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Large electron correlation effects in the nondipole asymmetry parameters near photoionization thresholds

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The nondipole corrections to the dipole approximation for the angular distribution of photoelectrons are calculated for Ar $3s$ subshell in the Hartree-Fock (HF) approximation for photoelectron energies ranging from threshold to 2 keV. The effects of electron correlation which are very important have been taken into account using the multiconfiguration HF approximation. It is found that near the threshold from 0 to 50 eV photoelectron energy, the nondipole parameters show oscillatory structure due to large electron correlation effects. The results are compared with existing theoretical and experimental data.

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I. INTRODUCTION

The differential cross section of photoelectrons ejected due to atomic photoionization is an important source of information about the atomic structure and the dynamics of the process. These cross sections are very sensitive to the quality of the wave functions involved in the process. Nowadays with the advent of new synchrotron light sources around the world, experimentalists can study detailed information on the photoionization process. Experiments on measurements of the angular distribution of photoelectrons ejected due to atomic photoionization provide data not only on the relevant transition amplitudes but also on their relative phases. This data also supplies information on the initial and final states of the target as well as dynamic information on the interaction of photoelectrons with the resulting ion. The extraction of this information by a theoretical calculation is a good test of the theory.

Recently there has been a growing interest both theoretically and experimentally to investigate the effects of nondipole corrections to the angular distribution of photoelectrons [1–12]. Generally it is believed that at low photon energy the dipole approximation is sufficient to obtain useful information about the angular distribution of photoelectrons. The contributions of higher-order multipoles are expected to be very small at low photon energies. But recent theoretical and experimental investigations showed that higher-order multipoles have considerable effect on the angular distribution of photoelectrons even at low photon energies.

Theoretical studies of nondipole effects in photoionization of multielectron atoms have been performed by Amusia *et al.* [13] many years ago. Since then, there have been many investigations of these effects. Bechler and Pratt [14] carried out relativistic calculations of the dipole-quadrupole interference corrections to the photoelectron angular distribution for $1s$, $2s$, and $2p$ subshells of atoms with nuclear charges Z ranging from 6 to 40 using Coulomb-field and screened Coulomb-field approximations. Scofield [15] made detailed numerical calculations for Ne-like Ba and He-like Ni in the

relativistic independent particle approximation (IPA) using a Dirac-Slater central potential. Cooper [1] presented extensive nonrelativistic numerical results for the nondipole asymmetry parameters for inner subshells of all noble gas atoms from He to Xe for electron energies from 100 eV to 5 keV using a central-field model based on the Herman-Skillman potential. Recently Dias *et al.* [2] reported a breakdown of the IPA in the dipole $2p$ photoionization of Ne far above threshold (200–1400 eV), which indicated the need for verification of the same phenomena in the nondipole parameters. In response to this need Johnson *et al.* [3] extended both the relativistic random-phase approximation and the nonrelativistic random-phase approximation with exchange beyond the dipole approximation to investigate the nondipole parameters in the keV region and applied these methods to the Ne $2p$ photoionization to interpret the experimental data. Later Derevianko *et al.* [4] presented results from a theoretical study of first- and second-order corrections to the dipole approximation in photoionization of rare gas atoms. Derevianko *et al.* [5] also investigated the second-order nondipole effects in the angular distribution of neon valence photoelectrons in the 100–1200 eV photon energy range. Very recently Johnson and Cheng [6] reported strong nondipole effects in low-energy photoionization of the $5s$ and $5p$ subshells of xenon for photon energies below 200 eV. Recently another investigation was made by Trzhaskovskaya *et al.* [7] who calculated parameters of the angular distribution of photoelectrons for all subshells of atoms with $1 \leq Z \leq 54$ within the quadrupole approximation with the central Dirac-Fock-Slater potential.

Most of the theoretical investigations of the nondipole effects are confined to photon energies above 100 eV. Recently Amusia *et al.* [8,9] investigated in detail the nondipole effects in the angular distribution of photoelectrons near the atomic photoionization thresholds using one particle Hartree-Fock (HF) approximation without electron correlation and random-phase approximation with exchange (RPAE) with electron correlation. They carried out calculations for the s and p subshells of noble gas atoms for the photoelectron energy range from the photoionization threshold to 1.6 keV. They found oscillations in the nondipole parameters as a function of photoelectron energy near the photoionization

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threshold. This oscillatory behavior is very sensitive to electron correlations.

On the experimental side, there are two early experiments which show deviations from the dipole approximation at energies below 5 keV [16,17]. Recently experiments on the measurement of photoionization of closed shell atoms for photon energies in the range 100–5000 eV demonstrated the breakdown of the dipole approximation. Krassig *et al.* [10] measured the nondipole asymmetry parameter for Ar 1s photoelectron angular distribution over 30–2000 eV photoelectron range. Later this group [11] extended their measurements of nondipole parameters in argon 1s, krypton 2s and krypton 2p subshells within 2–3 keV of their respective thresholds. Hemmers *et al.* [12] measured the nondipole parameters of the valence shell Ne 2s and 2p photoionization for the photon energy range 250–1000 eV.

However, most of the experimental measurements were carried out at relatively high photon energies. Currently available synchrotron radiation facilities provide the experimentalists with an intense, tunable, and highly polarized photon beam. Experimentalists will be able to measure nondipole parameter at much more interesting low photon energies where electron correlation effects are large and very important. Theoretical predictions about the effects of electron correlation on the nondipole parameters in the low photoelectron energies have already been made by Amusia *et al.* using the RPAE method [13]. These theoretical and experimental developments on the determination of effects of higher-order multipoles to the angular distribution of photoelectrons inspired us to look into this problem very carefully and understand the effects of electron correlation to the nondipole asymmetry parameters at low photon energies. This needed extension of the multiconfiguration Hartree-Fock (MCHF) method [18] beyond the dipole approximation to study in detail the electric dipole-quadrupole interference effects from threshold to the keV photon energy region.

In this paper, as a test case, we report calculations of the nondipole parameters for the Ar 3s subshell photoionization from threshold to 2 keV. We first perform calculations in the HF approximation. To determine the effect of electron correlation we use the extended MCHF method. The MCHF approximation, which is capable of taking into account both electron correlation and polarization effects very accurately completely *ab initio*, has proved to be very useful in describing angular distribution asymmetry parameter β in the dipole approximation. Although at this moment experimental measurements of nondipole parameters are made for Ar 1s, this investigation will stress the need for further experimental measurements for Ar 3s, particularly at photon energies from threshold to keV region.

II. THEORY

The photoionization matrix element is given by

$$\mathcal{M}_{if} = \langle f | (\mathbf{e} \cdot \mathbf{p}) e^{i\mathbf{k} \cdot \mathbf{r}} | i \rangle, \quad (1)$$

where $|i\rangle$ and $|f\rangle$ are the initial and final states of the atom respectively. \mathbf{k} and \mathbf{e} are photon momentum and polarization

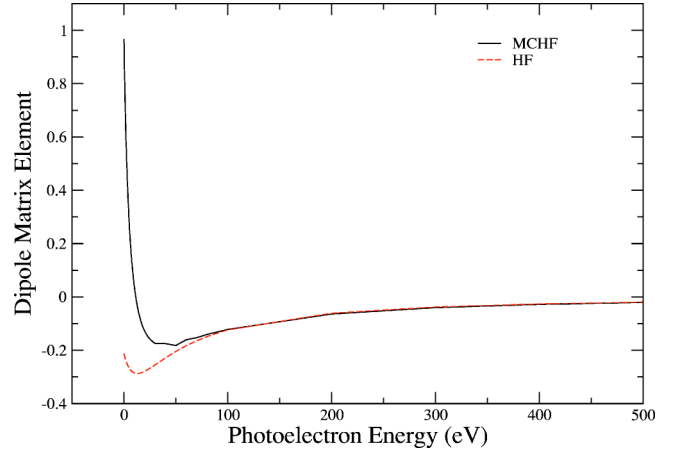


FIG. 1. (Color online) Dipole matrix elements in the MCHF and HF approximations as a function of photoelectron energy.

vectors, \mathbf{r} and \mathbf{p} are the coordinate and the momentum of the electron. Generally at low photon energies, the dipole approximation ($E1$) is valid. In this case $e^{i\mathbf{k} \cdot \mathbf{r}}$ is approximated by 1. For high photon energies, the dipole approximation is no longer valid. The first-order correction to the nondipole effect is obtained by retaining up to the second term in the expansion of the exponent

$$\mathcal{D}_{if} = \langle f | (\mathbf{e} \cdot \mathbf{p}) (1 + i\mathbf{k} \cdot \mathbf{r}) | i \rangle. \quad (2)$$

The second term represents the amplitude of the magnetic dipole ($M1$) and electric quadrupole ($E2$) transitions. The interference of the $E1$, $M1$, and $E2$ amplitudes leads to the general form of the angular distribution of photoelectrons. In the case considered, the interference between $E1$ and $E2$ amplitudes between the initial atomic state to the final continuum state describes the nondipole corrections to the differential cross section for photoionization.

For linearly polarized light, the expression for the nondipole angular distribution parameters is given by

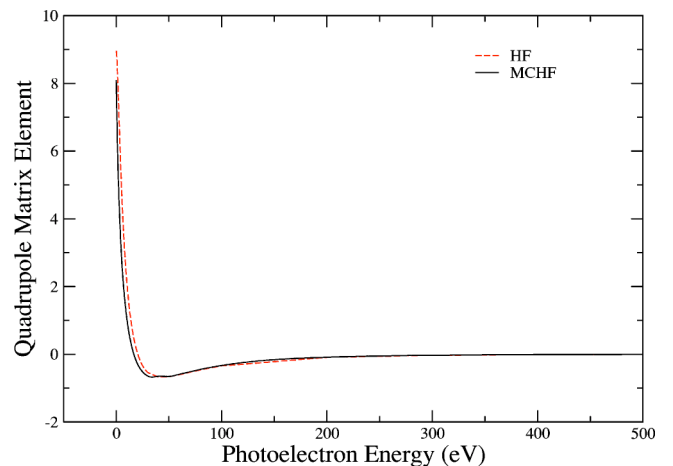


FIG. 2. (Color online) Quadrupole matrix elements in the MCHF and HF approximations as a function of photoelectron energy.

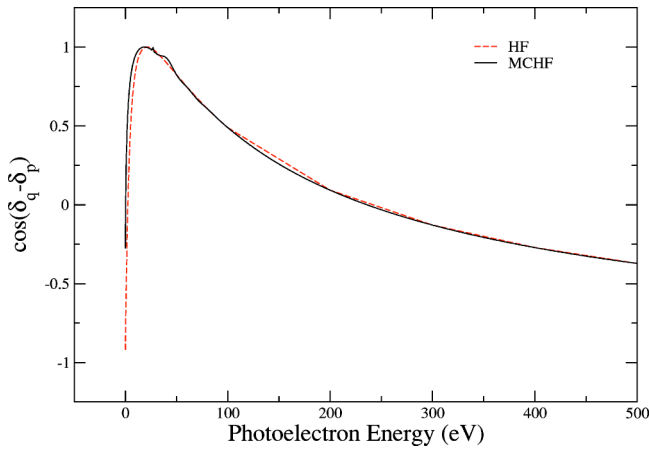


FIG. 3. (Color online) Cosine of $(\delta_q - \delta_p)$ in the MCHF and HF approximations as a function of photoelectron energy.

$$\frac{d\sigma_{nl}(\omega)}{d\theta} = \frac{\sigma_{nl}(\omega)}{4\pi} \{1 + \beta(\omega)P_2(\cos\theta) + [\delta(\omega) + \gamma(\omega)\cos^2\theta]\sin\theta\cos\phi\}, \quad (3)$$

where θ and ϕ are the polar and azimuthal angles of the photoelectron. The formulas for nondipole parameters are derived by Bechler and Pratt, Cooper, and Amusia *et al.* [14,1,9]. The expressions for the nondipole parameters $\gamma(\omega)$ and $\delta(\omega)$ involving dipole and quadrupole matrix elements and photoelectron phase shifts in the case of an arbitrary angular momentum l of a bound electron can be found in Refs. [13,1,3,4,8,9].

III. COMPUTATIONAL PROCEDURE

In this paper we concentrate on the $3s$ photoionization of the Ar atom. Determination of the nondipole asymmetry parameter $\gamma_{3s}(\omega)$ requires accurate evaluation of electric dipole ($E1$) and electric quadrupole ($E2$) matrix elements between the initial bound states and the final continuum states. In addition, p and d wave phase shifts are to be correctly

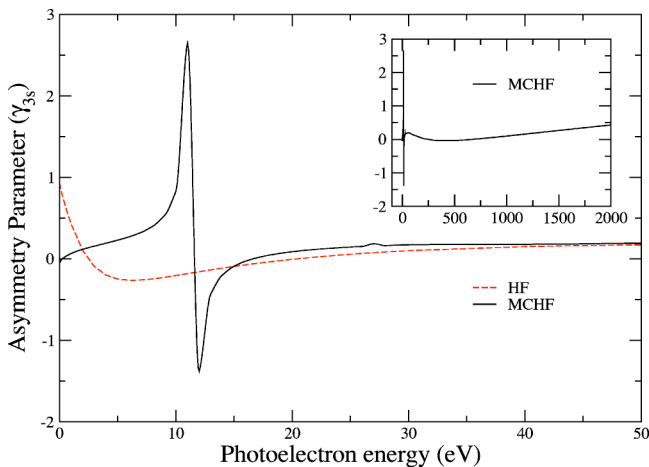


FIG. 4. (Color online) Nondipole asymmetry parameter $\gamma_{3s}(\omega)$ as a function of photoelectron energy.

TABLE I. Photoelectron energies (eV) at which zeros occur in the parameters.

	HF	MCHF
$\cos(\delta_q - \delta_p)$	3.0,300	0.3,300
DP		12.0
QP	21.0,700	17.0,700
γ_{3s}	3,21,300,700	0.3,12,17,300,700

evaluated. First of all we calculated numerical HF wave functions for the initial argon $3s^23p^61S$ bound state and the final $3s3p^6kp^1P$ for the dipole matrix elements and $3s3p^6ks^1S$ and $3s3p^6kd^1D$ states for the quadrupole matrix elements for photoelectron energies from threshold to 2 keV. With these wave functions and the phase shifts of the final continuum states we calculated the dipole and the quadrupole matrix elements. These matrix elements and phases are then used to calculate the nondipole parameter $\gamma_{3s}(\omega)$. To account for electron correlation and polarization we calculated wave functions for the initial and the final states in the MCHF approximation. The initial bound state of argon is prepared by including all the configurations generated by single and double electron replacements of the outermost $3s$ and $3p$ orbitals with the excited $3d$, $4s$, and $4p$ orbitals. The excited orbitals are calculated variationally in the self-consistent procedure. It has been found that the $3d$ configurations mix very strongly with the ground state of argon. The final continuum states for the dipole and the quadrupole matrix elements are calculated with a number of configurations prepared similarly through replacement of outermost $3s$ and $3p$ orbitals with the excited $3d$, $4s$, and $4p$ orbitals. These MCHF wave functions along with the phase shifts are then used to calculate the nondipole parameter $\gamma_{3s}(\omega)$.

IV. RESULTS

We present the results of the calculation of the nondipole parameter $\gamma_{3s}(\omega)$ for the $3s$ subshell ionization of Ar atom in both the HF and the MCHF approximations to determine the effect of electron correlation. The results are reported for photoelectron energies from threshold to 2 keV. In Fig. 1 we present the dipole matrix elements in the MCHF and HF approximations as a function of photoelectron energy from threshold to 500 eV. The dipole matrix elements in the MCHF approximation change sign near the threshold. But in the HF approximation they do not change sign. Near the threshold there is a large difference between the HF and the MCHF results but from 100 eV photoelectron energy both results overlap. The quadrupole matrix elements in both the approximations are shown in Fig. 2 as a function of photoelectron energy. Both results change sign near the threshold. There is a difference between the two results near the threshold but above 100 eV the two results overlap.

In Fig. 3, we also present results for $\cos(\delta_q - \delta_p)$ in both the HF and the MCHF approximations as a function of photoelectron energy. Each partial-wave phase shift is the sum of

TABLE II. Comparison of the values of nondipole parameter γ with other theoretical results.

Photoelectron energy (eV)	Present		Cooper ^a	DJC ^b	TNY ^c
	MCHF	HF			
1	0.0653	0.4288			
2	0.1173	0.1011			
3	0.1566	-0.0944			
4	0.1923	-0.1990			
5	0.2289	-0.2476			
6	0.2705	-0.2633			
7	0.3232	-0.2601			
8	0.3985	-0.2464			
9	0.5268	-0.2271			
10	0.8259	-0.2050			
12	-1.3873	-0.1585			
14	-0.1980	-0.1140			
16	-0.0283	-0.0735			
18	0.0445	-0.0376			
20	0.0869	-0.0060		-0.0104	
40	0.1774	0.1507		0.1419	
60	0.1997	0.1784		0.1611	
80	0.1719	0.1670		0.1495	
100	0.1400	0.1431	0.14	0.1288	0.104
200	0.0240	0.0264	0.03	0.0269	0.0148
500	-0.0339	-0.0378	-0.03	-0.0340	-0.0296
1000	0.0986	0.1004	0.10	0.1079	0.1030
1500	0.2666	0.2703		0.2762	0.2680
2000	0.4289	0.4332	0.42	0.4339	0.4290

^aReference [1].

^bReference [4].

^cReference [7].

Coulomb and residual phase shifts. Notice that there is a change of sign in both results. Although there is a difference between the values of MCHF and HF results near the threshold, they overlap each other at higher photoelectron energy.

The nondipole asymmetry parameter $\gamma_{3s}(\omega)$ for the 3s subshell ionization is shown in Fig. 4 as a function of photoelectron energy. The onset on this figure represents its behavior at high photoelectron energies. In the HF approximation the parameter $\gamma_{3s}(\omega)$ changes sign at four energies in the photoelectron energy range 0–2000 eV. The large value of $\gamma_{3s}(\omega)$ at the threshold is due to the large value of quadrupole matrix element and the small value of the dipole matrix element at threshold. The first and the third zeros occur at $k^2 \approx 3$ eV and $k^2 \approx 300$ eV due to $\cos(\delta_q - \delta_p) = 0$. The second and fourth zeroes at $k^2 = 21.0$ eV and $k^2 = 700$ eV are due to change of signs of the quadrupole matrix elements. In the MCHF approximation, as mentioned earlier, the electron correlation and polarization effects are taken into account very effectively by including configurations involving 3d, 4s, and 4p orbitals in both the initial and the final states. As one can see, electron correlation and polarization effects change the behavior of $\gamma_{3s}(\omega)$ dramatically at low photoelectron energies. The positions of the zeros are shifted to-

wards the threshold and one additional zero and a maximum occur near the threshold. The first and the second zero are shifted to $k^2 = 0.3$ eV and $k^2 = 17$ eV, respectively, due to $\cos(\delta_q - \delta_p) = 0$ and the change of sign of the quadrupole matrix elements. Additional zero occurs at $k^2 = 11.5$ eV due to the change of sign of the dipole matrix elements. The fourth and the fifth zeros occur again at $k^2 = 300$ eV and $k^2 \approx 700$ eV, similar to the HF results. The large maximum at 11 eV and the sharp maximum at 12 eV are due to the sign variation of the dipole matrix elements. The shift of the positions of the zeros and the large maximum and minimum are due to the large correlation and polarization effects of the photoelectron with the core ion. The oscillatory behavior of the asymmetry parameter $\gamma_{3s}(\omega)$ near the threshold compared to HF values is due to the large electron correlation effects.

It should be mentioned that the present HF results for $\gamma_{3s}(\omega)$ are in excellent agreement with the HF results obtained by Amusia *et al.* [9]. Near the threshold 0–50 eV the MCHF results, which include electron correlation, agree very well qualitatively with RPAE results calculated by Amusia *et al.* The difference between the two calculations may be attributed to different methods and different sets of configurations used in the two calculations. At high energies our results agrees well with those obtained by Cooper, Derevanko *et al.*, and Amusia *et al.* [1,4,9].

In Table I we present the photoelectron energies in eV at which zeros appear in the dipole matrix elements (DP), the quadrupole matrix elements (QP), and the $\cos(\delta_q - \delta_p)$ in both the HF and the MCHF approximations. Table II shows the comparison of γ_{3s} between the present results and the other available theoretical data at a few photoelectron energies. It is seen that there is excellent agreement between the present results and the other theoretical findings.

V. CONCLUSION

We investigated the effects of electron correlation and polarization on the nondipole asymmetry parameter of the 3s subshell of argon. We performed calculations for the photoelectron energy range from threshold to 2 keV using both the MCHF and the HF approximations. By comparing the MCHF and the HF results we found that electron correlation effects change the behavior of the nondipole parameters dramatically near the photoionization threshold. This variation suggests that the dipole approximation alone will not correctly describe the photoelectron angular distribution near the photoionization threshold. Near the photoionization threshold the nondipole parameters show oscillatory behavior as a function of photoelectron energy. These nondipole effects decrease with the increase of photoelectron energy. Finally this investigation will stimulate interest for experimental measurements on $\gamma_{3s}(\omega)$ close to threshold.

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