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# DISASTER AND THE RESTRUCTURING // OF ORGANIZATION

#### A Thesis

#### Presented to

The Faculty of the Department of Sociology

The College of William and Mary in Virginia

In Partial Fulfillment

of the Requirements of the Degree of

Masters of Arts

by
John R. Linn
1986

# This thesis is submitted in partial fulfillment of the requirements for the degree of

Master of Arts

Author

Approved, January 1986

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## DEDICATION

To Rev. DeWayne K. Kolbo

To strive, to seek and not to yield.

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#### ABSTRACT

The unit of analysis for this thesis is the restructuring of organization. Restructuring is examined with respect to a structural theory and taxonomy of forms of human association in disaster. The taxonomy points to four elements as discrete dimensions of structure. Domains(D) and tasks(T) are interpreted as ends of organization: their restructuring empirically grounds substantive rationality. Resources(R) and activities(A) are interpreted as means of organization: their restructuring empirically grounds administrative rationality. Case materials on emergency responses following a tornado describe 57 restructurings by 22 social units over an 18 month period. A logical metric describes the form of restructuring as falling on a continuum of administrative to substantive rationality. The thesis also emphasizes the analytical importance and distinctiveness of temporal and spatial characteristics of restructuring.

The findings indicate that there are alternative but not an unlimited number of ways in which restructuring can take place. The findings also suggest that there is a paradoxical relationship between administrative and substantive rationality. Each is telling strength and weakness of organization. A balance between them enhances efficiency and effectiveness of response. Finally, the findings show that the order, timing, location, and dispersion of multiple restructurings by the same unit are related in numerous ways that can be calibrated.

# DISASTER AND THE RESTRUCTURING OF ORGANIZATION

#### INTRODUCTION

Structure itself is revealed in society's <u>becoming</u> and one can only illuminate it on condition of not losing sight of this process of becoming. It (social structure) is constantly becoming and changing (forming and breaking down); it is life having crystallized to a degree; and to distinguish it from the life from which it derives or the life that determines it amounts to dissociating inseparable things. (Durkheim, 1900 in Wilson, 1981, pp 1060)

The analytical status of social structure prompts one of the most venerable debates within sociology (Grafstein, 1982: Mayhew, 1982).

For those who assume it, structure defies simple interpretation. At the same time its reality is, in the Durkheimian sense, a matter of "becoming and changing" (Wilson, 1981:1060). The focus of this thesis is not specifically on the process of becoming, but rather on the dynamics of changing or restructuring. Despite the often heated debates about the existence of structure, the matter of its restructuring is as complex as the idea of structure itself.

If structure exists, is it a thing or process? In either case, how does structure change? Is restructuring a form of collective behavior or does it reflect formal rationality? Is restructuring driven by the human actor, or is the actor constrained by the unit in which restructuring takes place? Precisely how does restructuring occur? Can restructuring be spatially or temporally bounded? All of these questions are relevant to this thesis. The major task is to capture the dynamics of restructuring at the empirical level. This is done by extending Kreps' organizational theory (1978;1983;

1984;1985a;1985b;1986) through reanalyses of archival data on the

delivery of mental health services following a tornado. Just as with Kreps' earlier work on some 15 natural disasters in the United States, the archival data on this event was developed from earlier studies by the Disaster Research Center (Taylor, Ross, and Quarantelli, 1976).

#### A SUBSTANTIVE BASE: KREPS' THEORY AND TAXONOMY

Kreps focuses on structure and process at the same time in his theory of organization and disaster. His work pays close attention to the classical writers in sociology and their puzzling about the dynamic and static aspects of collective life (Kreps, 1986). The result is a precise definition and empirical grounding of organizing patterns within a broader taxonomy of the forms of human association.

Kreps' theory isolates four structural elements as individually necessary and collectively sufficient for organization to exist: domains (D), tasks (T), resources (R), and activities (A). Domains and tasks are interpreted as <a href="mailto:ends">ends</a> of organizations; resources and activities as its <a href="mailto:means">means</a>. The elements are defined as follows:

- 1) <u>Domains</u> are collective representations of bounded units and their reasons for being. Expressed in and legitimated by the communications of direct participants <u>and</u> others interacting with them, the existence of a domain evidences social structure as <u>open system</u> of human action (Levine and White, 1961; Thompson, 1967; Haas and Drabek, 1973).
- 2) <u>Tasks</u> are collective representations of a division of labor for the enactment of human activities. Tasks <u>independently</u> define the unit quality of human action by pointing to a <u>closed system</u> that is structured from within. Tasks are expressed in and legitimated by the communications of those enacting them.

- 3) Resources are the material technologies and subjective attributes of human populations. Resources are both static and dynamic. They are static because, as part of structure, their relevance is conditioned by the reality of domains and tasks. They are dynamic because domains and tasks are social constructions of human beings.
- 4) Activities are the conjoined actions of human populations which at once establish and are conditioned by social structure. Analytically distinct from all other elements, activities relate symmetrically with structure and its interpretation as unit and process.

It must be emphasized that each of the four elements is <u>uniquely</u> important for a processual approach to structure. Thus, neither ends (D, T) nor means (R, A) has analytical primacy. Certainly D, T, R, and A are all grounded in the human actor, as reality and creator of social structure. However, each element is grounded equally in the social unit, as reality and constraining force. While the elements need not be arranged in any particular sequence, their <u>mutual co-presence</u>, as <u>organization</u>, enhances the possibility for consideration of its <u>restructuring</u>. In developing a precise definition of organization as ongoing process, Kreps provides new directions for empirical studies of organizing.

Central to his approach, Kreps (1985) highlights three system states of organization (Dubin, 1978): origins, maintenance, and suspension. In defining origins, the crescive nature of organization is emphasized. Organization is in a state of becoming. Specifically, each element comes into play individually as one of four stages of origins (1, 2, 3, and 4 elements present; e.g., A, A-R, A-R-D, A-R-D-T). Table 1 presents all logically possible arrangements among the four elements of organization. As entity, organization is represented by the mutual

Table 1: Taxonomy of the 64
Forms of Association

One Element	Two Element	Three Element	Four Element
Forms	Forms	Forms	Forms
D	D-T	D-T-R	D-T-R-A
T	D-R	D-T-A	D-T-A-R
R	D-A	D-R-A	D-R-A-T
Α	<b>T-</b> R	D-R-T	D-R-T-A
	T-A	D-A-T	D-A-T-R
	<b>T</b> -D	D-A-R	D-A-R-T
	R-A	T-R-A	T-R-A-D
	R - D	T-R-D	T-R-D-A
	R - T	T-A-D	T-A-D-R
	A - D	T-A-R	T-A-R-D
	A-T	T-D-R	T-D-R-A
	A - R	T-D-A	T-D-A-R
		R-A-D	R-A-D-T
		R-A-T	R-A-T-D
		R-D-T	R-D-T-A
		R-D-A	R-D-A-T
		R-T-D	R-T-D-A
		R-T-A	R-T-A-D
		A-D-T	A-D-T-R
		A-D-R	A-D-R-T
		A-T-D	A-T-D-R
		A-T-R	A-T-R-D
		A-R-D	A-R-D-T
		A-R-T	A-R-T-D
4	12	24	24

Total Forms of Association = 64

co-presence of all four elements. Thus, it is only by specifying patterns of emergence of the elements that origins can be revealed.

In his earlier work, Kreps (1985) concentrates on the origins of organization, using the disaster event as catalyst for describing how organization comes into being. The following are illustrations of the process that is described. They are two of 423 instances of organization from 15 natural disasters (earthquakes, floods, tornados, hurricanes) that Kreps has located from the archives of the Disaster Research Center (DRC).

#### Four Element Form: A-R-D-T

Flood waters rise over a period of days in the community and are monitored. Flooding eventually covers much of an urban area and virtually the entire downtown of its major There are few deaths or injuries but extensive property damage. Major flood conditions prevail for over a week. police department initially is involved in traffic control during the emergency period, but that action terminates with the complete inundation of central city streets. Several citizens with boats docked in the downtown area conjointly begin evacuating people from buildings. Their preliminary actions are independent of anything being done by the police department. In fact, police officials note that, at this point, they are looking for something to do. There has been no preplanning for what follows. Having a few boats of their own, the police coordinate their evacuation actions with those of private citizens (A). The need to evacuate the entire downtown area quickly becomes apparent. A large number of boats from other private owners, the bureau of land management, the fire department, and the military are provided. The latter public bureaucracies also offer personnel to drive some of the boats, and some citizen volunteers respond to the same need. By now, the majority of police personnel have become involved because they are available, in close proximity, and know where to take evacuees The following morning, local government leaders declare the downtown evacuation as the responsibility of the police department (D). This is questioned briefly but then accepted by fire department officials and is further legitimated by state and military officials. The police then quickly develop a rather complex task structure -- one that involves locating, notifying, dispatching, and refueling of boats, assigning of

police personnel to all boats, and coordinating of water and ground transportation to move evacuees to shelters (T). About 5000 people are evacuated during the next 3-4 days. The operation is maintained by the police department until the demand is met (1985:10).

Four Element Form: D-R-A-T

A temporary morgue is set up after a tornado. The county coroner is not a doctor but a local funeral director. He has no coroner's office, no staff, and no morgue. Normally, he simply signs autopsies after they are completed by hospital pathologists. After the tornado, spokesmen for the only hospital say their staff cannot handle those killed by the event. A discussion by the coroner and two pathologists at the hospital leads to a decision to set up a temporary morgue. The coroner requests use of the local YMCA for the morgue. The YMCA director accedes to the request (D). The coroner, the two pathologists, a licensed embalmer, and a marine recruiter go to the YMCA. The YMCA provides several rooms and a couple of staff (R). Concurrently, ambulances start bringing bodies to the morgue; people come to the morgue concerned about the missing; bodies start to be identified (no autopsies are done and none is intended); and ministers who stop by or come with concerned residents start attending to the needs of the bereaved (A). The need for "organization" is expressed by the key participants. The identified and unidentified dead are physically seperated, with the two pathologists attending to them. The licensed embalmer and marine recruiter take on paper work tasks. The coroner maintains liaison with the hospital, funeral homes, and next of kin. Two ministers are asked to remain and attend to the needs of the bereaved at another location in the building (T). The morgue closes about 24-30 hours after it opens (1985:11).

In the above illustrations, the emergence of organization is captured: from one (A or D), to two (A-R or D-R) to three (A-R-D or D-R-A), and finally to four element (A-R-D-T or D-R-A-T) forms of association. In the first case, notice that an existing social unit (police department) improvises in this particular instance: the activities (A) of individuals are pivotal initially, with major resources (R) being mobilized next. Legitimation is then given to the social action (D) and this is followed by a clearer delineation of tasks

(T). The illustration indicates that an <u>established unit</u> enacts a <u>new domain</u>. But in fact, some established organizations do not act at all for a time following the event. And there are other instances of organization--such as the second example of an emergency morgue--where there is no predisaster unit. While the morgue exhibits the entity status of organization just as well as the police department, its form of origins is very different.

Once again, using the disaster event as catalyst, Kreps documents each element as it relates to the origins of organization. Individually necssary and collectively sufficient conditions of organization are met when the last element is in place [in these examples, tasks (T)]. Only then does organization move to the second system state, which is termed the maintenance of organization. Anything that subsequently occurs, with respect to an instance of organizing, involves restructuring of the four elements until such time as organization is suspended (the absence of one of the elements). Referencing the above case descriptions, changes in the operations of the police over the next 3-4 days of the emergency response, or changes in the operations of the emergency morgue over a matter of hours can be represented as the restructuring of the elements.

The foci of this thesis are forms of association enacted during the maintenance state of organization. Where Kreps does not assume the existence of organization, here the existence of enacting units is taken as given for purposes of describing restructuring of the elements. In other words, what takes place following the event is described in this thesis as a continuing process of restructuring of elements with respect

to each other. Thus in contrast to Kreps' earlier work, we do not describe the origins of the enacting units. Rather we have assumed that the transition from origins to maintenance is one of logical necessity. From this standpoint, it makes no difference how long it takes to reach this system state (maintenance), nor is there a concern with the arrangement of the four elements prior to it. Rather, organization now exists and is in the process of restructuring. Capturing that restructuring requires (1) documenting changes in domains (D), tasks (T), resources (R), or activities (A) and (2) describing their patterning as alternative forms of association (1-4 elements present).

#### INSTANCE OF RESTRUCTURING AS UNIT OF ANALYSIS

As implied above, the unit of analysis for the thesis is the instance of restructuring: one that is spatially and temporally bounded. Restructuring can be characterized as the variable presence of the four elements during some measurable period of time. Variable presence simply means that the elements exist but are changing in various ways. For example, the circumstances of disaster point to organizational contingencies or problems. Thus one or all of the elements may be restructured because new circumstances demand adaptation. If the contingency is resolved, organization continues until a new contingency arises or the final stage of organization (suspension) is reached. A successful ending of organization can be termed need met, response terminated.

Kreps (1985b:28) characterizes restructuring in this way:

An element related contingency arises. For example, transportation is disrupted because a bridge washes out (activities related). A piece of important equipment is damaged or destroyed, or participants quit because of exhaustion or role conflict (resources related). Plans become confused and it is not clear what will be done next (tasks related). Objectives are challenged by participants or those on the outside (domain related). If the contingency is resolved through restructuring of the elements, organization is sustained until the end state is reached...or some new contingency appears.

#### Administrative and Substantive Rationality

Regardless of the catalyst for change, any restructuring of domains (D) and tasks (T) provides an indication of what Kreps terms <u>substantive</u> rationality. Restructuring related to resources (R) and activities (A) is an indicator of what he terms <u>administrative rationality</u>. Substantive rationality suggests that participants are preoccupied with the <u>ends</u> of organization. That is to say, domains (D) and tasks (T), are being questioned or redefined as a result of social action. Conversely, administrative rationality suggests that participants are preoccupied with the <u>means</u> of organization. In other words, resources (R) and activities (A) are being restructured to meet the requirements of relatively fixed domains (D) and tasks (T).

While both administrative and substantive rationality are critical to the viability of organization, their relationship is a paradox. The strength of administrative rationality (e.g., a regularly updated disaster plan which formalizes a unit's response) is that things get done. Still, too much of it thwarts improvisation and the latter is essential when circumstances are difficult to control. The presence of improvisation points to substantive rationality. However, too much questioning of what is being done, and how, may exacerbate the physical

harm and social disruption resulting from the event. It also may undermine the credibility of the responding unit. Thus, focusing on either ends (substantive rationality) or means (administrative rationality) without involving the other, may inhibit effective performance in the face of altered or changing conditions. Stated another way, both administrative and substantive rationality are essential to the viability of organization and at the same time threaten it.

Substantive rationality points to the human actor as prime mover of social structure (ends oriented, actor dominated). Administrative rationality expresses social structure as the dynamic force which constrains the individual and maintains the unit (means oriented, unit dominated). Their relationship during maintenance is very difficult to capture empirically. With measurement much less precise than will be developed here, Kreps earlier found that the two are positively related. This suggests that ends (D, T) and means (R, A) based restructuring are part and parcel of the viability of any organization. Where the unit (ends) dominates what is happening, restructuring tends to be confined to organizational means. Action takes place but the actor is object of structure. Conversely, where the actor (means) dominates, ends are being questioned and possibly altered. Order is maintained, but the actor is subject of structure. The implied goal for emergency management is complementarity between constraining unit and administrative rationality on the one hand; and accomplished actor and substantive rationality on the other. In effect, complementarity is the successful integration of the ends and means of social structure.

#### Spatial and Temporal Dynamics of Restructuring

Restructuring can also be articulated with respect to time and space. As Wallace (1983:134) suggests, "certain social phenomena may be observed virtually everywhere but only at certain times....whereas other social phenomena may be observed virtually everywhen but only at certain places." His point is that spatial and temporal dimensions are unique and vital for describing any social phenomenon (Collins, 1981; Wallace, 1983). Because disasters can reasonably be demarcated as bounded events, they provide strategic research sites for describing the temporal and spatial properties of restructuring.

Wallace provides several (he deems them parallel) properties of time and space which will be used in describing restructuring of domains, tasks, resources, and activities. The relevant temporal dimensions are termed (1) order, (2) timing, (3) rhythm, and (4) periodicity of restructuring. The relevant spatial dimensions are termed (1) location, (2) dispersion, (3) pattern, and (4) uniformity of restructuring. The first and second properties in each set will be used to describe all instances of restructuring. The third and fourth properties in each set will be used to describe the patterning of multiple instances of restructuring by the same unit. Each of these properties is briefly defined below.

With regard to temporal properties, <u>order</u> involves the empirical determination of which elements (1-4) change in any instance of restructuring and their sequence (see Table 1). Temporal <u>timing</u> is the elapsed time from an identified catalyst to change in the first appearing element, and to change in any subsequent element restructured

rhythm is then evidenced by regularity in the order of the elements across multiple instances of restructuring by the same unit. Similarly, periodicity is then evidenced by any regularity in the timing of elements across multiple instances of restructuring. It is important to distinguish between rhythm and periodicity. Thus a sequence of several restructurings (e.g., A-R, A-R, A-R and administrative rationality) could involve the same ordering of the elements (rhythm), while the time lags between appearance of elements could be very different (periodicity).

With regard to spatial properties, <u>location</u> is the geographic locus of the unit's action (in this case local or county) during any instance of restructuring. Spatial <u>dispersion</u> is evidenced by the number of activity sites of the unit's action during any instance of restructuring. Spatial <u>pattern</u> is then evidenced by regularity in the <u>location</u> of the unit's action across multiple instances of restructuring. Similarly, <u>uniformity</u> is then evidenced by regularity in <u>dispersion</u> of the unit's action across multiple instances of restructuring.

#### DISASTER EVENT AS CATALYST

Disasters are useful catalysts for examining the restructuring of organization (Dubin, 1978). They can readily be viewed as non-routine events in which a community and its sub-units are faced with unusual and severe circumstances. When a disaster strikes, the normal condition of

the community can no longer be assumed. For example, the impact of the disaster might be such that many existing organizations undertake different activities from their pre-disaster routines. In the Durkheimian sense, the units can be considered as becoming something else. But that becoming is as much derivative as it is emergent. Such transformations are interpreted as instances of restructuring. They are documented in this study by responses of mental health units following a tornado which struck a mid-western community.

#### The Event

The tornado which struck the site of the original DRC research was part of a regional disaster. As stated by the authors of the original study,

At least 148 tornadoes gouged paths through more than 200 counties in 13 states. The tornado that struck the community thrust it into the limelight as one of the single worst community disasters in the history of the United States. The tornado wreaked physical damage and ripped the very fabric of social life...at the interpersonal level, there were serious strains. (Taylor, Ross, Quarantelli, 1976:60)

Familial, community, business, and religious life, as well as other aspects of human interaction, were severely disrupted. Very few households in the community were left untouched, with the result that social bonds were profoundly affected. It was from this set of circumstances that the ostensible need for disaster related mental health services was determined. But as the process of determining the mental health needs of the victims of the tornado began, there was no clear-cut perception of what those needs might be. Indeed, there was general confusion among the various professionals and laypersons

involved about what to do. Were they dealing with problems of living or mental health trauma? In either case, would needs of victims be of short or long term duration? Over time a consensus was reached that mental health problems resulting from the disaster would arise most significantly in the long run.

#### The Original Study

The focus of the original study was on the delivery of mental health services over an 18 month period following the tornado. The initial reactions at the local level were somewhat mixed: from inaction, to reaction, to action and change. That is, the first response of the established local mental health units (some 10 units providing psychological or psychiatric services) was inaction. Federal agencies suggested (strongly) that this was inappropriate. As a result, these and some 19 other local units (e.g., churches, hospitals, social service agencies) moved to develop a program. For all intents and purposes, their first attempt to provide disaster services related to mental health was a failure. The failure then led to the establishment of a new program. The new program emphasized a broad range of victim needs, only some of which related to traditional mental health models. 3

Implementation of the new program improved upon the initial attempt. The new system provided more timely services related to the disaster. It established a more identifiable area of operations and a different set of interactive components. What amounted to a new system enabled units providing services to expedite their efforts on behalf of disaster victims. The authors of the original study concluded that the established mental health system was unable to adapt to the new

situation while the <u>emergent</u> system was able to develop a more coherent framework within which to work. They argued that the effectiveness of the new system surpassed the abilities of its predecessor.

#### Structural Observations

While the final report from the original study provides many interesting observations and conclusions about the established and emergent mental health systems, there is an important omission from the standpoint of a structural perspective on organizing. Simply put, the existence of the old system was assumed in the original study and the effort was to characterize its transformation. Thus, attention was directed to the system as a whole rather than the manner in which units within it were restructured for purposes of meeting disaster demands. The focus of the present research is restructuring of the member units. By using Kreps' core species concept of organizing (patterns of transformation of D, T, R, and A), we hope to describe how the restructuring of member units took place. Particular attention will be given to measurement of (1) administrative and substantive rationality, (2) time and space dimensions of organizing, and (3) characteristics of enacting units that shed further light on the process of restructuring.

#### A METHODOLOGICAL APPROACH TO RESTRUCTURING

The data used for this research are archival material maintained by the Disaster Research Center (DRC) at the University of Delaware. While the original research was not done with Kreps' theory and this extension of it in mind, it does provide useful data on instances of restructuring over an 18 month period following the event. Indeed, our review of the interviews and related case materials from the study reveals some fascinating accounts of what occurred as a result of the disaster.

#### The Data Base

The thesis focuses on 123 interviews and supporting documents earlier derived by DRC for the disaster event. The interviewees were direct participants in the established and emergent systems. documents relate to unit accounts of what took place. Using this archival material to construct a new data file, a sample of 57 instances of restructuring has been developed. The 57 cases located were enacted by 22 social units. In all cases the description of the restructuring is tied to one of the 64 logical possibilities in Kreps' earlier taxonomy (see Table 1: 1-4 elements of organization restructured). This allows for a determination of the extent to which restructuring reflects administrative (means-based) to substantive (ends-based) rationality. Moreover, the various dimensions of time and space developed above from Wallace's work (1983) are grounded empirically. All of this informs our attempt to describe restructuring as process. Finally, a variety of other structural properties of the enacting unit are measured as they inform description of the 57 cases.

The methodology used is both qualitative and quantitative. That is, measurement of restructuring is captured initially through qualitative descriptions of what happened, then patterning of the elements relevant to each restructuring is expressed quantitatively. The strategy employed is as follows: First, a description of what occurred at each stage of restructuring is developed from the data, with

particular attention paid to its formal, spatial, and temporal characteristics. Second, this allows (a) a determination of the extent to which the restructuring reflected administrative and substantive rationality; (b) the measurement of basic temporal (order, timing) and spatial (location, dispersion) variables for all cases of restructuring; and (c) the measurement of derived temporal (rhythm, periodicity) and spatial (pattern, uniformity) variables when an enacting unit had two or more sequential instances of restructuring. Third, other structural variables of the enacting units that might have influenced the process of restructuring are measured for purposes of enhancing description. A more specific detailing of the major variables follows.

#### Administrative and Substantive Rationality

The logical measurement (base metric) found on Table 2 expands on Kreps' metric of the 24 organizational forms (Kreps, 1985a) by incorporating the remaining 40 forms of association in the taxonomy. While Kreps' earlier metric was applied to the origins of organization, that employed in the present study is intended to capture the system state of maintenance. Each of the 64 forms listed on Table 1 are represented in this study as discrete instances of restructuring: represented as one, two, three or four element forms of association. As ends of organization, changes in domains (D) and tasks (T) reference substantive rationality. As means of organization, changes in resources (R) and activities (A) reference administrative rationality.

For each case of restructuring located, a change of D or T (or both) is indicated by plus (+) signs. A change of R or A (or both) is

RA AR

										A	RDA	RTA	ADR	ATR	ARD	ART	_
										ĸ	DRA	DAR	TRA	TAR	RAD	RAT	ן-
Basic Metric Restructuring	RD	RT	AD	AT	DRAT	DART	TRAD	TARD	RADT	RATD	RDAT	RTAD	ADRT	ATDR	ATRD	ARDT	
2: Basi nds Rest	DR	DA	TR	TA	DTRA	DTAR	DRTA	DATR	TRDA	TADR	TDRA	TDAR	RDTA	RTDA	ADTR	ARTD	0
Table 2: Means-Ends																	
										E	TRD	TAD	RDT	RTD	ADT	ATD	-
										Q	DTR	DTA	DRT	DAT	TDR	TDA	+1
															DT	OT.	Scores +2

indicated by minus (-) signs. The resulting scoring runs from a +2 (ends predominate) to -2 (means predominate) with a mid-point of 0 (balance or tension). For example, a T-D-R form of restructuring is represented by two pluses (for D and T) and one minus (for R). aggregate score is +1 indicating the predominance of ends in the restructuring process. Conversely, an R-D-A form of restructuring receives two minuses (for R and A) and one plus (for D). The aggregate score is -1 indicating the predominance of means in this instance of restructuring. As an exercise in logic, then, note that all four element forms must yield scores of 0 (various combinations of two pluses and two minuses); all three element forms are either +1 (two ends and one means implicated) or -1 (two means and one end implicated); two element forms yield scores of +2 (ends only), -2 (means only), or 0 (one of each); and one element forms have scores of +1 or -1 (one end or one means). The result is a normal distribution of the 64 forms in Kreps' taxonomy.

The base metric presented on Table 2 only captures the relative presence of ends and means in each instance of restructuring. It is not sensitive to the actual number of elements implicated, nor does it capture their ordering (e.g., D-A versus A-D). The derived metric found on Table 3 builds these dimensions directly into the scoring: by weighting the value of earliest appearing elements; and decreasing that weighting as each additional element comes into play. Specifically, the plus or minus sign for the first appearing element in any instance of restructuring is weighted by four times. Thus a D or T receives a score of +4 as first appearing element; and an A or R receives a score of -4

as first appearing element. The second appearing end (+) or mean (-) is weighted by 3 times (+3 or -3); the third appearing element by two times (+2 or -2); and the fourth appearing element is not weighted at all (+1 or -1).

As shown on Table 3, the result is a distribution of scores which reflects pure types of administrative (R-A and A-R) and substantive (D-T and T-D) rationality at the extremes of the distribution (+7 and -7); mixed types which reflect a balancing of the two forms of rationality at the midpoint (0); and mixed types which reflect gravitation toward either pole of an underlying continuum between them. Either end of that continuum points to the paradox of accomplished actor and dynamic unit in social structure. Just as with Kreps' earlier work, the metric is designed to merge qualitative description of the content of social structure, with quantitative depiction of its formal properties.

#### Spatial and Temporal Dynamics of Restructuring

The previously discussed temporal properties of restructuring are captured in the following manner. The temporal <u>order</u> of each case involves judgments (through descriptions of what happened) of which elements of organization were restructured (dichotomous choices) and in what sequence (what changed first and so on if additional elements came into play). Using the onset of the tornado as the starting point, <u>timing</u> is measured in days and hours with respect to <u>when</u> those elements restructured were changed. For one-element forms of restructuring, timing is represented by the lag between the event and the restructuring. If additional elements came into play, timing is

RA AR

Δ<u>T</u> +7

Table 3 - Derived Metric: dministrative versus Substantive Rationality

								1	4
					RAD	RAT	ARD	ART	Ľ
			ĸ	Ą	RADT	RATD	ARDT	ARTD	7
nality					RDA	RTA	ADR	ATR	"
Ratio					RDAT	RTAD	ADRT	ATRD	-
Substantive Rationality	RD	RT	AD	AT	DRA	DAR	TRA	TAR	7
	DRAT	DART	TRAD	TARD	RDTA	RTDA	ADTR	ATDR	c
Administrative versus	DR	DA	TR	TA	RDT	RTD	ADT	ATD	7
ative 1					DRTA	DATR	TRDA	TADR	+
inistr					DRT	DAT	TRD	TAD	۲ +
Adm			Ω	Ħ	DTRA	DTAR	TDRA	TDAR	7+
					DTR	DTA	TDR	TDA	<del> </del> ແ
								İ	4

measured by the lag between the appearance of each one (second, third, or fourth). A derived total time of restructuring is the lag between the onset of the event and the end-state of the restructuring.

For some units in the file there is sufficient data to capture multiple instances of restructuring. The problem here is to determine points of discontinuity between restructurings, such that organization is said (analytically) to be at rest during the interim. Points of temporal discontinuity and the appearance of additional catalysts for change provide the empirical foundation for these determinations. Such additional catalysts in this study are represented by a diverse range of subsequent contingencies (such as competitive or cooperative relationships with other units) and proactive changes by the enacting unit (such as an attempt to expand a domain).

Once multiple instances of restructuring are documented, the presence or absence of temporal <u>rhythm</u> is then determined by comparing the derived metric scores (see Table 3) across the two or more cases. Similarly, the presence or absence of <u>periodicity</u> is determined by comparing the time lags between catalysts and appearance of elements. A final temporal measurement represents the total time the enacting unit was engaged in disaster related action. Involvement begins at the point of the unit's initial commitment to disaster related activities. It ends with the last documented action by the enacting unit. Just as with instances of restructuring, total time of involvement is measured in days and hours.

The previously discussed spatial properties are measured in the following way. Location refers to the geographic locus of the unit

during the restructuring: in this study within the political boundaries of the locality, county, or state. <u>Dispersion</u> is a measure of the number of specific sites of a unit's action during a restructuring: in this study one site, two to four sites, or five or more sites. Where there were multiple instances of restructuring, <u>pattern</u> then measures the consistency or inconsistency of <u>location</u> across multiple cases. In like derived fashion, <u>uniformity</u> measures the similarity or dissimilarity of dispersion across multiple cases.

#### Enacting Unit Chacteristics

Five variables reflect closed system characteristics of the enacting units. First, because those units providing services to disaster victims were both mental health and non-mental health related, the former is distinguished from the latter. Second, the size of the enacting unit is measured as an ordinal scale: 9 or fewer members; 10-20 members; 21-50 members; 51-100 members; and more than 100 members. An ordinal scale is used because finer distinctions could not be made from archival data for many units in the study. Third, the number of subunits is a general measure of the structural complexity of the enacting unit. Fourth, the number of tasks (during the disaster) is a general measure of the formalization of the enacting unit. Fifth, the presence of conflict (overt disagreement) within the enacting unit about what it was doing at any point during its response is represented as presence or absence (yes or no).

Four variables reflect open system characteristics of the enacting units. First, those cases where the motivation to restructure came from other units are distinguished from those where the restructuring was

internally generated. Second, the complexity of the social environment of the enacting unit is measured as the number of units in its social network during restructuring. Note that such linkages involved various combinations of local, state, and national organizations. Note also that the total number of linkages could vary across multiple instances of restructuring by the same unit. Third, the presence of conflict (overt disagreement) between the enacting unit and at least one other unit about what it was doing at any point during its response is represented by a dummy variable (yes or no). Fourth, a determination of whether the response was beneficial for the enacting unit was measured by status enhancement. Specifically, if the restructuring resulted in an increase in status—as communicated by units in its broader social network of the enacting unit—it was so designated.

#### DESCRIPTIVE FINDINGS

A total OF 57 cases of restructuring (enacted by 22 social units) have been reconstructed from archival descriptions of the delivery of mental health services to victims following the tornado. Table 4 arrays the 57 cases by form type (see Table 1) and derived metric score (see Table 3). Note that 28 percent (18/64) of the logically possible forms of restructuring have been located at least once. This includes 3 of 4 one-element forms, 6 of 12 two-element forms, 3 of 24 three-element forms and 6 of 24 four element forms. The marginal distributions indicate that over two-thirds of the cases are one- (N=25 or 44 percent) or two- (N=13 or 23 percent) element forms of restructuring.

Table 4: Distribution of the 64 Forms of Restructuring

Metric		+4	+4	0	+2	+2	0	0	+2	+2	0	+4	+4	4-	-4	0	-2	0	-2	0	-2	0	-2	-4	-4	
	Freq	7	ı	٦	႕	1	ı	٦	1	ı	ı	ı	ı	ı	ı	Н	1	1	ı	ı	1	i	٦	ı	1	12
	Form	DTRA	DTAR	DRAT	DRTA	DATR	DART	TRAD	TRDA	TADR	TARD	TDRA	TDAR	RADT	RATD	RDTA	RDAT	RTDA	RTAD	ADTR	ADRT	ATDR	ATRD	ARDT	ARTD	24
Metric		+5	+2	-1	+3	+3	٦-	7	+3	+3	-1	+2	+2	<b>ا</b>	ព	+1	<del>۔</del>	+1	<b>-</b> 3	+1	၉	+1	၅	ا ت	ا ت	
	Freq	ı	1	ı	ı	1	ı	ო	1	1	ı	ı	1	ı	ı	1	1	1	ო	1	ı	1	~	ı	1	7
	Form	DTR	DTA	DRA	DRT	DAT	DAR	TRA	TRD	TAD	TAR	TDR	TDA	RAD	RAT	RDT	RDA	RTD	RTA	ADT	ADR	ATD	ATR	ARD	ART	24
Metric	Score	+7	<del> </del> +	+1	<b>-</b>	+ +	+7	-7	7	-	7	٦-	-7													
	Freq	ı	-	ı	Н	Н	1	9	i	1	1	-	က													13
	Form	DŢ	DR	DA	TR	TA	ΩĮ	RA.	RD	RI	AD	ΑT	AR													12
Metric	Score	+4	+4	4-	4-																					
	Freq	!	-	27	m																					31
	Form	Q	H	æ	A																					4

Mean Metric Score Median Metric Score

(49.0%)	(21.08)	(11.08)	(19,0%)
N=31	N=13	N= 7	N=12
One-Element Forms:	Two-Element Forms:	Three-Element Forms:	Four-Element Forms:
4	12	24	24
of	of	of	of
က	9	က	9

four-element forms represent 21 percent (N=12) of the cases. It is evident from the table that the order or patterning of the elements points to administrative rationality when 1-3 elements are involved (41 of 45 cases have negative scores on the derived metric); and to substantive rationality when all 4 elements are involved (8 of 12 cases have positive signs on the derived metric). Recall that pure balance between substantive and administrative rationality (a score of 0 on the derived metric) is possible only at the four-element level of form. Three of 12 cases reflect pure balance as defined by the metric. Overall, administrative rationality prevails in this sample of 57 cases. This is evidenced, in part, by the tendency toward fewer elements restructured and the mean and median scores on the derived metric (mean of -3.5 and median of -4). But one must be careful not to overstate the implications of this finding. Many of the cases show both means- and ends-based restructuring taking place. This strain toward balancing of administrative and substantive rationality is evidenced also by lower (positive or negative) scores on the derived metric. And as will be shown below, much of the means-based restructuring which occurred was based on emergent rather than established ends.

With respect to the <u>timing</u> of restructuring, the elapsed times between catalysts and appearing elements are as follows: The mean time lag between catalyst and first appearing element is 6 days-7 hours, while the median score is 1 day-5 hours (range of 1 hour to 63 days). The distribution therefore points to a considerable degree of positive skewness, with the bulk of the cases (42) piling up below the mean. Where two to four elements are restructured, the mean time lag between

first and second element (N=32) is 4 days-3 hours, (median of 1 day and a range of 1 hour to 37 days); from second to third element (N=19) is 4 days-16 hours (median of 8 hours and a range of 1 hour to 70 days); and from third to fourth element (N=12) is 7 hours (median of 1 day-12 hours and a range of 1 hour to 17 days. The average total time of restructuring (N=57) is 10 days-19 hours (median of 4 days-10 hours and range of 8 hours to 81 days-12 hours). The average total time of involvement in disaster related activities by the 22 identified units was 162 days-17 hours (with a median of 63 days-12 hours and a range of 4 days-12 hours to 548 days). An overall indication of positive skewness is therefore reflected in the data, with much restructuring taking place within the relatively narrow time window of the immediate emergency period.

With respect to the two basic spatial dynamics of form, <u>location</u> and <u>dispersion</u>, 51 percent (N=29) of the instances of restructuring were enacted by units located in the impacted community and 49 percent (N=28) were enacted by units located at the county level (location). Fourteen percent of the restructurings (N=8) were confined to a single site, 18 percent (N=10) involved 2-4 sites, and 68 percent (N=39) involved 5 or more sites (dispersion).

What does the data on restructuring mean in summary form?

Consistent with much contemporary research on organizing in non-disaster settings, the data suggest that restructuring following disaster tends to take place within the constraints of antecedent ends. As will be illustrated below, these ends may be either established prior to the event or emergent. When ends are established and relatively fixed,

administrative rationality prevails. Means-based restructuring implies considerable clarity about what the organization is trying to do and how. We conclude that organizational routines serve the unit well in everday as well as unusual circumstances by enhancing predictability about what is going on. But when ends are emergent—and the data suggests that this is a frequent occurrence—a more thoroughgoing restructuring of means and ends is undertaken. Here the actor innovates to enhance the performance of the unit. Perhaps a problem is being anticipated. Or maybe a problem is sufficiently telling that it can no longer be overlooked (Starbuck, 1983). In either case, the evidence indicates that often previously fixed ends are altered early in the process of restructuring. In this circumstance substantive rationality is evidenced; perhaps there is less collective clarity about what the organization is doing; but the result may be greater adaptiveness to changing circumstances.

In addition to the dynamics of administrative and substantive rationality, the timing of element changes during restructuring is an analytically distinct characteristic of form. The data indicate that, on the average, time lags between catalysts and element changes tend to decrease as more elements come into play. This means that with 2-4 element restructurings, the appearance of change in the first element is more tentative than what comes later. Note that the units in this presumed mental health delivery system had little or no disaster experience. This lack of experience implies that the people involved were uncertain about the proper courses of action. Consistent with collective behavior theory (e.g., Turner and Killian, 1972), the

resulting timing of element changes points to symbolic milling when routines are disrupted and collective attempts to reduce ambiguity. But whether it is existing or emergent ends that reduce uncertainty, once restructuring is underway a kind of structural momentum is created. This momentum is important following disaster because there is, indeed, pressure to get things done. In summary form at least, the timing of restructuring evidences that pressure. What takes place points to the relevance of both innovativeness and structural inertia.

With respect to spatial dynamics of form, the data on location conform to the historical pattern in the United States that disasters are community events and treated as such. Thus social units in close proximity to impacted areas respond quickly to local needs because there is a clear expectation that they will do so. This expectation is enhanced here by the fact that, although highly destructive, tornadoes tend to have a relatively narrow scope of impact (Dynes, 1970). On the other hand, the data on dispersion suggest that within a relatively circumscribed community response, victim services tended to be provided at multiple rather than single locations. The evidence points to an outreach approach to perceived victim needs.

The dynamics of administrative and substantive rationality, time, and space will now be illustrated by several case descriptions from the data file of 57 cases. Four examples involving 1, 2, 3, and 4 element forms of restructuring will be described and discussed. This will be followed by a description and discussion of one example of multiple restructurings by a single unit. In developing the latter example, two additional summary tables (Tables 5 and 6) which distinguish single from

multiple restructurings will be presented and their implications highlighted with respect to the derived temporal (rhythm, periodicity) and spatial (pattern, uniformity) characteristics of restructuring.

One-, Two-, Three-, and Four-Element Forms of Restructuring

Restructuring: One-Element Form - (A)
Enacting Unit: Multiple County Mental Health Agency

## Case Description

The catalyst for this instance of restructuring was the tornado. Response to the event was initiated by the director of a mental health unit. The unit had extensive contacts prior to the disaster with six local organizations, some mental health related and some not. The enacting unit was not physically located in the impacted area, but in an adjoining county. It maintained a small professional staff (10-15) and over 100 regular volunteers. The unit's multiple county domain was implemented by three core sub-units: one representing each associated county. The unit's task structure was comprised of educational services, direct volunteer services, community mental health, advocacy, advisory services, and administrative services. Even as it responded to the event, the unit maintained its routine activities. During restructuring, the enacting unit was linked with six other units. These relationships had been established prior to the disaster and no new contacts were made as a result of the event.

The restructuring which occurred is judged to be an A form in Kreps' taxonomy (see Table 1). Its score on the derived metric is -4, pointing to administrative rationality. The restructuring began the morning following the disaster, some 14 hours post-impact. The total

time of restructuring was another 14 hours and was enacted from one location. The total time of involvement of the unit in disaster related activities was 13 days-2 hours. Further details on the restructuring are as follows.

The director believed that his agency should offer assistance to those groups and organizations in the impacted community with which it maintained contacts on a routine basis. In contacting these units, his professional staff found that they had been unable to develop plans for dealing with the consequences of the event. Accordingly, the staff felt that they could assist in this situation by establishing contacts, times and places for planning meetings. Such actions were a part of the normal functioning of the agency, but now redirected specifically to the tornado event. Activities (A) were being restructured by the next morning, while the remaining elements of organization remained fixed. Over a period of about 14 hours contacts were made, and times and locations for possible meetings involving member organizations were arranged. The ostensible purpose of the meetings was to develop plans for responding to the needs of victims. By arranging these meetings, the staff felt that they were responding in an appropriate manner and, in so doing, taking some of the pressure off local units in the impacted The initial meeting was set up for the Monday following the disaster. At that time, the professional staff turned over all information they had on the event and meeting arrangements that they had been involved in. Subsequent meetings were carried out in various locations, with the focal unit continuing to assist in their arrangement. After about 13 days, the focal unit ceased disaster

related activities altogether. At least in part, this was a function of opposition to the enacting unit's disaster related activities.

Specifically, conflict arose over the agency's role in arranging the meetings. The conflict was of an overt nature and came from the local groups and organizations that were being assisted. Many members of these latter units expressed the idea that it was not the place of an outside agency to impinge on their areas of responsibility. Many felt that the meetings were not developed with their interests in mind and that they were not properly consulted in the arrangements of meetings. The end result of this opposition was that the director of the agency indicated that his staff would stop making arrangements unless specific requests were forthcoming. In the absence of such requests, the unit ceased all disaster related activities shortly thereafter. professional staff expressed the thought that what they were doing was worthwhile. While local groups and organizations lacked consensus on the usefulness of arrangement activities, representatives of several county, state, and federal agencies agreed that the actions of the multiple county mental health agency were beneficial. They stated that the speed with which the unit responded was central in the establishment of victim services.

## Case Discussion

As described, the above case description points to a one-element form of restructuring involving activities (A). There is a strain toward administrative rationality and this is reflected by (1) the logic of the metric score (-4), (2) the rapid involvement of the agency, (3) the fact that the restructuring was a simple extension of organizational

routines, and (4) the expeditious manner in which efforts led to early results. At the same time, however, what amounted to a very efficient response led to overt opposition from the groups and organizations ostensibly being assisted. Alternative courses of action--including doing nothing for a time--appeared not to be considered. And certainly the opposition that ensued was not anticipated by the professional staff. Responding to opposition only after it became overt, the result was the suspension of a coordination role soon after it began.

One heuristic and potentially practical value of the taxonomy is that it provides a way of characterizing alternatives to what actually took place. In other words, the restructuring could have been enacted in a different way and the taxonomy shows how. For example, the manner in which meetings were arranged (T) might have been altered to accommodate the massive disruption of the early emergency period. One benefit might have been a greater <a href="balance">balance</a> of administrative and substantive rationality. But one cost might have been that the process of getting something going was unnecessarily delayed in the face of pressing victim needs. An implied disaster management objective appears to be as follows: seek a balance between administrative and substantively rationality while avoiding any trade-off in meeting victim needs in a timely manner.

Restructuring: Two Element Form - (A-R)
Enacting Unit: Religious Social Services Organization

## Case Description

The catalyst for restructuring was the disaster event. Response by the unit began much later than that presented in the previous case

description. The delayed response was, in part, a function of the unit's distance from the impacted area. The unit was not located within the immediate three county area; and while it had previous contacts with the impacted community and surrounding county because they were a part of its formal service area, these contacts were not sustained on a regular basis. The precise size of the unit was not indicated in the archives, but its broad range of routine activities included marriage counseling, family counseling, child welfare, foster care, adoption, and parish services. These activities were performed by both paid professionals and large numbers of volunteers. During the course of its response to the disaster, the organization maintained links with two units in the impacted county and one unit in the impacted community.

The restructuring which occurred is judged to be an A-R form in Kreps' taxonomy. Its score on the the derived metric is -7, pointing to administrative rationality. The restructuring began about 21 days following the disaster, with the total time of restructuring being about 58 days. The total time of involvement for this unit was 548 days. Elapsed time from first to second element was 37 days. This means that the unit was still functioning in the impacted community at the time of the completion of the study by DRC. The activities of the unit were dispersed over more than five sites. As described in the archives, the restructuring occurred in the following way.

On the twenty-second day following impact a paid professional staff member--one who had experience working with disaster victims--was sent to the impacted area with instructions to assist disaster victims in any manner deemed appropriate by local authorities. The organization's

activities (A) were therefore being restructured, albeit slightly because of the disaster, and within routine guidelines of providing service where there was need. Indeed, prior to sending the professional to the impacted area, there was a formal determination that the organization would not overstep specific requests for help by local authorities. Because those requests were forthcoming, the staff member was then advised to select a permanant facility for the unit's activities. A permanant office for the unit was established some 30 days after the staff member had entered the community (R). This is judged to be a modest restructuring of resources, yielding an A-R form from Kreps' taxonomy. The data suggest that a major problem in this regard was a shortage of physical space. But once the office was set up, the professional staff person used it as base of operations for providing the organization's traditional services. The services were implemented at local parishes, schools, and the Red Cross. continued over a period of DRC's 18 month study and were formally funded for a minimum of another 7 months.

## Case Discussion

In effect, the enacting organization made a commitment to provide services, but within the constraints of a previously defined domain and tasks. Thus the ends of organization were not restructured in any way and the restructuring of means was modest. The enactment also evidences a formal decision that local prerogatives would not be overstepped. In contrast to the previous case description, one result of this decision was that no opposition developed over what the unit was doing. The involvement of the unit in disaster related activities was characterized

by others as helpful and in no way detrimental.

The means-based restructuring which took place falls at the extremes of administrative rationality (metric score of -7). The case shows that administrative rationality does not necessarily equate with speed--certainly many of the unit's services could have been provided much earlier--but also with caution and the perceived neccessity of fitting in. The restructuring was a smooth but not necessarily a timely and sufficient one. On the positive side, the unit's activities were sustained and valued by others. On the minus side, the pragmatism was arguably inefficient. Not only did the unit respond rather late, but it then took several weeks to find an office because of the limited number of physical locations available.

By the logic of the derived metric, substantive rationality was not relevant to this restructuring. This lends support to the conclusion that structural inertia is not necessarily maladaptive. But once again, Kreps' taxonomy provides ways of characterizing not only what did happen but what might have been. For example, because of the substantial resources it could draw on, the unit's response could have begun much sooner and been more elaborate than it was. But to do either would undoubtedly have involved a greater restructuring of organizational routines as well as the manner in which services were delivered on site. In that event, tasks (T) would have been restructured, and perhaps very early in the process, thus pointing to substantive rationality. In considering the proper and longer-term goals of the unit, its members did not consider short term goals of disaster victims. Yet given the nature of disaster response in the United States--and the apparent

knowledge of it by the social unit--a case can be made that the course of action taken was a suitable one.

Restructuring: Three Element Form - (A-T-R)
Enacting Unit: Counseling-Intervention Agency

# Case Description

The catalyst for this instance of restructuring was the tornado. Response to the event was initiated by members of the enacting unit. The unit was physically located in the heart of the impact area and its pre-disaster domain was related to mental health. The unit had five full-time professionals and at least 45 registered volunteers. While the archives provide no indication of a breakdown of the organization into specific subunits, the agency's formally stated tasks included advocacy programs, drug education, drug treatment, suicide prevention, dating guidance, family intervention, individual intervention, V.D. counseling, birth control counseling, abortion counseling, and volunteer counseling. The unit also maintained a hot-line service. The hotline service operated on a small scale and was not defined as a major function of the unit. As a mental health agency, the unit maintained a large number of linkages with other groups and organizations prior to the disaster. Most were at the local level (18), but there were several at state (3) or national (3) levels as well.

The restructuring which occurred is judged to be an A-T-R form in Kreps' taxonomy. Its score on the derived metric is -3, suggesting the greater relevance of means as opposed to ends-based adaptation. The restructuring began 1 hour following impact, and the total time of restructuring was 13 hours. Elapsed time from first to second element

was 4 hours, and from second to third was 8 hours. While the unit's pre-disaster location was the locus of activities during and after the restructuring, the activities were dispersed over many sites (damage areas and other responding units) during the immediate emergency period as well as later. The total time of involvement of the unit in disaster related activites was over 217 days. This initial restructuring was one of several enacted by the unit (the rest will be described later as parts of a multiple restructuring example). It was enacted as follows.

The unit began responding to disaster related information needs shortly after impact (1 hour). By chance, it was one of the few units in the immediate impact area that maintained telecommunications. That, combined with public knowledge of its hotline service, resulted in the staff receiving phone calls related to the disaster (A). The volume of calls was quite high and there was initial uncertainty about what do about them. The result was a decision to suspend all routine activities and to develop a new division of labor related to monitoring calls, referring requests or offers of assistance to the proper authorities, and transmitting information by phone or by messenger (T). The focus of the initial message service related to maintaining linkages among mental health agencies. Members of the unit then recruited additional volunteers because they were needed to provide the expanded service (R). The development of what amounted to small scale message center and referral service during the emergency period took less than one full The service remained in operation for about two weeks, at which time most of local telecommunications had been restored.

## Case Discussion

The above example again reveals a strain toward administrative rationality (-3 on the metric), the speed of the response was a function of a perceived need, the closeness of the unit to areas of damage, and the fact that the actions taken were an extension from organizational routines. But there is also evidence of ends-based restructuring playing a key intervening role. Thus routines were suspended, a new divison of labor was created, and this division of labor required a restructuring of resources. What took place is a good example of Durkheim's quotation at the beginning of this paper: the continuity and discontinuity of human action in social structure. And the greater balance between substantive and administrative rationality evidenced appeared to enhance both the efficiency and effectiveness of the unit. The restructuring of ends led to a focused information service. But the constraint of both old and new ends provided the knowledge and logic needed for using resources in an appropriate and timely manner.

Interestingly, prior to the disaster the counseling-intervention agency had been involved in a debate over the adequacy of its staff and volunteers for implementing a mental health domain. This had resulted in some opposition to the operations of the agency, particularly by an oversight board to which the unit was responsible. Because of this opposition, the members of the enacting unit had begun negotiating for a merger with another mental health unit. The merger was to have taken place at the end of the year. As a result of a favorable response to the agency's disaster related activities by its proposed partner to the merger, a joint decision was made that the merger should be moved ahead

by six months. This initial restructuring was part of a series that enhanced the credibility and status of the enacting unit.

# Restructuring: Four Element Form - (D-T-R-A) Metropolitan Religious Association

# Case Description

The disaster event was the catalyst for this instance of restructuring. The director of the association initially offered the resources of its member units to a variety of local and regional organizations. The Red Cross was the first organization to respond to his offer by requesting the development of a food service system. central office of the association was located in an adjoining county to that of the impacted community. The association had 5 full-time professionals and several hundred volunteers from 15 member churches. Its formally defined regular tasks were as follows: campus ministry, church missions, criminal justice, cable television, political action, mental health, public education, senior citizens programs, hospital referrals, housing, chaplin services, social service programs, tax guidance, and membership advocacy. The association normally maintained numerous contacts with local, regional, and national organizations. During the restructuring and the events which followed, the association was linked with 18 local, 3 state, and 3 national organizations.

The restructuring reported here is judged to be a D-T-R-A form in Kreps' taxonomy. Its score on the derived metric is +4, suggesting that substantive rationality was at work. The restructuring began one hour following impact, with the request by the Red Cross to establish a food service system. The total time of the restructuring took 4 1/2 days

and, once implemented, the food services were provided for approximately two weeks. Elapsed time from catalyst to first element was 1 day-5 hours, from first to second element 3 days, from second to third 4 hours, and from third to fourth 1 hour. Although the food was served at a single site, tasks related to buying and preparing the food were performed at many other (more than 5) locations. This initial restructuring was one of several by the enacting unit. The unit was still involved in disaster related activities at the completion of DRC's study (18 months from the disaster event).

Almost immediately following the disaster, the director of the association told his professional staff to begin offering the assistance of the association -- and without specifying any restrictions -- to a number of groups and organizations in the impacted community. The following morning the Red Cross made the request for the development of a food services system under association auspices. Speaking on behalf of the association, the director agreed to take on this responsibility. Because the association had never been involved in this sphere of activity before, the formal acceptance of the responsibility involved a restructuring of the association's domain (D). Focused efforts were then made to develop a new task structure related to the planning of nutritious meals, the purchasing, preparation, and serving of food, the maintenance of proper sanitation, the location of facilities, and the mobilization of volunteers from member churches (T). Following the development of a workable set of tasks, the mobilization of the necessary resources to get started took place (R). As stated above, the system was fully operational some 4 1/2 days after the restructuring

began, and was suspended about two weeks later. The archives provide no evidence of either internal or external conflict related to the development or implementation of this food service system. The membership judged the service to be of value and consistent with the goals of the association. The association's work was judged to be of great assistance by other social units.

# Case Discussion

In contrast to the second example, the enacting unit made a commitment to provide disaster related services, but not necessarily within the constraints of a previously defined domain. Thus the change of ends--and substantive rationality--was critical for what took place; and all four elements of organization were involved in the restructuring. The first element implicated was domain (D). The director and his staff were innovating, yet within a general value system that supported helping behavior. A strain toward substantive rationality continued with the restructuring of tasks (T). Once collective representations of what was to be done and how were crystalized, means based restructuring took over.

Following the restructuring of ends, the unfolding events give the appearance of inevitability that is consistent with the earlier example of fixed ends and administative rationality. But the unit might not have been able to pull off the reorganizing. For example, members of the association might have rejected the new domain or tasks. Things could have fallen apart at the level of resource mobilization. Or it could have been later determined that the service was not needed. An implied value of Kreps' taxonomy is that it provides a way of describing

failed as well as successful attempts to create (origins) or restructure organization. Moreover, it implies that in some other type of disaster, the food service system might emerge very differently while being no less organized (Kreps, 1985). In this example, the demands of efficiency and effectiveness appeared to be equally well-served. The unit improvised and in an orderly fashion. The example points to an important paradox. The actor is subject of structure just as his response is order driven. Or as Durkheim and Weber might put it, people are creating structure but are impelled by ultimate values. The values which motivate them are external as well as internal (Alexander, 1982).

# Multiple Restructurings by a Single Unit

In this section an example of multiple restructurings by a single unit will be described. Following this description two additional tables (Tables 5 and 6) will be presented. The tables will be used to summarize findings on multiple restructurings and the derived temporal (rhythm, periodicity) and spatial measures of form (pattern, uniformity). Table 5 arrays the 57 instances of restructuring by enacting unit. In cases of multiple restructurings by the same unit, the forms of restructuring are arranged in chronological order. Table 6 depicts the 57 instances of restructuring with respect to time lags from catalysts to the first appearing element and any subsequent elements involved in a restructuring.

# Multiple Restructuring: A-T-R, A-T-R-D, T-R, T-R-A, R, R-A, D-T-R-A Enacting Unit: Counseling-Intervention Agency

## Case Description

The enacting unit and the initial restructuring are the same as that described earlier as an example of a three-element form of restructuring (A-T-R). The description and interpretation of that restructuring will not be repeated here. Rather, subsequent events will be described as they unfolded from the completion of the initial restructuring. Recall that the unit adapted initially by providing a message service immediately following the event. The service continued for about two weeks or until telecommunications were restored. catalyst for the second restructuring was the result of an issue that developed very early following the initial restructuring. The resulting second restructuring is judged to be an A-T-R-D form in the taxonomy. Its score on the derived metric is -2, indicating a slight strain toward administrative rationality. The catalyst appeared 20 hours after the disaster event (6 hours from initial restructuring). The restructuring began about 7 hours after the catalyst and took about 2 days to complete. Just as in the case of the first restructuring, unit activities were dispersed over more than five sites. Elapsed time from element one to two was about 4 hours; from two to three was close to 8 hours; and from three to four was 1 day.

The catalyst is expressed in the archives as concern by the staff and volunteers about the adequacy of the messages being transmitted and, more importantly, their felt need for a broader scope in the agency's emergency activities. The message service--which had taken about 14 hours to set up--was focused on linking mental health units, with this

agency playing the role of conduit. But as a function of their concerns, the members of the agency began to expand that role within hours. (Note that we are dealing with the immediate emergency period and the enacting unit was located in the heart of the impact area.) Their innovativeness led ultimately to a legitimated emergency communications and coordination domain. Rather than simply receive and transmit messages from mental health units, the staff and volunteers began actively seeking timely information from a variety of groups and organizations on the status of the emergency and pressing needs. Some members began to coordinate meetings, locations, or other activities for many responding individuals, groups, and organizations. In effect, the scope of activities expanded in size, with the unit serving firms, hospitals, public bureaucracies, and social service organizations (A). With the rapid development of these activities a new task structure quickly emerged to monitor, control (and not lose), distribute, and use the many bits of information that were being processed (T). This expanded tasks structure then called for still additional volunteers who were recruited over the next several hours (R). About a day later, the legitimation of the agency's communication and coordination activities was evidenced by the many units working with it (D). The agency maintained this domain for some 14 days (16+ days after the event and the effective end of the immediate emergency period).

The third restructuring is judged to be a T-R form in the taxonomy.

Here the metric score is +1, revealing a slight strain toward

substantive rationality. The catalyst was concern expressed by the

professional staff about the appropriateness of volunteers for handling

the expanded responsibilities of the agency. The concern was evident some 12 hours following the end of the second restructuring (3 1/2 days from the disaster event). The volume and diversity of information and requests for action were quite high (about 1200 calls per day at its peak). It was stated that while the volunteers were doing a good job, the staff sought greater continuity by having people who could work longer hours and who had certified managerial skills. In effect, the staff redefined respective roles of professional and volunteer during the emergency--asserting the prerogatives of the former and restricting those of the latter. The result was a redefinition of tasks to reflect professional requirements (T). This was accomplished in about 12 hours. The staff then recruited and hired two additional full-time professionals (R). The length of and funding for employment were not clear at the time of hiring. In any case, that part of the restructuring took about one day. The total time of restructuring was 1 1/2 days (completed 5 1/2 days following impact). Unit activities continued to be dispersed over more than 5 areas.

The fourth restructuring is judged to be a T-R-A form in the taxonomy. The derived metric score was -1 indicating a slight strain toward administrative rationality. The catalyst was an innovative idea for handling the volume of communications flowing into and out of the agency. The idea, which was circulating almost immediately following the hiring of two new professionals (5 1/2 days post-disaster), was a suggestion to develop a regular community information sheet. Elapsed time from catalyst to the first appearing element was 12 hours; from first to second one hour; and from second to third one hour. The total

time of restructuring was therefore 14 hours (some 6 1/2+ days following impact).

The staff had been using volunteers as runners to gather and distribute information materials. Someone (it is not clear who) suggested that it would be helpful if a regular information sheet was developed for the community. The sheet could include information related to community needs and resources. For example, the sheet developed later included the names of stores, other business, and churches that were open, disaster relief sites, emergency loan sites, and mental health assistance sites. The restructuring began with a determination of what would be included on the sheet as well as various job assignments related to its production and distribution (T). Following that, things happened very quickly: with the assignment of staff and volunteers and the purchasing of necessary materials (R); then the actual onset of work related to the community information sheets (A). The agency sustained these activities for 30 days.

The fifth restructuring is judged to be an R form from the taxonomy. Its derived metric score is -3, indicating administrative rationality. The catalyst here was the previously stated hiring of the two full-time professionals (completed some 5 1/2 days post-disaster). The archives indicate that the new employees began working without a specification of length of employment and only the expectation that money would become available. About 12 hours following the completion of the fourth restructuring (7 days post-disaster), the agency received funding authorization from the state to fund the two additional professionals (retroactively) for 30 days (R). Although the archives

are not precise about timing, sometime during the firing-authorization interim, the agency director addressed the problem of funding and negotiated the 30 days additional salaries. The monies from state mental health authorities were then received one week later.

The sixth restructuring is judged to be an R-A form in the taxonomy, thus pointing to administrative rationality on the derived metric (-7). The catalyst for the restructuring was a suggestion by federal authorities that laypersons be trained as para-professionals to assist in aiding disaster victims. The suggestion was made at a meeting 14 days after the disaster event (6 days after completion of the proceeding restructuring). Elapsed time from the catalyst to the restructuring of the first element was 1 day; and from first to second element was 1 hour. Thus the total time of restructuring was slightly more than 1 day. As with all previous restructurings, the activities of the unit were dispersed over more than 5 areas.

This instance of restructuring began when members of the counseling-intervention unit responded favorably to the suggestion by assigning 2 members of its professional staff to train and debrief para-professionals (R). The activities (A) themselves began very quickly but did not disrupt normal routines. The routines themselves were re-emerging as the immediate emergency period was waning. The training and debriefing sessions required no more than one person-day per week of staff time. Unit members were involved in this activity for about three months.

The seventh and final form of restructuring in the series is judged to be a D-T-R-A form in the taxonomy. It receives a score of +4,

indicating a strain toward substantive rationality on the derived metric. The catalyst for this restructuring was criticism of the agency by its local oversight board. Prior to the disaster the oversight board had questioned the adequacy of both the professional and volunteer staff to perform mental health activities. This criticism was sustained over a considerable period of time. While the criticism noticeably subsided following the disaster, it reappeared about 88 days after the event (74 days after the completion of the last restructuring). This time the criticism served as a catalyst for the agency's merger with another local unit--in effect, expediting a process that was already under way. While the agency was still providing services at more than five sites, such services were associated with its routine functions rather than disaster related activities.

Approximately six months prior to the disaster, the agency had begun negotiations with another local mental health unit in the hope of merging. Some tentative plans had been made, but the proposed date of the merger was over a year away. As a result of its favorable evaluation of the agency's disaster related activities, the leadership of the proposed partner suggested that the merger should be pushed ahead by six months. A formal meeting between the partners to the merger took place one day after the catalyst. During that meeting the general framework under which the new unit would operate was determined (D). Then over a period of about 14-15 days job descriptions and assignments were negotiated and agreed upon (T). Late in the negotiations (last few hours), final agreements were reached on the location of the facility for the merger (the site of the unit being referenced here as

restructuring) and a necessary (slight) reduction of personnel (R). As depicted in the archives, the merger developed without conflict between the merged units and with acceptance of the merger by the oversight board. The new unit began operations about 17 days later. The resulting total time of restructuring was 32 days. The newly created unit was on-going at the end of the DRC study (18 months following the disaster).

## Multiple Restructuring Discussion

There were seven restructurings in the example presented above. The sequence of metric scores is as follows: -3, -2, +1, -1, -4, -7, +4. While 5 of the 7 restructurings evidence slight to greater degrees of administrative rationality, the mean score is -1.71. This measure of central tendency is apropos of the greater balancing of administrative and substantive rationality that is revealed by what happened. The pivotal point to recognize is that much of the means-based restructuring that prevailed was impelled by improvised rather than predetermined ends. Thus substantive rationality was relevant at strategic points in a continuing process. Without attention to the details of that process (Collins, 1981; Wallace, 1983), the subtle interplay of action and order would escape detection. Such detection supports the dialectical foundation of actor and unit in structure (Alexander, 1982; Rossi, 1983).

Actor as <u>object</u> of structure was crucial at several points to the enacting unit's rapid and arguably efficient response to pressing needs. Indeed, the absence of administrative rationality could have meant the downfall of the unit. That is to say, the archives suggest that too

much reflection and questioning about either old or new ends would have been maladaptive. But at the same time, the actor as <u>subject</u> of structure was critical for the viability of the unit in both the short-and long-term. In the final restructuring, the unit merged with another. From the perspective of the enacting unit, the restructuring is characterized as D-T-R-A. Paradoxically, death was at the same time birth. The restructuring of the old points to substantive rationality using the metric developed here to capture the <u>maintenance of organization</u>. The birth of the new points to goal oriented rational action using the <u>origins</u> metric developed previously by Kreps (1985). Action and order are mutually constituted in structure regardless of which system state is being referenced.

With respect to derived temporal characteristics of form, the sequence of restructurings in this case (see Table 5) evidences the relative absence of rhythm as expressed by either metric scores or the sequential ordering of the elements. Note that on purely logical grounds, the metric provides for the possibility of both balance between administrative and substantive rationality and rhythm. This empirical example implies an inverse relationship between balance and rhythm. On the other hand, the example suggests that balance and periodicity are perhaps related (see Table 6). Specifically, the evidence of some periodicity in lag times between catalysts and elements in the first six restructurings is a function of the compression of administrative and substantive rationality within the narrow time window of the immediate crisis. The last restructuring (the merger) took much longer. It

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Table 5 - Number and Forms of Restructuring by Enacting Units*	Forms	R (-4)  B (-4)  C -8-T-A (+2)  R (-4)  R (-4)  A-T (-1)  R (-4)  R (-4
Table 5 - Numb	Unit	2) Community mental hearth Group 4) Drug Encounter Group 5) Family Mental Health Unit 6) Family Mental Health Unit 7) Children's Service Group 7) Children's Headstart Program 8) Private Psychiatric Services 9) Public School 10) Youth Center 11) County Mental Health Association 13) County Mental Health Group 15) Local Inter-Church Group 16) Mental Health Foundation 17) Metropolitan Hospital 18) Multiple County Mental Health 19) Adult-Child Guidance Group 20) Counseling -Intervention Unit: 21) County Mental Health Board 22) Multi-Faith Church Group

\* Indicates restructuring described in text

Table 6 - Instances of Restructuring and Timing
 of Elements (Time Expressed in Days)\*

Total Time of Restructuring	42.50 4.50	1.61	26.50	58.50	4.17 4.50 4.50 7.00	8.80 2.00
Lag 4th Element		.04				2.00
Lag 3rd Element		.87				3.30
Lag 2nd Element		• 08	22.00	37.00	4.00	1.50
Lag 1st Element	42.50 4.50	.62	4.50	21.50	.17 4.50 4.50	4.50
Form	æ æ	DRTA R	RA	AR*	ARR R	DTR <b>A</b> RA
Unit	<pre>1) Community Mental     Health Group: 2) Local Church:</pre>	<pre>3) Drug Encounter Group: 4) Children's Service Group:</pre>	<ul><li>5) Family Mental Health Unit:</li><li>6) Religious Social</li></ul>	Services Children's Programs	8) Frivate Esychiatric Services: 9) Public Schools: 10) Youth Programs: 11) Child Guidance Center:	12) County Mental Health Association:

\* Indicates restructuring described in text

Table 6 - Instances of Restructuring and Timing of Elements (Continued)

Unit Type	Form	Lag 1st Element	Lag 2nd Element	Lag 3rd Element	Lag 4th Element	Total Time of Restructuring
13) County Hospital:	<b>፫</b> ፫	. 33				.33
14) County Mental Health Group:	DTRA	1.42	40.	40.	2.50	4.00
15) Local Inter- Church Group:	<b>K</b> K	2.50	•	•	) ) •	2 50
16) Mental Health Foundation:	R DTRA	.50	14.00	.08	16.92	.50
17) Metropolitan Hospital:	R DRAT RA	.50 1.50 2.50	2.42	• 04	. 04	.50 4.00 15.50
18) Multiple County Mental Health:	RA AR A*	4.50 .58	1.00			5.50 .91
19) Adult - Child Guidance Center:	TRAD DTRA DR R R	4.50 8.00 13.00 16.00	1.00	2.00	5.00	12.50 9.00 14.00 16.00 48.00

Table 6 - Instances of Restructuring and Timing of Elements (Continued)

Unit	it Se	Form	Lag 1st Element	Lag 2nd Element	Lag 3rd Element	Lag 4th Element	Total Time of Restructuring
20) Cou	Counseling Intervention Unit:	ATR* ATRD*	. 29	.17		1.00	.54
		TR* TR <b>A</b> * R*	.50 .50	1.00	.04		1.50 .58 .50
		RA* DTRA*	1.00	.04	. 08	16.92	1.04
21) Cou	County Mental Health Board:	R RDTA R R R R	7.00 13.50 1.50 1.00 63.00	2.00	.17	. 04	7.00 11.21 13.50 1.50 16.00 1.00 63.00
22) Mu]	Multiple Faith Church Group:	DTRA* RTA RA TRA AR RTA T R RTA TRA T	1. 1	3.00 1.00 .04 .50 .04 .04	8.00 2.00 1.00 70.00	• 4	81.00 1.00 1.00 1.00 1.00 1.00 1.00

occurred outside the time window of the immediate emergency period and at a time when routines were being re-established in a less pressured circumstance.

With respect to derived spatial characteristics of form, no summary table is needed for this or, as will be indicated below, the remaining multiple restructurings. The evidence points to both pattern in location of the unit and uniformity in dispersion of the sites of unit activities during restructuring. However, neither finding is a foregone conclusion in the circumstance of disaster. A unit's location can be damaged or destroyed by primary or secondary impacts. And in the case of the merger in this example, the facility of the new unit need not have been that of the counseling agency. Moreover, the ostensible uniformity in the dispersion of activities is, to some degree, a function of the limits of the archival data. In this and the remaining cases, when activities were dispersed over more than 5 sites across multiple restructurings, the data are not fine-tuned enough to determine the outside parameters of the sheer number of sites or whether the sites themselves were constant. Certainly there was a great deal of uniformity from one restructuring to the next, but it was far from absolute.

In summary, the above enactment of multiple restructurings evidences a balancing of administrative and substantive rationality and, by implication, the relevance of efficiency and effectiveness criteria in emergency management. The former is grounded by speed of response. The latter is grounded by innovation in the face of changing circumstances. The case also evidences the lack of rhythm but

periodicity in the face of urgency. Finally, it evidences both pattern and uniformity, although the former was clearly at issue with the last restructuring and the latter is probably overstated because of the limits of the data.

Referring again to Table 5, it arrays all 57 cases by enacting unit and a subsample of 46 cases that were part of multiple restructurings by the same unit. The latter 46 cases are listed in chronological order and by sequence of metric scores. Units 12-16 had two restructurings, 17 and 18 had three, 19 had five, 20 (the previosuly described counseling center) and 21 had 7, and the 22nd had 11 (the 4-element form described earlier was the first of a series). Note that Table 5 does not represent the entire universe of restructurings from the event. It only represents those yielded by the archives. Thus any interpretation is constrained by the limits of the data.

Several of the shorter (3 or less) sequences evidence <u>rhythm</u> as depicted by element arrangment and metric scores (units 13, 14, 15, and 18). But with so few restructurings it is hard to tell what this means. Note that the longer sequences point to similar rhythm at points in the process, but only one case with more than 3 restructurings (unit 21) evidences rhythm overall. Of the five cases that show rhythm (13, 14, 15, 18, 21), all but one (14) point to a clear pattern of administrative rationality. And consistent with the earlier case description of the counseling unit, there is a noticeable absence of rhythm with a greater balance of administrative and substantive rationality. This is evidenced most pointedly by units 12 (metric mean of -1.5), 16 (metric mean of 0), 19 (metric mean of -.6), 20 (metric mean of -1.71), and 22

(metric mean of -1.82).

Table 6 arrays the same 22 enacting units by time lags between catalysts and appearing elements. Consistent with Table 5, the units are listed from single to multiple restructurings in an attempt to identify any periodicity in the multiples. The latter cases begin with unit 12 (a two restructuring sequence). Using unit 12 to illustrate the reading of the table, the time lag between catalyst and initial element is 4.5 days for the first restructuring and .5 days for the second restructuring. Reading to the right, the time lag between the first and second element is 1 day for the first restructuring and 1.5 days for the second restructuring. The element comparison stops at this point because the first restructuring involves all four elements and the second involves only two of them. The total time of restructuring was 8.8 days for the first restructuring and 2 days for the second. Note that the overall correlation between number of elements present and total time of restructuring is virtually zero (.03) for this sample of 57 cases.

What do the data on Table 6 suggest? Although periodicity is irrelevant to single element restructurings, note that 7 of 7 cases point to administrative rationality, but not necessarily to speed of response relative to the event (the initial catalyst). Moreover, two of the remaining four single restructurings also point to administrative rationality but neither speed nor periodicity. The last two cases (D-R-T-A and A-T forms) evidence <u>balance</u> of administrative and substantive rationality, <u>speed</u> in enactment of the first element, and some periodicity for the D-R-T-A case. Taken as a whole, the average

time lag between event and the first restructured element is 8.8 days for the 11 single restructurings and the average total time of restructuring is 14.6 days.

Now examine the multiple restructurings to see a couple of patterns that build on the above findings. The multiple restructurings for the remaining 11 units evidence both greater speed in the initial restructuring (mean of 2.5 days for the initial element restructuring and 4.4 days for the total time of initial restructuring) as well as balance between administrative and substantive rationality. Two tentative but important inferences about the timing of disaster response follow: first, administrative rationality does not necessarily enhance speed; and second, the longer it takes to restructure initially, the less likely will there be multiple restructurings.

The other major finding from the table is equally tentative but no less intriguing. It is highlighted most pointedly by the longer sequences of restructuring from units 20, 21, and 22. Recall from Table 5 that units 20 and 22 evidence an inverse relationship between balance of means-ends restructuring and rhythm. Table 6 then evidences what appears to be a positive relationship between balance and periodicity, at least until late in the process (as pre-disaster routines are being re-established). On the other hand, case 21 seems to show an inverse relationships between administrative rationality and rhythm on the one hand, and periodicity on the other. The implied contrasts are as follows: balance equates with speed and periodicity, but not rhythm; administrative rationality equates with rhythm, but not speed or periodicity.<sup>4</sup>

The thing to keep in mind here is that response during the immediate emergency period generally calls for speed, flexibility, and control in equal amounts. A balance between administrative and substantive rationality arguably meets this requirement. At the same time, the ways this unfolds are so contingent upon fluid circumstances that they are difficult to predicate as to their precise form from one restructuring to the next (low rhythm). Compare this to normal circumstances. Administrative rationality prevails in the absence of crisis because it increases clarity and predictability of organizational routines. Means are restructured with respect to fixed ends as contingencies come up. Because there is a certain randomness of these contingencies, routine restructurings are positively related with rhythm and inversely related with periodicity.

Multiple restructurings also inform the two derived spatial characteristics of structure. Once again, pattern refers to consistency-inconsistency of unit location across multiple restructurings. Uniformity refers to consistency-inconsistency in the dispersion of unit activities over multiple restructurings. The multiple restructurings by the 11 enacting units evidence substantial degrees of both pattern and uniformity. Only two of the units changed location and then only once. Both enacted three restructurings. These same two units also exhibited some inconsistency in the dispersion of activities. Each showed greater dispersion from first to second restructuring: with one decreasing again in its third restructuring; and the other sustaining more dispersed activities.

The location of a social unit is probably one of its most stable

features because it tends to be a fixed site and not changed without good reason. Change in the dispersion of unit activities is more readily accomplished. Still, neither change is particularly noticeable for this event. In part this is a function of the fact that many of the enacting units studied were propitiously located relative to impact, remained relatively free from damage, and had dispersed activities in pre- as well as post-disaster time periods. It is important to recognize, however, that timing, location, magnitude, and scope of impact have important contextual variables for any consideration of pattern and uniformity. The basic and derived spatial characteristics therefore should be pursued further because (1) modest variation was identified in this relatively circumscribed event and (2) there are many types of hazards that have very different characteristics of impact than that occurring here.

#### CONCLUSION

Disasters are useful contexts for studying process because catalysts for change tend to be pointed. Moreover, the time during which change unfolds can be measured within a relatively narrow period. The referent for change in this thesis is restructuring of organization. Restructuring is examined by using Kreps' structural theory and taxonomy as a framework for comparative study. The taxonomy points to four elements as discrete dimensions of structure. Domains and tasks are interpreted as ends of organization. Their restructuring empirically grounds substantive rationality. Resources and activities are

interpreted as means of organization. Their restructuring empirically grounds administrative rationality. The case materials describe the <a href="mailto:content">content</a> of restructuring during the maintenance state of organization. The derived metric describes the <a href="mailto:form">form</a> of restructuring as falling on a continuum of administrative to substantive rationality. The thesis also emphasizes the analytical importance and distinctiveness of temporal and spatial characteristics of restructuring.

# Major Findings

The theory and findings presented here suggest that there are many alternative but not an unlimited number of paths through which restructuring can occur. It should not be assumed that any one of the 64 forms is more analytically central than any other. Rather, it should be assumed that all forms are possible and that which forms appear is dependent upon the physical, temporal, and social contexts in which they occur. The majority of the cases documented here involve one (25 of 57) or two (13 of 57) elements; and only means are involved in the vast majority of these cases. This suggests that the degree of restructuring is very much constrained by either pre-existing or emergent ends--and administrative rationality. Substantive rationality is far less in evidence and seems to be associated with more complete (4-element) restructurings (12 of 57 cases). Adaptiveness is certainly evidenced by these cases and it is bounded by established or emergent means. Evidence of a balancing of substantive and administrative rationality is also quite noticeable in restructurings involving two, three, or four elements (32 of 57 cases). In sum, while strains toward either administrative or substantive rationality are documented, so too is a

strain toward a balance between them.

With respect to temporal characteristics, there is no correlation between the degree of restructuring (number of elements restructured) and length of enactment. There is evidence to suggest that the longer to takes to restructure initially, the less likely is there to be more of it. Forms of restructuring evidencing substantive rationality are the most infrequent. Accordingly, there has been reluctance to suggest even tentative interpretations of their temporal features.4 Forms evidencing administrative rationality are the most frequent, tend to show greater rhythm but less periodicity, and do not seem to be related with speed of response. Forms evidencing a balancing of substantive and administrative rationality reveal the absence of rhythm, the presence of speed, and some periodicity. The latter results, at least in part, from the fact that so much happened in a relatively short period of time.

With respect to spatial characteristics, with a few exceptions there was constancy of unit location (pattern) and dispersion of unit activities (uniformity). However, it should be emphasized that pattern is, in no small way, a function of the units selected for study in the original research and the type of event studied. And uniformity is overstated because there was imprecision about the number and specific sites of unit activities. It is felt that there are many insights about basic and derived spatial characteristics of structure waiting to be discovered. It is unfortunate, therefore, that far less progress has been made here in measurement of space as opposed to time.

## Final Comments

The emergency period of disaster demands improvised and rapid response with no trade-off between efficiency and effectiveness. The findings suggest that a balancing of administrative and substantive rationality increases the chance for this to occur. Too much administrative rationality and the unit suffers for lack of adaptiveness. Too much substantive rationality and the unit suffers for lack of clarity. The findings suggest also that it is difficult to predict how restructuring occurs because administrative rationality, substantive rationality, order, rhythm, timing, and periodicity are not simply related. The attempt here is to develop detailed descriptions about how these concepts relate, then derive interpretations that can later serve as testable hypotheses.

It must be kept in mind that this study is an initial attempt to capture the dynamics of restructuring with a theory that is itself in a preliminary stage of development. Thus, all findings must be viewed as tentative in nature. But even though the original DRC research was not done with this theory in mind, the archives provide the kind of data base that is essential for theory building. Simply put, little can be done without the details provided by the archives. What is needed at this point is primary data collection on the process of restructuring in different types of disasters. Certainly a much larger sample of multiple restructurings will be needed. And certainly the data production problems for studying process are major. But with the kind of focused design that is now possible, many of these problems can be overcome.

Continuing work with the theory is important for both theoretical and practical reasons. On the applied side, a balancing of administrative and substantive rationality is appropriately viewed as a goal toward which emergency management must strive. Concepts like efficiency and effectiveness will remain elusive. However, this study provides important insights about how they relate. Efficiency seems nicely wedded with a pattern of administrative rationality and rhythm. At the same time there is lack of periodicity because means change only as contingecies appear; and the latter occur in somewhat random fashion. There also may be loss of speed because of structural inertia. Perhaps catalysts do not generate response until they are re-defined with respect to fixed ends. In pure form, administrative rationality arguably threatens the unit. Although more speculative, effectiveness seems nicely wedded with substantive rationality because of higher sensitivity to catalysts for change. The flexibility that is implied seems the perfect antidote to unbridled concerns with efficiency. in its pure form, the unit arguably lapses into incoherence with substantive rationality.

A balance of administrative and substantive rationality seems the best of all possible worlds. Efficiency as well as effectiveness are enhanced and there is less trade-off between them. The quest for such a balance is a venerable nostrum in the management sciences. But as this theory and research shows, there are costs. In pure form, a balance of administrative and substantive rationality points to a complete transformation--and possibly the demise of the unit. But in that transformation or demise there is continuity between the old and the

new. Research on routine contexts suggests that administrative rationality prevails until such time as a crisis can no longer be overlooked (Starbuck, 1983). Research on disaster contexts--where crises are focused--suggests that the balance between administrative and substantive rationality is fleeting. The paradox of organization in either context is its strength and flimsiness (Collins, 1985). Studies of its basic processes brings this out.

There is an important lesson for applied management strategies.

Restructuring cannot be forced into particular forms or patterns. It is a part of a process that has a natural momentum of its own: one that can be explained; but one that is difficult to predict or control.

Previous research has shown repeatedly that rapid prosocial action is characteristic of disaster (Quarantelli and Dynes, 1977; Kreps, 1984).

What may appear as social disruption is better termed elemental forms of organizing to meet unusual demands. Thus emergency management planning must aim for flexibility. Trying to place restructuring within the confines of too rigid limits may well sacrifice efficiency and effectiveness, and lead to failure.

On the theoretical side, the dialectic of action and order is revealed by what Durkheim might call the becoming of organization. Thus, substantive rationality prevails in the context of established means. Administrative rationality prevails in the context of emergent ends. The actor is both object and subject of structure. The unit is both constraining thing and constructed process. The theoretical goal is to make this dialectic fundamental to both description and explanation of structure. To do so requires an appeal to both

qualitative content and quantitative form of human events. Such a goal is not newly stated here. What is newly stated is an explicit theoretical framework and research strategy for studying structure and process at the same time. Kreps (1985) earlier provided a theoretical framework. This thesis provides a research strategy for putting it to work.

#### **ENDNOTES**

- 1) The distinction between types of rationality informs traditional concerns in the management sciences about efficiency and effectiveness (e.g., Starbuck, 1983). A preoccupation with administrative rationality suggests that things get done more efficiently. Yet, overemphasizing the means of accomplishing defined ends might undermine the unit because of too rigid adherence to domains and tasks as inflexible givens. On the other hand, preoccupation with substantive rationality increases the chance of effective performance if there is a consensus among those who are enacting organization. However, when effectiveness becomes the sole focus of participants, domains and tasks are constantly being assessed and reassessed to the detriment of the unit. Thus as Kreps (1985b) suggests, the trade-off between efficiency and effectiveness must not be allowed to become a zero sum game.
- 2) The nomenclature of time and space developed here revises somewhat Wallace's (1983) earlier distinctions in order to enhance clarity in presentation of findings from this study. There is no question, however, that his time and space measures are well-represented in the research.
- 3) For a more detailed description of that program see Taylor, Ross, and Quarantelli, 1976.
- 4) Maybe an important exception here is that D-T-R-A forms tend to take longer than the remaining 4-element forms of restructuring (mean of 13.7 days for 7 D-T-R-A forms and 6.2 days for 5 remaining 4-element forms). The latter forms evidence a greater balancing of substantive and administrative rationality. In the absence of disaster experience--and in the face of a need for a complete restructuring--perhaps there are modest costs in time with pure forms of substantive rationality. Note also that there is one case of a sequence of two restructurings where both are D-T-R-A (unit 14 on Table 6). The pattern here is one of both rhythm and some periodicity.

# **APPENDIX**

# CODE BOOK

ITEM: Va	aria	ble label	COLI	UMNS
Case inc	dent	ification: CASEID	3	(1-3)
Event nu	ımbe	r: EVENTN	2	(4-5)
	1 2 3 4	EVENTTP = earthquake = tornado = flood = hurricane	1	(6)
Type of enacting organization:  ORG-TYP  1 = emergency relevant public bureaucracy 2 = other public bureaucracy 3 = emergency relevant volunteer agency 4 = special interest group 5 = private firms 6 = emergent groups of individuals 7 = emergent groups of other groups and organizations 8 = local military 9 = religious group 0 = uncertain  Description:				(7)
Organiza ACTN	1 2 3 4 5 6 7 8	n activity type:  = hazard-vulnerability analysis = maintenance of standby human and material resources = disaster preparedness, planning, and training = public education = hazard mitigation-structural = hazard mitigation- nonstructural = insurance = issuance of predictions and warnings = dissemination of predictions and warnings = evacuation	2	(8-9)

11	L =	mobilization of emergency personnel		
12	2 =	protective action		
13	3 =	search and rescue		
14	<b>+</b> =	medical care		
15	5 =	providing victim basic needs (problems in living)		
1 4	<b>.</b> _	damage and needs assessments and		
10	, –	<u> </u>		
1 -	, _	inventory of available resources		
		damage control		
10	) =	restoration of essential public services		
19	) =	public information		
		traffic control		
21	L =	law enforcement		
22	2 =	local governance		
		coordination and control (organization		
		of emergency personnel and resources)		
24	<u>'</u> =	reconstruction of physical structures		
		re-establishment of production,		
		distribution, and consumption activities	:	
		(economic functioning)		
26	5 =	resumption of other social institutions		
		determination of responsibility and		
		legal liability for the event		
28	3 =	meeting victim basic needs		
		other		
		uncertain		
Dobberg				
Specialize	ed o	domain type: SDOM1	2	(10-11)
		public education		· —
2	_	mental health delivery		
		service		
3	-	medical health care		
4	_	alcohol abuse services		
5	_	drug abuse services		
6	_	state hospital aftercare		
		programs		
7	=	day care service programs		
8		religious health care		
		programs		
9	_	child guidance center		
		suicide crisis center		
		institutional advocacy		
		services		
12	2 =	state and county		
		hospitals		
13	3 =	head start programs		
		churches		

<pre>15 = health and welfare</pre>		
agencies		
16 = senior citizens groups		
17 = other 99 = uncertain		
Tasks description:		
Resources description:		
Resources description.		
Activities description:		
Domain implicated: DOMI	1	(12)
1 = yes		
2 = no		
9 = uncertain		
Description:		
Tasks implicated: TASI	1	(13)
1 = yes		
2 = no		
9 = uncertain		
Description		
December 1 and 1 and 1 DRGT		(1/)
Resources implicated: RESI		(14)
1 = yes		
2 = no		
9 = uncertain		
Description:		

Activities in	mplicated: ACTI	1	(15)
1 = 2 = 9 =	yes		, , ,
	from initiation to	_	
restructuring	g of element 1: ETR-E1 number of days-hours 88888 = non-used element 99999 = uncertain	5	(16-20)
Elapsed time 1 to element	of restructuring from element  2: ETR-E2 number of days-hours  88888 = non-used element  99999 = uncertain	5	(21-25)
2 to element	of restructuring from element  3: ETR-E3 number of days-hours 88888 = non-used element 99999 = uncertain	5	(26-30)
Elapsed time 3 to element	of restructuring from element 4: ETR-E4 number of days-hours 88888 = non-used element 99999 = uncertain	5	(31-35)
Description:			

	restructuring El to end:		
TOT-RES		5	(36-40)
	number of days-hours		
	88888 = non-used		
	99999 = uncertain		
Description:_			
T	and the second of		
Form of restr	ucturing:	2	(41-42)
FOR-RES		2	(41-42)
1 = 2 =			
4 <del>=</del> 5 =			
5 <del>=</del>			
7 <del>-</del>			
8 =			
9 =			
10 =			
11 =			
12 =			
13 =			
14 =			
15 =			
16 =			
17 =			
18 =			
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21 =			
22 =			
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41 = dtra	
42 = dtar	
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49 = tadr	
50 = tard	
51 = tdra	
52 = tdar	
53 = radt	
54 = ratd	
55 = rdta	
56 = rdat	
57 = rtda	
58 = rtad	
59 = adtr	
60 = adrt	
61 = atdr	
62 = atrd	
63 = ardt	
64 = artd	
Description:	
Number of social links during	
restructuring: SOC-LK	2 (43-44)
number of links	
99 = uncertain	
Description:	
-	
Number of social linkages - local:	
LLINKS	2 (45-46)
number of links	
99 = uncertain	
Description:	
_	

Number of social linkages - county:	
CLINKS	2 (47-48)
number of links	
99 = uncertain	
Description:	
Number of social linkages - state:	
SLINKS	2 (49-50)
	2 (4)-30)
number of links	
99 = uncertain	
Description:	
Number of social links	
Number of social linkages - national:	1 /51
NLINKS	1 (51)
number of links	
9 = uncertain	
Description:	
Size of organization: ORGSZ	(52)
<pre>1 = 9 or less members</pre>	
2 = 10-20  members	
3 = 21-50  members	
4 = 51-100 members	
5 = 101 or more members	
9 = uncertain	
Description:	
Number of subunits in predisaster	
organization: PRE-SUB	2 (53-54)
number of subunits	
99 = uncertain	
, i who i duli	
Descriptions	
Description:	

Number of ranks in formal hierarchy:		
NUM-RKS	1	(55)
1 = 3 or fewer ranks in authority		
structure		
2 = 4 or more ranks in authority		
structure		
3 = not applicable		
9 = uncertain		
Description		
Description:		
Unit task structure:		
UNT-STR	2	(56-57)
number of tasks		
99 = uncertain		
Description:		
_		
Unit proximity to impacted area:	_	
UNT-PRO	1	(58)
1 = local		
2 = county		
3 = state		
4 = national		
<pre>5 = not relevent - emergent unit</pre>		
9 = uncertain		
Description		
Locus of pre-disaster activities: LOC-PDA	1	(59)
1 = local	-	(33)
2 = county		
3 = state		
4 = national		
5 = not relevent - emergent unit		
9 = uncertain		
Description:		

	f unit disaster experience:			
UNT - EXP		1	L (60)_	
	<pre>1 = no experience</pre>			_
	2 = limited experience			
	3 = moderate experience			
	4 = extensive experience			
Doc-===:	9 = uncertain			
Descript	ion:			
Unit pre	-planning: UNT-PLN	1	l (61)	
	1 = written program - regularly	•	\/	_
	updated regularly			
	2 = written plan - filed			
	3 = no written plan			
_	9 = uncertain			
Descript	ion:			
<del></del>				
Dianamai	on of food whit satisfies			
	on of focal unit activities-	4	1 ((0)	
pre-disa	ster: DIS-PRE	_	1 (62)_	_
	1 = concentrated in one area			
	2 = 2 to 4 areas			
	3 = 5 or more areas			
	9 = uncertain			
Descript	ion:			
		•		
	on of focal unit activities-	-		
post-dis	aster: DIS-POS	]	L (63)	
	<pre>1 = concentrated in one area</pre>			
	2 = 2 to 4 areas			
	3 = 5 or more areas			
	9 = uncertain			
Descript	ion:			
	· · · · · · · · · · · · · · · · · · ·			
Initiati	on of unit disaster response:			
UNT-IDR	on of unit disaster response.	1	L (64)	
ONI-IDK	1 = self-contained		(04)	
	2 = boundary spanning local			
	<pre>3 = boundary spanning state</pre>			
	4 = boundary spanning national			
	5 = boundary spanning (mixed stat	е		

7 8	<pre>and local) = boundary spanning ( mixed local   and national) = boundary spanning (mixed state   and national) = boundary spanning ( mixed local,     state, and national) = uncertain</pre>		
Descriptio	n:		
1	turing: DIS-LOC = municipality proper		(65)
3	<pre>= county proper = mixed municipality and   county = uncertain</pre>		
	n:		
activity:		1	(66)
2	<pre>= demand met, activities terminated = loss or depletion of human or material   resources</pre>		
4	<pre>= absorbtion of domain and tasks by another entity = task structure breaks down = uncertain</pre>		
Descriptio			
Total time	of disaster response: TTRES  number of days-hours  88888 = non-used  99999 = uncertain	5	(67-71)
Descriptio	n:		
V			

Response continuing at point of final interview: RES-CON  1 = yes 2 = no 9 = uncertain  Description:	1 (72)
Restructuring beneficial to focal unit:  RES-BEN  1 = yes 2 = no 9 = uncertain	(73)
Restructuring related to focal units specialized domain: RES-REL	1 (74)
<pre>1 = related 2 = unrelated 3 = partially related 9 = uncertain Description:</pre>	
Unit identification: UNID  1 = Health Foundation 2 = County United Health Foundation 3 = Child Counseling Center 4 = Metropolitan Hospital 5 = Drug Encounter Group 6 = County Mental Health Clinic 7 = Board of Education 8 = Community Mental Health Group 9 = County Welfare Department 10 = Local Church 11 = Public School 12 = Multiple County Mental Health Association	2 (75-76)

	Uncertain		
	Uncertain	T	
	No - four element symmetrical	1	
	Yes - symmetrical tendency Yes - four element symmetrical		
	No - three element non-tendency		
	No - two element non-symmetrical		
	Yes - two element symmetrical		
	No - one element form		
	metrically related: ELE-SYM	2	(77)
		•	(77)
Description:			
	Other		
	Disaster Outreach Group		
	Local Inter-Church Group		
	County Mental Health Board		
	Counseling-Intervention Unit		
	State Hospital		
	Senior Citizens Council		
	County Welfare Department		
	Senior Citizens Group		
	County Hospital		
	Association		
	County Mental Health		
25 =	Family Mental Health Unit		
	Group		
	Religious Social Services		
	Community Mental Health Center		
	Children's Service Group		
21 _	Planning Council College Health Care Center,		
20 =	County Health And Welfare		
	County Health Commission		
	Adult-Child Guidance Center		
17 =	Multi-faith Church Group		
16 =	County Mental Health Group		
	County Hospital		
	County Health Department		
13 =	Children's Head Start Program		

Logical	metric -	weighted	first	element:			
BMET						2	(78-79)
	1 = -5						
	2 = -4						
	3 = -3						
	4 = -2						
	5 = -1						
	6 = 0						
	7 = +1						
	8 = +2						
	9 = +3						
	10 = +4						
	10 - +4 $11 = +5$						
Donomina							
Descript	Tou:						
					-		
					•		
		<del></del>					
Togical	matwia			1	4.		
	metric -	non weigi	ited II.	rst elemen	<u> </u>		(00)
<u>JMET</u>	1 0						(80)
	1 = -2						
	2 = -1						
	3 = 0						
	4 = +1						
	5 = +2						
Descript	ion:	· · · · · · · · · · · · · · · · · · ·					
	·			· · · · · · · · · · · · · · · · · · ·			
	metric -	origins:					
GMET						1	(81)
	1 = -3						
	2 = -2						
	3 = -1						
	4 = 0						
	5 = +1						
	6 = +2						
	7 = +3						
Descript							
		· · · · · · · · · · · · · · · · · · ·			•		
					•		
			· , · · · · · · · · · · · · · · · · · ·		•		
					•		

Conflict within focal unit:		
CONFIN	1	(82)
0 = benign		
1 = conflictual		
9 = uncertain		
Description:		
bescription		
	_	
	<del>-</del>	
Conflict outside focal unit:		
CONFOUT		(83)
0 = benign		
<pre>1 = conflictual</pre>		
9 = uncertain		
Description:		
£	_	
	_	
	<del>-</del>	
	_	
Total time of focal units involvment		
in disaster related activities: TTINVO	5	(84-85)
	3	(84-83)
number of days-hours		
88888 = non-used		
99999 = uncertain		
Description:	_	
	_	
	_	
	-	
Agent for restructuring of focal unit:		
AGENT	1	(86)
1 = internal	_	, ,
2 = external		
9 = uncertain		
Description:	-	
	_	
	-	
	_	
	_	
Source for restructuring: SOURCE	1	( 87)
<pre>1 = collective</pre>		
2 = individual		
9 = uncertain		
Description:		
	-	
	-	
	_	
	_	

Derived logical metric: METRIC	2	(88-89)
1 = -7		· · · · —
2 = -6		
3 = -5		
4 = -4		
5 = -3		
6 = -2		
7 = -1		
8 = 0		
9 = +1		
10 = +2		
11 = +3		
12 = +4		
13 = +5		
14 = +6		
15 = +7		
Description:		
Catalyst for restructuring: CATA	1	( 90)
<pre>1 = element related contingency</pre>		
2 = disaster event		
<pre>3 = competition</pre>		
4 = cooperation		
9 = uncetain		
Description:		

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