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# Photodecomposition and thermal decomposition in methylammonium halide lead perovskites and inferred design principles to increase photovoltaic device stability

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1 Photo-, Thermal-decomposition in  
2 Methylammonium Halide Lead  
3 Perovskites and inferred design principles  
4 to increase photovoltaic device stability  
5

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10

## 11 **Keywords**

12 Perovskite Solar Cells; Stability; Photodecomposition; Encapsulation; Methylammonium Lead  
13 Iodide; Lead Iodide

14

15

## 16 **Abstract**

17 Hybrid lead halide perovskites have emerged as promising active materials for photovoltaic cells.  
18 Despite superb efficiencies achieved, it has been widely recognized that long-term stability is a  
19 key challenge intimately determining the future development of perovskite based photovoltaic  
20 technology. Here, we present reversible and irreversible photodecomposition reactions in  
21 methylammonium lead iodide (MAPbI<sub>3</sub>). Simulated sunlight irradiation and temperature (40 ~  
22 80°C) corresponding to solar cell working conditions lead to three degradation pathways, (1)  
23 CH<sub>3</sub>NH<sub>2</sub> + HI (identified as the reversible path), (2) NH<sub>3</sub> + CH<sub>3</sub>I (irreversible or detrimental  
24 path), and (3) a reversible Pb(0) + I<sub>2</sub>(g) photodecomposition reaction. If only reversible reactions  
25 (1) and (3) take place and reaction (2) can be avoided, encapsulated MAPbI<sub>3</sub> could be regenerated  
26 during the off-illumination timeframe. Therefore, to further improve operational stability in  
27 hybrid perovskite solar cells, photo-, thermal-degradation processes have to be mitigated with  
28 detailed understanding. First, the device encapsulation is necessary not only to avoid contact of  
29 perovskite with ambient air, but also to prevent leakage of volatile products released from  
30 perovskite. Second, a careful selection of organic cations in the perovskite compositional formula  
31 is needed to avoid any irreversible reaction. Third, selective contacts must be as chemically inert  
32 as possible against volatile released products. Finally, hybrid halide perovskite material is  
33 assumed to undergo a dynamic of formation and decomposition process and this could gradually  
34 decrease perovskite's crystalline grain size with time. Therefore, efforts on depositing highly and  
35 large crystalline perovskites could be efforts in vain in regards to the long-term stability.

36

## 37 **Broader Context**

38 In the past few years, perovskite solar cells have received tremendous attention and research  
39 efforts. The maximum attainable power conversion efficiency has been pursued with grand  
40 success. On the other hand, the research community of perovskite solar cells has recently realized  
41 that the device operational stability issue is currently the main obstacle impeding  
42 commercialization. At present, degradation processes in hybrid perovskite have been attributed  
43 mainly to external agents such as moisture and oxygen. In this work, we demonstrate that hybrid  
44 iodide perovskite suffers a photo-, thermal-decomposition reaction at mild conditions in a similar  
45 way as one of its parent lead iodide compound. Fortunately, this photodecomposition process  
46 can be almost reversed completely. To achieve long-term stability, perovskite material needs to  
47 be kept in a thermodynamic closed system where only reversible dynamic process of  
48 decomposing and self-healing take place establishing a chemical equilibrium between gas phase  
49 and solid components of the light harvester material.

50

## 51 **Introduction**

52 Hybrid lead halide perovskites have been intensively evaluated as light harvesting materials for  
53 photovoltaic cells since 2009.<sup>1</sup> Currently, long-term stability of perovskite solar cells is one of  
54 the major challenges where substantial efforts are needed to move forward hybrid perovskite  
55 solar cells towards commercialization.<sup>2</sup> Meanwhile, a significant number of research groups are  
56 achieving power conversion efficiencies over 20% using hybrid perovskite devices,<sup>3</sup> very few  
57 devices have succeeded in operational stability tests showing lifetimes longer than 1000 hours at  
58 the maximum power point.<sup>3</sup> Although promising attempts have been realized recently to  
59 improve durability of these cells by using different approaches as chemical inert scaffolds and  
60 electrodes,<sup>4</sup> or mixed/multication hybrid perovskites.<sup>5</sup> How hybrid perovskite suffers from  
61 degradation and what products are generated during the degradation are important questions to  
62 be answered in order to design stable perovskite-based solar cells. Furthermore, understanding  
63 of reversible degradation routes or the so-called self-healing in perovskite solar cells, which the  
64 cell performance recovers to the original value after resting in dark, has recently received  
65 attention as a strategy to prolong lifetime.<sup>6</sup> In the decomposition pathways of methylammonium  
66 based perovskites, the must-avoided degradation processes are irreversible degradation reactions  
67 limiting life time of the solar cells permanently. Therefore, the understanding of fundamental  
68 processes taking place in both reversible and irreversible degradation pathways is a step forward  
69 to advance in enhancing the stability of solar cells. Our group recently found that MAPbI<sub>3</sub>  
70 perovskite degrades significantly faster upon exposure to I<sub>2</sub> vapor than H<sub>2</sub>O, O<sub>2</sub>, or light only.<sup>7</sup>  
71 As highlighted by Wilks and Bär,<sup>8</sup> we proposed that the internally generated I<sub>2</sub> and its migration  
72 within MAPbI<sub>3</sub> induced by solar cell operation and/or external stimuli (such as H<sub>2</sub>O, O<sub>2</sub>, light  
73 irradiation, applied bias, and heat) leads to a self-sustaining and irreversible degradation reaction  
74 in perovskites independent of device architecture.<sup>7</sup> Additionally, we recently showed that CH<sub>3</sub>I

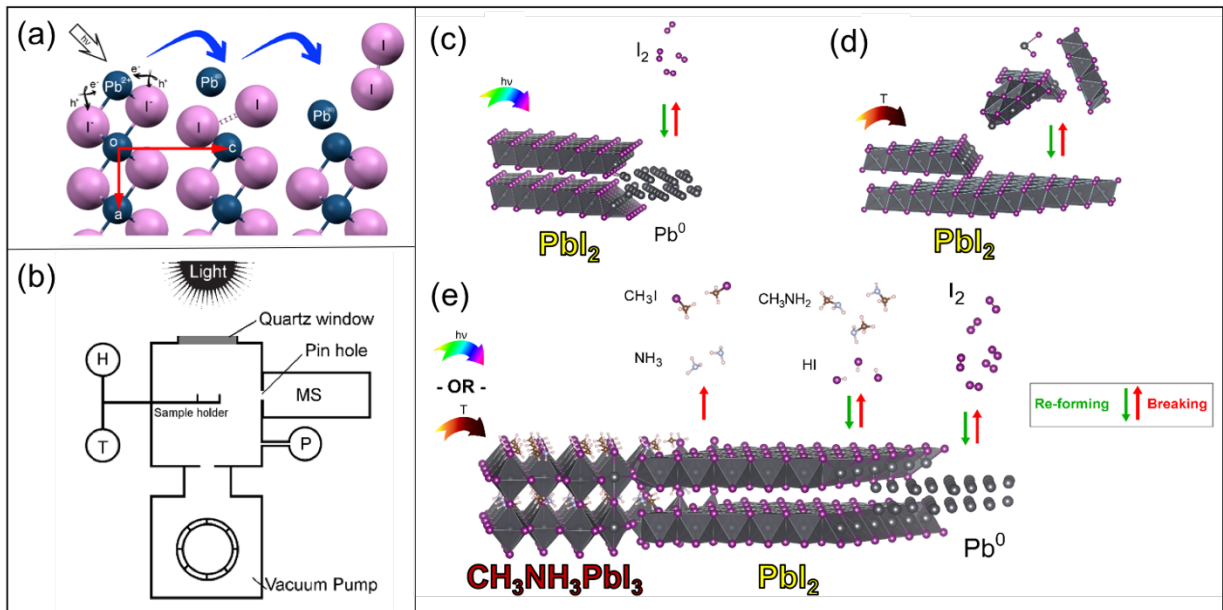
75 and  $\text{NH}_3$  are generated as irreversible gas by-products during high temperature thermal  
76 degradation of  $\text{MAPbI}_3$ .<sup>9</sup> However, the condition in which detrimental  $\text{I}_2$  is generated remains  
77 unclear.

78 Interestingly, this missing puzzle is associated to a work published by Dawood *et al.* in half a  
79 century ago regarding lead iodide ( $\text{PbI}_2$ ).<sup>10</sup>  $\text{PbI}_2$  is a relevant material in the topic of perovskite  
80 solar cells. For example,  $\text{PbI}_2$  is a regular reagent choice to synthesize hybrid perovskite and also  
81 it is the unique remaining degradation solid product after degradation of  $\text{MAPbI}_3$ . Dawood *et al.*  
82 found that  $\text{PbI}_2$  suffers photodecomposition to iodine gas ( $\text{I}_2$ ) and lead ( $\text{Pb}^0$ ) upon interaction  
83 with visible light by observing electrical conductivity drop under illumination wavelengths  
84 above  $\text{PbI}_2$  band gap.<sup>10</sup> They, then, suggested the following stepwise  $\text{PbI}_2$  photodecomposition  
85 route: (I) photodecomposition of  $\text{PbI}_2$  takes place assisted by two excitons generation mechanism  
86 (two electron-hole pairs); (II) these two excitons react, leading to formation of a  $\text{Pb}^0$  atom, and  
87 (III) formation and release of  $\text{I}_2$  molecule leaving two positive charged anion vacancies sites  
88 ( $\text{V}_\text{I}$ ),<sup>11</sup> see Figure 1a.

89 By reexamining Dawood *et al.*'s work, here we present the following two points: (1) systematical  
90 investigation of light-only decomposition pathways of  $\text{PbI}_2$  by checking that our experimental  
91 setup (Figure1b) is able to detect  $\text{I}_2$  release and then to pursue gas release detection on  $\text{MAPbI}_3$   
92 and (2) photodecomposition experiments on  $\text{MAPbBr}_3$  aiming to shed light on “*why MAPbBr<sub>3</sub>*  
93 *stability is higher than MAPbI<sub>3</sub> perovskite?*”

94 Our experiments were performed in a home-built small vacuum chamber in the absence of  $\text{H}_2\text{O}$   
95 and  $\text{O}_2$  eliminating all other possible external degradation factors. Inside this vacuum chamber,  
96 applied light and/or heat and measured temperatures were accomplished *in situ* directly on the  
97 sample holder, and the released gaseous species during photodecomposition were probed using

98 a quadrupole mass spectrometer (MS) equipped with an electron multiplier detector. Based on  
99 this setup, light induced activation energies for I<sub>2</sub> release from PbI<sub>2</sub> and MAPbI<sub>3</sub> were extracted  
100 and compared. Thin-films of PbI<sub>2</sub>, MAPbI<sub>3</sub> and MAPbBr<sub>3</sub> materials before and after  
101 photodecomposition procedure were studied by X-ray photoelectron spectroscopy (XPS) and X-  
102 ray diffraction (XRD) to identify the remaining non-volatile degraded solid products. Finally,  
103 we also investigated whether photodegraded PbI<sub>2</sub> films containing Pb<sup>0</sup> could be recovered to  
104 PbI<sub>2</sub> by exposing to I<sub>2</sub> pellets at room temperature, which showed the reversibility of this  
105 photodecomposition. We propose that hybrid lead halide perovskite materials under mild visible  
106 illumination and temperature conditions is reversible; *i.e.*, a continuously decomposing and re-  
107 forming dynamical process takes place, which establishes a chemical equilibrium between gas  
108 phase components and solid perovskite.



109

110 **Figure 1 | Schematic illustration of photodecomposition, thermal evaporation and thermal**  
 111 **degradation processes in  $\text{PbI}_2$  and  $\text{MAPbI}_3$ .** a)  $(0k0)$  plane view of layered  $\text{PbI}_2$  supercell. The  
 112 figure depicts the two steps for the release of  $\text{I}_2$  and generation of  $\text{Pb}^0$  by the two-exciton  
 113 mechanism. b) Schematic drawing of the experimental setup for controlled  $\text{PbI}_2$  and perovskite  
 114 degradation experiments. H: electrical heater, T: thermocouple, P: crystal/cold cathode pressure  
 115 gauge, MS: quadrupole mass spectrometer, top quartz window and Xe lamp or LED light sources  
 116 with controlled on/off intervals. c)  $\text{PbI}_2$  decomposition process driven by visible light ( $< 530 \text{ nm}$ )  
 117 above  $\text{PbI}_2$  band gap at  $40\text{-}60 \text{ }^\circ\text{C}$ , d) Temperature assisted  $\text{PbI}_2$  evaporation at  $\sim 70 \text{ }^\circ\text{C}$  in dark,  
 118 and e)  $\text{MAPbI}_3$  photodecomposition and thermal degradation processes leading to irreversible  
 119 decomposition to organic volatile gas species ( $\text{CH}_3\text{I} + \text{NH}_3$ ), reversible decomposition ( $\text{CH}_3\text{NH}_2$   
 120  $+ \text{HI}$ ), and reversible generation of  $\text{I}_2$  and non-volatile  $\text{Pb}^0$  under illumination or mild heat  
 121 conditions. Irreversibility of the process releasing  $\text{CH}_3\text{I} + \text{NH}_3$  is indicated by one-directed arrow  
 122 for the reaction. Crystal phases database used for depicting the structures were ICSD-68819 for  
 123  $\text{PbI}_2$ , ICSD-96501 for the cubic Fm-3m  $\text{Pb}^0$  phase and ICSD-238610 for tetragonal  $\text{MAPbI}_3$ .  
 124 Correlated partial occupation for methylammonium cation in  $\text{MAPbI}_3$  phase was solved using  
 125 Supercell.<sup>12</sup>

## 126 **Results and discussion**

### 127 **PbI<sub>2</sub> degradation under illumination and dark conditions.**

128

129 First, we performed the photodecomposition experiments on PbI<sub>2</sub> (Figure 1c) in our home-  
130 designed experimental setup (Figure 1b) to verify the adequacy of this setup for I<sub>2</sub> detection prior  
131 to compare with photodecomposition on MAPbI<sub>3</sub>. Two important findings by Dawood *et al.*<sup>10</sup>  
132 were: (1) I<sub>2</sub> gas and metallic Pb<sup>0</sup> generations as a consequence of PbI<sub>2</sub> photodegradation with  
133 activation energy (E<sub>a</sub>) of 4.7 kcal/mol, and (2) a threshold wavelength of 520 nm, where only  
134 higher photon energies initiate the photodecomposition of PbI<sub>2</sub>. These findings were also  
135 observed in our set-up free moisture and anaerobic regimes but detecting I<sub>2</sub> gas release at  
136 temperatures as low as 40-60 °C. We probed four different pulsed light sources, three LED light  
137 sources: red (617 nm), blue (470 nm), white (450 + 550 nm) and Xe lamp based simulated solar  
138 irradiation (0.55 Sun). Also, an experiment at dark conditions but heating the sample stage at  
139 similar temperature level as during lightning experiments was carried out to decouple  
140 photodecomposition and thermal degradation (or evaporation) processes (Figure 1d). Details of  
141 experimental procedures are found in Methods section and Supplementary Information file.  
142 Next, experimental E<sub>a</sub> for the I<sub>2</sub> release during PbI<sub>2</sub> photodecomposition process was extracted  
143 in order to determine the feasibility of this photodecomposition reaction. In our analysis, the  
144 corresponding I<sub>2</sub> release using different light sources were estimated from MS data trace pulses,  
145 see Table 1.

146



147 **Table 1.** Estimated\* activation energies ( $E_a$ , kcal/mol) corresponding to  $I_2$  release reaction (eq.  
148 1).

Light Source	Wavelength (nm)	Temperature (°C)	Light Intensity (mW/cm <sup>2</sup> )	$E_a$ (kcal/mol)
White LED	450 + 550	50-70	80-110	57
Blue LED	470	45-62	71-120	45
Xe lamp	~ Sun	35-78	55	9

149 \*Details on  $E_a$  determination and wavelength spectra distribution for each light source are shown  
150 in Supplementary Sections S4 and S5, respectively.

151

152  $E_a$  showed strong dependence in magnitude and wavelength distribution of light source  
153 employed. The simulated sun light (Xe lamp, Table 1), which has a broader spectral wavelength  
154 lead to the smallest  $E_a \sim 9$  kcal/mol compared to narrow wavelength interval generated by LEDs  
155 ( $E_a \sim 45$  kcal/mol and 57 kcal/mol for blue and white LEDs, respectively). Interestingly, despite the  
156 different photodegradation conditions employed in the current study (*i.e.*, high vacuum and  
157 absence of  $O_2$ ), the extracted  $E_a$  corresponding to  $PbI_2$  degradation under Xe lamp illumination  
158 (55.2 mW/cm<sup>2</sup>) agrees well with the  $E_a$  value reported by Dawood *et al.* (4.7 kcal/mol).<sup>10</sup>

159 Further investigations using XRD and XPS techniques revealed that  $Pb^0$  was the remaining non-  
160 volatile products from the photodecomposition of  $PbI_2$ . More interestingly, this decomposed  $Pb^0$   
161 product showed also a reversible recovery process back to  $PbI_2$  after short-time exposure to  $I_2$   
162 gas (Supplementary Section S7-S8).

163 In summary, the chemical and physical processes extracted from all the sets of degradation and  
164 recovery experiments on  $PbI_2$  powders and thin-films are summarized by Equation 1 as well as

165 illustrated in Figure 1c. Under illumination with photon energies higher than 2.34 eV (< 530  
166 nm), photodecomposition takes place but it is a reversible process if Pb<sup>0</sup> is exposed again to I<sub>2</sub>(g),



168 Under dark condition at moderate temperatures (~70 °C) in high vacuum (~10<sup>-6</sup> Torr), PbI<sub>2</sub>  
169 sublimates in the form of molecules or clusters as depicted in Figure 1b or described in Equation  
170 2 below,



172

173 Noteworthy, no traces of released I<sub>2</sub> gas were observed during dark and mild temperature  
174 conditions.

175

### 176 **MAPbI<sub>3</sub> and MAPbBr<sub>3</sub> degradation under illumination and dark conditions.**

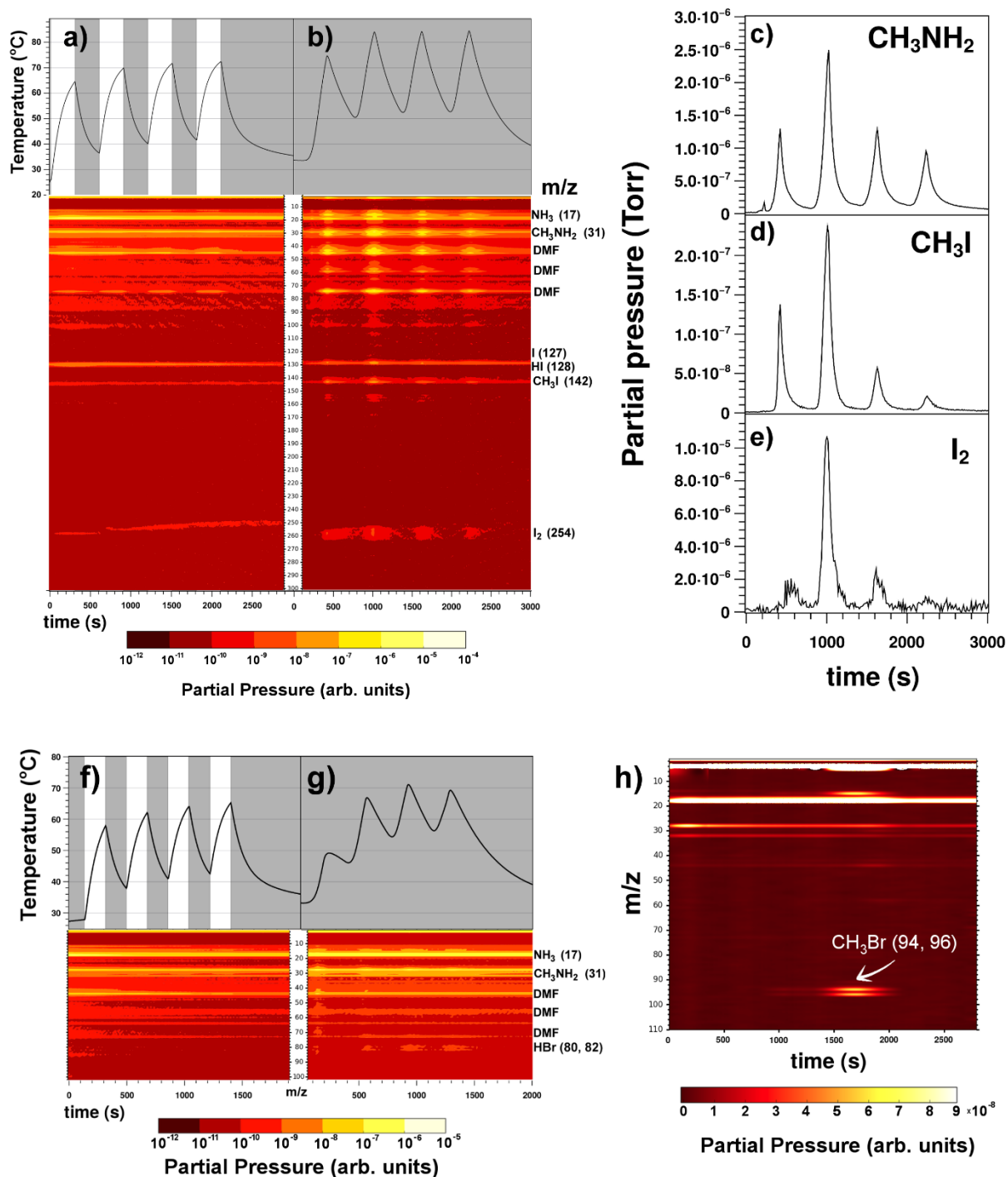
177

178 In the previous section, PbI<sub>2</sub> photodecomposition and its volatile (I<sub>2</sub>) and non-volatile (Pb<sup>0</sup>)  
179 decomposition products as well as recovery routes were established based on our home-built  
180 setup. Identical experimental conditions were applied to MAPbI<sub>3</sub> perovskite. In addition, we also  
181 studied the photodecomposition of MAPbBr<sub>3</sub> for comparison purposes as this material has been  
182 reported to show higher stability than MAPbI<sub>3</sub>.<sup>7, 13, 14</sup> Currently, the origin of this difference  
183 remains largely elusive and such relative stability has also found contradictory results.<sup>15</sup>

184 MAPbI<sub>3</sub> was introduced in the vacuum chamber and after pumping down the system (~10<sup>-6</sup> Torr),  
185 a set of Xe lamp illumination light pulses and only heating pulses under dark condition

186 experiments were carried out. Sample temperature and MS traces recorded are displayed in  
187 Figure 2a,b for each experiment. Firstly, it was striking to note that the hybrid perovskites  
188 required much longer time (~72 h) to reach a similar level of high vacuum condition compared  
189 to  $\text{PbI}_2$  (~6 h). MS data helped to clarify the reason of this phenomenon. One of the most  
190 important findings from this experiment is that in contrast with  $\text{PbI}_2$ ,  $\text{MAPbI}_3$  powder sample  
191 releases  $\text{I}_2$  independently of light or dark conditions. The rate of  $\text{I}_2$  generation was relatively  
192 constant during the light/dark pulse conditions (Figure 2a), whereas  $\text{I}_2$  was only generated at high  
193 temperature pulses ( $> \sim 60$  °C) applied during heat-in-dark condition (Figure 2b). Under mild  
194 temperature conditions ( $< \sim 60$  °C),  $\text{I}_2$  release is minimized. This indicates that  $\text{MAPbI}_3$  continues  
195 to degrade for a while once it is exposed to the light source. Unlike  $\text{PbI}_2$ ,  $\text{MAPbI}_3$  does not have  
196 a threshold in wavelength where  $\text{I}_2$  is released by photodecomposition. If this threshold exists  
197 because the band gap on  $\text{MAPbI}_3$ , an infrared light source should be used and therefore we prefer  
198 to use directly heating the sample in dark conditions. Furthermore, in addition to  $\text{I}_2$  release,  
199  $\text{MAPbI}_3$  perovskite was continuously releasing the organic gas components ( $\text{CH}_3\text{NH}_2$ ,  $\text{HI}$ ,  $\text{CH}_3\text{I}$   
200 and  $\text{NH}_3$ ) under vacuum conditions. Upon  $\text{MAPbI}_3$  insertion into the vacuum chamber, the  
201 background signals associated to  $\text{MAPbI}_3$  degradation detected by MS were observed to  
202 increase. Such an increase was further enhanced during the pulse rises of light or temperature.  
203 Furthermore, mass peaks corresponding to dimethylformamide (DMF) solvent can be  
204 observed.<sup>16</sup> Such occluded solvent molecules in the perovskite were expected to be detected  
205 because perovskite powder samples were prepared by using a protocol similar to the typical spin  
206 coating deposition method, see Methods and Supplementary Section 1.  $E_a$  values for the  $\text{I}_2$  release  
207 in  $\text{MAPbI}_3$  were extracted to compare with the  $\text{PbI}_2$  case, see Table 2.

208



209

210 **Figure 2 | Mass spectrometry profiles of MAPbI<sub>3</sub> and MAPbBr<sub>3</sub> decomposition products**  
 211 **during illumination and heating-at-the-dark pulses experiments.** a) Light/dark intervals (5  
 212 min each) on MAPbI<sub>3</sub> perovskite sample using a Xe lamp delivering 55 mW/cm<sup>2</sup> of light power.  
 213 White and grey areas represent under light and dark pulse duration, respectively. The black lines  
 214 correspond to the sample temperature. b) Heating on/off intervals (5 min each) on MAPbI<sub>3</sub>

215 sample under dark conditions. Species of interest detected in MS are labeled in the right side.  
 216 Right panel shows calibrated mass traces for c) CH<sub>3</sub>NH<sub>2</sub>, d) CH<sub>3</sub>I and e) I<sub>2</sub> during the heating  
 217 intervals in dark conditions. Supplementary Figure S14 shows calculated CH<sub>3</sub>I/CH<sub>3</sub>NH<sub>2</sub> molar  
 218 ratio. f) Light/dark intervals (3 min each) on MAPbBr<sub>3</sub> perovskite sample. g) Heating on/off  
 219 intervals (3 min each) on MAPbBr<sub>3</sub> sample. h) m/z traces registered simultaneously during the  
 220 thermal degradation of MAPbBr<sub>3</sub> using a heating rate of 20 °C·min<sup>-1</sup> under He atmosphere in a  
 221 TG/DTA equipment using the same setting as recently published for MAPbI<sub>3</sub> perovskite.<sup>9</sup>  
 222 Release of CH<sub>3</sub>Br (m/z = 94 and 96 amu traces) is observed during the thermal degradation.  
 223 Supplementary Figure S13f shows the fragmentation pattern for CH<sub>3</sub>Br molecule.

224

225 **Table 2.** Estimated\* activation energies (kcal/mol) for the degradation reactions releasing I<sub>2</sub> in  
 226 MAPbI<sub>3</sub> samples as measured by MS spectrometry.

Light/dark conditions	Temperature (°C)	Light Intensity (mW/cm <sup>2</sup> )	E <sub>a</sub> (kcal/mol)
Xe lamp	35-72	55	6
Heat in dark	60-84	0	18

227 \*Details on E<sub>a</sub> determination and wavelength spectra for each light source are shown in  
 228 Supplementary Sections S4 and S5, respectively.

229

230 Interestingly, the E<sub>a</sub> value corresponding to I<sub>2</sub> release in MAPbI<sub>3</sub> (~6 kcal/mol) was slightly  
 231 lower to that in PbI<sub>2</sub> (~9 kcal/mol), which indicates that I<sub>2</sub> release in MAPbI<sub>3</sub> is even slightly  
 232 more favorable than in PbI<sub>2</sub>. Because I<sub>2</sub> release was observed in dark conditions, E<sub>a</sub> was also  
 233 calculated for MAPbI<sub>3</sub> from I<sub>2</sub> released signal during heating-in-the-dark experiments. We  
 234 noticed that E<sub>a</sub> in the heating in dark condition was around three times higher compared to that  
 235 of under light exposure meaning that light-driven process is dominant in MAPbI<sub>3</sub> degradation  
 236 phenomena.

237 Similarities and differences found for MAPbI<sub>3</sub> and PbI<sub>2</sub> during these light/heat stress experiments  
 238 rely on two possible reasons (considering that MAPbI<sub>3</sub> material system is at some extent  
 239 represented by PbI<sub>2</sub> with intercalated MA<sup>+</sup> cations): (i) in contrast to PbI<sub>2</sub>, MAPbI<sub>3</sub> shows smaller  
 240 band gap, suggesting that additional photons with lower energies become effective for exciton  
 241 and/or free charge generation at the same illumination power, and (ii) I<sub>2</sub> release in MAPbI<sub>3</sub> does

242 not need a two exciton mechanism as  $\text{PbI}_2$  because  $[\text{PbI}_6]$  octahedral distortion produces shorter  
243 I-I bond distances consistent with the formation of neutral  $\text{I}_2$  defects,<sup>17</sup> which potentially  
244 facilitates the release of  $\text{I}_2$ .<sup>18</sup>

245 For the sake of completeness, the same photo-, thermal decomposition experiments performed  
246 on  $\text{PbI}_2$  and  $\text{MAPbI}_3$  were applied to  $\text{MAPbBr}_3$  to elucidate its decomposition products (*e.g.*, is  
247  $\text{Br}_2$  generated? What organic molecules are released from degraded  $\text{MAPbBr}_3$ ?) and associate it  
248 with the reported disparity in the stability of  $\text{MAPbBr}_3$  compared to  $\text{MAPbI}_3$ . Comparing the  
249 experimental observations on photodecomposition between  $\text{MAPbI}_3$  and  $\text{MAPbBr}_3$  perovskites,  
250 the main difference was that under vacuum and near room temperature conditions,  $\text{MAPbI}_3$   
251 showed all a plethora of degradation gas products (*i.e.*,  $\text{CH}_3\text{I}$  and  $\text{NH}_3$ ;  $\text{CH}_3\text{NH}_2$  and  $\text{HI}$ ; and  $\text{I}_2$ ),  
252 but  $\text{MAPbBr}_3$  only released  $\text{CH}_3\text{NH}_2$  and  $\text{HBr}$  (and solvent), Figure 2f,g. We emphasize that  
253  $\text{CH}_3\text{Br}$ ,  $\text{NH}_3$ , and  $\text{Br}_2$  gaseous species detection were below the signal-sensitivity threshold of  
254 MS under vacuum conditions at low temperature range (30-70 °C). On the other hand, under near  
255 atmospheric inert He pressure conditions and at high temperatures (~300 °C),  $\text{MAPbI}_3$  and  
256  $\text{MAPbBr}_3$  underwent similar degradation processes of releasing  $\text{CH}_3\text{I}/\text{NH}_3$  gas products  
257 ( $\text{MAPbI}_3$ )<sup>9</sup> and  $\text{CH}_3\text{Br}/\text{NH}_3$  ( $\text{MAPbBr}_3$ ) (Figure 2h), respectively. Table 3 summarizes both  
258 experimental conditions and detected products from degradation tests carried out in perovskites.

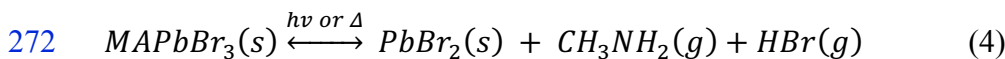
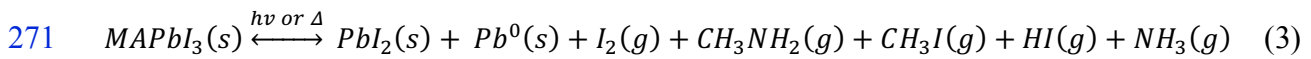
259

260 **Table 3.** Summary of experimentally detected volatile degradation products under different  
 261 environmental conditions. As comparison previously reported degradation products are also  
 262 indicated in the table.

Perovskite type	Inert atmosphere: Vacuum T ~ room	Inert atmosphere: ~ 1 atm, Helium T ~300 °C
MAPbI <sub>3</sub>	1) CH <sub>3</sub> NH <sub>2</sub> + HI <sup>*,‡</sup> 2) NH <sub>3</sub> + CH <sub>3</sub> I <sup>*,‡,#</sup> 3) I <sub>2</sub> <sup>*</sup>	NH <sub>3</sub> + CH <sub>3</sub> I <sup>†</sup>
MAPbBr <sub>3</sub>	CH <sub>3</sub> NH <sub>2</sub> + HBr <sup>*</sup>	NH <sub>3</sub> + CH <sub>3</sub> Br <sup>*</sup>

263 \* This work. † Ref. no. 9. ‡ Ref. no. 19. # Ref. no. 20 assuming that an iodine-transfer  
 264 polymerization reaction was involved on the CH<sub>3</sub>I monomer to form radical species as CH<sub>3</sub>CH<sub>2</sub>·  
 265 which can propagate to form a longer polyethylene chain as observed in the work by Ke et al.

266  
 267 In summary, the chemical processes extracted from all the sets of degradation and recovery  
 268 experiments on halide perovskite powders and thin-films are summarized by Equation 3 and 4  
 269 as well as illustrated in Figure 1d for MAPbI<sub>3</sub>. Under illumination or dark low heating conditions  
 270 compatible with photovoltaic operation, photo-, thermal-decomposition reactions take place as,



273  
 274 The CH<sub>3</sub>X/NH<sub>3</sub> (X = I or Br) molecules are reported to be the thermodynamically driven  
 275 product of degradation of methylammonium cation,<sup>19</sup> and such degradation is irreversible to  
 276 form back again MA<sup>+</sup>.<sup>9</sup> In contrast, released CH<sub>3</sub>NH<sub>2</sub> + HX is considered to be reversible because  
 277 of its high reactivity in neutralizing back to MA<sup>+</sup> and X<sup>-</sup>. In fact, CH<sub>3</sub>NH<sub>2</sub>/HI gases have been  
 278 demonstrated as excellent reagents used directly to synthesize MAPbI<sub>3</sub> perovskite.<sup>21, 22</sup>  
 279 Therefore, under encapsulated conditions, the consideration of CH<sub>3</sub>NH<sub>2</sub> + HI released gases as

280 degradation products from perovskites would not be a correct term as they are able to  
281 resynthesize  $\text{MAPbI}_3$ . A unique situation where  $\text{CH}_3\text{NH}_2 + \text{HX}$  could be considered as  
282 degradation products is when perovskites are placed in an open system (*e.g.* non-encapsulated  
283 solar cells) where back reaction is obviously inhibited because the released gases are  
284 permanently leaked. As highlight from our work, we determined  $\text{CH}_3\text{NH}_2 + \text{HI}$  release as a  
285 *benign* or reversible pathway of degradation in such a way that it does not lead to the permanent  
286 degradation, but to a chemical equilibrium of formation and destruction of perovskite (Figure  
287 1e).

288 On the other hand, the back formation to  $\text{MAX}$  or  $\text{MAPbX}_3$  from the released  $\text{CH}_3\text{X} +$   
289  $\text{NH}_3$  molecules is thermodynamically unfavorable and prone to form non-primary ammonium  
290 salts as previously reported.<sup>9</sup> Therefore, we assign the  $\text{CH}_3\text{X} + \text{NH}_3$  release to be an authentic  
291 detrimental pathway for perovskite degradation. If such a degradation path is taking place even  
292 in smaller proportions as represented in Figure 2c,d or elsewhere,<sup>19</sup> it would be the culprit of  
293 short time stability of methylammonium based hybrid perovskites solar cells regardless of  
294 employing a careful encapsulation. In view of above points, it can be understood that  $\text{MAPbBr}_3$   
295 is more stable than  $\text{MAPbI}_3$  because this detrimental path releasing  $\text{CH}_3\text{Br} + \text{NH}_3$  was not  
296 observed when kept at low temperatures, *i.e.*, 40-80 °C (see Table 3 and equation no. 4).  
297 Consequently, an encapsulated sample of methylammonium based bromide perovskite would be  
298 more stable than I-based perovskite under near ambient conditions.

299 **Photo-, thermal instability of pristine perovskite: implications for their operational**  
300 **stability on solar cell devices.**

301  
302 Perovskite thin-films employed in photovoltaic devices under working conditions could follow  
303 a different degradation path compared to that of pristine polycrystalline powder samples used in  
304 this study. In fact, this study on photo-, thermal-decomposition of perovskites, the light harvester



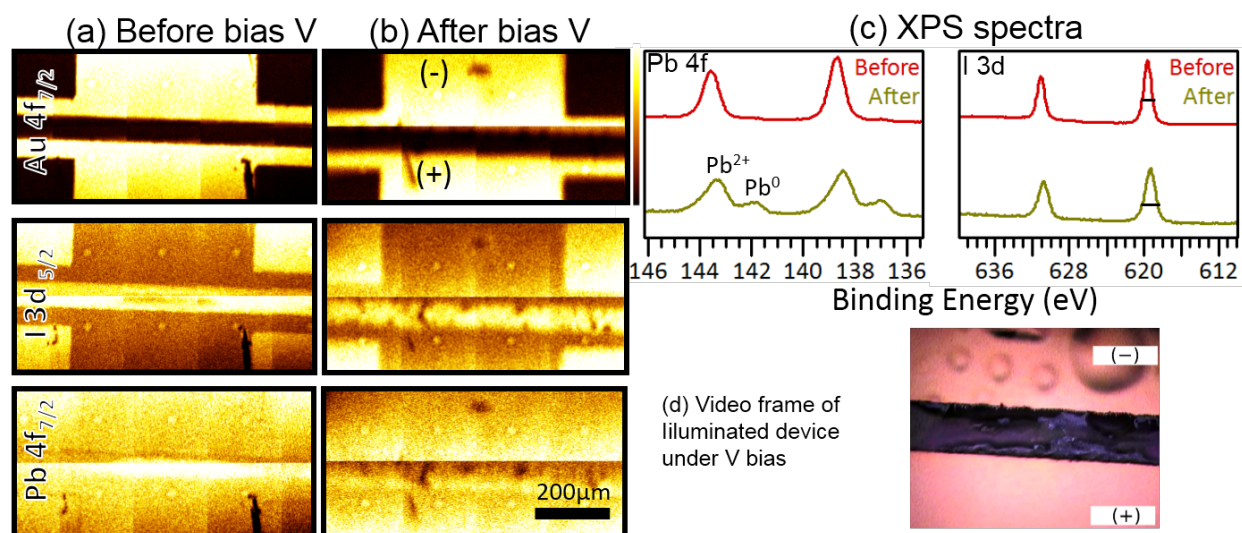
305 material is placed on purpose at the at the optimistic and favorable conditions for perovskite  
306 stability avoiding contacts with any other compound (e.g., HTL, ETL, dopants used in HTL,  
307 moisture or oxygen) and also without any applied bias. At this point it is important to remind  
308 that chemical synthesis procedure of pristine perovskite as well as temperature and illumination  
309 conditions during the tests can be considered similar to perovskite submitted under working  
310 conditions in devices.

311 In regard to selective contacts, what side reactions are going to suffer for example a specific HTL  
312 used in photovoltaic devices upon exposure to these gas by-products (HI, CH<sub>3</sub>I, I<sub>2</sub>, CH<sub>3</sub>NH<sub>2</sub> and  
313 NH<sub>3</sub>) released for example by MAPbI<sub>3</sub> during working conditions (eq. no 3), it is out of scope  
314 of this work. However, it is suggested that rarely the spiro functional group in the spiro-  
315 MeOTAD molecule, which is widely used as HTL, could resist the attack of these above  
316 chemical gas agents during ~20 years of photovoltaic device lifetime. Therefore, avoiding  
317 contact with other compounds related only to the device allows to unveil the intrinsic pathways  
318 of photo-, thermal-decomposition in perovskite. It could be reasonably considered that the  
319 selective contacts could not avoid these intrinsic decomposition paths in perovskites driven by  
320 light and temperature. Contrarily, the decomposition would become worst following unknown  
321 side reactions specific for each selective layer case.

322 On the other hand, voltage applied in thin-film is a relevant parameter to take in account when  
323 measuring photo-, thermal-degradation in halide perovskites. In regard to degradation paths for  
324 perovskite under applied bias, additional experimental work is carried out in a specially designed  
325 MAPbI<sub>3</sub> thin-film device consisting of two-gold electrode contacts (spaced by 70 microns)  
326 deposited on MAPbI<sub>3</sub>. Controlled bias voltage is applied on the gold electrodes generating an  
327 electric field corresponding to that of typically being generated in perovskite solar cells (~0.71

328 V/ $\mu\text{m}$ ). In this case, perovskite layer is protected from moisture and oxygen by a top CYTOP  
329 layer. The core levels of  $\text{MAPbI}_3$  thin-films were investigated using XPS mapping on lateral  
330 devices (Figure 3). As can be seen in Figure 3a, a uniform distribution of  $\text{Pb}^{2+}$  and  $\text{I}^-$  belonging  
331 to  $\text{MAPbI}_3$  was found. However, after applying the electric field (Figure 3b) a clear decrease as  
332 well as non-uniform distribution in the  $\text{Pb}^{2+}$  and  $\text{I}^-$  was observed. XPS spectra (Figure 3c) was  
333 also collected from the area between the electrodes (using a  $27\mu\text{m}$  detector slit). A clear  
334 formation of  $\text{Pb}^0$  and  $\text{Pb}^{2+}$  depletion was observed in the negative electrode side where reduction  
335 process takes place in the perovskite after the externally applied electric field. Similarly, a  
336 broadening of the FWHM belonging to I3d core level (from 1.0 to 1.4 eV) was observed after  
337 applying the electric field. The broadening of the I3d FWHM indicates formation of new iodine  
338 species, such as  $\text{CH}_3\text{I}$ ,  $\text{HI}$  and  $\text{I}_2$ . Overall, the XPS results in this experiment are consistent with  
339 the observations described in the unbiased pristine perovskites case. However, the most  
340 impressive fact in these biased thin-film perovskite experiments is the *in situ* observation of  
341 violent release of gases observed in the form of “bubbles” formed under the CYTOP transparent  
342 layer. The CYTOP layer is effectively impeding such release of volatile gases from perovskite  
343 to the ambient. (Figure 3d and deposited video film as SI file).

344



345

346 **Figure 3. XPS mapping and spectra ( $Al-K_{\alpha} = 1486.6$  eV) of MAPbI<sub>3</sub> thin films before and**  
 347 **after voltage bias.** a, b) XPS maps of Au 4f<sub>7/2</sub>, I 3d<sub>5/2</sub>, and Pb 4f<sub>7/2</sub> core levels and c) XPS spectra  
 348 of Pb 4f and I 3d core levels before and after electrical bias. The FWHM of the I 3d peak is 1 eV  
 349 and 1.4 eV before and after bias applied, respectively. The bias voltage applied is 50 V across  
 350 70  $\mu\text{m}$ ,  $\mathcal{E} = 50/70 = 0.71\text{V}/\mu\text{m}$ . Under conventional cell operation at maximum power point, it  
 351 would be 0.8 V across 0.5  $\mu\text{m}$  of perovskite,  $\mathcal{E} = 0.8/0.5 = 1.6$  V/ $\mu\text{m}$ . The Pb<sup>0</sup>/Pb<sup>2+</sup> composition  
 352 ratio is 0.20 in biased degradation sample. It is similar to 0.18 composition ratio obtained from  
 353 unbiased sample (see Table S2 and Figure S15b). The composition ratio for I 3d in biased  
 354 degraded sample is 1.8. This ratio is 1.6 for the unbiased degraded sample (see Table S2 and  
 355 Figure S17a). d) Video frame of illuminated device under V bias showing the release of volatile  
 356 gases in the negative electrode and trapped gas bubbles under the transparent CYTOP layer. See  
 357 SI file for full video of the experiment.

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361

362 The challenges associated with these chemical instability issues found in MAPbI<sub>3</sub> perovskite  
 363 must be mitigated in perovskite solar cells. Below, four guideline/recommendations based on the  
 364 outputs of above degradation study could help to design better devices with increased operational  
 365 stability:

- 366 (1) Careful selection of cations for the A site in perovskite structure replacing MA<sup>+</sup> with the  
 367 proper mixture of Cs<sup>+</sup>/FA<sup>+</sup> (cesium and formamidinium) cations. Irreversible reaction

368 (CH<sub>3</sub>I/NH<sub>3</sub> formation and release route) suffered by MA<sup>+</sup> can be solved in principle by  
369 replacing it using a mixture of Cs<sup>+</sup>/FA<sup>+</sup> (cesium and formamidinium cations) in the A site  
370 of perovskite without large efficiency losses. More difficult if not impossible at the moment  
371 could be to find an atomic or molecular replacement for the iodide ion still maintaining  
372 excellent light harvesting properties.

373 (2) Encapsulation of devices is necessary not only to avoid contact with external agents, but  
374 also to prevent the volatile decomposition products leakage (I<sub>2</sub> for all iodine based  
375 perovskites and CH<sub>3</sub>I/NH<sub>3</sub>/CH<sub>3</sub>NH<sub>2</sub>/HI for the specific MA<sup>+</sup> based perovskite case).  
376 Encapsulation provides that perovskite is inside a thermodynamic closed system allowing  
377 only energy exchange and Law of Mass Action stating chemical equilibrium concentrations  
378 of solid and gas products according to perceived temperature.

379 (3) Selective contacts in solar cell, especially organic molecular or polymeric based HTM, must  
380 be chosen as chemically inert as possible to be not affected by an environment rich in one  
381 of the most acidic molecules (HI), good methylation reagent (CH<sub>3</sub>I), oxidizing agent (I<sub>2</sub>) and  
382 mild to high base as CH<sub>3</sub>NH<sub>2</sub> and weak base as NH<sub>3</sub>.

383 (4) In relation to point no. 2 above, hybrid halide perovskite material is assumed to undergo  
384 cycles of dynamic formation and decomposition process and this could gradually decrease  
385 its crystalline grain size in time. Therefore, efforts on depositing large crystalline perovskite  
386 domains could be efforts in vain in regards to the long term stability.

### 387 **Conclusions**

388 In summary, hybrid lead iodide perovskite has been demonstrated as a semiconductor material  
389 that exhibits dynamic processes of continuous decomposition and formation under visible light  
390 and/or mild temperature stimulus compatible with solar cells operation conditions. I<sub>2</sub> gas is  
391 released from MAPbI<sub>3</sub> even in dark conditions during mild heating as low as 40-80 °C, which

392 corresponds to solar cell working temperatures. Fortunately, this photodecomposition reaction is  
393 reversible at least for  $\text{PbI}_2$ , since back formation  $\text{Pb}^0 + \text{X}_2 \rightleftharpoons \text{PbX}_2$  is observed. Because  $\text{MAPbI}_3$   
394 decomposes also into  $\text{CH}_3\text{I} + \text{NH}_3$ , which corresponds to the irreversible degradation pathway,  
395 strategies such as replacement of  $\text{MA}^+$  cations by more stable Cs/FA mixed combinations are  
396 suggested.  $\text{MAPbBr}_3$  shows enhanced stability than  $\text{MAPbI}_3$  because the former decomposes  
397 only into  $\text{CH}_3\text{NH}_2 + \text{HBr}$  at ambient temperature, which allows a clean self-healing process.  
398 Therefore, to further improve the operational stability in hybrid perovskite solar cells, all these  
399 photo-, thermal-degradation processes have to be controlled with detailed understanding. Four  
400 guideline/recommendations based on the outputs of above degradation study could help to design  
401 better devices with increased operational stability. First, the device encapsulation is necessary  
402 not only to avoid contact with ambient air, but also to prevent leakage of volatile released  
403 products. Second, a careful selection of organic cations in the A site for the compositional  
404 perovskite formula is needed to avoid any irreversible reaction. Third, selective contacts must be  
405 as chemically inert as possible against volatile released products which could provoke undesired  
406 side-reactions. Finally, hybrid halide perovskite material is assumed to undergo a dynamic  
407 formation and decomposition process and this could gradually decrease its crystalline grain size  
408 with time. Therefore, efforts on depositing highly and large crystalline perovskite could be  
409 efforts in vain in regards to the long term stability.

#### 410 **Comment from Authors**

411

412 During the course of the review of this manuscript (formerly submitted to Nature Chemistry on  
413 14th October 2017), an article (<https://doi.org/10.1038/s41563-018-0038-0>) appeared describing  
414 the enhancement of ion conduction in perovskite by the effect of light. It is a surprising effect

415 assumed to vacancies generation on perovskite which is better understood based on our MS  
416 measurements during soft temperature and light conditions degradation tests.

417

## 418 **AUTHOR CONTRIBUTIONS**

419 Y.B.Q. conceived the idea and supervised the work. E.J.J.P. and Y.B.Q. designed the  
420 experiments. E.J.J.P. carried out all measurements (except XPS), data analysis, results  
421 interpretation, and wrote the first version of the manuscript. L.K.O., M.M. and Z.H performed  
422 XPS measurements and assisted with XPS data analysis and interpretation. E.J.J.P. recorded and  
423 edited the “bubbling” perovskite video deposited as SI file. All authors assisted with  
424 interpretation of the results and contributed to writing the manuscript.

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430 Science and Technology Graduate University for valuable suggestions in revising the  
431 manuscript.

## 432 **Methods**

433 **Materials.** Lead (II) iodide ( $\text{PbI}_2$ , 99.9%) was purchased from Tokyo Chemical Industry Co.,  
434 Ltd, lead (II) bromide ( $\text{PbBr}_2$ , 99.999%) was purchased from Sigma-Aldrich, methylammonium  
435 iodide (MAI) and methylammonium bromide (MABr) were purchased from Dyesol Limited. All  
436 chemicals were used as received without any further purification. Hybrid perovskites in  
437 powdered polycrystalline material form were obtained mimicking the procedure to deposit  
438 perovskite thin films on substrates. Briefly, 1 mL of DMF (Wako Pure Chemical Industries)  
439 solution ( $\sim 1$  M) containing desired stoichiometric precursor quantities to synthesize  $\text{MAPbI}_3$  or  
440  $\text{MAPbBr}_3$  was poured on a mortar with 10 cm in diameter and kept at  $100^\circ\text{C}$  inside a fume hood.  
441 Precursor solution was slowly spread on the mortar surface helped by the pestle. DMF solvent  
442 was evaporated within 1-2 minutes, which resulted in a solid crystalline material on the mortar.  
443 The crystal was then carefully collected. Perovskite phase purity (*i.e.* absence of  $\text{PbI}_2$ ) and  
444 crystalline parameters were checked from powder XRD measurements (Supplementary Figure  
445 S1). Powder XRD were recorded in glazing incidence XRD (GIXRD) mode (detector scan,  
446  $\omega=0.5^\circ$ ) using D8 Bruker Discover (Cu-K $\alpha$ 1 radiation) with  $2\theta$  degrees varying from  $10^\circ$  to  
447  $55^\circ$  using 0.5 s of acquisition time for every  $0.02^\circ$   $2\theta$  intervals. Quantitative analysis of powder  
448 samples were obtained by fitting the entire XRD pattern with MAUD 2.71 software package.<sup>23</sup>

449 **Photodecomposition experiments. (i) Probing of volatile gases.** Fresh samples of  $\text{PbI}_2$  ( $\sim 180$   
450 mg) were loaded in the sample holder located inside the chamber (Supplementary Figure S2a).  
451 Upon reaching high vacuum level ( $\sim 10^{-8}$  to  $10^{-6}$  Torr) monitored by a pressure gauge, MS  
452 spectrometer was switched on. The temperature of the sample under dark conditions and high  
453 vacuum conditions were slightly high ( $30$ - $35^\circ\text{C}$ ) due to the e-ionization (radiative heating) of  
454 MS. Light power pulses of white, red, and blue LEDs were programmed using Autolab

455 PGSTAT204 potentiostat including the LED driver box accessory (Metrohm AG). Simulated  
456 sun-light was generated using a 150 W short-arc Xe lamp from the Portable Solar Simulator  
457 (PEC-L01, Peccell Technologies Inc). Light pulses in the solar simulator were computer  
458 controlled remotely by a homemade program and actuator. Light power delivered by both solar  
459 simulator and LEDs were calibrated using a calibrated silicon photodiode accounting quartz  
460 window and distance from light source to sample holder (Supplementary Section S5 for light  
461 power calibration details). Volatile degradation traces were recorded using a quadrupole MS  
462 equipped with an electron multiplier detector (SRS Stanford Research Systems, RGA300).  
463 Conventional Faraday cup detector in MS was not reliable to detect diiodine traces at nearly  
464 room temperature. MS raw signals were calibrated using sensitivity factors calculated following  
465 the procedure described in Supplementary Section S6. **(ii) Probing of non-volatile products.**  
466 The chemical composition determination of non-volatile products (*i.e.* remaining solid material)  
467 from the photodecomposition experiments were performed by XRD and XPS (Supplementary  
468 Section S7). The surfaces chemical properties of  $\text{PbI}_2$ ,  $\text{MAPbI}_3$  and  $\text{MAPbBr}_3$  were characterized  
469 by XPS (Kratos AXIS ULTRA HAS, monochromated  $\text{Al-K}\alpha = 1486.6$  eV) in order to observe  
470 the effect of light exposure in vacuum. The binding energy (BE) was calibrated by measuring  
471 the Fermi edge ( $E_F = 0$  eV) and  $\text{Au-4f}_{7/2}$  (84.0 eV) on a clean Au surface. Freshly prepared  
472 samples were first analyzed by XPS. The BE scale of  $\text{PbI}_2$  spectra was calibrated using the  
473 adventitious carbon peak (C 1s) at  $\sim 285$  eV as reference.<sup>24,25</sup> In our samples, residual amounts  
474 of adventitious carbon would be unavoidable due to air exposure prior to the XPS measurements.  
475 In addition, C 1s signal originating from residual solvents may be also expected. Great care was  
476 taken in order to minimize X-ray exposure time when acquiring XPS signal on  $\text{PbI}_2$ ,  $\text{MAPbI}_3$ ,  
477 and  $\text{MAPbBr}_3$  samples. X-ray-induced sample damage was monitored by taking five consecutive  
478 scans and comparing these spectra. Acquisition time for each scan varied from 20 to 70 s



479 depending on the core level regions. The five scans were averaged to a single spectrum if no  
480 significant change was observed. Peak fittings and standard deviation calculations were  
481 performed with CasaXPS 2.3.16. Shirley function was used to simulate the background signal  
482 due to inelastic scattering processes.<sup>26</sup> Raw XPS spectra of Pb 4f, I 3d (for PbI<sub>2</sub> and MAPbI<sub>3</sub>),  
483 Br 3d (for MAPbBr<sub>3</sub>), C 1s, and N 1s (for MAPbI<sub>3</sub> and MAPbBr<sub>3</sub>) were fitted with Gaussian-  
484 Lorentzian (G-L) functions to quantitatively determine BE peak positions, full width at half  
485 maximum (FWHM), and the relative spectral areas. The intensity ratios between the 4f<sub>7/2</sub> and  
486 4f<sub>5/2</sub> (Pb) and 3d<sub>5/2</sub> and 3d<sub>3/2</sub> (I and Br) doublets due to spin-orbit coupling were 1.33 and 1.50  
487 ( $\pm 3\%$  error), respectively. The concentration of the different elements (metallic-Pb, I, Br, C and  
488 N) relative to Pb<sup>2+</sup> was estimated from the fitted areas after normalization with the atomic  
489 sensitivity factors (ASF).<sup>27-29</sup>

490 A full extension in details experimental section is deposited as ESI including further details in  
491 the calibration of light sources used in this work and MS calibration.

492

494 **References**

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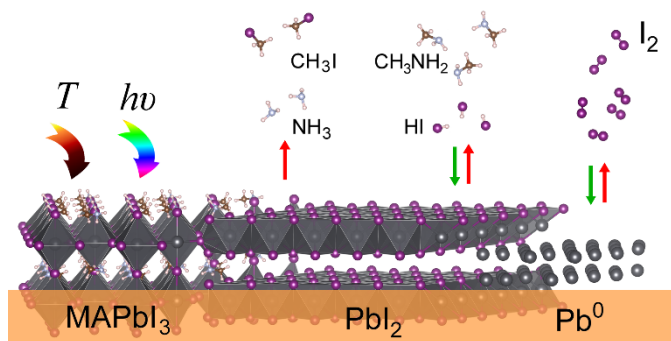
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539

540

541 **Graphical Abstract**



542

543