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# An Empirical Investigation of Four Strategies for Serializing Schedules in Transaction Processing 

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## An Empirical Investigation of Four Strategies for Serializing Schedules in Transaction Processing <br> Terri Johnson



An Empirical Investigation of Four

## Strategies for Serializing Schedules

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#### Abstract

A database management system (DBMS) is a very large program that allows users to create and maintain databases. A DBMS has many capabilities. This study will focus on the capability known as transaction management, the capability to provide correct, concurrent access to the database by many users at the same time. If a DBMS did not provide transaction management, livelocks, deadlocks, and non-serializable schedules could occur. A livelock can occur when a transaction is waiting on a locked data item, and another transaction appears. After the data item is unlocked, the second transaction locks the data item, which causes the first transaction to continue waiting. Conceivably, the first transaction could wait indefinitely to lock the data item. This situation is called livelock. Deadlock is a situation in which each member of a set of two or more transactions is waiting to lock an item currently locked by some other transaction in the set. None of the transactions can proceed, so they all wait indefinitely. A schedule is serial if for every pair of transactions, all of the operations of one transaction execute before any of the operations of the other transaction. A schedule is serializable if its effect on the database is the same as some serial execution of the same set of transactions. A schedule is nonserializable if its effect on the database is not equivalent to that of any serial schedule which processes the same transactions. The scheduler is a component of the DBMS, and it is responsible for resolving any livelocks, deadlocks, or non-serializable schedules that occur. This study looks specifically at non-serializable schedules. There are many methods by which the scheduler can serialize non-serializable schedules. This study proposes and examines four strategies to detect and resolve non-serializable schedules. Computer


simulation is used to examine the four strategies. These strategies reduce a nonserializable schedule to a serializable or a serial schedule, thus eliminating the possibility of incorrectly updating data items within a database. It is shown experimentally that, of the four strategies, the one that delays the transaction which has executed the least number of steps until non-serializability is detected is the best.

## 1. INTRODUCTION

A database system is a system which involves humans and computers. It has been compared to a very complex and involved file system. The five components of a database system are people, data resource, hardware, software, and procedures. A special software system involved in the database system is the database management system. The DBMS is responsible for overseeing almost every component and process within the database system. One of the most important functions of the DBMS is to provide control over concurrent database operations. This is the focus of this research.

In a DBMS, if no concurrency control exists, a number of undesirable situations could occur, including livelocks, deadlocks, and non-serializable schedules, as defined earlier. This study takes a closer look at non-serializable schedules. Non-serializable schedules could result in incorrect updates of data items within a database. If a schedule turns out to be non-serializable, it is necessary to transform it into a serializable or a serial schedules. This eliminates the possibility of incorrect updates to data items.

The scheduler and the lock manager are components of the DBMS which work together to resolve non-serializable schedules. The scheduler has the responsibility to arbitrate between conflicting requests. The lock manager keeps track of how many transactions are reading or writing a given data item. It also prohibits another transaction from gaining access to a data item, if that access could cause a conflict. A transaction will request access to a data item through the scheduler. The scheduler then checks with the lock manager to determine if the request can be granted. Then, the scheduler relays a message of grant access, wait, or abort to the transaction.

In one approach, in order for the scheduler to determine if requests from transactions are conflicting, it generates a directed graph. This directed graph is examined for cycles. The nodes of this graph represent the transactions of the schedule and the arcs represent their dependencies. This directed graph is referred to as a waits-for graph or a serialization graph. A waits-for graph shows which transactions are "waiting" on other transactions. If a cycle exists in the waits-for graph, then the transactions involved in the cycle yield conflicting requests. In this study, an algorithm has been developed to detect cycles in a waits-for graph. If this algorithm detects a conflict, then it is necessary for the scheduler to determine which transaction in the conflict should be delayed until the remainder of the schedule has been executed.

In this study, we consider four possible strategies to determine the transaction that should be delayed so that a detected cycle can be broken. We will call such a transaction the victim transaction. The four strategies are:

1. Transaction which has executed the least number of steps is the victim;
2. Transaction which has most recently entered the cycle is the victim;
3. Transaction which has requested the most number of data items is the victim; and
4. The non-two-phase transaction, described later in Section 2, in the cycle is the victim. However, if two or more transactions in the cycle are non-two-phase, then randomly choose which transaction will be the victim.

A program was developed in order to test these strategies. The strategy which results in the smallest average wait time for the delayed transactions will be considered
as the best strategy of the four tested. The wait time is the amount of time a given delayed transaction will have to wait to restart from this transaction's initial beginning execution time. The objective is to minimize the wait time, thus the entire schedule can be completed in a minimum amount of time. Many experiments are executed in order to determine the best strategy.

In Section 2 of this paper, fundamental concepts of transaction processing are discussed. This includes discussion of the database management system, its capabilities and descriptions of the different types of schedules. Section 3 presents an algorithm to detect cycles in an undirected graph, an algorithm to test for serializability, and an algorithm to detect cycles in a directed graph. Section 4 describes four strategies for serializing a nonserializable schedule. In section 5, a description of the program and the experiments is given. The results of the experiments, the conclusions, and further research directions are discussed in section 6.

## 2. FUNDAMENTAL CONCEPTS OF TRANSACTION PROCESSING

A database management system is a collection of programs that allows users to create and maintain a database. Two capabilities which are fundamental to any DBMS are:

1. The ability to manage persistent data.
2. The ability to access large amounts of data efficiently.

In addition to these, the following are functions which are expected of DBMSs:

1. Support for at least one data model, or mathematical abstraction through which
the user perceives the data.
2. Support for certain very high-level, and desirably non-procedural languages that allow the user to define the structure of data, access data, and manipulate data.
3. Transaction management, the capability to provide correct, concurrent access to the database by many users at once.
4. Access control, the ability to limit access to data by unauthorized users, and the ability to check the validity of data.
5. Resiliency, the ability to recover from system failures without losing data.

The transaction management capability allows the DBMS to manage concurrent transactions, which may access and/or alter data items. If concurrency is not controlled, livelocks, deadlocks, and non-serializable schedules can occur. Incorrect updates to data items could result if non-serializable schedules are produced. A good example of the necessity for this capability are systems used in the banking industry. These database systems are accessed nearly simultaneously by numerous automated teller machines and bank employees. For example, if you are depositing money to your account through a bank teller and at the same time, your spouse is withdrawing money from an automated teller machine, the DBMS needs to make certain that both transactions correctly affect your account balance. If these transactions happen at exactly the same moment, then an invalid result may occur and your account balance could be incorrect. As one can see from this example, transaction management is a major issue in any DBMS.

A database system processes many transactions. A transaction is the execution of a program that accesses and/or changes the contents of the database. A set of concurrent
transactions is called a schedule. From a database schedule, we can determine which transactions are affecting which data items, at what time unit. There are three types of schedules: serial, serializable, and non-serializable $[2,9,10]$. A schedule is serial if for every pair of transactions, all of the operations of one transaction execute before any of the operations of the other transaction. Figure 1 gives an example of a serial schedule. A schedule is serializable if its effect on the database is the same as some serial execution of the same set of transactions. Figure 2 gives an example of a serializable schedule. The effect of Figure 2 is the same as a serial schedule in which transaction 2 precedes transaction 1. A schedule is non-serializable if its effect on the database is not equivalent to that of any serial schedule which processes the same transactions. Figure 3 gives an example of a non-serializable schedule. Some non-serializable schedules may produce results which are equivalent to a serial schedule. However, if the results are not produced in precisely the same order of operations as some serial schedule, then the schedule is considered to be non-serializable[10]. For example, if the end result of a schedule is to subtract 10 from the variable $A$, suppose a serial schedule produces this result by $(A+10)$ 20. If a schedule being tested for serializability produces the same result by $(A+20)-30$, then the schedule is considered to be non-serializable.

| T1 | T2 |
| :---: | :---: |
| READ A |  |
| A:=A-10 |  |
| WRITE A |  |
| READ B |  |
| BRITE B B |  |
|  |  |
|  | READ B |
|  | B:=B-20 |
| WRITE B |  |
| READ C |  |
|  | C:=C+20 |
| WRITE C |  |

Figure 1. Example of a Serial Schedule [10].

| T1 | T2 |
| :---: | :---: |
| READ A | READ B |
| A:=A-10 | B:=B-20 |
| WRITE A | WRITE B |
| READ B | READ C |
| B:=B+10 | C:=C+20 |
| WRITE B | WRITE C |

Figure 2. Example of a Serializable Schedule [10].

| T1 | T2 |
| :---: | :---: |
| READ A |  |
| A:=A-10 | READ B |
| WRITE A | $\mathrm{B}:=\mathrm{B}-20$ |
| READ B | WRITE B |
| WRITE B | READ C |
|  | C:=C+20 |
|  | WRITE C |

Figure 3. Example of a Non-Serializable Schedule [10].

Transactions must place locks on data items in order to access and/or update these data items. There are two types of locks: read locks and write locks. Multiple, concurrent read locks on the same data item are allowable, since a read lock only allows the transaction to read that data item. However, if a transaction has a write lock on a data
item, then no other transaction can place a lock of any kind on that data item. This helps protect the data item from incorrect updates. In this study, we will consider all locks to be write locks.

An important protocol when discussing database schedules is the Two-Phase Protocol. This protocol requires that within a given transaction, all locks precede all unlocks. Transactions that follow this protocol, are said to be Two-Phase. The first phase contains all the locks, the locking phase. The second phase contains all the unlocks, the unlocking phase. In Figure 4, transaction 1 and transaction 3 are Two-Phase transactions, while transaction 2 is not a Two-Phase transaction. The Two-Phase protocol is important to database scheduling due to the following theorem: "If S is any schedule of two-phase transactions, then $S$ is serializable."[10]. Thus, if we can show that all transactions in a schedule are Two-Phase, then we have shown that the schedule is serializable.

| T1 | T2 | T3 |
| :---: | :---: | :---: |
| LOCK A |  | LOCK C |
| LOCK B |  | LOCK D |
|  | LOCK C | UNLOCK C |
| UNLOCK A |  |  |
| UNLOCK B | UNLOCK C |  |
|  | LOCK A |  |
|  | UNLOCK A |  |

Figure 4. Two-Phase Transactions (T1 and T3).
As were defined previously, the lock manager and the scheduler are components of the database management system that work together to detect problems such as non-
serializable schedules, and transform them into serializable or serial schedules. The lock manager keeps track of how many transactions are reading or writing a given data item. The scheduler arbitrates between conflicting transaction requests. It controls the relative order of transactions by delaying or rejecting some transactions. A technique that helps the scheduler determine which transactions will be delayed or rejected, is to examine the waits-for graph of the schedule. A waits-for graph is a partial directed graph, or a digraph, whose nodes are labelled by transaction names; it contains an edge TI --> TJ whenever TI is waiting for TJ to release a lock on a data item. A theorem by R.C. Holt [7], states that, "In a waits-for graph, a cycle is a necessary condition for non-serializability". The next section describes concepts of graph theory that are related to digraphs, along with the algorithm developed to detect cycles in a digraph.

## 3. AN ALGORITHM FOR TESTING SERIALIZABILITY

A digraph is a pair ( $\mathrm{N}, \mathrm{E}$ ), where N is a non-empty set of nodes and E is a set of edges. Each edge in E is an ordered pair $(\mathrm{a}, \mathrm{b})$, where a and b are nodes in N . An edge $(a, b)$ is described as being directed from node $a$ to node $b[6,7]$. A waits-for graph by its definition is a digraph. Its nodes are transactions and the edges are the dependencies between those transactions, due to locks on data items. Figure 6 gives an example of a waits-for graph. The nodes, or transactions, in Figure 6 are 1, 2, 3, 4, and 5. The edges, or dependencies, are $(1,2),(2,3),(3,4),(4,1)$ and $(3,5)$.


Figure 6. Example of a Waits-For Graph.
Since this study uses computer simulation, the computer representation of digraphs is now discussed. We can represent a digraph by a NxN matrix, A, called the adjacency matrix of the digraph. Here, N represents the number of nodes in the digraph. An entry in the matrix, $\mathrm{Aij}=1$, if an edge connecting nodes i and j exists; otherwise $\mathrm{Aij}=0$.

The following definitions of adjacency matrices are used in determining if a cycle exists in a digraph.

Definition 1 - The sum of a column gives the indegree of the corresponding node.
Definition 2-The sum of a row gives the outdegree of the corresponding node.
Definition 3 - A source can be identified by a column of all zeros, i.e. its indegree is zero.

Definition 4 - A $\operatorname{sink}$ can be identified by a row of all zeros, i.e. its outdegree is zero.
Definition 5-An isolated point can be detected by a column and corresponding row which both contain only zeros, i.e. both its indegree and outdegree are equal to zero.

Since a waits-for graph is a digraph, the above definitions are applicable to it. For example, Aij $=1$ would imply that transaction $i$ is waiting on transaction $j$ to release a given data item.

We need to derive an algorithm to detect cycles in directed graphs. Three
algorithms are presented. The first algorithm detects cycles in undirected graphs. This algorithm will be the basis from which we will develop an algorithm to detect cycles in directed graphs. The second algorithm tests a schedule for serializability. We use the concept of transaction ordering to determine serializability from this algorithm in conjunction with the first algorithm and previously defined graph definitions, to help derive the algorithm which detects cycles in directed graphs. The three algorithms are as follows. Algorithm to Detect Cycles in an Undirected Graph

INPUT: An undirected graph in which each node is connected to at least one other node in the graph.

OUTPUT: Generated cycles OR if no cycles have been generated, then no cycles exist. ALGORITHM:

Let G be a given undirected graph of N nodes. First, find all the connected components of G. Then, the fundamental set of cycles can be found for each component H of G as follows.

Step 1 - Let E be the set of edges and V the set of nodes of H . Take any node v from V as the root of the tree consisting of the single node. Set $\mathrm{T}=\{\mathrm{v}\}, \mathrm{S}=\mathrm{V}$.

Step 2 - Let $X$ be any node in $T \cap S$. If such a node does not exist, then stop.
Step 3 - Consider each edge ( $\mathrm{X}, \mathrm{Y}$ ) in E .
If $Y$ is in $T$, then generate the fundamental cycle consisting of edge ( $\mathrm{X}, \mathrm{Y}$ ) together with the unique path between X and Y in the tree, and delete the edge ( $\mathrm{X}, \mathrm{Y}$ ) from E .

If Y is not in T , then add the edge $(\mathrm{X}, \mathrm{Y})$ to the tree, add the node Y to T , and
delete the edge $(\mathrm{X}, \mathrm{Y})$ from E .
Step 4 - Remove the node $X$ from $S$ and return to Step 2.
The algorithm for testing serializability of a schedule [10], is as follows:

## Algorithm for Serializability Testing

INPUT: A schedule $S$ for a set of transactions $T 1, .$. TK.
OUTPUT: A determination whether S is serializable.
If so, a serial schedule equivalent to S is produced.

## ALGORITHM:

Create a directed graph G (called a serialization graph), whose nodes correspond to the transactions. To determine the arcs of the graph $G$, let $S$ be a1;a2;...;an, where each ai is an action of the form:

Tj:LOCK Am or $\mathrm{Tj}:$ UNLOCK Am.
Tj indicates the transaction to which the step belongs. If ai is $\mathrm{Tj}: \mathrm{UNLOCK} \mathrm{Am}$, look for the next action ap following ai that is of the form Ts:LOCK Am. If there is one, and $s \neq \mathrm{j}$, then draw an arc from Ts to Tj . The intuitive meaning of this arc is that in any serial schedule equivalent to $\mathrm{S}, \mathrm{Tj}$ must precede Ts .

If $G$ has a cycle, then $S$ is not serializable. If $G$ has no cycles, then find a linear order for the transactions such that Ti precedes Tj whenever there is an arc $\mathrm{Tj}-->\mathrm{Ti}$. This ordering can always be done by the process known as topological sorting, defined as follows. There must be some node Ti with no entering arcs, else we can prove that G has a cycle. List Ti and remove Ti from G . Then repeat the process on the remaining graph until no nodes remain. The order in which the nodes are listed is a serial order for the
transactions.

## Algorithm to Detect Cycles in a Directed Graph

INPUT: A directed graph in which each node is connected to at least one other node in the graph.

OUTPUT: Generated cycles. If cycles were generated, then the tree contains the arcs in the cycle and $T$ contains the nodes in the cycle. If no cycles were generated, no cycles exist.

## ALGORITHM:

Step 1-Determine all edges, $\mathrm{A}:(\mathrm{X}, \mathrm{Y})$. (A is the adjacency matrix of the digraph.)
Step 2-Determine all nodes, $\mathrm{V}=\mathrm{S} . \mathrm{T}=$ null set.
Step 3 - If $T$ intersect $S=$ null set then choose a node $v$, from $S$, to be the root. $v$ becomes an element of T .

Step 3a- If $S$ is empty, then stop.
Step 4 - Choose a node 'NextNode' such that, 'NextNode' is in T $\cap \mathrm{S}$.
Step 4a- If such a 'NextNode' does not exist, then stop.
Step 5-Consider each edge (NextNode, Y) in A.
Step5a- If no (NextNode, Y) exists in A, then delete NextNode from $T$ and delete any (*,NextNode) from A.
else
If no ( ${ }^{*}$, NextNode) exists in A or Tree, then delete NextNode from T and delete (NextNode,*) from A.
else

If Y is in T and $\left({ }^{*}\right.$, NextNode) is in the Tree, then add (NextNode, Y$)$ to the Tree, generate the cycle consisting of the edges in the Tree, and delete (NextNode, Y ) from A .
else
If Y is not in T and $\left(\mathrm{Y},{ }^{*}\right)$ exists in A or Tree, then add the edge (NextNode, Y ) to the Tree, add the node Y to T , and delete the edge (NextNode, Y ) from A . else

Delete (NextNode, Y) from A and if for all (NextNode, y), no (y,*) exists, then delete NextNode from T.

Step6 - Delete NextNode from $S$ and go to Step 3.
Note: * indicates any node.
In the final algorithm, we can see the basic steps of the algorithm for detecting cycles in undirected graphs. In step 5a of the final algorithm, we see the concept of ordering transactions to determine serializability. If a cycle is generated, we know that the order of the transactions is that of a non-serializable schedule. Also in step 5 a , the digraph definitions mentioned earlier are used. For a given node, if its indegree or outdegree equals zero, then the node being tested is not in a cycle. Thus, any arc containing that node can be disregarded.

## 4. FOUR STRATEGIES FOR SERIALIZING A NON-SERIALIZABLE SCHEDULE

There are multiple ways to break cycles in schedules. This study takes a look at four cycle breaking strategies and attempts to reach specific conclusions about their
ranking. In this study, a step is considered to be a lock or an unlock of any data item. The four strategies are:

1. Transaction which has executed the least number of steps is the victim. The idea in this strategy is to lose as little processing as possible, thus the transaction which has executed the least number of steps is the victim.
2. Transaction which has most recently entered the cycle is the victim. This strategy determines which transaction has transformed a path into a cycle and that transaction becomes the victim. The idea behind this strategy is that if the responsible transaction is removed, then there is a good chance the remaining transactions of the cycle will not form a subsequent cycle.
3. Transaction which has requested the most number of data items is the victim. This transaction has the potential to cause further cycles since it has requested locks on many data items. Postponing this transaction could decrease the number of cycles in the schedule.
4. The non-two-phase transaction in the cycle is the victim. However, if two or more transactions in the cycle are non-two-phase, then randomly choose which transaction will be the victim. This strategy was derived from the following theorem: "If S is any schedule of two-phase transactions, then $S$ is serializable." [10]

Strategies 1, 2, and 3 assume two-phase transactions occur, but they do not affect which transaction becomes the victim. A victim transaction is a transaction whose operations are delayed and it must wait until the remainder of the transactions in the
schedule have completed before it may restart its sequence of operations.

## 5. DESCRIPTION OF THE PROGRAM AND THE EXPERIMENTS

A program was developed to test the four previously described strategies. The program randomly generates a database schedule from two inputs: the number of transactions in the schedule and an initial seed value. For each transaction, a random number between 1 and 4 is generated to represent the number of data items that a given transaction will request. For each data item within a given transaction, a random number between 1 and 10 will be generated to determine which data item that transaction will request. So far, we have the number of data items and which data items the transactions will request. The next step is to determine when these data items will be locked and unlocked. These times are also generated randomly. Finally, the program presents all of this information in the form of a schedule.

Next the program proceeds to determine if any cycles exist. This is accomplished by examining the adjacency matrix at each time step. At each time step, an adjacency matrix is generated by examining the schedule up to and including the current time step. The adjacency matrix is then tested for cycles by using the algorithm derived to detect cycles in a digraph, discussed earlier in Section 3. If a cycle is not detected, then the time step is increased by one and another adjacency matrix is generated for examination. If a cycle is detected, then the strategy selected by the user is utilized to determine which transaction, TI, will be delayed until after the remainder of the schedule has completed. The program determines the maximum of the end times for all of the transactions in the
schedule, except for the transaction TI. This maximum end time is the end of the schedule. Transaction TI can be restarted at maximum end time +1 . The program calculates the wait time each time a cycle is detected. The wait time is the difference between the maximum end time and the start time of TI. The start time of TI is the time when the first lock is requested by TI. If subsequent cycles occur between the remaining transactions in the schedule, then those wait times are added to the previous wait time. The objective is to minimize the wait time for the entire schedule. The smaller the wait time, the faster the schedule as a whole can complete its processing and the less time the user has to wait for his/her job to complete. Appendix $C$ contains an example run of the program.

In trying to complete the objective of this study, to determine which strategy is the best, 18 experiments were executed. Figure 7 is an example of an experiment. In this figure, we have 25 runs of each strategy with 15 transactions. One hundred passes through the program are shown. In run \#1 of Figure 7, strategy \#2 has the smallest wait time. The average wait time is calculated for each strategy. These averages are then ranked from lowest to highest. The strategy with the lowest average wait time is considered to be the best in that experiment. In Figure 7, strategy \#3 is the best. All 18 experiments are examined and the number of times each strategy comes in first, second, third, and fourth is tabulated and the percentages calculated. Appendix A contains charts which express these calculations.

| RUN \# | \# OF TRANS | INIT SEED | STRAT\#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 15 | 38 | 444 | 359 | 521 | 413 |
| $\mathbf{2}$ | 15 | 185 | 529 | 647 | 552 | 690 |
| $\mathbf{3}$ | 15 | 288 | 115 | 99 | 99 | 118 |
| $\mathbf{4}$ | 15 | 231 | 312 | 310 | 173 | 172 |
| $\mathbf{5}$ | 15 | 315 | 309 | 96 | 40 | 309 |
| $\mathbf{6}$ | 15 | 300 | 312 | 310 | 173 | 172 |
| $\mathbf{7}$ | 15 | 380 | 115 | 99 | 99 | 118 |
| $\mathbf{8}$ | 15 | 415 | 312 | 310 | 173 | 172 |
| $\mathbf{9}$ | 15 | 457 | 182 | 170 | 183 | 183 |
| $\mathbf{1 0}$ | 15 | 402 | 206 | 190 | 154 | 194 |
| $\mathbf{1 1}$ | 15 | 7 | 62 | 62 | 76 | 62 |
| $\mathbf{1 2}$ | 15 | 163 | 269 | 134 | 168 | 273 |
| $\mathbf{1 3}$ | 15 | 259 | 449 | 477 | 478 | 471 |
| $\mathbf{1 4}$ | 15 | 42 | 362 | 505 | 383 | 411 |
| $\mathbf{1 5}$ | 15 | 650 | 431 | 252 | 265 | 377 |
| $\mathbf{1 6}$ | 15 | 357 | 115 | 99 | 99 | 118 |
| $\mathbf{1 7}$ | 15 | 111 | 168 | 181 | 217 | 166 |
| $\mathbf{1 8}$ | 15 | 43 | 234 | 401 | 196 | 402 |
| $\mathbf{1 9}$ | 15 | 49 | 291 | 380 | 192 | 420 |
| $\mathbf{2 0}$ | 15 | 609 | 494 | 543 | 397 | 213 |
| $\mathbf{2 1}$ | $\mathbf{1 5}$ | 190 | 189 | 189 | 162 | 195 |
| $\mathbf{2 2}$ | 15 | 180 | 323 | 637 | 613 | 613 |
| $\mathbf{2 3}$ | $\mathbf{1 5}$ | 222 | 37 | 112 | 120 | 37 |
| $\mathbf{2 4}$ | $\mathbf{1 5}$ | 333 | 206 | 190 | 154 | 194 |
| $\mathbf{2 5}$ | 15 | 444 | 87 | 80 | 83 | 83 |
|  |  |  |  |  |  |  |

Figure 7. An example experiment.

Appendix B contains all 18 experiments. The number of transactions per schedule used are $5,7,9,11,13$, and 15 . For each number of transactions, 7, 15, and 25 runs were executed. Each run contains 4 passes of the program, one for each strategy. Thus, we have obtained $[(7 \times 6)+(15 \times 6)+(25 \times 6)] \times 4=1,128$ pieces of data. The next section discusses the results of the experiments and the conclusions which were reached.

## 6. CONCLUSIONS

In this paper, we discussed the capabilities of the DBMS. Transaction management was discussed in some detail. When considering transaction processing, undesirable situations could occur if transaction management was not present. Situations such as livelock, deadlock, and non-serializable schedules are possible. Serializability was studied in detail. The scheduler, lock manager, and their responsibilities were discussed. The scheduler utilizes a waits-for graph to detect non-serializable schedules. A waits-for graph is equivalent to a digraph, thus digraph theory was studied. Two algorithms were studied along with several graph definitions, to derive an algorithm to detect cycles in directed graphs. This study uses computer simulation, so we represent the digraphs as adjacency matrices.

Four strategies to break cycles in the waits-for graph were defined. A program was developed which implemented the algorithm to detect cycles in the waits-for graph and the four strategies to break those cycles. Numerous runs were made for each strategy. Appendix B contains the data collected from these runs. After all of the runs were completed, an average wait time was calculated for each strategy in each experiment. The
strategies were then ranked according to the average wait time. Finally, it was determined what percentage of the time each strategy ranked first, second, third, and fourth. Appendix A contains charts describing the results. From Chart \#1 in Appendix A, it is clear that strategy \#1 is the best. Strategy \#1 came in first place $67 \%$ of the time. Strategy \#3, although not as good as strategy \#1, is clearly better than strategies \#2 and \#4. The experiments were then divided into three groups: small, medium, and large number of transactions. These group labels, small, medium, and large number of transactions, are relative to these experiments, since there are no standards for a small, medium, or large schedule of transactions. Chart \#2 of Appendix A describes the results for experiments with five and seven (small) transactions. Again, strategy \#1 is the best and strategy \#3 comes in second place. Chart \#3 of Appendix A describes the results for experiments with nine and eleven (medium) transactions. Similarly, strategy \#1 is the best and strategy \#3 comes in second place. Chart \#4 of Appendix A describes the results for experiments with thirteen and fifteen (large) transactions. Once again, the same results have occurred. Strategy \#1 is the best and strategy \#3 comes in second place. Strategy \#1 is the transaction which has executed the least number of steps is the victim and strategy \#3 is the transaction which has requested the most number of data items is the victim. From these results, strategy \#1 would be recommended for a database system whose schedules are random. Implementing strategy \#1 in a database system would minimize the wait time so users transactions would finish faster.

As we progressed through this study, other research directions were discovered. This study considered all locks to be write locks. A study similar to this situation, but also
considering read locks could give another perspective. Also, the program used in this study checked the adjacency matrix at every time step. One could check the adjacency matrix at every N time steps. If a cycle exists, then do a binary search to determine at what exact time unit the cycle occurred. This type of search would speed up the processing time for the database schedule. However, the wait time for a given schedule and strategy would remain the same. Of course, the four strategies are not all inclusive. One could develop more strategies and test them against these four strategies or other developed strategies.

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## Appendix A - Charts describing results of the experiments

Chart \#1 All 18 experiments used

|  | Strategy \#1 | Strategy \#2 | Strategy \#3 | Strategy \#4 |
| :---: | :---: | :---: | :---: | :---: |
| \# times in | 12 | 1 | 5 | 0 |
| 1st place | $67 \%$ | $5 \%$ | $28 \%$ | $0 \%$ |
| \# times in | 2 | 3 | 8 | 5 |
| 2nd place | $11 \%$ | $17 \%$ | $44 \%$ | $28 \%$ |
| \# times in | 2 | 5 | 5 | 6 |
| 3rd place | $11 \%$ | $28 \%$ | $28 \%$ | $33 \%$ |
| \# times in | 2 | 9 | 0 | 7 |
| 4th place | $11 \%$ | $50 \%$ | $0 \%$ | $39 \%$ |

Chart \#2 Small (5 and 7 Transactions), 6 experiments

|  | Strategy \#1 | Strategy \#2 | Strategy \#3 | Strategy \#4 |
| :---: | :---: | :---: | :---: | :---: |
| \# times in | 6 | 0 | 0 | 0 |
| 1st place | $100 \%$ | $0 \%$ | $0 \%$ | $0 \%$ |
| \# times in | 0 | 2 | 4 | 0 |
| 2nd place | $0 \%$ | $33 \%$ | $67 \%$ | $0 \%$ |
| \# times in | 0 | 1 | 2 | 3 |
| 3rd place | $0 \%$ | $17 \%$ | $33 \%$ | $50 \%$ |
| \# times in | 0 | 3 | 0 | 3 |
| 4th place | $0 \%$ | $50 \%$ | $0 \%$ | $50 \%$ |

Chart \#3 Medium (9 and 11 Transactions), 6 experiments

|  | Strategy \#1 | Strategy \#2 | Strategy \#3 | Strategy \#4 |
| :---: | :---: | :---: | :---: | :---: |
| \# times in | 3 | 1 | 2 | 0 |
| 1st place | $50 \%$ | $17 \%$ | $33 \%$ | $0 \%$ |
| \# times in | 0 | 1 | 3 | 2 |
| 2nd place | $0 \%$ | $17 \%$ | $50 \%$ | $33 \%$ |
| \# times in | 2 | 2 | 1 | 1 |
| 3rd place | $33 \%$ | $33 \%$ | $17 \%$ | $17 \%$ |
| \# times in | 1 | 2 | 0 | 3 |
| 4th place | $17 \%$ | $33 \%$ | $0 \%$ | $50 \%$ |

## Appendix A (continued)

Chart \#4 Large (13 and 15 Transactions), 6 experiments

|  | Strategy \#1 | Strategy \#2 | Strategy \#3 | Strategy \#4 |
| :---: | :---: | :---: | :---: | :---: |
| \# times in | 3 | 0 | 3 | 0 |
| 1st place | $50 \%$ | $0 \%$ | $50 \%$ | $0 \%$ |
| \# times in | 2 | 0 | 1 | 3 |
| 2nd place | $33 \%$ | $0 \%$ | $17 \%$ | $50 \%$ |
| \# times in | 0 | 2 | 2 | 2 |
| 3rd place | $0 \%$ | $33 \%$ | $33 \%$ | $33 \%$ |
| \# times in | 1 | 4 | 0 | 1 |
| 4th place | $17 \%$ | $67 \%$ | $0 \%$ | $17 \%$ |

Appendix B - Data collected from multiple runs of the program

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 5 | 213 | 16 | 16 | 16 | 16 |
| 2 | 5 | 319 | 11 | 15 | 11 | 11 |
| 3 | 5 | 523 | 17 | 12 | 17 | 17 |
| 4 | 5 | 460 | 17 | 11 | 17 | 17 |
| 5 | 5 | 109 | 17 | 17 | 15 | 15 |
| 6 | 5 | 164 | 15 | 15 | 15 | 13 |
| 7 | 5 | 197 | 15 | 50 | 50 | 52 |
|  |  | AVERAGE: RANKING: | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
|  |  | 15.43 | 19.43 | 20.14 | 20.14 |
|  |  | 1st | 2nd | 3rd | 4th |


| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 5 | 215 | 16 | 16 | 16 | 16 |
| $\mathbf{2}$ | 5 | 285 | 11 | 11 | 11 | 11 |
| $\mathbf{3}$ | 5 | 229 | 14 | 43 | 45 | 45 |
| $\mathbf{4}$ | 5 | 333 | 11 | 11 | 11 | 11 |
| $\mathbf{5}$ | 5 | 624 | 17 | 17 | 17 | 15 |
| $\mathbf{6}$ | 5 | 80 | 13 | 16 | 16 | 16 |
| $\mathbf{7}$ | 5 | 93 | 13 | 14 | 13 | 13 |
| 8 | 5 | 147 | 18 | 52 | 18 | 54 |
| $\mathbf{9}$ | 5 | 176 | 13 | 41 | 44 | 18 |
| $\mathbf{1 0}$ | 5 | 263 | 11 | 11 | 11 | 11 |
| $\mathbf{1 1}$ | 5 | 316 | 17 | 12 | 17 | 17 |
| $\mathbf{1 2}$ | 5 | 379 | 11 | 11 | 11 | 11 |
| $\mathbf{1 3}$ | 5 | 505 | 11 | 14 | 11 | 11 |
| $\mathbf{1 4}$ | 5 | 650 | 13 | 15 | 13 | 13 |
| $\mathbf{1 5}$ | 5 | 150 | 18 | 50 | 18 | 52 |

## Appendix B (continued)



Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 7 | 33 | 25 | 25 | 25 | 75 |
| 2 | 7 | 44 | 16 | 16 | 16 | 17 |
| 3 | 7 | 120 | 18 | 14 | 18 | 18 |
| 4 | 7 | 148 | 19 | 19 | 76 | 76 |
| 5 | 7 | 189 | 13 | 13 | 20 | 20 |
| 6 | 7 | 216 | 27 | 77 | 79 | 79 |
| 7 | 7 | 39 | 19 | 17 | 19 | 19 |
| 8 | 7 | 67 | 16 | 16 | 16 | 17 |
| 9 | 7 | 88 | 58 | 48 | 58 | 58 |
| 10 | 7 | 130 | 77 | 75 | 75 | 79 |
| 11 | 7 | 157 | 19 | 17 | 19 | 19 |
| 12 | 7 | 240 | 13 | 13 | 13 | 13 |
| 13 | 7 | 160 | 17 | 17 | 21 | 21 |
| 14 | 7 | 20 | 18 | 16 | 16 | 18 |
| 15 | 7 | 99 | 26 | 71 | 25 | 72 |
|  STRAT \#1 STRAT \#2 STRAT \#3 STRAT \#4  <br>  STERAGE: 25.4 30.27  33.07 <br>  RANKING: 1st 2nd  3rd |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 7 | 173 | 20 | 53 | 20 | 20 |
| $\mathbf{2}$ | 7 | 386 | 20 | 71 | 73 | 71 |
| $\mathbf{3}$ | 7 | 79 | 120 | 118 | 28 | 120 |
| $\mathbf{4}$ | 7 | 432 | 20 | 71 | 73 | 71 |
| $\mathbf{5}$ | 7 | 415 | 17 | 17 | 19 | 19 |
| $\mathbf{6}$ | 7 | 145 | 63 | 80 | 84 | 84 |
| $\mathbf{7}$ | 7 | 151 | 20 | 53 | 20 | 20 |
| $\mathbf{8}$ | 7 | 149 | 57 | 97 | 53 | 53 |
| $\mathbf{9}$ | 7 | 89 | 58 | 48 | 58 | 58 |
| $\mathbf{1 0}$ | 7 | 507 | 17 | 17 | 19 | 19 |
| $\mathbf{1 1}$ | 7 | 657 | 87 | 14 | 54 | 54 |
| $\mathbf{1 2}$ | 7 | 5 | 29 | 24 | 29 | 29 |
| $\mathbf{1 3}$ | 7 | 16 | 19 | 17 | 19 | 19 |
| $\mathbf{1 4}$ | 7 | 11 | 20 | 71 | 73 | 71 |
| $\mathbf{1 5}$ | 7 | 22 | 16 | 16 | 16 | 17 |
| $\mathbf{1 6}$ | 7 | 234 | 18 | 16 | 16 | 18 |
| $\mathbf{1 7}$ | 7 | 295 | 20 | 71 | 73 | 71 |
| $\mathbf{1 8}$ | 7 | 268 | 54 | 21 | 21 | 24 |
| $\mathbf{1 9}$ | 7 | 325 | 18 | 16 | 18 | 18 |
| $\mathbf{2 0}$ | 7 | 357 | 29 | 24 | 29 | 29 |
| $\mathbf{2 1}$ | 7 | 409 | 20 | 71 | 73 | 71 |
| $\mathbf{2 2}$ | 7 | 447 | 13 | 13 | 13 | 13 |
| $\mathbf{2 3}$ | 7 | 196 | 15 | 50 | 52 | 52 |
| $\mathbf{2 4}$ | 7 | 525 | 20 | 71 | 73 | 71 |
| $\mathbf{2 5}$ | 7 | 555 | 18 | 16 | 18 | 18 |
|  |  |  |  |  |  |  |


| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 9 | 24 | 26 | 121 | 63 | 69 |
| $\mathbf{2}$ | 9 | 57 | 17 | 17 | 61 | 62 |
| $\mathbf{3}$ | 9 | 91 | 73 | 59 | 59 | 59 |
| $\mathbf{4}$ | 9 | 13 | 23 | 23 | 23 | 25 |
| $\mathbf{5}$ | 9 | 37 | 24 | 24 | 24 | 26 |
| 6 | 9 | 134 | 101 | 101 | 100 | 107 |
| 7 | 9 | 215 | 74 | 74 | 69 | 142 |

Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 9 | 4 | 114 | 106 | 65 | 65 |
| $\mathbf{2}$ | 9 | 31 | 77 | 75 | 74 | 74 |
| $\mathbf{3}$ | 9 | 49 | 69 | 117 | 120 | 120 |
| $\mathbf{4}$ | 9 | 62 | 80 | 78 | 80 | 85 |
| $\mathbf{5}$ | 9 | 79 | 31 | 79 | 31 | 31 |
| 6 | 9 | 83 | 57 | 47 | 109 | 108 |
| $\mathbf{7}$ | 9 | 95 | 53 | 52 | 70 | 70 |
| 8 | 9 | 101 | 83 | 82 | 29 | 84 |
| 9 | 9 | 116 | 22 | 50 | 50 | 55 |
| $\mathbf{1 0}$ | 9 | 123 | 72 | 59 | 67 | 67 |
| $\mathbf{1 1}$ | 9 | 127 | 91 | 89 | 58 | 58 |
| 12 | 9 | 148 | 70 | 138 | 134 | 134 |
| 13 | 9 | 156 | 24 | 22 | 24 | 24 |
| 14 | 9 | 163 | 23 | 26 | 26 | 26 |
| 15 | 9 | 189 | 85 | 138 | 140 | 170 |

## Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 9 | 200 | 26 | 25 | 25 | 26 |
| 2 | 9 | 210 | 194 | 222 | 86 | 193 |
| 3 | 9 | 225 | 78 | 153 | 150 | 155 |
| 4 | 9 | 237 | 31 | 26 | 31 | 31 |
| 5 | 9 | 250 | 24 | 24 | 24 | 26 |
| 6 | 9 | 257 | 26 | 23 | 26 | 26 |
| 7 | 9 | 261 | 26 | 121 | 63 | 69 |
| 8 | 9 | 270 | 53 | 50 | 50 | 64 |
| 9 | 9 | 274 | 27 | 25 | 27 | 27 |
| 10 | 9 | 281 | 19 | 49 | 49 | 19 |
| 11 | 9 | 289 | 45 | 43 | 21 | 18 |
| 12 | 9 | 12 | 23 | 70 | 66 | 70 |
| 13 | 9 | 295 | 23 | 70 | 66 | 70 |
| 14 | 9 | 301 | 73 | 73 | 73 | 80 |
| 15 | 9 | 45 | 33 | 28 | 28 | 33 |
| 16 | 9 | 60 | 27 | 30 | 27 | 27 |
| 17 | 9 | 135 | 57 | 43 | 45 | 45 |
| 18 | 9 | 86 | 50 | 47 | 47 | 50 |
| 19 | 9 | 204 | 67 | 130 | 133 | 76 |
| 20 | 9 | 315 | 16 | 16 | 21 | 21 |
| 21 | 9 | 472 | 63 | 58 | 70 | 70 |
| 22 | 9 | 90 | 54 | 74 | 71 | 54 |
| 23 | 9 | 21 | 19 | 49 | 49 | 19 |
| 24 | 9 | 69 | 32 | 27 | 32 | 32 |
| 25 | 9 | 5 | 63 | 58 | 70 | 70 |


|  | STRAT\#1 | STRAT\#2 | STRAT\#3 | STRAT\#4 |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
| AVERAGE: | $\frac{\text { STRAT }}{} \mathbf{4 5 . 9 6}$ | 61.36 |  | 54 | 54.84 |
| RANKING: | 1st | 4th | 2nd | 3rd |  |


| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 11 | 222 | 158 | 132 | 219 | 151 |
| 2 | 11 | 60 | 35 | 35 | 39 | 35 |
| 3 | 11 | 83 | 162 | 127 | 131 | 162 |
| 4 | 11 | 79 | 305 | 190 | 195 | 202 |
| 5 | 11 | 4 | 37 | 32 | 37 | 37 |
| 6 | 11 | 188 | 77 | 58 | 58 | 72 |
| 7 | 11 | 247 | 118 | 122 | 118 | 74 |

Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 11 | 140 | 231 | 134 | 85 | 72 |
| $\mathbf{2}$ | 11 | 5 | 27 | 65 | 22 | 70 |
| $\mathbf{3}$ | 11 | 20 | 69 | 128 | 69 | 73 |
| $\mathbf{4}$ | 11 | 155 | 171 | 238 | 152 | 162 |
| $\mathbf{5}$ | 11 | 132 | 19 | 19 | 65 | 19 |
| $\mathbf{6}$ | 11 | 138 | 180 | 161 | 126 | 198 |
| $\mathbf{7}$ | 11 | 144 | 65 | 91 | 114 | 114 |
| $\mathbf{8}$ | 11 | 163 | 24 | 70 | 24 | 26 |
| $\mathbf{9}$ | 11 | 196 | 91 | 90 | 79 | 148 |
| $\mathbf{1 0}$ | 11 | 555 | 75 | 75 | 35 | 35 |
| $\mathbf{1 1}$ | 11 | 225 | 163 | 207 | 246 | 246 |
| $\mathbf{1 2}$ | $\mathbf{1 1}$ | 12 | 172 | 142 | 144 | 103 |
| $\mathbf{1 3}$ | 11 | 286 | 113 | 108 | 97 | 97 |
| $\mathbf{1 4}$ | 11 | 80 | 75 | 145 | 95 | 75 |
| $\mathbf{1 5}$ | 11 | 48 | 105 | 94 | 96 | 96 |

## Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 11 | 15 | 95 | 77 | 79 | 95 |
| 2 | 11 | 29 | 130 | 196 | 82 | 208 |
| 3 | 11 | 62 | 20 | 18 | 18 | 20 |
| 4 | 11 | 8 | 177 | 162 | 148 | 177 |
| 5 | 11 | 95 | 39 | 98 | 109 | 109 |
| 6 | 11 | 73 | 65 | 63 | 122 | 122 |
| 7 | 11 | 35 | 172 | 142 | 144 | 103 |
| 8 | 11 | 48 | 105 | 94 | 96 | 96 |
| 9 | 11 | 57 | 59 | 59 | 118 | 128 |
| 10 | 11 | 88 | 30 | 27 | 30 | 30 |
| 11 | 11 | 150 | 170 | 170 | 104 | 128 |
| 12 | 11 | 123 | 164 | 70 | 70 | 175 |
| 13 | 11 | 176 | 127 | 105 | 119 | 130 |
| 14 | 11 | 182 | 24 | 15 | 24 | 24 |
| 15 | 11 | 206 | 80 | 72 | 74 | 82 |
| 16 | 11 | 309 | 113 | 108 | 97 | 97 |
| 17 | 11 | 219 | 77 | 58 | 58 | 72 |
| 18 | 11 | 267 | 145 | 134 | 75 | 145 |
| 19 | 11 | 242 | 27 | 65 | 22 | 70 |
| 20 | 11 | 293 | 118 | 122 | 118 | 74 |
| 21 | 11 | 327 | 30 | 29 | 30 | 30 |
| 22 | 11 | 345 | 102 | 91 | 100 | 102 |
| 23 | 11 | 365 | 29 | 67 | 67 | 29 |
| 24 | 11 | 416 | 132 | 71 | 71 | 146 |
| 25 | 11 | 392 | 26 | 26 | 26 | 29 |
|  |  | AVERAGE: RANKING: | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
|  |  | 90.24 | 85.56 | 80.04 | 96.84 |
|  |  | 3 rd | 2nd | 1st | 4th |


| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 13 | 95 | 79 | 143 | 77 | 33 |
| $\mathbf{2}$ | 13 | 73 | 147 | 221 | 222 | 93 |
| $\mathbf{3}$ | 13 | 242 | 192 | 158 | 157 | 157 |
| $\mathbf{4}$ | 13 | 365 | 121 | 124 | 74 | 74 |
| 5 | 13 | 20 | 42 | 87 | 97 | 97 |
| 6 | 13 | 144 | 180 | 236 | 259 | 259 |
| 7 | 13 | 80 | 197 | 273 | 281 | 278 |

## Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 13 | 225 | 331 | 563 | 310 | 563 |
| $\mathbf{2}$ | 13 | 261 | 46 | 45 | 45 | 45 |
| $\mathbf{3}$ | 13 | 281 | 288 | 249 | 264 | 290 |
| $\mathbf{4}$ | 13 | 12 | 247 | 242 | 376 | 374 |
| $\mathbf{5}$ | 13 | 204 | 196 | 271 | 271 | 161 |
| $\mathbf{6}$ | 13 | 90 | 161 | 256 | 421 | 259 |
| $\mathbf{7}$ | 13 | 49 | 168 | 258 | 91 | 91 |
| $\mathbf{8}$ | 13 | 79 | 220 | 386 | 283 | 285 |
| $\mathbf{9}$ | 13 | 83 | 260 | 490 | 395 | 543 |
| $\mathbf{1 0}$ | 13 | 95 | 79 | 143 | 77 | 33 |
| $\mathbf{1 1}$ | 13 | 116 | 102 | 171 | 183 | 183 |
| $\mathbf{1 2}$ | 13 | 148 | 85 | 80 | 64 | 79 |
| $\mathbf{1 3}$ | 13 | 189 | 104 | 31 | 31 | 100 |
| $\mathbf{1 4}$ | 13 | 57 | 155 | 118 | 118 | 144 |
| $\mathbf{1 5}$ | 13 | 386 | 254 | 206 | 145 | 145 |

## Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 13 | 432 | 254 | 206 | 145 | 82 |
| 2 | 13 | 145 | 263 | 341 | 233 | 343 |
| 3 | 13 | 418 | 250 | 217 | 174 | 248 |
| 4 | 13 | 196 | 106 | 177 | 106 | 106 |
| 5 | 13 | 525 | 316 | 281 | 317 | 342 |
| 6 | 13 | 160 | 522 | 337 | 152 | 172 |
| 7 | 13 | 99 | 158 | 156 | 90 | 158 |
| 8 | 13 | 197 | 412 | 424 | 291 | 291 |
| 9 | 13 | 229 | 337 | 311 | 262 | 334 |
| 10 | 13 | 150 | 95 | 223 | 160 | 167 |
| 11 | 13 | 61 | 154 | 146 | 154 | 93 |
| 12 | 13 | 176 | 165 | 77 | 156 | 156 |
| 13 | 13 | 650 | 248 | 146 | 289 | 387 |
| 14 | 13 | 319 | 121 | 124 | 74 | 74 |
| 15 | 13 | 275 | 105 | 95 | 92 | 92 |
| 16 | 13 | 237 | 35 | 87 | 35 | 35 |
| 17 | 13 | 26 | 39 | 30 | 39 | 39 |
| 18 | 13 | 34 | 254 | 206 | 145 | 82 |
| 19 | 13 | 173 | 95 | 223 | 160 | 167 |
| 20 | 13 | 309 | 39 | 30 | 39 | 39 |
| 21 | 13 | 357 | 192 | 158 | 157 | 157 |
| 22 | 13 | 28 | 192 | 158 | 157 | 157 |
| 23 | 13 | 41 | 186 | 236 | 96 | 239 |
| 24 | 13 | 399 | 46 | 45 | 45 | 45 |
| 25 | 13 | 30 | 21 | 25 | 25 | 25 |
|  |  |  | STRAT \#1 | STRAT\#2 | STRAT \#3 | STRAT \#4 |
|  |  | AVERAGE: | 184.2 | 178.36 | 143.72 | 161.2 |
|  |  | RANKING: | 4th | 3rd | 1st | 2nd |


| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 15 | 35 | 310 | 240 | 291 | 309 |
| 2 | 15 | 80 | 247 | 258 | 418 | 268 |
| 3 | 15 | 16 | 214 | 308 | 189 | 313 |
| 4 | 15 | 45 | 449 | 477 | 478 | 471 |
| 5 | 15 | 96 | 333 | 416 | 393 | 308 |
| 6 | 15 | 116 | 311 | 550 | 432 | 275 |
| 7 | 15 | 137 | 431 | 252 | 265 | 377 |
|  |  |  | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
|  |  | AVERAGE: | 327.86 | 357.29 | 352.29 | 331.57 |
|  |  | RANKING: | 1st | 4th | 3rd | 2nd |

Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | 15 | 206 | 379 | 366 | 292 | 194 |
| $\mathbf{2}$ | 15 | 61 | 225 | 300 | 433 | 319 |
| $\mathbf{3}$ | 15 | 99 | 495 | 494 | 526 | 295 |
| $\mathbf{4}$ | 15 | 107 | 566 | 502 | 431 | 701 |
| $\mathbf{5}$ | 15 | 15 | 444 | 359 | 521 | 413 |
| $\mathbf{6}$ | 15 | 167 | 115 | 163 | 190 | 165 |
| $\mathbf{7}$ | 15 | 125 | 267 | 309 | 492 | 370 |
| $\mathbf{8}$ | 15 | 25 | 119 | 110 | 226 | 314 |
| $\mathbf{9}$ | 15 | 53 | 396 | 376 | 244 | 378 |
| $\mathbf{1 0}$ | 15 | 71 | 101 | 85 | 87 | 87 |
| $\mathbf{1 1}$ | 15 | 87 | 567 | 447 | 355 | 449 |
| $\mathbf{1 2}$ | 15 | 134 | 368 | 681 | 204 | 689 |
| $\mathbf{1 3}$ | 15 | 228 | 649 | 604 | 362 | 375 |
| $\mathbf{1 4}$ | 15 | 197 | 460 | 550 | 525 | 619 |
| $\mathbf{1 5}$ | 15 | $\mathbf{2 5 2}$ | 444 | 359 | 521 | 413 |

Appendix B (continued)

| RUN \# | \# OF TRANS | INIT SEED | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 15 | 38 | 444 | 359 | 521 | 413 |
| 2 | 15 | 185 | 529 | 647 | 552 | 690 |
| 3 | 15 | 288 | 115 | 99 | 99 | 118 |
| 4 | 15 | 231 | 312 | 310 | 173 | 172 |
| 5 | 15 | 315 | 309 | 96 | 40 | 309 |
| 6 | 15 | 300 | 312 | 310 | 173 | 172 |
| 7 | 15 | 380 | 115 | 99 | 99 | 118 |
| 8 | 15 | 415 | 312 | 310 | 173 | 172 |
| 9 | 15 | 457 | 182 | 170 | 183 | 183 |
| 10 | 15 | 402 | 206 | 190 | 154 | 194 |
| 11 | 15 | 7 | 62 | 62 | 76 | 62 |
| 12 | 15 | 163 | 269 | 134 | 168 | 273 |
| 13 | 15 | 259 | 449 | 477 | 478 | 471 |
| 14 | 15 | 42 | 362 | 505 | 383 | 411 |
| 15 | 15 | 650 | 431 | 252 | 265 | 377 |
| 16 | 15 | 357 | 115 | 99 | 99 | 118 |
| 17 | 15 | 111 | 168 | 181 | 217 | 166 |
| 18 | 15 | 43 | 234 | 401 | 196 | 402 |
| 19 | 15 | 49 | 291 | 380 | 192 | 420 |
| 20 | 15 | 609 | 494 | 543 | 397 | 213 |
| 21 | 15 | 190 | 189 | 189 | 162 | 195 |
| 22 | 15 | 180 | 323 | 637 | 613 | 613 |
| 23 | 15 | 222 | 37 | 112 | 120 | 37 |
| 24 | 15 | 333 | 206 | 190 | 154 | 194 |
| 25 | 15 | 444 | 87 | 80 | 83 | 83 |
|  |  |  | STRAT \#1 | STRAT \#2 | STRAT \#3 | STRAT \#4 |
|  |  | AVERAGE: RANKING: | $\begin{gathered} 262.12 \\ \text { 2nd } \end{gathered}$ | $\begin{gathered} 273.28 \\ 4 \text { th } \end{gathered}$ | $\begin{gathered} 230.8 \\ 1 \mathrm{st} \end{gathered}$ | $\begin{gathered} 263.04 \\ 3 \mathrm{rd} \end{gathered}$ |

Enter the number of transactions 7
Enter the initial seed value 35
Transaction \#1 has 1 Data Items
Transaction \#2 has 2 Data Items
Transaction \#3 has 4 Data Items
Transaction \#4 has 3 Data Items
Transaction \#5 has 2 Data Items
Transaction \#6 has 4 Data Items
Transaction \#7 has 4 Data Items

| Transaction \# | Data ltem \# | Lock Time | Unlock Time |
| :---: | :---: | :---: | :---: |
| 1 | 2 | 8 | 12 |
| 2 | 5 | 14 | 20 |
|  | 7 | 8 | 16 |
| 3 | 9 | 21 | 23 |
|  | 1 | 2 | 6 |
|  | 3 | 10 | 16 |
|  | 6 | 7 | 14 |
| 4 | 8 | 9 | 19 |
|  | 10 | 5 | 8 |
|  | 2 | 3 | 7 |
| 5 | 5 | 6 | 13 |
|  | 7 | 17 | 26 |
| 6 | 10 | 1 | 4 |
|  | 2 | 13 | 18 |
|  | 4 | 5 | 11 |
|  | 6 | 15 | 23 |
| 7 | 9 | 10 | 20 |
|  | 1 | 7 | 11 |
|  | 3 | 4 | 9 |
|  | 5 | 21 | 28 |

## SCHEDULE

|  | T 1 | T 2 | T 3 | T 4 | T 5 | T 6 | T 7 |
| :--- | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 |  |  |  |  |  |  |  |
| 2 |  |  | L 1 |  |  | L 10 |  |
| 3 |  |  |  | L 2 |  |  |  |
| 4 |  |  |  |  |  | U 10 | L 3 |
| 5 |  |  |  | L 10 |  | L 4 |  |
| 6 |  |  | U 1 |  | L 5 |  |  |
| 7 |  |  | L 6 | U 2 |  |  | L 1 |
| 8 | L 2 | L 7 |  | U 10 |  |  |  |
| 9 |  |  |  | L 8 |  |  | U 3 |

## Appendix C (continued)

|  | T1 | T2 | T3 | T4 | T5 | T6 | T7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 10 |  |  | L3 |  |  |  | L9 |
| 11 |  |  |  |  |  | U4 | 41 |
| 12 | U2 |  |  |  |  |  |  |
| 13 |  |  |  |  | U5 | L2 |  |
| 14 |  | L5 | U6 |  |  |  |  |
| 15 |  |  |  |  |  | L6 |  |
| 16 |  | U7 | U3 |  |  |  |  |
| 17 |  |  |  |  | L7 |  |  |
| 18 |  |  |  |  |  | U2 |  |
| 19 |  |  |  | U8 |  |  |  |
| 20 |  | U5 |  |  |  |  | U9 |
| 21 |  |  | L9 |  |  |  | L5 |
| 22 |  |  |  |  |  |  |  |
| 23 |  |  | U9 |  |  | U6 |  |
| 24 |  |  |  |  |  |  |  |
| 25 |  |  |  |  |  |  |  |
| 26 |  |  |  |  | U7 |  |  |
| 27 |  |  |  |  |  |  |  |
| 28 |  |  |  |  |  |  | U5 |

Choose a method to delay a transaction in a cycle.

1. Least Steps Performed
2. Most Recent Entry to the Cycle
3. Maximum Data Items Requested
4. Non 2-Phase Transaction

1

|  | T1 | T2 | T3 | T4 | T5 | T6 | T7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| T1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| T2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T3 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| T4 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| T5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T7 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |

Tree
$3 \quad 7$
$7 \quad 3$
TNodes Array
3
7
Cycle? Yes
Time is 10

## Appendix C (continued)

STARTABTRAN: ..... 2
MAXENDTIME: ..... 28
ABWAITTIME: ..... 26
PREVWAITTIME: ..... 26

Transaction 3 has been delayed.
SCHEDULE


Appendix C (continued)

|  | T1 | T2 | T3 | T4 | T5 | T6 | T7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 37 |  |  | L3 |  |  |  |  |
| 38 |  |  |  |  |  |  |  |
| 39 |  |  |  |  |  |  |  |
| 40 |  |  |  |  |  |  |  |
| 41 |  |  | U6 |  |  |  |  |
| 42 |  |  |  |  |  |  |  |
| 43 |  |  | U3 |  |  |  |  |
| 44 |  |  |  |  |  |  |  |
| 45 |  |  |  |  |  |  |  |
| 46 |  |  |  |  |  |  |  |
| 47 |  |  |  |  |  |  |  |
| 48 |  |  | L9 |  |  |  |  |
| 49 |  |  |  |  |  |  |  |
| 50 |  |  | U9 |  |  |  |  |


|  | T1 | T2 | T3 | T4 | T5 | T6 | T7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| T1 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| T2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T4 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| T5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T6 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| T7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Tree
14
46
TNodes Array
1
4
6
Cycle? Yes
Time is 13
STARTTRAN: 8
MAXENDTIME: 50
WAITTIME: 42
SCHEDWAITTIME: 68
Transaction 1 has been delayed.

## Appendix C (continued)



Appendix C (continued)


|  | T1 | T2 | T3 | T4 | T5 | T6 | T7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| T1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| T3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T4 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| T5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T6 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| T7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |


| Tree |  |
| ---: | ---: |
| 4 | 6 |
| 6 | 4 |

TNodes Array
4
6
Cycle? Yes
Time is 14
STARTTRAN: ..... 3
MAXENDTIME: ..... 55
WAITTIME: ..... 52
SCHEDWAITTIME: ..... 120

Transaction 4 has been delayed.

## SCHEDULE




Appendix C (continued)


|  | T1 | T2 | T3 | T4 | T5 | T6 | T7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| T1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T2 | 0 | 0 | 0 | 0 | 1 | 0 | 0 |
| T3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T5 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| T6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| T7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |


| Tree |  |
| ---: | ---: |
| 2 | 5 |
| 5 | 2 |

TNodes Array
2
5
Cycle? Yes
Time is 17

## Appendix C (continued)

STARTTRAN: 8
MAXENDTIME: 72
WAITTIME: 64
SCHEDWAITTIME: 184
Transaction 2 has been delayed.
SCHEDULE


Appendix C (continued)

## Appendix C (continued)

|  | T1 | T2 | T3 | T4 | T5 | T6 | T7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 81 |  | U7 |  |  |  |  |  |
| 82 |  |  |  |  |  |  |  |
| 83 |  |  |  |  |  |  |  |
| 84 |  |  |  |  |  |  |  |
| 85 |  | U5 |  |  |  |  |  |

Number of transactions delayed: ..... 4
Cycle detected at time ..... 10
Cycle detected at time ..... 13
Cycle detected at time ..... 14
Cycle detected at time ..... 17
The total wait time for the schedule: ..... 184
Do you want to generate another schedule?
No

