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Analysis of WIMP and Post WIMP Interactive Systems based on Formal Specification

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# Analysis of WIMP and Post WIMP Interactive Systems based on Formal Specification

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**Abstract:** While designing interactive software, the use of a formal specification technique is of great help by providing non-ambiguous, complete and concise descriptions. The advantages of using such a formalism is widened if it is provided by formal analysis techniques that allow to prove properties about the design, thus giving an early verification to the designer before the application is actually implemented. This paper presents how models built using the Interactive Cooperative Objects formalism (ICOs) are amenable to formal verification. The emphasis is on the behavioral part of the description of the interactive systems and more precisely on the properties at the interaction technique level. However, the process and the associated tools can be generalized to the other parts of the interactive systems (including the non-interactive parts).

**Keywords:** Formal methods, interactive systems, object oriented Petri nets, colored Petri nets, Analysis.

### **1** Introduction

The design and engineering of interactive systems presents several challenges. Advanced software engineering techniques and tools are required to meet critical requirements such as reliability, dependability, safety or resilience. The analysis in the early phases of development plays an important role for a successful development. Therefore, for a development that anticipates potential problems, the analysis of solutions in the early phases of development is crucial as their results can then be iteratively injected in the development cycle. The aim of this paper is to present an approach for the analysis as prescription for implementation of interactive systems formally described using the formal description technique called ICO [19] (which stands for Interactive Cooperative Objects) for the engineering and development of usable and reliable user interfaces. ICO models are used for the design and engineering of interactive systems due to its several associated advantages such as unambiguity of descriptions, underlying semantics in terms of High-Level Petri nets (making models amenable to formal verification) etc. [19]. The paper describes an effort to analyze ICO-based interactive systems through the use of the advancements of the Petri net community and in particular in the area of Colored Petri Nets (CPN). Colored Petri net models support checking of properties based on patterns [23]. These patterns help analysts to generate and verify relevant properties on models. When a property is not true for a given model, the extraction of scenarios leading to states in which the property is not true provides an important aid to redesign. The analysis power of the approach and how it can support the analysis of various aspects of user interfaces are demonstrated in this paper. While applicable to all the elements of an interactive system, we focus on the analysis of interaction techniques (both WIMP and post-WIMP, cf. section 3) that are illustrated through two examples.



The paper makes two contributions:

- It introduces an analysis technique for ICO-based interactive systems that is independent of the interaction technique;
- It shows that this analysis based on property patterns is an adequate approach for the verification of properties of interactive systems.

The next section of the paper presents an overview of the state of the art of interactive systems engineering approaches providing analysis support. The paper then moves from the description of the proposed approach to the analysis results demonstrated through application examples.

## 2 Background

Currently the vast majority of approaches used to develop different interaction techniques are tackled by the use of usual programming languages. The use of programming languages poses some advantages when compared to the use of models but also disadvantages such as reduced analysis support. In fact the approaches addressing the analysis of source code (e.g. static and dynamic program analysis) are limited to the analysis of reduced subsets of the language. Additionally some techniques need the creation of models from the source code. The analysis of programming languages using static program analysis are commonly presented as fast and inaccurate [26]. Alternatively the use of dynamic program analysis must be executed with sufficient test inputs to produce interesting results [26]. The development of interaction techniques based on models provides by itself an advantage in terms of analysis support. Some approaches based on models providing analysis support are presented. The IVY tool [8] enables systematic analysis of properties of interactive behavior using Modal Action Logic (MAL) and the SMV model checker [17]. Marigold [27] addresses limited validation and verification analysis of interactive systems based on reachability graph analysis. The analysis addresses only properties concerning correctness (i.e. safety, liveness and mode confusion). However to reduce this limitation Marigold has the ability to export the specification to a file format loadable by the *integrated net analyser* tool [21] offering other analysis facilities. Proton++ [14] enables the modeling of post-WIMP interfaces but only provides static analysis support in terms of gesture conflict detection between models. The VEG (Visual Event Grammars) specification based on grammars [7] enable, with the model checker Spin [9], the verification of properties and the detection of deadlocks and unreachable states. ICO [19] provides support for validation and verification but only through invariant analysis (Place/Transition invariants) provided by the Petshop tool. Finally, colored Petri nets (CPN) is the more complete approach in terms of analysis enabling validation, verification and performance analysis accomplished by all the different types of analysis techniques except invariant. The analysis of CPN models is supported by the CPN Tools [12].

Recent advances identified the lack of a formal description technique that adequately provides executable models and expressive power to deal with the formal specification of different interactive systems (e.g. ICO) and providing adequate analysis supports (e.g. CPN Tools). Martinie et al. [16] introduced an approach to reduce this gap converting ICO models into CPN models. Based on this advance, this paper shows the CPN analysis benefits provided to the development of ICO-based interactive systems. This goes beyond previous work we did on property verification which were only based on basic Petri nets [20].

# **3 WIMP and Post-WIMP Examples**

This paragraph aims at describing the differences between WIMP and post-WIMP interaction techniques paradigms; and will illustrate them through various examples. WIMP interaction



paradigms and their associated HMIs (Human-Machines Interfaces) are defined as GUIs composed of widgets, graphical components that allow the user to trigger systems commands while manipulating them. A complete description of this interaction paradigm can be found in [6]. From this definition, every interaction technique which enriches the WIMP paradigm is thus tagged as post-WIMP ([22]).

As this paper context lies with critical embedded systems, and interactive cockpits in particular, the following examples will illustrate the case studies supporting our research. On one hand, we intend to target actual CDS (Control and Display Systems) while on the other hand we evaluate our specification/analysis capabilities with regards to future HMI concepts for more integrated interactive cockpits.

The actual CDS is the interactive system in the cockpit. It allows the display of aircraft parameters on output devices called Display Units but it also allows the pilots to interact with these parameters, using devices called KCCUs (Keyboard Cursor Control Units) gathering a keyboard and a mouse for each pilot. Pilots-CDS interactions are based on the WIMP paradigm and mostly rely on the KCCUs input modality. Figure 1 presents an aircraft cockpit together with a screen shot of an interactive application: a part of the Flight Control Unit Backup responsible for the back-up of the configuration of navigation displays and the settings of the autopilot state and parameters. For sake of safety, the WIMP paradigm has been studied and standardized to be used in aircraft cockpits [3].We also proposed a mechanism to secure these interactive components in order to extend their use in the cockpits towards more critical HMIs which extends previous work [25].



Figure 1 - Example of an aircraft cockpit and screen shot (left) of a WIMP aircraft application (right)

While WIMP HMIs have thus been thoroughly tested and validated over the years; this is not the case for touch-based interfaces for which no standards are available. Since multi-touch interactions combine several concurrent touch inputs, their multimodal nature makes them fall into the post-WIMP category. And because this interaction paradigm is envisioned for future cockpit we propose a tool-supported method to analyze their specifications. Beyond the always evolving ones provided by major players such as Microsoft [18] or Apple [2] which are of course conflicting, no dedicated programming environments and no long-term experience to build upon. This ends up with even less dependable interfaces where faults are distributed to the hardware, the operating systems, the interaction drivers and finally the application itself. However, the technological maturity and the potential gain such HMI will provide lead the major aeronautical actors to study their implementation inside their future cockpits.



This paper aims at conciliate these new interaction paradigms with the usability and reliability they need to provide to enter newest cockpits. For this demonstration purpose, we consider a multi-touch display providing pilots with a navigation application. This application enables multi-touch interactions such as Pinch for zooming (presented in Figure 7), 2-Fingers rotations, etc.

## **4** Generic Process Analysis

The Petri net formalism enables the use of several analysis capabilities. This set of capabilities is divided into three groups addressed by different types of analysis techniques:

- validation, accomplished by interactive simulation (step by step), invariant, structural and reachability graph analysis;
- verification, accomplished by invariant, structural and reachability graph analysis;
- **performance analysis,** accomplished by simulation and by computation of performance according to quantitative information such as time [15].

Model based analysis techniques concerned with the validation and verification are considered in this paper. In particular the focus is on invariant, structural and reachability graph analysis. This section describes the tool support and analysis process.

#### **Tool support and Process**

To achieve the analysis of the formal description of interactive systems based on ICO models the Petshop [4] and CPN tools [11] are used. Petshop, the tool supporting the ICO notation, is used to perform the invariant analysis while the CPN Tools is used to accomplish the structural analysis and reachability graph analysis. The expressive power of ICO notation is higher than the one of CPN meaning that tools supporting analysis of CPN models are not directly applicable. There is thus a need to convert ICO models to CPN to accomplish the analysis using the associated tools (i.e. CPN tools). The translation from ICO models to CPN models means that some information is lost. However, for analysis purpose those simplifications have no negative implications since the features used for the analysis (e.g. behavior) remains present in the translated model, i.e. bugs in ICO models are also bugs in CPN models and vice versa. The description of the translation process is not described here as it is out of the scope of this paper (see [16] for a description of this process). The focus here is to demonstrate that the various analysis that can be performed on CPN models are useful to assess interactive systems modeled in ICO. The analysis process accomplished is divided in two parts:

- Synchronous accomplished while creating the ICO models. It is achieved using the Petshop and enables invariant analysis;
- Asynchronous accomplished after the creation of the ICO models (previously converted into CPN models) using CPN Tools for performing structural analysis and reachability analysis based on the creation and analysis of the corresponding reachability graph.

The process for both invariant and structural analysis is straightforward, standard properties like liveness and fairness are directly provided by CPN Tools. In contrast the process for reachability graph analysis involves some work related the specification of properties over the graph applying queries to relevant states. Queries are used to request information about the generated reachability graph that demonstrates the truth of corresponding properties. Particularly relevant is the State Space (SS) tool [10] that generates a *reachability* graph that defines the states that can be reached from some starting state. Each node of the graph represents an execution state. Arcs represent the binding of particular values (e.g. actions)



from one state to a new one. Figure 2 illustrates part of one of these graphs. The whole graph represents all possible executions of a system showing which actions can be executed in each system state. Each node is numbered and labeled with its number of input/output arcs. Arc and node labels are hidden by default in the tool, but can be checked interactively. Figure 2 shows the arc caption 3 from state 1 to 4 (in the top) and part of the node 1 content (right hand side). Provided queries together with CPN ML code (an extension of the functional programming language Standard ML) are used to write specific queries about the CPN models, for example to demonstrate that the system always works as expected. The returned result is either that the guery is true of all relevant states or that the guery fails to be true, in which case the path to the failing state is deduced. This path can then be used to explore situations that may be of interest from the perspective of the design of the system. More details about these tools are contained in the CPN tools State Space manual [10]. Additionally, properties based on patterns can be of interest. Silva et al. [23] proposes a set of algorithms for the identification and verification of properties that are generic for different systems (e.g. consistency, reachability and precedence). The pattern defines an algorithm skeleton describing how the reachability graph is to be explored (which queries are needed) to perform the verification. These algorithms are instantiated in order to accomplish the analysis for a particular example. More details about how these algorithms are instantiated and verified are described in [23].

A description of each analysis technique for validation and verification are presented in the following sub-sections.



#### Invariant Analysis

The Invariant analysis is used to avoid state-explosion problem and poor diagnostics. The properties are independent of initial state and can be computed using linear algebraic techniques. The invariant analysis is divided into two types:

- *Place invariant* (P-invariant) provides support for verifying that some places will always contain a token (i.e. no token loss). This means that whatever states the model is in, and whatever events are produced, these places will never lose their resources i.e. the number of tokens set in these places at the initial state remains unchanged.
- *Transition invariant* (T-invariant) provides support for demonstrating liveness of transitions. For example, it provides support for ensuring that the user will always be able to perform a particular action or that at least one action will always be available for the user.

#### Structural Analysis

Using this analysis technique several properties can be verified such as:

• Home properties - determines whether the marking of the specified node is a home marking, i.e., whether it can be reached from all reachable markings. This property is



the basis for demonstrating that a model is reinitializable (an important feature of critical systems);

- Liveness properties a net is live, if all its transitions are live in the initial marking, i.e., no state is reachable in which a transition is dead (deadlock-freedom) [24];
- Fairness properties a transition *t* is fair if *t* occurs infinitely often in every Infinite Firing Sequences where *t* is enabled infinitely often [24]. This property is important to ensure that no transition is delayed indefinitely.

#### Reachability Graph Analysis

As stated, this analysis technique enables the verification of generic properties based on patterns (e.g. consistency) and specific properties only valid in a specific example.

## **5** Application to Interaction Techniques

This section describes the analysis accomplished following the stated approach on two interactive systems formally described in Petshop. ICO models and some of the corresponding CPN models obtained after the translation as well as analysis results are presented.

Examples focus on the description of two different interaction techniques (WIMP and post-WIMP) by describing how raw events coming from the input devices are transformed into higher-level events used by graphical components. For each interaction technique two models at different levels of abstraction (low-level and higher-level) are presented.



Figure 3 – ICO mouse transducer model

### WIMP Interactive System

In precedent work [19], we show how to describe every part of the interactive system from the interaction technique to the application behavior. In this paper, we consider the example of mouse interaction on a *PushButton*. For the post-WIMP interaction, we introduce in this paper two levels of the interaction technique, (i) the low-level transducer model that describes how raw events coming from the input device are translated into higher-level events and (ii) the *PushButton* model that describes how widgets can handle higher-level events to finally produce widget-level events. The mouse transducer model is presented in Figure 3. It shows how to create higher-level events (*click, doubleClick, beginDrag, drag* and *endDrag*) from handling raw events from a mouse (*mouseMoved, mousePressed, mouseRealeased*). An example of important property to check in this model is to be sure that a *click* has been preceded by a *mousePressed*.



Figure 4 presents an excerpt of the behavior of a *PushButton*. It presents the inner state of the *PushButton* as *Visible* (token in place Visible) and *Enabled* (token in place Enabled). This inner state allows the widget to produce the widget-level event *A661\_EVT\_SELECTION* upon a mouse click: when the widget receives a higher-level event *click* from the mouse transducer (token in place *SIP\_processMouseClicked*), it raises the *A661\_EVT\_SELECTION* if its inner state is Visible and Enable. A synchronized transition of an hidden part of the *PushButton* model is responsible to put a token in the *SIP\_processMouseClicked* place when the *click* event is received. This property is also an important one to verify, the event *A661\_EVT\_SELECTION* cannot not be send if the widget is not visible and enabled. Places *Visible* and *NotVisible* (resp. *Enabled* and *NotEnabled*) represent the visibility (resp. activation) state of the widget, they must be in mutual exclusion, indeed, the widget cannot be both Visible and NotVisible (resp. Enabled and NotEnabled).



Figure 4 – Extract of ICO PushButton model

#### **Analysis Results**

The invariant analysis results indicated the presence of P-invariants and T-invariants. For example the *PushButton* model contains two P-invariants, one with the places *Visible* and *NotVisible* and the other with the places *Enabled* and *NotEnabled*. Whatever states the model is in, and whatever events are produced, the number of tokens set in these places at the initial state remains unchanged. As the initial number of tokens is one this means that the button states *Visible* and *NotVisible* are in mutual exclusion as desired. The same is verified for the states *Enabled* and *NotEnabled*.

Considering the structural analysis some dead markings were identified due to the use of a partial reachability graph. In respect to fairness, all transitions are impartial.

Using the reachability graph analysis the verification of the property that a click must be preceded by a *mousePressed* was verified instantiating the precedence property pattern [23] (see Figure 10) explained in more detail in the next *Analysis Results* section. Additionally, in



the *PushButton* model the verification of the non-existence of a state where a selection is accomplished (execution of the transition *Selection* – Figure 4) without the reception of a mouse click (token into the *SIP\_processMouseClicked* place) and without the button being visible and enabled was verified. This property was verified instantiating the same precedence property algorithm. The resulting instantiation is presented in Figure 5 (instantiated values of the CPN model are underlined). This concrete algorithm identifies those nodes where the button is not visible and not enabled (*originalNodes*) and the nodes (*targetNodes*) when the event *A661\_EVT\_SELECTION* was triggered (state *mouseClicked1* – Figure 5). After the identification of these nodes the algorithm identifies counter examples (i.e. nodes) hold in the variable PRECEDENCE where the event is sent and the button is not visible or not enabled. The resulting list of nodes is empty. This means that for the analyzed scenario there is no system execution containing a node where the event *A661\_EVT\_SELECTION* was triggered while the button being linvisible or disabled.

val object = ():UNIT

fun targetNodes obj = PredAllNodes(fn n => (cf(obj,Mark.pushButton'mouseClicked1 1 n) >0)) val TN = targetNodes object

```
fun originalNodes object

fun originalNodes object

val ON = notVisibleNodes object

val PRECEDENCE =

SearchNodes(

TN,

fn n => contains ON (InNodes n),

NoLimit, fn n => n, [], op ::)

Figure 5 - Instantiated precedence pattern algorithm
```

A more detailed description of the analysis made is presented using the post-WIMP example.

#### **Post-WIMP Interactive System**

The low level transducer model is the one linked to the hardware touch events. An excerpt of this model is presented Figure 6. It parses the features of the received event into a java finger object. The *FingerPool* place acts as a limiter on the allowed number of distinct fingers input. This transducer packages events, forwards them to models listeners (i.e. higher-level events handlers) such as the Pinch (Figure 7) or the TapAndHold... as defined in [1]. Indeed, a *toucheventf\_move* or *toucheventf\_up* event will only be triggered if the event corresponds to a registered finger.

The following paragraph describes the model of the "standard" interaction technique called Pinch and presented in Figure 7. These interaction techniques consist in touching the display with two fingers and then bring them closer. This technique can be used for zooming. When the interaction transducer is in initial state, all places of the models are free of tokens. The model may receive the low level event *toucheventf\_down* handled by the synchronized transition *toucheventf\_down\_1*. When this event occurs, a token is set in the place *p1*. This token comprises a finger object synthetizing the touch information encompassed by the low level event. Another token (empty this time) is added in the place nbFingerModel and enables to *toucheventf\_up\_1* transition, allowing the model to handle *toucheventf\_up* events.





In this configuration, two low level events may be handled:

- *toucheventf\_down*: another *toucheventf\_down* received event behaves the same way on the PetriNet. Then if two tokens are stored in the *p1* place, the *eagerFusion* transition is automatically crossed, grouping both fingers into the same token in place *p2*.
- *toucheventf\_up*: as long at the transducer contains information about at least one finger, the event handler *toucheventf\_up\_l* is fireable. Each time such an event is received, a token containing the corresponding finger information is added to *temp* place, leading to two cases:
  - The *toucheventf\_up* event corresponds to a finger stored in place *p1*: the transition endInteraction1 is fired, removing the finger's related token in *p1* and temp as well as one token from *nbFingerModel* place.
  - The *toucheventf\_up* event corresponds to a finger stored in place p2: the transition *endInteraction2* is fired, subtracting the finger's related token in p2 and temp; and two tokens from *nbFingerModel* place since to fingers are composing tokens in place p2.

While waiting in place p2, the transition *toucheventf\_move\_l* is enabled and can handle move events from the low level transducer. When such an event occurs, the transition is fired and updates the corresponding finger's information. Finally the transition triggers a "pinch" event.



The corresponding CPN models obtained following the translation proposed by Martinie et al. [16] are presented in Figure 8 and Figure 9. Their main differences are the usage of *fusion places* (e.g. *EVTmove* or *EVTdown* places) to represent the trigger of events in the ICO models. Others differences are present, however, the behavior remains present enabling the analysis using CPN tools without losing relevant information.



Figure 8 - CPN translated low level event transducer model

#### **Analysis Results**

The analysis results for this example are divided into the stated three analysis techniques (i.e. invariant, structural and reachability graph analysis). Analyzing the *low level event transducer* model (Figure 8) invariants were identified. The net is covered by P-invariants therefore there is no token loss. Additionally, the net is covered by T-invariant, therefore all transitions are live. For the designer/developer this means that there are no dead branches in the specification.





Considering the *pinch interaction transducer* model (Figure 9) together with the *low level event transducer* model (Figure 8) the following structural analysis results were identified:

- Home property no home marking (see home property definition in section 4);
- Liveness properties Some dead marking were identified because the generated reachability graph was partial;
- Fairness properties no infinite occurrence sequences were identified meaning that all transitions are impartial.

This analysis was made considering both models together because if analyzed separately wrong conclusions can be obtained. For example, analyzing the *pinch interaction transducer* model (Figure 7) separately we can conclude that it is possible to execute the *toucheventf\_down\_l* transition for a finger several times without triggering any finger up event for this finger. This is not true because models work together and this situation never occurs because it is restricted by the *low level event transducer* model.

To illustrate the reachability graph analysis technique the results of two properties based on the stated example are presented:

- For each finger the UP and MOVE events cannot occur without being preceded by a DOWN event.
- *A pinch cannot be completed without the occurrence of an UP event.*

The first property is verified using one of the algorithms based on property patterns provided by Silva et al. [23] (precedence - see Figure 10). The verification is made by instantiating the algorithm with adequate values relative to this example. The instantiated algorithm consists in firstly identifying the nodes where events *UP* or *MOVE* were made and secondly analyzing their predecessors to check the absence of a node where the event *DOWN* occurred. Nodes correspond to states of the reachability graph. The return of zero nodes means that for the selected scenario the property is always true. The instantiation of the property is made by substituting the underlined expressions of the algorithm (see Figure 10) by relevant places and tokens (instantiated algorithm - Figure 11). Below the instantiation used is presented:

- expression 1 places of the CPN model where *UP* and *MOVE* events were made (in this example it corresponds to the places *EVTup* and *EVTmove* of Figure 8);
- expression 2 and 4 tokens to be used in the analysis that should be present in the selected scenario (in this case they are fingers);
- expression 3 places of the CPN model where DOWN event occurred (in this example it corresponds to the place *Pressing* of Figure 8).

fun targetNodes obj = PredAllNodes (fn n => cf(obj,Mark.MODULE'PLACE_1 n) > 0)
val TN = targetNodes TOKEN (1)
fun originalNodes obj
= PredAllNodes (fn n => cf(obj,Mark.MODULE'PLACE 1 n) > 0)
val ON = originalNodes TOKEN (3)
<pre>val PRECEDENCE =    SearchNodes (    InNodes TN,    fn n =&gt; not(contains (map (fn x =&gt; Reachable(n,x)) TN ) [true])         andalso length(OutNodes n)&lt;&gt;0,    NoLimit, fn n =&gt; n, [], op ::)</pre>
Figure 10 - Precedence property algorithm



After being instantiated the algorithm checks the predecessors of the target nodes to check the presence of counter examples, which means in this case, the identification of nodes that are not present in the set of original nodes (hold in the *PRECEDENCE* variable). This situation, if exists, means that there are situations where for a finger a *DOWN* event was not made before an *UP* or *MOVE* event. For the selected scenario (3 fingers and can be extended to *n* fingers) the result returned means that the property is always true (zero nodes in the variable *PRECEDENCE*).

Figure 11 – Instantiated precedence property algorithm

The second property is verified following the same reasoning. The functions of the algorithm are used to identify, in the reachability graph, counter examples (i.e. a pinch being completed without the occurrence of a *UP* event) by the identification of relevant nodes. The algorithm (Figure 12) is applied to the selected scenario and the resulting list of nodes (hold in the PRECEDENCE variable - Figure 12) indicates if the property holds. In this example, for the selected scenario (i.e. 3 fingers), counter examples were not identified. More properties can be analyzed by instantiating this or other provided algorithms or alternatively developing new ones. Counter examples identified when properties are not verified provide an important aid to the redesign process.

It is important to emphasize the fact that the invariant analysis can be made to models separately, however, the structural analysis and reachability graph analysis must be done joining all models to avoid wrong analysis results.



# **6** Conclusion

This paper introduces a method for evaluating interactive systems formally described using ICO models through exhaustive analysis, applying an approach based on property patterns. Both WIMP and post-WIMP interactive techniques were analyzed demonstrating that the approach is independent of the interaction technique. Furthermore, it was demonstrated that the approach enables developers to validate and verify the interaction techniques they are developing (e.g. fault detection) playing an important role in the development process. The analysis support provided is complete and provides an improvement when compared with the isolated use of Petshop. Additionally, analysis results can be fruitfully re-injected in the design process improving the interactive system.

ICO models aims to be used for the formal description of interactive systems addressing usability, reliability and scalability while being able to describe various aspects of user interfaces namely different interaction techniques (e.g. multimodal, WIMP, post-WIMP), interactive components (e.g. widgets), the dialogue and the functional core [19]. This paper presented a generic approach to analysis ICO specifications that was missing. Results demonstrated that the approach enables the identification of several analysis results completing the currently limited analysis support provided by Petshop.

Even though the current analysis is mainly based on behavioral properties, current work on ICOs aims at integrating behavioral descriptions with graphical representation [4]. This will make it possible to verify properties on the graphical part of the interactive system such as objects' overlapping, color's matching, ... and integration of behavioral and graphical aspects.

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