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## ON QUADRISECANT LINES OF THREEFOLDS IN $\mathbb{P}^5$

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*Dedicated to Silvio Greco in occasion of his 60-th birthday.*

We study smooth threefolds of  $\mathbb{P}^5$  whose quadrisecant lines don't fill up the space. We give a complete classification of those threefolds  $X$  whose only quadrisecant lines are the lines contained in  $X$ . Then we prove that, if  $X$  admits “true” quadrisecant lines, but they don't fill up  $\mathbb{P}^5$ , then either  $X$  is contained in a cubic hypersurface, or it contains a family of dimension at least two of plane curves of degree at least four.

### Introduction.

The classical theorem of general projection for surfaces says that a general projection in  $\mathbb{P}^3$  of a smooth complex projective surface  $S$  of  $\mathbb{P}^5$  is a surface  $F$  with *ordinary singularities* i.e. its singular locus is either empty or is a curve  $\gamma$  such that:

- (i)  $\gamma$  is either non singular or has at most a finite number of ordinary triple points;
- (ii) every smooth point of  $\gamma$  is either a nodal point or a pinch-point of  $F$ ;
- (iii) the general point of  $\gamma$  is a nodal point for  $F$ ;
- (iv) every triple point of  $\gamma$  is an ordinary triple point of  $F$ .

(see [6], [11])

Moreover  $\gamma$  is empty if and only if  $S$  is already contained in a  $\mathbb{P}^3$ .

Note that the projection to  $\mathbb{P}^3$  can be split in two steps: in the first step from  $\mathbb{P}^5$  to  $\mathbb{P}^4$   $S$  acquires only double points, while triple points appear only in the second step from  $\mathbb{P}^4$  to  $\mathbb{P}^3$ .

The problem of classifying the surfaces  $S$  such that  $F$  does not have any triple point is equivalent to the problem of classifying the intermediate surfaces  $S'$  of  $\mathbb{P}^4$  whose trisecant lines don't fill up  $\mathbb{P}^4$ , or "without apparent triple points" in the old fashioned terminology. This problem had been tackled by Severi in [17]. His approach was based on the description of hypersurfaces of  $\mathbb{P}^4$  containing a 3-dimensional family of lines: they are quadrics and hypersurfaces birationally fibered by planes. By consequence his theorem says that a surface  $S'$  without apparent triple points either is contained in a quadric or is birationally fibered by plane curves of degree at least 3. Recently Aure ([1]) made this result precise under smoothness assumption, proving that, if a surface  $S'$  as above is not contained in a quadric, then it is an elliptic normal scroll.

In the study of threefolds, several analogous questions appear, not all completely answered yet. Here we are concerned mainly with smooth threefolds of  $\mathbb{P}^5$  and their projections to  $\mathbb{P}^4$ . We want to study their 4-secant lines, trying in particular to describe threefolds whose 4-secant lines don't fill up the space. We first study threefolds  $X$  whose only 4-secant lines are the lines contained in  $X$ : we give a complete description of them (Theorem 2.1). Then we consider the threefolds with a 5-dimensional family of 4-secant lines (or more generally  $k$ -secant lines, with  $k \geq 4$ ): we find that these lines cannot fill up  $\mathbb{P}^4$  and that  $X$  is birationally ruled by surfaces of  $\mathbb{P}^3$  of degree  $k$  (Theorem 2.3). There are no examples of this situation and it seems sensible to guess that in fact it cannot happen.

The general situation is that of 3-folds whose 4-secant lines form a family of dimension four, i.e. a congruence of lines. To understand the case of a congruence of order 0, i.e. of lines not filling up  $\mathbb{P}^5$ , we imitate the approach of Severi: we have to look at hypersurfaces  $Y$  of  $\mathbb{P}^4$  covered by a 4-dimensional family of lines. We find that a priori there are many possibilities for such hypersurfaces. More precisely, if we consider a general hyperplane section  $V$  of such a  $Y$ , this is a threefold of  $\mathbb{P}^4$  covered by a 2-dimensional family of lines. The threefolds like that are studied in [12], where the following result is proved:

**Theorem 0.1.** *Let  $V \subset \mathbb{P}^4$  be a projective, integral hypersurface over an algebraically closed field of characteristic zero, covered by lines. Let  $\Sigma \subset \mathbb{G}(1, 4)$  denote the Fano scheme of the lines on  $V$ . Assume that  $\Sigma$  is generically reduced of dimension 2. Let  $\mu$  denote the number of lines of  $\Sigma$  passing through a general point of  $V$  and  $g$  the sectional genus of  $V$ , i.e. the geometric genus of a plane section of  $V$ . Then  $\mu \leq 6$  and one of the following happens:*

- (i)  $\mu = 1$ , i.e.  $V$  is birationally a scroll over a surface;
- (ii)  $V$  is birationally ruled by smooth quadric surfaces over a curve ( $\mu = 2$ );
- (iii)  $V$  is a cubic hypersurface with singular locus of dimension at most one; if  $V$  is smooth, then  $\Sigma$  is irreducible and  $\mu = 6$ ;
- (iv)  $V$  has degree  $d \leq 6$ ,  $g = 1$ ,  $2 \leq \mu \leq 4$  and  $V$  is a projection in  $\mathbb{P}^4$  of one of the following:
  - a complete intersection of two hyperquadrics in  $\mathbb{P}^5$ ,  $d = 4$ ;
  - a section of  $\mathbb{G}(1, 4)$  with a  $\mathbb{P}^6$ ,  $d = 5$ ;
  - a hyperplane section of  $\mathbb{P}^2 \times \mathbb{P}^2$ ,  $d = 6$ ;
  - $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ ,  $d \leq 6$ .

To apply this result to a fourfold  $Y$  generated by the 4-secant lines of a threefold, it is necessary first of all to understand the meaning of the assumption of generic reducedness on  $\Sigma$ . We prove that this hypothesis is equivalent to the non-existence of a fixed tangent plane to  $V$  along a general line of  $\Sigma$ . Threefolds  $V$  not satisfying this assumption are then described in Proposition 3.4.

So it is possible to perform an analysis of the possible cases for the fourfold  $Y$ . This leads to a result very similar to the theorem of Severi for surfaces quoted above:

**Theorem 0.2.** *Let  $X$  be a smooth non-degenerate threefold of  $\mathbb{P}^5$  not contained in a quadric. Let  $\Sigma$  be an irreducible component of dimension 4 of  $\Sigma_4(X)$  such that a general line of  $\Sigma$  is  $k$ -secant  $X$  ( $k \geq 4$ ). Assume that the union of the lines of  $\Sigma$  is a hypersurface  $Y$ . Then either  $Y$  is a cubic or  $Y$  contains a family of planes of dimension 2 which cut on  $X$  a family of plane curves of degree  $k$ .*

Recently, a different approach to the study of multisequant lines of smooth threefolds of  $\mathbb{P}^5$  has been considered by Sijong Kwak ([8]). It is based on the well-known monoidal construction. He proves that, if the 4-secant lines of  $X$  don't fill up  $\mathbb{P}^5$ , then either  $h^2(\mathcal{O}_X) \neq 0$  or  $h^1(\mathcal{O}_X(1)) \neq 0$ . Moreover he gives an explicit formula for  $q_4(X)$ , the number of 4-secant lines through a general point of  $\mathbb{P}^5$ , depending on  $\deg X$ , on the sectional genus and on the two Euler characteristics  $\chi(\mathcal{O}_X)$  and  $\chi(\mathcal{O}_S)$ , where  $S$  is a general hyperplane section. It is interesting to note that, testing this formula on all known smooth threefolds of  $\mathbb{P}^5$ , one gets  $q_4(X) = 0$  only for those contained in a cubic hypersurface.

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### 1. Multisecants lines of threefolds in $\mathbb{P}^5$ .

Let  $X$  be an integral smooth threefold of  $\mathbb{P}^5$  not contained in a hyperplane. To define the multisecant lines of  $X$ , we follow the approach of Le Barz ([9]). Let  $k \geq 2$  be an integer number. Let  $Hilb^k \mathbb{P}^5$  be the Hilbert scheme of subschemes of length  $k$  of  $\mathbb{P}^5$ , and  $Hilb_c^k \mathbb{P}^5$  be its smooth open subvariety parametrizing curvilinear subschemes, i.e. subschemes which are contained in a smooth curve. Let  $Al^k \mathbb{P}^5$  denote the subscheme of  $Hilb_c^k \mathbb{P}^5$  of length  $k$  subschemes lying on a line and  $Hilb_c^k X$  that of subschemes contained in  $X$ . The following cartesian diagram defines  $Al^k X$ , the scheme of aligned  $k$ -tuples of points of  $X$ :

$$\begin{array}{ccc} Al^k X & \longrightarrow & Al^k \mathbb{P}^5 \\ \downarrow & & \downarrow \\ Hilb_c^k X & \longrightarrow & Hilb_c^k \mathbb{P}^5. \end{array}$$

We have:  $\dim Hilb_c^k \mathbb{P}^5 = 5k$ ,  $\dim Al^k \mathbb{P}^5 = 10 + (k - 2) = 8 + k$ ,  $\dim Hilb_c^k X = 3k$ ; so, if  $Al^k X$  is non-empty, then any irreducible component of its has dimension at least  $(8 + k) + (3k) - (5k) = 8 - k$ .

Let now

$$a : Al^k \mathbb{P}^5 \longrightarrow \mathbb{G}(1, 5)$$

be the natural map (axe) to the Grassmannian of lines of  $\mathbb{P}^5$ . Note that all fibers of  $a$  have dimension  $k$ .

The image scheme  $\Sigma_k(X) := a(Al^k(X))$  is by definition the family of  $k$ -secant lines of  $X$ . Clearly all lines contained in  $X$  belong to  $\Sigma_k(X)$ . If  $Al^k X = \emptyset$ , then obviously also  $\Sigma_k(X) = \emptyset$ : in this case no line cuts  $X$  in at least  $k$  points or is contained in  $X$ .

Let us consider now the restriction  $\bar{a}$  of  $a$  to an irreducible component  $\Sigma$  of  $Al^k X$ :

$$\bar{a} : \Sigma \longrightarrow \bar{a}(\Sigma) \subset \mathbb{G}(1, 5).$$

We have :  $\dim \bar{a}(\Sigma) = \dim \Sigma - \dim \Sigma_l$ , where  $\Sigma_l := \bar{a}^{-1}(l)$  is the fibre over  $l$ , a general line of  $\bar{a}(\Sigma)$ . There are two possibilities, i.e. either  $\dim \Sigma_l = k$  if  $l \subset X$ , or  $\dim \Sigma_l = 0$  if  $l \cap X$  is a scheme of finite length. By consequence, either  $\dim \bar{a}(\Sigma) = \dim \Sigma - k$ , if any line of  $\bar{a}(\Sigma)$  is contained in  $X$ , or else  $\dim \bar{a}(\Sigma) = \dim \Sigma$  if a general line of  $\bar{a}(\Sigma)$  is not contained in  $X$ .

Some rather precise information on the families of  $k$ -secant lines of threefolds for particular  $k$  come from the classical theorems of “general projection”. For smooth curves in  $\mathbb{P}^3$  and smooth surfaces in  $\mathbb{P}^4$  there are very precise theorems, describing the singular locus of the projected variety (see [7], [11], [1]).

From these results, passing to general sections with linear spaces of dimension 3 and 4, it follows that a general projection  $X'$  in  $\mathbb{P}^4$  of a smooth threefold  $X$  of  $\mathbb{P}^5$  acquires a double surface  $D$ , i.e. a surface whose points have multiplicity at least two on  $X'$ , and a triple curve  $T \subset D$ , i.e. a curve whose points have multiplicity at least three on  $X'$ . Moreover,  $D$  is non-empty unless  $X$  is degenerate and  $T$  is non-empty unless  $X$  is contained in a quadric. In terms of multisequant lines, this means that, through a general point  $P$  of  $\mathbb{P}^5$ , there passes a 2-dimensional family of 2-secant lines of  $X$ : we have that  $\Sigma_2(X)$  is irreducible of dimension 6. If moreover  $X$  is not contained in a quadric, then the trisecant lines through  $P$  form a family of dimension 1, so  $\Sigma_3(X)$  has dimension 5 and its lines fill up  $\mathbb{P}^5$ . I would like to emphasize that  $D$  is truly double and  $T$  is truly triple for  $X'$ , or, in other words, a general secant line of  $X$  is not trisecant and a general trisecant line is not quadrisecant.

On the other hand, it has been proved that  $X'$  does not have any point of multiplicity 5 or more (see [15], [10]). Hence the 5-secant lines of  $X$  never fill up  $\mathbb{P}^5$ .

**Remark 1.1.** It is interesting to note that no smooth threefold  $X$  in  $\mathbb{P}^5$  has  $Al^3(X) = \emptyset$ . Indeed, if so, a general curve section  $C$  of  $X$  would be a smooth curve of  $\mathbb{P}^3$  without trisecant lines. It is well known that such a curve  $C$  is either a skew cubic or an elliptic quartic. So  $X$  could be either  $\mathbb{P}^1 \times \mathbb{P}^2$  or a complete intersection of two quadrics: in both cases,  $X$  is an intersection of quadrics, so the trisecant lines are necessarily contained in  $X$ . But both threefolds contain lines: they form a family of dimension 3 in the first case and of dimension 2 in the second one.

## 2. Quadrisecant lines: special cases.

The first case we consider is that of threefolds without “true” quadrisecant lines.

**Theorem 2.1.** *Let  $X$  be a smooth threefold of  $\mathbb{P}^5$ . Then  $Al^4(X) \neq \emptyset$ . If its quadrisecant lines are all contained in  $X$ , then  $\sigma_4 := \dim \Sigma_4(X) \leq 4$  and one of the following possibilities occurs:*

$$\sigma_4 = 4, X \text{ is a } \mathbb{P}^3;$$

$\sigma_4 = 3$ ,  $X$  is a quadric hypersurface (contained in a hyperplane of  $\mathbb{P}^5$ ), or  $\mathbb{P}^1 \times \mathbb{P}^2$ ;

$\sigma_4 = 2$ ,  $X$  is a cubic hypersurface (contained in a hyperplane of  $\mathbb{P}^5$ ), or a complete intersection of type  $(2, 2)$ , or a Castelnuovo threefold, or a Bordiga scroll;

$\sigma_4 = 1$ ,  $X$  is a complete intersection of type  $(2, 3)$ , or an inner projection of a complete intersection of type  $(2, 2, 2)$  in  $\mathbb{P}^6$ ;

$\sigma_4 = 0$ ,  $X$  is a complete intersection of type  $(3, 3)$ .

*Proof.* By [16], the maximal dimension of a family of lines contained in a threefold  $X$  is 4, and the maximum is attained only by linear spaces. Moreover, if the dimension is 3, then either  $X$  is a quadric or it is birationally a scroll over a curve. Being  $X$  smooth, in the last case  $X$  is  $\mathbb{P}^1 \times \mathbb{P}^2$  (see [14]).

If  $\sigma_4 \leq 2$ , then a general hyperplane section  $S$  of  $X$  contains only a finite number of lines and does not possess any other 4-secant line. In [3] one proves that there is a finite explicit list of such surfaces  $S$ . They have all degree at most 9 and are all arithmetically Cohen-Macaulay, except the elliptic scroll. The smooth threefolds  $X$  having them as general hyperplane sections are all described (see for instance [5]) and are precisely those appearing in the list above. More precisely, a Castelnuovo threefold has degree 5, its ideal is generated by the maximal minors of a  $2 \times 3$  matrix of forms: in the first two columns the entries are linear while in the third one they are quadratic,  $X$  is fibered by quadrics over  $\mathbb{P}^1$ . The Bordiga scroll has degree 6, its ideal is generated by the maximal minors of a  $3 \times 4$  matrix of linear forms, it is a scroll over  $\mathbb{P}^2$ . Finally, the computation of the dimension of the family of lines contained in a smooth complete intersection as above is classical. Note that in all cases  $X$  contains lines, so  $Al^4(X) \neq \emptyset$ .

**Remark 2.2.** Note that all threefolds whose only quadrisecant lines are the lines contained in them are cut out by quadrics and cubics.

From now on we will consider only smooth non-degenerate threefolds in  $\mathbb{P}^5$  such that the general line of at least one irreducible component of  $\Sigma_4(X)$  is not contained in  $X$ . Hence the dimension of such a component  $\Sigma$  is at least 4. On the other hand  $\dim \Sigma < 6$ , otherwise every secant line would be quadrisecant, which is excluded by general projection theorems. If the dimension of such a component is 5, then we have the following result.

**Theorem 2.3.** *Let  $X$  be a smooth non-degenerate threefold of  $\mathbb{P}^5$ , let  $\Sigma$  be an irreducible component of  $\Sigma_4(X)$  of dimension 5. Then the lines of  $\Sigma$  don't fill up  $\mathbb{P}^5$ . More precisely either their union is a quadric or it is a hypersurface birationally ruled by  $\mathbb{P}^3$ 's over a curve.*

*Proof.* Let  $H$  be a general hyperplane and let  $S := X \cap H$ ,  $\Sigma' := \Sigma \cap \mathbb{G}(1, H)$ .  $S$  is a smooth surface of  $\mathbb{P}^4$  and  $\Sigma'$  is a family of dimension 3 of quadrisecant lines of  $S$ . From the general projection result for surfaces, it follows that the lines of  $\Sigma'$  don't fill up  $H$ , so their union is a hypersurface  $V$  in  $H$ . By [16], either  $V$  is a quadric or it is birationally fibered by planes. In the first case,  $V$  lifts to a quadric containing  $X$  and all its quadrisecant lines.

In the second case, the planes of  $V$  cut on  $S$  a one-dimensional family of plane curves of degree, say,  $a$ : since the lines of these planes have to be 4-secant  $S$ , then  $a \geq 4$ . Coming back to  $\mathbb{P}^5$ ,  $X$  contains a family of dimension at least 4 of plane curves of degree at least 4. Let  $W$  be the subvariety of  $\mathbb{G}(2, 5)$  parametrizing those planes. We consider the focal locus of the family  $W$  on a fixed plane  $\pi$  (see [4] for generalities about the theory of foci): it must contain the plane curve of  $X$  lying on  $\pi$ . But the matrix representing the characteristic map of  $W$  restricted to  $\pi$  is a  $3 \times 4$  matrix of linear forms on  $\pi$ , so it cannot degenerate along a curve of degree strictly bigger than 3, unless it degenerates everywhere on  $\pi$ . So all planes of the family are focal planes. Let  $f$  be the projection from the incidence correspondence of  $W$  to  $\mathbb{P}^5$ : the differential of  $f$  has always a kernel of dimension two and image of dimension 4. By the analogous of Sard's theorem, it follows that the union of the planes of  $W$  is a variety  $Y$  of dimension 4. By [16], we conclude that  $Y$  is birationally ruled by  $\mathbb{P}^3$ 's over a curve.

**Remark 2.4.** Under the assumption of Theorem 2.3, if  $X$  is not contained in a quadric, then it is covered by a one-dimensional family of surfaces of  $\mathbb{P}^3$  of degree at least 4, whose hyperplane sections are the plane curves covering  $S$ . So the plane curves on  $X$  are cut by the planes of the  $\mathbb{P}^3$ 's of  $Y$ .

### 3. Quadrisecant lines not filling up the spaces.

We assume now that  $X$  is a non-degenerate smooth threefold in  $\mathbb{P}^5$ , such that all irreducible components of  $\Sigma_4(X)$ , corresponding to lines not all contained in  $X$ , have dimension 4. A subscheme of dimension 4 of  $\mathbb{G}(1, 5)$  is called a congruence of lines. To a congruence of lines  $\Sigma$  one associates an integer number, its *order*: the number of lines of  $\Sigma$  passing through a general point of  $\mathbb{P}^5$ . More formally, it is the intersection number of  $\Sigma$  with the Schubert cycle of lines through a point. The order of  $\Sigma_4(X)$  will be denoted by  $q_4(X)$ . It is clear that if  $X$  is contained in a quadric or in a cubic hypersurface, then this hypersurface contains also the quadrisecant lines of  $X$ , hence  $q_4(X) = 0$ . It is natural to try to reverse this implication, so one can consider the following

**Question .** *Do there exist smooth threefolds  $X$  in  $\mathbb{P}^5$ , not contained in a cubic, but such that the 4-secant lines of  $X$  form a congruence with  $q_4(X) = 0$  ?*

From now on, we assume that  $H^0(\mathcal{I}_X(3)) = (0)$ ,  $\dim \Sigma_4(X) = 4$  and  $q_4(X) = 0$ . Let  $Y$  be the hypersurface of  $\mathbb{P}^5$  union of the 4-secant lines of  $X$ . Let  $\Sigma$  be the Fano scheme of lines contained in  $Y$ :  $\Sigma_4(X)$  is a union of one or more irreducible components of  $\Sigma$ . Let now  $H$  be a general hyperplane,  $S := X \cap H$  and  $V := Y \cap H$ . So  $\Sigma' := \Sigma \cap \mathbb{G}(1, H)$  is the Fano scheme of lines contained in  $V$  and  $\Sigma_4(S) = \Sigma_4(X) \cap \mathbb{G}(1, H)$  parametrizes 4-secant lines of  $S$ .

In order to apply Theorem 0.1 to our situation, we want to give some characterization of threefolds covered by lines with non-reduced associated Fano scheme. First of all we recall a result from [12].

Let  $V$  be a threefold of  $\mathbb{P}^4$  covered by a two dimensional family of lines and let  $\bar{\Sigma}$  be an irreducible component of dimension two of its Fano scheme of lines. Let  $r$  be a line on  $V$  which is a general point of  $\bar{\Sigma}$ , let  $P$  be a general point of  $r$  and let  $\mathbb{P}(T_P V)$  be the projective plane obtained by projectivization from the tangent space to  $V$  at  $P$ , its points correspond to tangent lines to  $V$  at  $P$ . Choose homogeneous coordinates in  $\mathbb{P}^4$  such that  $P = [1, 0, \dots, 0]$  and  $T_P V$  has equation  $x_4 = 0$ . In the affine chart  $x_0 \neq 0$  with non-homogeneous coordinates  $y_i = x_i/x_0$ ,  $i = 1, \dots, 4$ ,  $V$  has an equation  $G = G_1 + G_2 + G_3 + \dots + G_d = 0$ , where the  $G_i$  are the homogeneous components of  $G$  and  $G_1 = x_4$ . It is convenient to write  $G_i = F_i + y_4 H_i$ , where the  $F_i$  are polynomials in  $y_1, y_2, y_3$ . The equations  $y_4 = F_2 = 0$  (resp.  $y_4 = F_2 = F_3 = 0$ ) represent lines in  $\mathbb{P}(T_P V)$  which are at least 3-tangent (resp. 4-tangent) to  $V$  at  $P$ .

**Proposition 3.1.** *With the notations just introduced,  $\bar{\Sigma}$  is reduced at  $r$  if and only if in  $\mathbb{P}(T_P V)$  the intersection of the conic  $F_2 = 0$  with the cubic  $F_3 = 0$  is reduced at the point corresponding to  $r$ .*

*Proof.* [12], Proposition 1.3.

In the following characterization, we need again the notion of focal scheme of a family of lines (see [4]).

**Proposition 3.2.** *Let  $V$  be a threefold of  $\mathbb{P}^4$  covered by a two dimensional family of lines. Let  $\bar{\Sigma}$  be an irreducible component of dimension two of the Fano scheme of lines on  $V$ . Then the following are equivalent:*

- (1)  $\bar{\Sigma}$  is non-reduced;
- (2)  $V$  has a fixed tangent space of dimension at least two along a general line of  $\bar{\Sigma}$ ;
- (3) on each general line of the family  $\bar{\Sigma}$  there is at least one focal point.



*Proof.* (1)  $\Leftrightarrow$  (2). One implication is Proposition 1.5 of [12]. This implication and the inverse one, which is similar, follow from a local computation and from Proposition 3.1.

(2)  $\Leftrightarrow$  (3). Let the line  $r$  be a smooth, general point of  $\bar{\Sigma}$  and let  $[x_0, \dots, x_4]$  be homogeneous coordinates in  $\mathbb{P}^4$  such that  $r$  has equations  $x_2 = x_3 = x_4 = 0$ . We consider the restriction to  $r$  of the global characteristic map relative to the family of lines  $\bar{\Sigma}$ :

$$\chi(r) : T_r \bar{\Sigma} \otimes \mathcal{O}_r \rightarrow \mathcal{N}_{r/\mathbb{P}^4}.$$

Since  $T_r \bar{\Sigma} \otimes \mathcal{O}_r \simeq \mathcal{O}_r^2$  and  $\mathcal{N}_{r/\mathbb{P}^4} \simeq \mathcal{O}_r(1)^3$ , the map  $\chi(r)$  can be represented by a suitable  $3 \times 2$  matrix  $\mathcal{M}$ , with linear entries  $l_{ij}(x_0, x_1)$ . If there is a fixed tangent plane  $M_r$  to  $V$  along  $r$ , it gives a (fixed) normal direction to  $r$  in  $\mathbb{P}^4$ . If  $\Lambda \subset K^5$  is the vector space of dimension two corresponding to  $r$ , this normal direction can be represented by a vector  $v \in K^5/\Lambda$ , with  $v \neq 0$ . Moreover, for any  $P \in r$ , the columns of  $\mathcal{M}$  evaluated at  $P$  are elements of  $K^5/\Lambda$ .

With this set-up we can rephrase the condition that the tangent spaces to  $V$  at the points of  $r$  all contain the plane  $M_r$  as follows, where  $v = (v_1, v_2, v_3)$ :

$$(*) \quad \det \begin{pmatrix} v_1 & l_{11}(P) & l_{12}(P) \\ v_2 & l_{21}(P) & l_{22}(P) \\ v_3 & l_{31}(P) & l_{32}(P) \end{pmatrix} = 0$$

for every  $P \in r$ . The development of the above determinant is a quadratic form in  $x_0, x_1$ , whose three coefficients linearly depend on  $v_1, v_2, v_3$ . Since the determinant vanishes for each choice of  $x_0, x_1$ , these coefficients have to be identically zero. This can be interpreted as a homogeneous linear system of three equations which admits the non-trivial solution  $(v_1, v_2, v_3)$ . The determinant of the matrix of the coefficients of the system is therefore zero. It is a polynomial  $G$ , homogeneous of degree 6 in the coefficients of the linear forms  $l_{ij}$ , which can be explicitly written. If  $\varphi_{12}, \varphi_{13}, \varphi_{23}$  are the quadratic forms given by the  $2 \times 2$  minors of  $\mathcal{M}$ , it is possible to verify that the resultant of any two of them is a multiple of  $G$ . Being  $G = 0$ , it follows that the polynomials  $\varphi_{ij}$ 's have a common linear factor. Hence on a general  $r \in \Sigma_1$  there exists a focal point.

The inverse implication is similar: if the polynomials  $\varphi_{ij}$  have a common linear factor  $L$ , such that  $\varphi_{ij} = L\psi_{ij}$ , for all  $i, j$ , then the  $(*)$  takes the form  $v_1\psi_{23} - v_2\psi_{13} + v_3\psi_{12} = 0$ : this is an equation in  $v_1, v_2, v_3$  which certainly admits a non-zero solution. This gives a vector  $v \in K^5/\Lambda$ , hence a normal direction to  $r$  that generates the required plane  $M_r$ .

**Proposition 3.3.** *If the equivalent conditions of Proposition 3.2 are satisfied, let  $F$  be the focal scheme on  $V$ . Then  $F$  is a point or a curve or a surface. In the first case  $V$  is a cone, in the second case  $F$  is a fundamental curve for the lines of  $\bar{\Sigma}$  and  $V$  is a union of cones with vertex on  $F$ , in the third case all lines of  $\bar{\Sigma}$  are tangent to  $F$ .*

*Proof.* Let  $I \subset \bar{\Sigma} \times \mathbb{P}^4$  be the incidence correspondence, and let  $f : I \rightarrow V$  and  $q : I \rightarrow \bar{\Sigma}$  be the projections. The focal scheme on  $V$  can be seen as the branch locus of the map  $f$ , i. e. the image of the ramification locus  $\mathcal{F}$ , which is a surface. So  $\dim F \leq 2$ .

The first two cases are clear. We have to show that, if  $F$  is a surface, then all lines of  $\bar{\Sigma}$  are tangent to  $V$ . Let  $P$  be a focal point on  $r$  and assume that  $P$  is a smooth point for  $F$ . Let  $s \subset I$  be the fibre of  $q$  over the point representing  $r$ . The tangent space to  $I$  at  $(P, r)$  contains the tangent space to  $\mathcal{F}$  at  $(P, r)$ , the line  $s$  and the kernel of the differential map  $df$  of  $f$  at  $(P, r)$ . Since  $F$  is smooth at  $P$ , this latter space is transversal to  $T_{(P,r)}\mathcal{F}$ , and the image of  $df$  is  $df(T_{(P,r)}\mathcal{F}) = T_P F$ . But also  $s$  is transversal to  $\ker(df)$ , hence  $r = df(s) \subset T_P F$ .

**Remark 3.4.**

1. One can prove that, if on each line  $r$  of  $\bar{\Sigma}$  there is also a second focal point, possibly coinciding with the first one, then the tangent space to  $V$  is fixed along  $r$  and  $\bar{\Sigma}$  is the family of the fibres of the Gauss map of  $V$  (see [13]). In this case, clearly, only one line of  $\bar{\Sigma}$  passes through a general point of  $V$ .

2. Also in the last case of Proposition 3.3, i.e. if the focal locus on  $V$  is a surface  $F$  and on a general line  $r$  of  $\bar{\Sigma}$  there is only one simple focus, we can conclude that only one line of  $\bar{\Sigma}$  passes through a general point of  $V$ . Indeed, first of all let us exclude that there are two lines  $r$  and  $r'$  of  $\bar{\Sigma}$  which are both tangent to  $F$  at a general point  $P$ . Otherwise  $r$  and  $r'$  are both contained in  $T_P F$  and the hyperplanes which are tangent to  $V$  along  $r$  vary in the pencil containing the fixed plane  $M_r$ , which coincides with  $T_P F$  in this case. So the pencil would be the same for  $r$  and  $r'$ , and every hyperplane in the pencil would be tangent to  $V$  at two points, one on  $r$  and the other on  $r'$ , which is impossible. So only one line of  $\bar{\Sigma}$  passes through a general focal point on  $V$ . But then a fortiori the same conclusion holds true also for a general non-focal point of  $V$ .

We are now able to prove Theorem 0.2 stated in the Introduction.

*Proof of Theorem 0.2.* Let  $V = Y \cap H$ , where  $H$  is a general hyperplane. Hence  $V$  is a hypersurface of  $\mathbb{P}^4$  covered by a 2-dimensional family of lines: this is the situation of Theorem 0.1. If one irreducible component  $\bar{\Sigma}$  of the Fano scheme of lines on  $V$  is non-reduced, then it follows from Proposition 3.3 and

the subsequent Remark 3.4 that  $V$  is a cone, or a union of cones with vertices on a curve  $C$ , or a union of lines all tangent to a surface  $F$ : in this last case only one line of  $\bar{\Sigma}$  passes through a general point of  $V$ . It is easy to check that, in the first two cases, to have such a  $V$  as general hyperplane section,  $Y$  has to be a cone over  $V$ . In the third case, the lines through a general point of  $Y$  form a surface which intersects the general hyperplane  $H$  in one line (Remark 3.4), so this surface is necessarily a plane. In any event  $Y$  contains a 2-dimensional family of planes, cutting plane curves on  $X$ .

Now we assume that all irreducible components  $\bar{\Sigma}$  of the Fano scheme of lines on  $V$  are reduced. If  $V$  is as in case (i) of Theorem 0.1, i.e. if  $\mu = 1$ , then the lines of  $Y$  through a general point form a plane, and we are done.

We consider now case (ii): we prove first that  $Y$  cannot be birationally fibered by smooth quadric surfaces. Assume, by contradiction, that  $Y$  contains such a family of quadrics and let  $P$  be a fixed general point of  $Y$ . Then only one quadric  $F_P$  of the family passes through  $P$ , so the lines contained in  $Y$  and passing through  $P$  form a quadric cone  $Q_P$ , the intersection of  $F_P$  with its tangent space at  $P$ . The linear span  $\mathbb{P}_P^3 := \langle Q_P \rangle$  is the tangent space to  $F_P$  at  $P$ . We consider the curve  $C_P := X \cap Q_P$ : it is a  $k$ -secant curve on the cone  $Q_P$ , so  $\deg C_P = 2k$  and  $p_a(C_P) = (k - 1)^2$ . On the other hand  $X \cap \mathbb{P}_P^3$  is a connected curve of degree  $d = \deg X$ . If it contains also another curve  $C'_P$  different from  $C_P$ , then every point of  $C_P \cap C'_P$  is singular for  $X \cap \mathbb{P}_P^3$ , so, being  $X$  smooth,  $\mathbb{P}_P^3$  has to be tangent to  $X$  at each point of  $C_P \cap C'_P$ . But  $\{\mathbb{P}_P^3\}_{P \in Y}$  is a family of dimension 4 of 3-spaces and the tangent spaces to  $X$  form a family of dimension 3. Therefore every  $\mathbb{P}_P^3$  should be tangent to infinitely many quadrics of  $Y$ , i.e. to all quadrics of  $Y$ , which is impossible. So  $X \cap \mathbb{P}_P^3 = C_P$ ,  $d = 2k$  and the sectional genus of  $X$  is  $(k - 1)^2 = (\frac{d}{2} - 1)^2$ . But this is the Castelnuovo bound, so every curve section of  $X$  with a 3-space is contained in a quadric, which implies that also  $X$  is contained in a quadric hypersurface: this gives the required contradiction. As a consequence, if  $V$  is as in (ii) of Theorem 0.1, then  $Y$  is birationally fibered by quadrics of rank at most 3. So the  $k$ -secant lines of  $X$  are necessarily cut by the planes contained in these quadrics.

It remains to analyze the four cases of (iv) in Theorem 0.1, with  $g = 1$ . If  $V$  is a projection of a complete intersection of type  $(2, 2)$ , then also  $Y$  is a projection of a fourfold  $Z$  of degree 4 in  $\mathbb{P}^6$ , complete intersection of two quadrics. We have the following diagram:

$$\begin{array}{ccc} & Z & \subset \mathbb{P}^6 \\ & \pi \downarrow & \\ X & \hookrightarrow Y & \subset \mathbb{P}^5 \end{array}$$

where  $\pi$  is the projection from a suitable point  $P$ .  $P \notin Z$ , because  $d = 4$ ,

hence the singular locus of  $Y$  is a threefold  $D$  of degree 2, according to the formula  $\deg D = (d-1)(d-2)/2 - g$ , where  $d = \deg Z$  and  $g$  is the sectional genus, so  $D$  does not contain  $X$ . Therefore the restriction of  $\pi : \pi^{-1}(X) \rightarrow X$  is regular and birational: but  $X$ , being smooth, is linearly normal, so  $\pi^{-1}(X)$  is already contained in a  $\mathbb{P}^5$  and the projection is an isomorphism. In this case  $\deg X < \deg Z = 4$ , but the smooth threefolds of low degree in  $\mathbb{P}^5$  are all completely described (see for instance [2]) and this possibility is excluded.

The second possibility for  $V$  is being a projection of  $\mathbb{G}(1, 4) \cap \mathbb{P}^6$  of degree 5. So  $Y$  is a projection from a line  $\Lambda$  of a fourfold  $Z$  of degree 5 in  $\mathbb{P}^7$ . Arguing as in the previous case, we get that  $\Lambda \cap Z = \emptyset$ , then either  $X$  is contained in the double locus of  $Y$ , which has degree 5, or  $\pi^{-1}(X)$  is contained in a  $\mathbb{P}^5$  and again  $\deg X < 5$ . Both possibilities are excluded as before.

The last case is when  $V$  is a projection of a threefold of degree 6 and sectional genus one of  $\mathbb{P}^7$ . If  $\Lambda \cap Z = \emptyset$ , it can be treated in the same way, observing that in this case the degree of the double locus of  $Y$  is 9. So  $\Lambda \cap Z \neq \emptyset$  and the intersection should contain the whole centre of projection. But then  $\deg Y = 3$ .

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