parallel to $[a_i, b_i]$ and evenly spaced within the rectangle. Formally, for every $i = 1, \dots, s$ and $j = 1, \dots, k_i$ we define $g(a_i^j)$ and $g(b_i^j)$ in such a way that:

1. the segment $[g(a_i^j), g(b_i^j)]$ is parallel to the segment $[g(a_i), g(b_i)]$;
2. $\delta_g(a_i^j, b_i^j) = 1$;
3. $\delta_g(a_i, a_i^j) = \delta_g(b_i, b_i^j) = j \frac{t}{k} \epsilon = j t_1 \epsilon$;
4. $\delta_g(a_i^j, a_i^{j+1}) = \delta_g(b_i^j, b_i^{j+1}) = \frac{t}{k} \epsilon = t_1 \epsilon$ (for $j = 0, \dots, k_i - 1$);
5. each of the segments $[g(a_i), g(a_i^j)]$ and $[g(b_i), g(b_i^j)]$ is perpendicular to the segment $[g(a_i), g(b_i)]$;
6. (for definiteness) $g(a_i^j)$ and $g(b_i^j)$ have larger x -coordinate than $g(a_i)$ and $g(b_i)$, respectively.

To prove that g is a UDG-representation of G we need several auxiliary statements.

Lemma 18. *Suppose ab is a parallel edge. Then the angle α between $[g(a), g(b)]$ and the vertical line, satisfies $\sin(\alpha) \leq 2\sqrt{r}\epsilon$.*

Proof. Let $g(a) = (x, y), g(b) = (x', y')$. As $\delta_g(a, b) = 1$, we have $\sin(\alpha) = |x - x'|$. Notice that since a and b are in different parts, we get $|y - y'| \geq 1 - 2r\epsilon^2$. From this it follows that

$$\sin(\alpha) = |x - x'| = \sqrt{1 - |y - y'|^2} \leq \sqrt{1 - 1 + 4r\epsilon^2} = 2\sqrt{r}\epsilon.$$

\square

Lemma 19. *Let $a, a' \in V(G)$ be twins, and let $g(a) = (x, y)$, $g(a') = (x', y')$. Then $|x - x'| \leq t\epsilon$ and $|y - y'| \leq 2t\sqrt{r}\epsilon^2$.*

Proof. Clearly, the first inequality holds because $|x - x'| \leq \delta_g(a, a') \leq t\epsilon$. Now, $|y - y'| = \delta_g(a, a') \sin(\alpha)$ where α is the angle between segment $[a, a']$ and the horizontal line. This angle is equal to the angle of the parallel edge and vertical line, thus, by previous lemma we can deduce that $\sin(\alpha) \leq 2\sqrt{r}\epsilon$. Hence,

$$|y - y'| = \delta_g(a, a') \sin(\alpha) \leq 2t\sqrt{r}\epsilon^2.$$

□

The following is an important lemma which will be used for proving that the defined map g is indeed a UDG-representation of G .

Lemma 20. *Suppose a, b are two vertices in different parts of G with $|\delta_g(a, b) - 1| \geq q\epsilon^2$. Let a' be either a twin of a or $a' = a$ and let b' be either a twin of b or $b' = b$. Then $\delta_g(a', b') > 1$ iff $\delta_g(a, b) > 1$ and $|\delta_g(a', b') - 1| \geq q\epsilon^2/2$.*

Proof. Let $a = (x, y)$, $a' = (x', y')$, $b = (z, u)$, $b' = (z', u')$. To get the bounds of the distance $\delta_g(a', b')$, we will compare projections of $[g(a'), g(b')]$ and $[g(a), g(b)]$ onto x and y axes and then apply the Pythagorean theorem.

First of all, triangle inequalities can be used to obtain that $|x - z| \leq |x - x'| + |x' - z'| + |z - z'|$ and $|x' - z'| \leq |x' - x| + |x - z| + |z - z'|$. Further, by Lemma 19, we have $|x - x'| \leq t\epsilon$ and $|z - z'| \leq t\epsilon$, and hence

$$|x - z| - 2t\epsilon \leq |x' - z'| \leq |x - z| + 2t\epsilon. \quad (6)$$

Similarly, projecting onto y -axis, from triangle inequalities we obtain $|y' - u'| \leq |y' - y| + |y - u| + |u - u'|$ and $|y - u| \leq |y - y'| + |y' - u'| + |u' - u|$. Also from Lemma 19 we know that $|y - y'| \leq 2t\sqrt{r}\epsilon^2$ and $|u - u'| \leq 2t\sqrt{r}\epsilon^2$. This gives us

$$|y - u| - 4t\sqrt{r}\epsilon^2 \leq |y' - u'| \leq |y - u| + 4t\sqrt{r}\epsilon^2. \quad (7)$$

Now, we split our analysis into two cases.

Case 1. $|x - z| > 4\sqrt{r}\epsilon$.

Since $|y - u| \geq 1 - 2r\epsilon^2$, we can easily obtain that

$$\delta_g(a, b)^2 = |y - u|^2 + |x - z|^2 > (1 - 2r\epsilon^2)^2 + (4\sqrt{r}\epsilon)^2 = 1 + 12r\epsilon^2 + 4r^2\epsilon^4 > 1.$$

Hence, in this case our aim is to prove that $\delta_g(a', b') > 1$ and $|\delta_g(a', b') - 1| \geq q\epsilon^2/2$, i.e. we have to prove that $\delta_g(a', b') \geq 1 + q\epsilon^2/2$. For this, we use the estimates of the projections

$$\begin{aligned} |x' - z'| &\geq |x - z| - 2t\epsilon \geq 4\sqrt{r}\epsilon - 2t\epsilon; \\ |y' - u'| &\geq |y - u| - 4t\sqrt{r}\epsilon^2 \geq 1 - 2r\epsilon^2 - 4t\sqrt{r}\epsilon^2. \end{aligned}$$

As $q \leq r$, we have $t = \frac{q}{64\sqrt{r}} \leq \frac{\sqrt{r}}{2}$, and placing this upper bound of t into the above inequalities we obtain

$$\begin{aligned} |x' - z'| &\geq 4\sqrt{r}\epsilon - \sqrt{r}\epsilon = 3\sqrt{r}\epsilon; \\ |y' - u'| &\geq 1 - 2r\epsilon^2 - 2r\epsilon^2 = 1 - 4r\epsilon^2. \end{aligned}$$

Applying the Pythagorean theorem, we obtain

$$\delta_g(a', b')^2 \geq (3\sqrt{r}\epsilon)^2 + (1 - 4r\epsilon^2)^2 = 9r\epsilon^2 + 1 - 8r\epsilon^2 + 16r^2\epsilon^4 \geq 1 + r\epsilon^2 + r^2\epsilon^4/4 = (1 + r\epsilon^2/2)^2.$$

Hence, $\delta_g(a', b') \geq 1 + r\epsilon^2/2$, and as $r \geq q$, we obtain the required inequality $\delta_g(a', b') \geq 1 + q\epsilon^2/2$.

Case 2. $|x - z| \leq 4\sqrt{r}\epsilon$.

First, consider

$$||x' - z'|^2 - |x - z|^2| = ||x' - z'| - |x - z|| \times ||x' - z'| + |x - z||.$$

By (6) we have that the first term $||x' - z'| - |x - z||$ is upper bounded by $2t\epsilon$, and the second by

$$|x' - z'| + |x - z| \leq 2|x - z| + 2t\epsilon \leq 8\sqrt{r}\epsilon + 2t\epsilon \leq 10\sqrt{r}\epsilon,$$

where the latter inequality follows from the fact that $t = \frac{q}{64\sqrt{r}} \leq \frac{r}{64\sqrt{r}} \leq \sqrt{r}$. This gives us an upper bound

$$||x' - z'|^2 - |x - z|^2| \leq 2t\epsilon \times 10\sqrt{r}\epsilon = 20t\sqrt{r}\epsilon^2. \quad (8)$$

Similarly, consider

$$||y' - u'|^2 - |y - u|^2| = ||y' - u'| - |y - u|| \times ||y' - u'| + |y - u||.$$

By (7), we have $||y' - u'| - |y - u|| \leq 4t\sqrt{r}\epsilon^2$ and

$$|y' - u'| + |y - u| \leq 2|y - u| + 4t\sqrt{r}\epsilon^2 \leq 2(1 + 2r\epsilon^2) + 4t\sqrt{r}\epsilon^2 \leq 3.$$

This gives us an upper bound

$$||y' - u'|^2 - |y - u|^2| \leq 4t\sqrt{r}\epsilon^2 \times 3 \leq 12t\sqrt{r}\epsilon^2. \quad (9)$$

Adding (8) and (9), we get

$$|\delta_g(a', b')^2 - \delta_g(a, b)^2| \leq 32t\sqrt{r}\epsilon^2.$$

One can easily check, for example by projecting to y -axis, that $\delta_g(a, b) + \delta_g(a', b') \geq 1$. Hence,

$$|\delta_g(a', b') - \delta_g(a, b)| \leq 32t\sqrt{r}\epsilon^2 / (\delta_g(a, b) + \delta_g(a', b')) \leq 32t\sqrt{r}\epsilon^2.$$

Inserting $t = \frac{q}{64\sqrt{r}}$ we have

$$|\delta_g(a', b') - \delta_g(a, b)| \leq q\epsilon^2/2.$$

As $|\delta_g(a, b) - 1| \geq q\epsilon^2$, it follows that $|\delta_g(a', b') - 1| \geq q\epsilon^2/2$ and that $\delta_g(a', b') > 1$ iff $\delta_g(a, b) > 1$. This completes the proof of the lemma. \square

We are now ready to prove the main results of this section.

Theorem 21. *Suppose h is a UDG-representation of the basic graph H which satisfies the conditions outlined in Theorem 17. Let ϵ, r, q, Δ be as in Theorem 17. Then g is a UDG-representation of $G = (U, W, E)_c$. Moreover, the representation g satisfies the following conditions:*

(1) $g(U) \subseteq D_1$, $g(W) \subseteq D_2$ where $D_1 = [0, \Delta'] \times [-\Lambda, \Lambda]$, $D_2 = [0, \Delta'] \times [1 - \Lambda, 1 + \Lambda]$ with $\Lambda = r'\epsilon^2$, $r' = 2r$, and $\Delta' = \Delta + \epsilon$.

(2) For every $u \in U$, $w \in W$, we have either $\delta_g(u, w) = 1$ or $|\delta_g(u, w) - 1| \geq q'\epsilon^2$ for $q' = \frac{q^2}{64^2 r \times 4k^2}$.

Proof. The condition (2) is satisfied for all the vertices of H by Theorem 17. Further, by Lemma 20, the condition is satisfied between any new vertex and a vertex of H , or between two new vertices that are twins of vertices in different parallel edges. So we only need to consider pairs of new vertices $a'_i, b'_i, l, m \in \{1, 2, \dots, k_i\}$ that are twins to two vertices of the same parallel edge $a_i b_i$. In this case, clearly, the distances that are not equal to 1 are at least

$$\sqrt{1 + \left(\frac{t}{k}\epsilon\right)^2} \geq 1 + \frac{t^2}{4k^2}\epsilon^2 = 1 + \frac{q^2}{64^2 r \times 4k^2}\epsilon^2.$$

The condition (1) is clearly satisfied for the representation h of H , and by Lemma 19, we can get out of the strip horizontally by at most $t\epsilon < \epsilon$ and vertically by at most $4t\sqrt{r}\epsilon^2 < r\epsilon^2$. This completes the proof. \square

As for any basic graph $G \in \mathcal{X}^*$ we have a UDG-representation satisfying the conditions of Theorem 17, Theorem 21 shows that every graph in \mathcal{X}^* is a UDG. Moreover, the representation has several properties, that allow us to transform these UDG-representations to UDG-representations of the bipartite complements of these graphs, which we will do in the next section. For completeness we state the result for general graphs in \mathcal{X}^* .

Theorem 22. *Let $G = (U, W, E)_c$ be an n -vertex graph in \mathcal{X}^* . Then for every sufficiently small Λ there exists $\Delta' \in (0, 1/3)$, and a UDG-representation g of G possessing the following properties:*

- (1) $g(U) \subseteq D_1$, $g(W) \subseteq D_2$, where $D_1 = [0, \Delta'] \times [-\Lambda, \Lambda]$, $D_2 = [0, \Delta'] \times [1 - \Lambda, 1 + \Lambda]$.
- (2) For every $u \in U$, $w \in W$, we have either $\delta_g(u, w) = 1$ or $|\delta_g(u, w) - 1| \geq q''\Lambda$, where $q'' = \frac{1}{64^4 \times 200n^2}$.

Proof. Let g be a UDG-representation of G with parameters $\epsilon, r', q', \Delta', \Lambda$ guaranteed by Theorem 21. First we can assume that $\Delta' \in (0, 1/3)$, which is true for every sufficiently small ϵ , since $\Delta' = \Delta + \epsilon$ and $\Delta \in (0, 1/3)$. Now for arbitrary $u \in U$ and $w \in W$, if $\delta_g(u, w) \neq 1$, then $|\delta_g(u, w) - 1| \geq q'\epsilon^2$ and from $\Lambda = r'\epsilon^2$ we derive

$$|\delta_g(u, w) - 1| \geq \frac{q'}{r'}\Lambda = \frac{q'^2}{64^2 r \times 4k^2 \times 2r}\Lambda \geq \frac{1}{64^4 \times 200n^2}\Lambda = q''\Lambda,$$

where we take $q = \frac{1}{64}$ and $r = 5$, which is eligible as shown in the proof of Theorem 17. \square

5.3 On bipartite self-complementarity of the class of co-bipartite UDGs

Notice that all the forbidden subgraphs (and, more generally, substructures) for co-bipartite unit disk graphs, which were revealed in Sections 3 and 4, are self-complementary in the bipartite sense, i.e., if G is a bipartite graph and G^* is a forbidden subgraph, then \overline{G} is also a forbidden subgraph. This in turn motivates to explore whether the class of co-bipartite UDGs is indeed self-complementary in the bipartite sense. In this section, we show that if a UDG-representation of a co-bipartite graph G^* satisfies certain conditions, then it can be transformed into a UDG-representation of the graph \overline{G} . Loosely speaking, the conditions tells us that the parts of G^* are mapped into two narrow strips being distance approximately 1 away from each other. In the next section we will apply this result to show that the bipartite complement of a C_4^* -free co-bipartite UDG is also UDG. This will settle the fact that $\mathcal{Z} = \overline{\mathcal{X}}$.

In this section we will often use polar coordinates. Let us recall that a point $(r, \alpha)_p$ in polar coordinates is a point $(r \cos(\alpha), r \sin(\alpha))$ in standard Cartesian coordinates. We begin by describing the transformation. For this we fix $0 < \Lambda < \frac{1}{12}$ and $0 < \Delta < \frac{1}{3}$ and let $D_1 = [0, \Delta] \times [-\Lambda, \Lambda] \subseteq \mathbb{R}^2$, $D_2 = [0, \Delta] \times [1 - \Lambda, 1 + \Lambda] \subseteq \mathbb{R}^2$. Let $D = D_1 \cup D_2$ be the domain where the points of the representation of G^* lie. The transformation $\tau : D \rightarrow \mathbb{R}^2$ is defined as follows (see Figure 15 for illustration):

$$\text{for all } \alpha \in [0, \Delta] \text{ and } y \in [-\Lambda, \Lambda], \text{ define } \begin{cases} \tau(\alpha, y) := \left(\frac{1}{2} + y, -\frac{\pi}{2} + 2\alpha\right)_p \\ \tau(\alpha, 1 + y) := \left(\frac{1}{2} - y, \frac{\pi}{2} + 2\alpha\right)_p \end{cases}$$

Notice first that this transformation maps a set of points on a horizontal line to a line through $(0, 0)$. That is for a fixed α the points $D_1(\alpha) = \{(\alpha, y_1) : y_1 \in [-\Lambda, \Lambda]\}$ and $D_2(\alpha) = \{(\alpha, 1 + y_2) : y_2 \in [-\Lambda, \Lambda]\}$ are mapped to the line

$$L(\alpha) = \left\{ \left(y, \frac{\pi}{2} + 2\alpha\right)_p : y \geq 0 \right\} \cup \left\{ \left(y, -\frac{\pi}{2} + 2\alpha\right)_p : y > 0 \right\}.$$

To closer examine what happens on the line, take two points $A = (\alpha, y_1) \in D_1(\alpha)$ and $B = (\alpha, 1 + y_2) \in D_2(\alpha)$ for some $y_1, y_2 \in [-\Lambda, \Lambda]$. Then $\delta(A, B) = 1 + y_2 - y_1$ and $\delta(\tau(A), \tau(B)) = \frac{1}{2} + y_1 + \frac{1}{2} - y_2 = 1 + y_1 - y_2$. Therefore

- if $y_1 - y_2 = 0$, then $\delta(A, B) = 1$ and $\delta(\tau(A), \tau(B)) = 1$;
- if $y_1 - y_2 = a > 0$ then $\delta(A, B) = 1 - a < 1$ and $\delta(\tau(A), \tau(B)) = 1 + a > 1$;

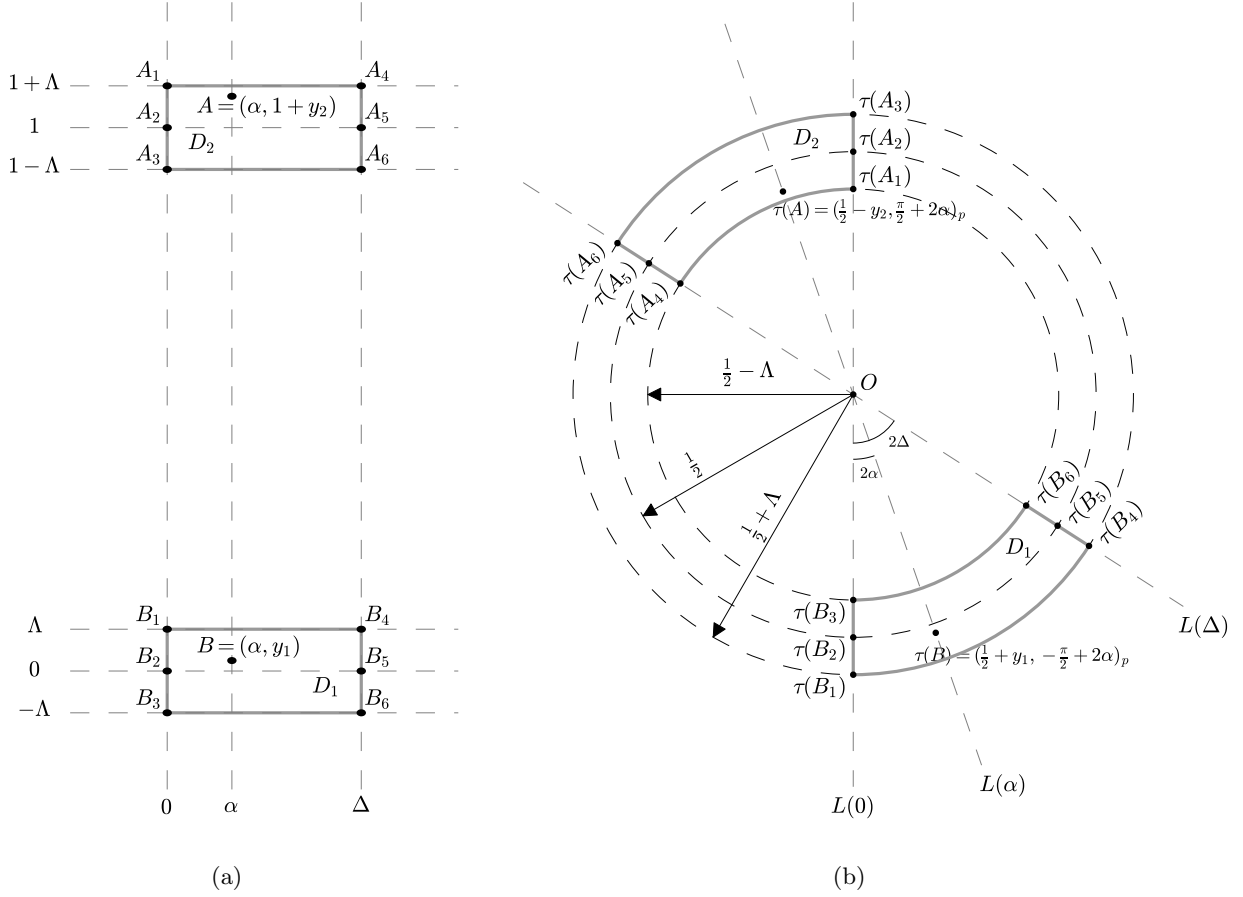


Figure 15: Transformation τ

- if $y_1 - y_2 = -a < 0$ then $\delta(A, B) = 1 + a > 1$ and $\delta(\tau(A), \tau(B)) = 1 - a < 1$.

Thus, for two points $A \in D_1(\alpha)$, $B \in D_2(\alpha)$ on the same horizontal line but in different parts, transformation τ swaps the distances that are less than 1 with the distances that are greater than 1, i.e. $\delta(\tau(A), \tau(B)) > 1$ iff $\delta(A, B) < 1$ and $\delta(\tau(A), \tau(B)) < 1$ iff $\delta(A, B) > 1$. Further, one can easily see that both $\tau(D_1)$ and $\tau(D_2)$ have diameter less than 1. Thus, if we have a UDG-representation f of some co-bipartite graph G^* which lies on one horizontal line, i.e. $f(G^*) \subseteq D_1(\alpha) \cup D_2(\alpha)$ and avoids distances equal to one, then the map $\tau \circ f$ is a UDG-representation of \overline{G} .

We would like to extend this argument to the whole set $D_1 \cup D_2$. However, not all the distances, between the points in different parts D_1 and D_2 , which are less than 1 will be swapped with distances that are greater than 1 by map τ . Nevertheless, in the lemma below we will show that the distances that are smaller than $1 - 100\Lambda^2$ or greater than $1 + 100\Lambda^2$ are mapped to distances greater than 1 or smaller than 1, respectively. Thus, if G^* has a UDG-representation g with $g(G^*) \subseteq D_1 \cup D_2$ such that no distance lies in the interval $[1 - 100\Lambda^2, 1 + 100\Lambda^2]$, then $\tau \circ g$ is a UDG-representation of \overline{G} . Furthermore, it is worth noting that the distances of size 1 can be avoided by appropriate scaling of the initial UDG-representation, as we will see later. Now we are ready to prove the main result of this section.

Lemma 23. *Let D_1 and D_2 be as described above. Suppose G^* admits a UDG-representation g , such that $g(V(G^*)) \subseteq D_1 \cup D_2$ and for all $x \in D_1 \cap g(V(G^*))$, $y \in D_2 \cap g(V(G^*))$, $\delta(x, y) \notin [1 - 100\Lambda^2, 1 + 100\Lambda^2]$. Then $\tau \circ g$ is a UDG-representation of \overline{G} .*

Proof. We will prove the lemma by showing that for any two points $A = (\alpha, 1 + a)$, $B = (\beta, b)$, with $\alpha, \beta \in [0, \Delta]$ and $a, b \in [-\Lambda, \Lambda]$ the following statement holds:

(\star) if $\delta(A, B) < 1 - 100\Lambda^2$ or $\delta(A, B) > 1 + 100\Lambda^2$, then $\delta(\tau(A), \tau(B)) > 1$ or $\delta(\tau(A), \tau(B)) < 1$, respectively.

First we observe that it is enough to show the statement (\star) for all pairs A, B as above with $\alpha = 0$. Indeed, let $\alpha' = \min(\alpha, \beta)$ and $\beta' = \max(\alpha, \beta)$ and let $A' = (\alpha', 1 + a)$, $B' = (\beta', b)$. It is not hard to see that $\delta(A', B') = \delta(A, B)$ and $\delta(\tau(A'), \tau(B')) = \delta(\tau(A), \tau(B))$. Further, let $\beta'' = \beta' - \alpha'$ and let $A'' = (0, 1 + a)$, $B'' = (\beta'', b)$. Again, it is not hard to see that $\delta(A', B') = \delta(A'', B'')$ and $\delta(\tau(A'), \tau(B')) = \delta(\tau(A''), \tau(B''))$, because horizontal shifting by distance α'' and rotating around the origin by angle $2\alpha''$ are both isometries of the plane. Thus, the pair A, B satisfies (\star) iff the pair A'', B'' satisfies (\star). Hence from now onwards we will assume $A = (0, 1 + a)$, $B = (\beta, b)$, with $\beta \in [0, \Delta]$ and $a, b \in [-\Lambda, \Lambda]$.

Consider a special point $S = (\beta, s)$ with $s = s(\beta, a) < 1$ such that $\delta(A, S) = 1$ (see Figure 16). This point is an intersection of the vertical line going through $(\beta, 0)$ and a unit circle centered at A and one can easily calculate that $s = a + 1 - \sqrt{1 - \beta^2}$. The importance of the point S is that the distance between A and a point $B = (\beta, b)$ is greater or smaller than 1 depending on whether B is below (i.e. $b < s$) or above (i.e. $b > s$) S , respectively.

Similarly, consider a special point Q which lies on the ray $R(\beta) = \left\{ \left(r, -\frac{\pi}{2} + 2\beta \right)_p : r \geq 0 \right\} \subseteq L(\beta)$ and is distance 1 away from $\tau(A) = \left(0, \frac{1}{2} - a \right)$. Such a point exists and is unique because the unit circle centered at $\left(0, \frac{1}{2} - a \right)$ contains the origin O - the endpoint of the ray. We denote the distance $q = q(\beta, a) = \delta(O, Q) - \frac{1}{2}$. The importance of the point Q is that it divides the ray $R(\beta)$ into two segments: the points $\left(\frac{1}{2} + b, -\frac{\pi}{2} + 2\beta \right)_p$ have distance less or more than 1 from $\tau(A)$ depending on whether $b < q$ or $b > q$, respectively. Let $Q' = (\beta, q)$. As $\left(\frac{1}{2} + b, -\frac{\pi}{2} + 2\beta \right)_p = \tau(\beta, b)$ for any $b \in [-\Lambda, \Lambda]$, we deduce that $\delta(\tau(B), \tau(A))$ is greater or smaller than 1 depending on whether B lies above or below the point Q' , respectively.

From the above discussion we deduce the following important criterion. If $b > \max\{q(\beta, a), s(\beta, a)\}$, then $\delta(A, B) < 1$, and $\delta(\tau(A), \tau(B)) > 1$. Similarly, if $b < \min\{q(\beta, a), s(\beta, a)\}$, then $\delta(A, B) > 1$, and $\delta(\tau(A), \tau(B)) < 1$. So, in both cases $B = (\beta, b)$ satisfies (\star). However, if $b \in [\min\{q, s\}, \max\{q, s\}]$, then the distances are not inverted by the map τ , i.e. either $\delta(A, B)$ and $\delta(\tau(A), \tau(B))$ are both smaller or equal to 1 or both greater than 1. In what follows, we will show that in this case $\delta(A, B) \in [1 - 100\Lambda^2, 1 + 100\Lambda^2]$. In order to do so, we will estimate values of q and s more precisely.

As we observed earlier $s = a + 1 - \sqrt{1 - \beta^2}$. We can approximate the root part of the equation as follows: $1 - \frac{\beta^2}{2} - \frac{\beta^4}{2} \leq \sqrt{1 - \beta^2} \leq 1 - \frac{\beta^2}{2}$. Hence,

$$a + \frac{\beta^2}{2} \leq s \leq a + \frac{\beta^2}{2} + \frac{\beta^4}{2}.$$

For finding reasonable bounds of the function q the arguments are more involved, and we moved them to Appendix C, where we show

$$a + \frac{\beta^2}{2} - \frac{7\beta^4}{6} - 2a^2\beta^2 \leq q \leq a + \frac{\beta^2}{2} + \frac{\beta^4}{2}.$$

Having obtained these estimates, we are now ready to say something about *non-invertible* points, that is the points $B = (\beta, b) \in D_1$ such that $\delta(A, B)$ and $\delta(\tau(A), \tau(B))$ are both greater or both smaller than 1. As we observed above, such B must lie between Q' and S , i.e. must have $b \in [\min\{q, s\}, \max\{q, s\}]$. Further we consider two cases with respect to the value of β .

1. $\beta > \sqrt{6\Lambda}$. The obtained bounds on the functions q and s imply that

$$\begin{aligned} \min\{q(\beta, a), s(\beta, a)\} &\geq a + \frac{\beta^2}{2} - \frac{7\beta^4}{6} - 2a^2\beta^2 \geq -\Lambda + \beta^2 \left(\frac{1}{2} - \frac{7\beta^2}{6} - 2\Lambda^2 \right) \\ &\geq -\Lambda + \beta^2 \left(\frac{1}{2} - \frac{7}{6} \times \frac{1}{9} - \frac{2}{12^2} \right) \geq -\Lambda + \frac{\beta^2}{3} \\ &> -\Lambda + \frac{(\sqrt{6\Lambda})^2}{3} = -\Lambda + 2\Lambda = \Lambda. \end{aligned}$$

Hence there is no point in $[\min\{q, s\}, \max\{q, s\}] \cap [-\Lambda, \Lambda]$, which means that every point $B \in \beta \times [-\Lambda, \Lambda]$ satisfies (\star) : $\delta(A, B) > 1$ but $\delta(\tau(A), \tau(B)) < 1$.

2. $\beta \leq \sqrt{6\Lambda}$. In this region, we have:

$$\begin{aligned} |q(\beta, a) - s(\beta, a)| &\leq a + \frac{\beta^2}{2} + \frac{\beta^4}{2} - \left(a + \frac{\beta^2}{2} - \frac{7\beta^4}{6} - 2a^2\beta^2 \right) \\ &= \frac{5\beta^4}{3} + 2a^2\beta^2 \leq \frac{5(\sqrt{6\Lambda})^4}{3} + \frac{2\Lambda^2}{9} \\ &= \left(60 + \frac{2}{9} \right) \Lambda^2 \leq 100\Lambda^2 \end{aligned}$$

If B is non-invertible, then it satisfies $\min\{q, s\} \leq b \leq \max\{q, s\}$ and we have $\delta(B, S) \leq |q - s| \leq 100\Lambda^2$. The triangle inequalities $\delta(B, S) + \delta(A, B) \geq \delta(A, S)$ and $\delta(B, S) + \delta(A, S) \geq \delta(A, B)$ imply

$$\delta(A, S) - \delta(B, S) \leq \delta(A, B) \leq \delta(A, S) + \delta(B, S),$$

and as $\delta(A, S) = 1$, we deduce $1 - 100\Lambda^2 \leq \delta(A, B) \leq 1 + 100\Lambda^2$. This finishes the proof that any $A = (0, 1 + a) \in D_2$ and any $B = (\beta, b) \in D_1$ satisfies (\star) and hence the proof of the lemma.

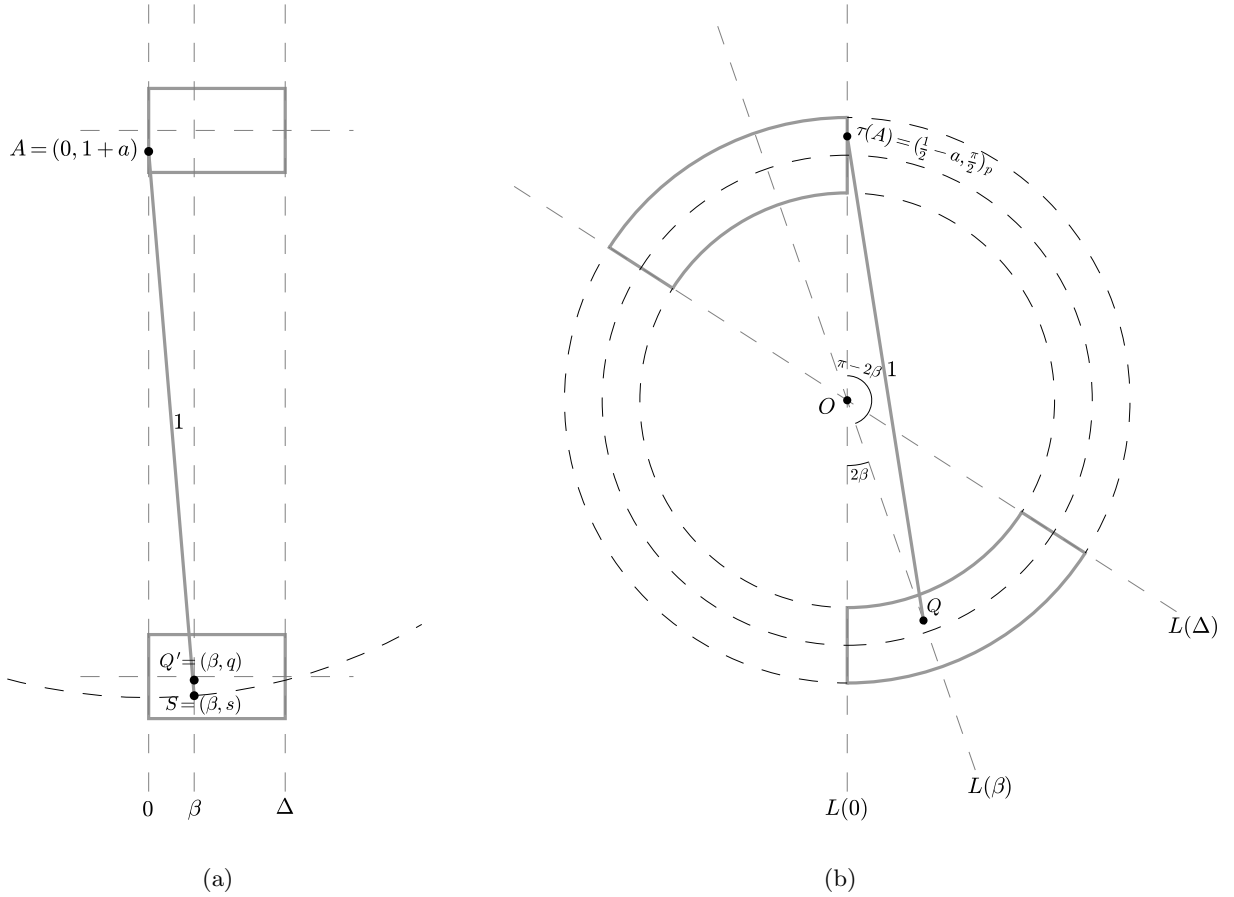


Figure 16: Special points S and Q (here $a < 0$)

□

5.4 $2K_2$ -free co-bipartite unit disk graphs

Now we are ready to use the results of the above section to transform the representation of a C_4^* -free co-bipartite unit disk graph into a representation of its bipartite complement, which is a $2K_2$ -free co-bipartite graph.

Theorem 24. *Let $G = (U, W, E)$ be a graph in \mathcal{X} . Then \overline{G} is a UDG.*

Proof. First let us choose some $\Lambda < \frac{q''}{1600}$ satisfying the conditions of Theorem 22 with q'' as in the theorem. By Theorem 22 we know that G^* has a UDG-representation g such that:

- 1) $g(U) \subseteq D_1$, $g(W) \subseteq D_2$, where $D_1 = [0, \Delta] \times [-\Lambda, \Lambda]$, $D_2 = [0, \Delta] \times [1 - \Lambda, 1 + \Lambda]$, for some $\Delta \in (0, 1/3)$;
- 2) for any two vertices $u \in U$ and $w \in W$, we have either $\delta_g(u, w) = 1$ or $|\delta_g(u, w) - 1| \geq q''\Lambda$.

To employ Lemma 23 for transforming the UDG-representation g to a UDG-representation of \overline{G} , we must get rid of unit distances. To this end we first apply scaling transformation

$$h : (x, y) \rightarrow \left(\left(1 - \frac{q''}{2}\Lambda\right)x, \left(1 - \frac{q''}{2}\Lambda\right)y \right),$$

which scales the whole map by a factor of $1 - \frac{q''}{2}\Lambda$. One can observe that distance between images of any two vertices in different parts of G^* under the map $h \circ g$ is either at most $1 - \frac{q''\Lambda}{2}$ or at least

$$(1 + q''\Lambda) \times \left(1 - \frac{q''}{2}\Lambda\right) = 1 + \frac{q''}{2}\Lambda - \frac{q''^2}{2}\Lambda^2 > 1 + \frac{q''}{2}\Lambda - \frac{q''}{4}\Lambda = 1 + \frac{q''}{4}\Lambda,$$

where the latter inequality is valid because $q'' < 1$ and $\Lambda < 1/2$. Therefore, for any vertices u, w in different parts of G^* , we have $|\delta_{h \circ g}(u, w) - 1| \geq \frac{q''}{4}\Lambda$. Also note that $\delta_{h \circ g}(u, w) > 1$ iff $\delta_g(u, w) > 1$, hence $h \circ g$ is a UDG-representation of G^* . We must also note that the scaling affected the strips D_1 and D_2 as well. Though, it is not hard to check that the images of D_1 and D_2 under the map $h \circ g$ fall into the strips $[0, \Delta] \times [-2\Lambda, 2\Lambda]$ and $[0, \Delta] \times [1 - 2\Lambda, 1 + 2\Lambda]$, respectively.

Finally, the choice of Λ guarantees that $2\Lambda < \frac{1}{12}$ and $|\delta_{h \circ g}(u, w) - 1| \geq \frac{q''}{4}\Lambda > 100(2\Lambda)^2$. Hence Lemma 23 applies to the UDG-representation $h \circ g$ of G^* and gives us a transformation map τ , such that $\tau \circ h \circ g$ is a UDG-representation of \overline{G} . This finishes the proof of the theorem. \square

6 Concluding remarks and open problems

In this work we identified infinitely many new minimal forbidden induced subgraphs for the class of unit disk graphs. Using these results we provided a structural characterization of some subclasses of co-bipartite UDGs. Obtaining structural characterization of the whole class of co-bipartite UDGs is a challenging research problem. An open problem for which such a characterization may be useful is the problem of implicit representation of UDGs. A hereditary class \mathcal{G} admits an implicit representation if the vertices of every graph $G \in \mathcal{G}$ of order n can be assigned labels (binary strings) of size $O(\log n)$ such that adjacency of two vertices can be inferred given only their labels [12]. Notice that a class \mathcal{G} admitting an implicit representation has $2^{O(n \log n)}$ n -vertex graphs as only $O(n \log n)$ bits is used for encoding each of these graphs. In [12] Kannan et al. asked whether the converse is true, i.e., is it true that every hereditary class having $2^{O(n \log n)}$ n -vertex graphs admits an implicit representation? In [17] Spinrad restated this question as a conjecture, which nowadays is known as *the implicit graph conjecture*. The class of UDGs satisfies the conditions of the conjecture, i.e. it is hereditary and contains $2^{\Theta(n \log n)}$ n -vertex graphs (see [17] and [15]). However, no adjacency labeling scheme for the class is known [17]. A natural approach for such labeling would be to associate with every vertex the coordinates of its image under an UDG-representation in \mathbb{Q}^2 . For this idea to work the integers (numerators and denominators) involved in coordinates of points in the UDG-representation should be bounded by a polynomial of n . However, as shown in [14] this can not be guaranteed as there are n -vertex UDGs for which every

UDG-representation necessarily uses at least one integer of order $2^{2^{\Omega(n)}}$. Therefore some further ideas are required for tackling the problem. For example one may try to combine geometrical and structural properties of UDGs maybe together with some additional tools (see e.g. [1]) to attack the problem of implicit representation of UDGs. In particular, from our structural results one can derive an implicit representation for C_4^* -free co-bipartite UDGs and for $2K_2$ -free co-bipartite UDGs. However, it remains unclear how to get an implicit representation for the whole class of co-bipartite UDGs, and it would be very interesting to see such results.

Interestingly, for every discovered co-bipartite forbidden subgraph and substructure its bipartite complementary counterpart is also forbidden. This suggests that the class of co-bipartite UDGs may be closed under bipartite complementation. This intuition is further supported by the result that the bipartite complement of a C_4^* -free co-bipartite UDG is also (co-bipartite) UDG. These facts lead us to pose the following

Conjecture. *For every co-bipartite UDG its bipartite complement is also co-bipartite UDG.*

One of the possible approaches to prove this conjecture is, similarly to the proof of Lemma 23, to show that some representation of a co-bipartite UDG can be transformed into a representation of its bipartite complementation.

Another interesting research direction is to investigate systematically properties of edge asteroid triple free graphs as it was done for asteroidal triple free graphs [6]. Similarly to co-bipartite UDGs edge asteroid triples arose in forbidden subgraph characterizations of several other graph classes such as co-bipartite circular arc graphs [9] and bipartite 2-directional orthogonal ray graphs [16]. However, knowledge about edge asteroid triple free graphs is sporadic, and it would be interesting to study in a consistent manner properties of these graphs, especially, of those graphs which are bipartite.

Acknowledgement. The authors are grateful to the anonymous reviewers for their careful reading of the paper and many helpful suggestions and comments.

References

- [1] Atminas, A., Collins, A., Lozin, V., Zamaraev, V. Implicit representations and factorial properties of graphs. *Discrete Mathematics*, (2015), 338(2), 164-179.
- [2] Balasundaram, B., Butenko, S. Optimization problems in unit-disk graphs *Optimization Problems in Unit-Disk Graphs*. (2009) In *Encyclopedia of Optimization* (pp. 2832-2844). Springer US.
- [3] Breu, H. Algorithmic aspects of constrained unit disk graphs (1996) (Doctoral dissertation, University of British Columbia).
- [4] Breu, H., Kirkpatrick, D. G. Unit disk graph recognition is NP-hard. *Computational Geometry*, (1998), 9(1), 3-24.
- [5] Chudnovsky, M., Robertson, N., Seymour, P., Thomas, R. The strong perfect graph theorem. *Annals of mathematics*, (2006), 51-229.
- [6] Cornil, D. G., Olariu, S., Stewart, L. Asteroidal triple-free graphs. *SIAM Journal on Discrete Mathematics*, (1997), 10(3), 399-430.
- [7] da Fonseca, G. D., de Figueiredo, C. M. H., de Sá, V. G. P., Machado, R. C. S. Efficient sub-5 approximations for minimum dominating sets in unit disk graphs. *Theoretical Computer Science*, (2014), 540, 70-81.
- [8] da Fonseca, G. D., de Sá, V. G. P., Machado, R. C. S., de Figueiredo, C. M. H. On the recognition of unit disk graphs and the Distance Geometry Problem with Ranges. *Discrete Applied Mathematics*, (2014), 197, 3-19

- [9] Feder, T., Hell, P., Huang, J. List homomorphisms and circular arc graphs. *Combinatorica*, (1999), 19(4), 487-505.
- [10] Hale, W. K. Frequency assignment: Theory and applications. *Proceedings of the IEEE*, (1980), 68(12), 1497-1514.
- [11] Huson, M. L., Sen, A. Broadcast scheduling algorithms for radio networks. In *Military Communications Conference, 1995. MILCOM'95, Conference Record, IEEE (Vol. 2, pp. 647-651)*.
- [12] Kannan, S., Naor, M., Rudich, S. Implicit representation of graphs. *SIAM Journal on Discrete Mathematics*, (1992), 5(4), 596-603.
- [13] Marathe, M. V., Breu, H., Hunt, H. B., Ravi, S. S., Rosenkrantz, D. J. Simple heuristics for unit disk graphs. *Networks*, (1995), 25(2), 59-68.
- [14] McDiarmid, C., Müller, T. Integer realizations of disk and segment graphs. *Journal of Combinatorial Theory, Series B*, (2013), 103(1), 114-143.
- [15] McDiarmid, C., Müller, T. The number of disk graphs. *European Journal of Combinatorics*, (2014), 35, 413-431.
- [16] Shrestha, A. M. S., Tayu, S., Ueno, S. On orthogonal ray graphs. *Discrete Applied Mathematics*, (2010), 158(15), 1650-1659.
- [17] Spinrad, J. P. *Efficient graph representations*. American mathematical society, (2003).

Appendices

A Addendum to the proof of Theorem 15

Distances between points in $f(C_1)$ and $f(C_2)$

We split the arguments into cases where we argue about pairs of vertices in $S_1 \times S_2$ for different subsets $S_1 \subseteq C_1, S_2 \subseteq C_2$. For each pair of vertices we show that the distance between their images is at most 1 if and only if the vertices are adjacent in G^* (see Figure 10).

1. $S_1 = \mathcal{G}_1, S_2 = \mathcal{G}_2$

(a) Edges of G^* between the vertices in S_1 and S_2 : $\{g_i g_j : j = i \pm 1\}$.

i. For $j = i \pm 1$, $\delta_f(g_i, g_j) = \sqrt{1 - \mu^2 + \mu^2} = 1$.

ii. For $j \neq i \pm 1$, $\delta_f(g_i, g_j) \geq \delta_f(g_i, g_{i+3}) = \sqrt{1 - \mu^2 + (3\mu)^2} = \sqrt{1 + 8\mu^2} \geq 1 + 2\mu^2$.

2. $S_1 = \mathcal{G}_1, S_2 = \mathcal{B}_2 \cup \mathcal{R}_2$ or $S_1 = \mathcal{B}_1 \cup \mathcal{R}_1, S_2 = \mathcal{G}_2$

(a) Edges of G^* between the vertices in S_1 and S_2 : $\{g_i b_i : i = 1, \dots, n\}$.

i. $\delta_f(g_i, b_i) = 1 - \frac{1 - \sqrt{1 - \mu^2}}{2} = \frac{1}{2} + \frac{\sqrt{1 - \mu^2}}{2} \leq \frac{1}{2} + \frac{1 - \mu^2/2}{2} = 1 - \frac{\mu^2}{4}$.

ii. The distances between $f(g_i)$ and $f(b_j)$ with $j \neq i$ or between $f(g_i)$ and $f(r_k)$ are at least

$$\begin{aligned} \delta_f(g_i, r_{i+1}) &= \sqrt{\delta_f(g_i, b_i)^2 + \delta_f(b_i, r_{i+1})^2} \geq \sqrt{\left(1 - \frac{\mu^2}{4} - \frac{\mu^4}{4}\right)^2 + \mu^2} \\ &\geq \sqrt{\left(1 - \frac{\mu^2}{4} - \frac{\mu^2}{8}\right)^2 + \mu^2} \geq \sqrt{1 - \frac{3}{4}\mu^2 + \mu^2} = \sqrt{1 + \frac{1}{4}\mu^2} \geq 1 + \frac{1}{16}\mu^2. \end{aligned}$$

Note that the first inequality uses our basic inequality (1) and for the second we used the fact that $\mu \leq \sqrt{\frac{1}{2}}$.

3. $S_1 = \mathcal{R}_1 \cup \mathcal{B}_1, S_2 = \mathcal{R}_2 \cup \mathcal{B}_2$

(a) Edges of G^* between the vertices in S_1 and S_2 : $\{r_i b_i : i = 1, \dots, n\}$.

i. $\delta_f(r_i, b_i) = 1$.

ii. The distances between any other two points one on L_1 and another on L_4 are at least $\sqrt{1 + \mu^2} \geq 1 + \frac{1}{4}\mu^2$.

Observe that we have proved that for any two vertices $v \in S_1$ and $w \in S_2$, either $\delta_f(v, w) = 1$ or $|\delta_f(v, w) - 1| \geq \frac{1}{16}\mu^2$.

B Addendum to the proof of Theorem 16

B.1 Proof of Claim 4

Here we verify the following claim from the proof of Theorem 16 (see Figure 13b for illustration)

Claim 4. Denote the midpoints of $[b_1, y_{12}]$, $[y_{12}, g_2]$, $[y_{34}, g_4]$, $[y_{45}, b_5]$ by b'_1, R_2, R_4, b'_5 , respectively. Then, for $x \in \{b_1, b'_1, R_2, R_4, g_4, r_4, b'_5, b_5\}$ we have $\delta(g'_3, x) > 1$ and for $x \in \{g_2, r_2, b_3\}$ we have $\delta(g'_3, x) < 1$.

Proof. We prove the claim by direct estimation of distances for different pairs (x, y) of points:

1. (g'_3, b_1) : $\delta(g'_3, b_1) > \delta(x_{23}, b_1) = \delta(g_1, y_{23}) \geq \sqrt{1 + \epsilon^2} \geq 1 + \epsilon^2/4$ by Claim 2.
2. (g'_3, b'_1) : as $\text{Conv}(x_{23}, b_1, b'_1, g'_3)$ is a parallelogram, $\delta(g'_3, b'_1) = \delta(x_{23}, b_1) = \delta(g_1, y_{23}) \geq \sqrt{1 + \epsilon^2} \geq 1 + \epsilon^2/4$ by Claim 2.
3. (g'_3, g_2) : $\delta(g'_3, g_2) = \sqrt{1 - \epsilon^2} \leq 1 - \epsilon^2/2$.
4. (g'_3, b_3) : $\delta(g'_3, b_3) < \delta(x_{23}, b_3) = \delta(g_1, y_{12}) \leq \sqrt{1 - (\epsilon/2)^2} \leq 1 - \epsilon^2/8$ by Claim 1.
5. (g'_3, y) , where $y \in \{g_4, r_4, b'_5, b_5\}$: $\delta(g'_3, y) > \delta(g'_3, g_4) \geq \sqrt{1 + \epsilon^2} \geq 1 + \epsilon^2/4$, follows by applying the Law of cosines to triangle $\triangle g'_3 g_3 g_4$ as $\delta(g_4, g_3) = 1$, $\delta(g_3, g'_3) = \epsilon$ and $90 < \angle g'_3 g_3 g_4 < 180$.
6. (g'_3, R_2) : denote $\angle x_{23} g_2 g'_3 = \alpha$, and notice that $\sin(\alpha) = \epsilon$, $\delta(g_2, g'_3) = \sqrt{1 - \epsilon^2}$, and $\angle g'_3 g_2 R_2 = \alpha + 90$, then

$$\begin{aligned} \delta(g'_3, R_2)^2 &= (\epsilon/2)^2 + 1 - \epsilon^2 - 2 \cos(\alpha + 90)(\epsilon/2) \sqrt{1 - \epsilon^2} \\ &= 1 - 3\epsilon^2/4 + \sin(\alpha) \epsilon \sqrt{1 - \epsilon^2} \\ &= 1 - 3\epsilon^2/4 + \epsilon^2 \sqrt{1 - \epsilon^2} \\ &> 1 + \epsilon^2/8 \end{aligned}$$

whenever $\sqrt{1 - \epsilon^2} > 7/8$, which holds for $\epsilon < \sqrt{15}/8$. Hence, $\delta(g'_3, R_2) > \sqrt{1 + \epsilon^2/8} \geq 1 + \epsilon^2/32$.

7. (g'_3, r_2) : notice that $\angle g'_3 g_2 r_2 = \gamma < 90$, thus

$$\begin{aligned} \delta(g'_3, r_2)^2 &= \delta(g_2, g'_3)^2 + \delta(g_2, r_2)^2 - 2 \cos(\gamma) \delta(g_2, g'_3) \delta(g_2, r_2) \\ &< \delta(g_2, g'_3)^2 + \delta(g_2, r_2)^2 \\ &= 1 - \epsilon^2 + (\epsilon/2)^2 \\ &= 1 - 3\epsilon^2/4, \end{aligned}$$

that is $\delta(g'_3, r_2) < \sqrt{1 - 3\epsilon^2/4} \leq 1 - 3\epsilon^2/8$.

8. (g'_3, R_4) : by comparing the slope of $[y_{34}, g_4]$ and $[g_3, g'_3]$ and denoting the point x to be the middle point of $[g_3, g'_3]$, one can easily see that

$$\delta(g'_3, R_4) \geq \delta(x, g_4) > \delta(g_3, g_4) = 1.$$

Indeed, one can obtain $\delta(g'_3, R_4) \geq \delta(x, g_4) > \sqrt{1 + (\epsilon/2)^2} \geq 1 + \epsilon^2/16$.

Notice, in particular, that for x as in the statement of Claim 4, we have proved $|\delta(g'_3, x) - 1| > \epsilon^2/32$. \square

B.2 Proof that $\delta(a_3, r_2) > 1$

First, we observe that $[g'_3, a_3]$ is parallel to $[r_2, R_2]$. Intuitively, both of the intervals have length close to ϵ , and we also know that $\delta(g'_3, R_2) \geq \sqrt{1 + \epsilon^2}/8$. By the triangle inequality, we can deduce that

$$\delta(a_3, r_2) \geq \delta(g'_3, R_2) - |\delta(g'_3, a_3) - \delta(r_2, R_2)|.$$

We would like to show that $|\delta(g'_3, a_3) - \delta(r_2, R_2)|$ is small. To calculate these distances let us denote $\beta = \angle b_2 g_2 x_{23}$ and $\alpha = \angle x_{23} g_2 g'_3$. Then, $\angle g_2 g_3 x_{23} = 90 - \alpha$ and $\angle g_2 g_3 b_3 = \angle g_3 g_2 b_2 = 2\alpha + \beta$. Thus, $\angle b_3 g_3 g'_3 = 90 - \alpha + 2\alpha + \beta = 90 + \alpha + \beta$. Hence, $\angle g'_3 g_3 a_3 = 90 - \alpha - \beta$ and we can calculate

$$\delta(g'_3, a_3) = \sin(90 - \alpha - \beta)\epsilon = \cos(\alpha + \beta)\epsilon.$$

Further, by noticing that $\angle b_2 g_2 r_2 = 90 - \beta$ we calculate

$$\delta(r_2, R_2) = 2 \sin(90 - \beta)(\epsilon/2) = \cos(\beta)\epsilon.$$

Now, $\cos(\beta) = \frac{1}{\sqrt{1+\epsilon^2}}$, $\sin(\beta) = \frac{\epsilon}{\sqrt{1+\epsilon^2}}$, $\cos(\alpha) = \sqrt{1 - \epsilon^2}$, $\sin(\alpha) = \epsilon$, and therefore

$$\cos(\alpha + \beta) = \cos(\alpha) \cos(\beta) - \sin(\alpha) \sin(\beta) = \frac{\sqrt{1 - \epsilon^2}}{\sqrt{1 + \epsilon^2}} - \frac{\epsilon^2}{\sqrt{1 + \epsilon^2}}.$$

Thus

$$\begin{aligned} |\delta(g'_3, a_3) - \delta(r_2, R_2)| &= \epsilon \cos(\beta) - \epsilon \cos(\alpha + \beta) \\ &= \epsilon \left(\frac{1}{\sqrt{1 + \epsilon^2}} - \frac{\sqrt{1 - \epsilon^2}}{\sqrt{1 + \epsilon^2}} + \frac{\epsilon^2}{\sqrt{1 + \epsilon^2}} \right) \\ &\leq \epsilon \left(\frac{1 - (1 - \epsilon^2) + \epsilon^2}{\sqrt{1 + \epsilon^2}} \right) \\ &= \frac{2\epsilon^3}{\sqrt{1 + \epsilon^2}} \leq 2\epsilon^3. \end{aligned}$$

Finally, we conclude that

$$\delta(a_3, r_2) \geq \sqrt{1 + \epsilon^2}/8 - 2\epsilon^3 \geq 1 + \epsilon^2/32 - 2\epsilon^3 \geq 1 + \epsilon^2/64,$$

whenever $\epsilon < 1/128$. □

C Addendum to the proof of Lemma 23

Lower and upper bounds on q

Below we derive the following bounds on q

$$a + \frac{\beta^2}{2} - \frac{7\beta^4}{6} - 2a^2\beta^2 \leq q \leq a + \frac{\beta^2}{2} + \frac{\beta^4}{2}.$$

Proof. One can apply the law of cosines to the triangle $\triangle \tau(A)QO$ and obtain the equation

$$\delta(\tau(A), O)^2 + \delta(O, Q)^2 - 2 \cos(\angle \tau(A)OQ) \delta(\tau(A), O) \delta(O, Q) = \delta(\tau(A), Q)^2.$$

Inserting the values $\delta(\tau(A), O) = \frac{1}{2} - a$, $\delta(\tau(A), Q) = 1$ and $\cos(\angle \tau(A)OQ) = \cos(\pi - 2\beta) = -\cos(2\beta)$, we get the equation

$$\left(\frac{1}{2} - a\right)^2 + \delta(O, Q)^2 + 2 \cos(2\beta) \left(\frac{1}{2} - a\right) \delta(O, Q) = 1.$$

Solving the quadratic equation yields

$$\delta(O, Q) = -\cos(2\beta) \left(\frac{1}{2} - a \right) \pm \sqrt{1 - \left(\frac{1}{2} - a \right)^2 + \left(\cos(2\beta) \left(\frac{1}{2} - a \right) \right)^2}.$$

This equation has one positive and one negative root, and therefore we must choose the positive sign. Hence,

$$\begin{aligned} q &= \delta(O, Q) - \frac{1}{2} \\ &= -\frac{1}{2} - \frac{\cos(2\beta)}{2} + a \cos(2\beta) + \sqrt{1 + \left(\frac{1}{2} - a \right)^2 (\cos(2\beta))^2 - 1} \\ &= a - \cos^2(\beta) - 2a \sin^2(\beta) + \sqrt{1 - \left(\frac{1}{2} - a \right)^2 \sin^2(2\beta)}. \end{aligned}$$

Consider now

$$K = \left(\frac{1}{2} - a \right)^2 \sin^2(2\beta) = \left(\frac{1}{2} - a \right)^2 4 \sin^2(\beta) \cos^2(\beta) = (1 - 2a)^2 \sin^2(\beta) (1 - \sin^2(\beta)).$$

Expanding the brackets, one deduces that

$$K = \sin^2(\beta) - 4a \sin^2(\beta) + 4a^2 \sin^2(\beta) - \sin^4(\beta) + 4a \sin^4(\beta) - 4a^2 \sin^4(\beta) \geq \sin^2(\beta) - 4a \sin^2(\beta) - \sin^4(\beta),$$

because both $4a^2 \sin^2(\beta)$ and $4a \sin^4(\beta) - 4a^2 \sin^4(\beta)$ are non-negative. This allows us to obtain the desired upper bound for q :

$$\begin{aligned} q &= a - \cos^2(\beta) - 2a \sin^2(\beta) + \sqrt{1 - K} \\ &\leq a - \cos^2(\beta) - 2a \sin^2(\beta) + 1 - \frac{K}{2} \\ &\leq a - \cos^2(\beta) + 1 - 2a \sin^2(\beta) - \frac{\sin^2(\beta)}{2} + 2a \sin^2(\beta) + \frac{\sin^4(\beta)}{2} \\ &= a + \sin^2(\beta) - \frac{\sin^2(\beta)}{2} + \frac{\sin^4(\beta)}{2} \\ &\leq a + \frac{\beta^2}{2} + \frac{\beta^4}{2}. \end{aligned}$$

It is also easy to derive that $K \leq (1 - 2a)^2 \sin^2(\beta)$ and in particular

$$K^2 \leq (1 - 2a)^4 \sin^4(\beta) \leq (1 + 2\Lambda)^4 \sin^4(\beta) \leq (1 + 2/12)^4 \sin^4(\beta) \leq 2 \sin^4(\beta).$$

This allows us to deduce the lower bound for q :

$$\begin{aligned}
q &= a - \cos^2(\beta) - 2a \sin^2(\beta) + \sqrt{1 - K} \\
&\geq a - \cos^2(\beta) - 2a \sin^2(\beta) + 1 - \frac{K}{2} - \frac{K^2}{2} \\
&\geq a - \cos^2(\beta) + 1 - 2a \sin^2(\beta) - \frac{\sin^2(\beta)}{2} + 2a \sin^2(\beta) - 2a^2 \sin^2(\beta) - \frac{2 \sin^4(\beta)}{2} \\
&\geq a + \sin^2(\beta) - \frac{\sin^2(\beta)}{2} - 2a^2 \sin^2(\beta) - \sin^4(\beta) \\
&\geq a + \frac{\sin^2(\beta)}{2} - 2a^2 \sin^2(\beta) - \sin^4(\beta) \\
&\geq a + \frac{1}{2} \left(\beta - \frac{\beta^3}{6} \right)^2 - 2a^2 \beta^2 - \beta^4 \\
&\geq a + \frac{\beta^2}{2} - \frac{\beta^4}{6} - 2a^2 \beta^2 - \beta^4 \\
&\geq a + \frac{\beta^2}{2} - \frac{7\beta^4}{6} - 2a^2 \beta^2.
\end{aligned}$$

□