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# SOME REMARKS ON THE STANLEY DEPTH FOR MULTIGRADED MODULES

## MIRCEA CIMPOEAS

We show that Stanley's conjecture holds for any multigraded module M over S, with sdepth(M) = 0, where  $S = K[x_1, \ldots, x_n]$ . Also, we give some bounds for the Stanley depth of the powers of the maximal irrelevant ideal in S.

Keywords: Stanley depth, monomial ideal.

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### Introduction

Let *K* be a field and  $S = K[x_1, ..., x_n]$  the polynomial ring over *K*. Let *M* be a finitely generated  $\mathbb{Z}^n$ -graded *S*-module. A *Stanley decomposition* of *M* is a direct sum  $\mathscr{D} : M = \bigoplus_{i=1}^r m_i K[Z_i]$  as *K*-vector space, where  $m_i \in M, Z_i \subset$  $\{x_1, ..., x_n\}$  such that  $m_i K[Z_i]$  is a free  $K[Z_i]$ -module. The latter condition is needed, since the module *M* can have torsion. We define sdepth $(\mathscr{D}) = min_{i=1}^r |Z_i|$ and sdepth $(M) = max\{\text{sdepth}(M) | \mathscr{D}$  is a Stanley decomposition of *M*}. The

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number sdepth(*M*) is called the *Stanley depth* of *M*. Herzog, Vladoiu and Zheng show in [9] that this invariant can be computed in a finite number of steps if M = I/J, where  $J \subset I \subset S$  are monomial ideals. A computer implementation of this algorithm, with some improvements, is given by Rinaldo in [14].

Let *M* be a finitely generated  $\mathbb{Z}^n$ -graded *S*-module. Stanley's conjecture says that sdepth(*M*)  $\geq$  depth(*M*). The Stanley conjecture for *S*/*I* was proved for  $n \leq 5$  and in other special cases, but it remains open in the general case. See for instance, [4], [8], [10], [3] and [12]. Another interesting problem is to explicitly compute the sdepth. This is difficult, even in the case of monomial ideals! Some small progresses were made in [13], [9], [6], [7] and [15].

In the first section, we prove that the Stanley conjecture holds for modules with sdepth(M) = 0, see Theorem 1.4. As a consequence, it follows that any torsion free module M has sdepth(M)  $\geq$  1. In the second section, we give an upper bound for the Stanley depth of the powers of the maximal ideal  $\mathbf{m} = (x_1, \ldots, x_n) \subset S$ , see Theorem 2.2. We conjecture that sdepth( $\mathbf{m}^k$ ) =  $\lceil \frac{n}{k+1} \rceil$ , for any positive integer k.

#### 1. Stanley's conjecture for modules with sdepth zero.

Let *M* be a finitely generated  $\mathbb{Z}^n$ -graded *S*-module. We use an idea of Herzog, in order to obtain a decomposition of *M*, similar to the Janet decomposition given in [2]. For any  $j \ge 1$ , we have a natural surjective map  $\varphi_j : M \to x_n^j M$ given by the multiplication with  $x_n^j$ . Obviously,  $\varphi_j(x_n M) \subset x_n^{j+1} M$  and therefore  $\varphi_j$  induces a natural surjection  $\overline{\varphi}_j : M/x_n M \to x_n^j M/x_n^{j+1} M$ . We write  $L_j = Ker(\overline{\varphi}_j)$ .

Note that  $L_j \subset L_{j+1}$  for any *j*, since we have a natural surjection

$$x_n^j M/x_n^{j+1} M \to x_n^{j+1} M/x_n^{j+2} M$$

given by multiplication with  $x_n$ . As  $M/x_nM$  is finitely generated, it follows that there exists a nonnegative integer q such that  $L_q = L_{q+1} = \cdots$  and moreover  $x_n^j M/x_n^{j+1}M \cong x_n^{j+1}M/x_n^{j+2}M$  for any  $j \ge q$ . Now, we can prove the following Lemma.

**Lemma 1.1.** Let M be a finitely generated  $\mathbb{Z}^n$ -graded S-module and q such that  $L_q = L_{q+1} = \cdots$ . Then we have the following decomposition of M, as K-vector space:

$$M \cong M/x_n M \oplus \cdots \oplus x_n^{q-1} M/x_n^q M \oplus x_n^q M/x_n^{q+1} M[x_n].$$

*Proof.* Note that, since *M* is graded,  $\bigcap x_n^j M = 0$ . Therefore, we have

$$M = M/x_n M \oplus x_n M = M/x_n M \oplus x_n M/x_n^2 M \oplus x_n^2 M = \dots = \bigoplus_{j \ge 0} x_n^j M/x_n^{j+1} M.$$

Since  $x_n^j M / x_n^{j+1} M \cong x_n^{j+1} M / x_n^{j+2} M$  for any  $j \ge q$ , the proof of Lemma is complete.

Note that each factor  $x_n^j M/x_n^{j+1}M$  naturally carries the structure of a multigraded *S'*-module, where  $S' = K[x_1, ..., x_{n-1}]$ . Also, if M = S/I, where  $I \subset S$  is a monomial ideal, the above decomposition is exactly the Janet decomposition of S/I, with respect to the variable  $x_n$ .

**Lemma 1.2.** Let M be a multigraded S-module. Then sdepth(M) = n if and only if M is free.

*Proof.* If *M* is free, it follows that  $M \cong \bigoplus_{i=1}^{r} S(-a_i)$ , where  $a_i \in \mathbb{Z}^n$  are some multidegrees. Therefore, *M* has a basis  $\{e_1, \ldots, e_n\}$  where  $e_i$  correspond to  $1 \in S(-a_i)$ . Therefore  $M = \bigoplus e_i S$  is a Stanley decomposition of *M* and thus sdepth(*M*) = *n*. Conversely, given a Stanley decomposition  $M = \bigoplus e_i S$ , it follows that  $M \cong \bigoplus_{i=1}^{r} S(-a_i)$ , where  $deg(e_i) = a_i$ .

**Lemma 1.3.** Let M be a graded K[x]-module. Then, the following are equivalent:

(1) *M* is free.
(2) *M* is torsion free.
(3) depth(*M*) = 1.
(4) sdepth(*M*) = 1.

*Proof.* The equivalences  $(1) \Leftrightarrow (2) \Leftrightarrow (3)$  are well known.  $(4) \Leftrightarrow (1)$  is the case n = 1 of the previous Lemma.

Let  $\mathbf{m} = (x_1, ..., x_n) \subset S$  be the maximal irrelevant ideal. Let M be a finitely generated  $\mathbb{Z}^n$ -graded S-module. We denote  $sat(M) = (0:_M \mathbf{m}^\infty) = \bigcup_{k \ge 1} (0:_M \mathbf{m}^k)$  the *saturation* of M. It is well known, that depth(M) = 0 if and only if  $\mathbf{m} \in Ass(M)$  if and only if  $sat(M) \ne 0$ . On the other hand, sat(M/sat(M)) = 0. Note that if  $I \subset S$  is a monomial ideal, then  $sat(S/I) = I^{sat}/I$ , where  $I^{sat} = (I : \mathbf{m}^\infty)$  is the saturation of the ideal I. We prove the following generalization of [7, Theorem 1.5].

**Theorem 1.4.** Let M be a multigraded S-modules. If sdepth(M) = 0 then depth(M) = 0. Conversely, if depth(M) = 0 and  $dim_K(M_a) \le 1$  for any  $a \in \mathbb{Z}^n$ , then sdepth(M) = 0.

*Proof.* We use induction on *n*. If n = 1, then we are done by Lemma 1.3. Suppose n > 1. We consider the decomposition

(\*) 
$$M \cong M/x_n M \oplus \cdots \oplus x_n^{q-1}M/x_n^q M \oplus x_n^q M/x_n^{q+1}M[x_n],$$

given by Lemma 1.2. We define  $M_j := x_n^j M/x_n^{j+1}M$  for  $j \in [q]$ . As sdepth(M) = 0, it follows that  $sdepth(M_j) = 0$  for some j < q. We have  $M_j = sat(M_j) \oplus M/sat(M_j)$ , where  $sat(M_j)$  is the saturation of  $M_j$  as a S'-module. If there exists some nonzero element  $m \in sat(M_j)$  such that  $x_n^j m = 0$ , it follows that  $m \in sat(M)$  and thus  $sat(M) \neq 0$ .

For the converse, we assume depth(M) > 0. It follows that  $x_nsat(M_j) \subset sat(M_{j+1})$  for any j < q. Since  $sat(M_j/sat(M_j)) = 0$ , by induction hypothesis, it follows that  $sdepth(M_j/sat(M_j)) \ge 1$ . Therefore, (\*) implies

$$(**)M \cong \bigoplus_{j=0}^{q-1} M_j / sat(M_j) \oplus M_q / sat(M_q)[x_n] \oplus \bigoplus_{j=0}^{q-1} sat(M_j) \oplus sat(M_q)[x_n]$$

Also,  $\bigoplus_{j=0}^{q-1} sat(M_j) \oplus sat(M_q)[x_n] = \bigoplus_{j=0}^{q} \bigoplus_{\bar{m} \in sat(M_j)/sat(M_{j-1})} mK[x_n]$  since  $dim_K(M_a) \le 1$ , and therefore, by (\*\*), we obtain a Stanley decomposition of M with it's sdepth  $\ge 1$ !

**Corollary 1.5.** *If* M *is torsion free, then* sdepth $(M) \ge 1$ .

*Proof.* Obviously, since *M* is torsion free, we have depth $(M) \ge 1$ .

**Example 1.6.** (Dorin Popescu, [12]) The condition  $dim_K(M_a) \le 1$  is essential in the second part of Theorem 1.4. Let  $S = K[x_1, x_2]$  and consider the module  $M := (Se_1 \oplus Se_2)/(x_1z, x_2z)$ , where  $z = x_1e_2 - x_2e_1$ . *M* is multigraded with  $deg(e_1) = deg(x_1) = (1,0)$  and  $deg(e_2) = deg(x_2) = (0,1)$ . Note that  $dim_K(M_a) = 1$  for any  $a \in \mathbb{Z}^2 \setminus \{(1,1)\}$  and  $dim_K(M_{(1,1)}) = 2$ . Since  $z \in Soc(M)$ , it follows that depth(M) = 0. We have a Stanley decomposition of M,

$$M = \overline{e}_1 K[x_2] \oplus \overline{e}_1 x_1 K[x_1] \oplus \overline{e}_2 K[x_1] \oplus \overline{e}_2 x_2 K[x_2] \oplus \overline{e}_1 x_1 x_2 K[x_1, x_2],$$

where  $\bar{e_1}, \bar{e_2}$  are the images of  $e_1$  and  $e_2$  in M. It follows that sdepth $(M) \ge 1$  and thus sdepth(M) = 1, since M is not free.

**Remark 1.7.** Let *M* be a torsion free finitely generated  $\mathbb{Z}^n$ -graded *S*-module. Then we have an inclusion  $0 \to M \to F$ , where *F* is a free module with the same rank as *M*. Let Q := F/M. Is it true that sdepth $(M) \ge$  sdepth(Q) + 1? In particular, if  $I \subset S$  is a monomial ideal, is it true that sdepth $(I) \ge$  sdepth(S/I) + 1?

If this result were true, then by depth(M) = depth(Q) + 1, if Q satisfy Stanley's conjecture, then M also satisfy Stanley's conjecture. Note that, in general we cannot expect that sdepth(M) = sdepth(Q) + 1. Take for instance  $M = \mathbf{m} = (x_1, ..., x_n) \subset S$  and  $Q = k = S/\mathbf{m}$ . It is known from [9] and [5] that sdepth( $\mathbf{m}$ ) =  $\lfloor \frac{n}{2} \rfloor$ , but sdepth(k) = 0. It would be interesting to characterize those modules M with sdepth(M) = sdepth(Q) + 1. Or, at least, the monomials ideals  $I \subset S$  with sdepth(I) = sdepth(S/I) + 1. We end this section with the following example.

**Example 1.8.** Let  $M_i := syz_i(K)$  the *i*-th syzygy module of *K*. It is known that depth $(M_i) = i$  for all  $0 \le i \le n$ . The problem of computing sdepth $(M_i)$  is a chellenging problem. Obviously, sdepth $(M_0) =$ sdepth(K) = 0. On the other hand, sdepth $(M_1) =$  sdepth $(\mathbf{m}) = \lfloor \frac{n}{2} \rfloor$ . Also, sdepth $(M_n) =$  sdepth(S) = n. We claim that sdepth $(M_{n-1}) = n - 1$ .

Indeed,  $M_{n-1} = Coker(S \longrightarrow S^n)$ , where we define  $S^n = \bigoplus_{i=1}^n Se_i$  and we set  $\psi(1) := x_1e_1 + \dots + x_ne_n$ . Therefore,  $M_{n-1} := S\bar{e}_1 + \dots + S\bar{e}_n$ , where  $\bar{e}_i$ are the class of  $e_i$  in  $M_{n-1}$  for all  $i \in [n]$ . Note that  $\bar{e}_1, \dots, \bar{e}_{n-1}$  are linearly independent in  $M_{n-1}$ , since the only relation in  $M_{n-1}$  is  $x_1\bar{e}_1 + \dots + x_{n-1}\bar{e}_n =$  $-x_n\bar{e}_n$ . It follows that,  $M_{n-1} = S\bar{e}_1 \oplus \dots \oplus S\bar{e}_{n-1} \oplus K[x_1, \dots, x_{n-1}]\bar{e}_n$ , and therefore sdepth $(M_{n-1}) \ge n-1$ . On the other hand, sdepth $(M_{n-1}) \le n-1$ , since Mis not free. Thus sdepth $(M_{n-1}) = n-1$ .

#### 2. Bounds for the sdepth of powers of the maximal irrelevant ideal

Let  $\mathbf{m} = (x_1, ..., x_n)$  be the maximal irrelevant ideal of *S*. Let  $k \ge 1$  be an integer. In this section, we will give some upper bounds for sdepth( $\mathbf{m}^k$ ). In order to do so, we consider the following poset, associated to  $\mathbf{m}^k$ ,

$$P := \{ u \in \mathbf{m}^k \text{ monomial } : u | x_1^k x_2^k \cdots x_n^k \},\$$

where  $u \le v$  if and only if u|v. For any  $u \in P$ , we denote  $\rho(u) = |\{j : x_j^k|u\}|$ . Note that, by [9, Theorem 2.4], there exists a partition of  $P = \bigoplus_{i=1}^r [u_i, v_i]$ , i.e. a disjoint sum of intervals  $[u_i, v_i] = \{u \in P : u_i | u \text{ and } u|v_i\}$ , such that  $\min_{i=1}^r \{\rho(v_i)\} = \text{sdepth}(\mathbf{m}^k)$ .

We write  $P_d = \{u \in P : deg(u) = d\}$ , where  $k \le d \le kn$ , and  $\alpha_d := |P_d|$ . First, we want to compute the numbers  $\alpha_d$ .

Lemma 2.1. We the above notations, we have:

$$\alpha_d = \sum_{i \ge 0} (-1)^i \binom{n}{i} \binom{n+d-i(k+1)-1}{n-1}.$$

*Proof.* We fix  $d \ge k$ . For any  $j \in [n]$ , we write  $A_j := \{u \in S : deg(u) = d, x_j^{k+1} | u \}$ . Obviously,  $P_d := S_d \setminus (A_1 \cup A_2 \cup \cdots \cup A_n)$ , where  $S_d$  is the set of all monomials of degree d in S. For any nonempty subset  $I \subset [n]$ , we write  $A_I := \bigcap_{i \in I} A_i$ . By inclusion-exclusion principle,

$$|A_1\cup\cdots\cup A_n|=\sum_{\emptyset\neq I\subset [n]}(-1)^{|I|-1}|A_I|.$$

Note that a monomial  $u \in A_I$  can be written as  $u = w \cdot \prod_{i \in I} x_i^{k+1}$ . Therefore,  $|A_I| = \binom{n+d-i(k+1)-1}{n-1}$ . Now, one can easily get the required conclusion.  $\Box$ 

**Theorem 2.2.** Let  $a \leq \lfloor \frac{n}{2} \rfloor$  be a positive integer. Then  $sdepth(\mathbf{m}^k) \leq \lfloor \frac{n}{k+1} \rfloor$ . In particular, if  $k \geq n-1$ , then  $sdepth(\mathbf{m}^k) = 1$ .

*Proof.* Let  $a = \lceil \frac{n}{k+1} \rceil$  and assume, by contradiction, that sdepth $(\mathbf{m}^k) \ge a+1$ . Obviously, by Lemma 2.1,  $\alpha_k = \binom{n+k-1}{n-1}$  and  $\alpha_{k+1} = \binom{n+k}{n-1} - n$ . We consider a partition of  $\mathscr{P} : P_{n,k} = \bigcup_{i=1}^r [x^{c_i}, x^{d_i}]$  with sdepth $(\mathscr{D}(\mathscr{P})) = a+1$ . Note that  $\mathbf{m}^k$  is minimally generated by all the monomials of degree k in S. We can assume that  $S_k = \{x^{c_i} | i = 1, \dots, N\}$ , where  $N = \binom{n+k-1}{n-1}$ . We consider an interval  $[x^{c_i}, x^{d_i}]$ . If  $c_i = x_j^k$ , then by  $\rho(x^{d_i}) \ge a+1$ , it follows that in  $[x^{c_i}, x^{d_i}]$  are at least a distinct monomials of degree k + 1. If  $c_i(j) < k$  for all  $j \in [n]$ , then, in  $[x^{c_i}, x^{d_i}]$  are at least a + 1 distinct monomials of degree k + 1.

We assume that  $k \ge \lfloor \frac{n-a}{a} \rfloor$ . Since  $\mathscr{P}: P_{n,k} = \bigcup_{i=1}^{r} [x^{c_i}, x^{d_i}]$  is a partition of  $P_{n,k}$ , by above considerations, it follows that  $\alpha_{k+1} \ge na + (\alpha_k - n)(a+1)$ . Therefore,  $\binom{n+k}{k-1} \ge (a+1)\binom{n+k-1}{n-1}$ . This implies  $n+k \ge (k+1)(a+1) \ge (k+1)(\frac{n}{k+1}+1) = n+k+1$ , a contradiction.

We conjecture that sdepth( $\mathbf{m}^k$ )  $\leq \lceil \frac{n}{k+1} \rceil$ . Using the computer, see [14], one can prove that this conjecture is true for small *n*. Also, the conjecture is true for k = 1, from [9], [5]. We end this section with the following proposition.

**Proposition 2.3.** Let  $I \subset S$  be a monomial ideal. Then  $sdepth(\mathbf{m}^k I) = 1$  for  $k \gg 0$ .

*Proof.* We consider the *K*-algebra  $A := \bigoplus_{i \ge 0} \mathbf{m}^i I / \mathbf{m}^{i+1} I$  and denote  $A_i$  the *i*<sup>th</sup> graded component of *A*. Note that  $H(A, i) := \dim_K(A_i) = |G(\mathbf{m}^i I)|$ , where  $G(\mathbf{m}^i I)$  is the set of minimal monomial generators of  $\mathbf{m}^i I$ . Since *A* is a finitely generated *K*-algebra, it follows that the Hilbert function H(A, i) is polynomial for  $i \gg 0$ .

Therefore,  $\lim_{i\to\infty} H(A,i)/H(A,i+1) = 1$ . Note that there are exactly H(A,i+1) monomials of degree i+1 in  $\mathbf{m}^i I$ . Suppose sdepth $(\mathbf{m}^i I) \ge 2$ . As in the proof of Theorem 2.2, it follows that  $H(A,i+1) \ge 2(H(A,i)-n)+n$ , which is false for  $i \gg 0$ , since it contradicts that  $\lim_{i\to\infty} H(A,i)/H(A,i+1) = 1$ .  $\Box$ 

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MIRCEA CIMPOEAS Institute of Mathematics of the Romanian Academy Bucharest, Romania E-mail: e-mail: mircea.cimpoeas@imar.ro