

Characteristics and Significance of the Transition Zone in Drained Thaw-Lake Basins of the Arctic Coastal Plain, Alaska

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ABSTRACT. In the three-component conceptual model of arctic soils, the transition zone is recognized as a layer intermediate between the seasonally thawed active layer above and the stable permafrost below. Although typically frozen and therefore part of the near-surface permafrost, the transition zone episodically thaws over a time period ranging from sub-decadal to multi-centennial. From an analysis of 138 pedons from the Arctic Coastal Plain near Barrow, Alaska, we were able to delineate the upper boundary of the transition zone in 78% and the lower boundary in 70% of the pedons. The transition zone exhibits the effects of cryoturbation, contains abundant redistributed organic carbon, is enriched by ice in the forms of lenses, veins, and nets (reticulate vein ice) and has abundant soil moisture. The surface (upper boundary) of the transition zone is found at an average depth of 34 ± 7 cm below the ground surface and has an average thickness of 23 ± 8 cm. We observed no significant differences in the thickness of the transition zone or the depth of its boundaries in drained thaw-lake basins ranging in age from 300 to 5500 years BP, suggesting that the processes leading to the development of this zone occur rapidly in Arctic Alaska. Recognition of the transition zone has implications for understanding pedogenic processes in permafrost-affected soils and for determining the response of near-surface permafrost to climate warming.

Key words: active layer, Alaska, arctic soils, frozen ground, permafrost, transition layer

RÉSUMÉ. Dans le modèle conceptuel à trois composantes des sols arctiques, la zone de transition est reconnue comme une couche intermédiaire entre la couche active supérieure qui dégèle selon les saisons et le permafrost stable au-dessous. Bien qu'elle soit généralement gelée et que par conséquent, elle fasse partie du permafrost près de la surface, la zone de transition dégèle de manière épisodique sur une période allant de moins d'une décennie à plusieurs centaines d'années. D'après l'analyse de 138 pédons provenant de la plaine côtière de l'Arctique près de Barrow, en Alaska, on a pu délimiter la borne supérieure de la zone de transition dans 78 % des pédons et la borne inférieure dans 70 % d'entre eux. La zone de transition affiche les effets de la cryoturbation, puis elle contient du carbone organique distribué en abondance, elle est enrichie par la glace sous la forme de lentilles, de veines et de réseaux (glace de veine réticulée) et son sol renferme une humidité abondante. La surface (borne supérieure) de la zone de transition se trouve à une profondeur moyenne de 34 ± 7 cm sous la surface du sol et son épaisseur moyenne est de 23 ± 8 cm. Aucune différence considérable n'a été observée quant à l'épaisseur de la zone de transition ou à la profondeur de ses bornes dans les bassins de lacs de dégel allant de 300 à 5 500 ans BP, ce qui laisse croire que les processus ayant mené au développement de cette zone se produisent rapidement dans l'Alaska arctique. La reconnaissance de la zone de transition a des incidences sur la compréhension des processus pédogénésés dans les sols affectés par le permafrost ainsi que sur la détermination de la réaction du permafrost situé près de la surface au réchauffement du climat.

Mots clés: couche active, Alaska, sols arctiques, sol gelé, permafrost, couche de transition

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INTRODUCTION

In many natural systems, there exists a transition layer between regions of contrasting properties. In lakes, for example, the thermocline separates warmer waters above from colder waters below. In this transition zone, the thermal properties and temperature gradients differ from those of the upper and lower layers. Furthermore, the characteristics of this transition layer are temporally variable, in that the position of the upper and lower boundaries can change with time, and the layer may periodically or

episodically disappear. The same is true of the boundary layer in the atmosphere, where a three-layer model is also appropriate and useful in understanding system dynamics.

The standard conception of permafrost-affected soils employs a simple two-layer model. At depth is permafrost, which is defined as "earth material that remains continuously at or below 0°C for at least two consecutive years" (van Everdingen, 1998). At the surface is the "active layer," which thaws in summer and refreezes in winter.

Recent research in North America supports an earlier Russian proposal for the existence of a "transition" or

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“transient” layer in Arctic soils (Shur et al., 2005). This layer—the uppermost, or near-surface, portion of the permafrost—meets the thermal criteria for permafrost defined above. However, its maximum summer-thaw depth tends to fluctuate from year to year owing to interannual variations in components of the surface energy budget. During occasional deep-thaw penetration, ice in the near-surface permafrost melts, and the affected zone temporarily becomes part of the active layer. It is expected that these episodic thaw events will occur less often at greater depth, or in other words, that the thaw recurrence interval increases with depth within the transition zone (Shur et al., 2005). On a time scale ranging from sub-decadal to multi-centennial, all or some of this zone temporarily thaws. This thawing is viewed as a response to interannual variation and possibly also to longer-term climate changes. The base or lower boundary of the transition zone, therefore, marks the position of maximum thaw over the time interval. This boundary can be considered the long-term permafrost table.

Following episodic deep thaw, the affected portion of the transition zone returns to the frozen state. The unique history of this zone produces characteristics that differ from both the active layer above and the deeper permafrost below (Yanovsky, 1933; Shur, 1988a, b). As a result, the transition zone has a large impact on soil and cryogenic structures and on the thermal stability of permafrost.

The objectives of this paper are to (1) distinguish and delineate the transition zone using a comprehensive database from soil cores collected near Barrow, Alaska; (2) identify those soil properties that characterize the transition zone; and (3) discuss the influence of the transition zone on soil development.

GEOCRYOLOGICAL ASPECTS OF THE TRANSITION ZONE

Numerous studies have demonstrated that the near-surface permafrost, including the transition zone, is ice-rich, and its ice content is often much greater than in the deeper permafrost immediately below (Brown, 1967b; Mackay, 1971, 1972, 1983; Sellman et al., 1975; Pollard and French, 1980; Cheng, 1983; Shur, 1988a, b). Ice enrichment occurs over time by infiltration of snow melt-water down thermal contraction cracks, where it subsequently freezes at depth (Hinkel et al., 1996, 2001). In addition, moisture is drawn from wet, unfrozen soil downward into the frozen zone, where it forms segregation ice (Williams, 1982; Mackay, 1983). Therefore, the upper permafrost and transition zone contain interstitial pore ice, lenses of segregation ice, and ice veins and, in time, become supersaturated with ice. Episodic thaw of portions of the transition zone will, in a sense, reset the moisture content back to a base level.

The transition zone occasionally experiences thaw, especially during warm summers and near its upper boundary.

As thaw frequency decreases with depth, the probability of thaw at the long-term permafrost table approaches zero. The ice-rich transition zone has high resistance to thaw because latent heat is required to melt the ice, and this resistance increases over time as the ice content increases. Therefore, the transition zone tends to promote interannual thermal stability in the upper permafrost (Shur, 1977; Shur et al., 2005). Should the thaw front penetrate well into this ice-rich layer, it may trigger additional processes. When ground ice melts, the ground surface will subside, and the soil at depth loses strength while pore water pressure increases. Lewkowicz and Clarke (1998) used field observations and modeling to link deep thaw to shear displacement within the transition zone. This phenomenon occurred during particularly warm summers and resulted in increased rates of solifluction on slopes.

Secondary and tertiary ice wedges and contemporary ice veins are occasionally observed extending from primary ice wedges upward into the transition zone (Fig. 1). These features indicate ice-wedge rejuvenation following deep thaw events (Lewkowicz, 1994; Kokelj and Burn, 2003). Primary ice wedges, in contrast, are located at the long-term permafrost table, as observed at Barrow (Brown, 1969; Shur, 1975; Estabrook and Outcalt, 1984). Therefore, the tops of primary ice wedges indicate the maximum depth of thaw that has occurred since the ice wedges formed, which coincides with the long-term permafrost table and the base of the transition zone.

In the drained thaw-lake basins in Alaska, the cryogenic structure of the lower region of the transition zone differs from that of the underlying permafrost in that it contains a net-like structure (Popov, 1967; Murton and French, 1994), whereas the underlying deeper permafrost contains primarily ice lenses. Shur (1988a, b) related the genesis of the transition layer to a decrease in the active-layer depth during ecosystem evolution, primarily under the impact of vegetation succession or accumulation of material at the soil surface. However, the near-surface permafrost might be enriched in ice without active-layer thinning (Cheng, 1983). Vegetation and organic debris tend to insulate the ground and thus reduce heat flow to depth, while surface aggradation is accompanied by upward migration of the permafrost table. As the surface layer accumulates, the thermal properties change; over millennia, the active layer becomes thinner, shielding the ground from extreme events.

Ice accumulation at depth produces frost heave, which influences microrelief and thus ecological conditions on the soil surface and in the active layer. Kokelj and Burn (2003) correlated “drunken forests” with the accumulation of aggradational ice in the upper permafrost, and Shur and Ping (1994) demonstrated the influence of such ice on patterned-ground evolution.

Although the transition zone is not specifically mentioned, there is some recognition of its occurrence in the cryopedology literature (Tarnocai, 1994; Hoefle et al., 1998). The transition zone often exhibits more intensive cryoturbation and greater admixed organic matter than

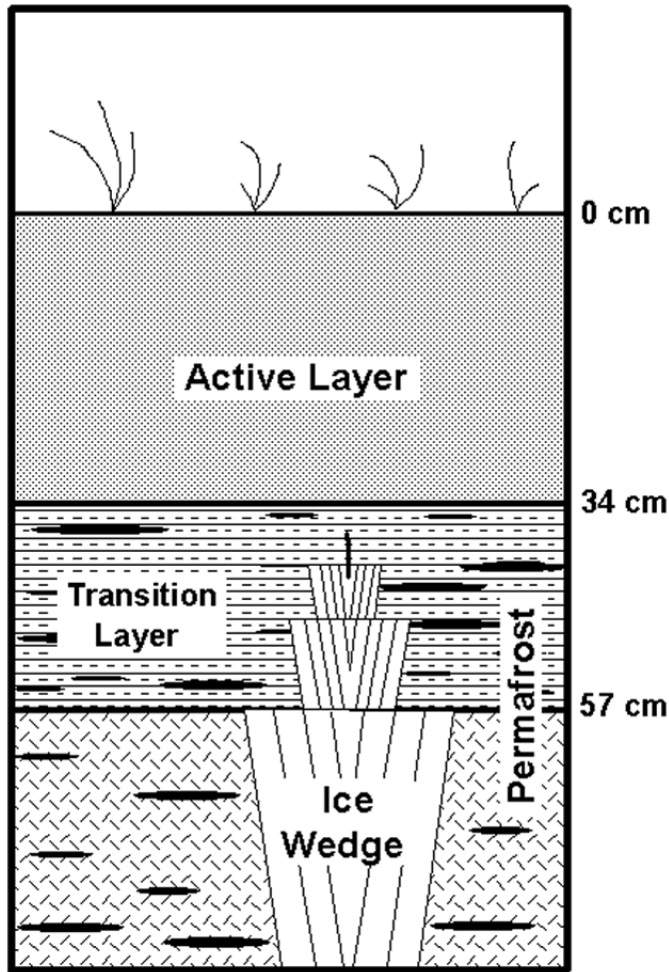


FIG. 1. Schematic diagram of a three-layer conceptual model. Note formation of ice lenses (black ellipses), upward growth of secondary and tertiary ice wedges into transition zone (from Fig. 4 in Lewkowicz, 1994), and ice vein protruding from tertiary ice wedge.

underlying permafrost (Brown, 1965a, b, 1969). Cryoturbation refers to sorting, heaving, stirring, wedging, and cracking (Washburn, 1980) and is evidenced by broken and irregular soil horizon boundaries, textural bands, organic matter accumulation in the subsoil, oriented stones, silt caps and accumulations, and deformed soil material associated with movements due to ice- and sand-wedge growth (Bockheim and Tarnocai, 1998). Soil fabrics in the transition zone, as detected in thin sections, reflect rotation caused by cryoturbation (Fox and Protz, 1981; Smith et al., 1991). The transition zone often has a massive prismatic or platy structure resulting from ice lensing (Smith et al., 1991; Tarnocai, 1994). It is less weathered than the active layer (Brown, 1969; Tarnocai, 1972), and it exhibits higher soil moisture than the active layer because of abundant segregation and vein ice (Tarnocai, 1983).

The concept of the transition zone is embedded in the definition of gelic materials in the Gelisol order of *Soil Taxonomy* (Soil Survey Staff, 1999). Gelic materials may be either mineral or organic and are affected by cryoturbation, ice segregation, cracking due to thermal

contraction or a combination of these factors (Bockheim et al., 1997). Cryopedogenic processes that lead to gelic materials are driven by the physical volume change in water as it turns to ice, moisture migration along a thermal gradient in the frozen system, or thermal contraction of the frozen material by continued rapid cooling; all these occur in the transition zone.

Soils of Arctic Alaska are strongly related to regional climate (Ping et al., 1998), land cover type (Auerbach et al., 1996), micro-relief (patterned ground) form (Drew and Tedrow, 1962; Everett, 1974), chemical composition (Walker and Everett, 1991; Walker et al., 2000), and age of parent materials (Munroe and Bockheim, 2001). Soils of Arctic Alaska are often intensively cryoturbated and generally have a medium texture, poor drainage, and high organic matter content (Tedrow, 1962; Brown, 1969; Ping et al., 1998).

Over the past decade, we have been describing and sampling soils in the Arctic Coastal Plain near Barrow, Alaska (Bockheim et al., 1999, 2002, 2004). Soils information was incorporated into a database that includes descriptions and analytical data for 138 pedons.

STUDY AREA

The study was conducted near Barrow, Alaska (71° N, 156° W) on the Arctic Coastal Plain, where elevations range from 0 to 20 m above sea level. The study area is within the zone of continuous permafrost (Péwé, 1975). Although generally around Barrow the thickness of the active layer ranges from 30 to 90 cm (Nelson et al., 1998), in the drained thaw-lake basins during 1995–2003 it was 32 ± 4.4 cm (Nelson et al., unpubl.). The mean annual air temperature is -12.0°C ; July is the warmest month at 4.7°C , and February is the coldest month at -26.6°C (National Climate Data Center, 2002). Mean annual precipitation is about 100 mm, two-thirds of which falls as rain during the three-month period July–September. The winter snowpack averages 20 to 40 cm, but snow accumulation is highly variable because of terrain microtopography and drifting caused by strong easterly winds.

Five major landcover types occur near Barrow: dry heath, dry meadow, moist meadow, wet meadow, and emergent aquatic vegetation (Tweedie, 2004). The parent materials are dominantly marine sediments of Pleistocene age that have been reworked by thaw-lake processes on the Arctic Coastal Plain (Sellman and Brown, 1973; Carter, 1988). The majority of cores in the database were collected from within drained thaw-lake basins, which represent four age classes: young (0–50 BP), medium (50–300 BP), old (300–2000 BP), and ancient (2000–5500 BP) (Hinkel et al., 2003; Bockheim et al., 2004). In addition, we examined an erosional landscape remnant with deep organic deposits that is about 9000 years old (Eisner et al., 2005).

Soils of the Barrow region have been mapped at a scale of 1:20 000 (Drew, 1957; Bockheim et al., 1999, 2002) and

TABLE 1. Criteria for delineating the transient layer in permafrost-affected soils.

Criterion	Explanation	References
Location	Between active layer and permafrost	Yanovksy, 1933; Shur, 1988a, b
Ice content	High ice content but commonly lower than ice-rich permafrost	Brown, 1965a, 1967a; Mackay, 1972; Pollard and French, 1980
Ice form	Ice-rich lens and boxes	Shur, 1988a, b; Hoefle et al., 1998
Soil properties	Intensive cryoturbation	Douglas and Tedrow, 1960; Hoefle et al., 1998
	Admixed subsoil organic matter	Brown, 1965a
	Platy structure from lens ice	Tarnocai, 1994; Hoefle et al., 1998
	Soil moisture higher than in active layer	Tarnocai, 1983

include 12 subgroups, four of which are classified as Turbels (Turbic Cryosols), four as Orthels (Static Cryosols), and four as Histels (Organic Cryosols) in the soil classification systems of the United States (Soil Survey Staff, 1999) and Canada (Soil Classification Working Group, 1998). Ice-wedge polygonal ground, which is common throughout the study areas, covers about 65% of the surface in the Barrow region (Brown, 1967b). The volume of segregation ice in pores and lenses averages 50% to 75% in the upper 2 m in the Barrow region (Sellman et al., 1975), but ice wedges may contribute an additional 10% to 20% to the volume in the upper 2 m.

METHODS AND MATERIALS

A total of 138 pedons were obtained from sites representing major plant-community types and soil taxa in the Barrow area. Cores were collected in winter, when the wet, remote sites became accessible to heavy coring equipment transported by snow machine. Cores were collected to a depth of at least 1 m using a Little Beaver or Big Beaver drill equipped with a SIPRE core barrel (7.5 cm inside diameter). Cores were described according to soil horizon, and the amount and type of segregation and vein ice (Shur and Ping, 1994) were estimated visually. All samples were dried at 70°C, and the moisture content and bulk density were determined.

Oven-dried samples were ground to pass a 0.5 mm screen, and sub-samples were analyzed at the University of Wisconsin using a Dohrmann DC-190 total organic C analyzer (Tekmar-Dohrmann, Mason, OH). Core samples from Barrow did not react with 1 M HCl and were therefore judged not to contain inorganic C. The transition zone was identified in each of the pedons according to the criteria listed in Table 1.

RESULTS

Field Identification of the Transition Zone

Using the criteria listed in Table 1, we were able to detect the surface of the transition zone in 78% of the pedons

analyzed (Table 2). For nine pedons (6.5%), the field criteria were insufficient to identify the surface of the transition zone, and for 22 pedons (15%) the presence of an ice wedge at depth made identification difficult. We were able to detect the lower boundary of the transition zone in 70% of the pedons (Table 2). In the case of 12 pedons (9%), we had not cored deeply enough, and in 30 pedons (22%) the field criteria were insufficient to locate the lower boundary.

The surface of the transition zone was detected at depths ranging from 20 to 55 cm below the ground surface, with an average depth of 34 ± 7 cm (Table 2). The thickness ranged from 10 to 46 cm and averaged 23 ± 8 cm. The thickness of the active layer is inversely correlated with the thickness of the transition zone (adjusted $r^2 = 0.23$; $p = 0.029$), which is to be expected since they are the result of similar forcing factors, e.g., summer temperature. The transition zone was readily observable in moist acidic and wet tundra, but we were unable to delineate a transition zone in excessively drained soils on beach ridges. In the drained thaw-lake basins, there were no significant differences between age classes in the thickness of the transition zone or the locations of its upper and lower boundaries.

Soil Properties of the Transition Zone

Figure 1 is a schematic diagram showing the tripartite morphology of arctic soils. The transition zone is characterized by a cryoturbated horizon containing organic matter. Permafrost typically lacks cryoturbation to the same degree and has gleyed parent materials, or histic materials in the case of organic soils.

In the cores we examined, the transition zone often exhibited cryoturbation; abundant segregated ice in the form of lenses, veins, and a reticulate fabric; mixed organic matter in the form of patches, involutions, and layers; and a platy structure (Fig. 2). The platy structure is especially evident following melting of segregated lens ice (Fig. 2B). The transition zone in organic soils (Histels) could be readily determined from the ground-ice content and cryoturbation of organic fibers (Fig. 2E).

Analytical properties corroborating field evidence of a transition zone are illustrated in Figure 3. The transition zone generally has a greater amount of segregation ice and moisture content than the active layer, a greater amount of

TABLE 2. Soil evidence for transient layer in drained thaw-lake basins of Arctic Alaska.

Pedon No.	Latitude (°N)	Longitude (°W)	Transition layer					
			Surface (cm)	Bottom (cm)	Thickness (cm)	Soil horizonation	Ice morphology ¹	Ice content (%)
Young Age Class:								
B3-1	71.2212	156.46310	46	62	16	Cg/Oajjfm	l, a	5
B3-2	71.2212	156.47113	38	72	34	Cg/Oejjfm	l, v	20
B3-3	71.2212	156.47280	37	58	21	Cg/Oajjfm	l	40
B8-1	71.2113	156.52855	46	59	13	Cg/Oajjfm	l	80
B8-2	71.2113	156.52966	38	57	19	Cg/Oejjfm	v, l	15
B8-3	71.2113	156.53609	38	50	12	Cg/Oejjfm	l, v	75
B12-1	71.2648	156.66245	26	50?		Cg/Oejjfm	l	30
S8-02	71.21247	156.53564	29	?		Cg/Oajjfm	v, l	20
S8-03	71.21242	156.53856	34	71+	37+	Cg/Oajjfm	v, l	10
S8-04	71.2108	156.53114	30	55	25	Cg/Oajjfm	l, v	10
S8-05	71.21072	156.53531	30	61?		Cgfm	nd	nd
S8-06	71.21047	156.54069	32	67	35	2C/Oajjfm	l, v	20
S8-07	71.20839	156.53072	29	51+	22+	2Cg	l, v	5
S8-08	71.20844	156.53489	33	54+	19+	Cg2f,	v, l	10
S8-10	71.20722	56.53469	30	41+	11+			
S8-11	71.21353	156.53583	33	69+	36+	Cg/Oajjfm	v	5
		Avg.	34.3	60.0	21.9			
		SD	5.8	6.9	8.9			
Medium Age Class:								
B1-2	71.2779	156.44985	37	55	18	Cg/Oajjfm	v	60
B1-3	71.2779	156.44791	48	74	26	Cg/Oejjfm	v	50
B1-4	71.2779	156.44265	32	?		Cg/Oijjfm	l, v, a	5
B9-1	71.2170	156.53988		34			w	
B9-2	71.2170	156.54736	33	62	29	Cg/Oijjfm	l	70
B9-3	71.2170	156.55540	31	51	20	Cg/Oejjfm	v	20
B10-1	71.2608	156.72194	36	56	20	Cg/Oejjfm	v, l	40
B10-2	71.2608	156.72610	27	46	19	Cg/Oejjfm	l	40
B10-3	71.2608	156.73136	30	67	37	Cg/Oejjfm	l, v	50
B10-4	71.2608	156.74022	40	50	10	Cg/Oejjfm	l	15
B10-5	71.2608	156.74438	40	56	16	Cg/Oejjfm	a	10
VB-21	70.0800	156.29025	28	52	24	Cg/Oajjfm	nd	nd
S1-01	71.28664	156.44345	28	51	23	Cg/Oejjfm	l, v	5
S1-02	71.28628	156.44839	?	50		Cg/Oajjfm	l, v	3
S1-03	71.28594	156.45170	27	54?		Cg/Oajjfm	l, v	8
S1-04	71.27931	156.43961	44	?		Cg/Oajjfm	v	
S1-05	71.27922	156.44381	35	72	37	Cg/Oajjfm	l	3
S1-06	71.27914	156.44880	25	55	30	Cg/Oijjfm	l, v	30
S1-07	71.27236	156.43628	23	40	17	Cg/Oejjfm	l, v	50
S1-08	71.27228	156.44047	23	56+	33+	Oajj/Cgfm	v, l	70
S1-09	71.27219	156.44589	33	60	27	Oejj/Cgfm	a	15
S1-10	71.27081	156.44019	26	48	22	Cg/Oejjfm	a	nd
S1-11	71.28764	156.44739	26	45	19	Cg/Oajjfm	l	10
S1-12	71.27931	156.43961	25	40	15	Oajj/Cgfm	l, v	15
KV1-01	71.16400	156.70400	36	?		Cg/Oejjfm	v, l	5
KV1-02	71.16300	156.70000	39	52	13	Cg/Oejjfm	v, l	5
KV1-03	71.16200	156.70500	?	?		Cg/Oejjfm	l, v	10
LL-01			38	60	22	Cg/Oajjfm	v, a, l	50
LL-02	71.24800	156.25100	30	42+	12+	Cg/Oijjfm	a	40
LL-03	71.24200	156.25200		42			w	20
VB14-01	71.18400	156.85500	27	47	20	Cg/Oejjfm	l, v	20
VB14-02	71.18000	156.85300	34	53?		Cg/Oejjfm	l	35
VB14-03	71.17800	156.84900	33	51?		Cg/Oejjfm	l	15
		Avg.	32.2	52.7	22.1			
		SD	6.4	9.8	7.0			

Table 2 continued:

organic carbon than the near-surface permafrost, and a bulk density intermediate between those of the active layer and the permafrost. The active layer normally has a high bulk density in the lower part from transfer of moisture to the surface during seasonal thawing (Mackay, 1980; Smith et al., 1991; Tarnocai, 1994) and to the transition zone during episodic deep thawing. Permafrost has a low bulk density from ice enrichment.

DISCUSSION

Delineation of the Transition Zone in Arctic Soils

We were able to recognize the transition zone from soil cores collected during winter, primarily from the amount and form of segregated and vein ice. The visible ice content is greater in the transition zone than in the active

TABLE 2. Soil evidence for transient layer in drained thaw-lake basins of Arctic Alaska – continued:

Pedon No.	Latitude (°N)	Longitude (°W)	Transition layer					
			Surface (cm)	Bottom (cm)	Thickness (cm)	Soil horization	Ice morphology ¹	Ice content (%)
Old Age Class:								
B2-1	71.2725	156.48281	43	66	23	Cg/Oijjfm	l	10
B2-2	71.2725	156.47616	42	66	24	Cg/Oajjfm	l	60
B2-3	71.2725	156.48558	40	50?		Cg/Oajjfm	v,a	10
B4-1	71.2133	156.47961	44	64	20	Cg/Oejjfm	l, v	40
B4-2	71.2133	156.48764	39	76	37	Cg/Oajjfm	l, v	40
B4-3	71.2133	156.49457	35	48	13	Cg/Oajjfm	l, v	60
B6-1	71.2093	156.51553	37	62	25	Cg/Oejjfm	l, v	60
B6-2	71.2093	156.52080	52	78	25	Cg/Oejjfm	l, v	50
B6-3	71.2093	156.52246	33	49	16	Oeffj/Cgfm	l	90
B11-1	71.2535	156.67254	46	68	22	Cg/Oejjfm	l, v	50
B11-2	71.2535	156.67919	35	62	27	Cg/Oajjfm	l, v	85
B11-3	71.2535	156.68085	42	55	13	Cg/Oajjfm	l, v	30
B11-4	71.2535	156.68805	40	67	23	Cg/Oijjfm	l	70
VB-15	71.16742	156.84567	30	57	27	Cg/Oejjfm	nd	nd
VB-27	70.97317	156.00761		30			w	nd
S6-02	71.21200	156.51800	35	55	20	Cgfm	v, l, a	15
S6-03	71.21164	156.52170	45	50+	5+	Cg/Oajjfm	v, l	5
S6-04	71.21009	156.51180	29	63	34	Cg/Oefm	l	5
S6-05	71.20972	156.51633	29	49	20	Oajj/Cgfm	l	30
S6-06	71.20925	156.52041	33	51	18	Cg/Oajjfm	v, l	5
S6-07	71.2082	156.51189	23	45	22	Cg/Oajjfm	l, v	45
S6-08	71.20786	156.51517	28	54+	26+	Oajj/Cgfm	l, v	5
S6-09	71.20767	156.51889	27	55	28	Cg/Oejjfm	a	75
S6-10	71.20667	156.51411	55	70	15	Cg/Oajjfm	l, v	5
S6-11	71.21303	156.51944	34	64+	30+	Oejj/Cgfm	l	40
TC-01	71.25596	156.34412	39	57?		Cg/Oejjfm	a	60
TC-02	71.25595	156.34900	?	?		Cg/Oejjfm	l	15
TC-03	71.25594	156.35289	?	?		Cg/Oejjfm	a	10
TC-04	71.25100	156.34200	?	?		Cg/Oejjfm	l	40
TC-05	71.25089	156.34637		40			w	
TC-06	71.25116	156.34998	20	43?		Cg/Oajjfm	a	60
TC-07	71.24519	156.33955	43	?		Cg/Oejjfm	l	60
TC-08	71.24629	156.34444		44			w	
TC-09	71.24559	156.34979		33			w	
TC-10	71.24253	156.33925	?	?		Cg/Oijjfm	a	nd
TC-11	71.25818	156.34785	?	57		Oejj/Cgfm	l	20
TC-12	71.24519	156.33955	39	54	15	Cg/Oejjfm	l	50
		Avg.	37.0	56.3	22.2			
		SD	8.1	12.1	6.3			
Ancient Age Class:								
B5-1	71.2212	156.51020	42	54	12	Cg/Oajjfm	v, l	30
B5-2	71.2212	156.51297	42	52	10	Cg/Oejjfm	v, l	50
B5-3	71.2212	156.51602	35	56	21	Cg/Oejjfm	a, l	30
B7-1	71.2030	156.52161		36			w	
B7-2	71.2030	156.52787	42	62	20	Cg/Oejjfm	l, v	60
B7-3	71.2030	156.53330	36	54	18	Cg/Oajjfm	l, v	80
VB-13	71.13437	156.95825	30	67	37	Cg/Oejjfm	l	nd
VB-18	71.16053	156.56042	27	56	29	Cg/Oejjfm	nd	nd
VB-19	71.14028	156.56042	31	49	18	Cg/Oejjfm	nd	nd
VB-33	71.13617	155.66039	30	54	24		l	nd
S7-01	71.20458	156.52500	33	59	26	Oefm	nd	nd
S7-02	71.2045	156.52958	30	55	25	Oafm	l	10
S7-03	71.20441	156.53375		28			w	
S7-04	71.20311	156.52434	33	56	23	Cg/Oejjfm	v, l	5
S7-05	71.20303	156.52934	35	54	19	Oajj/Cgfm	l	10
S7-06	71.20295	156.53350		40			w	
S7-07	71.2008	156.52517		35			w	
S7-08	71.20075	156.52892		37			w	
S7-09	71.20056	156.53222		48			w	
S7-10	71.19967	156.52872	40	?		Wf1, Oafm	i	100
S7-11	71.20544	156.52975		35			w	
S7-12	71.2045	156.52958	33	54	21	Cg/Oejjfm		
		Avg.	34.6	49.6	21.6			
		SD	4.9	10.3	6.8			

TABLE 2. Soil evidence for transient layer in drained thaw-lake basins of Arctic Alaska – continued:

Pedon No.	Latitude (°N)	Longitude (°W)	Transition layer					
			Surface (cm)	Bottom (cm)	Thickness (cm)	Soil horizonation	Ice morphology ¹	Ice content (%)
Erosional Remnant:								
PPP02-01	71.06875	156.40604	20	56	46	Wf1	w	
PPP02-02	71.06875	156.40604	22	41	19		w	
PPP02-03	71.06875	156.40604		37			w	
PPP02-04	71.06875	156.40604	?	?		Oafm	nd	nd
PPP02-05	71.06875	156.40604	37	64+	27+	Oafm, Oa/Wf	l, v	20
PPP-01	71.05700	156.38300		62		Cg/Oajjfm	w	nd
PPP-02	71.06500	156.40300		52		Oejj/Cgfm	w	nd
PPP-03	71.07600	156.42600		58		Oajj/Cgfm	w	nd
		Avg.	26.3	51.0	32.5			
		SD	9.3	9.9	19.1			
Age Class Not Known:								
R1-02	71.06875	156.40604	32	58?		Oajj/Cgfm	v	12
R2-02	70.15035	157.11797	29	?		Oejj/Cgfm	l, v	5
R3-02	70.00227	156.7132	38	79	41	Cg/Oejjfm	a, l	20
BIE-02	71.00227	156.7132	22	58	36	Oafm	v	30
BIW1			24	56	32	Abf	nd	nd
NS1-01	71.02920	156.61000		32		Cg/Oajjfm	w	
NS1-02	71.02920	156.60991	35	?		Cg/Oajjfm	l, v	15
NS1-03	71.09000	156.61400	33	53+	20+	Cg/Oejjfm	nd	nd
NS1-04	71.09000	156.61400	?	?		Cg/Oajjfm	nd	nd
SLL-01	71.21400	156.61900	38	?		Cg/Oajjfm	l	10
SLL-02	71.21300	156.61000	30	45	15	Cg/Oajjfm	nd	nd
TR-1	71.06900	156.55900		56		Cg/Oajjfm	w	nd
TR-2	71.06600	156.55800		76		Cg/Oajjfm	w	
DPD-03-01	70.43788	156.42450	30	48	18	Oajj/Cgfm	l	nd
DPD-03-02	70.43788	156.42450		30		Cg/Oajjfm	w	nd
WID-03-01	70.4325	156.36250	20	?		Cg/Oajjfm	nd	nd
WIR-03-01	70.46417	156.48888	22	?		Cg2f,	nd	nd
BH3-03-01	70.47694	156.48555	51	85	36	Oafm	l	nd
SGD-03-01	70.43855	156.33005	25	?		Oef	l	nd
DRF-03-01	70.46811	156.34811	40	64?		Cg/Oajjfm	nd	nd
RBD-03-01	70.45200	156.27747	40	?		Oef	nd	nd

¹ Transient ice morphology: l = lens, v = vein, a = ataxitic, w = ice wedge, nd = not determined.

layer because of downward moisture migration from the overlying active layer and subsequent refreezing (Mackay, 1983; Hinkel et al., 1996, 2001). This phenomenon is manifested by the presence of ice veins and ice lenses that can range from a few mm to 30 cm in thickness, which may represent injection ice rather than segregation ice. Veins can extend from the active layer well into the permafrost.

Cryoturbation occurs not only in the active layer, but also in the transition zone during episodic deep thaw events. Although there is some debate in the literature as to the mechanism of cryoturbation, the predominant mechanisms appear to be differential frost heave, load casting, and convection (Mackay, 1980; Van Vliet-Lanoë, 1991).

The transition zone generally contains organic matter in the subsoil in the form of patches, involutions, and layers. Douglas and Tedrow (1960:296) noted that “the zone of organic concentration is usually well within the permafrost and is not affected by present-day pedologic processes.” There has been considerable debate in the soils literature regarding the origin of this organic matter. Four proposed mechanisms include (1) cryoturbation (Douglas and Tedrow, 1960; Brown, 1965a, b); (2) burial by more recent sediments such as loess, dune sand, or glacial

deposits (Everett, 1979); (3) recycling of organic matter during the thaw-lake cycle (Brown et al., 1980); and (4) material falling into ground cracks during winter contraction episodes (Lachenbruch, 1962).

We favor cryoturbation during episodes of deep thawing as the primary mechanism for the existence of organic matter in the transition zone because (1) the organic matter is ubiquitous in soils of the mid-Arctic with differing histories that do not require burial or operation of the thaw-lake cycle; and (2) the subsoil organic matter is most commonly dated to the last 5000 years, when the climate was colder and cryoturbation was active (Douglas and Tedrow, 1960; Brown, 1965a, 1969; Tarnocai and Zoltai, 1978; Eisner et al., 2005).

Because of the accumulation of segregated ice and organic matter, the transition zone often has greater water content than the active layer. Tarnocai (1972) reported that the field moisture content of what could be considered the transient layer was on average 80% greater on a mass basis than that in the active layer. The lower part of the active layer often has a massive soil structure with a high bulk density (Tarnocai, 1983, 1994).

The lower boundary of the transition zone represents the maximum depth of thaw during episodic warm events

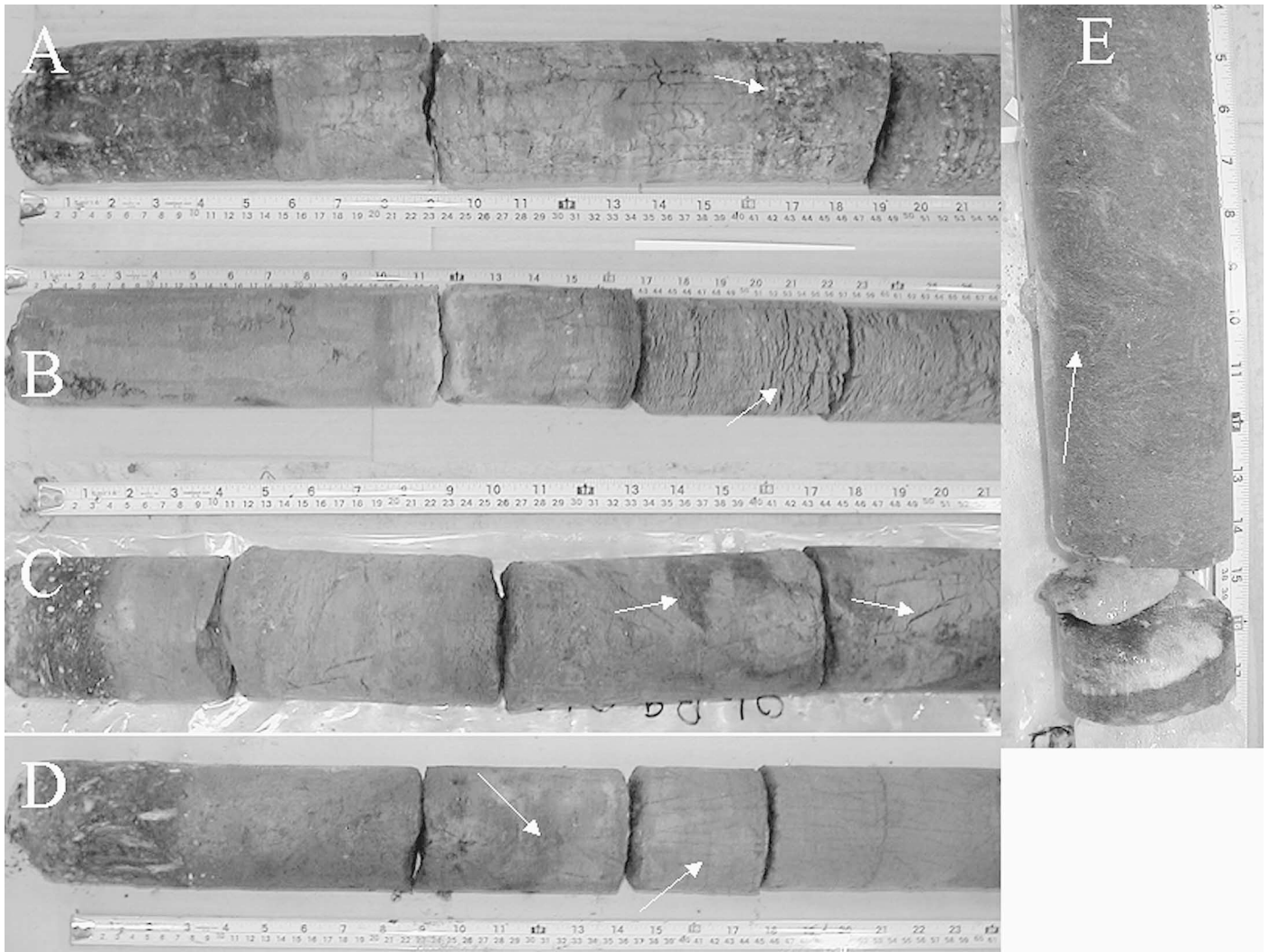


FIG. 2. Photographs of soil cores with arrows showing (A) ice lenses in the transition layer of core B1-02; (B) platy structure from melting of lens ice in the transition layer of core B3-03; (C) subsoil organic matter and ataxitic ice in the transition layer of B9-01; (D) subsoil organic matter and vein ice in core S7-04; and (E) cryoturbated organic matter in a Histel (core B7-03). The upper surface of the cores is to the left for A through D and at the top for E (photographs by W.R. Eisner).

(Shur, 1988a, b; Shur et al., 2005). During warmer periods, soil processes such as cryoturbation and leaching may operate at greater depths than during “normal” years. Many cryopedologists have attributed the encasement of organic matter in the near-surface permafrost to periods of greater warming (Douglas and Tedrow, 1960; Brown, 1965b; Shur and Ping, 1994; Hoefle et al., 1998). The fact that there were no significant differences in the boundaries and thickness of the transition zone in drained thaw-lake basins ranging from several hundred to 5500 years in age confirms that the transition zone may form within a sub-decadal to multi-centennial time frame (Shur et al., 2005).

Implications of the Transition Zone in Soil Development

Shur (1988a, b) and Shur et al. (2005) demonstrated that the transition zone acts as a buffer between the active layer and the consistently frozen permafrost. During warm years, the transition zone protects the permafrost from thaw by not meeting the latent heat requirements. However, during

unusually warm summers with deep thaw penetration, ground ice can melt and cause ground surface subsidence. Shur also suggested that the transition zone is useful for predicting the long-term (decadal to millennial) changes in thickness of the active layer.

The transition zone is particularly important for understanding the development of Arctic soils. Observations of well-developed soil properties in the upper part of the permafrost can only be explained by the presence of a transition layer (Shur and Ping, 1994; Hoefle et al., 1998). It is therefore essential that soil investigations be extended well into the frozen layer to detect the full extent of pedogenesis. In that permafrost restricts the downward movement of weathering products, Gelisols are ideal for the determination of gains and losses in soil mass and volume (Munroe and Bockheim, 2001). Kokelj and Burn (2005) observed solute enrichment in near-surface permafrost, i.e., in what we identify as the transition zone. Mean concentrations of Ca^{2+} and Mg^{2+} were up to 7.5 times greater than those in the active layer. Admixed organic

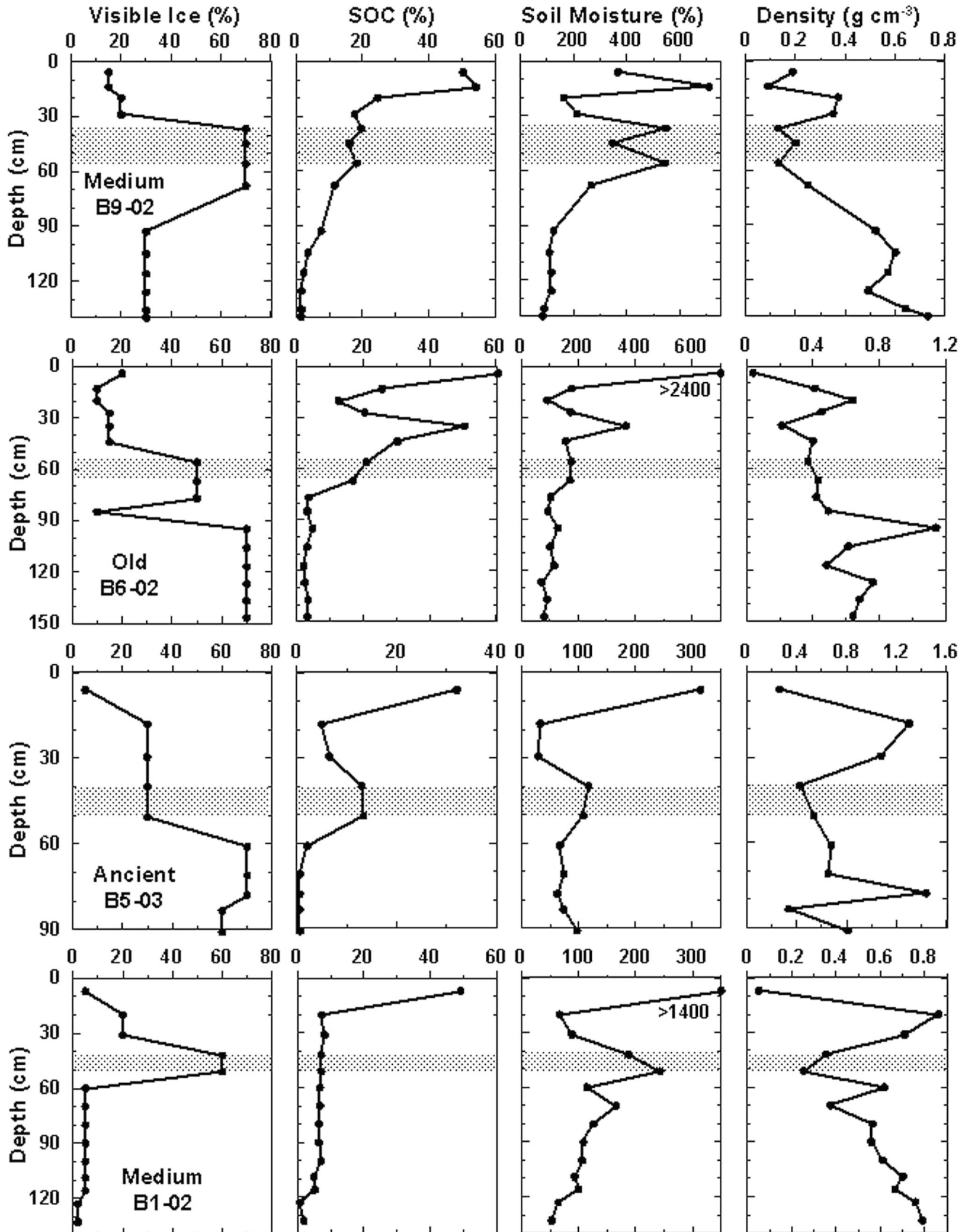


FIG. 3. Depth distribution of visible segregated ice, organic carbon, soil moisture content, and bulk density from cores collected in four drained thaw-lake basins of medium, old, and ancient age classes. The shaded area represents the transition zone.

matter in the transition zone can be radiocarbon-dated (Brown, 1965a, b; Tarnocai and Zoltai, 1978; Zoltai et al., 1978), and fossil pollen can be recovered from these layers for paleoclimatic reconstruction (Eisner et al., 2005). Cryoturbation processes are important in environmental reconstruction (Van Vliet-Lanoë, 1988).

CONCLUSIONS

The transition layer is found beneath the active layer. Although it is the uppermost portion of the permafrost, it experiences episodic thaw over time periods ranging from sub-decadal to multi-centennial. Because of these thawing episodes, it has properties that differ from those of the underlying permafrost. We were able to detect the transition layer in approximately two-thirds of the 138 pedons sampled near Barrow, Alaska. Identification was primarily based on the occurrence of cryoturbation and redistributed organic carbon below the contemporary active layer, an abundance of segregated ice as lenses and veins forming a net-like structure, and a higher amount of soil moisture than in the overlying active layer. The surface of the transition layer was detected at depths ranging from 20 to 55 cm, with an average depth of 34 ± 7 cm. The thickness of the layer ranged from 10 to 46 cm and averaged 23 ± 8 cm. A fuller understanding of pedogenic processes in permafrost-affected soils can be gained by considering these soils within the framework of a three-layer conceptual model.

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