# Semantic specification using tree manipulation languages 

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# Semantic specification using tree manipulation languages 

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The College of William nnd Mary, 1988

# SEMANTIC SPECIFICATION USING TREE MANIPULATION LANGUAGES 

A Disecrtation<br>Presented to<br>The Faculty of the Department of Computer Science The College of William and Mary in Virginis

In Partial Fulfillment<br>Of the Requirements for the Degree of Dactor of Philosoplyy

by<br>Randall P. Meyer

1988

## APPROVAL SHEET

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## ABSTRACT

Software tools are used to generate compilers automatically from formal descriptions of languages. Methods for specifying the syntax of languages are wellestablished and well-understood; however, methods for formal semantic specification are not. The method most commonly used for semantic specification is an attribute grammar.

Thia thesis examines an alternative method of semantic specification. TreeSem is defined as a Tree Manipulation Language applicable to semantic specification. A TreeSem program is easier to read and to write than a corresponding attribute grammar specification.

AIgorithme for translation of a TreeSem program itto an equivalent attribute grammar spocification, atd for tranalation of an attribute grammar specification into an equivalent TreeSem progzam are presented. Proof of correctness of the algorithms ia discussed. The dual translations show the theoretical "Epecification power" of TreeSem to be the same as that of attribute grammars. Also, since both translations are provided, the compiler writer is free to choose the semantic specification method he wishes to use. The appropriate translation can be applied to implement the compiler using the more efficiently interpreted method, as research continues to improve the executable efficiency of either method.

## Semantic Specification Using Tree Manipulation Languages

## Chapter 1

## Introduction

A compiler translates a program from its original source language into an equivalent program in another target language. The overall translation task is complicated, so it is customary to divide the compiler into phases, each of which performs a small portion of the overall translation process. The lexical analysis and syntax analysis phases (the front end) read the source program text and convert it into an internal representation. They are concerned primarily with the syntactic structure of the input program. The semantic analyzer and intermediate code generator (the middle end) extract the meaning of the program from its internal representation and select statements from an intermediate language that have the same effect as this determined meaning. The code optimizer and code generator (the back end) perform the task of writing an efficient representation of the intermediate code in the target langimage. ${ }^{1}$

Automatic compiler construction is the process of generating a compiler (interpreter) for a language from a formal specification of its syntax and semantics. The availability of tools to aid it automatic compiler constriction varies widely depending on the phase of compilation being considered. Whereas teclniques for parsing and lexical analygis are weld-undergtood and in common use, cisf-

[^0]rent methods for semantic processing and code generation and optimization are generally inadequate or inefficient. This research is concerned with defining a formalism for semantic specification that will be more expressive than existing methods while remaining tramsormable into an efficient semantic proceasor for the language.

### 1.1 Grammars and Parsing

The syntax of a language is specified using a Context Free Grammar (CFG), which in a four-tuple ( $N, T, S, P$ ), $T$ is the set of terminal symbols in the language. $\mathbf{N}$ is a set of nonterminal symbols employed in the grammar, such that $N \cap T=\| . V=T \cup N$ is the vocabulary of the grammar. One nonterminal symbol is distinguished as the start symbol $S$. $P$ is a set of productions of the form $X_{p} \rightarrow \mathbf{X}_{1} \mathbf{X}_{2} \ldots X_{n}$, where $\mathbf{X}_{0} \in \mathbf{N}$ and $\mathbf{X}_{1} \ldots \mathbf{X}_{\boldsymbol{n}} \in \mathbf{V}$. For a given $\mathbf{C F G}$ $\mathcal{G}$, strings in the langunge described by the $C F G, L(C)$, are generated from the start symbol by replacing nonterminals in a working atring with right hand sides of productions whose left hand sides match the symbol being replaced. These replacements are done until only terminal symbols remain in the working string. The aequence of replacements of nonterninals by right hand sides of productions is referred to as a derivation of the terminal string. In a leftmost derivation, the leftmost nonterminal is always chosen for replacement; and similarly in at rightinost derivation the rightmost nonterminal symbol is replaced.

Parsers determine whether a given string is an element of a particular language. Many parsing methods exist. LR parsers attempt to find a rightmost derivation of the input string, based on a gammar describing the langutage LL and recursive descent parsers attempt a leftmost derivation of the input

[^1]string. ${ }^{3}$ Particular forms of grammars can be tranalated into parsers by hand in a straightforward manner, but for large grammars, this translation is tiresome and error-prone.

An alternative to hand-coded parsers is a parser generator, aeveral of which are in widespread use (YACC, ${ }^{4}$ PARGEN ${ }^{5}$ ). A parser generator autothatically translatea a grammatical deacription of a language into a parser that recognizes strings in that language. Although the resulting parsers are sufficiently fast and compact in size as to be practically useful, research continues in an attempt to optimize both the parser generators and the resulting parsers.

Whereas the sytutactic analysis of a language can currently be handled by automatically generated pargers, the semantic phase of translation is usually hand-coded. The compiler writer utilizing a parser generator specifies the semantics of particular stritugs in the language by associating semantic actions with productions in the grammar. Depending on the particular parser generator, these semantic actions are written in one of several common programming Langunges. The effects of these semantic actions (particularly with reapect to the scope of allowable assignmenta and references) are unreatricted. As productions are recognized (or reduced) during parsing of the input string, the associated semantic action is executed. The compiler writer must fully understand the parsing strategy in order to effectively aupply the correct sematitic actions. Figure 1.1 illustrates the use of a parser generator in automatic compiler generation.

Several fortnalisms for destribing language semantics have been developed, inclıding denotational semantics, axiomatic semantics, and attribute grammars. ${ }^{e}$

[^2]

Figure 1.1: Automated Compiler Generation

Of these, attribute grammars (AG) are currently the most popular mechanism for describing the semantics in such a way that an evaluator for the language can be automatically generated from the description. The systems HLP, ${ }^{\boldsymbol{T}} \mathbf{G A G}{ }^{\text {a }}$ and LINGUIST-86 ${ }^{\text {a }}$ all employ attribute grammars as their method of semantic specification.

### 1.2 Attribute Grammars

Attribute grammara were originally developed by Kinuth, ${ }^{10}$ who extended earlier work by Irons. ${ }^{11}$ An attribute grammar is a reduced CFG augmented with attributes associated with the symbols of the grammar and functions (semantic rules or evaluation rules) which assign vulues to these nttributes. An AG is

[^3]a seven-tuple ( $\mathbf{N}, \mathbf{T}, \mathbf{S}, \mathbf{P}, \mathbf{A}, \mathbf{R}, \mathbf{C}$ ). $\mathbf{N}, \mathbf{T}, \mathbf{S}$, and $\mathbf{P}$ are defined as for a CFG. We assume the underlying CFG is reduced, i, e, it contains no ugeleas productions. A is a finite set of attribute symbols or names and associated types or set of values they may take on. R is the aet of semantic rules. These will be defined below. $\mathbf{C}$ is a set of semantic conditions the attribute values must satisfy in a syntactically correct sentence of the language. For our purposes it will suffice to note that such conditions can be replaced by a distinguished Boolean attribute symbol associated with cach nonterminal symbol, and they will not be further discussed. The AG of Figure $1.2^{12}$ will be used to illustrate attribute grammar terminology.

The following definstions are introduced in order facilitate the definition of R. define With respect to a grammar symbol $X \in V, A(X)$ denotea the set of attribute symbols associated with the symbol $X$. (Some authors prohibit attributes associated with terminal symbols.) For the $A G$ in Figure 1.2, we Lave:

$$
\begin{aligned}
& A(t)=A(u)=A(W)=\emptyset \\
& A(Y)=\{a, b\} \\
& A(X)=\{c, d\}
\end{aligned}
$$

A production $p: X_{0} \rightarrow X_{1} X_{2} \ldots X_{n}$ is said to have the attribute occurrence $a\left(X_{i}\right)$ if $a \in A\left(X_{i}\right)$. The notation " $n(X)$ " may also be written as " $X$. $a^{\prime}$. The type of an attribute occmrtence $a(X)$ is the same as that of the asociated attribute symbol $A(X)$. $A(p)$ denotes the set of all attribute occurrences of $p$, and is simply the union of all the attribute occurrences of all the symbols in production $p$.

[^4]| $\mathrm{N}=\{\mathrm{W}, \mathrm{Y}, \mathrm{X}\}$ |  |  |  |
| :---: | :---: | :---: | :---: |
| $\mathrm{T}=\{\mathrm{t}, \mathrm{u}\}$ |  |  |  |
| $\mathrm{V}=\mathrm{N} \cup \mathrm{T}=\{\mathrm{W}, \mathrm{X}, \mathrm{Y}, \mathrm{t}, \mathrm{u}\}$ |  |  |  |
| $\mathrm{s}=\mathrm{w}$ |  |  |  |
| P |  | \{ W $\rightarrow$ Y | (0) |
|  |  | $\mathrm{Y} \rightarrow \mathrm{X} \mathrm{Y}$ |  |
|  |  | $\mathrm{Y} \rightarrow \mathrm{t}$ |  |
|  |  | $\mathrm{X} \rightarrow \mathrm{u}\}$ | (3) |
| A | $=$ | $\{\mathrm{a}, \mathrm{b}, \mathrm{c}, \mathrm{d}$ \} |  |
| R(0) | $=$ | \{Y, b -17; |  |
| $\mathrm{R}(1)$ | $=$ | $\left\{\mathrm{Y}_{1 . \mathrm{a}}-\mathrm{X}\right.$. |  |
|  |  | $\mathrm{X} . \mathrm{d}+\mathrm{gl}$ | .a); |
|  |  | $\mathrm{Y}_{2} \mathrm{~b} \mathrm{~b}^{\circ} \mathrm{Y}_{1}$ |  |
| $\mathrm{B}(2)$ | $=$ |  |  |
| $\mathrm{R}(3)$ | $=$ | (X.c ¢ X d |  |

Figure 1.2: An Example Attribute Grammar

With respect to Figure 1.2, we have the following $A(p)$ :

$$
\begin{aligned}
& A(0)=\left\{Y . a_{1}, Y . b\right\} \\
& A(1)=\left\{Y_{1-G}, Y, b, X . c, X . d, Y_{2 .}, Y_{2} . b\right\} \\
& A(2)=\left\{Y . A_{1}, Y . b\right\} \\
& A(3)=\{X . c, X . d\}
\end{aligned}
$$

The set of semantic rules assoriated with production $p$ is dencted $R(p)$. These semantic rules have the form

$$
X_{i} \cdot a \leftarrow f\left(X_{j} \cdot b, \ldots, X_{n} \cdot c\right)
$$

where $X_{i}$ and $X_{j} \ldots X_{n}$ are symbols of grammar rule $p$. Thus the value of an attribute occurrence $X_{i} \cdot \mathrm{~A}$ is defined in terms of the vaiues of other attribute. occurrences in the same production $p$. The semantic rule that assigns a value to $X_{i}$. a for production $p$ is denoted by $f_{X_{1}}$. The $f_{X_{i}}^{P}$. for the $A G$ of Figure 1.2 are:

$$
\begin{aligned}
& \mathrm{f}_{\mathrm{Y} . \mathrm{b}}^{\mathrm{O}}=\mathrm{Y} . \mathrm{b}+17 \mathrm{i} \quad \text { inheriting }
\end{aligned}
$$

$$
\begin{aligned}
& \mathrm{f}_{\mathrm{X}, \mathrm{~d}}^{\mathrm{L}}=\mathrm{X} . \mathrm{d} \leftarrow \mathrm{~g}\left(\mathrm{Y}_{\mathrm{a}} \cdot \mathrm{~A}\right)_{;} \quad \text { inheriting } \\
& \mathrm{f}_{\mathrm{Y}_{2}, \mathrm{~b}}^{\mathrm{L}}=\mathrm{Y}_{\mathbf{7}} \cdot \mathrm{b} \leftarrow \mathrm{Y}_{\mathbf{1}} \cdot \mathrm{b} ; \quad \text { inheriting } \\
& \mathrm{f}_{\mathrm{Y} .}^{2}=\mathrm{Y} . \mathrm{a} \leftarrow \mathrm{Y} . \mathrm{b} ; \quad \text { synthesizing } \\
& \mathrm{f}_{\mathrm{X}, \mathrm{c}}=\mathrm{Xc}-\mathrm{X} \mathrm{~d}_{\mathrm{i}} \quad \text { sytathesizing }
\end{aligned}
$$

If a semantic function defines the value of an attribute occurrence $\mathrm{X}_{0}$.a of the production's left hand side symbol, it is a synthesizing function and the attribute "a" is termed a synthesized attribute. Otherwise the function is an inheriting one, and the attribute is an inherited attribute. AI denotea the set of all inherited attributes and $A S$ denotes the set of all synthesized attributes. Correspondingly, $A I(X)$ is the set or inherited attributes of symbol $X$ and $A S(X)$
the set of synthesized oncs. Referring to Figure 1.2:

$$
\begin{array}{cc}
A S=\{\mathrm{B}, \mathrm{c}\} \\
\mathrm{AI}= & \{\mathrm{b}, \mathrm{~d}\} \\
\mathrm{AS}(\mathrm{X})=\{\mathrm{c}\} & \mathrm{AS}(\mathrm{Y})=\{\mathrm{B}\} \\
\mathrm{AS}(\mathrm{~W})=\mathrm{AS}(\mathrm{t})=\mathrm{AS}(\mathrm{u})=0 \\
\mathrm{AI}(\mathrm{X})=\{\mathrm{d}\} & \mathrm{AL}(\mathrm{Y})=\{\mathrm{b}\} \\
\mathrm{AI}(\mathrm{~W})=\mathrm{AI}(\mathrm{t})=\mathrm{AI}(u)=0
\end{array}
$$

A semantic tree (derivation tree) is a parse tree where each node $X \in V$ is amotated with attributes $\{a \mid a \in A(X)\}$. In general, synthesized attributes serve to carry information upward from the leaves of a derivation tree towards the foot, and inherited attributea move information towards the leaves. An AG is termed complete iff

1. There is exactly one semantic rule defining the value of any attribute occurrence.
2. Usithg the above method of determining $A(X)$ and $A S(X)$, $\forall X \in V:((A)(X) \cap A S(X)=0) A(A I(X) \cup A S(X)=A(X))$.

A complete $A G$ is well-defined iff the values of all attributes of any derivation tree $S$ comresponding to anentence in $L(\mathcal{G})$ are effectively computable. Only welldefined AGs are usefial as specifications to automatic compiler generstors. If an AG is not well-defined, it is not possible to antomatically construct a compiler that is guaratiteed to terminate and assign the proper values to the attributes of all syntactically legal semantic trees in the language defined by the AG. To check this condition formally, we introduce the notion of dependency. The dependency set $D_{X_{i}, s}^{p}$ is the set of attribute occurrences used as arguments by $f_{X_{i}, s}$. The $D_{X_{i, s}}^{P}$
for Figure 1.2 are:

$$
\begin{aligned}
& \mathbf{D}_{\mathbf{Y}_{b}}^{\mathrm{a}}=\boldsymbol{G} \\
& \mathrm{D}_{\mathbf{Y}_{1} \cdot}=\{\mathrm{X} . c\} \\
& \mathrm{D}_{\mathrm{X} . \mathrm{d}}^{1}=\left\{\mathrm{Y}_{\mathrm{J}, \mathrm{a}}\right\} \\
& \mathrm{D}_{\mathrm{Y}_{3}, \mathrm{~b}}=\left(\mathrm{Y}_{\mathrm{j}}, \mathrm{~b}\right) \\
& \mathrm{D}_{\mathrm{Y} . \mathrm{e}}^{2}=\text { (Y.b\} } \\
& \mathrm{D}_{\mathrm{X.c}}^{\mathrm{J}}=\{\text { X.d\} }
\end{aligned}
$$

The set of direct attribute dependencies $\operatorname{DDP}(p)$ for a production $p$ is

$$
\left\{\left(X_{j} . b, X_{i} \cdot a\right) \mid X_{i} \in p \wedge X_{i}, b \in D_{X_{i}, a}^{p}\right\}
$$

DDP(p) implicitly defines a graplt; the nodea in this graph correspond to the attribute occurrences of symbols in production $p$, and the edges denote attribute dependencies. Figure 1.3 glows the $\operatorname{DDP}(p)$ and the graphs of $\operatorname{DDP}(p)$ tor the AG of Figure 1.2. An attribute grammar is locally acyclic if the graph of $\operatorname{DDP}(p)$ is acyclic for each $p \in P$.


Figure 1.3: Graphs of $\operatorname{DDP}(p)$ for $A G$ of Figure 1.2

If $S$ is a derivation tree corresponding to a sentence in $L(G), D T(S)$ is defined as the superimposition of $\operatorname{DDP}(p)$ for all applications of any $p$ in $S$. An $A G$ is
well-defined iff it is complete and the graph DT(S) is acyclic for each parse tree corresponding to a sentence of $L(\mathcal{G})$. Consider the sentence $S=$ utt and the $A G$ of Figure 1.2. The parse tree of $S$ and the (acyclic) graph of $D T(S)$ are shown in Figure 1.4. Note how the inherited attributes pass information towards the root


Figure 1.4: Parse Tree and DT(S) for the string "tut" using the grammar of Figure 1.2 .
of the tree, while the synthesized ones move information towards the leaves.
Knuth ${ }^{13}$ developed an algonthm which tests whether the graphs of all DT(S) are acyelic for a particular AG. Jazayeri ${ }^{14,15}$ has shown that Knuth's algorithm has exponential time complexity with respect to the size of the AG being analyzed, and further that the problen of determining whether all parse trees are acyclic (the circularity test) is inherently exponential. The proof of this complexity involves simulating a linear bounded automaton (lba) using attribute grammars, and thus reducing the circularity test to the lba membership problem, which is known to be exponential.

[^5]If it is known that an $A G$ is well-defined, then a simple non-deterministic evaluation algorithm (evaluator) can be used to assign walues to all of the attributes in the parse tree corresponding to a sentence in the AG. The algorithm walks the tree in a raudom fashion. Each time a node is visited, all attributes of this node whose armantic rules may be evaluated (thoee whose arguments are already defined) are assigned values according to the value returned by their semantic functions. The algorithm terminates when all attributes in the parse tree have been defined. ${ }^{19}$

This method of attribute evaluation has two major drawbacks. Because the algorithm is non-deterministic, much time can be wasted visiting nodes whose attributes have all already been defined or whose undefined attributes are atill not ready to be ovaluated. Another serious drawback is that the circularity test must be applied to the grammar to show that it is well-defined. Since this teat hns exponential time complexity with respect to the size of the gratmmar, it is impractical for grammars of the size necessary to define most programming languages.

Becnuse the non-detertministic appronches to attribute evaluation are ao inefficient, several researchers have proposed deterministic methods for attribute evaluation. ${ }^{17,18,19,30}$ Each of these methods imposes restrictiona on the typee of attributes or the dependencies between attributes in the AG. Even the most restricted of these methods allows aynthesized attributes. Knuth has shown that "synthesized attributes alone are sufficient to define the meaning associated with any derivation tree." ${ }^{21}$ His argument is mainly a theoretical one, however, since

[^6]it involves synthesizing all the information in the tree up to the root, and then applying a single function which specifies the meaning of the tree. Obviously, an AG with a single defining function at the root doing all the work is not practical as a method of semantic specification. It does show, though, that it is possible to define the semantics of any derivation tree using any of the restricted types of AGs.

Deterministic evaluation techniques for subclasees of AGs may be divided into those that rely on a predetermined order for visiting nodes in a derivation tree and those that define a visit sequence uniquely for each $A G$ or each derivation tree. Naturally, the methods that use a predetermined visit sequence are more reatrictive than those that do not, but the evaluators are aleo easier to implement, analyze, and optimize. The most general of these methods is the AItemating Semantic Evaluator (ASE). ${ }^{21}$ For practical problems (including most progranming languages) the restrictions imposed by the ASE do not hinder the AG writer. This research focuses on ASE attribute grammars. Kastens ${ }^{23}$ provides a complete characterization of the various classes of AGs .

The Alternating Semantic Evaluator derivea its name from the passes it makes over the semantic tree alternating first from left to right and then from right to left. Each pass is a modified preorder traversal of the tree, originally described by Bochmann. ${ }^{24}$ The recursive algorithm for a left to right pase performs the following actions.

1. Determine the production $p: X_{0} \rightarrow X_{1} X_{2} \ldots X_{n}$ that applies at the node.
2. For ench node $X_{i}: 1 \leq i \leq n$, in sequence, beginaing with $X_{1}$, if $X_{i}$ is a nonterminal,

[^7](a) Evaluate a maximal subset of $\mathrm{Al}\left(\mathrm{X}_{\mathrm{i}}\right)$ according to the defining functions for these attributes.
(b) Invoke the algorithm recursively on $\mathrm{X}_{\mathrm{i}}$.
3. Evaluate a maximal subset of $\mathrm{AS}\left(\mathrm{X}_{0}\right)$ according to the defining functions for these attributes.

For a right to left pass, the only difference is that the nodes $X_{1} \ldots X_{n}$ are treated in the reverse order, node $X_{0}$ first. Note that each time a node is visited, a subset of its inherited or aynthesized attributes is evaluated. Which attributes are evaluated on each pras and the number of passes required for attribute evaluation (if the AG is ASE at all) are established through examination of the AG at compiler generation time.

Before describing the ASE membership algorithm, the binary relation on attributes, $\beta_{1}$ must be defined. Consider a production $\mathrm{p}: \mathrm{X}_{\mathrm{o}} \rightarrow \mathrm{X}_{\mathrm{l}} \ldots \mathrm{X}_{\mathrm{n}}$ and attribute occurrences $a$ and $b \in A(p)$.

- For $a \in \operatorname{AS}\left(X_{0}\right)$.

- For $a \leq A\left(X_{i}\right), 1 \leq i \leq n$,

For a left to right pass,
$\beta(a, b)=b \notin\left\{A S\left(X_{o}\right) \cup\left\{U_{k=i}^{n} A\left(X_{k}\right)\right\}\right.$.
Fur right to left pass,
$\beta(\mathrm{n}, \mathrm{b})=\mathrm{b} \notin\left\{\mathrm{AS}\left(\mathrm{X}_{\mathrm{d}}\right) \cup\left(\mathrm{U}_{\mathrm{k}=1}^{\mathrm{A}} \mathrm{A}\left(\mathrm{X}_{\mathrm{t}}\right)\right\}\right.$.

- For a $\in \operatorname{Al}\left(\mathrm{X}_{\mathrm{i}}\right)$,
$\beta(\mathrm{a}, \mathrm{b})$ is not defined.

The ASE tnembership algorithm determinea, independent of any particular parse tree, which attibutes can be exaluated during each pass. The first pasa is a left to right pass. For each pass, assume initially that all attributes not yet assigned to an earlier pass can be evaluated in this pass. Assign this set of attributes as the value of $A_{m}$, where $m$ is the current pass number, For each production $p: X_{0} \rightarrow X_{1} \ldots X_{n}$, for each attribute $a \in\left\{A S\left(X_{0}\right) \cup\left(U_{i=1}^{n} A I\left(X_{i}\right)\right)\right\}$, for each $b \in \mathrm{D}_{\mathrm{x}_{\mathrm{i}}, \mathrm{a}}$, if $b$ was not assigned to an enrlier pass and $\beta(a, b)$ is false, delete $a$ from $A_{m}$. Continue examining ench $a \in A_{m}$ until no further deletions are possible. The attributes remaining in $\mathrm{A}_{\mathrm{m}}$ are those that can be evaluated during the $m^{\text {th }}$ pass. The algorithan terminates when either

1. no deletions were thade during the test of the last pass, in which case the AG is evaluable in malternating parses and the aets $A_{m}$ define which attributes will be evaluated on cach pase, or
2. $A_{m}$ and $A_{\text {m-1 }}$ are both ematy, in which case the $A G$ is not ASE evaluable.

The AG of Figure 1.2 is evaiuable in two alternating passes.

$$
A_{1}=\{Y . b\} \text { and } A_{2}=\{Y, B, X, c, X . d\}
$$

Kastems ${ }^{25}$ providet an example of a non-ASE attribute grammar.
Note that once we have determined that an $A G$ is $A S E$, we have a deterministic method for evaluating the attributes in any semantic tree corresponding to a string in the language of the AG. The nembership algorithm will detect circularities in the $A G$, and does so much more efficiently then the exponential algorithm of Ktuth (although it also rejecta some AGs that are non-circular).

The elinnination of the circularity test and the deterministic nature of the resulting attribution algorithm, make ASE attribute grammars a useful method

[^8]for automatically including semantica in a compiler. ASE attribute grammars, however, are often not space efficient and the AG constructa do not always afford a natural description of the sernantics of a language. In particular the author of an AG apecification ja burdened with the task of introducing attributea and attribution rulea that simply copy an attribute value from one tree location to another. Tree Manipulation Languages (TMLs) are introduced in the next section as a natural alternative to AGs.

### 1.3 Tree Manipulation Languages

Tree Manipulation Languages are designed to operate on trees, providing operations to construct, transform, traverse, and annotate them. Curreat reaearch involves the ase of TMLs in syntax directed editing and as a parser generator interface. Input to a TML interpreter consists of a TML program, the tree(s) to be operated on, and the grammar used to construct the tree. Analysis of the graminar and TML program allows the TML interpreter to attain a high level of efficiency, It is able to determine exactly which portions of a tree will be referenced, and can therefore allocate storage for and visit only critical portions of the tree, saving both time and space as actual tree manipulations are cartied out. It may also be possible for the TML interpreter to determine whicti actions of the program can be done in parallel. All of these optimizations result from the constrained domain of TMLs. We will show that in spite of their limited domain, TMLs are a natural and powerful medium for expressing language semantics.

TMLs are procedural, whereas AGs are declarative. Programmers are more accustomed to writing in procedural languagea. Therefore, they are likely to find TMLs more natural to use than AGb. Morell ${ }^{20}$ notea that "by returning explicit flow-of-control to the programmer, $\{$ a $T M L \ldots+\}$ enhances verification and ex-

[^9]pression of the programmer's intent." Strict AGs require a single assignment to each attribute. TMLs allow multiple assignments to the same attribute TMLs also prowide notations that allow references to non-local attributes. Recall that AGs restrict attribute references to those occurring in the same production as the attribute occurrence being defined. Common progranming language semantic actions, such as symbol table construction and typing of expressions become simpler to specify when multiple assignments and remote references are available to the writer of the sematntic specification. An example showing symbol table construction using TMLs appears in Chapter 3 after we have described TreeSem, the particular TML used in this dissertation.

### 1.4 Related Work

Current research in the area of semantic specification for automatic compiler generators focuses primarily on methods to increase the time and apace effciency of attribute grammars. An obvious strategy used to snve apace during attribute evaluation is to use pointers to large attributes rather than maintaining separate copics of them for each attribute occurrence. ${ }^{27}$ The systems GAG and LINGUIST-86 compare the lifetimes of the attributea in order to allocate storage for them as either a global variable or as a global stack. ${ }^{28}$ Raiha is able to replace certain chains or local attribute references with upward remote references, thus eliminating the storage required for the intermediate attributes in the chain. ${ }^{29}$ The time required for attribute evaluation can be descreased by identifying passes or portions of subtrees where no significant computation will be done, atd skipping the pass or visit to the subtrec. Affx grammars, which resemble attribute grammara, have also been investigated as a method of

[^10]semantic specification. Other formalisms for semantic specification appear more useful for proving properties of programs.

As mentioned in Section 1.3, current TML research includea the use of TMLs in syatax directed editing ${ }^{30}$ and as a parser generator interface. A primary focus of the latter research is on developing an optimal evaluation strategy for a TML. ${ }^{31}$ Tree transformation rulea have also been investigated as an extension to conventional attribute grammars. ${ }^{32}$

### 1.5 Research Goals

Autornatic compiler generation tools allow a compiler to be automatically generated from a specification of the language. Although these compilers are said to be generated "automatically" from the specification, the specification itself must still be written by land. Most of the curfent research in the area of semantic specification is concerned with improving the efficiency of the compiler produced, and does little to address the complexitics of writing a semantic description of a language.

The goal of this research is to develop a specification language that retains the efficiency characteristics and specification power of existing methods, yet is casier to use and understand. TMLs appear to be readily ednptable to this task.

### 1.6 Remainder of Thesis

The remaining chapters of this thesis are devoted to TreeSem, a Tree ManiquIation Language designed to be used an a sematutic specification language. The following topics are addressed:

[^11]1. The syntax and semantica of TreeSem are described in detaij.
2. Examplea show how TreeSem is used to describe language semantics. A comparison of semantic specifications using conventional attribute grammars and using TreeSem illustrates the advantages of using TreeSem.
3. Translations from a TreeSem apecification into an equivalent ASE attribute grammar specifichtion and from an attribute grammar to TreeSem are presented.
4. Proof is given that these translations yield equivalent specifications. The dual translations demonstrate that TreeSem has the aame apecification power as ASE attribute grammars (and by Knuth's argument, all classes of $A G \theta)$.
5. Enhancements to TreeSem and conclusions drawn from this research are presented.

## Chapter 2

## Description of TreeSem

A TreeSem program consiste of three main parts: DECLS (attribute type declarations), GRAMMAR and TRAVSEQ (traversal sequence). DECLS contains the type declarations for the attributes of the input tree. The GRAMMAR provides the underlying grammar for the derivation tree input to the TreeSem interpreter. TRAVSEQ specifies the actions to be applied to the derivation tree. An LR grammar describing the complete syntax of TreeSem is given in Appendix A. The following sections detail the syntax and semantics of TreeSem.

### 2.1 Attribute Declaration Section

| DECLS | + |  |
| :---: | :---: | :---: |
|  | 1 | attribute typea DECL_LIST |
| DECLLIST | $\rightarrow$ | DECL |
|  |  | DECLLIST DECL |
| DECL | $\rightarrow$ | $(\mathrm{id}$ symi $)=\left(\mathrm{id}\right.$ _sym ${ }^{\text {a }}$; |

The DECLS section consiets of the keywords "attribute types" followed by a sequence of type declarations for the altribute names appearing in the input derivation tree. Each declaration consists of an attribute thame followed by its
type. For example,

$$
\begin{aligned}
& \text { attribute types } \\
& \text { length } \quad=\text { integer; } \\
& \text { spelling } \quad=\text { string; }
\end{aligned}
$$

declares the attribute "length" to be of type integer, and the attribute "spelling" to be of type string. Some applications of TreeSem may not require a DECLS sections, and it may be empty.

### 2.2 Grammar Section

| GRAMMAR | $\rightarrow$ grammar PRODLIST end_brammar |
| :--- | :--- |
| PRODLIST | $\rightarrow$ PROD |
|  | $\mid$ PRODLIST PROD |
| PROD | $\rightarrow$ LHS $\rightarrow$ RHSLIST (end_of Jine) |
| LHS | $\rightarrow$ (id_sym |
| RHSLIST | $\rightarrow$ |
|  |  |
|  |  |

The GRAMMAR section of a TreeSem progran is a BNF grammar describing the syntax underlying the input tree, delimited by the keywords "grammar" and "end grammar." This grammar is not constrained to be the actual syntax of the language being translated. It may describe the abstract syntax of the language or any other syntax the TreeSem programmer wishes. This fenture will berome especially useful when research proceeds to the point that a TML program can be analyzed to determine what portions of the input are referenced, thus dirocting parse tree construction accordingly.

Even if the grammar were the actual grammar of the source language, the GRAMMAR section is still not redundant. In an attribute grammar, rules are associated with each production in the underlying context free grammar, and
each grammatical production must appear in the attribute grammar. As will be explained in the next section, a TreeSem program does not require all productions in the underlying grammar to be mentioned explicitly, yet algorithrus that translate or interpret TreeSem programs must be aware of the entire grammar underlying the tree.

### 2.3 Traversal Section

The operations that are to be applied to the input tree deacribed by the DECLS and GRAMMAR sections of a program are specified in the TRAVSEQ portion of the program. This spocification determinea the control flow and attribute assignments that are to occur. Attribute grammars specify only attribute assignments, allowing the evaluator to detennine their order of execution.

### 2.3.1 Control Flow

The control flow statements are of two types: those that determine the order in which the nodes in the input tree are visited and those that specify when an attribute assignment is executed.

| TRAVSEQ $\rightarrow$ TRAVREV |  |
| ---: | :--- |
|  | $\mid$ TRAVSEQ TRAVREV |
| TRAVREV $\rightarrow$ TRAVERSAL |  |
|  | $\mid$ REVERSAL |

Theae TRAVERSALs and REVERSALa are executed sequentially.

### 2.3.1.1 TRAVERSAL

| TRAVERSAL | $\rightarrow$ traverge ORDER GUARDLIST end_traverse |
| :--- | :--- |
| ORDER | $\rightarrow$ inorder |
|  | $\mid$ preorder |
|  | 1 postorder |

A TRAVERSAL groups the statements that are to be executed during a single pass over the input tree, in a manner analagous to a begin/end block. ORDER specifies the traversal path to be taken as the program oxecutes. ORDER may be specified as either "inorder," "proorder," or "postorder," corresponditg to the usual tree traversals. The ouly statements currently allowed inside a traversal are guarded statements, and these specify exactly when each assigntuent is to be executed, so the three traversal orders are essentially equivalent. This will become evident after gurded statemente have been introduced. While ORDER currently has to effect, it is included so that statements without explicit execution-time control may be ensily added in the future.

### 2.3.1.2 REVERSAL

$$
\text { REVERSAL } \rightarrow \text { reverse }
$$

A REVERSAL has the effoct of reversing the order in which child nodes are visited during traversals. Initially, traversals visit the children of a node in a left to right order. After a "reverse" statement, traversals will visit the children in a right to left order, until the next REVERSAL occurs, and the initinl order is resumed. An alternative way to think of a REVERSAL is that it rotates the tree about its "trunk" 180 degrees. The only problem with this conceptualization is that guards (explained noxt) always match the original orientation of the tree.

### 2.3.1.3 GUARD

| GUARD_LIST | $\rightarrow$ GUARD_STMT |
| :--- | :--- |
|  | $\mid$ GUARD_LIST GUARD_STMT |
| GUARD_STMT | $\rightarrow$ GUARD $\Rightarrow$ SEM_LIST |
| GUARD | $\rightarrow$ SQUARE_TREE |
| SQUARE_TREE | $\rightarrow$ [IDLIST $)$ |

A TreeSem GUARD represents a subtree which is matched against the input tree to determine which actions to apply. The notation used for trees is aimilar to that of LISP. A tree consists of a sequence of identifiers enclosed in square braces and separated by commas. (Examination of the complete syntax for an IDLIST shows that it in more general than this, but TreeSem restricts the form of an IDLIST that may be used as a guard.) The root of the tree is the first element of the list and the remaining elentents of the list are the chitdren from left to right. Each guard in a TreeSem program must correspond to one of the productions given in the grammar section. For example, the guard
$[A, B, C, D] \quad$ represents the subtree


and corresponds to the production $\mathbf{A} \rightarrow \mathbf{B C D}$. During a traversal, ns each nowe is visited, the immedinte subtree with that node as the toot is compared with the GUARDs of the GUARD LIST contained in that traversal. If a match is found, the SEMLIST following that guard ja applied. If the same gurd appears more than once in a GUARD LIST, the effect is the anme as if there were a single guard followed by the concatenation of the SEM_LISTs from each guard. Thus, a GUARD LIST containing

$$
\begin{aligned}
\text { GUARD }_{1} & \Rightarrow \text { SEM LIST }_{1} \\
\text { GUARD }_{1} & \Rightarrow \text { SEM }^{2} \text { IST }_{2}
\end{aligned}
$$

is equivalent to the GUARD LIST

$$
\begin{aligned}
\text { GUARD }_{1} \Rightarrow & \text { SEM LIST }_{1} \\
& \text { SEM LIST }_{2} .
\end{aligned}
$$

The translations and proofs of the following chapters assume (without loas of generality) that all the guards of a single GUARD LIST are unique.

### 2.3.1.4 SEM_LIST

| SEMLIST | $\rightarrow$ WHEN_ASGN |
| :--- | :--- | :--- |
|  | $\mid$ SEM_LST WHEN_ASGN |
| WHEN_ASGN | $\rightarrow$ WHEN ASGN_LIST |
| WHEN | $\rightarrow$ ID_SYM_PLUS : |
| ID_SYM_PLUS $\rightarrow$ | (id_sym) ( (number) ) |
|  | $\mid$ (id_sym) |

A SEMLIST is a sequence of WHEN ASGNs. Each WHEN ASGN begins with a WHEN that indicates the exact point, in the traversal of the aubtree described by the preceding guard, that the assignments in the ASGN_LIST following it are to be executed. The ID_SYMPLUS of a WHEN indicates one of the nodes from the current subtree matched by the preceding guard. The (id_sym) of an ID SYM.PLUS is the name of the node. The (number) is used to distinguish multiple occurtences of the same node name appearing in a single guard. Node names are numbered sequentially, from left to right, beginning with ' 1 '. If no (number) is specified, it is nssumed to be ' 1 '.

For a guard consisting of $n$ somponents, there are $n$ pointa in the traversal of the matched subtree where assignments may be applied. These points correspond to the ares in the traversal path that connect the nodes of the subtree. Upon enconttering the node indicated by ID SYMPLUS, the ASGN.LIST is
executed. Thus, "GA: B.x $\leftarrow$ C.y" may be read as "after encountering node A, execute the assignment B. $x \leftarrow C . y^{\text {n }}$ Consider, ngain, the guard $[A, B, C, D]$. If an even number of REVERSAL statements have previously been executed, the assignment locations and corresponding WHENs are:

| point | WHEN |
| :---: | :---: |
| 1 | GA: |
| 2 | GB: |
| 3 | GC: |
| 4 | GD: |



If an odd number of REVERSAL statements have previously been executed, the assignmenta and WHENs are:

| point | WHEN |
| :---: | :---: |
| 1 | GA: |
| 2 | GD: |
| 3 | GC: |
| 4 | gB: |



### 2.3.2 Attribute Assignments

Atribute assignmenta ate used to assign or change the valuea of attribute occurrences in the input tree. The value assigned to an attribute is determined as a function of attribute values occurring in the tree. TreeSern expands the scope of allowable references beyond those allowed by attribute grammars. It also allows aultiple assignments to the same attribute occurrence.

### 2.3.2.1 Regular Assignments

ASSIGNMENT $\rightarrow$ DIRTREE + TREE;

The simplest form of attribute sssignment occurs when DIRTREE and TREE are both attribute occurrencea of symbols in the same production; the production indicated by the preceding GUARD. The effect of auch an assignment is to assign the current value of the attribute referenced on the right hand side of the production as the value of the attribute on the left hand side of the production. The types of the two attribute occurrences must be compatible. Thus, if the guard in the current context is [A, B, A, C], the nasignment

$$
A(2) \cdot x \leftarrow B \cdot y
$$

assigns the value of the $y$ attribute of the B node as the value of the x attribute of the second A node. All other attribute values are unaffected. For purposes of unifornity, we may assume that an identity function is being applied to the value of the right hand side attribute.

### 2.3.2.2 Auxillary Functions

| TREE | $\rightarrow$ DIRTREE |  |
| :--- | :--- | :--- |
|  | $\mid$ FN_CALL |  |
| FN_CALL | $\rightarrow$ | fn_sym (id_syan) OPTARGLIST |
| OPTARGLIST | $\rightarrow$ |  |
|  | $\mid$ (ARGLIST) |  |
| ARGLIST | $\rightarrow$ TREE |  |
|  | $\mid$ TREE, ARGLIST |  |

A FN_CALL invokes an externally defined function to return an attribute value. The argumenta of a function consist of a possibly empty list of attribute references and function calls. These functions are aretued to return a single value and may not exhibit any aide effects on the values of attributea appearing in the input tree. They may not reference attributes in the input tree other than
through their argument list. When a FN_CALL appeara on the right hand side of an assignment statement, the current values of its arguments are passed to the function and the result returned is assigned as the value of the attribute on the left hand side of the assignment. The function must return a value that is compatible with the type of the left hand side attribute occurrence.

### 2.3.2.3 Reassignment

TrceSen allows multiple assignments to the same attribute occumence. In this respect, TreeSem attributes behave in a fastion similar to regular program variables. Recall that an attribute grammar requires exactly one assignment to each attribute occurrence if the grammar is to be wejl-defined. TreeSem is able to allow multiple assignments becaune the flow of control is explicitly defined by the programoner. It an attribute grammar, the flow of control is determined extermally by the evaluator. When a reference is made to a TreeSem attribute, the value returned is the value most recently assigned to that attribute during execution of the TreeSem program. Consistent with the notion of multiple attribute assignments. TreeSem assumes that each attribute occurrence in the input tree has been asaigned an initial value consistent with its type. This value may be a useful one, such as the spelling of an identifier determined by a scanner, or it may simply be a default initialization value. Thus all references to attribute values during execintion of a TreeSem program will produce a defined result.

### 2.3.2.4 Upward Remote Attributes

$$
\begin{array}{ll}
\text { DIRTREE } \rightarrow & \text { †DSSYM_PLUS . (id_sym) } \\
& \mid \text { DOWN_SPEC } \\
& \mid \text { ID_SYM_PLUS . (id_sym) } \\
\text { DOWN_SPEC } \rightarrow & \text { DOWNID < SQUARE_TREELIST }> \\
& \text { SQUARE_TREE } \cdot \text { (id_sym) }
\end{array}
$$

The scope of allowable attribute references and assignments is not limited to those of the current production as a traversal proceeds. TreeSem includes notations for attributes occurring either upward or downward from the current poation in the tree. This climinates the need for the programmer to introduce attributes and attribution rules that aimply copy an attribute value from one tree location to another. An upward attribute is specified using an uparrow ( $\dagger$ ) followed by the node name, a period, and the name of the attribute. An upward attribute specification always refers to the named attribute of the first instance of the node encountered on a path from the current traversal position to the root of the tree. Nodes in the current production are not included in this path. It is the specifier's reaponsibility to ensure that the named node always occurs on a path from the current treveral position to the root. Figure 2.1 illustrates the notation for upward remote attributes.

### 2.3.2.5 Downward Remote Attributes

The opecification of a downward attribute ia a bit more complex, as there is usually more than one downward path from the root node of the current position. Thus the specification for a downward attribute definea the patlo to be taken to arrive at the desired attribute's node from the current position in the tree.


Figure 2.1: Upward Remote Referetice Notation

The DOWNID is the the name of right hand side (child) symbol of the local production that occurs in the path to the desired attribute. The SQUARE.TREE representations of all of the production applications that will possibly be encountered along the path from the current production to the sttribute are contained in the SQUARE_TREELIST. Each SQUARE_TREE must contain exactly one "\#" annotation preceding the child symbol that is to be followed (along the path) whenever this production is encountered. The first element of a SQUARE_TREE is the root element, so it cannot be the annotated one. Any production occurring in this portion of the specification must oceur on some possible path from the curtent traversal position to the desired attribute at least once. The order of productions in this list is mot important. No production may appear more than once. The final production \{the one indicated by the SQUARETTREE in the top-level DOWN SPEC expansion) must not appear in this list. The productions in the list may be encountered zero or more times along any partictur path. The SQUARE-TREE portion of the DOWN SPEC describee the productiou containing the actual attribute being referenced. The symbol that owns the attribute is marked with a "\#". The final element of the specification, the (idsym), contains the name of the attribute being referenced. An with upward referencea, it is the programmer's reponsibility to ensure that the desired attribute will always exist on the indicated path. Examples of downward remote attribute specifications appear in Figure 2.2.

### 2.4 Example Semantic Specifications

The following examples are presented to illustrate the use of TreeSem in specifying the semantics of a language. In each case, the corresponding ASE attribute grammar specification is included, so that the seader already familiar with attribute grammar notation will be able to more easily understand the TML nota-


Figure 2.2: Downward Remote Reference Notation
tion，and also so that the two specification methods can be compared．Some of the examples were produced automaticatly usiug the translation algorithms pre－ sented in the next chapter．The DECLS section is not included in the TreeSem specifications．

This first example is an AG specification for the declarations of a block－ structured language．It is adapted from Raitia．${ }^{1}$
sttribute types
errer＝boolean；
epelling＝atring；
ont env＿type：
upd－onv－type；
〈program＞：：©＜bloct
rules
cbloct＞．eny ：＝empty；
gelur
＜block＞：：＝《declidt＞〈atmtilet＞ rulas
＜declist＞．ony ：$=\langle b l o c k$ ．env；
〈ntatlizt＞，env ；＝＜declist＞．upd；
aelur
《ntntligt＞：：$=$ 《日tiotist＞＜gtmt＞
rulas


eelur
《日tinclidt＞：：
rulea

belur
〈Btinc＞：：＝〈id＞
rulea

enlut

```
<Btmt>::= <block>
```

rules
＜block＞，anv ：$=$＜atot＞，env；

[^12]```
galur
<declimt> ;:= <declimt> <decl>
mules
    <declist>2.anv := <declist>, env;
    <decl>.eny := <declist>2.upd;
    <decliat>,upd ;= <decl>,upd;
selur
<d@clist> ;:口 <decl>
rules
    <decl>.ont ; < <declist>, onv;
    <declist>.upd := <decl>.upd;
solur
<decl> ::= <id> <block>
rule!
    <block>-eny := procdeci(<decl>.env, <id>. ©pelling);
    <decl>.upd := procdecl(<decl>.env, <id>, |pelling):
gelur
```

```
<decl> ::= <vardecl>
```

ㄷㄴ영
<vardecl>.env : $\quad$ <decl>.甲日v:
<decl>, upd : = <tarderl>, upd;
alux
〈vardecl> :: $\quad$ <id> <comm> 〈vardecl>
Fulog
< Fardecl>. upd : $=$ makevardecl (<vardecl>2. upd, <id>, Fpelling,
<vardecl>2.qpolling):
<vardacls.Epalling : © <vardecls2.apelling;
<\%ardecl>2.env i" <vardecl>. onv;
selur

rules
< qurdecl>. Epelling := <typeid>. epelling;
<tardecl). upd in maketardecl(<vardecl>.env, 《id>. Bpelling.
(typeid>.spelling);
gelut

This is a straight tranklation of the above AG specification for declarations of a block－structured lamguge into TreeSem syntax．${ }^{2}$

[^13]```
grammer
    Turdacl ::m id colon typuld
    vardecl i:= 1d comme Tardecl
    decl ::= vardecl
    deck ::= 1d block
    declist ::0 decl
    declist :im declist decl
    atnt ::= block
    |tat i:" id
    |twtlist : :% stmt
    stmtlist :{# etmtlist atmot
    block ::= docliet stmtilst
    progrem :%F block
mond_grammar
trameres preorder
    [prograt, blocy] -->
        e progran :
            blocz.onv :" fn mmpty;
    [block, declist, stntlist] =->
        | block :
            declist, صпv ;= block,e刀F;
        0 declint :
                stmtliet, emv ;= decligt.upd;
    [declist, declist, deci] -->
        - declist :
                decligt(2), env :m declist.emy;
            0 declift(2) :
                decl,on% := decligt(2) ,upd;
            dacl :
                declift.upd := decl.updi
    [declist, decl] -->
            | decligt ;
                decl.en% :" declimt.env;
            4 decl :
                decligt.upd ;= decl.upd;
    [decl, 1d, block] -->
        |id:
            block.onty :* fn procdecl(decl.Anv, id.spelling);
        - block :
            decl.upd :" in procdecl(decl.env, 1d.epelling);
```

the algorithms of Chapter 3 , severaj syntactic symbole have been replaced with ascii aproximations: ; : $\boldsymbol{*}$ replaces $\rightarrow$; $=$ replaces - - $^{->}$replaces $\Rightarrow$.

```
    [decl, vardecl] -->
        G\mp@code{cl :}
        vardecl.env :" decl.env;
    & vardecl :
        decl,upd :" vardecl,upd;
    [vardecl, id, comm, vardecl] -->
    Ccomma :
        vardacl(2), onv := vardecl.env;
        0 vardecl(2) :
            vardecl، upd := In mekeqardecl(vardecl(2). upd,
                        4d.spol11ng, vardecl(2).spelling);
        vardecl.gpelling : F vardecl(2).ap@llingi
    [vardecl, id, colon, typeid] -->
    e typoid ;
        vardecl.upd := fr makevardecl(vardecl.onv,
                        id. FPalling, typoid.spolling);
        vardecl.spelilng i= typeld. spelling;
    [stmtlist, Etritisnt, stmt] -->
        0 stmtlist :
        Etmtligt(2).env := 0tmtlist.onv;
    0 stmt118c(2) :
        Etme.fnv := stmtlist.gnv;
    [gtmtlist, gtnt] - ->
    0 ttmtlist :
        Ftmt.env := stmtlist.env;
    [stmt, 1d] -->
        | 1d :
            日tmt.बrror := fn checkume(ntmt.onF, id.apelling);
    [Etmt, block] -->
        0tmt :
            block.em% := gtmt.onv;
end_traveree
```

The next example shows how the remote refercnces of TreeSem are used to climinate the need for copy rules in the specification. Upward references and assignments are made to the "env" attribute of block, and the types of variables are obtained through a downward reference.
grammar
program : : = block

```
    gtmtlist ;:" atmtifst atmt
    |tmtlisat ::" atmt
    declift ::" decliet decl
    declist ::= decl
    decl ::■ id block
    decl ::m Tardecl
    #surdecl ::% id comm Fardecl
    vardecl ::| id colon typeid
    block ::= decliet atmtlist
    0tmt i;:M id
    |tmt ::= block
ond_grammar
traverge preorder
    [program, block] -->
    4 program ;
        block.0n% :" fn mmpty:
    [decl, jd, block] =->
    4 decl ;
            block.*nt :" fn procdecl(*block.env, id.apeliling):
            *block.ø日v := block.env;
    [vardecl, id, comma, vardecl] -->
        4 Tпrdecl(2) ;
            -block.onv ;= In makerardecl (*block,onv, id.opelling,
                        #vardecl(2)<[7nrdecl,1d, comma,"vardecl(2)]>
                        [verdecl,id,colon,Attpeid].mpelling);
[vardecl, id, colon, typeid] -->
    0typeid :
            Eblock.ent := fr makevardecl (-block.env, id.apelling,
                        typeid.zpelling);
    [remt, id] -->
        0日titt;
            Atot.error ; = In chackume(*block.en%, 1d.spelling);
    [Btmt, block] -->
        0%tmt :
            block.eny :" "block.onv;
end_trataree
```

The following example is the result of translating the previous TreeSem specification into an attribute grammar. The attributes derl.blockenv2 and
declist.blockenw3 correspond to the decl.upd and declist.upd attributea of the original AG example. The oddly named "p8n3_p9n3_spellingl" attribute, as well As the numerical endings on the attribute names are a result of the translation process, and will be explained in the next chapter.

```
attribute types
    error1 = boolean;
    pBn3_p9n3_apel11ng1 = otring:
    epallingo = atring:
    @nv1 = onv.typu;
    env2 = onv_type;
    blockenvi m env_type;
    blockenv2 = env_type;
    blockmens m env_type;
<program> ::0 <block>
rulen
    <block>.envi := empty;
melur
<block> ::= <declist> <|trtlint>
rules
    <decli|t>.blockenvi :" <block>.env1;
    <block>. #nv2 ;= <decliat>.blochen+3;
    <gtmtlist>.blockenvi := <decligt>.blockenv3;
solur
<ntmti|zt> : :% <atmtligt> <atmt>
rulet
    <etntliet>2.block#nyi := <etmtligt>.blackenvi;
    <日tmt>.blockenvi :" <etmtlist>.blockenvi;
801ur
<stmtlist> ::0 <etmt>
muleg
    <Atnt>,blockenvi :* <etmtlist>,blockenv1;
Belur
<stmt> it:= <id>
rulea
```



```
|mlur
```

```
<stmt> : := <block>
```

<stmt> : := <block>
ruled
<block>.fnvi := <etmt>.blockenv1:
A@lur

```
```

<declimt> ::Q <decligt> <decl;
ru108
<d*clist>2.blockenvi ;= <decli\#t>.blockonv1;
<declist>.bloclenv2 := <decliat>2.blockonv3;
<decl>.blockenv1 := <declivt>2.blockenv3;
<declist>.blockenv; ;: <decl>,blockenv2;
Balur

```
〈declist> : : \(=\) 《decl>
rules
    <decl>, blockenvi ; © 〈decliEt>. blockenvi;
    <decliat>. blockeny3 :a <decl>. blockenv2:
golur
〈decl> :: : 〈id> 〈block>
rul \({ }^{\text {at }}\)


Belur
<decl> :: \(=\) <vardecl>
rulat
    <vardecl>.blockenvi : = <decl>, blockenv1;
    <decl>, blockenv2 : = <vardocl>.blockenv3;
eelur
《vardecl> : : \(\quad\) <id> <coma> <vardecl>
rul *
    <yardecl>2.blockenv1 := 〈vardecl>.blockenvi;
    <varderl>.blockenv2 : 0 <vardocl>2.blockenv3;
    <yardecl>.blockenv3 : m makevardecl (<vardecl>2.blockenv3,
        (1d). apellingo.
        <vardecl>2-pan3_p9n3_epo111ng1):
    <verdecl>, p8n3_p9n3_*pellingl : © <whrdecl>2.p日n3_p9n3_opellingi;
BeluT
<yardecl> : :
rules
    <vardocl>, blockenp3 : = makquardecl(<vardecl>.blockenv1,
                        <4d>. apelling0, <typeid>.epallingo);
    <yardecl>.p8n3_p9n3_spelling1 : = <typeid>.apellingo;
belur

This exarnple is an AG grammar taken from Waite and Goos．\({ }^{3}\) The AG

\footnotetext{
\({ }^{3}\) Waite and Gooa，page 206.
}
specification is evaluable in a minimum of 2 alternating passes.
```

attribute typag
a = intager:
b = integer;
c = intoger;
d = integer;
e = intoger;

```

```

    g = integer;
    (Z) ::= <x>
rulen
<z>.b :- 1;
adur

```

```

TM\!8
<u\rangle.a := <l>-d;
(x).e := < (%.g;
<x>2,b := <x>.b;
<प\rangle.d := <X>2.a;
<X>.I := <I>2.e;
gelur
<x> ::= a
rules
<k>.a := <x>.b;
<x>.e := <X>.b;
ablur
<W\rangle ::= t
rulea
<H>.c := 〈H\rangle.d;
selur
<Y> :::\# u
ruleg
<Y>.g := <Y>.f:
ealur

```

The TreeSem sjecification below is a translation of the previous AG exnmple. Note that two traversals result, corresponding to the two passes needed to evaluate the AG. The use of the reverse statement is also shown. This chuses the second traversal to proceed from right to left, rather than left to right, as it visits the child nodes of each production.
```

granmar
Z ::% %
X :!= 1%XY
X :!= %
W ;i= t
Y ::■ u
end-grammar
traverme preorder
[Z, X] =->
ex:
Z.b := In 1;
[X, H, X, Y] =->
0H:
I(2).b := X.b;
| Y %
X.f := X(2).f;
X.0 := Y.g;
[x, B] =->
| :
X.@ := X.b:
[Y, u] =->
|u :
Y.g := Y.f;
and_tratorem
reverge;
trayerme preorder

```
```

    \([\mathrm{X}, \mathrm{W}, \mathrm{X}, \mathrm{Y}]=-\mathrm{P}\)
    ```
    \([\mathrm{X}, \mathrm{W}, \mathrm{X}, \mathrm{Y}]=-\mathrm{P}\)
        也 W:
        也 W:
            X. \(\mathbf{B}\) : \(=\mathrm{H} . \mathrm{d}\);
            X. \(\mathbf{B}\) : \(=\mathrm{H} . \mathrm{d}\);
        © (2) :
        © (2) :
            W.d : \(=\mathrm{I}(2) . \mathrm{n}_{\text {; }}\)
            W.d : \(=\mathrm{I}(2) . \mathrm{n}_{\text {; }}\)
    \(\left[\begin{array}{ll}\mathrm{X}, \mathrm{B}\end{array}\right]-->\)
    \(\left[\begin{array}{ll}\mathrm{X}, \mathrm{B}\end{array}\right]-->\)
        © 8 :
        © 8 :
            X, \(\boldsymbol{A}=\mathbf{X}=\mathrm{b} ;\)
            X, \(\boldsymbol{A}=\mathbf{X}=\mathrm{b} ;\)
    \([W, t] \quad \rightarrow>\)
    \([W, t] \quad \rightarrow>\)
        \(6 t\)
        \(6 t\)
            H.c : = W.d;
```

            H.c : = W.d;
    ```

\section*{Chapter 3}

\section*{Translation Algorithms}

This chapter preaents a pair of algorithms that will translate a TreeSem program to an equivalent ASE attribute grammar specification, and will translate an ASE attribute grammar specification into an equivalent TreeSem program. The translation algorithms take advantage of the explicitly defined control flow in the Tressem program atid the known traversal strategy of the ASE evaluator to determine a mapping between the TreeSern and AG attribute assignments and references. Statistics on the implementations of these algorithms appear in Appendix B.

\subsection*{3.1 Translation from TreeSem to AG}

This section deacribes the algorithm used to tratulate a TreeSem program into an equivulent ASE attribute grammar. The algorithm must remove remote attribute specifications and multiple attribute assignments, must assign the proper values to significant attributes, and it must ensure that the reaulting \(A G\) specification satisfies the ASE restrictions.

\subsection*{3.1.1 Grammar, Declarations, and Reversals}

As the grammar section of the TreeSem specification is read, it is stored in such a way as to facilitate the various typea of acceas required by later portions of the translation algorithm. Each production in the grammar is numbered, starting with 0 .

A simple list of attribute type declarations is created as these declarations are read. These stored types are used to determine the types of attributea for the AG specification.

A boolean reversed indicates whether an odd number of reverse statements have previously been encountered in the text of the TreeSem program. It is initialized to false and negated by each subsequent reverse statement.

\subsection*{3.1.2 Traversals}

The TRAVERSALs of the TreeSem program define the actual assignment actions that are to be carried out on the input tree. As pointed out in Chapter 2, all traversal ORDERs are cssentially equivalent, so this algorithm assumes the ORDER is always preorder. As each TRAVERSAL is read, the assignments contained in it are stored. At the end of the TRAVERSAL, several steps are performed to effect the translation of the TreeSetn assignments into AG assignments.

The overall translation atrategy is based on the fact that we can determine, for each possible attribute occurrence in an infut tree, the maximum number of nssignments to this attribute before its owning symbol is visited, as it is visited (in the root peaition), and after it is visited, regardless of the symbol's derivation. These assignment counta are used as the basis for establishing proper attribute assignments and references in the reaulting AG specification.

\subsection*{3.1.2.1 Remote Atribute Translation}

Remote attributes of a TreeSem program are translated to local attributes of the production indicated by the curterk GUARD. Upward attributes are changed to local attributes of the root aymbol of the production. Downward attributes are changed to local attributea of the DOWNID symbol appearing in the downward attribute specificationt. When remote attributes are changed into local attributes, a new name is constructed for them so they do not conflict with existing attributes. The type of the local attribute is the same as the type of the remote attribute that genernted it.

For upward remote attributes, the new attribute name is constructed an a concntenation of the original symbol name and attribute name. For example, if the specification \(\dagger \mathrm{x}\).val appears in the context of the guard \([\mathbf{A}, \mathrm{B}, \mathrm{C}]\), it will be replaced with A.xval. We will assume that names created in this manner will not conflict with existing attribute names.

For downward remote attributes, name construction for the new attributes is more complicated. The constructed names repreaent the path from the owning symbol of the attribute to the actual goal attribute, the attribute at the terminal end of the path. To construct an attribute name for a particular owning symbol:
1. Determine all the productions in the SQUARE_TREELIST and the final SQUARE_TREE of the downward specification that are used in any patl \(_{1}\) from the aymbol to the goal attribute, expanding only the marked symbluf of eacls SQUARE_TREELLST production, find never expanding the final SQUARE_TREE production. (Although all productions mast be used in some path from the point where the specification originally occurs, the translation process creates new assignmenta and ubes the sante downward specification in different contexts, so some productions may not be
reachable.)
2. Sort the productions that were used by their associated numbers.
3. The attribute name is made up of a sequence of

> P(production number)n(node number)
constructions. Production number refers to the associated number for each production and node number is the position of the marked right hatud aide symbol in the production, where the left hand side symbol is numbered 0 . The initial elements of the sequence are derived from the sorted list obtained in step 2. These are followed by an element derived from the final production in the downward specification.
4. The name is terminated with the goal attribute name from the downward specification.

For example, assume the following CFG and the associated production numbers:
\begin{tabular}{c|lll} 
Production Number & \multicolumn{2}{|c}{ Production } \\
\hline 1 & B & \(\rightarrow\) & C U \\
2 & F & \(\rightarrow\) & G \\
3 & A & \(\rightarrow\) & B \\
4 & C & \(\rightarrow\) & D \\
5 & D & \(\rightarrow\) & E \\
6 & G & \(\rightarrow\) & C V \\
7 & C & \(\rightarrow\) & D A \\
8 & D & \(\rightarrow\) & D A
\end{tabular}

If the following reference were associated with \([A, B]\),
\[
\# \mathrm{~B}<[\mathrm{D}, \# \mathrm{C}, \mathrm{U}][\mathrm{C}, \# \mathrm{D}][\mathrm{D}, \# \mathrm{D}, \mathrm{~A}][\mathrm{C}, \# \mathrm{D}, \mathrm{~A}]>[\mathrm{D}, \# \mathrm{E}] . \mathrm{x}
\]
then the attribute name for the symbol \(B\) would be
\[
\text { p1nl_p4nl p7n1_p8nl p5n1 } x
\]
the attribute name for the symbol \(D\) would be
\[
\mathbf{p}^{8 n l} \mathbf{p}^{5 n 1} \text { x, }
\]
and at \(E\) the attribute name is simply
\(x\).
Again, we assume that names created in this manner will not conflict with existing attribute names.

Although the method for constructing downward remote attribute names is complex, the resulting names have the advantage that, regardless of where a downward attribute specification initially occurs, the name generated for a particular attribute of any symbal along the path will be the same. Thia greatly simplifies the task of determining which downward assignments and references are affecting the same attribute in the original specification.

\subsection*{3.1.2.2 Explicit Assignments and Counts}

Each assignment appearing in the body of a TRAVERSAL is termed an explicit assignment. For cach TRAVERSAL, the explicit assignment list for each symbol of each grammar production is initially empty. Each explicit assigument, with remote attributes replaced by local ones, is added to the end of explicit assignment list of the symbol indicated by the most recent GUARD and WHEN encountered in the text of the program.

In order to compute the assignment counts mentioned above, it is necessary to categorize each mssignment based on whether it is made before or after the traversal visits the node indicated by the ths of the assignment. Tlut, for each assignment, a Boolean before is determined besed on the tha of the assignment, the moet recent WHEN, and the production indicated by the most recent

\section*{GUARD as follows:}
1. If the symbol indicated by the WHEN is the Jhs symbol of the production, BEFORE is false.
2. If WHEN indicates a rhs symbol in the production, let when_index be the number of symbols in the GUARD preceding the symbol indicated by the WHEN, and let lhsinder be the number of symbols in the GUARD preceding the symbol indicated by the lhs of the assignment.
(a) If reversed is true, BEFORE \(=\) (when_index \(\leq\) lhs_imdex).
(b) If reversed is false, BEFORE \(=\) (when_index \(\geq\) (hs_index).

For each attribute of each symbol of each production, there is a pair of counts, assigned_tip and assigned_doun that indicate the number of assignments made to this attribute during this traversal on ant upward path and on a downward path respectively. These connts are act to 0 at the beginuing of etach traversal. Based on the value BEFORE, each explicit assignment increments one of these counts for the attribute indicated by the lhe of the assignment.

\subsection*{3.1.3 Implicit Assignments}

As remote uttribute specifications appearing itn the explicit assignments are changed to local ones, it is necessary to add implicit assignments. These assignments copy attribute values upward or downward in the tree, linking locally generated attributes with their corresponding remote attributes.

\subsection*{3.1.3.1 Downward Reference Propagation}

For each downward refercnce occurring in htl explicit assignment, implictit downward reference assigutnents afe introduced to copy the value of the referenced
attribute upward to the point where the explicit assignnent is applied. The value of BEFORE associated with each of these implicit astignments is the same. It is determined using the method of Section 3.1.2.2, considering the DOWN SPEC symbol of the downward specification as the lhs assignment symbol. An assignment is generatec' for each production included in the SQUARE_TREE_LIST or the final SQUARE_TREE of the downward attribute specification being referenced, uniess a duplicate implicit downward reference assignment with the same before value aiready exista for this TRAVERSAL. The lhs of each assignment is an attribute with the same downward specification as the remote attribute, associated with the root symbol of the production. The rha of the assignment is the same attribute associated with the symbol that was marked for this production in the downward specification of the remote attribute. (Note that the same downward attribute specification will generate different attribute namea when associated witt different symbols, and that different downward specifications may gencrate the same attribute name for a given symbol.) Thus, when determining whether duplicate assignments exist, it is necessary to compare the names of attributes occurring in the assignments, rather than the specifications of those attributes. Each assignment that is added increments either the assigned_up count or assigned doun count of the lhs attribute of the assignment, depending on the value of BEFORE. For the grammar of Section 3.1.2.1, the guarded assignment
\([A, B] \Rightarrow\)
\[
\text { QA: B. } y \leftarrow \# B<[B, \# C, U][C, \# D][D+\# D, A][C, \# D, A]>[D, \# E], x_{i}
\]
will generate the following implicit downward reference assignments for the productions appearing in the downward specification:
\(\mathrm{B} \rightarrow \mathrm{C} \mathrm{U}\)
B.p1n1_p4nl_p7nl_p8al_p5n1_x \(\leftarrow\) C.p4nl_p7nl_p8al_p5nl_x;
\(\mathbf{C} \rightarrow \mathbf{D}\)

\(\mathrm{C} \rightarrow \mathrm{DA}\)

\(\mathbf{D} \rightarrow \mathbf{E}\)
D.p8n1_p5n1_x \(\leftarrow E \cdot x_{i}\)
\(\mathrm{D} \rightarrow \mathrm{D} A\)
D.p8n1_p5n1_x \(\leftarrow \mathrm{D}(2) \cdot \mathrm{p} 8 \mathrm{n} 1\) p5n1_x;

Of course, the explicit assignment will have the remote attribute reference replaced with a local one:
\(\mathrm{A} \rightarrow \mathrm{B}\)
B. \(y\) ■ B.pln1_p4n1_p7n1_p8n1_p5n1,x;

\subsection*{3.1.3.2 Downward Assignment Propagation}

Implicit downuard assignment assignments are added for explicit assignments to downward remote attribute in a similar manner to the addition of implicit downward reference assignments. Since implicit downward assignments must move information downward in the tree, the left hand sidea and right hand aides of the generated assignments are reversed from those gencrated for downward references; the lhs of the assignment will be an attribute of the marked symbol of the production, and the rhs will be an attribute of the root aymbol of the production. The value of BEFORE associatod with each of these implicit assignments is the BEFORE value computed for the explicit assignment containing the downward attribute specification. Considering again the grammar of Section 3.1.2.1, the guarded assignment
\([\mathbf{A}, \mathbf{B}] \Rightarrow\)
GA: \#B \(<[\mathrm{B}, \# \mathrm{C}, \mathrm{U}][\mathrm{C}, \# \mathrm{D}][\mathrm{D}, \# \mathrm{D}, \mathrm{A}][\mathrm{C}, \# \mathrm{D}, \mathrm{A}]>[\mathrm{D}, \# \mathrm{E}] . \mathrm{x} \leftarrow \mathrm{B} . \mathrm{y} ;\)
will generate the following implicit downward assignment assignments for the productions appearing in the downward specification:
\(\mathrm{B} \rightarrow \mathrm{C} \mathrm{U}\)

\(C \rightarrow D\)

\(C \rightarrow D A\)

\(\mathrm{D} \rightarrow \mathbf{E}\)
E. \(x+\) D.p8nl_p5nl \(x_{7}^{-}\)
\(D \rightarrow D A\)


And the explicit assignment beromes:
\(\mathrm{A} \rightarrow \mathrm{B}\)
B.plnl_p4nl_p7n1_p8nl_p5n1 \(x \leftarrow B . y ;\)

\subsection*{3.1.3.3 Upward Reference Propagation}

When ittroducing implicit upwnrd reference assignments, the remote attribute specification does not indicate what productions are used in the path from the explicit assignment to the desired remote attribute. Thus the first step in generating implicit upward reference assignments is to determine all the upward patlis in any possible derivation tree, from the root of the production guarding the explicit assignment to the owning symbol of the upward referenced attribute.

For each arc in any of these pathe, an implicit upward reference assignment is added, unless an identical assignment already exists for this TRAVERSAL. The node of the ar closest to the root of the tree is teraned the root symbol, and the other node is the child symbol. The production used to generated the are is referced to an simply the production. It is possible that more than one arc of a given production could be used in the upward pathe. In this case, multiple assignments are generated.

The ths of the implicit assignment generated for an arc ia an attribute with the same specification as the upward remote attribute, but belonging to the child symbol. The rlas of the assignment is an attribute with the same specification as the upward remote attribute, belonging to the root node. Name translation for upward attributes is used to genernte the actual names for these attributes. Note that the root symbol of the uppermost are in each path is the actual upward remote attribute symbol, so the attribute name generated is the local attribute name. The \(\operatorname{GEFORE}\) value for all implicit upward reference assignmenta is false, so the assigncd_down count for the lhe attribute is incremented for each mssigntuent generated.

For example, consider the grammar
\[
\begin{aligned}
& \mathbf{A} \rightarrow \mathbf{B C B} \\
& \mathbf{B} \rightarrow \mathbf{D} \\
& \mathrm{D} \\
& \mathrm{D}
\end{aligned} \mathbf{\mathrm { E } D} \mathrm{E}
\]

The guarded command,
\([\mathrm{D}, \mathrm{E}] \Rightarrow\)
\[
\text { gD: E.y } \leftarrow \dagger A . x ;
\]
generates the implicit upward reference assignments;
\(\mathrm{A} \rightarrow \mathrm{BCI}\)
\[
\mathbf{B} . \mathrm{ax} \leftarrow \mathbf{A} \cdot \mathrm{x}_{i}
\]
\[
\mathrm{B}(2) . \mathrm{ax} \leftarrow \mathrm{~A} . \mathrm{x}
\]

B \(\rightarrow\) D
D.ax \(\leftarrow\) B.ax;
\(\mathrm{D} \rightarrow \mathrm{ED}\)
D(2).fux \(\leftarrow\) D.ax; .
The explicit essigument is changed to
\(\mathrm{D} \rightarrow \mathrm{E}\)
\[
\text { E. } y \leftarrow \text { D.ax }
\]

\subsection*{3.1.3.4 Upward Assignment Propagation}

Smplicit upuard assignment assignments are getmernted in a similar manner to implicit upward refercnce asaignments. The only difference is that the lhs and rhe of assignments are reversed. The before value for all generated assignments is false, and the assigned down counta are incremented. Uaing the above grammar, the guarded assignmert,
\([\mathrm{D}, \mathrm{E}] \Rightarrow\)
QD: †A.y \(-\mathrm{E}_{\mathrm{K}} \mathrm{F}\)
generates the implicit upward assignment assignments:
\(A \rightarrow B C B\)
A. \(y \leftarrow\) B.ay;
\(A \cdot y \leftarrow A(2) \cdot \mathrm{ay}\);
\(\mathrm{B} \rightarrow \mathrm{D}\)
B.ay - D.ay;
\(\mathrm{D} \rightarrow \mathrm{E} D\)
D.ay \(\leftarrow \mathrm{D}(2)\) ay;

The explicit assignment is changed to
\(\mathrm{D} \rightarrow \mathbf{E}\)
D.ay \(\leftarrow \mathrm{E}_{\mathrm{x}} \mathrm{f}\).

Notice that the sttribute A. \(y\) is assigned twice in the first production, a situation not allowed in AGs. This problem is resolved tater in the translation process.

\subsection*{3.1.4 Catchup Assignments}

Because of the context-free nature of the gramanar underlying a TreeSem input tree, implicit assignmenta that are really only applicable to aome instances of a symbol or production must be applied to all of them. The problem that reaulte from this is that an attempt to reference attribute values that are not properly in place may occur. Examples of this type of problem appear in the following sections. The solution to this problem is to identify the attributes that will be referenced, atad to copy the old value of the assigned attribute to the referenced attribute. That way, when the assignment is made, the value of the attribute that was not supposed to be clanged will remain the same. Since these types of reference problems result from upward and downward attribute assignments, the assignments added here will be called upward catchup and downzard catchup assignments.

Another situation that canses reference problems results from an attribute numbering scheme that will be discussed later. Assignments added to correct theac problems are termed eaplicit catchup nssignments.

\subsection*{3.1.4.1 Downward Catchups}

Downward catchup assignments are neceasary when an implicit downward assigntnent has been added for an attribute \(x\) of a production \(p\), but there is soine production \(q\) that derives the root symbol of \(p\), yet makes no assignment, with the game BEFORE value as that of the implicit downward assignment, to the \(x\) attribute of that symbol. Figure 3.1 showa an example of this situation. The figure shows the flow of information in the parse tree corresponding to the


Figure 3.1: Downward Reference Anomaly
string \(D D\) after inplicit downward assignments have been added for the explicit assignment
\([\mathrm{A}, \mathrm{B}, \mathrm{B}] \Rightarrow\)
\[
\mathrm{A}: \# \mathrm{~B}(2)<\mathrm{B}, \# \mathrm{C}>[\mathrm{C}, \# \mathrm{D}] . \mathrm{z} \leftarrow \mathrm{~A} . \mathrm{y}
\]
using the grammar bhown. In this case, \(p\) is the production " \(B \rightarrow C\) " and \(q\) is
 signment to \(B(1) \cdot p 2 n 1 \ldots 3 n 1 \_\)in production \(q\), yet it is referenced in production \(p\).

The solution to this problem is to add an asignment in the context of production \(g\) that assigns the attribute \(x\) the old value of the attribute on the tha of the original explicit downward assignment. The lhs of this asignment is the previously unassigned attribute. The rha of this assignment is a downward reference to the attribute indicated by the original explicit downward assignment , but whose downard path apecification originates at the aymbol owning the "unassigned" \(x\) in production \(q\). For our example, thie adds the assignment
\[
\left.\mathrm{B}(1) \mathrm{p}^{2} \mathrm{n} 1 \mathrm{p}^{3} \mathrm{n} 1\right]_{2} \leftarrow \# \mathrm{~B}(1)<\mathrm{B}, \# \mathrm{C}>[\mathrm{C}, \# \mathrm{D}] \cdot z
\]
in the context of production \(q\). The BEFORE value for this asgignment is the same as the befort value for the ituplicit assignment in production \(p\).

The WHEN aytnbol associated with this assignment is determined based on the current value of reversed and before. If nefore is false, WHEN is the root sytnbol of production \(q\). Otherwise, if reversed is irue, WHEN is the first rha symbol of \(p\), and if reversed is false, WHEN is the last rhs symbol of \(p\). For the example in Figure 3.1, before is false and we will assume reversed is fatse, so WHEN is A. In all casca, the assignment is added to the beginning of the explicit assignment list for the WHEN symbol, and either assigned_up or assigned_down is incremented as appropriate.

The added assignment contaith a downward reference. This assignment is subjected to downward anme translation and implicit downward reference assignments are added. The AG assignments that result in the case of our example are
\(A \rightarrow B B\)

\(\left.\mathrm{B}(2) \cdot \mathrm{p} 2 \mathrm{n} 1_{-} \mathrm{p} 3 \mathrm{n}\right]_{-2} \leftarrow \mathrm{~A} . \mathrm{y}_{7}\)
\(\mathrm{B} \rightarrow \mathrm{C}\)
C.p3n1_z \(\leftarrow\) B.p2n1_p3n1_z;
B.p2nl_p3nl_
\(\mathrm{C} \rightarrow \mathrm{D}\)
D. \(z \leftarrow C . \operatorname{lin}^{3} 12 ;\)
C.p3nla \(\leftarrow\) D. ;
and the flow of attribute valueg is shown in Figure 3.2. Solid arrows show


Figure 3.2: Downward Catchup Assignments for Figure 3.1.
assignments resulting from downward catchup analysis. Note that the AG assignments are not in their final form. In particular, we have the assignment


\subsection*{3.1.4.2 Upward Catchups}

Upward catchup assignments are necessary when an innplicit upward nssignment has been added for a rha attribute \(R . x\) of a production \(p\), but there is aome production \(g\) with root symbol \(\boldsymbol{R}\) that makes no assignment to \(\boldsymbol{R}\). \(\boldsymbol{x}\). Figure 3.3 shows an exnmple of this situation. The figure shows the flow of information in


Figure 3.3: Upward Reference Anomaly
the parse tree corresponding to the string \(D E\) arter implicit upward assignments have been added for the explicit assignment
\([\mathrm{C}, \mathrm{D}] \Rightarrow\)
ตD: \(\uparrow\) A.y \(-\mathrm{D} . \mathrm{z} ;\)
using the grammar shown. In this case, \(p\) is the production " \(\mathrm{B} \rightarrow \mathrm{C}\) " and \(q\) ia " C \(\rightarrow\) E." The attribute, \(x\), that was added is ay. There is no assignment to C.ay in production \(q\), yet it is referenced in production \(p\).

The solution to this problem is to add an assignment in the context of production \(q\), that assigas the attribute occurrence \(R\). \(x\) the old value of the attribute on the ths of the original explicit upward assignment. For our example, this adds the assignment
\[
\text { C.ay } \leftarrow \dagger A . y ;
\]
in the context of production \(g\). The before value for the added assignment is true. The WHEN symbol associated with this assignment is the root symbol, \(\Omega\), of production \(q\). The assignment is added to the end of the explicit assignment
list for the WHEN aymbol, and assigned_up for \(R, x\) is incremented.
The added assignment contains an upward reference. This assignment is aubjected to upward name translation and implicit upward reference assigaments are added. The AG assignments that rexult in the case of our example are
\(\mathrm{A} \rightarrow \mathrm{BB}\)
B.ay \(\leftarrow\) A.y;
A. \(y \leftarrow\) B.ay;

B(2) , Ay \(\leftarrow\) D.ay;
A. \(y \leftarrow \mathrm{~B}(2)\).ay;
\(B \rightarrow C\)
C.ay - B.ay;
B.ay \(\leftarrow\) C.ay;
\(C \rightarrow D\)
C.ay - D.z;
\(\mathrm{C} \rightarrow \mathbf{E}\)
C.ay - C.ay;
and the flow of attribute values is shown in Figure 3.4. Solid arrows show assignments reaulting from upward catchup analysis. Note once again that the AG assignments ate not i a their final form.

Another situation that causes reference problems resulte from an attribute numbering scheme that will be discussed later. Assignments added to correct these problems are termed explicit catchup assignments.

\subsection*{3.1.4.3 Explicit Catchups}

An attribute numbering acheme that will be described in full in a later section requires explicit catchup assignments to be added. Explicit catchup assignments


Figure 3.4: Upward Catchup Assignments for Figure 3.3.
ate adeled after implicit assignments are changed to explicit ones, and maximum counts are determined. These two processes are described in the next two sections, but addition of explicit eatchup assignments is discussed here because they relate to downward and upward catchup assignments. Explicit assignments are added in three different cases, related to the max_root, max_before, and max_after counts, which are described in Section 3.1.6.1.
1. For each attribute occurtence \(L . x\), where \(L\) is the lhs symbol of production \(p\), an explicit catchup assignment is added if there is no assignment made to \(L_{i} x\) in the context of \(p\) (assigned_up and assigned_down are both zero), but the max_root count for this attribute in greater than zero. The assignment "L.r. *- L. \(x\) " in ndded to the end of the explicit assigntment list for the root symbol of \(p\). HEFORE is artitratily chosen to be false for this assignment, and assigned_dozun for this attribute is iurcemented (to 1 ).
2. For each attrimo occhrtence \(R . \boldsymbol{r}_{\text {, whe }} R\) is a the aymbol of some production \(p\), ati explicit catchup assignment is added if the assigned_down
count for R.x is zero, but the max_before count for this attribute is greater than zero. The assigmment " \(R, x \leftarrow R, x "\) is added to the end of the explicit assignmeat list for the root symbol of \(p\). BEFOAE is fake for this assignment, and assigned_down for this attribute is incremented.
3. For cach attribute occurrence \(R . x\), where \(R\) is a rhs symbol of some production \(f_{\text {, }}\) at explicit catchup assignment is added if the assigned_tp count for R.x is aero, but the max-afier count for this attribute in greater than zero. The assignment "R.x \(\leftarrow R . x^{"}\) is added to the end of the explicit assignment list for the symbol \(R\) in \(p\). BFFOFE is true fof this assignment, and assigned_ap for this attribute is incremented.

\subsection*{3.1.5 Convert Implicit Assignments}

After all the implicit assignments have been generated, the majority of them are added to explicit assignment lists. Implicit downward references with fabe BEFORE values, and implicit downward assignments with true aEFORE valueg move infonnation against the normal flow of a traversal. All other implicit assignments move information in a direction compatible with the normal flow of a traversal, so they are able to be cotisidered as explicit assignments.

For each attribute \(x\) of each symbol \(S\) of each production \(p_{\text {r }}\) implicit askignments are converted as follows:
1. If there is an implicit downward assignment to S.x, with a fahe BEFORE value, it is added to the beginning of the explicit assignment list for the ths symbol of production \(p\). This reflects the fact that the original explicit downward assignment generating this implicit mssignment occurred at a point upward in the tree, atid sitnce befotie is false, the downward assignment has already been applied. Therefore, the effect of the downward masignment should take place before any other assignments in the context
of production \(p\). Recall that assignments associated with the root symbol of a production are always the first to be applied as a traversal visits the production.
2. If there te at implicit upward assignment referencing S.r, the implicit assignment is added to the beginning of the explicit assignment list for the sytnbol \(S\). The remote upward assignament must occur at a point below \(S\) in the tree, so it is applied during the subtree visit to \(S\). The effect of the explicit remote assignment should be fealized as soon as the traversal returns from the subtree visit. Explicit assignments associated with a symbol are always executed immediately after the traveral returns from the subtree below that node.
3. If there is an implicit downward reterence assignment that references S.r, with a true before value, it is added to the end of the explicit ensignment liat of the last node to be vigited in \(p\) during this traversal. If reversed, this node is the first node on the shs of \(p\), and otherwise it is the last rlas node of \(p\). The explicit assignment containing the downward reference that generated this implicit assignment occurs upward in the tree from \(p\). Since it has a true Before value, it has not yet been executed. The most recent value assigned to the downward attribute is propagated upward to the point of reference by executing the downward reference assignment just before the traversal leaver p. Dy making it the last assignment appiled in the context of \(p\), any assigtments made to the actual remote attribute (which may be local to \(p\) ) are reflected in the value that is passed upward.
4. If there is an implicit upward reference assignment to \(S . x\), it is added to the end of the explicit asaignment list for the symbol in production \(p\) that is visited just before \(S\) is visited.
- If reversed, this is the symbol following \(S\) in the textual representation of \(p\), unless \(S\) is the last rhs symbol of \(p\), in which case the symbol is the lhs symbol of \(p\).
- If reversed is fatse, this is the symbol preceding \(S\) in the textual representation of \(p\), unless \(S\) is the first symbol on the rhs of \(p\), in which case the symbul is the lhs symbol of \(p\).

The remote upward reference that generated the implicit assignment occurs in the subtree rooted at \(S\). By executing the implicit assignment just before visiting \(S\), the value mot recently asaigned to the upward attribute is passed downward to the point of the original upward reference. e

\subsection*{3.1.6 Attribute Suffixing}

TreeSem allows multiple explicit assignments to the same attribute and implicit assignments may gencrate ansigmments in which attributes reference themselves. Both of theae situations are not allowed in an AG specification. To solve this problem, attribute namea are given an integer suffix, therefore creating new unique attributes. These aufixes reflect the order in which assignments are made to attributer in the TreeSem program. They are masigned in such a way that attribute veltues referenced in the original TreeSem program are the ones that are referenced in the \(A G\) translation, even though the attribute names are different. A "ct" associated with each attribute occurrence is used to determine the attribute suffixes. Initially, all cha are assigned to be the max_old value for the attribute, which is the maximum number of assigntiments that could have been made to the attribute during a previous traveranl. At the atart of transiation, max_old is " 0 " for all attributes, and it is updated after translation of each travereal.

\subsection*{3.1.6.1 Maximum Absignment Counts}

The strategy used to assign attribute suffixes is based on the fact that it is possible to determine, for each attribute occurrence, the maximum possible number of assignments made to this attribute before, during, and after the visit to the owning symbol of the attribute during a travorsal. These counts are the same for all instances of a particular attribute oncurrence. The teran attrigronp will refer to the collection of all instances of a particular attribute occurrence acrose all productions. The thaxinum counta are computed for each attrigroup, and each member of the attrigroup references the same count. For an attrigroup with elements \(\boldsymbol{R}_{\mathrm{x}} \boldsymbol{x}\),
1. max_before is the maximum assigned_down count associated with Ria anywhere \(R\) appears as a rlas node of a production.
2. max_after is the maximum assigned_up count associated with \(\boldsymbol{R} \boldsymbol{x}\) anywhere \(R\) appears as a rlas node of a production.
3. max_root is the maximum of the sum of the assigned_down and assigned_up counte associated with \(R\).x for cach instunce of \(R\) as a lhs node of a production.

\subsection*{3.1.6.2 Downward Applied Downward Reference Suffixes}

Explicit assignments containing remote downward references, with falde BEFORE whlues, generate implicit downward reference assignmenta with fobe befone values. Since the explicit assignment has a false Befone value, the node containing the downward referenced attribute has not yet been visited when the explicit assignment is applied. Therefore, implicit downward reference assignments are considered to be evaluated on a separate pass over the input tree, prior to the
actual traversal. For each implicit downward reference assignment with a false before vilue,
1. The ct associated with the attribute on the lhs of the assignment ia incremented, and the resulting value is assigned as the auffix of that attribute.
2. The ct manciaterl with the attribute on the rha of the aadgnment is incremented, unless the attribute is the actual downward attribute being referenced (this can be determined from the downward name specification). The resulting \(c t\) is assigned as the attribute auffix. The reason the at is not incremented for the actual downward attribute is that no assignment will be made to it between the end of the previous traveral and the time it is referenced. The right hand sides of all other assignments will have been the left hand sides of previously executed assignmenta. Recall that no duplicate implicit assignments are allowed, so exactly one assignment is made to each attribute occurring on the lhe of a downward applied downward reference assignment.

For ench attrigroup, \(\boldsymbol{R} \cdot \boldsymbol{x}\), if an attribute occurrence \(\boldsymbol{R} \boldsymbol{x}\) appears on the the of a downward applied downward reference (dadr) assignment, the corresponding max_root value is decremented, and the corresponding max_before value is incremented. A dadr assignment contributed to the max_root count but it should now contribute to the max_before count, since dadr assignments are applied during a separate pass before the actual pass. The max_root and max_before values for atiy attrigtoup are ouly adjusted once.

For each attribute occurrence appearing on the the of a dadr assignment, the ct valuea for all members of its attrigroup are updated to the \(c t\) value of that attribute occurrence.

\subsection*{3.1.6.3 Explicit Assignment Suffxes}

Suffixes are added to attributes occurring in explicit assignment statements in such a way that the last assignment made to eacli member of an attrigroup before the attribute's node is visited, after it is visited, and when it occurs an the root node of a production will have the same suffix. For each production, the assignments corresponding to each syrubol of the production are considered in the order in which they appear in the explicit assignment list for that symbol. Suffixes are computed for the assignments of the ront aymbol of a production first, and theth for the rhe sytubols in the order indicated by the current value of reversed.

For each attribute of a lhs node of a production, the correbponding ct is nasigned the the sum of the max_before and max_old walues for its attrigronp. All assignments made to the llys node of a production before the node is visited have already taken phace when the node mppeared on the rhs of some other production.

Inmediately before determining the suffixes for the assignments associated with a rhs symbol, the ct values for all attributes of that symbol are assigned the sum of the corresponding max_old, max_before, and max_root counts. The assignments associated with a rhs are those that are applied immediately after the traversal returns frotm the subtree visit to that symbol, so all before and roat assignmenta made to this symbol's attributes lave already taken place.

For each assignment, the suffixes for all attributes appearing on the rhs of the assignment are assigned their current ct values. Then the \(c t\) for the attribute on the tha of the assignment is modified in one of the following ways, using the counts appropriate for this attribute:
1. If the attibute's owning symbol is the ths symbol of the production, and
ite ct equals "max_old + max_before + assigned_up + assigned_down - \(\mathbf{1 "}_{\text {" }}\) then ot becomes "max_old + max_before + mex_root." This is the case when this assignment is the last one made to this root attribute in the context of this production.
2. If the attribute's owning aymbol in a rls symbol of the production, and its ct equals "max_old + assigned_down - 1," then et becomes "max_old + max_before." In this situation, the assignment is the last one made to this attribute before the owning symbol is visited.
3. If the attribute's owning symbol is a the symbel of the production, and ita ct equals "max_old + max_before + max_root + assigned_tip \(-1_{\text {, }}\) then ct becomes "max_old + max_before + max_root + max_after." In this situation, the assignment is the last one made to the rhs symbol's attribute in the context of this production.
4. If none of the above cases apply, it is incremented. This assignment is not critical to the rest of the numbering scheme.

The auffix for the attribute on the lhe of the asignment is the reaulting value of the corresponding ct.

\subsection*{3.1.6.4 Upward Applied Downward Assignment Suflixes}

As with downward applied downward reference assignments, upward applied downward assignment (uada) assignments are considered to take place on a separate pass over the tree. This extra pass takes place after the main traversal.

Since all implicit assignments are unique, hud since all other assignments have already taken place at the time uada assignments are applied, suffixes for attributes occurring in these assignments are casily determined. The ct values for the nttributes on the lhs and the rhs of all uada nssignments are assigned
as the sum of the corresponding max_old, max_root, max,before, and max_after counts. The suffixes for the attributea are the resulting \(c t\) values.

Note that at this point, the af for any element of an attrigroup is the sum of max_old, max_root, max_before, and max_after for that attrigroup, 'These \(c \neq\) values will become the basis for computing the attribute suffixes for the next traversal.

\subsection*{3.1.7 ASE Adjustment}

After attribute suffixes have been added to climinate multiple assignmente to the amme attribute, there is one more translation step that must be performed to ensure that the generated AG will satisly the conditions for Alternating Semantic Evaluation. It is possible that some assignment staternents may contain references to attributes that were assigned to earlier in this pass, butafter their owning symbole were visited or they have not yet been visited. This type of reference would cause the assignment to be delayed in the ASE. To remove this problem, a very simple form of symbolic execution is performed on the assigntmenta contained in the explicit assignment lists for each symbol of each production. Note that the dadr and uada assignments are considered to occur during separate passea from the explicit assiguments, and therefore do not have to be considered during this aymbolic execution process.

For cach production, the explicit assignmenta associated with symbols of that production are ordered in the order they will be mpplied during the traversal of that production. A simple concatenation of the explicit assignment list for the root symbol, followed by those of all the rls symbols in the production, either from left to right if reversed is fabe or right to left if reversed is true, provides this ordering. For each assignment, in the order determined above, each of the attribute references on the rhs of the assignment is analyzed. If there is an
earlier assignment to this attribute, the reference to this attribute is replaced with the rhs of the earlier assignment. If there is no earlier assignment to this attribute, the reference is left unchanged.

\subsection*{3.1.8 Intertraversal Ad Hocery}

For each attrigrouj, the max_old count becomes the sum of the max_old, max_before, max_rant, and max_affer counts. Then the max_before, max_root, and max_after counte are all set to zero.

For each production, all of the assigmments in the explicit assignment lists for the symbols of that production are added to an initially empty list of assignments for that production. The explicit assignment lists are then cleared. For each attribute of cach symbol, any dadr or uada assignments are added to the list of assignments for the production. The assigned_up and assigned_down counts for each attribute are set to zero.

After translation of all TRAVERSALs, the assignments associated with each production are the defining functions for the attributes of symbols in that production.

\subsection*{3.2 Translation from AG to TreeSem}

The mapping of an ASE attribute grammar npecification into an equivalent Treesenmpecification is achicved by constructing a sequence of TreeSem TRAVERSALs corresponding to the passes executed by the Alternating Semantic Evaluator. The GUARDs and WHENs of TresSm are introduced to mimic ASE control flow in the TrecSem program. This method of translation from an AG to a TreeSem program was developed simply to show that such a translation is possible.

The ASE membership algorithn (see page 14) is used to determine the number of passes, m, required for evaluation of the attribute grammar, and which attributes are evaluated during each pass. Each of the \(m\) passes generates a TreeSem TRAVERSAL whose ORDER is preorder. The TRAVERSALs appear in the order of their corresponding passes. A reverse statement is generated after every TRAVERSAL, except the lest one.

The body of the TRAVERSAL corresponding to a particular pass, \(k\), contains an assigument statement for every attribute occurrence, \(\boldsymbol{R} . \boldsymbol{r}\), that is evaluated on pass \(k\). The GUARD for each assignment is the production, \(p\), with which the attribute occurrence is nssocinted. If \(k\) is evert, the WHEN corresponding to the assignment is the symbol following \(R\) in \(p\), or the root symbol of \(p\) if \(R\) is the last aymbol of \(p\). If \(k\) is odd, the WHEN corresponding to the assignment is the symbol preceding \(R\) in \(p\), or the last symbol of \(p\) if \(R\) is the root symbol of p. The reason for choosing the WHENs in this way is that evaluation of each assignment is postponed to exactly the point in the traversal that it would be evaluated by the Alternating Semantic Evaluator.

\section*{Chapter 4}

\section*{Proof of Algorithms}

This chapter provides proof that TreeSem and ASE attribute grammars are equivalent in terms of their power to express the semantics of programming languages. The equivalence is much more of a "untural" equivalence than that diacussed by Knuth, \({ }^{1}\) when he demonstrates that all attribute grammar aubclasses have the same power by synthesizing all information to the root of the derivation tree and applying a aingle function to achieve the meaning of the tree. The equivalence of expressive power between ASE attribute grammars and TreeSem is shown by proving that the two translations of Chapter 3 produce specifications that are evaluable in their target languages, and have the same meaning as the original specifications. Neither of these translations requires new functions to be created. Once the cxpressive equivalence of these two specification methods has been eatablished, readablity and efficiency determine which should be used.

Theorem 1 Transhation of a TreeSem program taing the algorithm of section 9.1 results in an ittribute grammar that satisfies the conditions for \(A S E\) membership.

Proof of this theorem follows directly from the following Lemma, which places an upper bound on the number of passes required for cyaluation of the generated

\footnotetext{
\({ }^{1} \mathrm{Knuth}, 1968\).
}

AG.

Lemma 1 The attribute grammar resulting from translation of a TreeSem program containing \(k\) traversals is ASE evaluable in at most \(k * 4\) passes.

Proof of Letnina 1 is by induction on \(k\), the number of traversals in the TreeSem ptogram.

Dasis Step. For \(k=0\), there ne no assignment statements in the TreeSen program, since assignments can only occur inside traversals. Assignments in the AG program either (1) rorysphond directly to TreeSem assignments, (2) are generated by romote attribute sporifations occurring in TreeSem assignments, or (3) are added as cutclup nssignments when some menber of an attrigroup is assigned to more times than others. Sinue there are no TreeSem assignments, (1) the corresponding mumbre of AG assignments is 0 , (2) there are no remote apecifications to generate AG assignments, and (3) all attribute occurrences are assigned to an erfual mumber (zero) of times, so no catchup asbigntnents are generated. Therefore, the \(A G\) contans anottribute assignments, utud is trivially eviluable in \(0=0 * 4=k * 4\) pasises.

Juduction Fypothesis. For \(k>0\), assome that the AG program resulting from tranklation of a TrexSen program containing \(k-1\) traversals is evaluable. in \((k-1) * 4\) altemating passes. Then the AG program resulting from translation of a TreeSen program with \(k\) traversals is evaluable in \(k * 4\) alternating passes.

Induction Step. Downatal appljed downward reference assignmenta resulting from transation of thaversal at are evaluable on a single pass. A single downward reformee specification gencrates a chain of a assigntment atatements, each of the form lhw \(_{n} \leftarrow \mathfrak{r h} s_{n}\), evanated in the context of \(n\) mique productions. As a result of the naming convernitnis for downward attributes and the requirement that no duplicate implifit nssignments are allowed, no downward applied
downward reference assignment occurs in more than one of these chains. For assignment \(i\), \(i \in\{1, \ldots, n\}\), \(h \mathrm{~s}_{i}\) is a synthesized attribute of the ths symbol of production \(i\), and rhsid is an attribute of one of the rhs symbols of production \(i\). For \(i \in\{1, \ldots, n-1\}, r h s_{i} \equiv t h s_{i+1}\). Since these are the first assignments being applied on this traversal, when must have been assigned ot a previous traversal and, by the induction liypothesis, have been evaluated by the end of pass \((k-1) * 4\), so \(l h s_{n}\) can be evaluted. For \(i \in\{1, \ldots, n-1\}\), we can evaluate ths \(s_{i}\) if \(r\) hs; has previously been evaluated. But since \(r / k s_{i} \equiv\) ths \(s_{i+1}\) and synthesized attributes of ths symbols of a production are evaluated after the child nodea of that production thave been visited, rha, will lave be evaluated before it is time to evaluate \({ }^{2} s_{i}\), so \(/ h s_{i}\) can lie empluated. Thus, at the end of pass \((k-1) * 4+1\), all downward applied downward refenence assignments will have been evaluated.

All upward reference assignmonts, dowmwari applied downward assignment assiguments, explicit assignuments, upward npplied downward reference assignments, and upward assignment nssigmments resulting from translation of traversal \(k\) are evaluable ith a single phes of the Alternating Semantic Emaluator. The direction of this pass must be left to right if reversed is faLe for this traversal, and right to left otherwise. Either juss \((k-1) * 4+2\) or pass \((k-1) * 4+3\) will be in the direction reduired for traversal \(k\). We assume now that the proper pass is chosen, and slow that all of the above mentioned assignments are evaluable during this prass.

The ASE mombership algorithm eliminates an attribute from the set of attributes evaluable on the curfent pass oniy when it referemess an attribute that was mot assigned on an earlier pass and results in a false \(\beta\) value. Recall that cach assigmuent to an attributr occurrace generates anew attribute name, and therefore a new attribute occumonce in the resulting \(A G\). By the inductive hypothesia, those attributes that resulted from assiguments occurring in ear-

Jier traversals have been assigned to on a previous pass, Attributes resulting from downward applied downward reference assignmenta have been previously assigned on pass \((k-1) * 4+1\). The only attribute references that could eliminate an attribute from the set of attributes evaluable on this pass, are references made to attributes that resulted from assignments occurring in this traversal; in particular, synthesized attributes of the lis symbol of the production and attributes of rhs symbols that do not occur before the symbol of the attribute being defined. Since flow of control is explicitly defined in TreeSem, all attributes must have been previously assigned when they are referenced. This prohibits references to synthesized attributes of rlas symbole that are not visited before the symbol owning the attribute being defined, in the path of the traversal. The ASE Adjustment algorithm successively (in the direction of the traversal) replaces all references to attributes that were assigned in the context of this production, prior to this nssignment, with their definitions. Thus, no references to inherited attributes of rlas symbols or synthesized attuibutes of the the symbol, that were assignet during this traversal, remain. Therefore, \(\beta\) will always return true, so no attributes will be eliminated.

At the end of pass \((k-1) * 4+3\), the only attributes generated as a result of traversal \(k\), that are not yet assigned, ate attributes occurring on the ths of upward applied downward assignment assignments. These can all be assigned on a single pass of the Alternating Semantic Evaluator. As with downward applied downward references, each downwarl assigntment specification generates a chain of \(n\) assignments, cach of the form \(\| h s_{n} \leftarrow \Gamma h_{n}\). For assignment \(i, i \in\{1, \ldots, n\}\), \(h_{s_{i}}\) is an inherited attribute of a rhs symbol of production \(i\), and \(r \boldsymbol{h} s_{i}\) is an inherited attribute of the root symbol of procluction \(i\). Rhs occurs as the lhs of the original explicit TreeSem assigmuent statement, and therefore has been assignod to by the end of pruss \((k-1) * 4+3\). Thus Ihs \(s_{1}\) chan be assigned. For
\(i \in\{2, \ldots, n\}\), we note that, since lh \(s_{i}\) is an inherited attribute of a rhs symbol and \(r h s_{i}\) is a syuthesized attribute of the root symbol, \(\beta\left(1 h s_{i+} r h s_{i}\right)\) always yields true, so ths, can be assigned during this pars. Adding this additional pass, all attributes resulting from nssignments in traversals \(1, \ldots, k\) are evaluated by the end of pass \((k-1) * 4+3+1\), or \(k * 4\).

Theorem 2 Transiation of an m-pass ASE attribute grammar wsing the algorithm of section 9.2 results in a TreeSem program condaining m traversals.

This theorem is inclukled for completenoss. Its proof should be obvious from examination of the algorithm, which states, "ench of the in passes generates a TreeSem traversal ...." No other traversals are generated by the algorithm.

A significant attrilate of nin AG resulting from translation of a TreeSem progrann is one that corresponds directly to a local attribute in the TreeSem program.

Theorem 3 The attribute grammar resulting from translation of a TreeSem program using the algorithm of section \(Y: 1\) assigns all significant attributes the same value as the palue ussigned to the corrcsponding attribute by the TreeSem program.

In order to pitove this theorem, several Lemmas are introduced.

Lemma 2 At the and of a TreeSem traversal, the values assigned to attributes by downward remote assignmenta are the same values that are assignted to these attributes when dowtward wssignment propagation (Section S.1.9.l) has been used to replace the remote assignments with chains of implicit assignmente.

First consider the case where he before value of the implicit assignments is fabe. The original romote nssigmment must have been executed before the
visit to the subtree containing the goal attribute of the assignment. If no other downward remote assignments are made to this attribute before the production containing the symbol owning the goal attibute is visited, it is clear that the implicit assignment chain assigns the proper value to the goal attribute. If auch an nssignment, s, does occur, it must be applied in the context of one of the productions in the original downward specification. Since implicit downward assignment assignments are executed as soon as the root aymbol of a production is wisited, \(s\) must be cxecuted after the implicit assignment, overwriting the value assigued by the inplicit assignment. This new value will be propagated downward to the goal attribute, which is just what is desired. Of course, if more than one of these downward nssigmments occurs in the context of the same production, the value assigned by the last one exechted will be propagated downward.

Now consider the case where the 日EFOHE value of the implicit assignments is true. The implicit assignmenta are exccuted on the downward pass of a separate traversal after the main traversnl. The uppermost assignment made to a downward attribute will be assigned to the the of the first implicit assignment in the chain of implicit assignments leading to that attribute. Since these downward assigmments were applied on the tupward pass of the main travetsal, this assignment was the last to be executed, dith therefore this is the value that should be assigned to the downward attribute. All other implicit assignments on the chain will reference the value assigned to the attribute of the ths of the previous implicit assignment in the chain, thas assigning this value to the goal at ribute.

Lemma 3 At the end of a TreeSem trauersal, the walucs assigned to attributes by upuard remote assignments are the same values that are assigned to these attributes when npward assignment propagation (Section 9.1.9.4) has been used
to replace the remote assignments with chains of implicit assignments.

Implicit upward assignment assignmenta nssociated with a symbol are executed as soon as the traversal returns from the aubtree visit to that symbel. If only one upward remote assignment is made to a symbol, it is clear that the chain of implicit assignments will nssign the correct value to the remote attribute. If more than upward assignoment is made to the same remote attribute, the paths of the implicit assignment cluans generated by these assiguments must ultimately coincide. In the production in which these paths coincide, the implicit assignmenta of both pathes assign to the same attribute of the root node of the production. Consider such a production with root node \(r\) and child nodes cf and ce, where \(c f\) is visited before \(c 2\) in this traversal. The upward remote assignment occurring in the subtree with root cy is executed before the one in the subtree with root \(c 2\). As soon as the visit to \(c I\) returns, the implicit assignment on the upward path through ci is executed. When the visit to ce returne, the implicit masigament of the upward path through c 2 is exccuted, overwriting the value assigned by the implicit assigument on the path through ct. The value passed upwned is the value assigned to the upward attribute by the mont recently executed upward remote assignment. If an upward remote assignment statement occurring in the context of the same production containing cf and c2 were executed after the subtree visit to \(c 2\), it would again overwrite the value of the root attribute, and that malue would be passed upward for assignment to the remote attribute.

Lemma 4 The value assigned to the attribute introduced as the result of a downward reference specification is the desired ualue of the goal attribute at the time the assignment containing the reference is executed.

First consider the case where the implicit assignments generated by the downward reference have false herolit values. Clearly the chain of assignmenta assigns the value of the goal attribute at the beginaing of the traversal to the attribute appearitug on the lhs of each assigament in the chain. In particular, this value is ansigned to the attribute \(x\) that replaced the original downward reference specification If no downward assignments are made to the goal attribute before the assigmment, s, containing the downward reference is executed, this in the value desired. If, however, some townward mssignment is made to the gon attribute before \(s\) is exented, the new malue of the goal attribute is the desired value. Since we are considering nssiguments with folse befone values, the DOWN_SPEC symbol of the downward specification labe not yet been visited. Since this symbol tifs not been visited, meither have any of the productions in the subtree below it, so any assigmment made to the goal attribute must have been made as a downward assignment, that generated downward implicit assignments with fabse bebore values. In order to assign to the same goal attribute, the chain of implicit downwnicl assignments must indude an assignment to the attribute \(x\) that replaced the origimal downomat reference specification. If the downward assignment was made in this production, it was an explicit assignment that occurred earlier than \(s\), and the statement was applied before s. If the assignment occurred in some other production, then the implicit assignment to \(x\) was applied before s, since implicit downward assignment assigntants are executed before any explicit assignments. So in either case, \(x\) holds the newly assigmed walue of the gond attribute when the statement containing the downward reference is made.

Now consider the case where the implicit assignments generated by the downward reference have trite berolle values. Label the assignments in the chain generated by the downwarl specification, \(a_{1}, \ldots, a_{n}\), where \(a_{n}\) is the nssigument
associated with the symbol owning the goal attribute. Since these assignments have true BEFORE values, all the symbols in the subtree with the DOWN_SPEC symbol of the downord apecification as its root, lave been visited. This includer the goal symbol of the downward specification. The implicit upward applied downward reference assigntmenta of a production are executed just before the traversal leaves the production, so the value assigned to the attribute on the ths of \(a_{r}\) is the value of the goal attribute as the traverbal ancends. If no upward applied downward assignments are made to the goal attribute, then this value will be propagated upward by \(a_{n-1}, \ldots, a_{i}\) and correctly referenced. If such an masignment is wade, it must occur before the implicit assignment, since itaplicit downward refercnee assignments are executed after all other assignments in the context of a given production. The downward assignment will nasign to the same attribute that is referenced by the innplicit assignment, so this value will be passed upward. In fact, since the downward assignment to the attabute referenced by the implicit assignment occura before the inplicit assignment, the ASE Adjustment algorithm will replace the rth of the inplicit assignment with the value being assigned to the downward attribute.

Leamma 5 The value assigned to the attribute introduced as the result of an upward reference specification is the desired value of the goal attribute at the time the assignment containing the reference is executed.

All references to upward attributes must occur in the subtree with the symbol owning the referened attribute as its root. Inplicit upward reference assignments gencrated by nssiguments occurring in a subtree are executed just before the root symbol of the sulatree is visited. It is obvious then, that if no assignments are made to the upward attribute while the subtree is being visited, the chain of implicit upward reference assigmments will projngate the value of the
upward remote attribute to the attribute that replaced the upward reference specification. If, however, some rassignment to the upward attribute is made in the subtree, at a point in the traversal before the assignment containing the upward reference is executed, this becomes the desired walue. If thia assignment occurs in the context of a production on the path from the reference to the goal attribute, the rhs of the implicit assignment in that production will be replaced with the rhs of the assignment to the upward attribute, by the ASE Adjustment algorithm. If this assignment occurs in \(n\) production not on this peth, the chain of implicit assignments poopagating this upward assigned value must ultimately coincide witl the path of the upward reference chain. In the production in which this path coincides, the implirit upward assignment is executed as soon as the traversal returns from visiting the node through which the path passes. This must be before the traversal descends to the node in this production that is on the upward path, and therefore, before the implicit upward reference assignment is executed. The upward nssignment assignment is made to the attribute that is referenced by the upward referetuce assignment. So the ASE Adjustment algorithm will seplace the rhs of the inplicit upward reference assignment with the rhs of the upward assignment assignment, which contains the newly assigned walue of the upward attribute.

Lemma 6 The downward catchtop assignments added by the method of Section S.I. 1 I prewent implicit assignments generated from downuard remote attribute assignments from changing the ualues of significant altributes that are not the goal attributes of the essignments.

Downward catchup issignomenta are added whencer an attribute is referenced by an implicit assignment in th chain of assignments reaulting from a downward remote assignuent, but no implicit or explicit assignment with the
ame BEFORE value as the implicit assignment containing the reference has assigned this attribute a value during this traversal. The the of the catchup assignment is the attribute being referenced and the rhs of the assignment is a downward reference to the attribute occurring on the the of the downward remote assignment that gencrated the implicit assignment. If befoht is fabe for the implicit assigmurnt, the catchup assignment is associated with the lha symbol of the production containing the implicit assignment, ensuring it will be executed before the implicit assignment is executod. If BEFORE is true for the implicit assignment, the catchup assignment is associated with the last aymbol of the production to be visited during this traversal. This ensures that no assigument to the downward attribute cau be made between execution of the catchup assignment and execution of the implicit assignment containing the reference. (If there were such an assigmbent, it woukl have to occur in the context of this production and have a trae before value, so the catchup assignment would not have been addexl.) In either the true or the fabe case, the value assigned to the attribute on the ths of the catchmp assignment is the value of the downward remote attribute just prior to exccution of the implicit aseigatment. Lemma 4 guatantes that the value will be proporly referenced. The chain of implicit downward assignments will now propagate the old value of the remote attribute as the new value of the downward attribute, thus leaving it unchanged.

Lemma 7 The upward calchap assignments added by the method of Section 9.1.4.t prevent implicit assignments geverated from upuard remote attribute assignments from changing the values of significant attributes that are not the goal attributes of the assignments.

Upward catchup assigiments are added whencvet an attribute is referenced by an implicit assignument in a chain of assignments resulting from an upward
retnote assignment, but no implicit or explicit assignment has assigned this attribute a value during this traversal. The the of the catchup assignment is the attribute being referenced and the riss of the assignment is an upward reference to the attribute occurring on the lhs of the upward remote assignment that generated the implicit assigmment. The catchup asaignment is associated with the lins symbol of the production contnining the referenced attribute. The value of the apward attribute can not change between the time the catchup asignment is cxecuted nad the time the implicit assignment containing the reference in executed, since any upward remote assigmment to that attribute would have resulted in an assignmetat to our "problem" attrithute, and the catchup assignment would not have beeth added. Lemma 5 guarantees the current value of the upward attribute will be referenced by the catchup assignment and assigned to the "probletn" attribute. This attribute is then referenced by the implicit upward assignment assignment and the old value of the remote attribute is propagated us the new value for this attribute, thas leaving it unchanged.

\section*{Lemma 8 Explicit catchup assignments do not change attribute values.}

The proof of this lemma is immondiately obvious, situce the lhs and the the of an explicit catchup assignment always refer to the same local attribute.

Lemma 9 The effect of a TrecSem program is unchanged after application of remote attribute translation, downuard reference propagation, downward assignment propagation, upward reference propagation, upuard assignment propagation, dawnward catchaps, upward catchups, and explicit catchups as descrited in Chapter 3.

This lemma follows directly from Lemmas 2-8.

Lemma 10 Using the scheme of Section 9.1.6, the suffir assigned an attribute reference is always the same as the suffix assigned to the attribute the last time it eccursed on the lhs of an assignment, as assignments are considered in the order in which they are execteted in a TreeSem program of the form of Lemma 9.

At the beginning of transintion for ench traversal, the ct for each attribute reflects the suffix of the last assigatnent previously made to that attribute. This is initially true, since all attributes are assumed to have been masigned some (either a useful or a default) walue, und all attribute suffixes are initially 0 . At the end of translation of each traversal, the maximum number of ensignments made to cach attribute previously, max_old, is updated, and the at value for each attribute is assigned the max_old malue for its attrigroup.

Suffixes for attributes occurring in downward applied downward reference assignments are assigned first, since these assignmenta are the first to be evaluated. As mentioned above, the cts hold the suffix of the last assignment made to each attribute on prevjous traverstals. For each implicit assignment, the suffix basigned to the attribute on the dha of the assignment is one more than the at for that attribute, since it is being newly assigned. The ct for the attribute is increnented to reflect the new assignment to the attribute. If the rhs of the hssigument is a reference to a goal attribute, this reference is suffixed with the ct for the attribute. All other references are suffixed with the ot for the referenced attribute plus one, because they arc assigned ance before they are referenced. The cts for these attributes are all iucremented, so they stitl contain the suffix of the last assigned attribute. The cts are updated for all accurconces of these attributes belonging to rlas aymbols of productions, so they still contain the value of the Last suffix assigued to encla attribute. Also, since all these assignments are made to attributes of llis sytubols of productions, they would have previously contributed to max_root coutits. Now they have been executed on
a previous traversal, so they contribute to the max_before counts and not the max_root counts anymore. The max_before and max_rood counts are updated to reflect this change.

The atrategy used to assign suffixes to attributer occurring in explicit assigntuents distinguishes three typer of critical assignments: before, root, and after.
1. If the max_before count for an attrigromp is noti-zero, then the last assignment made to each fhs attribute occurrence belonging to this attrigroup before the symbol owning the attribute is visited, is a critical before assignment. The suffix assignod to the attribute on the ths of such an assignment is max_old + max_before.
2. If the max_root count for and attrigroup is non-zero, then the last assignment made to each ths attribute occurrence belonging to this attrigroup is a critical root assignment. The suffix assigned to the attribute on the ths of such an nasignment is max_old + max_before + max_mot.
3. If the max_after count for an attrigroup is non-zero, then the last assignment made to cach the attribute occurrence belonging to this attrigroup after the symbol owning the attribute is visited, is a critical after assignment. The suffix masigned to the attribute on the lhs of such an mssignment is max_old + max_before + max_root + max_after.

The method of adding explicit catchup assignment ensures that, in each of the threc categories, if any member of an attrigroup has an assigtment made to it, all members will have at least one assignment made to them. For each attaibute in an attrigroup, the suffix of the lust assignment to this attribute in each category is the same. This enables the suffixing scheme to consistently suffix uttribute occurrences arross productions, even though the context-frer
nature of the underlying grammar makea it impossible to know expetly which production will be applied to derive a symbol from above or which production will be used to exprind a symbol below.

The first step in assigning suffixes for attributes occurring in the assignmenta of the explicit aseignment lists, assigns the cts of all attributes of ths symbols max_old + max_before, which includes downward reference chain mssignments and the maximum number of assignments that could have occurred when this gymbol was on the rhs of a production and befare the the symbol wat visited. The last assignment to a ths attribute before its symbol is visited is always suffixed with this count.

Rhas attributea could not lune been assigued earlier in this traversal, except for in downward referetice chains, and they have all been updated, so all ths and rhe cts contain the maximam mmber of nssigtments that could have been made to any attribute of a production hefore the production is visited, and also the actual suffix of the last assigument made to that attribute before the production was visited.

Just before the suffixes for attributes occurring in assigntnenta for a symbol are assigned, the chs for all of the attributes of this symbol are changed to max_old + max_before + max_mot. These are the same suffixes assigned to these attributes on the left hand sides of critical root assignmente.

For ench nssigmment in each production, in the order in which they are executed in the production.
1. the suffix of each attributes on the rhs of the assignment is absigned the current of for thant attribute - thege cts are always the suffixes of the last assignment made to the rttritutes.
2. the ct for the llas attribute is incremented and the suffix is assigned this vew ct, so the \(c t\) still reflects the suffix of the most recent assignment to this attribute occurrence. If the assignment is a critical one, the ot is assigned the critical value and the lhs of the assignment is auffixed with this ct.

In light of the above discussion concerning critical assignments, it ahould be evident that the scheme used to assign suffixes to attributes ocurring in explicit assignments assigns a referenced attribute the same suffix as was assigned to the attribute when the attribute was most recently asaigred.

Since implicit upward applied downward assignment assignmenta are unique and they are applied after all other assignments have been executed, the suffix of the attributes on botlo the lhs and rhs of these assignments is the sum of max_old, max_before, max_roat, and max_after for these attributes. The \(a\) ts for each of these attributes is updated to the suffix assigned for the attribute. Thus, after suffixes have been assigned for all the attributes occurring in assignments generated from an original TreeSem traversal, the of a for all attributes are max_old + max_before + max_root + max_after. As mentioned earlier, the max_old counta for each attrigronp are updated to this sum as well, so suffixes will be consistettity ajpplied across traversals.

Since the suffix assigned to an attribute ceference is always the same as the suffix assigned to the most recent assignment to that attribute as a TreeSem program is executed, the value referenced by an AG assignment is always the same value referenced by the corresponding TreeSem assigntnent.

Lemma 11 The value assigned to an attribute is unchanged as a result of applying the ASE Adjustment algorithm in Section 9.1.7.

At the point when the ASE Adjustment Algorithm is applied, each attribute is uniquely assigned. There are no multiple assignments to an attribute. The algorithon aitnply replaces some references to attributes with their definitions. There is only one definition for each attribute, so a reference to either an attribute or its definition will yield the same vilue. Thus, the values computed by the right hand sides of assignments, which are the values assigned to the attributes on the left hand sides of assignmente, are the same with or without application of ASE Adjustrient.

The proof of Theorem 3 follows from application of the preceding leminas. Since by lemma 9 the effect of the program is unchanged before auffixing is applied, and by lemmas 10 and 11 , suffixing ensures the same valued will be referenced by \(A G\) assignments as are references in the corresponding TreeSem assignments, the value assigneal to any significant attribute in an AG specification is the same value assigned to the corresponding attribute in the original TreeSem specification.

Theorem 4 The values assigned to attributes in a TreeSem program generated from an ASE attribute grammar using the translation of Section 9.2 are the satne values assigned to these attributes in the atiribute grammar.

Each attribute is assigned exnctly once itn the generated TML program, using the satne definition na in the \(A G\) specification. The only consideration, then, i , that all attributes have been assigned before they are referenced. This is exactly the same requirement imposed by the ASE membership algorithm for assigning an attribute for evaluation on a particular pass. So by cualuating an attribute at exactly the same point in a traversal of the tree as it is evaluated by the ASE interpreter, the TreeSem embluator is gutaranteed that any attributes referenced will have been previously assigned. Thus the values assigned to attributes by the TreeSem program are the sume ones assigned by the AG specifintion.

Since the effects of both TreeSen programs and ASE attribute grammari are expressed as the values assigned to their attributes, and by Theorems 3 and 4 , the values assigned to attributes by either method are the same, the theoretical specification power of TreeSent is the same as that of ASE attribute grammars. TreeSem, therefore, is sufficiently powerful as to be used as a semantic specification language.

\section*{Chapter 5}

\section*{Conclusion}

This chapter presents a bricf summary of the purpose of the research presented in this paper, the resulta obtained by this research, and topics related to this research which may warrant further conaideration.

\subsection*{5.1 Summary}

This thesis suggests the use of Tree Manipulation Languages for formally specifying programtning latguage semantics. Semantic specifications of this sort serve two purposes: (1) They are used by language designers to define language semantics. (2) They are used us input to automatic compiler generation systemb, which, given the language specification, produce a compiler (or anme portions of one) for the described language. Compilers parse atrings of a langunge (programs) and typically represent them internally in the form of a tree. Semantic analysis and code genemation atd optimizations are performed utilizing the information about the program as it is stored in the tree. Since the tree structure is fundamental to the workings of compilers, it seems natural that a language designed specifically for describing operations on trees (TML) be employed to specify tlie actions of the compiler as it perfoms semantic analysis and code generation and optimization.

To this end, TreeSem is proposed as a TML for use as a semantic specification language. The features chosen for inclusion in TreeSem and its syntactic structure were selected with the two purposes of semantic specification languagea, as atated above, in mind. Firstly, explicit flow of control, multiple attribute assignments, and remote attribute references ease human understanding of a specification as it is either written or read, as compared with the effort necessary to understand other semantic specifications, notably those based on ateribute grammars. Secondly, the features chosen for inclusion in TreeSem provide a language that is fully capable of deacribing language semantics, and they are readily analyzed so that an automatic compiler generator can easily construct a compiler based on a TreeSem specification.

To show that TreeSem is sufficiently powerful to express programming language semantics, algorithms were developed to translate a TreeSem program into a semantically equivalent ASE attribute grammar, and to tranalate an ASE attribute grammar into an equivalent TreeSen specification. The correctness of the translations provided by these algorithms was aluown. The translationa provide knowlodge that the semantic specification power of TreeSem and ASE attribute grammars are equivalent. Since all subclasses of attribute grammara are known to be expressively equivalent, and since attribute grammars are able to fully express programming language semantics, TreeSem is also fully capable in its semantic specification power.

An additional result provided by these translation algorithms is that any grius in the efficiency of translators based on either attribute grammars or Treesem cati be taken advantage of in interpretation of the other method. Thus the writer of a sjecification to be used for mutomatic compiler generation need not be concerned over which method will result in the nost efficient compiler. He is free to used the method he is most comfortable with. The transiation
of a TreeSem program into an attribute grammar almo providea a method of exceuting TreeSem programs without actually having a TreeSem interpreter.

\subsection*{5.2 Future Research}

Future research related to the work described in this diseertation falla into tharee arcas: (1) empirical studies, (2) enhancemente to TreeSern, and (3) methods for interpretation of TreeSem programe.

It was claimed in the previous section, that TreeSem programs are easier to read and write than the corresponding attribute grammar specifications. Although this woukd seem to be the case, no actual studies have been performed to support this clnim. Whereas ease of use is a subjective concept, objective measurements as the time required to write a specification and the number of ertors in a specification could be uscd as a basis for empirical observations. Additional statistics need to be determined on the comparative time and space required for evaluation and storage of semantic trees lansed on attribute grammars and TreeSem.

Although TreeSem is fully capable of expressing programning langunge enmantics, enhancements or extensions to the language may make programming ensier without decreasing the efficiency of the compiler generator or, inore importantly, the resulting compiler. Recursively called traversals and nested traverabls were considered as TreeSen was being defined; however, the usefulness of these control flow constructs seened limited, and they would interfere significantly in the understanding aud analysis of TreeSen programs. Remotereferences other than upward and downwatd remote teferences (particularly across references), were also considerol and foum to have little practical afoplication. If applications were found to significatitly bencfit from inclusion of any of these or other languge features, TrecSen could be extended.

Mai. \(/\) aspects of analysis and interpretation of TreeSem apecifications require further research and/or development. The translation algorithrm presented in Chapter 3 were developed primarily for their theoretical resulta. In order to make use of them in a productive environment, they need to be refined, making use of available information to reduce attribute storage and increase execution speed. Techniques for determining the optimal methods for interpreting tree manipulation languagea in general need to be applied to the implementation of an actual TrecSent interjreter. A final research topic in this area is analysis of TreeSern programs to determine what portions of the input tree could be visited simultaneously. The traversal structure of TreeSem facilitates identification of operations that can be expruted in parallel.

\section*{Appendix A}

\section*{TreeSem Syntax}

The BNF gyntax of TreeSem is presented below. Nonterminal symbols are upppercased. The angle-bracketed nonterminal symbols (idsym), (number), and (end_of_line) are expected to be returned from a acanner when an identifer, number, or end of lite are encountered. All other symbols are temminals. Chapter 2 explains the syntax nnd semantics of TreeSem in detail.
\begin{tabular}{|c|c|}
\hline PROGRAM & \(\rightarrow\) DECLS GRAMMAR TRAVSEQ \\
\hline DECLS & \(\rightarrow\) \\
\hline & | attribute types DECLLIST \\
\hline DECL LIST & \(\rightarrow\) DECL \\
\hline & | DECLLIST DECL \\
\hline DECL & \(\rightarrow\langle\) idssymi \(=\) (idssym ; \\
\hline GRAMMAR & \(\rightarrow\) grammar PRODLIST end_grammar \\
\hline PRODLIST & \(\rightarrow\) PROD \\
\hline & | PRODLIST PROD \\
\hline PROD & \(\rightarrow\) LHS \(\rightarrow\) RHSLIST \{end of_line\} \\
\hline LHS & \(\rightarrow\langle\mathrm{id}\) sym) \\
\hline RHSLIST & \(\rightarrow\) \\
\hline & | (idsym) RHSLIST \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline \multirow[t]{2}{*}{TRAVSEQ} & \(\rightarrow\) TRAVREV \\
\hline & 1 Travseq travrev \\
\hline \multirow[t]{2}{*}{TRAVREV} & \(\rightarrow\) Traversal \\
\hline & | Reversal \\
\hline traversal & \(\rightarrow\) traverse ORDER GUARD_LIST end_traverse \\
\hline \multirow[t]{3}{*}{ORDER} & \(\rightarrow\) inorder \\
\hline & | jreorder \\
\hline & | postorder \\
\hline REVERSAL & \(\rightarrow\) reverse; \\
\hline \multirow[t]{2}{*}{GUARDLIST} & \(\rightarrow\) GUARD STMT \\
\hline & | GUARD_LIST GUARD_STMT \\
\hline GUARDSTMT & \(\rightarrow\) GUARD \(\Rightarrow\) SEM LIST \\
\hline GUARD & \(\rightarrow\) SQUARE_TREE \\
\hline \multirow[t]{2}{*}{SEMLIST} & \(\rightarrow\) WHEN ASGN \\
\hline & | SEMLIST WHEN_ASGN \\
\hline WHEN_ASGN & \(\rightarrow\) WHEN ASGNLIST \\
\hline WHEN & \(\rightarrow\) ID_SYM_PLUS : \\
\hline \multirow[t]{2}{*}{ASGNLIST} & \(\rightarrow\) ASSIGNMENT \\
\hline & | ASGNLIST ASSIGNMENT \\
\hline SQUARE_TREE & \(\rightarrow\) [ IDLIST ] \\
\hline \multirow[t]{2}{*}{IDLIST} & \(\rightarrow\) OPT DOWNID \\
\hline & | OPT DOWNID , IDLIST \\
\hline \multirow[t]{2}{*}{OPT-DOWNID} & \(\rightarrow\) ID_SYM_PLUS \\
\hline & \| Downid \\
\hline DOWNID & \(\rightarrow\) \# ID_SYM_PLUS \\
\hline ID_SYM_PLUS & \(\rightarrow\) (idsym) ( (mimber ) \\
\hline & | (idssym) \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|l|}{SQUARE_TREELIST \(\rightarrow\)} \\
\hline \multirow[b]{2}{*}{DOWN_SPEC} & | SQUARE_TREELIST SQUARE.TREE \\
\hline & \(\rightarrow\) DOWNID < SQUARE_TREELIST > SQUARE_TREE . (idsym) \\
\hline \multirow[t]{2}{*}{OPTARGLIST} & \(\rightarrow\) \\
\hline & ( ( ARGLIST) \\
\hline \multirow[t]{2}{*}{ARGLIST} & \(\rightarrow\) TREE \\
\hline & | TREE, ARGLIST \\
\hline ASSIGNMENT & \(\rightarrow\) DIRTREE \(\leftarrow\) TREE; \\
\hline \multirow[t]{3}{*}{DIfTREE} & \(\rightarrow\) †ID_SYM_PLUS . (idsym) \\
\hline & \| DOWN SPEC \\
\hline & | ID_SYM_PLUS . (ic_sym) \\
\hline \multirow[t]{2}{*}{TREE} & \(\rightarrow\) DIRTREE \\
\hline & | FN_CALL \\
\hline
\end{tabular}

\section*{Appendix B}

\section*{Implementation Statistics}

The translations described in Chapter 3 have been ingolemented as \(C\) programs and are currently running on Sun Workstations under UNIX \({ }^{T M}\). An attribute evaluator was writtru in Shefficld Pascal nud rums on a Prime 9950. The following is a tabulation of the files comprising enclu of the programs.
\begin{tabular}{|c|c|c|}
\hline File & Liner & Description \\
\hline trul. 1 & 106 & lex specification for TreeSern trauslation \\
\hline tml procs, e & 1891 & TreeSem traplation procedures \\
\hline tml.types.h & 195 & type declarations for TreeSem tranalation \\
\hline timl. \(y\) & 380 & ynce specification for TreeSen translationt \\
\hline ntt.l & 40 & lex specification for AG translation \\
\hline att proes.c & 603 & AG transtation procedures \\
\hline ttt.types.h & 101 & type declarations for AG translation \\
\hline itt. y & 253 & yace specification for AG translation \\
\hline att.pus & 1642 & ASE attribute evaluator \\
\hline
\end{tabular}

\section*{Appendix C}

\section*{Example Specification and Translations}

The specifications in this appendix illustrate the use of TreeSem features to specify the semantice of a useful programming hanguage, and show how the translations presented in Chapter 3 act on these specificationa. The example is an adaptation of an attribute grammar specification for tramslating the programming language Pam into a simple symbolic machine languge. The original attribute grammar spocification appears in Pagan, pages 92-97.

\section*{C. 1 TreeSem Semantics for Pam}
```

grammar
program ::= aorins
agriag ::= atatomont
agrige :!= sarien eomi atatoment
statement ::= input
atatement ::= output
mtatement ::= asmign
etatement :!= conditional
atatement ::= def_loop
mtatement ::= indof_loop
Input :im read var_1ist
output ::= write var_list
var_list ::= variable
var_list ::= var_list coma varisble
asbign ::= variable colon equal expreseion
conditional ::= if comparison thon serias fi

```
```

    conditionml :{= it comparimon then merien elet Emrien fi
    ```
    conditionml :{= it comparimon then merien elet Emrien fi
    def_loop i:* to expremeion do Eerien and
    def_loop i:* to expremeion do Eerien and
    indof_loop ;:E mhile comparison do Eorien ond
    indof_loop ;:E mhile comparison do Eorien ond
    comparison i:= mprosition rolation exprenaion
    comparison i:= mprosition rolation exprenaion
    exproseion ::= term
    exproseion ::= term
    expresmion ::* erpreseion urak_op term
```

    expresmion ::* erpreseion urak_op term
    ```


```

    term ::= term etrong_op element
    ```
    term ::= term etrong_op element
    element ::= constant
    element ::= constant
    element ::F variabla
    element ::F variabla
    eloment :{: left expresuion right
    eloment :{: left expresuion right
    conmtant ::= digit
    conmtant ::= digit
    congtant : :* constsat digit
    congtant : :* constsat digit
    variable ;:F letter
    variable ;:F letter
    varlable :%= veriablo letter
    varlable :%= veriablo letter
    variable :t= variable digit
    variable :t= variable digit
    relation ::= equal
    relation ::= equal
    relation :** equal lege
    relation :** equal lege
    relation ::F leas
    relation ::F leas
    relation ;:= greater
    relation ;:= greater
    relation : :F greater equal
    relation : :F greater equal
    Iqlation ::* less grenter
    Iqlation ::* less grenter
    M@ath_Op :; P Plua
    M@ath_Op :; P Plua
    Heak_OP ::= minus
    Heak_OP ::= minus
    atrong_op :;= star
    atrong_op :;= star
    ftrong_op :i= divide
    ftrong_op :i= divide
    digit ::= 0
    digit ::= 0
    digit ::= 9
    digit ::= 9
    letter :i= a
    letter :i= a
    letter ::= z
    letter ::= z
end_grammar
end_grammar
trateree preorder
trateree preorder
[program, earles] -->
[program, earles] -->
    0 program :
    0 program :
        日erles.tømp := fn 0;
        日erles.tømp := fn 0;
        gerien.labol :m fn 0;
        gerien.labol :m fn 0;
    C Acrleg ;
    C Acrleg ;
        progr射,code ;= In append(series.code, fn HALT);
        progr射,code ;= In append(series.code, fn HALT);
[Beriea, atstoment] -->
[Beriea, atstoment] -->
        0 merieg :
        0 merieg :
                ftatemant.label := sorieg,labal;
                ftatemant.label := sorieg,labal;
    0 statement ;
    0 statement ;
                Gerige.labol :# 日tatament.labol;
                Gerige.labol :# 日tatament.labol;
[gerieg, gerieg, gemi, gtatement] -->
[gerieg, gerieg, gemi, gtatement] -->
    c merteg :
```

    c merteg :
    ```
```

    B4rige(2).tamp := goricts.temp;
    *erieq(2) .labol {0 teried.label;
    4 Eerieg(2) ;
    atatement.label := Ferien(2).label;
    0 stateront :
    geries-labol := ttatement.lebel;
    日erien.code ;= In concat(series(2),code; merioe.code);
    [atatement, conditional] -->
0 statgment :
conditiomal.lmbel :" Btatement.labol;
0 conditional :
etatament.label ;* conditional.label;
[rtatement, def_lopp] -->
tgtatement :
def_loop.label := gtatement.labal;
0 def_loop :
Btatement.label :" def_Loop.label;
[mtatement, indof_1oop] -->
| 㭕tament :
inder_logp.label := atatement,Iabel;
| indet_loop :
gtatement.label := indet_loop.label;
[input, read, qar_ligt] =>
0 input :
\#var_liat<[var_lizt; Wvar_liat(2), comm, variable]>
[var_1iat, \#variablg].opcode := fn GET;
0 par_1igt :
*geries.code := var_list.code;
[output, write, var_1ist] -->
0 output :
\#var_ligt<[var_11gt, \#var_1igt(2), comm, variable]>
[var_liat, Mvariable].opcode := In PUT;
0 var_ligt :
*gerige.code := var_litat.code;
[var_liat, periable] -->
* variable :
var_liet.code := fn makb_op(variable.opcode, variable,tag);
[var_list, var_list, comma, variable] -->
0 var_liet :
Yar_list(2).opcode := Yar_list.opcode;
0 variable :
Var_list.code := In mppend(var_11gt(2).code, ft make_opl

```
\＃サar＿list（2）＜［var＿list，\＃var＿List（2），comm，variable］＞ ［var＿liat，पчariable］．opcode，variable，thg））；
［a日aign，variable，colon，qquml，erpreasion］－－s
－assign ：
exprasaion．temp ：＝＇earies．tomp：
4 oxpreasion ：
＂aerien．code ：＝fn eppend（expreasion．code． fn mak＠＿op（fn STO，variablo＋tag）；
［conditional，if，comparison，then，eerier，fi］－－＞
0 condytional ：
esriés．temp ：＝－Beries．tamp；
comparifon．label ：fn plut（conditional．label，in 1）：
\＃eries．label ：＝fa plus（conditional．label，fn 1）；
01 I：
conditional．label ：aeries．label；
－seriea，code i＝fti concat（comparison，code，series，codn， In mako＿op（in plus（fn label（condttional，label）．In 1）． fn LAB）；；
［conditional，if，comparison，then，gerien，olge，gerien，fi］－－s
－conditional ；
eseries，temp ；＝＊e日ries，temp；
日er1as（2）．temp ：＝－Berl bs．temp；
comparison．labol ：：in plus（conditional．1abel，fin 1）；
series．label ：\(\quad\) fn plug（conditional label，fn 2）；
－Berion ：
日erieg（2）．label ：merien．1gbel；
© 11 ：
conditional．label ：\(\quad\) gerieg（2）．label：
－abrien．code ；\(\quad\) fin concat（comparison．coda，series．codo， In make＿op（tn J．
fn label（fn plun（conditional－label，fn 2）））， fa make＿op（ta label（fu plua（conditional．labol，fn 1））．

In \(L A B\) ），
series（2）．code，
fn make＿op（fn label（fn plue（conditional label．

```

[def_losp, to, expresgion, do, Beriog, and] -->
0 def_loop :
expresaion,temp := In plug(*aorige,tomp, ta 1):
日erleg, temp;" fn plug("0eries,temp, fn 1);
agrigenlabel := fr plus(def_loop.labol, fn 2);
0 nad :
dof_loop.labal := ugrien.labol;
*serieg.code : = fn concat(expression.code,
fn make_op(fn STO, frl temporary(

```
```

    fn plue(-series.temp. fn 1))),
    fn nake_op(fn labol(fn plus(def_loop.label, in 1)), fn LA日),
fn makg_op(fn LOAD, fn tenporary(
fn plue(-series.temp; fn 1))),
fn make_op(fn SUB, In 1).
fn make_op(fn JN, fn labal(fn plus(def_loop.labal, fa 2))).
In make_op(fд STO, fn temporary(
In plua('aries.tomp, fn 1))).
*arien.coda,
fn make_op(fn J, fn label(fn plus(def_loop.label, in 1))),
fn make_op(fn label(fn plua(der_loop.label, fn 2)),in LAB));
[imder_loop, while, compafteon, do, series, end] --s
0 indof_loop :
gerien-temp := -sorise.temp;
comparison.label := fn plup(indef_loop.label, fn 2);
agrigt.l龵bol := fn pluc(indat_loop.labol, fn 2);
0 ond :
Inder_loop,labol := agriag.label;
-aeries.code := fn concat(
fn make_op(fn label(fn plue(indot_loop.label, in 1)),
fn LAB),
comparison.codo,
seriea.code,
fn make_op(fn J, fn label(fn plua(indef_loop.label, fn 1))).
fn mako_op(fn labei(fn plus(indet_loop.label, fn 2)),
fn LAB));
[comparison, exprobsion, relation, exprasuion] -->
0 comparison :
expregston.temp := fn plus("berien.temp, fn 1);
expreseson(2).tenp := fn plug("serien.temp, fn 1);
0 expression(2) :
comparison,code := in concat(expresaion,code,
fn make_op(fn STO, fo tamporary(
fn plua(-aceries.temp, In 1))).
expresion(2).code,
fn make_op(fin SUB, In tamporary(
tn plus("e0rieg.temp, in 1))),
fn make_op(comparison.opcode, fn label(comparison.label)));
[expre日sion, torm] -->
0 expreasion :
term.tomp :" expre日sion.temp;
0 term :
erpresuion.code := term.code;
[expreseion, exprenaion, teak_op, term] -->
expresaion :

```

tertitopp ; f f plus (exptersion, tomp, fn 2);
-tarn :
بxproseion. code : fn concht (arprenelon(2).code,
fn selectcode(tarn.code, expression.tomp, reak_op-opcode));
[tert, 0labent] -->
© 日lamant : term.code : = element. code;
```

[term, 0]ement] -->

```

0 term :
olament, tomp : = term,temp:
[term, torm, atrong_op, 保ement] -->
4 term :
term(2), temp ; \(=\) term.tomp;
-lement, tomp : = In plud (term. tomp; In 2);
- elament : term, code ; \(=\) in concet (term(2). code,

In salactcode(olomont, code, tarm.temp, btrong_op.opcode));
[Blement, conetant] =->
0 conttant :
```

        element.code := In make_op(In LOND, constant.num);
    ```
[alement, variable] -->
0 variabla : element, code : fn make_op(fn LCAD, verisble,tag);
[alement, loft, expression, right] =-3
0 elament :
axpreasion.temp : \(=\) element.tenp;
© right :
element.code : = expredeion.code;
[constant, digit] \(=-3\)
© digit : constant.nim : : digit. num:
[constant, congtant, \(\mathbb{A} \mathrm{git}] \quad->\)
- digit * constant. num : = fn concat(conetant(2). num, digit. תum);
[Variable, letter] \(\rightarrow\) ?
- lettor :
variable,tag : \(\quad\) letter.tag;
[wariable, variable; letter] -->
- lettor :
```

    variable.tag := fn concat(variable(2).tag, lettor.tag);
    [variable, variable, digit] -->
0 digit :
variable.tag :* fn concat(variable(2).tag. digit.num);
[relatiol, equal] -->
0 equal :
-comparison.opcode := fn JNP;
[relation, equal, lose] -->
c lesa :
*comparieon,opcode := fn JN;
[relation, lesb] -->
0 lens:
"comparimon.opcoda := fn JNZ:
[relation, graater] -->
c greater :
comparimon.opeode := fn JPZ;
[relation, greater, equal] -->
0 equal :
compariaon.opcode := In JF;
[relation, lesq, grenter] -->
0 greater :
*comparison.opcode := In JZ;
[weak_op, plus] -->
0 plus :
שeak_op.opcode := In ADD;
[waak_op; minus] -->
0 -inus :
Heak_op-opcode := fn SUB;
[strong_op, star] -->
O star :
etrong_op,opcoda := fn MULT;
[strong_op, livide] -->
O divide ;
gtrong-op.opcode := fn DIV;
[digit, 0] -->
0 0 :
digit.num := fn 0;

```
```

        [digit, %] -->
        09:
            digit.num := fn 9;
    [letter, b] -->
    a :
        lattor,tag := fn a;
    [letter, z] -->
        e z :
        lotter-tag := in z;
    ond_traverae

```

\section*{C． 2 AG Translation of Section C． 1}
```

attribute typeg

```
attribute typeg
    comparimonopcode1 = integer;
    comparimonopcode1 = integer;
    num1 - integer;
    num1 - integer;
    tag1 = integer;
    tag1 = integer;
    geriescodei = integer;
    geriescodei = integer;
    opcode1 = Integer;
    opcode1 = Integer;
    p13n1_p12n1_opcode1 = intoger;
    p13n1_p12n1_opcode1 = intoger;
    p13n1_p12n2.opcoda2 - Integer;
    p13n1_p12n2.opcoda2 - Integer;
    codel m integer;
    codel m integer;
    code2 = integer;
    code2 = integer;
    label1 = integer;
    label1 = integer;
    label2 = integer;
    label2 = integer;
    gerigetampi * intoger;
    gerigetampi * intoger;
    templ - integer;
    templ - integer;
<letter> :!: <又>
<letter> :!: <又>
rulq|
rulq|
    <lottar>1.tag1 := z;
    <lottar>1.tag1 := z;
gelur
gelur
<letter> ::% <另
<letter> ::% <另
rule日
rule日
    <letter>1,tag1 := m;
    <letter>1,tag1 := m;
gelur
gelur
<digit> ::m <9>
<digit> ::m <9>
ruleg
ruleg
    <digit>1.num1 := 9;
    <digit>1.num1 := 9;
felur
```

felur

```
```

C.2. AG TRANSLATION OF SECTION C.1
<digit> ::= <0>
ruleq
<digit>1.num1 := 0;
elur
<conatent> ::= <constant> <digit>
ruleg
<conntant>1.num1 := cancat(<constant>2.num1, <digit>1.mum1);
solur
<constant> ::= <digit>
ruler
<constant>1.num1 := <digit>\.num1;
salur
<ntrong_op> ::= <divide>
rules
<Btrong_op>1.opcode1 := DIV;
bolur
<Btrong_op> ::= <etar>
rules
<0trong_op>1,opcode: := MULT;
selur
<element> ::= <laft> <expreseion> <right>
rule*
<0xpronsion>1.temp1 := <element>1.temp1;
<element>1.code1 := <exprevaion>1.code1;
selur
<element> ;:= <variable>
rules
<element>1.code1 := make_op(LOAD, <variable>1.tag1);
Balur
<olement> ::= <constant>
rulen
<element>1.code1 := make_op(LOAD, <constant>1.mum1);
golur
<usak,op> ::= <minus>
rulea
<ueak_op>1.opcodo1 := SUB;
aglur
<woak_op> ::= <plu,>
ruleg
<woak_op>1.opcode1 := ADD;

```
```

Beluz
<term> ::= <term> <Etrong_op> <element>
rulea
<term>2.temp1 : = [t@m](mailto:t@m)1.tomp1;
celement>1.temp1 := plus(<tern>1.tenp1, 2);
<term>1.codel := concat(<tem>2,code1, gelectcode(<element>1,code1,
<term>1.temp1, <atrong_op>1.opcode1)>:
galur
<term> ::m <element>
ruler
<almment>1.temp1 : < <term>1.temp1;
<term>1,codel i= <0lement>1.code1;
Belur
<relation> : :* <lass><greater>
Fulen
<relation>1.comparigonoptode1 : \ JZ;
gelur
<ralation> ::" <greater><<qqual>
ruleg
<relation>1, comparisonopcodet ;" JP;
gelur
<ralation> : :m <greater>
ruleb
<relation>1. Comparibonopcode1 := JPZ;
Bglur
<I日lation> : : = <legg>
rulen
<relation>1, comparibonopcodei ;- JNZ:
Belur
<relation> :: < <equal> <leag>
rules
<relation>1,comparisonopcode1 ;" JN;
Belur
<rolation> :!: <equal>
rules
<relation>1,comparieonopcode1 ;= JNP;
Eelur
<comparinon> ::= <expregaion> <relatiop><expreanion>
rules
<expreasion>1.temp1 := plus(<comparimon>1.ageriestemp1, 1):

```
＜expression＞2．temp1 ：＝plus（＜comparison＞1．seriestemp1，1）； ＜comparimon＞1．opcodel ：＝《ralation＞1．comparisonopcode1； ＜comparieon＞1，codal ；：concat（＜oxpresilon＞1．codal， make＿op（STO，temporary（plue（＜comparison＞1－aerigitempl，1）））． ＜exprealion＞2．code1．
 make＿op（＜relation＞1．conparieonopcode1．
label（＜comparieon＞1．labell）））；
selur
```

<expresaion> ::= <expreseion> <reak_op> <teru>

```
rulea

    <term>1.temp1 := plus(<osprasion>1.temp1, 2);
    <expreasion>1.codel : = concat(<expresion>2.code1,
        selectcode(ct-rm>1, code1, <expreseion>1, templ,
        < нeak_op>1.opcode1)):
eglur
<axpravaion> :: = <torm>
rules
    <term>1.temp1 := <axprapsion>1.temp1;
    <exprission>1.codel : = 《term>1.code1;
eslur
<variable> : : \(=\) 《variable> <digit>
rules
    \(\langle\) variabla>1.tag1 : = concat (<variable>2.tag1, <digit>1.num1);
eelur
〈variable> ::= <variable> <lettor>
rules
    <variabla>1.tag1 : \(=\) concat(<variable>2.tag1, <letter>1.tagi);
eslur
<variable> : : = <lotter>
rules
    <variabla>1.tag1 : = <latter>1.tag1;
enlur
〈var_liet> :: © <var_lint> <coma> <variabla>
тules
    <qar_liat>2.p13n1_p12n1_opcoda1 := <var_liEt>1.p13n1_p12n1_opcode1;
    <var_11st>2.opcode1 : = <tar_liat>1.opcode1;
    <var_liat>1.code1 := append(<var_list>2.coda1.
        make_op(cvar_1iat>2.p13n1_p12n1_opcode2, <qariable>1.tag1));
    <var_liat>1.p13n1_p12n1_opcode2 := <var_1ist>2.p13n1_p12n1_opcode2;
telur
```

<var_list> ::* <varibbl施
rulea
<variablo>1,opcode1 := <var_ligt>1,p13n1_p12n1_opcode1;
<var_liet>1, code1 ; make_op(<%ar_1iEt>1,p13n1_p12n1_opcode1,
<variabla>1.tag1):
<var_lift>1.p13n1_p12n1_opcode2 := <var_li|t>1.p13n1_p12n1_opcodel;
Ealur
<indof_loop> ;:" <while> <comparimon> <do> <Beries> <end>
rulos
<Eerieg>1.tomp1 := <indef_loop>1.Eeri\&stemp1;
<comparieon>1.label1 := plus(<1ndef_loop>1.labol1, 2):
<Berieg>1.labeli := plua(<lndef_1oop>1.iabel1, 2);
<comparimon>1.gerientomp1 :" <indef_loop>1.geriestemp1;
<Indef_loop>1.label2 := <Eeries>1.1abal2;
<indef_loop>1.meriencodel := concat(
mbke_op(1abel(plue(< (0fies>1.lnbel2, 1)), LAg),
<compariaon>1, code1, <merima>1.code2,
make_op(J, labal(pluF(<п@rien>1.label2, 1))),
make_op(label(plug(<вexleg>1,label2, 2)), LAB));
gelur
<det_loop> ::| <to> <empreseion> <do> <nerien> <end>
rulee
<expression>1,temp1 ; = plus(<def, loop>1,perientemp1, 1);
<agrios>1.tamp1 ;= plus(<det_loop>1,agriestamp1, 1);
<gerís>1.label1 := plus(<det_loop>1.label1, 2);
<def_loop>1.labg12 :a <agrles>1.labol2;
<def_loop>1, 日eriascodet ; = concmt(<बxpremaion>1.code1,

```

```

        make_op(label(plus(<geries>1.labe12, 1)), LAB),
        make_op(LDAD, temporary(plus(<def_loop) &, Eeriestomp1, 1))),
        make_op(SUE, 1), make_op(JH, label(plus(<geriea>1.label2, 2))),
        make_op(STO, temporary(plup(<def_loop)1.seria日temp1, 1))),
        <serles>1.code2,
        make_op(J, labal(plus(<вarigg>1,labgl2, 1))),
        make_op(labol(plug(<0erige>1.label2, 2)), LAB));
    gelur

```

rules
    <Earies>1.tempi : = <conditional>1.sorientomp1;

    <comparifonp1, labelt := plus(cconditional>1.lebal1, 1);
    《eeries>1.labeli := plus(<conditional>1.1abel1, 2);
    <comparison>1.e日rientempl : © <conditional>1. Beriogtompl;
    <agriat>2.labali : = <agrios>1.labol2;
    <conditional>1.label2 :
    <eonditional>1.gerieacode1 :* concet (<comparison>1.codet,
```

<gerleg>1.code2,
mak@_op(J, 1abel(plus(<seriem>2.1abel2, 2))),

```

```

<Eer1es>2,code2,
make_op(labol(plus(<rerias>2.1abol2, 2)), LAB));
001ur
<conditional> ::= <if> <comparimon> <thon> <rorion> <fi>
rulog
<0日rieg>1, temp1 := <conditionml>1, meriertempi;
<comparimon>1.label1 := plub(<cond\&tional>1.label1; 1);
<aciea>1.label1 := plum(<conditional>1,label1, 1);
<comparimon>1. Eoriagtompl := <conditional>1.eeriestempl;
<conditional>1.labol2 := <हeries>1.label2;
<conditional>1.geriegcode1 := concat(<comparigon>4.code1,
<gerieg>1.code2.
mak@_op(plus(1abol(<0erl\varphi日>1.1abe12); 1), LAB));
*elur
<agaign> ::= <varigbla> <colon> <equal> <oxprebsion>
rules

```


```

        make_op(STO, <variabl@>1,tag1));
    *elur
<output> :; = <urite> <tar_lint>
rules
<var_21zt>1_p13n1_p12n1_opcode1 := PUT;
<output>:.gerioscodel := <var_11at>1.codo1;
melum
<input> i:= <road> <var_liat>
rules
<var_list>1,p13n1_pl2n1_opcode1 := GET;
<mput>1.neriercodel := <var_lint>1.code1;
gelur
<atatement> : :* <indef_loop>
rules
<indef_loop>i.label1 := <atatement>1.laboli;

```

```

    <atatement>1.fertegcode1 := <lndef_loop>1.seriegcode1;
    <atatement>1.labe12 %"<indef_10op>1.1abe12;
    gelur
<ntatamant> ::\#<def_loop>
zul@g
<def_loop>1.labeli := <statement>1.labeli;

```

```

    <etatement>1.geriencode1 ; < <def_loop>1.ateriegcodei;
    ```

```

OClur
<atatement> ::= <conditional>
Ful@n
<conditional>1.labal1 := <atatamont>1.label1;

```

```

    <statem\rho刀t>1, 日eri@pcode1 ;= <conditional>1.berjescode1;
    <Etat@ment>1.label2 ;" <conditionsl>1.label2;
    selur

```

```

Fuleg
<gafign>1.anriestemp1 :a <ntatement>1.Eerientemp1;

```

```

|日lur
<Btatement> :': <output>
rule日
<\&tatement>1, 日eriegcode1 :* <output>1, berigacode1;
selur
<atatement> ::m <input>
rulem
<atetement>1.seriegcode1 :" <1mput>1.Earimacode1;
galur
<aerigb> ::m <Eerige> <gemi> <gtatement>
rules
<neriet>2.tompi :m <aderieg>1.temp1;
<Berieg>2.label1 ;" <seriea>!,label1;
<Btatpmant>1.labeli := <Aeri|e>2.label2;
[Etat@ment](mailto:Etat@ment)1, beriegtemp1 :" <e0rief>1,tompi;
<aeries>1.code1 :| <atatament>1.merieacodei;
<emries>i.label2 := <statomont>1.label2;
<aerieg>1,gode2 := concat(<\&arieq>2.code2,
Catatement>1, efrieacode1);
801ur
<gerieg> :; < <Btatement>
rulen
<atatoment>1, labsl: ;= <a<rias>1,label1;
<atatament>1.eariestatopl ;" <gerieg>1,tempi;
<Eerieg>1,code2 := <atatement>1.meriencodei;
[E@rief](mailto:E@rief)1.Labol2 *: <atatement>1,labgl2;
gelur

```
```

<program> ::= <qerieg>
rules
<gerien>1.tamp1 := 0;
4neri*|>1.labbl1 :! O:
<program>1,code1 := mppand(<aerleg>1.code2, HALT);
selur

```

\section*{C. 3 TreeSem Translation of Section C. 2}
grantane
\begin{tabular}{ll} 
letter & \(::=2\) \\
letter & \(\vdots:=a\) \\
digit & \(::=9\)
\end{tabular}
digit \(\quad:=0\)
constant \(\quad:=\) conatant digit
constant \(\quad\) : \(=\) e digit
atrong-op \(:= \pm\) ditide
otrong_op ::= \(\quad\) tar
elgment :: le left expreqgion right
element : it variable
element : : \(=\) constant
toak_op :i= minus
venk_op : : plua
term : :

relation i:
relation : : greater equal
zelation : : Greater
relation \(\quad\); \(=\) lese
relation :; equal lear
relation \(\quad\) i: e equal
comparibon ::=exprosaion relation expresuion
expresaion :: : expression reak_op tern
expresuion ite tarm
variable : : F Variable digit
variabla \(\quad::=\) variable letter
Yariable : : \(=\) lotter
var_lint : : = var_liet coman varieble
var_ligt : : variable
indet_loop \(:\) : \(=\) while comperison do efries and
def_loop : : \(=\) to exprearion do eeries end
conditionel :i= if comparison then series elzo serien fi
conditional :: If comparieon then berlag fi
asbign \(\quad::=\) variablo colon equal expression
output : : \(\quad\) Urite var_liat
input : : \(\quad\) rasd variligt
Btatement : : \(=\) indef_100p
```

    Atatoment ::= def_loop
    gtatement ::* conditional
    gtatement : : = amaiga
    statoment ::= output
    atatemont i:= input
    merlen : i# aeriet semi
    series :%" atatement
    program ::= aerieg
    end_grammar
traveres preorder
[1\#ttor, z] -->
O z(1) :
10tter(1).tag1 := fn z;
[letter, a] -->
O(1) :
latter(1).tag1 := 1n a;
[digit: 9] -->
O(1) :
digit(1).num1 := In 9;
[digit, 0] -->
日(1) :
digit(1).numi := fn 0;
[constant, constant, digit] -->
C digit(1) :
conetsat(1).num1 := fn concat(conetant(2).num1, digit(1).num1);
[congtant, digit] -->
O diglt(1):
constant(1). num1 := digit(1).num1;
[strong_op, divida] =->
0 divide(1) :
atrong-op(1) .opcode1 := fn DIW;
[strong_op, atar] -->
@ 日tar(1) :
atrong_op(1).opcodal := fn MULT;
[element, left, exprearion, right] -->
C left(1) :
expregeion(1), temp1 := element(1),temp1;
| right(1) :
@lement(1).code1 : = expression(1).code1;

```
```

[0lement, Yariable] --3
0 varia'ble(1) :
elament(1) -codel := 4n mak%_op(tn LO\&D; variable(1).tagi);
[0loment, constant] -->
| con|tant(1) :
eloment(1).codei := frn make_op(In LOAD, congtant(1). num1);
[woak_op, minum] -->
0 -Inus(1) :
quak_op(1).opcode1 := In SUB;
[weak_op, plus] =-s
@ plus(1) :
weak_op(1).opcodei ;= In MDD;
[t.9rm, tegm; atrong_op, element] -->
0 term(1) :
tarm(2).temp1 :" torm(1).tomp1;
0trong-op(1) :
\#lement(1). tenp1 := fn plu\#(turm(1) -tomp1, fn 2);
0 0l0ment(1) :
term(1).code1 in tn concat(tom(2), code1,
In Eelectcode(olement(1).code1, term(1).temp1,
Btrong_op(1).opcode1));
[term, element] -->
0 tarm(1) :
0loment(1), temp1 := term(1).temp1;
0 ulament(1) :
tern(1).code1 : m thment(1).code1;
[raletion, lege, gremter] -->
egragtar(1) :
relation(1). comparisonopeodel := fn JZ;
[relation, greater, equal] =->
e equal(1) :
ralation(1).compariaonopcodel ;= In JP;
[relation; grester] -->

```

```

        relation(1), comparigonopcodeq ir fn JPZ;
    [reletion, legs] =->
0 lesg(1) :
ralation(1).comparisonopcode1 := En JHZ;

```
```

[relation, equal, Eosm] -->
| loze(1) :
relation(1).comparimanopooda1 :m fn JN:
[relation, equal] -->
0 equal(1) :
relation(1).compariaonopcode1 ;= 1n JNP;
[comparison, eiprasision, ralation, exprageton] -->
e comparison(1) ;
expremsion(1).tamp1 :=
fn plug(compari=on(1). Eexieftemp1, in 1);
0 relatjon(1) :
*xpression(2).tomp1 :=
fn plua(comparimon(1).terieategp1, fin 1);
0 0tpregeion(2) ;
comparison(1), code1 !r Fn concat(mpreberion(1).code1,
In makg_op(fn STO,
In temporary(fn plue(comparison(1).garigetamp1; In 1))),
expretsion(2).code1;
fn mako_op(fn SUB,
fn tempornry(fn plus(comparison(1). Berigetomp1, fn 1))),
fn mak\&_op(relation(t).comparimonopcodei,
In label(compartimon(1).1abeli))):
comparison(1).opcode1 ;" relation(1).comparisonopcode1;
[mxpression, miprongion, woak_op, term] -->
empresaion(1) :
expremsion(2), tompl i= expresmion(1).tempi;
e teak_op(I) :
term(1) -tomp1 := In plus(expression(1).temp1, fn 2);
Cterm(1) :
expreseion(1),codei :" In concat(expresaion(2).code1,
fn eelectcode(term(1),code1, expreanion(1).temp1,
meak_op(1) _opcode1));
[expreasion, term] =->
0 expreseion(1) :
terg(1).tomp1 ;= exprension(1).temp1;
0t\mp@code{rman(1) :}
exprenrion(1).code1 := term(1).code1;
[variable, variable, digit] ->>
C digit(1) :
varigble(1).tag1 i" In concat(varigblg(2).tag1, digit(1).mum1);
[variable, variable, lettor] =>
0 letter(1) ;
variablg(1).tag1 :=

```
```

        In concat(variable(2).tag1, letter(1).tag1);
    [varinble, letter] =->
| lottor(1) :
qariable(1).tdgl %= letter(1).tagl;
[var_ligt, var_lift, comma, variabla] =->
0 var_11gt(1) :
var_11Bt(2).opcodal :" Tar_ligt(1).opcode1;
var_list(2),p13n1_p12m1_opcode1 ;-
var_11at(1).p13n1_p12n1_opcode1;
0 variable(1) ;
var_1igt(1).p13n1_p12m1_opcode2 ;=
var_14at(2).p13n1,pi2n1_opcode2;

```

```

            fn mako_op(var_118t(2).pi3n1_p12ni_opcode2,
            variable(1).tag1));
    [var_11nt, variable] -->
e var_list(1) :
vaxiable(1).opcode1 := var_list(1).p13n1-pl2nt_opeode1;
e Tariable(1) ;
var_1int(1).p13n1_p12n1_opcode2 :=
var_list(1),p13n1_p12n1_opcodef:
var_liat(1).codei ;-
fn mak血_op(var_ligt(1).p13n1_p12n1_opcoda1,
variable(1).tag1);
[indef=loop, whilg, comparibon, do, beries, end] =->
| HH{le(1) :
comparimon(1).laboli :* fn plue(indef_loop(1).labol1, fn 2);
comparison(1).geriestemp1 :" indef_loop(1). serigetempi;
0 do(1) :
aerleg(1) .lebel1 := fn plue(indef_loop(1).1abal1, fn 2);
geries(1) .tempi : = indef_loop(1), soriestomp1;
e and(1) :
Indef_loop(1).aerieacode1 :F fn concat(
fn make_op(fn label(in plug(series(1).label2, fn 1)),
fn LAB),
comparlaon(1), code1,
日新昭(1).code2,
fn makr_op(fn J, fn label(
fn plue(series(1).label2, fn 1))),
fn make_op(fn label(ft plug(eqrieg(1).label2, fn 2)),
fn LAP));
Indef_loop(1).lsbel2 ;" 0eriga(1).label2;
[def_loop, to, expregsion, do, aeriap, and] --s
4 to(1) :

```
```

    expreneion(1).tempi :* fn plus(dof_loop(1).aerientemp1, In 1);
    0 do(1) :
    torien(1).laboli := tn plue(det_10op(1).lnbeli, In 2);
    serien(1).tempi :口 fn plua(def_loop(1).terientempi, fn 1);
    0 end(1) :
    def_loop(1).emeriencodel := fn concat(expreasion(1).code1.
        fn make_op(fn STO,
            In temporary(fn plua(dor_loop(1).seriestempl, fn 1))),
        fn make_op(tn label(
            fn plua(sorios(1).habol2, fn 1)), fn LAB),
        fn make_op(fn LoAD,
            fn temporary(tn plus(dof_loop(1).aeriø日tomp1, fn 1))),
        fn mako_op(fn SUH, fn 1),
        fn make_op(fn JN, fn labol(
            fn plug(amries(1).label2, fn 2))),
        fn make_op(fn STO.
            fn temporary(in plus(def_loop(1).agrieatemp1, fn 1))),
        e日ries(1).code2,
        In make_op(fn J, fn labol(fn plu#(neriea(1).label2, fn i))),
        fn make_op(fn labal(in plus(serles(1).label2, fn 2)).
            fn LAB));
    det_laop(1).label2 := merlea(1).1abu12;
    [conditional, if, comparison, then, serien, (lue, sorien, fi] -->
O ir(1) :
comparison(1).labell := fn plus(conditional(1).labeli, in 1);
compariaon(1).teriestemp1 := conditional(1).Eerientempl;
0 then(1) :
Garien(1).labell := fn plua(conditicnal(1).labol1, fn 2);
e日rige(1).tamp1 := conditional(1).atriastempi;
0 elac(1) :
geriea(2).label1 := agrima(1).labol2;
Berfes(2).temp1 ;" conditional(1).egriegtempl;
0 11(1) :
conditional(1).serieacodel := fn concat(
comparison(1).code1.
Eeriga(d).code2,
fn make_op(fn J, fn label(fn plus(agriea(2).label2, fn 2))),
In make_op(fn label(
fn plug(aarios(2). .abol2, fn 1)), fn LAB),
Egrias(2).code2,
In make_op(fn label(
fn plua(a4rles(2).labul2, in 2)), fn LAB));
conditional(1).label2 :- eorieg(2).labol2;
[conditional, if, comparimon, thon, merteg, fi] -->
0 11(1) :
comparison(1).label1 := fn plua(conditional(1).label1, fn 1);
comparison(1).aerieatemp1 := conditional(1).eeriestemp1;

```
```

    than(1) :
    |0rios(1).label1 := tn plue(conditional(1).laboli, In 1);
    eeries(1).temp1 :" conditionnl(1).seriestempi;
    | If(1) :
conditional(1), berlegcode1 ;= fn concat(comparison(1).code1,
Borien(1). code2,
fn make_op(fn plus(
fn label(egrier(1). label2), In 1), fn LAB));
conditional(1).labo12 := Aerien(1).label2;
[asgign, variable, colon, equal, expresgion] m>
0 equal(1) :
expreasion(1), temp1 ;= agEign(1).geriestemp1;
0 myprebaion(1) :
garign(1).egriamcode1 := fn append(expresmion(1).code1,
fn make_op(fn STO, Fariablo(1),tag1)):
[output, writa, *ar_1igt] -->
Curite(1) :
var_liat(1).p13n1_p12ni_opcoda1 :! fn PUT;
0 var_list(1) ;
output(1). Ber1egcode1 := var_1ist(1).code1;
[1nput, road, var_11at] -->
| Ifad(1) :
Var_1ige(1).p13n1_p12n1_opcode1 := fn GET;
0 var_1ist(1) :
input(1), geriescode1 := Var_liat(1).code1;
[statement, indef_logp] =->
0 gtatement(1) :
indef=100p(4).laboli := Etatement(1),label1;
indef_loop(1).Beri\&日temp1 :" Etatement(1). कerieatempi;
4 Indef_loop(1) :
statement(1).1abel2 ;" indef.loop(1).label2;
statement(1).ageriercode1 := indef_loop(1).tar1mbcodel;
[gtatement, def_loop] =->
e etatmment(1) :
def.loop(1).label1 : = statement(1).label1;
def_loop(1).egriegtamp1 := \&tatement(1).geriegtempi;
0 def_loop(1) ;
st.\&tenent(1),10bol2 ; = dof_loop(1),1abel2;
statement(1).geriescodei := def_loop(1).agriegcode1;
[atatement, conditional] -->
0 日tatement(1) ;
conditional(1).label1 ;= utetement(1).label1;
conditional(1).eeriestemp1 := statement(1). Beriestemp1;

```
```

    0 conditional(1) :
        statement(1).label2 ;= conditional(1).labol2;
        statement(1).teriencodol ;= conditional(1).Eeriencode1;
    [statement, benign] -->
@ 日tatement(1) :

```

```

    @ assign(1) :
        statement(1).geriegcode1 := angign(1).seriescode1;
    [gtatement, output] -->
0 output(1) :
gtatement(1).geriegcode1 :t output(1).gerigecodea;
[gtatement, input] - ->
| 1nput(1) :
gtatoment(1).e日riagcode1 ;= input(1).eariaecode1;
[日eri0a, eeriea, temi, atatement] -->
0 日eriga(1) :
Acrieg(2). laboli := E|rieg(1).label1;
gerieg(2), temp1 := serios(1).tomp1;
e demi(a) :
gtatement(1).Beriettempi :I= Beries(1).tempi;
mtatement(1).label1 ;" aerier(2).1abol2;
* Btatement(1) ;

```

```

        emries(1).code2 ;- fn concet(reries(2), code2,
            8tatempnt(1) . ह0rigecode1);
        seriag(1).label2 :* atatemont(1).1abol2;
    [seriem, gtatement] -->
0 日eries(1) :
gtatement(1). Agrieatempl :" gerieg(i).tompl;

```

```

    0 mtatकment(1) :
        series(1).code2 := statement(1).seriescodel;
        日erleg(1). Label2 : = Etatement(1).label2;
    [program; series] -->
0 program(1) :
seriea(1), labeli ;= fn 0;
Berleg(1),tempt %= fn O;
0 soriga(1) :
program(1).codel := fn mppend(meries(1).code2, fn HALT);

```
ond_traverge

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\section*{VITA}

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[^0]:    'Aho, Sethi, atd Ullman, page 20.

[^1]:    ${ }^{2}$ Slanted type will be used throughout this dissertation whenever a new term is being introduced. Subsequent nses of the term will appear in normal type.

[^2]:    ${ }^{3} \mathrm{Aho}_{\text {, Selhi, and Dilman, pagee 40-48 }}$
    ${ }^{\text {The }}$ The UNIXTM Systern User's Maneal.
    ${ }^{5}$ Noontan and Collins.
    ${ }^{5}$ Pagan.

[^3]:    ${ }^{7}$ Raiha and Sarimen.
    ${ }^{8}$ Kastena, Huti, and Zimniermaim.
    ${ }^{9}$ Farrow and Yellin.
    ${ }^{10}$ Knuth, 1968 .
    ${ }^{11}$ ]ronis, 1961 and [ront, 1903.

[^4]:    ${ }^{12}$ Adapted from Kastens, figure : A ,

[^5]:    ${ }^{13}$ Knuth, 1968 and Ktulh, 1971.
    ${ }^{14}$ Jazayeri, Ogden, and Hounda.
    ${ }^{15}$ Jazayeri.

[^6]:    ${ }^{16}$ Kemmedy and Warren, pagea 33-34.
    17 Bochmann-
    IBJazayeri and Walter.
    ${ }^{16}$ Kastens.
    ${ }^{20}$ Kentedy and Warren.
    ${ }^{\mathbf{2 1}}$ Knuth, 1968 , page 134.

[^7]:    ${ }^{22}$ Janayeri and Walter.
    ${ }^{23}$ Kastena, pager 242-244.
    ${ }^{24}$ Hochmarn.

[^8]:    ${ }^{25}$ Katens, jpegea 242-244.

[^9]:    ${ }^{25}$ Morelli $_{1}$ page 15.

[^10]:    ${ }^{27}$ Eochmann, page 01.
    ${ }^{28}$ Farrow and Yellin, prage 39 A .
    ${ }^{29}$ Etaiha and Tarhio.

[^11]:    ${ }^{30}$ Donzeau-Gouge, liwet, Kahn, and Lang
    ${ }^{3}$ Morell.
    ${ }^{32}$ Alblas.

[^12]:    ${ }^{1}$ Raiha and Tarlio，page 84.

[^13]:    ${ }^{2}$ In order for the exe cxamphes to be used as input to the Lratiolation programs that implement．

