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Lenition, Perception, and Neutralisation

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Lenition, perception, and neutralisation

Abstract

This paper argues that processes traditionally classified as lenition fall into at least two subsets with distinct phonetic reflexes, formal properties, and characteristic contexts. One type, referred to as loss lenition, frequently neutralises contrasts in positions where they are perceptually indistinct. The second type, referred to as continuity lenition, can target segments in perceptually robust positions, increases the intensity and/or decreases the duration of those segments, and very rarely results in positional neutralisation of contrasts. While loss lenition behaves much like other phonological processes, analysing continuity lenition is difficult or impossible in standard phonological approaches. We develop a phonetically based optimality-theoretic account that explains the typology of the two types of lenition. The crucial proposal is that, unlike loss lenition, continuity lenition is driven by constraints that reference multiple prosodic positions.

1 Introduction

This paper proposes a new analysis of certain lenition and fortition phenomena. The terms *lenition* and *fortition* have been used to describe an extremely broad class of phonological patterns; one claim of the current paper is that this class includes at least two sets that are phonetically and phonologically distinct and thus require different analyses. We call these two sets loss lenition and continuity lenition. ‘Loss lenition’ is meant to suggest the loss of length, features, or gestures; it also often entails the loss of one or more contrasts. Loss lenition generally targets consonants or contrasts in perceptually weak positions, such as the ends of prosodic domains. Debuccalisation (e.g. /k/ → [ʔ]) is an example of loss lenition. ‘Continuity lenition’ is meant to suggest that consonants are realized so as to minimize the auditory disruption they create in the context of high-intensity sounds. By hypothesis, these phenomena are driven by preferences for preserving auditory continuity inside prosodic constituents, and maximizing auditory disruption at the edges of constituents. Continuity lenition often targets consonants in perceptually strong positions, such as in between vowels. Unlike loss lenition, it rarely neutralises contrasts that are present elsewhere in a language; instead it is generally accompanied by domain-initial fortition. Spirantisation (e.g. /k/ → [x]) is an example of continuity lenition.

We propose here that the two types of lenition are driven by different sets of constraints. Loss lenition has no special status in phonology; it is a label for a set of phenomena that do not share the special formal and functional properties of continuity lenition. As such, it is driven by whatever constraints drive other kinds of positional neutralisation or allophonic patterns. There is no shortage of proposals in the literature for what kinds of constraints these may be; we briefly discuss the issue in section 3.

Continuity lenition, on the other hand, cannot be accurately described using any previously proposed constraint family. All previous proposals predict that positional neutralisation should be a pervasive consequence of continuity lenition, but it is not. We introduce a new family of constraints, Boundary-Disruption, that call for points of auditory disruption to occur at *and only at* prosodic boundaries of a particular strength. In this theory, continuity lenition is simply any pattern driven by Boundary-Disruption constraints. These constraints are unusual in marking one

set of segments in one prosodic context, and the complement of those segments in complementary contexts; for instance, one such constraint favors stops at prosodic boundaries while continuants are favored internally. This property predicts that allophonic variation should be the norm in continuity lenition, with the possibility of positional neutralisation severely constrained. The typological claim for which we argue in sections 2 and 4 is that continuity lenition involving a single feature never neutralises contrasts in the absence of some confounding positional factor. The principal ‘confounding factors’ alluded to in this statement are prosodic prominence (stress/accent) and status as a root vs. affix.

Several of the generalizations taken up here exist in one ‘corner’ of the phonetic or phonological literature on lenition without having much impact on other areas of the literature. One goal of the current paper is to provide a better theory of lenition by uniting these disparate threads. Loss lenition and continuity lenition occur in different positions, involve different features, and affect contrast differently because they result from different types of constraints: markedness and positional faithfulness in the case of loss lenition; constraints on the perceptual properties of prosodic systems in the case of continuity lenition.

The paper is structured as follows: in the remainder of this section, we introduce relevant background about contrast, lenition, and positional factors; section 2 presents a typological overview and analysis of continuity lenition; section 3 more briefly discusses loss lenition; section 4 discusses cases of apparent neutralising lenition; section 5 discusses the theoretical and empirical implications of the analysis.

1.1 Allophony and neutralisation

All theories of phonology share a fundamental prediction about features and contrast: if some process or constraint has the effect of changing a feature, and if that feature is contrastive in some language, then the process or constraint can neutralise a contrast in a language where the relevant feature is contrastive.¹ This is an eminently sensible claim, and is rarely explicitly acknowledged, because it seems to self-evidently reflect a basic feature of phonology: allophonic processes have neutralising counterparts and *vice versa*. This is illustrated in (1) with pre-/i/ palatalisation, but many common phonological phenomena would suffice to make the point.

(1) Pre-/i/ palatalisation:

allophonic in Korean (Yoon 1999):

[sal] ‘flesh’ *[çal]

[çi] ‘poem’ *[si]

neutralising in Japanese (Li *et al.* 2009):

[saru] ‘monkey’ [çaçiŋ] ‘photo’;

[çika] ‘deer’ *[sika]

¹ Articulatory Phonology (Browman & Goldstein 1986) is a partial exception: it does not allow gestures to be deleted, only reduced to activation and duration approaching zero. So there is a sense in which ‘deletion’ is never neutralising in this framework, because it isn’t really deletion.

The Korean pattern suggests a rule that changes /s/ to [ç] before /i/, or a markedness constraint against [si] sequences. Alveolar and alveopalatal fricatives happen not to contrast in Korean, so the pattern is allophonic. If instead alveolar and alveopalatal fricatives contrasted before other vowels, this process would result in positional neutralisation before [i]; this is precisely what we see in Japanese. The rule or constraint responsible for this pattern contains a reference to the feature [anterior] that distinguishes /s/ and /ç/, but does not contain any reference to the contrastiveness (or lack thereof) of [anterior]. The process ‘doesn’t care’ whether it results in neutralisation.

We show in this paper that continuity lenition is a *prima facie* counterexample to this basic prediction of phonological theory. We argue that intervocalic voicing of /p/ to /b/, for instance, is always allophonic and never neutralises a voicing contrast available in word-initial position. Other continuity lenition phenomena are similar (though a few exceptions are discussed in section 4). In other words, the processes that occur in a language are not independent of the system of contrasts in that language: some processes (e.g. intervocalic voicing) systematically fail to occur in languages with certain systems of contrast (e.g. voicing contrasts). This is problematic for linguistic theory. In section 2, we attempt to resolve the problem by linking the realization of both initial and medial consonants to a single constraint family. This analysis essentially says that continuity lenition is ‘special’ because it pertains not solely to properties of individual segments, but to the global implementation of prosodic structure.

1.2 *The nature of lenition*

The term *lenition* is used to refer to a wide array of synchronic and diachronic phenomena; the lenition literature is enormous and goes back decades if not centuries. We do not attempt a comprehensive review here. Honeybone (2008) gives an extremely detailed history of the terminology and ideas surrounding lenition; Kirchner (1998) and Gurevich (2003) offer review chapters concerned with empirical and theoretical details of more recent literature. This section focuses on aspects of lenition that will be particularly relevant in the current context: the phonetic and functional nature of lenition, and the question of whether lenition is a unified phenomenon.

To know how lenition works, we must first agree on what *lenition* means. Although there is a fair bit of variation in how the term is used, most researchers agree on certain core phenomena that ‘count’ as lenition: we take these to include at least the processes listed in (2).

- (2) Some examples of lenition processes:
- degemination*: a long consonant becomes short (t: → t)
 - debuccalisation*: loss of supralaryngeal features (t' → ?)
 - voicing*: voiceless obstruents become voiced (t → d)
 - spirantisation*: stops become continuants (t → θ)
 - flapping*: stops and/or trills become flaps (t → ɾ)

Why do we have a name for this collection of processes? Some phonetic or phonological proposals treat the collection as unified. For instance, some approaches characterise all lenition phenomena as reduction. One sense of ‘reduction’ would be coming closer to non-existence, and Hyman (1975) quotes Venneman describing lenition in this way: ‘a segment X is said to be weaker than a segment Y if Y goes through an X stage on its way to zero’. This could be understood as referring to either diachronic or synchronic phenomena: all lenition processes have a unified characterisation as motion along a scale that ends in zero. This notion finds a parallel in the feature-geometric notion of node delinking (McCarthy 1988) and in Element Theory, where lenition is the loss of privative features (Harris 1990, Ségéral & Scheer 1999). Debuccalisation, for instance, is the deletion of supralaryngeal place features or delinking of the place node; if we continue deleting or delinking, we will eventually be left with a null segment.

These are abstract, phonological notions of lenition. The phonetic nature of the phonological predicates being lost is not really relevant: lenition is simply the loss of any phonological material, by definition a phonological step on the path to zero. Noting weaknesses in this approach, other researchers attempt to ground the notion of weakening or reduction in physical terms. Kirchner (1998) provides one such analysis: lenition is any phonological phenomenon that results from a reduction in articulatory effort. This is a unified theory of lenition in both phonetic and phonological terms: lenition phenomena are driven by grammatical constraints that refer to levels of physical effort; this constrains the set of possible languages because if any less effortful structure is subject to lenition then so are all more effortful structures. In this approach, spirantisation of a stop to a fricative between two vowels, for example, occurs because the constriction target for the fricative, being wider than for the stop, is closer to the targets for the flanking vowels, and moving to and from that target is therefore less effortful. If a stop lenites to a fricative adjacent to a word boundary or consonant, where movement to a stop closure would be less effortful, then it must also lenite between two vowels, where the movement would be more effortful.

Kingston (2008) provides strong arguments, both *a priori* and empirical, that this effort-based conception of lenition is wrong, at least for voicing and spirantisation. The *a priori* arguments concern the notion of effort: the difference between stop and fricative articulation is one of millimeters and the difference in effort would be negligible; furthermore, the increased precision required for a fricative may well be *more* effortful than for a stop, which is free to overshoot its target. Kingston’s empirical arguments concern distinctions in triggering environments for lenition: because the articulatory differences in stricture between various consonants are small compared to the differences in stricture between vowels, if lenition is sensitive to articulatory distance, then lenition processes should be sensitive to the height of surrounding vowels more often than they are sensitive to the stricture of surrounding consonants. Kingston shows that the opposite is true: lenition frequently applies adjacent to some consonants but not others, while Kirchner’s few examples of sensitivity to the height of surrounding vowels are, Kingston argues, either illusory or subject to different analyses.

Kingston’s proposal for what *is* relevant to lenition will figure prominently in this paper: he proposes that lenition and fortition are two sides of the same coin, decreasing intensity at the edges of prosodic constituents and increasing intensity internal to those constituents. For instance, voiced stops are more intense than voiceless stops; fricatives and approximants are

more intense than stops; vowels are generally the most intense segments. Intervocalic voicing and spirantisation can thus be characterized as rendering consonants more similar to surrounding vowels in terms of intensity, and therefore less disruptive in the context of those vowels. Fortifying or failing to lenite at the beginning or end of a constituent will render consonants in these positions less like vowels in terms of intensity than their lenited counterparts would be, and thus more disruptive. This is where the label *continuity* lenition (ours, not due to Kingston) comes from: the proposal is that these types of lenition are primarily organised to preserve auditory continuity within prosodic constituents.

The motivation behind this pattern is that it aligns auditory disruptions with constituent boundaries, and lack of disruption with lack of boundaries, which plausibly helps a listener detect where the boundaries are. This general idea has precursors in the work of Keating (2006) and Harris (2003). Although there has been little experimental work on how these particular features contribute to listeners' perception of continuity and disruption, there is a large literature showing that allophonic variation in general can be helpful during word segmentation. Infants as young as 10.5 months can use allophonic patterns such as coarticulation (Johnson & Jusczyk 2001) and stop non-release (Jusczyk *et al.* 1999) to aid word segmentation. Adults also use allophonic patterns to aid in word segmentation (Nakatani & Dukes 1977). Prosodically conditioned duration variation such as final lengthening aids in word segmentation (Saffran *et al.* 1996, Bagou *et al.* 2002); we suggest in this paper that contextual duration variation is a central component in some lenition processes.

1.3 Two kinds of lenition

While this explanation seems promising for processes like spirantisation and voicing, there are many other phenomena that are sometimes referred to as lenition but have completely different phonetic and phonological properties; this residue is what we refer to as loss lenition. Work from the last 20 years has shown that the two kinds of lenition can be distinguished phonologically. We briefly review some of those studies.

Ségéral & Scheer (1999) are to our knowledge the first to point out the following: some types of lenition, such as spirantisation and voicing, frequently occur intervocalically to the exclusion of other contexts; other types, such as debuccalisation and liquid gliding, do not single out intervocalic position, but frequently occur in coda position to the exclusion of other contexts. The observation bears on the attempt within element theory and government phonology to characterize all types of lenition as feature loss (Harris 1990). In this view, intervocalic and coda lenition contexts share properties pertaining to complex hidden structure. Ségéral & Scheer's observation is problematic, because if all lenition processes are 'the same' at some level of phonology, it is hard to explain why they occur in different characteristic contexts. Many earlier works, indeed, analyzed intervocalic lenition as feature-spreading (e.g. Harris 1984, Jacobs & Wetzels 1988), which implies that it must be different from domain-final lenition. There is no obvious way, for instance, in which debuccalisation could be analyzed as feature spreading. Nonetheless, we are not aware of any explicit mention in this earlier literature of the differences between intervocalic and domain-final lenition.

Subsequent research has produced a substantive view of this split (Ségéral & Scheer 2008, Szigetvári 2008). Smith (2008), for instance, proposes the following: processes that typically occur in syllable-, word-, or phrase-final position involve a reduction in phonological markedness, while processes that typically occur in medial position involve an increase in sonority. Although we largely agree with the divisions Smith makes, we do not agree that the notion of intensity that is relevant to lenition is the same as the one relevant to sonority phenomena. The idea that lenition progresses along the same scale relevant to sonority phenomena is expressed by, among others, Smith (2008), Kingston (2008) and Bye & de Lacy (2008). We briefly digress to explain why we find this implausible.

Kirchner (1998) and Szigetvári (2008) argue that the scales involved in lenition are not the same as those involved in sonority phenomena. One problem is that nasals clearly participate in sonority phenomena, while lenition never results in alternations between nasal and oral consonants. A second problem pertains to obstruents. Szigetvári (2008) notes that lenition frequently distinguishes between various obstruents while sonority sequencing rarely does. In our view, the problem is even worse: at least one scalar relation must be *reversed* between the two domains. If we take typological asymmetries in complex onset ‘reversability’ (i.e., the sonority sequencing principle) to be a diagnostic of sonority, voiceless non-sibilant fricatives come out *lower* in sonority than voiceless stops.² Many languages allow voiceless fricative-stop onsets while disallowing stop-fricative, e.g. Muniche (Michael *et al.* 2013), Takelma (Sapir 1912), Yatée Zapotec (Jaeger & van Valin 1982), and Camsa (Howard 1967), *inter alia*. The opposite pattern is extremely rare: Nivkh (Shiraishi 2006) is the only example of which we are aware. Yet voiceless fricatives are often the result of leniting voiceless stops, as in Tiberian Hebrew and Kupia (Gurevich 2003). This argues against conflation of the concept *sonorous* with the concept *lenis*.

In what follows, we take the primary diagnostic criterion for continuity lenition to be the position in which it occurs: continuity lenition always targets intervocalic consonants, and lenition processes that target intervocalic consonants (to the exclusion of others) are always continuity-based. Note that many continuity lenition processes target medial consonants in other positions in addition to intervocalic ones. A secondary criterion is the realization of medial consonants as less disruptive, in a sense to be made more precise in section 2. It is not always immediately apparent what ‘counts’ as continuity lenition and what does not. In particular, features involved in continuity lenition, such as voicing and continuancy, are sometimes targeted by other processes that are *not* continuity lenition. Some ambiguous cases are discussed in section 4.

1.4 Lenition and contrast

A final claim that serves as a starting point for this paper is that lenition rarely neutralises a contrast in one position that is available in another position in the same language. Gurevich (2003) documents this tendency with an impressive cross-linguistic survey of lenition and contrast. We argue in this paper that, because Gurevich failed to distinguish between continuity and loss lenition, she missed part of the generalisation: loss lenition is as likely as any other

² Morelli (1999) makes a similar claim, based mainly on sibilant fricatives, which pattern differently with regard to cluster sequencing.

process to result in positional neutralisation; when we limit our attention to continuity lenition, the number of neutralising cases is vanishingly small and corresponds to the exceptions predicted by BD constraints.³ Using a fairly expansive definition of lenition and a fairly restrictive notion of neutralisation, Gurevich gathers 230 lenition processes from 153 languages, classifying 8% of them as neutralising. Gurevich's survey is heavily skewed towards continuity lenition, but almost all of the neutralising cases she discusses are loss lenition (or processes like voicing assimilation that most researchers wouldn't label as lenition). She finds zero neutralising cases of voicing and tapping; four continuancy alternations are classified as neutralising, but we argue in section 4 that these are different from spirantisation lenition.

The main claim of this paper, then, is that a cluster of properties in three different domains distinguishes between loss and continuity lenition: loss lenition involves the loss of features or segments, is most likely in perceptually weak positions, and may neutralise contrasts; continuity lenition involves increased intensity and/or decreased duration, is most likely between vowels, and rarely neutralises contrasts licensed in other positions. This last fact is particularly troubling because phonological theory predicts that every allophonic process has a neutralising counterpart. We argue here that Kingston's hypothesis helps solve this problem: because continuity lenition indicates that a prosodic boundary is not present, it is most useful when coupled with a strategy for indicating when a boundary *is* present, i.e., fortition. If constraints refer directly to this motivation, the allophonic nature of continuity lenition is explained.

2 Continuity lenition and boundary marking

2.1 *The typology of continuity lenition*

The distinguishing characteristics of what we call continuity lenition are that it often targets consonants in intervocalic position to the exclusion of consonants in other positions and that it results in consonants that are shorter and/or more intense than their counterparts in other positions. The two most frequent types of continuity lenition are spirantisation and voicing; we briefly illustrate these below.

In spirantisation, stops in one context alternate with fricatives or approximants in another context. This is illustrated with data elicited from two Venezuelan Spanish speakers in (3); the pattern is similar across many varieties of Spanish (Harris 1969, Bakovic 1995). Voiced stops are in complementary distribution with approximants (notated as fricatives here and throughout the paper for typographical ease). Stops appear at the beginning of a phonological phrase, as in the left column. In between vowels, approximants, or glides, we instead find continuants, as in the middle two columns. Following a nasal, only stops appear, as in the right column. The coronal stop remains a stop following /l/. Finally, clusters of two voiced obstruents, which are fairly rare in Spanish, are generally described as continuants (Harris 1969, Bakovic 1995). Our elicitation suggests, based on the presence or absence of audible stop bursts, that all combinations of stop and continuant in either position are possible, but stops in both positions do often spirantise. The only voiced stop that appears word-finally, and thus phrase-finally, in Spanish is the coronal one.

³ This claim is a stronger version of one that Smith (2008) attributes to an anonymous reviewer: neutralisation is more likely for coda lenition than intervocalic lenition.

In this position, it is somewhat difficult to characterise phonetically: it displays neither an audible release nor any period of frication; preceding a vowel in a following phrase it is often heavily glottalised (this dialect inserts glottal stop in vowel-initial phrases). The non-glottalised realisations are consistent with an unreleased voiced stop or an extremely short approximant.

(3) Spanish spirantisation

#__	[+approx]__V	V_[+approx]	[+nas]__V
[goðo] ‘Goth’	[bisiyoðo] ‘Visigoth’	[reɣla] ‘rule’	[uŋgoðo] ‘a Goth’
[beso] ‘kiss’	[elβeso] ‘the kiss’	[oβra] ‘work’	[umbeso] ‘a kiss’
[dia] ‘day’	[ojðia] ‘nowadays’	[siðra] ‘cider’	[undia] ‘a day’
Other:	[eldia] ‘the day’	[suβðito] ‘subject’	[mayða] ‘Magda’
Phrase-final:	[sjuðad̪] ~ [sjuðad̪ʔ] ‘city’	[baɣðad̪] ~ [baɣðad̪ʔ] ‘Baghdad’	

Ondarroan Basque shows a nearly identical pattern to Spanish (Saadah 2011). Kinande also spirantises voiced stops in between vowels and glides but not after nasals; this is illustrated in (4) with data elicited from a native speaker. Note that consonant-glide and nasal-stop sequences are the only clusters in this language. In phrase-initial and medial post-nasal positions (left column), voiced stops surface. But when a vowel occurs before the relevant consonant due to affixation or lexical variation (right column), continuants appear instead. While (4) is meant to show alternations, the most obvious evidence for spirantisation in Kinande, as in Spanish, is the complementary distribution of voiced stops and approximants.

(4) Kinande spirantisation

[boloβolo] ‘bit by bit’	[oβoloβolo] ‘bit by bit’ (variant)
[gereyere] ‘perfect’	[omuyereyere] ‘perfect person’ (human/class 1)
[embwa] ‘dog’ (class 9)	[akaβwana] ‘young dog’ (diminutive/class 12)
[eŋgemu] ‘tax’ (class 9)	[eriyemula] ‘to pay a tax’

Similar patterns of spirantisation (sometimes limited to specific places of articulation) occur in Badimaya (Dunn 1988), Shina (Schmidt & Kohistani 2008), and Japanese (Kawahara 2006). These data illustrate several widespread cross-linguistic characteristics of spirantisation. It is most frequently observed in between vowels or sonorant consonants, less frequently observed in medial clusters and final position, and is frequently blocked following nasals. It rarely results in positional neutralisation of contrasts that are available elsewhere in a language. Kirchner (1998), Lavoie (2001) and Gurevich (2003) provide brief descriptions of dozens more spirantisation phenomena, which overwhelmingly conform to this characterisation.

In voicing lenition, voiceless stops in initial position alternate with voiced stops elsewhere. This is illustrated in (5) with an optional alternation from Sanuma. Stops (and the alveolar affricate)

do not contrast for voicing in any position in Sanuma: voiceless unaspirated stops appear word-initially, and voiced stops may appear elsewhere.

(5) Sanuma optional voicing (Borgman 1986)

#__	V__V
[telulu] ‘dance’	[hude] ‘heavy’
[paso] ‘spider monkey’	[iba] ‘my’
[kahi] ‘mouth’	[ãga] ‘tongue’
[tsinimo] ‘corn’	[hadza] ‘deer’

Because Sanuma is largely a CV language, the ‘elsewhere’ condition is intervocalic. A similar optional intervocalic voicing phenomenon is described in Urubu-Kaapor (Kakumasu 1986). Voicing lenition in a language with more complex phonotactics is illustrated in (6) with data from Chungli Ao. The pattern is shown only for the velar stop; it is identical for the labial and coronal stops and the palatal affricate (Gowda 1975). Word-initially, voiceless aspirated and unaspirated stops are in free variation (6a). In between vowels and sonorant consonants (6b), voiced stops appear instead. Clusters of obstruents are voiceless unaspirated (6c). Word-final stops are described as unreleased and voiceless (6d).

(6) Chungli Ao voicing (Gowda 1975)

(a)	ka ~ k ^h a ‘one’	ki ~ k ^h i ‘house’
(b)	aga ‘short’	tegu ‘chest’
	ajga ‘many’	lɔŋgi ‘hole (made by insect)’
(c)	jakta ‘soon’	asetkɔŋ ‘island’
(d)	sak̚ ‘open’	jo̚k̚ ‘send’

It is not clear from the description whether obstruents following a vowel and preceding a sonorant are voiced; this context would fall under the elsewhere condition given for unvoiced stops, but it is not specifically discussed and the only examples of words with such clusters are given in phonemic transcription that ignores allophonic voicing. Popjes & Popjes (1986) describe a very similar pattern in Canela-Krahô, although there is some ambiguity in the description of the environment (hinging on whether the term ‘voicing’ in the descriptions ‘preceding voicing’ and ‘following voicing’ is meant to include vowels).

These examples illustrate several generalisations about voicing lenition. It most often targets intervocalic consonants, resulting in complementary distribution between voiced intervocalic obstruents and voiceless initial ones. It also sometimes affects obstruents adjacent to liquids, glides, and nasals. Unlike spirantisation, we have no cases where voicing lenition extends to final obstruents or through clusters of obstruents. The theoretical proposal in section 2.5, however, does not attempt to ‘hard-wire’ this restriction into phonology; we do not have a large enough sample to conclude that it is a systematic typological fact. Kirchner (1998), Lavoie (2001) and Gurevich (2003) provide brief descriptions of many more voicing phenomena (although not as

many as spirantisation, which seems to be more common), which overwhelmingly conform to the generalisations given here.

2.2 *The problem with continuity lenition*

There has been an enormous amount of interesting work on the analysis of lenition, using many different formal devices: positional markedness (Smith 2008), positional faithfulness (Kingston 2008), articulatory constraints (Kirchner 1998), the dispersion theory of contrast (Kaplan 2010), and government phonology (Ségéral & Scheer 2008, Szigetvári 2008) are all represented in this literature. While all of these texts offer interesting data, insights, and analysis, I argue that they share a problematic feature: lenition may be neutralising, fortition may be neutralising, and there is no implication between the two. If our claim about the near-absence of neutralising continuity lenition (and its inverse fortition) is correct, then none of these approaches can describe the facts correctly. We illustrate with a simplified version of Smith's (2008) positional markedness theory, because her proposal is laid out in an admirably clear and precise manner. The same general logic applies to the other approaches mentioned above, while details obviously differ. The basic constraints driving lenition and fortition are shown in (7):

- (7) Smith's (2008) positional markedness theory:
 *VTV: assign a mark to every voiceless sound between vowels
 *D/[_]: assign a mark to every voiced obstruent in onset
 IDENT[voi]: assign one mark to every [voi] specification in the output that differs from its input correspondent

Positional markedness constraints drive voicing lenition in between vowels and devoicing fortition in syllable onsets. Voicing specifications are protected by faithfulness. It should be clear that if the lenition and fortition constraints dominate faithfulness, segments always lenite and fortify in the relevant positions, and the result is allophony. Equally clear is that if the faithfulness constraint dominates both lenition and fortition constraints, segments will never change their underlying voicing specifications, and the result will be contrasts in all positions. What particularly interests us here is the two other possible configurations, where faithfulness is ranked in between lenition and fortition.

These are illustrated schematically in (8) and (9). In (8), stops contrast for voicing initially but neutralise to voiced in between vowels. In (9), stops contrast for voicing in coda position but neutralise to voiceless in onset position. Although hundreds of cases of continuity lenition have been described, these language types are virtually unattested. A handful of cases that resemble (8) are discussed in section 4 and given different analyses. We are not aware of any languages like (9) that preserve laryngeal contrasts in pre-consonantal and domain-final position while neutralising them elsewhere. As long as there are two positional constraints (markedness or faithfulness) with a general constraint of the other type (markedness vs. faithfulness) ranked between them, however, patterns like those in (8) and (9) are always possible outcomes.

- (8) **Unattested language type I:**
intervocalic lenition (c, d); contrast elsewhere (a, b)

(a)

pa	*VTV	IDENT[voi]	*D/°[__]
☞ pa			
ba		*!	*

(c)

apa	*VTV	IDENT[voi]	*D/°[__]
☞ aba		*	*
apa	*!		

(b)

ba	*VTV	IDENT[voi]	*D/°[__]
☞ ba			*
pa		*!	

(d)

aba	*VTV	IDENT[voi]	*D/°[__]
☞ aba			*
apa	*!	*	

- (9) **Unattested language type II:**
syllable-initial fortition (c, d); contrast elsewhere (a, b)

(a)

ap	*D/°[__]	IDENT[voi]	*VTV
☞ ap			
ab		*!	

(c)

ba	*D/°[__]	IDENT[voi]	*VTV
☞ pa		*	
ba	*!		

(b)

ab	*D/°[__]	IDENT[voi]	*VTV
☞ ab			
ap		*!	

(d)

pa	*D/°[__]	IDENT[voi]	*VTV
☞ pa			
ba	*!	*	

Although the discussion here is couched in OT terms, this is not an OT problem: the absence of positional neutralisation is problematic for all existing theories. In an approach where lenition is a default fill-in rule (Jacobs & Wetzels 1988), one must explain why these cross-linguistically common rules differ from others in precisely the matter of always being a default fill-in rule. In government phonology (Ségéral & Scheer 1999 *et seq.*) or element theory (Harris & Urua 2001), one must explain why prime-deletion only targets primes that are not contrastive in other positions. And under the hypothesis that lenition is blocked from diachronically entering a language by contrast maintenance (Gurevich 2003), one must explain why lenition differs from other sound changes (e.g. final devoicing) in this respect.

We claim that the analysis of continuity lenition ought not to display the formal properties that allow positional neutralisation. How do we avoid such predictions? Note that the rankings for attested languages are those where lenition and fortition constraints are ranked the same with regard to faithfulness; in unattested languages, they are differently ranked with regard to faithfulness. This suggests a formal solution: there is only one constraint enforcing both lenition and fortition. If lenition and fortition constraints are not separate, then they can't be ranked on either side of an intervening faithfulness constraint. To illustrate this point, we use a temporary 'placeholder' constraint LEN-FORT, remaining vague about its content until section 2.3. For now,

the only important point is that LEN-FORT militates against both lenited consonants in initial position and unlenited consonants in medial positions.

The interaction of this constraint with faithfulness is illustrated in (10). With regard to any given lenition process, these two constraints only derive two language types. In (10a), lenition obtains domain-medially and fortition obtains initially; the result is complementary distribution. In (10b), neither lenition nor fortition obtains; the result is contrast in all positions. We claim that this is essentially the correct typology for single-feature lenitions of the type illustrated here.

(10) Unified constraints eliminate positional neutralisation

(a) Allophonic lenition and fortition

pa	LEN-FORT	IDENT[VOI]
☞pa		
ba	*!	*

apa	LEN-FORT	IDENT[VOI]
apa	*!	
☞aba		*

ba	LEN-FORT	IDENT[VOI]
☞pa		*
ba	*!	

aba	LEN-FORT	IDENT[VOI]
apa	*!	*
☞aba		

(b) No lenition or fortition; contrast everywhere

pa	IDENT[VOI]	LEN-FORT
☞pa		
ba	*!	*

aba	IDENT[VOI]	LEN-FORT
apa	*!	*
☞aba		

ba	IDENT[VOI]	LEN-FORT
pa	*!	
☞ba		*

apa	IDENT[VOI]	LEN-FORT
☞apa		*
aba	*!	

Although the unified formalism in (10) seems to be a step in the right direction in terms of analysing continuity lenition, it relies on a formally unusual constraint. LEN-FORT calls for two opposite properties (lenis, fortis) to hold in complementary sets of environments. This is quite unlike most markedness constraints, even positional ones, which mark entities in a single environment. In the next section, we propose a family of constraints that fulfill this unusual function.

2.3 Boundary-disruption constraints

Why would a constraint simultaneously target a feature value in one set of positions and the opposite feature value in the complement set of positions? The strategy of changing feature values from one set of positions to its complement set, we suggest, is useful for delimiting prosodic units. If phonetic realisation is predictable from prosodic position, and *vice versa*, it is possible to identify prosodic units through phonetic properties.

Several researchers have proposed that lenition serves precisely such a demarcative function. Harris (2003) proposes that what makes lenition special is that it renders consonants more similar to the ‘carrier signal’, which is essentially an unobstructed vocal tract; in other words, lenition makes consonants less distinct from the vowels around them. Harris also claims, based on patterns of stem-initial contrast preservation in Ibibio, that lenition tends to make fewer contrasts available internal to prosodic domains. Although the view we argue for here has a lot in common with Harris’, we disagree with this last point: typological surveys show that continuity lenition is extremely unlikely to neutralise contrasts. Ibibio is discussed in section 4.

Kingston (2008) fleshes out the demarcation idea in a way that has more in common with our formulation: lenition tends to preserve auditory continuity of the speech stream domain-internally, by making consonants more like the vowels that surround them in terms of intensity. Conversely, fortition tends to disrupt continuity domain-initially, by making consonants less like surrounding sounds in terms of intensity. The idea of a demarcative function for ‘strengthening’ is also mentioned in work by Keating and various colleagues (see Keating 2006 for a review).

In this approach, continuity lenition is fundamentally about helping the listener distinguish between the presence and absence of a prosodic boundary. We can think of the relevant constraints as calling for the degree of auditory disruption at any point in the speech stream to match the degree of prosodic juncture at that point. A more specific way of implementing this general hypothesis is illustrated by the constraint schema in (11):

- (11) BOUNDARY-DISRUPTION (I, D, P): Intensity drops to amount *I* or lower for at least duration *D* *at and only at* a prosodic boundary of level *P*.

The constraint has three free parameters. The *P* parameter is meant to deal with the scalar nature of prosodic boundaries in triggering lenition and fortition: if a boundary at some level triggers fortition, then all higher-level boundaries do as well; if lenition applies across a boundary at some level, then it applies across all lower levels. The *I* and *D* parameters are meant to suggest the perceptual grounding of the constraints. We do not have a complete, experimentally-tested theory of what makes a consonant disruptive in a stream of vowels. But two dimensions that necessarily contribute to disruption, intensity and duration, will suffice to derive all of the lenition patterns discussed here. Our assumptions about the relative disruption associated with various consonant classes, based on gross characteristics of intensity and duration, are shown in (12). This is not meant to be a detailed quantitative model. As such, levels for the phonetic parameters are given in arbitrary small-integer units. The only crucial assumptions embedded in this toy model are the ones concerning relative values. For instance, while it is important for the theory that voiced stops have shorter durations than voiceless ones, it is not important at all that the former are assigned duration 2 and the latter duration 3. In what follows: T = voiceless stop; D = voiced stop; S = voiceless continuant; Z = voiced continuant; R = tap; J = glide.

(12) Disruption indices for major consonant classes

Class	Intensity	Duration
T	1	3
S	2	3
D	3	2
Z	4	2
R	5	1
J	6	2

These disruption indices and the phrasing *at and only at* in the constraint schema (11) combine to produce the unusual complementary properties noted in section 2.2. For example, the constraint BD(1,3,Wd) assigns one mark for every decrease in intensity to level 1 or lower for at least duration 3 (e.g. a voiceless stop) that fails to be adjacent to a word boundary; and one mark for every word boundary that fails to be adjacent to such a drop.

There are some complications with the notion ‘intensity of a segment’ that we will not settle here, but are worth calling attention to. Although the periodic component of voiced sounds adds intensity, the aperiodic components of these sounds are generally *less* intense than their voiceless counterparts. It may be that the relevant notion of intensity here is weighted towards the low end of the frequency spectrum. Although this seems sensible, we simply do not have the kind of phonetic data that would provide reliable evidence for such a hypothesis. Note that the hypothesis would help explain why sibilant fricatives are rare as the output of spirantisation (Kirchner 1998): while they are generally more intense than other fricatives, that intensity pertains to very high frequencies.

A further difficulty is that the intensity of a segment changes during the course of its articulation. This is most obvious for stops, but is plausibly true for other segments as well. We take the position that something like *average* intensity over the length of a segment is what is relevant to these constraints. A more principled way of dealing with stops would be to break them down into their component parts, so a voiceless stop might be characterised as 0 intensity for 2 units of time (closure) and then intensity 2 for 1 unit of time (burst), for instance. This approach is more complex than is required for the following analyses, so we do not pursue it here.

Different values of *I*, *D*, and *P* define a stringency hierarchy (DeLacy 2002). The idea is that if a BD constraint marks some segment as too disruptive internal to a constituent, then it marks all more disruptive segments as well; the same is true, *mutatis mutandis*, for constituent edges. Examples are shown in (13). Here and in other cases where duration is not directly at issue, we set the *D* parameter to 0. This means that any drop to level *I* for any duration at a boundary will satisfy the constraint, and any such drop for any duration medially will violate the constraint. The *D* parameter will not become crucial until section 2.5.

(13) The stringency hierarchy for BD constraints

(a) domain-medial

Constraint violations	BD(1,0,Wd)	BD(2,0,Wd)	BD(3,0,Wd)	BD(4,0,Wd)
VTV	*	*	*	*
VSV	√	*	*	*
VDV	√	√	*	*
VZV	√	√	√	*

(b) domain-initial

Constraint violations	BD(1,0,Wd)	BD(2,0,Wd)	BD(3,0,Wd)	BD(4,0,Wd)
[TV	√	√	√	√
[SV	*	√	√	√
[DV	*	*	√	√
[ZV	*	*	*	√

In (13), strings of segments are shown on the left. Their violations of various BD constraints are shown in the following columns. The constraint BD(2,0,Wd), for instance, sets an intensity threshold of 2 or lower that should only appear at a word boundary. Consonants like voiceless obstruents that are at that threshold or lower and do not appear at word boundaries, as in (13a), violate the constraint. The constraint also sets an intensity threshold of 2 or lower that *must* occur at word boundaries. Consonants like voiced obstruents that exceed the threshold and appear at word boundaries, as in (13b), also violate the constraint.

A few further properties of the analyses that follow are worth outlining here. They are subject to the general OT requirement known as Richness of the Base: phonological generalisations result from constraints, not from properties of the lexicon. This means that the proposed analyses must derive patterns of allophony in the output regardless of how the relevant features are configured in the input. In practical terms, this means that we present analyses of possible but never realised contrasting forms in every context in what follows. While this entails an unfortunate abundance of tableaux, it is an absolute necessity for the analysis to be explanatory in any way. We often also include one or more inactive (low ranked) BD constraints in tableaux. These are not crucial for the analyses, and can be safely ignored if the reader wishes. We include them to illustrate how the stringency hierarchy works and to stand in for the full set of inactive BD constraints.

With the BD constraint family in place, we turn to some analyses of the continuity lenition patterns presented in section 2.1. These patterns are very much the norm in cross-linguistic surveys. In section 4, we consider exceptional patterns of neutralising lenition and fortition.

2.4 Continuity lenition in Spanish

Analysing Spanish will require one crucially active BD constraint, and we include several more inactive ones for illustrative purposes:

- (14) BD(3,0,Phr): Intensity drops to 3 or lower for some duration *at and only at* a phonological phrase boundary.
 BD(4,0,Phr): Intensity drops to 4 or lower for some duration *at and only at* a phonological phrase boundary.
 BD(1,0,Phr), BD(2,0,Phr)...

Recall that in Spanish, voiced stops in phrase-initial position are in complementary distribution with continuants in medial positions. These facts are analyzed as in (15). This analysis is a good illustration of some of the formal properties of this approach. Lenited voiced segments in initial position and unlenited segments in medial position violate BD(3,0,Phr), which is high-ranked in Spanish. Candidates with initial voiced continuants violate the constraint because phrase-initial boundaries are not aligned with a drop in intensity to 3 or lower. Medial stops violate it because they entail a drop in intensity to 3 that is not aligned with a phrase boundary. These violations of a high-ranked markedness constraint compel violations of faithfulness to continuancy. The result is allophonic continuancy, as in (15). These tableaux show, in accordance with the richness of the base, that even if continuancy for voiced obstruents were *underlyingly* contrastive in Spanish, the contrast would be neutralised in an allophonic way on the surface.

- (15) Spanish spirantisation targeting voiced obstruents

gol	BD(3,0,Phr)	Id[cont]	BD(4,0,Phr)
yol	*!	*	
☞ gol			

lago	BD(3,0,Phr)	Id[cont]	BD(4,0,Phr)
lago	*!		*
☞ layo		*	*

yol	BD(3,0,Phr)	Id[cont]	BD(4,0,Phr)
yol	*!		
☞ gol		*	

layo	BD(3,0,Phr)	Id[cont]	BD(4,0,Phr)
lago	*!	*	*
☞ layo			*

Leniting a voiceless stop, on the other hand, provides ‘diminishing returns’: it is not enough to satisfy the highest ranked BD constraint (because voiceless fricatives only have intensity 2) unless voicing is also altered, as in (16). Another way of describing this pattern is that voiceless stops are subject to more pressure to lenite (than voiced stops) because they violate more BD constraints, but there is no way for voiceless stops to satisfy the high-ranked BD constraint while changing only one feature. In Spanish, BD constraints are not high-ranked enough to compel changes in voicing; in other languages this ranking differs. There is no implicational asymmetry between lenition of voiced stops and lenition of voiceless ones. Either class can lenite independently of the other (Gurevich 2003 describes Kupia as spirantising voiceless but not voiced stops), or they can both lenite (Gurevich describes Tiberian Hebrew this way).

(16) Voiceless stops and fricatives in contrast

fila	IDENT[voi]	BD(3,0,Phr)	IDENT[cont]	BD(1,0,Phr)	BD(2,0,Phr)	BD(4,0,Phr)
pila			*!			
☞ fila				*		
βila	*!	*		*	*	

pila	IDENT[voi]	BD(3,0,Phr)	IDENT[cont]	BD(1,0,Phr)	BD(2,0,Phr)	BD(4,0,Phr)
☞ pila						
fila			*!	*		
βila	*!	*	*	*	*	

hefe	IDENT[voi]	BD(3,0,Phr)	IDENT[cont]	BD(1,0,Phr)	BD(2,0,Phr)	BD(4,0,Phr)
hepe		*	*!	*	*	*
☞ hefe		*			*	*
heβe	*!					*

mapa	IDENT[voi]	BD(3,0,Phr)	IDENT[cont]	BD(1,0,Phr)	BD(2,0,Phr)	BD(4,0,Phr)
☞ mapa		*		*	*	*
mafa		*	*!		*	*
maβa	*!		*			*

High-ranked BD(3,0,Phr) also predicts lenition in medial clusters regardless of their underlying continuancy, as in (17). Here, the only way to avoid a drop in intensity to level 3 that isn't aligned with a phrase boundary is to lenite both stops. Given the ranking of BD(3,0,Phr) above faithfulness to continuancy, this is the optimal outcome.

(17) Cluster lenition

subdito	BD(3,0,Phr)	ID[cont]	BD(4,0,Phr)
subdito	*!		*
suβdito	*!	*	*
subðito	*!	*	*
☞ suβðito		**	*

subðito	BD(3,0,Phr)	ID[cont]	BD(4,0,Phr)
subdito	*!	*	*
suβdito	*!	**	*
subðito	*!		*
☞ suβðito		*	*

suβðito	BD(3,0,Phr)	ID[cont]	BD(4,0,Phr)
subdito	*!	**	*
suβdito	*!	*	*
subðito	*!	*	*
☞ suβðito			*

suβdito	BD(3,0,Phr)	ID[cont]	BD(4,0,Phr)
subdito	*!	*	*
suβdito	*!		*
subðito	*!	**	*
☞ suβðito		*	*

Recall that Spanish spirantisation displays somewhat complex blocking effects. Voiced stops fail to spirantise following nasals and /d/ fails to spirantise following /l/. Following Bakovic (1995) and Kingston (2008), we propose that this pattern is not related to lenition *per se*, but is an instance of the typologically frequent post-nasal hardening phenomenon. One influential analysis is that this pattern optimises for articulatory efficiency: homorganic ND clusters are less marked than NZ because ND can share a single closure gesture (Steriade 1993, Padgett 1994). This analysis is independently motivated by the typology of contour segments and place assimilation. It is less standard to extend this analysis to /ld/ and /lð/ clusters, but we believe there are good reasons to do so. There is no reason why the complete tongue-tip closure in /l/ cannot be shared with a following stop.⁴ Crucially, this is not the case in /rd/ clusters: /r/ is a ‘ballistic’ gesture that lacks a sustained closure, unlike /l/, and correspondingly lenition is not blocked in /rd/ clusters. To prevent other post-/l/ consonants from assimilating to /l/ as /d/ does, we require a high-ranked constraint preserving major place features in prevocalic position.

A second principle necessary for analyzing these blocking phenomena is that nasalised continuants are marked. Again, there is independent evidence for this from phonetics and phonology. Nasalised continuants create aerodynamic (Cohn 1993) and perceptual (Shosted 2006) difficulties, and the typology of inventories reflects this markedness (Padgett 1994). Finally, we rule out the possibility of /l/ assimilating to a following continuant (and thus sharing a constriction gesture) by appealing to a constraint against geminates, which are absent in Spanish (although rhotics are sometimes analyzed as contrasting for geminacy).

(18) shows the constraints we use to encode these principles and the analysis of constriction sharing. Outputs that fail to share a constriction across the cluster are ruled out by the agreement constraint. Amongst possible constriction-sharing candidates, nasal-stop clusters are preferred because geminates or nasal-contour fricatives are subject to high-ranked markedness constraints; these rankings are independently necessary to explain the absence of such segments elsewhere in the language. For non-coronal segments following /l/, progressive place assimilation is ruled out by a constraint preserving major place features in prevocalic position, where they are especially well-cued. Finally, alternative repair strategies such as deletion are ruled out by faithfulness constraints that outrank faithfulness to continuancy. We omit faithful mappings, whose outcomes would be the same as shown below, to conserve space.

⁴ John Kingston notes that the /ld/ cluster involves only a change from open tongue sides to closed at some point during the cluster, while the /lð/ cluster involves a change from open tongue sides to closed *and* from tongue-tip closure to opening. On this proposal, the distinction is not between sharing a constriction and failing to do so, but between fewer articulatory adjustments and more. We leave this as an open question.

(18) Post-/n/ and -/l/ contexts create exceptions

AGREE(Constr): Assign a mark to each pair of adjacent consonants that fails to share a single constriction gesture.

* \tilde{s} : assign a mark to each nasal continuant.

*GEM: Assign a mark to each geminate.

IDENT(Place)/__V: Assign a mark to each prevocalic output segment whose major place features differ from its input correspondent.

un + δ ia	* \tilde{s}	AGR(Constr)	MAX	BD(3,0,Phr)	IDENT[cont]
un δ ia		*!			
\rightarrow undia				*	*
u $\delta\delta$ ia	*!				*
u δ ia			*!		

el + δ ia	*GEM	AGR(Constr)	MAX	BD(3,0,Phr)	IDENT[cont]
el δ ia		*!			
\rightarrow eldia				*	*
e $\delta\delta$ ia	*!				*
e δ ia			*!		

el + beso	*GEM	IDENT[place]/__V	AGR(Constr)	BD(3,0,Phr)	IDENT[cont]
\rightarrow elbeso			*		*
elbeso			*	*!	
e $\beta\beta$ eso	*!				*
eldeso		*!			

A final point of interest concerns domain-final voiced obstruents. BD constraints call for them to be realised with maximum disruption. This predicts that final consonants ought to be realised the same way as initial ones. In our materials (real and nonsense words elicited in carrier sentences from two Venezuelan speakers), these sounds are different from both initial and medial consonants: they are realised as unreleased stops or possibly very short continuants. Their short duration, rapid fall in intensity, and occasional glottalisation clearly set them apart from phrase-medial voiced obstruents. So we believe that it is inaccurate to describe these segments as being like medial ones. They are also different from initial stops, which are clearly released.

We propose that *silence* is the crucial part of a final obstruent: the most disruptive event in a stream of sonorous sounds is the complete cessation of energy, and non-release with or without glottalisation achieves this goal. The constraint BD(0,0,Phr) favors complete cessation of energy

for some duration at a prosodic boundary. By hypothesis, faithfulness to stop release is not included in grammar (otherwise we would find languages with contrastive stop release, which do not appear to exist); as such, the release properties of these stops are entirely dictated by markedness constraints, here $BD(0,0,Phr)$. This is illustrated in (19).

(19) Domain-final obstruents in Spanish

$BD(0,0,Phr)$: Intensity drops to 0 for some duration *at and only* at a phrase boundary.

sjuðad	$BD(3,0,Phr)$	IDENT[cont]	B-D(4,0,Phr)	B-D(0,0,Phr)
sjuðad				*!
☞ sjuðadʰ				
sjuðað	*!	*		*

sjuðað	$BD(3,0,Phr)$	IDENT[cont]	B-D(4,0,Phr)	B-D(0,0,Phr)
sjuðad		*		*!
☞ sjuðadʰ		*		
sjuðað	*!			*

The overall pattern that emerges here is that continuancy in voiced segments is allophonic and dictated by peripherality (initial or final) or non-peripherality within a phonological phrase. More complex patterns derive from blocking of otherwise favored medial outputs by independent factors such as post-nasal hardening and the geminate avoidance. A crucial aspect of the analysis is that ranking the constraint $BD(3,0,Phr)$ over faithfulness to continuancy predicts that continuancy for the affected segments can only be allophonic: if the preference for outputs that satisfy $BD(3,0,Phr)$ prevails in one position, it will prevail in all positions.

2.5 Continuity lenition in Chungli Ao

Voicing lenition can in principle be analyzed in several different ways in the BD framework. Voicing adds some intensity to the signal, although the difference between voiced and voiceless stops or fricatives in this regard is probably not as large as that between, for instance, voiced stops and approximants (as in Spanish). The noise portion of voiceless obstruents is generally *more* intense than voiced ones. Voiced and voiceless medial stops, however, also generally display a large difference in duration: voiceless stops have longer closures in intervocalic position (Lisker 1957). Because stops are inherently disruptive in the middle of a stream of vowels, it may be that minimising the duration of this disruption is a driving force behind ‘voicing’ lenition (cf. Lavoie 2001), with voicing itself being a secondary consequence of shortening. Extremely short stops may also be more likely to lack a full closure due to undershoot, which would render them even less disruptive.

Although either voicing or duration is a possible driver of lenition in the current approach, we pursue the duration approach here, because it nicely captures the relationship between voicing

and duration and because we suspect that duration matters more than voicing for the relevant notion of ‘disruption’. This means that we will need to use the D parameter of the BD constraints to analyse voicing lenition. Stringency hierarchies involving this parameter are shown in (20):

(20) Stringency hierarchies for BD constraints, D parameter

(a) Domain-medial

Constraint violations	BD(6,1,Wd)	BD(6,2,Wd)	BD(6,3,Wd)	BD(6,4,Wd)
VTV	*	*	*	√
VSV	*	*	*	√
VDV	*	*	√	√
VZV	*	*	√	√
VRV	*	√	√	√

(b) Domain-initial

Constraint violations	BD(6,1,Wd)	BD(6,2,Wd)	BD(6,3,Wd)	BD(6,4,Wd)
[TV	√	√	√	*
[SV	√	√	√	*
[DV	√	√	*	*
[ZV	√	√	*	*
[RV	√	*	*	*

In this example, we set I to a fairly high level, 6 (associated with a glide). Segments of lesser intensity violate these constraints word-medially if they last as long as D . For instance, BD(6,3,Wd) is violated by voiceless obstruents (with duration 3) but not voiced obstruents (duration 2) or taps (duration 1). This is because voiceless obstruents entail a drop to intensity lower than 6 for at least duration 3 that is not aligned with a word boundary. Voiced obstruents entail a drop below 6 that is not aligned with a word boundary, but it does not last at least duration 3, so the constraint is not violated. In word-initial position, voiceless obstruents satisfy BD(6,3,Wd) because they align the word boundary with a drop below intensity 6 for at least duration 3; voiced obstruents violate BD(6,3,Wd) because the intensity-drop they align with the word boundary does not last for duration 3.

These constraints can drive the type of lenition seen in Chungli Ao. In the data in (6), voiceless stops in word-initial position and obstruent clusters are in complementary distribution with voiced stops medially. The analysis, shown in (21), also requires faithfulness constraints and a constraint penalising voiced obstruents, *D. BD(3,3,Wd) penalises initial voiced stops for not being long enough (less than duration 3) and punishes medial voiceless stops for being too long (duration 3), as in (21b). These tableaux show, in accordance with richness of the base, that even if voicing were *underlyingly* contrastive in Chungli Ao, it would be allophonically neutralised on the surface. The tableaux in (21a) involve assessing the acoustic duration of a domain-initial voiceless stop; at the beginning of an utterance, of course, this would be impossible. We thus stipulate that a listener inferring this grammar assesses duration through analogy to the non-utterance-initial context or to their own production.

(21) Voicing lenition in Chungli Ao

*D: Assign one mark to every voiced obstruent in the output.

(a) Voiceless stops initially

ka	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)
☞ ka				
ga	*!	*	*	

ga	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)
☞ ka			*	
ga	*!	*		

(b) Voiced intervocalically

aka	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)
aka	*!			*
☞ aga		*	*	*

aga	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)
aka	*!		*	*
☞ aga		*		*

Similar to Spanish, the occurrence of final stops without audible release is due to BD(0,0,Wd), as seen in (22). This analysis requires assessing the duration of final unreleased stops. We assume the listener, in the absence of a good way to judge the duration of such segments, attributes all the silence she hears at the end of a word or phrase to the stop closure.

(22) Final non-release

sak	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)	BD(0,0,Wd)
sak					*!
sag	*!	*	*		
☞ sak [̚]					

sag	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)	BD(0,0,Wd)
sak			*		*!
sag	*!	*			
☞ sak [̚]			*		

The duration-based formulation of the high-ranked BD constraint also helps explain the behavior of medial clusters of obstruents, which surface as voiceless as in (23). The insight here is that, as a sequence of consonants gets longer, sustaining voicing through that sequence will generate diminishing returns for auditory continuity. Sequences of obstruents thus devoice because they are too long to really be ‘helped’ much by voicing. BD(3,3,Wd) penalises a sequence of two stops for being long, whether or not it is voiced, because any sequence of two stops will involve a decrease in intensity to level 3 or lower for at least the duration 3.

(23) Voicing blocked in clusters

jakta	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)
☞ jakta	*			*
jagda	*	**!	**	*
jagta	*	*!	*	*
jakda	*	*!	*	*

jagda	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)
☞ jakta	*		**	*
jagda	*	**!		*
jagta	*	*!	*	*
jakda	*	*!	*	*

jagta	BD(3,3,Wd)	*D	IDENT[voi]	BD(3,2,Wd)
☞ jakta	*		*	*
jagda	*	**!	*	*
jagta	*	*!		*
jakda	*	*!	**	*

2.6 A note on nasalisation lenition

As noted in section 1.2, we are not aware of lenition processes that turn non-nasal consonants into nasals. This may seem surprising, as nasals are more intense than obstruents. They should thus better satisfy BD constraints medially, and be possible as lenition outputs. We propose here that nasalisation lenition doesn’t occur because it involves perceptually larger changes than approximantisation while yielding less intense outputs. For instance, lenition of /b/ to approximant [β] will always be preferred to lenition of /b/ to [m]. The approximant better satisfies BD constraints and requires a smaller perceptual change to the input /b/ segment. In terms of Steriade’s (2009) P-map proposal, faithfulness to the feature separating /b/ and /β/, say [+approx], is always ranked lower than faithfulness to nasality, because changes in nasality are more perceptually salient. This predicts that, whenever a markedness constraint can be satisfied by either changing approximancy or nasality, approximancy will be preferred. The result, shown

in (24), is that spirantisation to an approximant is always preferred to nasalisation. For this illustration, we assume that approximant β has intensity 5 and nasals have intensity 4.

(24) Blocking of nasalisation lenition

sab	BD(3,0,Wd)	IDENT[nas]	IDENT[approx]	BD(4,0,Wd)	BD(5,0,Wd)
sab	*!			*	*
☞ saβ			*		*
sam		*!		*	*

This analysis relies crucially on the hypothesis that stop-approximant pairs are more similar than stop-nasal pairs. We know of no perceptual studies that specifically examine this question. There are, however, at least two studies that report relevant data on consonant confusability in noise. Woods *et al.* (2010) used American English stimuli and listeners; Pols (1983) used Dutch stimuli and listeners. Both studies published raw count data involving voiced stops, nasals, and liquids; the Dutch study also included glides. We constructed a Biased Choice Model (Luce 1963) for each study and examined the perceptual distance parameters d associated with those models. The BCM d parameter is defined for any two categories x and y as the negative log of the *eta* similarity measure $(p(y|x) \cdot p(x|y)) / (p(x|x) \cdot p(y|y))$. d is thus a positive number that increases for more distinct pairs. For Woods *et al.*'s (2010) data, pairs of voiced stops and liquids are on average less distant ($d = 7.08$) than pairs of voiced stops and nasals ($d = 8.17$). A one-tailed t-test suggests the difference is significant: $t = 1.92$ on 10 df; $p = 0.04$. For Pols' (1983) data, pairs of voiced stops and approximants are on average less distant (6.79) than pairs of voiced stops and nasals (8.05). A one-tailed t-test comes up just short of significance: $t = 1.58$ on 10 df; $p = 0.07$. We take this to demonstrate the plausibility of the perceptual hypothesis mentioned above.

3 Loss lenition

Loss lenition is a descriptive label for processes that have been called lenition in the literature, but are not 'special' in the ways that continuity lenition is. These phenomena are in a sense less interesting than continuity lenition, because they behave a lot like other phonological phenomena and they pose no particular challenge to existing phonological frameworks. We do, however, give an overview and a sketch of an analysis, in part to draw a contrast with continuity lenition.

The phenomenon of debuccalisation, where a consonant loses its supralaryngeal features, is illustrated by the data from Arbore in (25). Ejectives and implosives neutralise to glottal stop before consonants, but not word-finally nor before vowels. In languages such as Slavey (Rice 1989), debuccalisation (and frication) of consonants extends to both pre-consonantal and word-final, but not pre-vocalic, positions. Kirchner (1998), Lavoie (2001), and Gurevich (2003) summarise several more cases of both types of debuccalisation.

(25) Debuccalisation in Arbore (Harris 1990)

Unit reference	Multiple reference	Gloss
nalu <u>ʔ</u>	nalu <u>ʔ</u> me	'afterbirth'

dossok̲	dossoʔme	‘blister’
Perfect affirmative		
1sg	2sg	
naa <u>b</u> e	naaʔte	‘fight’
hiik̲’e	hiʔte	‘grind’

A second type of loss lenition is degemination, when a long consonant becomes short. This is illustrated with Polish data in (26). The forms in the first and last column of (26a) show that geminates contrast with singletons between vowels; the case alternations show that the contrast is neutralised to singleton domain-finally. The word-formation processes in (26b) show that the contrast also neutralises preceding or following a consonant. This prohibition results in both degemination (as in the last example) and blocking of junctural ‘fake’ geminates (as in the other examples), which are otherwise allowed in Polish (see the first form in (26b)).

(26) Polish geminates and degemination (Rubach & Booij 1990, Pajak to appear)

(a)	<i>Nominative</i>	<i>Genitive</i>	<i>Gloss</i>	<i>V_V singleton</i>
	fontan <u>ni</u>	fontan	‘fountains’	mekan <u>ni</u> sm ‘mechanism’
	las <u>sa</u>	las	‘lassoes’	kos <u>si</u> ć ‘mow’
	flot <u>ni</u> ę	flot <u>ni</u>	‘fleets’	alkohol <u>ni</u> ‘alcoholic’
(b)	/sen-ni/ → [sen <u>ni</u>]		‘sleepy’	
	/pʲɛkn-ni/ → [pʲɛkn <u>ni</u>]		‘beautiful’	
	/pʃɛ-kupn-ni/ → [pʃɛkup <u>ni</u>]		‘corrupt’	
	/frantsus-ski/ → [frantsus <u>ski</u>]		‘French’	
	/lozap:-ski/ → [lozap <u>nski</u>]		‘Lausanne-ian’	

Note that geminates are marginally possible word-initially in Polish: there are four monomorphemic words that begin with geminate obstruents, and word-initial geminate fricatives can be formed from fricative prefixes (Pajak to appear). A more robust pattern of geminates licensed in intervocalic and word-initial positions occurs in Ganda (Clements 1986). Hungarian (Côté 2004, Pycha 2010) and Maltese (Hume *et al.* 2010) also allow geminacy contrasts between vowels while neutralising them adjacent to consonants, but these languages allow the contrast in word-final position while disallowing them in word-initial position. Dmitrieva (2012) and Pajak (to appear) offer more examples and details on the cross-linguistic distribution of geminates.

The examples above are meant to illustrate several cross-linguistic generalisations about debuccalisation and degemination. Both processes may result in positional neutralisation of contrasts. When neutralisation does occur, it reflects a *positional scale*: neutralisation in some positions entails neutralisation in other positions. For debuccalisation, the scale can be stated,

from least likely to neutralise to most likely, as: *pre-vocalic* < *word-final* < *pre-consonantal*. The scale for degemination is similar but not identical: *intervocalic* < *word-edge (vowel-adjacent)* < *consonant-adjacent*. Loss lenition thus involves positional constraints. Debuccalisation targets place features in classically ‘weak’ positions such as syllable codas; it is a case of non-assimilatory place and voicing neutralisation. Degemination, while it targets different environments (non-intervocalic, in particular), can also be analysed as a case of positional neutralisation.

There are many formal devices for positional neutralisation. Some theories posit neutralisation pressures that target segments in weak positions; this includes the Coda Condition (Ito 1986), which can be construed as positional markedness constraints in OT (Lombardi 2001); deletion of phonological primes (privative features) in governed or unlicensed positions (Ségéral & Scheer 1999); and pressure to neutralise contrasts that are perceptually weak (Flemming 1995). Positional faithfulness (Beckman 1998) and its phonetically-driven cousin the P-map (Steriade 2001) instead posit pressure against neutralisation that singles out strong positions. Theories disagree on how to characterise ‘strong’ and ‘weak’ positions: they may pertain to syllable structure, empty nuclei, or the availability of cues to phonological contrasts.

Although we do not give a full analysis of loss lenition here due to space limitations, the general form of such an analysis is fairly clear. In a P-map framework, for instance, contexts with the most distinct place and voicing contrasts will be subject to the most positional faithfulness constraints; these will generally be prevocalic (Steriade 1999, 2001). If these contrasts are neutralised by debuccalisation in prevocalic position, which is subject to more faithfulness constraints, then they are neutralised in all non-prevocalic positions, which are subject to fewer faithfulness constraints. The converse, however, does not hold, which is how we derive asymmetries in positional neutralisation. The same type of analysis is available for geminacy, but the details of which contexts are more or less perceptually distinct will be different for these contrasts; see Dmitrieva (2012) and Kawahara (2012) for details.

4 Neutralising continuity lenition

Although the allophonic patterns of continuity lenition described in section 2 constitute the vast majority of attested cases, the phonological literature does include some processes that appear to neutralise contrasts in one position or another. In this section, we describe all such phenomena that we are aware of. A few cases are not lenition. In several other cases, we will see that the lenis-fortis distinction is confounded with some other difference that is independently known to affect patterns of phonological contrast. In perhaps the best-known case of neutralising continuity lenition, American English flapping, what is occurring is two parallel lenitions to a third, less disruptive category, an exception that is predicted by the BD formalism.

4.1 Not lenition

Smith (2008) cites Burmese as a language with neutralising intervocalic voicing lenition. Burmese contrasts voiced, voiceless unaspirated, and aspirated stops (the aspirated series are not relevant and are omitted below). When the second element in certain compounds begins with a voiceless stop, however, it surfaces as voiced. This is shown for /p/ and /b/ in (28).

(28) Voicing in Burmese (Okell 1969, Green 2005)

# __ V	V __ [+son]	Compounds: V [__ V
[pəũ] ‘can’	[təpəna] ‘shrine’	--
[bəum ^h u] ‘Major’	[zəb ^h wɛ] ‘table’	[s ^h i-bəũ] ‘oil can’

In compounding, then, the voicing contrast licensed elsewhere in the language is neutralised by intervocalic voicing. Note that this phenomenon is nearly identical to Japanese *rendaku*, which has been extensively studied in the phonological literature (e.g. McCawley 1968, Vance 1980). One influential analysis of *rendaku* is that it results from a compound-linking morpheme consisting solely of the feature [+voi] (Ito & Mester 1986 *et seq.*). The motivation behind this move is in part to keep the phenomenon in question *out* of the phonology, moving it to the lexicon instead. If the *rendaku* and Burmese facts were limited to those shown in (28), it might be plausible to analyze them as neutralising voicing lenition with blocking in non-derived environments. Both *rendaku* and its Burmese equivalent, however, apply only in certain cases of compounding. Neither of them is a general characteristic of affixation or phrasal phonology, for instance. As such, it is not possible to state the environment of the phenomenon in phonological terms: it only occurs in a particular construction. This type of idiosyncrasy is why such phenomena are better analyzed in lexical rather than phonological terms.

The Dravidian language Kannada may include a similar compound voicing phenomenon. Gurevich (2003) describes it in terms nearly identical to the above, citing an out-of-print Russian monograph (Andronov 1969). Other grammars, however, differ in their descriptions. Upadhyaya (1976) fails to mention the process at all; his transcriptions of compounds in four dialects suggest that this linking occurs irregularly and is not limited to intervocalic context when it does occur. Nayak’s (2001) illustration of compounding leads to a similar conclusion, but only /k/ appears to be affected.

4.2 Other continuancy alternations

There are several processes attested that turn stops into continuants and neutralise contrasts in doing so, but do not otherwise fit the profile of continuity lenition. The most common of these is *assibilation*: affrication or frication of (mainly coronal) stops before high vowels (see Hall & Hamann 2006 for a typological overview). Indeed, one of the handful of neutralising spirantisations noted by Gurevich (2003) is assibilation in Turkana. Choosing whether to characterise such patterns as lenition is largely a matter of personal taste. What is important for the theory advanced here is that, above and beyond their potential to neutralise contrasts, they differ from continuity lenition in both their characteristic environment and their functional motivation. Assibilation singles out contexts with following high vocoids (or other segments with high tongue positions) in particular; they are not common in intervocalic position before a non-high vowel. Ohala (1983) proposes a functional motivation: the high pressure buildup of a stop released directly into the relatively narrow channel associated with a high tongue position causes the beginning of the second sound to be fricated. Over time, this noise component is reanalysed as part of the preceding stop or even replaces that stop. So while assibilation can neutralise contrasts, as in Turkana, it is not driven by the BD constraints proposed here.

Other examples of neutralising spirantisation from Gurevich's (2003) survey are not as typologically widespread nor as clear in their functional motivations as assibilation. These processes, however, do *not* affect stops in intervocalic position. Thus, in Nez Perce, some voiceless stops become fricatives before certain consonants or at the end of a phonological word. In Lama, the contrast between /p/ and /w/ neutralises to /w/ at the end of a word. These phenomena cannot be analysed with BD constraints. As such, it is not surprising that they differ from BD-driven processes in their likelihood of neutralising contrasts. Frication of coronal stops in Liverpool English may be a similar coda spirantisation process, based on Honeybone's (2001) description, but Sangster (2001) describes the contextual facts differently.

4.3 Affixal neutralising lenition

A case of neutralising lenition from the Djapu variety of Yolngu (Chong 2011) is shown in (29). Certain suffixes have initial consonants that are realised as stops following obstruents and nasals (middle column), but as glides between vowels or glides (right). The stop and glide realisations of these morphemes are segments that contrast elsewhere in the language (left). This is thus a case of neutralising continuity lenition (and neutralising post-stop hardening).

(29) Djapu spirantisation (Chong 2011)

{#, V} __ V	Affix: [+cons] __ V	Affix: [-cons] __ V
/pa:pa-/ 'father'	[wa:raŋ- <u>p</u> uj] 'dingo-assoc.'	[wapi:ti- <u>w</u> uj] 'stingray-assoc.'
/wajjin-/ 'animal'	[wajjin- <u>k</u> (u)] 'animal-dat.'	[pumparu- <u>w</u> (u)] 'rock-dat.'
/karapa-/ 'spear'	[mijalk- <u>t</u> (u)] 'female-erg.'	[ju:lŋu- <u>j</u> (u)] 'people-erg.'
/tukun-/ 'trash'		

An important point here is that lenition is neutralising a contrast in a particular context *given certain morphological conditions*. Those conditions, namely being part of an affix as opposed to a root, display pervasive interactions with the licensing of phonological contrasts cross-linguistically. The most common analysis of these facts in OT is faithfulness to the root (Beckman 1998); this analysis is motivated by cross-linguistic evidence that has nothing to do with lenition. The root faithfulness account can be incorporated into the BD approach introduced here along the lines of (30). Note that in polymorphemic contexts, BD constraints are only assessed for the affix-initial consonant that is at issue here. The analysis is that Djapu essentially displays two systems of contrast. In the affix system, the stop-glide contrast is allophonically neutralised (30a) because the relevant BD constraint is ranked higher than general faithfulness. In the root system, stops contrast with glides (30b) because the BD constraint is *not* ranked higher than root-specific faithfulness. Both systems are perfectly well-behaved from the standpoint of the BD approach.

(30a) Allophonic lenition and blocking in the affix

mijalk + tu	IDENT[son] _{ROOT}	BD(5,0,Wd)	IDENT[son]	BD(6,0,Wd)
☞ mijalktu		*		*
mijalwju	*!		**	*
mijalkju		*!	*	*
mijalwtu	*!	*	*	*

mijalk + ju	IDENT[son] _{ROOT}	BD(5,0,Wd)	IDENT[son]	BD(6,0,Wd)
☞ mijalktu		*	*	*
mijalwju	*!		*	*
mijalkju		*!		*
mijalwtu	*!	*	**	*

ju:lɲu + tu	IDENT[son] _{ROOT}	BD(5,0,Wd)	IDENT[son]	BD(6,0,Wd)
ju:lɲutu		*!		*
☞ ju:lɲuju			*	*

ju:lɲu + ju	IDENT[son] _{ROOT}	BD(5,0,Wd)	IDENT[son]	BD(6,0,Wd)
ju:lɲutu		*!	*	*
☞ ju:lɲuju				*

(b) Contrast in the root

tukun	IDENT[son] _{ROOT}	BD(5,0,Wd)	IDENT[son]	BD(6,0,Wd)
jukun	*!	*	*	
☞ tukun				

ju:lɲu	IDENT[son] _{ROOT}	BD(5,0,Wd)	IDENT[son]	BD(6,0,Wd)
☞ ju:lɲu		*		
tu:lɲu	*!		*	

4.4 Prominence-sensitive neutralising lenition

A number of languages display contrasts for laryngeal features or continuancy in stem-initial position that are neutralised medially. These include Nivkh (also called Gilyak, Shiraishi 2006); a number of Benue-Congo languages including Fe'fe', Koyo, Tiene (Hyman 1972, 2008, 2010,

respectively), and Ibibio (Harris & Urua 2001); and some Khoisan languages (Downing 2004). The general pattern is illustrated with Fe'fe' verbal stems in (31):

(31) Fe'fe' lenition in the stem (Hyman 1972)

[ɣaʔ] 'refuse'	[kaʔ] 'fry'
[ca <u>k</u>] 'seek'	* [ca <u>ɣ</u>]
[ca <u>ɣ</u> i] 'seek him'	[ca <u>ɣ</u> mu] 'seek the child'

The forms in the first row show that /k/ and /ɣ/ contrast in stem-initial position. The contrast for voicing and continuancy is neutralised elsewhere, described as voiceless word-finally (second row, although Hyman indicates (p.c.) that the phonetic voicing here is in question) and as voiced non-finally (third row). This pattern is one of neutralising medial lenition (and final devoicing). Nivkh shows a similar pattern: obstruents contrast for laryngeal specifications word-initially, but those contrasts are neutralised in other positions (32):

(32) Nivkh lenition (Shiraishi 2006)

#__	[+son]__V	V_[+son]	V__#
[t ^h u] 'sledge'	[ni <u>j</u> da] placename	[t ^h i <u>ŋ</u> is] 'roof'	[tot] 'arm'
[tu] 'lake'	[a <u>t</u> ak] 'grandfather'	[ku <u>t</u> lix] 'from outside'	[t ^h i <u>t</u>] 'morning'
[k ^h e <u>ŋ</u>] 'sun'	[p ^h i <u>ŋ</u> gaj] 'cook'	[ŋ <u>k</u> i] 'tail'	[i <u>t</u> ik] 'father'
[ke <u>ŋ</u>] 'whale'	[t <u>i</u> qu] 'tell a story'	[ki <u>k</u> un] 'eagle-owl'	[hi <u>s</u> k] 'nettle'
[f <u>i</u>] 'dwell'	[fu <u>l</u> yul] 'creep'	[i <u>y</u> riki] 'once'	[c ^h x <u>i</u> f] 'bear'
[v <u>i</u>] 'go'	[e <u>ŋ</u> yak] 'flower'	[ha <u>v</u> a] 'open (mouth)'	[to <u>l</u> f] 'summer'

Both stops and fricatives contrast for laryngeal features (left column), but these contrasts are neutralised in other contexts: for fricatives, voiced in between two sonorants and voiceless elsewhere; for stops, voiced following a sonorant consonant and voiceless unaspirated elsewhere. This is a case of neutralising continuity lenition: aspiration/voicing contrasts in word-initial position are neutralised by voicing or deaspiration in all other positions.

These languages display a pattern with more segments licensed in stem- or word-initial position than in non-initial position. But the positional distinction is confounded with another factor here: prominence. Nivkh has fixed initial stress (Shiraishi 2006). And the Fe'fe' alternations in (30) are part of a much broader pattern observed in West African languages: expanded consonantal, vocalic, and tonal contrasts in stem-initial position (see Downing 2004 for a review). This pattern is sometimes referred to as 'accentual prominence' and is analyzed as a type of prosodic prominence (Downing 2004, Hyman 2008).

This means that all of the examples mentioned above can be analyzed as prominence-sensitive neutralisation. Much like root vs. affix asymmetries, this pattern can be captured with independently attested positional faithfulness constraints (Beckman 1998); here, segments in

prominent syllables are protected by specific faithfulness. This is illustrated in (33) for Nivkh. We do not deal with the issue of stress placement here, assuming that Nivkh features an undominated constraint calling for stress at the left word edge.

(33) Prominence-sensitive contrast

fi	IDENT[voi] _{STR}	BD(2,0,Wd)	IDENT[voi]	BD(4,0, Wd)
☞ fi				
ví	*!	*	*	

ví	IDENT[voi] _{STR}	BD(2,0,Wd)	IDENT[voi]	BD(4,0, Wd)
fi	*!		*	
☞ ví		*		

fúlful	IDENT[voi] _{STR}	BD(2,0,Wd)	IDENT[voi]	BD(4,0, Wd)
fúlful		*!		*
☞ fúlvul			*	*

fúlvul	IDENT[voi] _{STR}	BD(2,0,Wd)	IDENT[voi]	BD(4,0, Wd)
fúlful		*!	*	*
☞ fúlvul				*

It is thus possible to analyse Nivkh with stress-sensitive positional neutralisation, which is all that is required for the language to be consistent with the BD framework. A stronger argument would be that the prominence-based account is *better* than its initiality-based counterpart. We know of no arguments either way for Nivkh. For the African languages mentioned above, however, there is such an argument. Voicing and continuancy are one part of a pervasive pattern of non-stem-initial neutralisation in these languages (Downing 2004, Hyman 2008). Even in languages like Basaa (Hyman 2008), where the voicing and continuancy alternations are allophonic rather than neutralising,⁵ other contrasts such as tone, vowel quality, and place of assimilation are still neutralised in non-stem-initial position. If voicing and continuancy alternations in such languages are driven by neutralising lenition constraints, they must be analyzed as entirely independent from other stem-initiality effects. Other features such as vowel quality and tone, which are not targeted in lenition, would have to neutralise under the influence of entirely different constraints. The fact that these other features cluster with neutralising lenition across languages can thus only be seen as a coincidence. Under the prominence analysis,

⁵ Hyman (p.c.) objects to the use of ‘allophonic’ to describe these alternations, preferring ‘demarcative’, because they have no obvious phonetic conditioning. I keep my terminology, but wish to accurately represent his views.

these facts are given a unified explanation: contrasts surface stem initially because prosodic prominence drives special faithfulness.

One might be concerned that the prominence-based analysis given here vitiates the predictive power of the BD framework. If any exception can simply be waved away as prominence-based, does the proposal advanced here actually make any predictions? We predict that, if a language appears to display a domain-initial contrast for some feature that is neutralised domain-medially by lenition, then that language should independently display properties associated with prosodic prominence domain-initially. For Nivkh, this is presumably some phonetic properties associated with stress, such as duration and F0 movement. For the African languages discussed above, the properties are expanded contrasts for tone, vowel quality, vowel quantity, and syllable structure. An approach with neutralising lenition constraints makes no predictions about the connection between these prominence-driven properties and the existence of neutralising lenition.

4.5 Length-as-voicing lenition

Butcher (2004) describes a group of non-Pama-Nyungan languages that display what is often called a *fortis-lenis* contrast for medial stops; he notes that it is sometimes also described as *voiced-voiceless*, *short-long*, or *singleton-geminate*. The language he investigates is Burarra, whose stop distinction is described by Glasgow (1981) as in (34); we ignore allophonic variation in the realisation of schwa here, which is irrelevant to the phenomena under consideration.

(34) Burarra stop contrasts (Glasgow 1981)

V __ V	[+son] __ V	# __ V
[kəpə] ‘keep for self’	[wɯɾpə] ‘sum total’	[pələ] ‘house’
[kəbə] ‘magpie goose’	[wɯɾbə] ‘work sorcery on’	--
[pukələ] ‘forehead’	[mɪŋkə] ‘sandfly’	[kalgɯ] ‘flying fox’
[pugələ] ‘water’	[dɪŋgə] ‘pandanus nut’	--

Two series of stops contrast between vowels (left column) and sonorants (middle column); the contrast is neutralised to the voiceless series word initially (right column). As transcribed here, this is a perfect example of neutralising fortition. This would be an unusual pattern for voicing neutralisation, which tends to target consonants in non-prevocalic position; as a pattern of length neutralisation, however, it would be completely typical. And in fact there is evidence that the relevant phonetic distinction here is duration rather than voicing. Butcher (2004) describes VOT in Burarra and related languages as ‘short-lag and variable (in both series), and not a reliable cue to the stop contrast’. He claims furthermore that ‘[t]he most consistent cue to the contrast appears to be the duration of the articulatory stricture’, and presents data showing that the acoustic correlate of closure in /p/ is 70% longer than in /b/. Glasgow (1981) explains in a footnote that she had been using singleton/geminate notation, but that a Burarra teaching assistant she worked with preferred the voiced/voiceless notation. This (completely justified) decision, then, had nothing to do with the phonetics of the language.

Butcher (2004) notes Burarra and related languages that display this type of length contrast neutralise it everywhere but medially. The pattern is best viewed, then, as positional neutralisation of an underlying length contrast; between two vowels or sonorants tends to be the context where such contrasts are most perceptually distinct and where they are least likely to neutralise (see Dmitrieva 2012 for a review). Any P-map analysis of such positional neutralisation would posit high-ranked positional faithfulness constraints in such contexts, and these constraints would also be applicable to Burarra. This means we do not need separate lenition and fortition constraints to explain the neutralisation.

Butcher argues that a different group of non-Pama-Nyungan Australian languages, including Murrinh-Patha, have a true voicing contrast. This contrast, however, only neutralises in final position, exactly in line with laryngeal neutralisation in other languages. Correspondingly, Butcher shows that one Murrinh-Patha speaker produces medial /p/ and /b/ with a far smaller duration distinction than the Burarra speaker.

4.6 Parallel lenitions

The most extensively studied case of neutralising lenition is probably American English flapping (Kahn 1980, see de Jong 2011 for a review). In this phenomenon, non-foot-initial inter-sonorant alveolar stops are realised as taps or flaps (Jensen 2000). The formulation ‘non-foot-initial’ expresses the fact that in word-medial position the phenomenon generally only holds before unstressed vowels; we take stress to be a diagnostic of foot-initiality in English and assume that every left word edge is also a foot edge. Note that there are some word-medial intervocalic alveolar stops before unstressed vowels that do not tap, e.g. *Navratilova*, *Mediterranean*; Davis & Cho (2003) use this to argue for a more elaborated foot structure. The simple pattern is illustrated in (35) with data from the author’s dialect; note that we ignore blocking contexts (and many irrelevant phonetic details of English) here.

(35) American English flapping

[t ^h ɪn] ‘tin’	[bæt̚] ‘bat’	[ət ^h en] ‘attain’
[dɪn] ‘din’	[bæd̚] ‘bad’	[ɔːden] ‘ordain’
[bæɾəɪ] ‘batter’	[rɪvəɾəɪ] ‘riveter’	
[bæɾəɪ] ‘badder’	[kəməɾi] ‘comedy’	

The laryngeal contrast attested word-initially and before stressed syllables is neutralised medially to tap. This is a case of neutralising continuity lenition. This particular pattern, however, is one of the few ways in which the BD theory *does* predict the existence of neutralisation: two sounds leniting to a third, more lenis one. This is illustrated in (36). In accordance with (12), we assume intensity 5 and duration 1 for tap. We omit faithfulness to features that distinguish between stop and tap here, which are low ranked; voicing is the only faithfulness constraint that figures crucially in the analysis. Flapping is driven by a BD constraint militating against drops in intensity to the level of a voiced stop or lower domain-internally; this constraint incidentally compels changes to laryngeal features in the case of /t/, as in (36b). At domain boundaries, the same constraint will penalise any drop in intensity that is not at least as low as that of a voiced

stop. But changing the laryngeal specifications of /t/ or /d/ won't make any difference in this environment, because they both already satisfy the constraint; it is only tap that must be altered domain-initially, as in (36a). Another way of describing the pattern is that both /t/ and /d/ are in allophonic alternation with tap; the fact that /t/ and /d/ happen to also neutralise with each other is a secondary effect.

(36) Parallel lenitions in American English

(a) Contrast foot-initially

t ^h ɪn	BD(3,0,Ft)	Id[Voi]	BD(1,0,Ft)
☞ t ^h ɪn			
dɪn		*!	*
rɪn	*!	*	*

rɪn	BD(3,0,Ft)	Id[Voi]	BD(1,0,Ft)
t ^h ɪn		*!	
☞ dɪn			*
rɪn	*!		*

dɪn	BD(3,0,Ft)	Id[Voi]	BD(1,0,Ft)
t ^h ɪn		*!	
☞ dɪn			*
rɪn	*!		*

(b) Neutralising flapping foot-medially

bæt + əɪ	BD(3,0,Ft)	Id[Voi]	BD(1,0,Ft)
bætəɪ	*!		*
bædəɪ	*!	*	
☞ bæɾəɪ		*	

bæt + əɪ	BD(3,0,Ft)	Id[Voi]	BD(1,0,Ft)
bætəɪ	*!	*	*
bædəɪ	*!		
☞ bæɾəɪ			

This is one of the few configurations in which the BD approach predicts neutralising lenition. A more abstract and general way of describing the situation is that there are three segments: segment *A* of intensity n , the most fortis; segment *B* of intensity $n+1$, intermediate; and segment *C* of intensity $n+2$, the most lenis. In the English case just discussed, A-C would be instantiated by [t], [d], and [r], respectively. Segments *A* and *B* are distinguished only by feature *F* (voicing in the English example); segment *C* is distinguished from *B* only by feature *G* (flapping), and therefore distinguished from *A* by both *F* and *G*. The BD constraint calling for events of intensity $n+1$ or lower to be aligned with boundaries is ranked above faithfulness to both *F* and *G* (IDENT[Voi] and Id[Tap] or its featural equivalent). In initial position, *C* (tap/flap) is the only segment of the three that violates this BD constraint; it will be minimally modified to satisfy it (by changing feature *G*). In medial position, both *A* and *B* (/t/ and /d/) violate the BD constraint, so features *F* and *G* both change as needed to satisfy the constraint. The result is that *F* (voicing) is contrastive in initial position but not medial, while *G* (flapping) is contrastive in neither position. The scenario above is one where two segments that contrast in some context both map to a third segment in complementary distribution with the first two. The theory thus only predicts neutralisation with more than two categories involved, or equivalently, with at least two features.

4.7 Word-initial exceptionalism and its limits

Beckman's (1998) positional faithfulness theory singles out root-initial consonants as being protected by special positional faithfulness constraints due to their psycholinguistic prominence. If such constraints exist, they should sometimes block fortition in root-initial position without affecting lenition elsewhere, resulting in neutralising lenition. We have claimed here that such patterns are exceedingly rare. What data, then, is the Beckman theory based on?

First off, it is based on an impressive and rather convincing catalogue of cases where a greater variety of vowel qualities, quantities, and/or syllable structures are licensed in the initial syllable of a root than elsewhere. The idea that initial onset consonants should also be protected by special constraints fits in quite nicely with this view, but Beckman actually presents very little evidence that word-initial consonants in particular are 'protected' in this way. Two of the three cases she presents involve click licensing in !Xóǀ and secondary articulations in Doyayo; these languages both fall in the class of initial-accent languages discussed in section 4.4. The last example involves secondary articulations in Shilluk, a Nilotic language whose close relative Dholuo is described as having fixed stem-initial stress (Downing 2004).

None of these inventory asymmetries, which involve airstream mechanisms and secondary articulations, need to be analysed as continuity lenition. So one possibility is that word-initial consonant faithfulness exists and protects certain features, but not the ones involved in lenition. However, given the small number of cases identified by Beckman and the plausible hypothesis that these languages all have initial stress or accent, we favor the hypothesis that these are all cases of prominence-sensitive faithfulness 'masquerading' as word-initial faithfulness. Under this hypothesis, Beckman's positional faithfulness constraints may refer to the structure or nucleus of a root-initial syllable, but not to the segmental characteristics of a root-initial consonant. This would help explain the relative paucity of initial consonant contrast preservation.

5 Conclusion

We have argued that the term 'lenition' as used in the phonological literature includes at least two distinct types of phenomena, which differ in their functional, substantive, and positional properties. One type of lenition, referred to here as *continuity*, is particularly problematic for phonological theory as it seems to exist primarily in allophonic form. We proposed a phonetically driven theory that correctly captures the differences between different types of lenition. Putative cases of neutralising continuity lenition were discussed and it was demonstrated that the current proposal can adequately describe such cases. In concluding the paper, we discuss some theoretical and empirical implications of the approach taken here.

5.1 Partitioning lenition processes

We have proposed a cluster of typological properties that distinguish between continuity and loss lenition. The claim is that particular featural changes tend to occur in particular positions and have particular consequences for contrast. One question that arises is how to analyse phenomena that don't seem to fit neatly in either category. For instance, one might read the typology

presented here as saying that voicing alternations always affect intervocalic position if they affect any position and that they never neutralise contrasts. This is obviously wrong.

In general, picking out particular lenition phenomena for analysis is not meant to suggest that the same features or contexts cannot be involved in other phenomena. In the case of voicing, it is fairly easy to tell the difference between lenition and non-lenition phenomena. This is because non-lenition voicing alternations such as assimilation and final devoicing never target intervocalic consonants and they result in positional neutralisation almost by definition. This suggests that the intervocalic target of continuity lenition processes is the crucial property that distinguishes it from other processes involving the same feature, and indeed this is the hypothesis that we are currently working with.

Continuancy is somewhat less clear in this regard, because neutralising continuancy (and/or approximancy) alternations appear to be far less common than laryngeal ones. The few instances of which we are aware, such as Lama /p/-/w/ neutralisation and Nez Perce neutralising spirantisation (Gurevich 2003), target consonants in pre-consonantal and/or domain final positions, but not intervocalic ones. As such, these particular cases should not be analysed as continuity lenition; instead, they may be neutralising consonantal manner contrasts in contexts where they are less distinct. The fact that continuancy and approximancy contrasts have robust perceptual cues internal to consonants themselves may explain why this type of positional neutralisation is less common than, for instance, neutralisation of stop voicing; laryngeal contrasts for stops depend far more on cues in adjacent segments. The logic here is that a continuancy alternation is an instance of continuity lenition if and only if it targets intervocalic stops, and that if it meets this criterion it will not neutralise contrasts present elsewhere in the language.

Duration is in some sense the clearest phonetic property in terms of identifying continuity processes. As shown in section 3, consonantal length contrasts tend to neutralise in non-intervocalic position, resulting in degemination. The BD constraints proposed here suggest that continuity lenition involving duration should have the opposite effect: shortening in intervocalic position (and other medial positions). We believe this prediction is correct, but easy to miss. This is because BD constraints predict allophonic shortening of medial consonants in languages where, by definition, the length of those consonants is not contrastive. But in such a language, phonologists and phoneticians are exceedingly unlikely to refer to such alternations as ‘degemination’, a term that is generally reserved for languages with a geminacy contrast.

Instead, these patterns tend to be discussed under the rubric of ‘initial strengthening’ (see Keating 2006 for a review). This general phenomenon involves several articulatory parameters: consonant gestures display greater magnitude and stiffness at the beginnings of larger constituents. These articulatory differences could plausibly correspond to larger and more abrupt drops in intensity relative to medial consonants; Kingston (2008) shows such acoustic effects even for Spanish consonants that are not involved in spirantisation. More directly relevant, the articulatory and acoustic duration of consonants tends to be longer at the beginning of larger constituents in at least Korean (Jun 1993), English (Fougeron & Keating 1997), French (Keating *et al.* 2003), Taiwanese (Keating *et al.* 2003), and Japanese (Onaka *et al.* 2003). Presumably, the effect extends to other languages; these examples are just the ones that happen to have been

tested in the relatively new initial lengthening literature. The size of the effects ranges from extremely large for some speakers in some languages (at least as large as a typical geminacy contrast) to extremely small (up to and including small trends that don't reach statistical significance). This range of initial lengthening (or medial shortening) effects, including some of very small magnitude, fits well with the formulation of BD constraints as referencing small, non-contrastive differences in duration.

5.2 Broader theoretical implications

Finally, we consider some of the broader consequences of our analysis for phonological theory. The account given here assumes that phonological representations contain a great deal of fine phonetic detail. BD constraints make reference to small differences in intensity and duration that are not in and of themselves contrastive. To the extent that the analysis succeeds in places where more abstract accounts fail, it can be seen as evidence that such detail is relevant to phonology. We thus join a long list of researchers who have argued for similar conclusions about the level of phonetic detail in phonological representations (e.g. McCawley 1967, Selkirk 1982, Kingston 1985, Browman & Goldstein 1986, Flemming 1995, Boersma 1998, Steriade 1999, Pierrehumbert 2000).

The analysis also predicts that allophonic lenition patterns may include small changes in duration, aperture, timing, or release that may never be minimally contrastive in any language, in addition to the 'larger' lenitions that tend to be noted in phonological grammars. The initial strengthening literature described above suggests to us that this prediction is on the right track. In general, the current proposal makes no distinction between allophony and any other kind of contextual phonetic variation. On this view, spirantisation of Spanish voiced stops and small reductions in English /n/ duration medially are both equally 'phonetic' or 'phonological'. Because neither change is contrastive, if grammar regulates one, there is no principled reason it shouldn't regulate the other. Conversely, if small contextual differences in duration are outside the scope of the phonological grammar, there is no principled reason why spirantisation should be inside the scope of that grammar.

The BD constraints are presented here as categorical. Note, however, that the scalar representations of intensity, duration, and prosodic strength that these constraints reference are equally compatible with a weighted, gradient constraint model (Flemming 2001, Pater 2009, Katz 2010, Ryan 2011). We use the categorical model here because it is easier to work with typologically and more familiar to phonologists, and it makes very similar predictions to the gradient model. The one major advantage that we can see for a gradient model is that the cost assessed to each candidate could serve as a starting point from which variability and gradience in phonetic realisation might be described. Lenition phenomena are often marked by substantial variation in both the likelihood of some outcome applying and the phonetic nature of that outcome.

Large parts of the lenition literature are taken up with discussion of what lenition is. We close by giving our take on the question. If continuity lenition has special formal and functional characteristics, but loss lenition does not, one reaction would be to say that only continuity lenition is real lenition. While this move has some logical appeal, attempting to change the way

an entire field uses a label that has been around for so many years seems to us to be somewhat quixotic. Instead, we take the following view: ‘lenition’ is a useful descriptive label given by many researchers to overlapping but non-identical sets of phonological and phonetic phenomena involving some sense of reduction. These sets tend not to correspond to coherent entities with respect to formal, functional, or contextual properties. Within the class of generally recognised lenition phenomena, however, at least one functionally and phonologically cohesive subset exists and it has a lot to teach us about the nature of phonology.

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