

# A SURVEY ON IMPORTANT ASPECTS OF INFORMATION RETRIEVAL

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## ABSTRACT

*Information retrieval has become an important field of study and research under computer science due to the explosive growth of information available in the form of full text, hypertext, administrative text, directory, numeric or bibliographic text. The research work is going on various aspects of information retrieval systems so as to improve its efficiency and reliability. This paper presents a comprehensive survey discussing not only the emergence and evolution of information retrieval but also include different information retrieval models and some important aspects such as document representation, similarity measure and query expansion.*

**KEYWORDS:** Boolean model; Information retrieval; Query expansion; Similarity measure; Vector space model

## 1.0 INTRODUCTION

Information Retrieval (IR) is the science of searching for information within relational databases, documents, text, multimedia files, and the World Wide Web (Lauren & Joseph, 1975). The idea of searching for information was first mentioned by Vannevar Bush in 1945 (Singhal, 2001). Mooers (1950) and Savino and Sebastiani (1998) have defined information retrieval as follows:

*"Information retrieval is the name of the process or method whereby a prospective user of information is able to convert his need for information into an actual list of citations to documents in storage containing information useful to him."*

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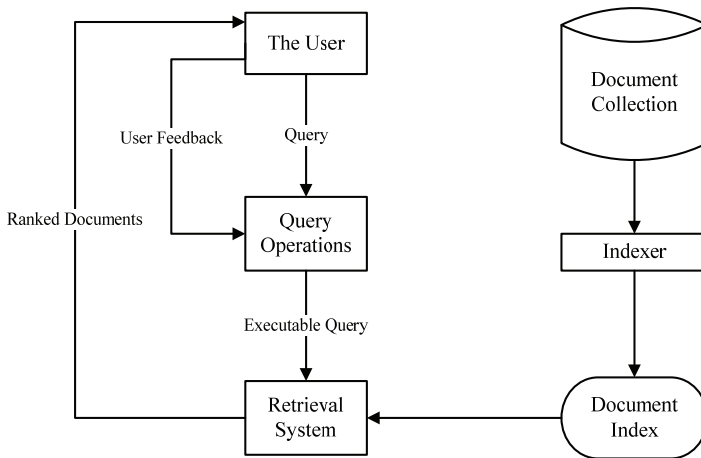


Figure 1. A general IR system architecture

General information retrieval system architecture is shown in Figure 1 (Liu, 2007). In this figure, the user who needs information issues a query (user query) to the retrieval system through the query operations module. The retrieval module uses the document index to retrieve those documents that contain some query terms (such documents are likely to be relevant to the query), compute relevance scores for them, and then rank the retrieved documents according to the scores. The ranked documents are then presented to the user. The document collection is also called the text database, which is indexed by the indexer for efficient retrieval.

The objective of any information retrieval system is to produce a list of relevant documents to the user information need or query provided by the user. The user usually needs relevant documents even if the exact terms s/he used in the provided query were not present in these documents. Therefore, a major difference between information retrieval systems and other kinds of information systems is the uncertainty nature of IR. Table 1 elaborates on the difference between the IR and data retrieval systems (Khatatneh & Hussain, 2002).

Table 1. Difference between Information Retrieval and Data Retrieval

	<b>Information retrieval</b>	<b>Data Retrieval</b>
<b>Data</b>	Free text, unstructured	Database tables, structured
<b>Queries</b>	Keywords, Natural language	SQL, Relational algebras
<b>Results</b>	Approximate matches	Exact matches
<b>Results</b>	Ordered by relevance	Unordered
<b>Accessibility</b>	Non-expert humans	Knowledgeable users or automatic processes

This type of information retrieval is also different from the web IR systems that aim at finding information over the web where billions of web pages are available and fast search engines are required (Christopher, Raghavan & Hinrich, 2008). Table 2 compares the web IR and the classical IR systems.

Table 2. Difference between Information Retrieval and Web IR

	<b>Information Retrieval</b>	<b>Web IR</b>
<b>Volume</b>	Large	Huge
<b>Data quality</b>	Clean	Noisy
<b>Data change rate</b>	Infrequent	In flux
<b>Data accessibility</b>	Accessible	Partially accessible
<b>Format diversity</b>	Homogeneous	Widely diverse
<b>Documents</b>	Text	HTML
<b># of matches</b>	Small	Large
<b>IR techniques</b>	Content-based	Link-based

The rest of the paper is organized as follows: Section 2 describes the historical perspective of Information Retrieval. Important aspects like Document representation, similarity measures and query expansion are discussed in details including evaluation methods of IR system in Section 3. Finally, the conclusion is drawn in Section 4.

## 2.0 HISTORICAL PERSPECTIVE

The need to store and retrieve written information has become increasingly important over centuries. The first systematic solution to the problem of finding the desired information from a large information collection was developed about 2,000 years ago by librarians, who kept track of "books" by cataloging them by author and the title. Searching through the catalog to find a book was a marked improvement from the physical search of actual books, but it required the searcher to know

the book as well as to know its author and the title. In this field, Soper filed a patent for a device in 1920, where catalogue cards with holes, related to categories, were aligned in front of each other to determine if there were entries in a collection with a particular combination of categories. If light could be seen through the arrangement of cards, a match was found.

In 1945 V. Bush published a ground breaking article titled "As We May Think" that gave birth to the idea of automatic access to large amounts of stored knowledge. In 1950s, this idea materialized into more concrete descriptions of how archives of text could be searched automatically. Several works emerged in the mid-1950s that elaborated upon the basic idea of searching text with a computer. One of the most influential methods was described by H.P. Luhn (1957), in which he proposed the use of words as indexing units for documents and for measuring word overlap as a criterion for retrieval. IR as a research discipline was starting to emerge at this time with two important developments: how to index documents and how to retrieve them.

After the invention of computers, very soon people realized that they could be used for storing and mechanically retrieving large amounts of information. Mitchell (1953) described a project to model the use of a Univac computer to search 1,000,000 records indexed by up to six subject codes, it was estimated that it would take 15 hours to search that many records. Nanus (1960) detailed a number of computer-based IR projects run in the 1950s, including one system from General Electric that searched over 30,000 document abstracts.

## **2.1 Indexing**

In the field of librarianship, the way that the items were organized in a collection was a topic that was regularly debated. The classic approach was to use a hierarchical subject classification scheme, such as the Dewey Decimal system, which assigned numerical codes to collect items. However, alternatives were proposed, most notably Taube et al's Uniterm system (Taube, Gull & Wachtel, 1952), which was essentially a proposal to index items by a list of keywords. As simple an idea as this seems today, this was at the time a radical step. A few years later, Cleverdon (Cleverdon, 1959) conducted a detailed comparison of retrieval effectiveness using Uniterms and the more classic classification techniques. His conclusion that Uniterms were as good as and possibly better than other approaches caused much surprise and his work came in for extensive scrutiny (Cleverdon, 1991). However, Cleverdon's experimental results were found to be correct and as a result the use

of words to index the documents of an IR system became established. Many aspects of Cleverdon's test collection approach to evaluation are still used in both academic research and commercial search testing today.

## **2.2 Ranked Retrieval**

The style of search used by both the electro-mechanical and computer-based IR systems were so-called Boolean retrieval. A query was a logical combination of terms which resulted in a set of those documents that exactly matched the query. Luhn (Luhn, 1957) proposed and Maron, Kuhns and Ray (Maron, Kuhns & Ray, 1959) tested an alternative approach, where each document in the collection was assigned a score indicating its relevance to a given query. The documents were then sorted and those at the top ranks were returned to the user. The researchers manually assigned keywords to a collection of 200 documents, weighting those assignments based on the importance of the keyword to the document. The scores assigned to the documents were based on a probabilistic approach. The researchers hand tested their ranked retrieval method, showing that it outperformed Boolean search on this test collection with 39 queries. In the same year as Maron et al's work, Luhn suggested "*that the frequency of word occurrence in an article furnishes a useful measurement of word significance*" (Luhn, 1958). This approach later became known as term frequency weighting. This ranked retrieval approach to search was taken up by IR researchers, who over the following decades refined and revised the means by which documents were sorted in relation to a query.

Several key developments in this field happened in the 1960s. One of these is the formalization of algorithms to rank documents relative to a query. Of particular note was an approach where documents and queries were viewed as vectors within an N dimensional space (N being the number of unique terms in the collection being searched). This was first proposed by Switzer (Switzer, 1963). Later the similarity between a document and query vector was suggested by Salton to be measured as the cosine of the angle between the vectors using the cosine coefficient (Salton, 1968). Another significant innovation at this time was the introduction of relevance feedback (Rocchio, 1965). This was a process to support iterative search, where documents previously retrieved could be marked as relevant in an IR system. A user's query was automatically adjusted using information extracted from the relevant documents. Versions of this process are used in modern search engines, such as the "Related articles" link on Google Scholar. Relevance feedback was also the first use of machine learning in IR.

Other IR enhancements examined during this period included the clustering of documents with similar content, the statistical association of terms with similar semantic meaning, increasing the number of documents matched with a query by expanding the query with lexical variations (Stevens, Giuliano & Heilprin, 1964) or with semantically associated words (Rijsbergen, 1979). In this decade, commercial search companies emerged out of the development of bespoke systems built for large companies or government organizations. Bjorner (Bjorner & Ardito, 2003) states that one of the first companies dedicated to providing search was Dialog formed in 1966 from the creation of an IR system for NASA. A striking aspect of this time was the low level of interaction between the commercial and IR research communities. Despite researchers' consistent demonstration that ranked retrieval was a superior technique, almost all commercial searching systems used Boolean search. This situation didn't change until the early to mid-1990s with systems such as WESTLAW's WIN system (Turtle, 1994) and the growth of web search engines.

The period of the 1970s and 1980s saw many developments built on the advances of the 1960s. One of the key developments of this period was that Luhn's term frequency (tf) weights (based on the occurrence of words within a document), were complemented with Spärck Jones's work on word occurrence across the documents of a collection. Her paper on inverse document frequency (*idf*) introduced the idea that the frequency of occurrence of a word in a document collection was inversely proportional to its significance in retrieval less common words tended to refer to more specific concepts, which were more important in retrieval (Spärck, 1972). The idea of combining these two weights (*tf idf*) was quickly adopted by Salton and Yang (Salton & Yang, 1973). A number of researchers worked to formalize the retrieval process. Salton synthesized the outputs of his group's work on vectors to produce the vector space model (Salton, Wong & Yang, 1975). This approach to describing the retrieval process underpinned many research retrieval systems and much research for the coming two decades.

An alternative means of modeling IR systems involved extending Maron, Kuhns and Ray's idea of using probability theory. Robertson defined the probability ranking principle (Robertson, 1977), which determined how to optimally rank documents based on probabilistic measures with respect to defined evaluation measures. A further paper from Robertson and Spärck Jones (Robertson & Spärck, 1976) along with a derivation of the probabilistic model in the Van Rijsbergen's book (Rijsbergen, 1979) stimulated much research on this form of modeling. Van Rijsbergen showed that the basic probabilistic model

assumed that words in a document occurred independently of each other, which is a somewhat unrealistic assumption. Incorporating term dependency into ranks retrieval started to be examined, which lead to a wide range of research in later years. Building on the developments of the 1970s, variations of tf.idf weighting schemes were produced (Salton & Buckley, 1988) and the formal models of retrieval were extended. The original probabilistic model did not include tf weights and a number of researchers worked to incorporate them in an effective and principled way. Advances on the basic vector space model were also developed and probably the most well-known is Latent Semantic Indexing (LSI), where the dimensionality of the vector space of a document collection was reduced through singular-value decomposition (Deerwester, Dumais, Furnas, Landauer, & Harshman, 1990).

Various models for doing document retrieval were developed and advances were made along all dimensions of the retrieval process. These new models were experimentally proven to be effective on small text collections available to researchers at that time. However, due to lack of availability of large text collections, the question whether these models and techniques would scale to larger corpora remained unanswered. This changed in 1992 with the inception of Text REtrieval Conference (TREC) (Harman, 1993). D.H. Kraft et al., (Kraft, Martin & Chen, 2003) used fuzzy rules to retrieve information.

### **2.3 Development of Similarity Measure**

Philip Resnik (Resnik, 1999) presented the measure of semantic similarity measure in a taxonomy, based on the notion of information content. In 1998, D. Lin (Lin, 1998) proposed that, bootstrapping semantics from text is one of the greatest challenges in natural language learning. They defined a word similarity measure based on the distributional pattern of words. Dekang Lin (Lin, 1998) presented an informative theoretic definition of similarity that is applicable as long as there is a probabilistic model. Fan presented similarity functions as trees and a classical generational scheme in (Fan, Gordon & Pathak, 1999). In (Fan, Gordon & Pathak, 2000), Fan et. al. presented a different approach to compute a similarity measure to improve the IR process. In (Pathak, Gordon & Fan, 2000) Pathak et al., have proposed the idea of combined similarity measure in which they have proposed a linear combination of various similarity measures and then optimize the weight of each similarity measure using Genetic Algorithm (GA). In 2004, Jian Pei et al., (Pei, Han, Mortazavi, Wang, Pinto, Chen, Dayal & Hsu, 2004) proposed a projection-based, sequential pattern growth approach for efficient mining of sequential patterns. Ming Li et al., (Li, Chen, Li, Ma, Paul and

Vitnyi, 2004) proposed a metric based on the non-computable notion of Kolmogorov computable distance and called it the similarity metric. A general mathematical theory of similarity that uses no background knowledge or features specific to an application area. Mehran Sahami (Sahami & Heilman, 2006) proposes a novel method for measuring the similarity between short text snippets by leveraging web search results to provide greater context for the short texts. In this paper, a method for measuring the similarity between short text snippets is proposed that captures more of the semantic context of the snippets rather than simply measuring their term-wise similarity. In the same year Chen (Chen, Lin & Wei, 2006) proposed a web search with double checking model to explore the web as a live corpus. Instead of simple web page counts and complex web page collection, the proposed novel model is a Web Search with Double Checking (WSDC) used to analyze snippets. In 2007, Rudi L. Cilibrasi et al., (Cilibrasi & Vitanyi, 2007) proposed the words and phrases acquire meaning from the way they are used in society, from their relative semantics to other words and phrases. It is a new theory of similarity between words and phrases based on information distance and Kolmogorov complexity. The method is applicable to all search engines and databases. Authors are introduced some notions underpinning the approach: Kolmogorov complexity, information distance, and the Normalized Google Distance. Hughes et al., (Hughes & Ramage, 2007) proposed a method that presents the application of random walk Markov chain theory for measuring lexical semantic relatedness. Vincent Schickel-Zuber et al., (Zuber & Faltings, 2007) present a novel approach that allows similarities to be asymmetric while still using only information contained in the structure of the ontology. Tuomo et al., used a connection between the cosine measure and the Euclidean distance in association with principal component analysis and grounded searching for the latter then applied the single and complete linkage and Ward clustering to Finnish documents utilizing their relevance assessment as a new feature in (Korenius, Laurikkala & Juhola, 2007). Ann Gledson et al., (Gledson & Keane, 2008) describes a simple web-based similarity measure which relies on page counts only, can be utilized to measure the similarity of entire sets of words in addition to word pairs and can use any web-service enabled search engine distributional similarity measure which uses internet search counts and extends to calculating the similarity within word-groups. Torra et al., presented a method to calculate similarity between words based on dictionaries using Fuzzy graphs in (Torra & Narukawa, 2008). Bollegala et al., (Bollegala, Matsuo & Ishizuka, 2011) proposed a method which exploits the page counts and text snippets returned by a Web search engine. Chen presented a new similarity measure based on the geometric mean averaging operator to handle the similarity



problems of generalized fuzzy numbers in (Chen, 2011). Usharani et al., (Usharani & Iyakutti, 2013) proposed a GA based method for finding similarity of web document based on cosine similarity.

## **2.4 Emergence of Query Expansion**

Query expansion has a long history in IR, as it has been suggested as early as 1960 by Maron and Kuhns (Maron & Kuhns, 1960). Early work investigated a range of seminal techniques that have been subsequently improved and extended in various ways, for example, vector feedback (Ide, 1971) (Rocchio, 1971), term clustering (Harper & Rijsbergen, 1978) (Lesk, 1969) (Minker, Wilson, & Zimmerman, 1972) and comparative analysis of term distributions (Doszkocs, 1978) (Poter, 1982). Van Rijsbergen proposed a relevance feedback technique to modify the original query by adding some other relevant terms in (Rijsbergen, 1979). Xu and Croft (Xu & Croft, 1996) used the local analysis and the global analysis of documents for query expansion. Cooper and Byrd constructed a visual interface with graphical relations between items by lexical neighborhoods for prompt query refinement in (Cooper & Byrd, 1998). Chen (Chen, 1998) used a GA to learn query terms that best represent a relevant document set provided by the user. On the other hand, in a number of early experiments performed on smaller scale collections inconclusive results were achieved about the retrieval effectiveness of such techniques, with gain in recall often compensated by the corresponding loss in precision (Salton & Buckley, 1990) and (Harman, 1992). In (Hornig & Yeh, 2000) the authors have used a GA to adapt the query term weights in order to get the closest query vector to the optimal one. Li and Agrawal used multi-granularity indexing and query processing for supporting the web query expansion in (Li & Agrawal, 2000) and Wei et al., (Wei, Bressan & Ooi, 2000) presented a method to my term association rules for automatic global query expansion. Chen et al., used association rules to discover the degrees of similarity between the terms and constructed a hierarchical-tree structure to pick out query expansion terms in (Chen, Yu, Furuse & Ohbo, 2001). Takagi and Tajima presented a method for query expansion using conceptual fuzzy sets for search engines in (Takagi & Tajima, 2001). It calculates the degrees of similarity between terms to construct a hierarchical tree structure and lets terms with higher degrees of similarity be expansion terms of the structure. In (Kim, Kim & Kim, 2001) Kim et al., also presented a method for query term expansion and reweighting using the term co-occurrence similarity and fuzzy inference techniques. Cui et al., presented a method for probabilistic query expansion using query logs in (Cui, Wen, Nie & Ma, 2002). Billerbeck et al., proposed a method for query expansion using associated queries in (Billerbeck, Scholer,

Williams & Zobel, 2003). In (Chang, Chen & Liau, 2003) Chang et al., presented a query expansion method based on fuzzy rules. In the same year Jin et al., developed a method for query expansion based on the term similarity tree model in (Jin, Zhao & Xu, 2003). In (Latiri, Elloumi, Chevallet & Jaoua, 2003) Latiri et al., considered the relationship between terms and documents as a fuzzy binary relation, based on the closure of the extended fuzzy Galois connection, and used fuzzy association rules to find out real correlated terms as query expansion terms. Nakauchi et al., created thesaurus and relationships of terms for query expansion in (Nakauchi, Ishikawa, Morikawa & Aoyama, 2003). In the same year Safar and Kefi presented a query expansion method based on the domain ontology and the lattice structure in (Safar & Kefi, 2003). Berardi et al., used association rules to mine query expansion terms and presented how to filter off redundant association rules in (Berardi, Lapi, Leo, Malerba, Marinelli & Scioscia, 2004). Martin-Bautista et al. presented a method to mine web documents for finding additional query terms in (Martin, Sanches, Chamorro, Serrano & Vila, 2004). Stojanovic used a conceptual schema to query neighborhood for query expansion in (Stojanovic, 2004). Lin et al., presented a method for mining additional query terms for query expansion in (Lin, Wang & Chen, 2005). In (Michel & Annabelle, 2004), Michel Beigbeder and Annabelle Mercier proposed an IR model using the fuzzy proximity degree of term occurrences. Chang et al., presented a new method for query reweighting to deal with document retrieval in (Chang & Chen, 2006). Grootjen et al., presented a new, hybrid approach that projects an initial query result onto global information, yielding a local conceptual overview in (Grootjen & Weide, 2006). In (Billerbeck & Zobel, 2006), Billerbeck et al., proposed a new method that draws candidate terms from brief document summaries that are held in memory for each document. Chang et al., proposed a new query expansion method for document retrieval based on fuzzy rules in (Chang, Chen & Liau, 2007). Nowacka et al., proposed a comprehensive fuzzy based model of information retrieval in (Nowacka, Zadrozny & Kacprzyk, 2008). Fattahi et al., presented a new approach to query expansion in search engines through the use of general non-topical terms and domain-specific semi-topical terms in (Fattahi, Wilson & Cole, 2008). Cecchini et al., proposed techniques place emphasis on searching for novel material that is related to the search context in (Cecchini, Carlos, Ana & Brignole, 2008). Carlos et al., proposed a semi-supervised algorithm to incrementally learn terms that can help bridge the terminology gap existing between the user's information needs and the relevant documents' vocabulary in (Carlos & Ana, 2009). Piotr Wasilewski proposed a method for query expansion using semantic modeling of information need in (Wasilewski, 2011). Liu et al., in (Liu, Natarajan

& Chen, 2011) proposed two algorithms for query expansions. First is iterative single keyword refinement and second is elimination based convergence. Tayal et al., presented a method for fuzzy weighting of query terms with the help of fuzzy triangular membership function in (Tayal, Sabharwal, Jain & Mittal, 2012). Latiri et al., proposed automatic query expansion method using association rule mining approach in (Latiri, Haddad & Hamrouni, 2012).

### 3.0 IMPORTANT ASPECTS OF INFORMATION RETRIEVAL

Although there are many aspects of an Information Retrieval System but some prime aspects is document representation, similarity measure and query expansion.

#### 3.1 Document Representation

Traditionally, documents may be available in different forms e.g. full text, hypertext, administrative text, directory, numeric or bibliographic text. It is very difficult to extract relevant information from these forms of documents. Therefore, first these documents should be represented in an appropriate manner with the help of any IR model. Such IR model provides the fundamental premises and forms the basis for ranking. In general, IR models operate on large and fixed collections of documents (corpus), from which they attempt to find out the useful information that best matches to a query. Yates (Yates & Berthier, 1999) gives general definition of an IR model as:

**Definition.** *An IR model is a quadruple  $[D, Q, F, R(q_i, d_j)]$ , where*

- a)  $D$  is a set composed of logical views for the documents in the collection
- b)  $Q$  is a set composed of logical views for the user information needs expressed as queries
- c)  $F$  is a framework for modeling document representations, queries and their relationships
- d)  $R(q_i, d_j)$  is a ranking function which associates a real number with a query  $q_i \in Q$  and a document representation  $d_j \in D$ . Such ranking defines an ordering among the documents with regard to the query  $q_i$ .

Bing Liu (Liu, 2007) has presented various IR models. The main IR models are described as follows:

### **3.1.1 Boolean Model**

The Boolean model (Cooper, 1988) was the first model which was adopted by most of the earlier systems and even today some of the commercial systems use this model, which makes use of the concepts of Boolean logic and set theories. The documents and the queries are a collection of terms and each term from the document is indexed. The presence and absence of a term in a document are represented by 1 and 0 respectively. For the term matching of document and query we maintain an inverted index of the terms i.e. for each term we must store a list of documents that contain the term. The terms are tokenized using linguistic models for those terms which can be stemmed down.

Further, the Boolean model often retrieved either too many or too few documents due to the sensitive nature of the Boolean logic that responds rigidly to the absence or presence of a single term. To overcome the problem of output overload i.e., too many documents are retrieved without regard to their degree of potential importance to the user refinements to the system were made to produce ranked outputs by assigning weights to terms based on their "presumed" importance. Other refinement strategies, such as controlling the query formulation process to ease the difficulty of constructing complex Boolean queries, were investigated as well. While some tried to overcome the weaknesses of the Boolean model by building refinements to the existing Boolean model, others approached IR with a different search strategy called the Vector Space model.

### **3.1.2 Vector Space Model**

The Vector Space Model, as the name implies, represents documents and queries internally in the form of vectors. In the vector space model all queries and documents are represented as vectors in  $|V|$ -dimensional space, where  $V$  is the set of all distinct terms in the collection. A document vector contains index terms from the documents that to some extent describe its contents (Salton, 1998). At the center of the vector space model is the similarity measure, which is used to measure the angle between two vectors. The framework of the vector space model (Witten, Moffat & Bell, 1999) employs a ranking algorithm that tries to rank documents in order of how much of an overlap is between the terminology of the query and each document, where relatively rare terms have comparatively higher weights. Conceptually, the documents are ranked on the basis of similarity measure. Some of the advantages of the Vector Space Model are that it is simple and fast model, that it can handle weighted terms, that it produces a ranked list

as output and that the indexing process is automated which means a significantly lighter workload for the administrator of the collection. Also, it is easy to modify individual vectors, which is essential for the query expansion technique (Salton, 1998). The Vector Space Model has few weaknesses. The first weakness is the assumed independency between terms. Due to the locality of many term dependencies, their indiscriminate application to all the documents in the collection might badly affect the retrieval performance (Yates & Berthier, 1999). The second weakness is that there are no theoretical justifications to use which similarity coefficients for a particular application and also some of the vector-manipulating operations.

The vector space model continues to be used in a variety of information retrieval areas apart from document retrieval, such as document categorization (Joachims, 1997; Hull, 1994) collaborative filtering (Soboroff & Nicholas, 2000).

### **3.1.3 Probabilistic Model**

The Probabilistic model is similar to the vector space model in its representation of documents and queries as vectors, but instead of retrieving documents based on their similarities to the query, the probabilistic model retrieves documents based on their probability of relevance to the query. Rooted in the probabilistic notions introduced by (Maron & Kuhns, 1960), the probabilistic model views the principal function of IR as a ranking of documents in the order of decreasing probability of relevance to a user's information need (Robertson, 1977). The basic idea of the probabilistic model is to calculate the term weights, which define the probability of relevance of documents, based on the data about the distribution of query terms in documents that have been assessed for relevance. When term independence is assumed, the probability of relevance for a given document can be calculated by summing its individual term relevance weights, which are the estimations of probabilities that given terms in a query will appear in a relevant document but not in a non-relevant document. The probabilistic model suffers from the same limitation as the vector space model owing to the term independence assumption, an assumption introduced merely for the sake of computational simplicity.

## **3.2 Similarity Measures**

The IR system needs to calculate the similarity of the query and the particular document in order to decide the relevancy of that document with the query. When a document retrieval system is used to query a

collection of documents with  $t$  terms, the system computes a vector  $D$  ( $d_{i1}, d_{i2}, \dots, d_{it}$ ) of size  $t$  for each document. The vectors are filled with the weights and similar, a vector  $Q$  ( $W_{q1}, W_{q2}, \dots, W_{qt}$ ) is constructed for the terms found in the query. There are several typical vector similarity measures as follows:

i. **Inner product:** - The simplest similarity measure, the Inner product between a query  $Q$  and a document  $D_i$ , is defined by the product of the two vectors.

$$\text{Inner}(Q, D_i) = \sum_{j=1}^t W_{qj} d_{ij} \quad (1)$$

ii. **Cosine:** - One drawback with using the Inner product is that longer documents, having more terms, will dominate the similarity calculations. Therefore, the vectors need to be normalized. The most common of these is the cosine measure where the cosine of the angle between the query and document vector is given

$$\text{Cos}(Q, D_i) = \frac{\sum_{j=1}^t W_{qj} d_{ij}}{\sqrt{\sum_{j=1}^t (d_{ij})^2} \sqrt{\sum_{j=1}^t (w_{qi})^2}} \quad (2)$$

The numerator represents the dot product (also known as the inner product) of the vectors  $q$  and  $d$ , while the denominator is the product of their Euclidean lengths.

iii. **Dice Coefficient:** - For document and query vector, the dice coefficient may be defined as twice the shared information (intersection) over the combined set (union)

$$\text{Dice}(Q, D_i) = \frac{2 \sum_{j=1}^t W_{qj} d_{ij}}{\sqrt{\sum_{j=1}^t (d_{ij})^2} \sqrt{\sum_{j=1}^t (w_{qi})^2}} \quad (3)$$

iv. **Jaccard Coefficient:** - The Jaccard coefficient is defined as the size of the intersection divided by the size of the union of the document and query vectors

$$\text{Jaccard}(Q, D_i) = \frac{\sum_{j=1}^t W_{qj} d_{ij}}{\sum_{j=1}^t (d_{ij})^2 + \sum_{j=1}^t (w_{qi})^2 - \sum_{j=1}^t W_{qi} d_{ij}} \quad (4)$$

v. **Okapi:** - Okapi similarity measurement is one of the most popular methods used in the traditional IR field. Unlike VSM, the Okapi method not only considers the frequency of the query terms, but also the average length of the whole collection and the length of the document under evaluation.

$$\text{Okapi}(Q, D_i) = \sum_{T \in Q} W \frac{(k_1 + 1)tf}{K + tf} \times \frac{(k_3 + 1)qtf}{k_3 + qtf} \quad (5)$$

Where Q is a query that contains the words T

k1, b, and k3 are constant parameters (k1=1.2 and b=0.75 work well, k3 is 7 or 1000)

K is  $k_1 \cdot ((1-b) + (b \cdot dl / avdl))$

tf is the term frequency of the term with a document, qtf is the term frequency in the query w is  $\log \frac{(N-n+0.5)}{(n+0.5)}$ , N is the number of documents, n is the number containing the term dl and avdl are the document length and average document length

### 3.3 Query Expansion

Query Expansion is one of the promising approaches to deal with word mismatch problems in information retrieval (Xu & Croft, 1996). The basic idea of query expansion is to expand a user query by adding terms that are relevant to the original query terms. Since the expanded query contains more terms, the probability of matching them with terms in relevant documents is therefore increased. Three common types of query expansion are manual, interactive and automatic based on the role of involvement of users in the whole process. One argument in favour of Automatic Query Expansion (AQE) is the system that has access to more statistical information on the relative utility of expansion terms and can make a better selection of which terms to add to the user's query.

AQE can be divided into two methods including global analysis and local feedback. The global analysis method relies on a thesaurus, typically constructed from a document corpus. Using the thesaurus, the global analysis method generates a ranked list of terms with respect to the original query terms and the top n terms are added to the original

query (Xu & Croft, 1996) (Qiu & Frei, 1993). On the other hand, the local feedback method first retrieves  $N$  documents that are most relevant to the original query, extracts the most important  $n$  terms of those documents, and subsequently, adds the extracted terms to the original query (Xu & Croft, 1996). As it assumes that top  $N$  documents are most relevant, it is also known as a pseudo relevance feedback method. One of the problems inherent to the global analysis method for query expansion is that a global thesaurus is constructed and employed for expanding user queries. That is, a single weight for each pair of terms is derived from a collection of documents. Typically, the collection contains documents with different themes. For example, a set of information technology related documents may be classified into such themes as databases, artificial intelligence, computer architecture, and operating systems. In this case, the global view of term associations taken by the global analysis method for query expansion may not be adequate since the strengths/weights between two terms may be dissimilar or even totally different across different themes. For example, the terms "Feasibility Study" and "Quality Assurance" may be highly relevant under the theme of software engineering, while they are less relevant or even irrelevant in such themes as database and computer architecture. Thus, with the thematic view of term associations, for a user query "Feasibility Study," the term "Quality Assurance" should be added to the original query under the theme of software engineering but should not be added under the theme of computer architecture. When taking the global view of term associations, the global weight between a pair of terms is a compromise of local weights across different themes. Thus, when expanding terms for a query, the global analysis method may select terms that are compromised across different themes rather than highly relevant terms in some of the themes in the document collection; thus, potentially limiting its retrieval effectiveness. In contrast to global analysis method, the local feedback method does not depend on a pre-constructed thesaurus for query expansion. Hence, it does not encounter the same problem as the global analysis does. Moreover, the local feedback method could result in better retrieval if the top  $N$  documents initially retrieved and used for feedback are in fact relevant to the original query (Xu, 1997).

### **3.4 Evaluation of performance of Information Retrieval System**

The performance of any IR system can be evaluated by following four parameters.

- i. **Precision:** Precision is a fraction of documents that are relevant among the entire retrieved document.



- ii. **Recall:** Recall is a fraction of the documents that are retrieved and relevant among all relevant documents.
- iii. **Precision-Recall Curve:** This curve is based upon the value of precision and recall where the x-axis is recall and y-axis is precision. Instead of using precision and recall on at each rank position , the curve is commonly plotted using 11 standard recall level 0%, 10%, 20% .....100%.
- iv. **F-score:** F-score is harmonic mean of precision and recall.

#### 4.0 CONCLUSION

In the nineteenth century, a person with an information need had to approach the library and used a card catalogue, locate books or documents that hopefully answered his/her need. Because of the relative inconvenience of accessing information in that way, that person was able to seek answers of a small number of questions. The scope of information available to people was limited by the size of their library. But nowadays, due to the ubiquity of web-based search, it need hardly be said what the current state of the art is for those with an internet connection, one can instantaneously access hundreds of terabytes of information. Due to continuously growing this information size, the question to get the most relevant information pertinent to a query becomes an important issue. The various researchers have proposed different methods and models such as indexing, ranked retrieval, similarity measures and query expansion resolve this problem in recent years. This survey covers all the important development related to above mentioned aspects of information retrieval.

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