# A Comparison Study Between Two Algorithms Particle Swarm Optimization for Depth Control of Underwater Remotely Operated Vehicle 

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#### Abstract

This paper investigates two algorithms based on particle swarm optimization (PSO) to obtain optimum parameter. In this research, an improved PSO algorithm using a priority-based fitness PSO (PFPSO) and priority-based fitness binary PSO (PFBPSO) approach. This comparison study between two algorithms applied on underwater Remotely Operated Vehicle for depth control. Two parameters in Single Input Fuzzy Logic Controller will tune using two algorithms to obtain optimum parameter. There are two parameters to be tuned namely the break point and slope for the piecewise linear or slope for the linear approximation. The study also covered a comparison for time execution for every time the parameter tuning was done. Based on the results the PFBPSO gives a consistent value of optimum parameter and time execution very fast. The best optimum parameter of SIFLC determined using 2 methods such that average of optimum parameter and intersection of y -axis. The PFBPSO gives comparative results in term of two parameters and time execution very fast compared with improved PSO.


Keywords: Priority Fitness PSO, Priority Fitness Binary PSO, Optimum parameter, Single Input FLC, time execution.

## Nomenclature

$\mathrm{X}_{\mathrm{i}} \quad$ the particle position
K1 the break point for the piecewise linear of SIFLC
K2 the slope for the piecewise linear of SIFLC
$\mathrm{x}_{\text {max }}$ the maximum values in the search space boundary
$\mathrm{x}_{\text {min }}$ the minimum values in the search space boundary
$r_{1}$ random function values
random function values
cognitive component
social component
to balance between local and global search capabilities hypothesis testing

## I. Introduction

Particle Swarm Optimization (PSO) is one of most excellent optimization technique to obtain optimum parameter for a system. PSO is one of the artificial intelligence families that were introduced by [1]. The basic PSO is developed based on behaviors of fish schooling and bird flocking in order to search and move to the food with a certain speed and position. It has been applied successfully to a wide variety of optimization problems by [2-9]. In this research, an improved PSO algorithm using a priority-based fitness PSO approach or called as (PFPSO) and priority-based fitness binary PSO (PFBPSO) is proposed for tuning of Single Input Fuzzy Logic Controller parameters to depth control of underwater Remotely Operated Underwater Vehicle (ROV). These two techniques will obtain the optimum
parameter for SIFLC to give the best performances on system response. For simplicity describes details PFPSO in short PSO while PFBPSO in short BPSO.

The proposed techniques are continuing research based on [10] and [11] where the focus was made on Single Input Fuzzy Logic Controller tuning uses PSO based on Simple Feed Forward and Output Feedback Observer for Underwater Remotely Operated Vehicle (ROV). The design and specification of the ROV can be referred to [12-14]. The objective is to improve system performance in terms of overshoot, rise time, and settling time in system response. In this study the improvement will be one parameter of the Single Input Fuzzy Logic Controller. The parameters for SIFLC will be tuned by PSO and BPSO. Figure 1 shows the proposed technique that is an Output Feedback Observer Tuning by using Single Input Fuzzy Logic Controller (SIFLC) for underwater ROV for depth control.

This paper is organized as follows. In section 1, a brief introduction to Particle Swarm Optimization and the ROV are discussed. Section 2 describes the Prioritybased Fitness Particle Swarm Optimization (PFPSO). The Section 3 presents the Priority-based Fitness Binary Particle Swarm Optimization (PFBPSO) while Section 4 describes the results and discussion. Finally, the final remarks are elucidated in Section 5.


Fig. 1: Output Feedback Observer Tuning using Single Input Fuzzy Logic Controller

## II. Priority Based Fitness PSO (PFPSO)

This PFPSO or PSO algorithm is adapted from [6] but the implementation is for the conventional PID controller to control nonlinear gantry. In this research, overshoot, OS is set as the highest priority, followed by settling time, Ts and steady state error, SSE. The objective is to develop a controller that can guarantee the suppression or eliminate overshoot in the system response. For depth control, overshoot in the system response is particularly dangerous. Clearly an overshoot in the ROV vertical trajectory may cause damages to both the ROV and the inspected structure such as operating in cluttered environments. Figure 2 illustrates the priority-based fitness approach where the $\boldsymbol{P}_{\text {BEST }}$ and $\boldsymbol{G}_{\text {BEST }}$ are updated according to the priority [8]: OS, Ts and SSE.


Fig. 2: Updated rules for $\boldsymbol{P}_{B E S T}$ and $\boldsymbol{G}_{B E S T}$ using a priority-based fitness approach

PSO could be implemented and applied easily to solve various function optimization problems especially for nonlinear models. For such problems, the particle position in PSO can be modelled as Equation 1.
$\mathrm{X}_{\mathrm{i}}=[\mathrm{K} 1, \mathrm{~K} 2]$

K1 and K2 parameter values namely the break point and slope for the piecewise linear of SIFLC controller to control the position of the ROV , respectively. It is initialized and started with a number of random particles. Initialization of particles is performed using Equation 2.
$X_{i}=X_{\text {min }}+\operatorname{rand}\left(X_{\text {max }}-X_{\text {min }}\right)$
Where $\mathrm{x}_{\text {max }}$ and $\mathrm{x}_{\text {min }}$ are the maximum and minimum values in the search space boundary. Then, the particles find for the local best, $P_{B E S T}$ and subsequently global best, $G_{B E S T}$ for every iteration in order to investigate for optimal result. Each particle is assessed by the fitness function. Thus, all particles try to imitate their historical success and in the same time try to follow the success of the best agent. It means that the $P_{B E S T}$ and $G_{B E S T}$ are updated if the particle has a minimum fitness value compared to the current $P_{B E S T}$ and $G_{B E S T}$ value. Nevertheless, only particles that are within the range of the system's constraint are accepted. The new velocity and new position can be calculated and tabulated as in Equation 3 and 4.
$v_{i+1}=\omega v_{i}+c_{1} r_{1}\left(P_{B E S T}-x_{i}\right)+c_{2} r_{2}\left(G_{\text {BEST }}-x_{i}\right)$
$X_{i+1}=X_{i}+v_{i+1}$
Where $r_{1}$ and $r_{2}$ represent random function values $[0,1]$ while $c_{1}$ is cognitive component and $c_{2}$ is social component, respectively. The function of $\omega$ parameter is to balance between local and global search capabilities by [15-16]. The PSO algorithm is used to tune and find two optimal parameters of SIFLC. Figure 3 shows a flowchart of the PSO algorithm for tuning of SIFLC parameters. In this study, 20 particles are considered with 100 iterations. The initial particles are bounded around 0 to 200. As default values, $\mathrm{c}_{1}$ and $\mathrm{c}_{2}$ are set as 2 . The initial value of $\omega$ is 0.9 and linearly decreased to 0.4 at some stage in the iteration.

## III. Priority -Based Fitness Binary PSO (PFBPSO)

Priority-based Fitness Binary PSO (PFBPSO) or BPSO has been introduced to solve discrete optimization problem in [17]. Applications of BPSO can be seen in many engineering problems, such as routing in VLSI, computational biology, job scheduling and agriculture [18-19]. In this research, a new method of Priority-based Fitness Binary Particle Swarm Optimization (BPSO) is proposed for tuning of SIFLC parameters. As explained in PSO in the previous section, overshoot, OS is set as
the highest priority, followed by settling time, Ts and steady state error, SSE. Figure 2 illustrated the BPSO and PSO process where the $P_{\text {BEST }}$ and $G_{\text {BEST }}$ are updated according to the priority. The particles find for the local best, $\mathrm{P}_{\text {BEST }}$ and subsequently global best, $\mathrm{G}_{\text {BEST }}$ for each iteration in order to search for an optimal solution. Each particle is assessed by the fitness function. Thus, all particles try to replicate their historical success and in the same time try to follow the success of the best agent. It means that the $\mathrm{P}_{\text {BEST }}$ and $\mathrm{G}_{\text {BEST }}$ are updated if the particle has a minimum fitness value compared to the current $\mathrm{P}_{\text {BEST }}$ and $\mathrm{G}_{\text {BEST }}$ value. Nevertheless, only particles that within the range of the system's constraint are accepted. The new velocity can be calculated and as in equation (3).

Next, new particles are updated using equation (5) based on the sigmoid concept which is the probability of the normal distribution. All the parameters are obtained based on binary numbers (either 0 or 1 ) and then converted into decimal number that represents K1 and K2.

Sigmoid $=$
$\left\{\begin{array}{l}1, \text { rand }<\frac{1}{1+e^{-V}} \\ 0, \text { rand } \geq \frac{1}{1+e^{-V}}\end{array}\right.$


Fig. 3: Implementation of PSO and BPSO to tune SIFLC parameters

## IV. Results and Discussion

Table 1 the results between two algorithms for PSO for single Input Fuzzy Logic Controller parameter. The results obtained from process of tuning for 20 times. Based on Table 1, BPSO will more consistent results compared PSO. The range of optimum parameter is reduced in size to minimize range compared with PSO. The data tabulated in graph as shown in Figure 4 and 5. It looks BPSO more consistent results obtained parameter in tune SIFLC. The range of parameter obtained from 20 to 62 for BPSO while PSO from 2 to 200 for K1. For K2 the range for BPSO from 0 to 55 while for PSO from 16 to 200 . It seems the PSO algorithms totally random and almost the same weight range of setting parameter. BPSO obtained parameter more convenience to a certain range.

TABLE 1
COMPARISON BETWEEN BPSO AND PSO FOR K1

| Test | BPSO <br> K1 | PSO <br> K1 | BPSO <br> K2 | PSO <br> K2 |
| :--- | :--- | :--- | :--- | :--- |
| 1 | 61.796875 | 177.4653 | 55.375 | 24.1349 |
| 2 | 36.90625 | 199.9355 | 0.25 | 64.5831 |
| 3 | 30.375 | 87.8562 | 7.84375 | 29.8352 |
| 4 | 41.15625 | 147.3219 | 37.453125 | 199.7984 |
| 5 | 33.171875 | 2.6375 | 31.5 | 79.5406 |
| 6 | 34.53125 | 118.7462 | 48.453125 | 111.9401 |
| 7 | 41.328125 | 33.3279 | 22.453125 | 36.0662 |
| 8 | 22.921875 | 197.6138 | 17.75 | 148.1167 |
| 9 | 38.828125 | 117.1429 | 23.984375 | 91.4263 |
| 10 | 23.5 | 58.1121 | 25.359375 | 16.9369 |
| 11 | 48.125 | 5.3590 | 15.109375 | 145.2503 |
| 12 | 23.8125 | 105.7983 | 56 | 77.6201 |
| 13 | 46.734375 | 180.2101 | 34.359375 | 54.9053 |
| 14 | 21.4375 | 2.0845 | 28.375 | 182.5259 |
| 15 | 51.46875 | 65.6253 | 42.140625 | 86.4944 |
| 16 | 51.96875 | 26.3654 | 4.125 | 75.1863 |
| 17 | 24.03125 | 106.0368 | 27.109375 | 187.6596 |
| 18 | 54.375 | 157.6878 | 34.5 | 18.3357 |
| 19 | 21.609375 | 133.3886 | 21.203125 | 95.0725 |
| 20 | 49.125 | 117.3356 | 25.90625 | 16.5637 |

Figure 6 and Figure 7 shows the linear equation plotted for every graph for K1 and K2 using the optimum value of BSPO and PSO, respectively. Based on linear equation obtained, only intersection in y-axis considered as an optimum parameter of K1 and K2. It looks like the average value of tabulated data for K1 and K2. Table 2 shows the optimum parameter using a linear equation and the average value. Then each value for K 1 and K 2 for BPSO and PSO will be tested in simulation for Output Feedback Observer Tuning using Single Input Fuzzy Logic Controller as shown in Figure 1.


Fig. 4: Optimum parameter for K1 between BPSO and PSO



Fig. 5: Optimum parameter for K2 between BPSO and PSO

(a)


Fig. 6: (a) K1 for BSPO (b) K2 BPSO

(a)

(b)

Fig. 7: (a) K1 for PSO (b) K2 for PSO
TABLE 2
OPTIMUM PARAMETER USING A LINEAR EQUATION AND

|  | K1 PSO | K1 <br> BPSO | K2 <br> PSO | K2 <br> BPSO |
| :---: | :---: | :---: | :---: | :---: |
| Optimum <br> Parameter | 120.7 | 38.21 | 83.83 | 28.64 |
| Average | 120 | 37.86 | 87.1 | 27.96 |



Fig. 8: System response for the average value of optimum value tuning by PSO


Fig. 9: System response for intersection value of optimum value tuning by PSO


Fig. 10: System response for the average value of optimum value tuning by BPSO


Fig. 11: System response for intersection value of optimum value tuning by BPSO

Figure 8 and Figure 9 shows the system response for intersection value and average value tuning using PSO. Figure 10 and Figure 11 shows the system response for intersection value and average value tuning using BPSO. The BPSO gives the best response in term of overshoot, rise time and steady state error. The system response of optimum value tuning by BPSO for average value intersection value as shown in Figure 12 almost same. Conclude that BPSO gives the best results of system response performances and optimum value can obtained from both techniques either using a linear equation or average value.

(a)
(b)

Fig. 12: System response of optimum value tuning by BPSO for (a) average value (b) intersection value.

Hypothesis testing to see any significant difference between BPSO and PSO for K1 and K2 parameter. All data used in hypothesis testing can be seen in Appendix 1.

For KI:
The test:

$$
\begin{aligned}
& H_{0}: \mu_{B P S O}=\mu_{P S O} \\
& H_{1}: \mu_{B P S O} \neq \mu_{P S O}
\end{aligned}
$$

| Two-sample T for BPSO K1 vs PSO K1 |
| :---: |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |

The value of the test statistics: $t=-4.33$
$p$-value for the test: $p=0.000$
Therefore, there exists a significant difference between BPSO and PSO technique for K1.

For K2:
The test:

$$
H_{0}: \mu_{B P S O}=\mu_{P S O}
$$

$$
H_{1}: \mu_{B P S O} \neq \mu_{P S O}
$$

Two-sample T for BPSO K2 vs PSO K2
N Mean StDev SE Mean
$\begin{array}{llllll}\text { BPSO K2 } & 20 & 28.0 & 15.3 & 3.4\end{array}$
$\begin{array}{llllll}\text { PSO K2 } & 20 & 87.1 & 59.0 & 13\end{array}$

Difference $=\mathrm{mu}($ BPSO K2 $)-\mathrm{mu}($ PSO K2 $)$
Estimate for difference: -59.1371
95\% CI for difference: (-87.4611, -30.8131)
T -Test of difference $=0$ (vs not $=$ ): T-Value $=-4.34 \mathrm{P}$ -
Value $=0.000 \mathrm{DF}=21$

The value of the test statistics: $t=-4.34$
$p$-value for the test: $p=0.000$
Therefore, there exists a significant difference between BPSO and PSO technique for K2.

Since there exists a significant difference between PSO and BPSO, so, we can decide which one is a better technique for obtaining optimum value for SIFLC.
i. Based from the value of variance

For KI, the value of standard deviation for BPSO is smaller than the variance for PSO.
For K2, the value of standard deviation for BPSO is smaller than the variance for PSO.
$\rightarrow$ BPSO technique gives better optimization value than PSO for both K1 and K2.
ii. Based from the value of error calculated.

For KI, the absolute value of the average error and the standard deviation are smaller for BPSO technique compare to PSO. For K2, the absolute value of the average error and the standard deviation are smaller for PSO technique compare to BPSO.

But referring to graphs of absolute error as shown in Figure 13 and Figure 14, the graphs exhibit a random pattern for BPSO K1, PSO K1, and PSO K2. Only the absolute error of BPSO K2 reduce with the number of iterations. From these graphs, it is better to increase the number iterations for both PSO and BPSO for each K1 and K2 until the error is as small as possible and the optimum value converges.

(b) K1 PSO


Fig.14: Graph exhibit random pattern for absolute error (a) K2 BPSO
(b) K2 PSO

TABLE 3
TIME EXECUTION FOR EVERY TESTING FOR PSO AND BPSO

| Test | Time Execution BPSO <br> $(\mathrm{s})$ | Time Execution PSO <br> $(\mathrm{s})$ |
| :--- | :--- | :--- |
| 1 | 209.133031 | 971.929451 |
| 2 | 212.898391 | 954.122003 |
| 3 | 210.532833 | 952.974722 |
| 4 | 211.300865 | 953.310943 |
| 5 | 211.454390 | 952.983798 |
| 6 | 213.168615 | 949.453573 |
| 7 | 211.354633 | 952.731717 |
| 8 | 211.326776 | 959.364054 |
| 9 | 211.789711 | 952.090653 |
| 10 | 210.985970 | 950.623896 |
| 11 | 211.457587 | 948.845327 |
| 12 | 212.217930 | 949.341673 |
| 13 | 211.708684 | 947.177484 |
| 14 | 210.062159 | 951.637467 |
| 15 | 211.155030 | 959.819544 |
| 16 | 211.630295 | 956.206627 |
| 17 | 213.780470 | 955.06636 |
| 18 | 212.512246 | 958.722276 |
| 19 | 211.749119 | 958.027874 |
| 20 | 213.493897 | 964.185327 |

Others advantage of BPSO is time execution as shown in Table 3. It shows the BPSO more faster in time execution compared with PSO. It takes 3 minutes 30 seconds while PSO need 16 minutes for tuning the system to obtain optimum parameter. If we need to do a cycle of 20 iterations like tabulated in Table 3 it take 6 hours to complete the data compared BPSO need only 1 hour.

## V. Conclusion

Two algorithms based on improving particle swarm optimization (PSO) algorithm using a priority-based fitness PSO (PFPSO) and binary priority-based fitness PSO (BPFPSO) approach to obtain optimum parameter in Single Input Fuzzy Logic Controller for underwater Remotely Operated Vehicle for depth control are successful. The study also covered a comparison for time execution for every time the parameter tuning was done. The BPFPSO gives comparative results in term of two parameters and time execution very fast compared with improved PSO. Also BPSO gives the best results of system response performances and optimum value can obtain from both techniques either using a linear equation or average value. Based from the value of variance for KI and K2, the value of standard deviation for BPSO is smaller than the variance for PSO. BPSO technique gives better optimization value than PSO for both K1 and K2.

## Acknowledgement

Special appreciation and gratitude to the University (Universiti Teknikal Malaysia Melaka, UTeM and Universiti Teknologi Malaysia, UTM) especially to the both Faculties of Electrical Engineering for providing the financial as well as moral support to complete this project successfully.

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## DESCRIPTIVE STATISTICS AND ERROR CALCULATION

 STATISTIC
## Appendix

| Test | $\begin{gathered} \hline \text { BPSO } \\ \text { K1 } \end{gathered}$ | error BPSO KI | $\begin{gathered} \hline \text { PSO } \\ \text { K1 } \end{gathered}$ | error PSO KI | $\begin{gathered} \hline \text { BPSO } \\ \text { K2 } \end{gathered}$ | error BPSO K2 | $\begin{gathered} \hline \text { PSO } \\ \text { K2 } \end{gathered}$ | error PSO K2 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 61.797 |  | 177.4653 |  | 55.375 |  | 24.135 |  |
| 2 | 36.906 | -67.443 | 199.9355 | 11.239 | 0.250 | -22050.000 | 64.583 | 62.630 |
| 3 | 30.375 | -21.502 | 87.8562 | -127.571 | 7.844 | 96.813 | 29.835 | -116.466 |
| 4 | 41.156 | 26.196 | 147.3219 | 40.364 | 37.453 | 79.057 | 199.798 | 85.067 |
| 5 | 33.172 | -24.070 | 2.6375 | -5485.664 | 31.500 | -18.899 | 79.541 | -151.190 |
| 6 | 34.531 | 3.937 | 118.7462 | 97.779 | 48.453 | 34.989 | 111.940 | 28.944 |
| 7 | 41.328 | 16.446 | 33.3279 | -256.297 | 22.453 | -115.797 | 36.066 | -210.374 |
| 8 | 22.922 | -80.300 | 197.6138 | 83.135 | 17.750 | -26.496 | 148.117 | 75.650 |
| 9 | 38.828 | 40.966 | 117.1429 | -68.695 | 23.984 | 25.993 | 91.426 | -62.007 |
| 10 | 23.500 | -65.226 | 58.1121 | -101.581 | 25.359 | 5.422 | 16.937 | -439.805 |
| 11 | 48.125 | 51.169 | 5.359 | -984.383 | 15.109 | -67.839 | 145.250 | 88.340 |
| 12 | 23.813 | -102.100 | 105.7983 | 94.935 | 56.000 | 73.019 | 77.620 | -87.130 |
| 13 | 46.734 | 49.047 | 180.2101 | 41.292 | 34.359 | -62.983 | 54.905 | -41.371 |
| 14 | 21.438 | -118.003 | 2.0845 | -8545.243 | 28.375 | -21.090 | 182.526 | 69.919 |
| 15 | 51.469 | 58.349 | 65.6253 | 96.824 | 42.141 | 32.666 | 86.494 | -111.026 |
| 16 | 51.969 | 0.962 | 26.3654 | -148.907 | 4.125 | -921.591 | 75.186 | -15.040 |
| 17 | 24.031 | -116.255 | 106.0368 | 75.136 | 27.109 | 84.784 | 187.660 | 59.935 |
| 18 | 54.375 | 55.805 | 157.6878 | 32.755 | 34.500 | 21.422 | 18.336 | -923.466 |
| 19 | 21.609 | -151.627 | 133.3886 | -18.217 | 21.203 | -62.712 | 95.073 | 80.714 |
| 20 | 49.125 | 56.011 | 117.3356 | -13.681 | 25.906 | 18.154 | 16.564 | -473.981 |
| mean | 37.860 | -20.402 | 102.003 | -798.778 | 27.963 | -1203.952 | 87.100 | -109.508 |
| variance | 158.238 | 4809.461 | 4239.081 | 5118031.22 | 234.680 | 25531703.62 | 3475.314 | 66107.031 |
| std dev | 12.579 | 69.350 | 65.108 | 2262.307 | 15.319 | 5052.891 | 58.952 | 257.113 |
| median | 37.867 | 0.962 | 111.590 | -13.681 | 26.508 | 5.422 | 78.580 | -41.371 |
| max | 61.797 | 58.349 | 199.936 | 97.779 | 56.000 | 96.813 | 199.798 | 88.340 |
| min | 21.438 | -151.627 | 2.085 | -8545.243 | 0.250 | -22050.000 | 16.564 | -923.466 |

