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## Pervasive Biometric Authentication in the Smart Home Based on Integrated Light Fingerprint Pads

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### Introduction

As virtual voice-enabled assistants and other smart devices continue to evolve, it is becoming increasingly important to authenticate users before the assistant or device carries out a task. For example, if the assistant is going to make a purchase on the user's behalf, it is important that the assistant can verify the right user is making the request. However, in some circumstances, it is inconvenient, difficult, and unreliable for virtual voice-enabled assistants to authenticate users.

#### **Example Figures**

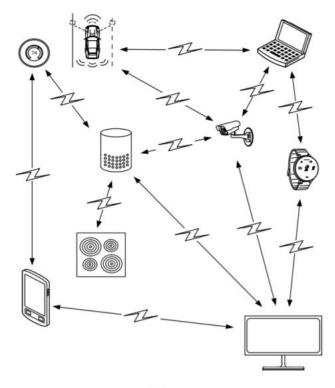
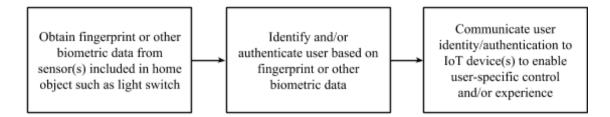


Fig. 1





#### Summary

Generally, the present disclosure is directed to smart authentication of a user via biometric information obtained via a light switch. In particular, in some implementations, the systems and methods of the present disclosure can include or otherwise leverage an Internet of Things (IoT) system or device to provide user-specific experiences and/or control by identifying and/or authenticating a user based on their biometric fingerprint data. In some implementations, the IoT system or device can include or be in communication with smart speakers, light switches, security systems, thermostats, fans, cameras, entertainment systems, smart shades and blinds, appliances, and/or other IoT devices.

A light switch (e.g., a rocker style light switch) can include one or more fingerprint sensors into the switch (e.g., two fingerprint sensors in the two main pads of a rocker style light switch). As one example, capacitive fingerprint sensors would work well in this application because they work with wet or dry fingers and in any orientation. The color of the sensors can match the switch color and the seams between the sensors and the switch surface may be practically imperceptible.

The light switch can identify and/or authenticate the user based on their fingerprint or other biometric data collected via sensors included in the light switch. Alternatively, the data

collected by the light switch can be communicated to a different device for processing (e.g., the other device can use the sensor data to identify and/or authenticate the user).

The user can lightly touch either of the pads to authenticate within a short amount of time (e.g., about 0.5 seconds), without turning the light on or off. Alternatively, if the user presses the light switch to turn on or off the light, the switch is also able to authenticate users with accuracy in those scenarios as well.

The smart light switch (e.g., electronics module contained therein) can wirelessly communicate with other IoT devices in the environment in which the user is located (e.g., home, office, restaurant, shopping center, park, etc.). For instance, as the user walks throughout the environment, IoT devices can automatically provide customized experiences for the user, such as preferred light and camera settings, HVAC temperature, entertainment, and more.

In one example mode of operation, the IoT system (e.g., a device that includes a virtual voice-enabled assistant) would turn on a microphone for voice input only after the user has been authenticated. This can improve user privacy since the microphone will not be always on but instead be on in only limited scenarios.

In view of the authentication via fingerprint on light switches, users are not required to enter passwords or pin codes, or carry their phone with them everywhere they are in the house to access various services. Thus, the proposed system provides a superior combination of speed and security and ease of use in the home. In particular, in many ways the proposed system is superior to voice identification, which may be slower and/or less reliable depending on ambient noise, the user's health, the user's accent, and more. The proposed method also has fewer false positives and false negatives.

In some implementations of the proposed system, users can also use two fingers at once for more secure authentication relative to what can be achieved with a single sensor (e.g., on a smartphone). The inclusion of two sensors that the user likely uses interchangeably will also prolong the life time of the sensors.

As another example advantage, the user does not always have to carry around her phone, key fob, or type in any passwords while at home. IoT devices can be automatically unlocked and/or customized when the user has the footwear on and is in proximity to or interacting with such devices.

As another example advantage, each fingerprint for a user can be used to trigger various lighting and electrical scenes in the home. For instance, the right thumb may dim the lights, close the shades, turn the MP3 player off, and turn on the television (i.e., one touch can "set the scene" for viewing television. As further examples, the left ring finger may set the lights and sound system into a party scene while the left thumb arms the security system for night mode. In addition, personalized scenes/control commands can be created and/or learned for each individual in the home, which is much harder to do with physical scene controllers. This can also simplify the design of the overall light switch while still providing advanced functionality in a more user-friendly way.

Further to the descriptions above, a user may be provided with controls allowing the user to make an election as to both if and when systems, devices, or features described herein may enable collection of user information (e.g., information about a user's preferences, a user's biometric information such as fingerprint data, a user's activities, or a user's current location), and if the user is sent content or communications from a server. In addition, certain data may be

treated in one or more ways before it is stored or used, so that personally identifiable information is removed. For example, a user's identity may be treated so that no personally identifiable information can be determined for the user, or a user's geographic location may be generalized where location information is obtained (such as to a city, ZIP code, or state level), so that a particular location of a user cannot be determined. Thus, the user may have control over what information is collected about the user, how that information is used, and what information is provided to the user.

#### **Detailed Description**

As described above, the present disclosure is directed to smart authentication of a user via biometric information obtained via a light switch. In particular, in some implementations, the systems and methods of the present disclosure can include or otherwise leverage an Internet of Things (IoT) system or device to provide user-specific experiences and/or control by identifying and/or authenticating a user based on their biometric fingerprint data..

Figure 1 depicts a block diagram of an example IoT environment according to example implementations of the present disclosure. As illustrated in Figure 1, in some implementations, the IoT environment includes a plurality of different devices, each of which can be referred to as an IoT device. An example IoT device can be an intelligent, environmentally-sensing, and/or network-connected device configured to communicate with a central server or cloud service, a control device, and/or one or more additional IoT devices to perform any number of operations (e.g., in response to received commands). IoT devices can, in some instances, also be referred to as or include "smart" devices and/or "connected" devices.

Each IoT device can be a stand-alone physical device or can, in some instances, be an embedded device that is embedded within a larger device or system. Each IoT device can include electronics, software, sensors, actuators, and/or other components, including various components that sense, measure, control, and/or otherwise interact with the physical world. An IoT device can further include various components (e.g., a network interface or the like) that enable the IoT device to send and/or receive data or other information from one or more other IoT devices and/or to a central system.

In particular, the various IoT devices can be communicatively connected to one another via one or more communications networks. The networks can be wide area networks, local area networks, personal area networks, piconets, cellular networks, other forms of networks, and/or combinations thereof. The networks can be wired and/or wireless. The networks can be private and/or public. As examples, two or more of the IoT devices can communicate with one another using a Wi-Fi network (e.g., a home network), Bluetooth, Bluetooth Low Energy, Zigbee, Radio-Frequency Identification (RFID), machine to machine connections, inductive communications, optical communications, infrared communications, other communications techniques or protocols, and/or combinations thereof. For example, an IoT device might communicatively connect with a first nearby device using Bluetooth while also communicatively connecting with a second nearby device using Wi-Fi.

In some implementations, each IoT device can have a unique identifier. For example, the identifier for each IoT can include and/or be based on an Internet Protocol address associated with such IoT device, a manufacturer associated with such IoT device, a location at which such IoT device is positioned, a model number of such IoT device, a functionality of such IoT device,

and/or other device characteristics. In some implementations, a given IoT device can locate and/or communicate with another IoT device based on its unique identifier. In some implementations, the identifier assigned to an IoT device can be modified by a user and/or owner of such IoT device.

In particular, in some implementations, the user can assign one or more identifiers to the IoT devices within a device topology representation. The device topology representation can describe and/or organize a group of IoT devices (e.g., based on location with one or more structures such as one or more homes, offices, vehicles, and/or the like). The identifiers can be chosen by the user and associated with the respective IoT devices within the device topology representation. The identifier(s) can include but are not limited to names, nicknames, and/or aliases selected for the IoT devices by the user. In this manner, the identifiers can be names or aliases of the respective IoT devices that the user is likely to use when identifying the IoT devices for requested control or command operations (e.g., "turn on the kitchen lights").

An IoT device can be mobile or can be stationary. In some implementations, an IoT device can be capable of autonomous or semi-autonomous motion.

In some implementations, an IoT device can be controlled or perform operations in response to communications received by the IoT device over a network. For example, an IoT device can be controlled by a control device that is communicatively connected to the IoT device. The control device can communicate directly with the IoT device or can communicate indirectly with the IoT device (e.g., over or using a mesh network). The control device can itself be an IoT device or the control device can be a device that is not considered part of the IoT environment. For example, the control device can be a server device that operates as part of

cloud computing system. The commands can be in response to or generated based on a user input or can be generated without user input.

Thus, in one example, an IoT device can receive communications from a control device and the IoT device can perform operations in response to receipt of such communications. The performed operations can be internal operations (e.g., changing an internal setting or behavior) or external operations (e.g., interacting with the physical world in some way). The IoT device and the control device can be co-located or can be remotely located from each other.

As an example, the control device can be or include a user device such as a smartphone, tablet, computing device that is able to be worn, laptop, gaming console or device, virtual reality headset, and/or the like. As another example, the control device can be a server computing device. As another example, the control device can itself be an IoT device. For example, the control device can be a so-called "smart speaker" or other home control or automation device.

In some implementations, a user can interact with a control device (e.g., which can be an IoT device) to input data into or otherwise control the IoT environment. For example, the control device can include and execute a software application and/or other software programs that provide a user interface that enables entry of user input. The software applications can be executed entirely at the control device or can be web applications where some portion of the program or functionality is executed remotely (e.g., by a server connected over the web) and, in some implementations, the client-side logic runs in a web browser. Thus, in some implementations, a web server capable of sending, receiving, processing, and storing web pages or other information may be utilized.

In some implementations, a cloud service may be used to provision or administer the IoT devices. For example, a cloud computing system can enable or perform managed and/or integrated services that allow users to easily and securely connect, manage, and ingest IoT data from globally dispersed IoT devices at a large scale, process and analyze/visualize that data in real time, and/or implement operational changes and take actions as needed. In particular, in some implementations, the cloud computing system can employ a publication subscription model and can aggregate dispersed device data into a single global system that integrates seamlessly with data analytics services. An IoT data stream can be used for advanced analytics, visualizations, machine learning and more to help users improve operational efficiency, anticipate problems, and build rich models that better describe and optimize the user's home or business. The cloud system can enable any number of dispersed IoT device to connect through protocol endpoints that use automatic load balancing and horizontal scaling to ensure smooth data ingestion under any condition.

In some implementations, the cloud system can include or implement a device manager. For example, the device manager can allow individual IoT devices to be configured and managed securely in a coarse-grained way. Management can be done through a console or programmatically. The device manager can establish the identity of a device and can provide the mechanism for authenticating a device when connecting. The device manager can also maintain a logical configuration of each device and can be used to remotely control the device from the cloud.

In some implementations, an IoT device can include an artificial intelligence-based assistant or software agent. A user can interact with the artificial intelligence-based assistant via a control device, directly through the IoT device, or any other method of interaction. The artificial intelligence-based assistant can perform tasks or services based on user input and/or contextual awareness (e.g., location awareness), including acting as a control device to control other IoT devices. In some implementations, an IoT device (e.g., an artificial intelligence-based assistant on such device) can access information from a variety of online sources (such as weather conditions, traffic congestion, news, stock prices, user schedules, retail prices, etc.).

The artificial intelligence-based assistant or software agent can be stored and implemented by a single device (e.g., a single IoT device) or can be spread across multiple devices and implemented by some (e.g., dynamically changing) combination of such multiple devices.

In some implementations, an IoT device can include (e.g., as part of an artificial intelligence-based assistant) one or more machine-learned models that assist in understanding user commands, determining context, and/or other actions. Example machine-learned models include artificial neural networks such as feed-forward neural networks, recurrent neural networks, convolutional neural networks, autoencoders, generative adversarial networks, and/or other forms, structures, or arrangements of neural networks. Additional example machine-learned models include regression models, Bayesian models, clustering models, linear models, non-linear models, and/or other forms, structures, or arrangements of machine-learned models or unsupervised learning techniques. Machine-learned models can be trained using supervised learning techniques or unsupervised learning techniques. Machine-learned models can be stored and implemented on the IoT device or can be stored and implemented in the cloud and the IoT device can leverage the models by communicating with cloud devices. Feedback or other forms of observed outcomes

can be used to re-train models to improve their performance. Models can be personalized to one or more users or environments by re-training on data specific to such users or environments.

In some implementations, the artificial intelligence-based assistant can perform concierge-type tasks such as, for example, making dinner reservations, purchasing event tickets, making travel arrangements, and/or the like. In some implementations, the artificial intelligence-based assistant can provide information based on voice input or commands (e.g., by accessing information from online sources). In some implementations, the artificial intelligence-based assistant can automatically perform management or data-handling tasks based on online information and events, including, in some instances, without user initiation or interaction.

In some implementations, a control device (e.g., which may be an IoT device) can include components such as a mouse, a keyboard, buttons, knobs, a touch-sensitive component (e.g., touch-sensitive display screen or touch pad), and/or the like to receive input from the user via physical interaction.

In some implementations, the control device can include one or more microphones to capture audio signals and the device can process the audio signals to comprehend and respond to audio commands (e.g., voice commands) provided by a user or by some other device. Thus, in some implementations, the IoT devices can be controlled based on voice commands from a user. For instance, a vocalization from a user can be received by a control device. The vocalization can be a command spoken by a user proximate to the control device. The control device can control itself and/or one or more of the IoT devices based on the vocalization.

In some implementations, one or more vocalization(s) may be used as an interface between a user and an artificial intelligence-based assistant. For example, a user may vocalize a command which the artificial intelligence-based assistant may identify, process, and/or execute or cause to be executed. The vocalized command may be directed at the artificial intelligence-based assistant.

As one example, the vocalization may indicate a user desire to interact with or control another IoT device (e.g., lowering a thermostat setting, locking a door, turning off a light, increasing volume, etc.) The artificial intelligence-based assistant may communicate the command to the desired IoT device which can respond by executing or otherwise effectuating the user command. As another example, the vocalization can include a user commanding the artificial intelligence based assistant to perform a task (e.g., input an event into a calendar, retrieve information, set a reminder, make a list, define a word, read the first result of an internet search, etc.).

In some implementations, speech recognition or processing (e.g., natural language processing) can be performed on the vocalization to comprehend the command provided by the vocalization. For instance, data indicative of the vocalization can be provided to one or more language models (e.g., machine-learned models) to determine a transcription of or otherwise process the vocalization.

In some implementations, processing the vocalization or other user input can include determining one or more IoT devices to control and/or one or more actions to be performed by the selected IoT devices. For instance, a semantic interpretation of the vocalization (e.g., a transcript of the vocalization) can be determined using one or more semantic interpretation techniques. The semantic interpretation can provide a representation of the conceptual meaning of the vocalization, thereby also providing an interpretation of the intent of the user.

In some implementations, the interpretation of the vocalization can be determined based at least in part on the device topology representation. For instance, the device topology representation can be accessed to determine the one or more selected IoT devices and/or actions to be performed. As one example, the device topology representation can be accessed and compared against a transcription of the vocalization to determine a match between one or more terms included in the transcription and one or more terms associated with the IoT device topology representation (e.g., "kitchen lights"). In some implementation, the identity of the speaker can be ascertained and used to process the vocalization (e.g., such as to process commands that include possessive modifiers: "brew a cup of my favorite roast of coffee").

In some implementations, the control device (e.g., which may be an IoT device) can include a vision system that includes one or more image sensors (e.g., visible-spectrum cameras, infrared cameras, LIDAR systems, and/or the like) that capture imagery. The device can process the imagery to comprehend and response to image-based commands or other input such as, for example, gesture commands provided by the user. In some implementations, the vision system may incorporate or perform facial movement identification (e.g. lip reading) capabilities while, in other implementations, the vision system may additionally or alternatively incorporate hand shape (e.g. hand gestures, sign language, etc.) identification capabilities. Facial movement and/or hand shape identification capabilities may allow a user to give commands a control device in addition or alternatively to voice control.

In some implementations, in response to the image data of the facial or hand gesture, the control device can determine one or more IoT devices to control and/or one or more actions to be performed (e.g., by the selected IoT devices). Interpretation of image data that depicts lip reading and/or sign language may be achieved through any method of image data analysis. The interpretation can provide a representation of the conceptual meaning of the image data. In this manner, the interpretation of the image data can provide an interpretation of the intent of the user in performing the gesture(s).

In some implementations, the interpretation can be determined based at least in part on the device topology representation. For instance, the device topology representation can be accessed to determine the one or more selected IoT devices and/or the action to be performed. For example, the device topology representation can be accessed and compared against the image data to determine a match between one or more aspects of the image data and one or more aspects associated with the IoT device topology representation (e.g., the user may be pointing to a specific IoT device when providing a voice command or a gesture command).

In further implementations, gaze recognition can be performed on the captured imagery to identify an object or device that is the subject of a gaze of the user. A user command (e.g., voice or gesture) can be interpreted in light of (e.g., as applied to) the object or device that is the subject of the gaze of the user.

In some implementations, the vision system may be used as an interface between a user and an artificial intelligence-based assistant. The captured image data may be interpreted and/or recognized by the artificial intelligence-based assistant.

In some implementations, the selected IoT devices and/or the actions to be performed can be determined based at least in part on contextual data (e.g., location of user, day of the week, user data history, historical usage or command patterns, user wardrobe, etc.) For instance, in response to receiving a command from a user, a location of the user, a time of day, one or more past commands, and/or other contextual information can be determined. The location can be determined using various suitable location determination techniques. The location determination technique can be determined based at least in part on the control device to which the user provides the vocalization. For instance, the control device is an IoT device that is specified in the device topology representation, the user location can be mapped to the structure and/or room to which the control device is assigned in the device topology representation. In some implementations, if the control device is a user device not specified in the device topology representation, the user location can be determined using one or more location determination techniques, such as techniques using wireless access points or short range beacon devices associated with one or more IoT devices, and/or other suitable location determination techniques. In some implementations, the user location can then be mapped to one or more structures and/or rooms specified in the device topology representation. In some implementations, the control device and/or other IoT devices can also process audio signals and/or imagery to comprehend and respond to contextual information. As examples, triangulation and/or beamforming techniques can be used to determine the location of the user based on receipt of the voice command at multiple different audio sensors. In some implementations, multiple possible user commands or requests can be disambiguated based on the contextual information.

Figure 2 provides a block diagram of an example software stack that can be included on an IoT device. The software stack shown in Figure 2 is provided as one example only. Various different IoT devices can have any number of different software and/or hardware configurations which may be of greater or lesser complexity to that shown in Figure 2.

In some implementations, an IoT device can include and execute one or more computer applications (also known as software applications) or other computing programs. The IoT device can execute the application(s) to perform various functions, including collection of data, communication of data, and/or responding to or fulfilling received commands. In some implementations, the software applications can be native applications.

In some implementations, the software application(s) on an IoT device can be downloaded and installed by or at the direction of the user. In other implementations, the software application(s) can be default applications that come pre-programmed onto the IoT device. In some implementations, the software application(s) can be periodically updated (e.g., via download of update packages). The software application(s) can be closed source applications or can be open source applications. The software applications can be stand-alone applications or can be part of an operating system of the IoT device. The software applications can be embodied in computer-readable code or instructions that are stored in memory and then accessed and executed or followed by one or more processors of the IoT device.

In some implementations, the software application(s) on an IoT device can be user-facing applications such as a launcher or a browser. In other implementations, the IoT device does not include any user-facing applications but, for example, is instead designed to boot directly into applications developed specifically for the device.

More particularly, in some implementations, an IoT device can include or otherwise be implemented upon or in conjunction with an IoT platform that includes a number of elements. The IoT platform can include an operating system. The operating system can have been optimized for use in the IoT environments (tuned for faster boot times and/or lower memory footprint). The operating system and other platform elements may be able to receive secure and managed updates from the platform operator. The IoT platform can include hardware that is accessible and easy to integrate.

The IoT platform can also enable application developers to build applications using a rich framework provided by an operating system software development kit (SDK) and platform services, including, for example, the same user interface toolkit, multimedia support, and connectivity application programming interfaces (APIs) used by developers of mobile applications for larger devices such as smartphones. Applications developed for the IoT device can integrate with various services using one or more client libraries. For example, the applications use the libraries to interact with services such as application deployment and monitoring services, machine learning training and inference services, and/or cloud storage services. The applications can use the APIs and/or support libraries to better integrate with hardware, including, for example, custom hardware. This can include support for peripheral interfaces and device management. The device can also include a number of native libraries, including, for example, C/C++ libraries, runtime libraries, core libraries, and/or the like. Updates to one or more of these components can be deployed over the air and/or automatically when updates are available.

In some implementations, an IoT device (e.g., the software applications executed thereby) can utilize APIs for communicating between a multitude of different software applications, operating systems, databases, libraries, enterprises, graphic interfaces, or any other component of the IoT environment disclosed herein. For instance, a first software application executed on a first IoT device can invoke a second software application via an API call to launch the second software application on a second IoT device.

In some implementations, the applications can run on a single or variety of operating system platforms including but not limited to OS X, WINDOWS, UNIX, IOS, ANDROID, SYMBIAN, LINUX, or embedded operating systems such as VxWorks.

The IoT device can include one or more processors and a memory. The one or more processors can be any suitable processing device (e.g., a processor core, a microprocessor, an application specific integrated circuit (ASIC), a field programmable gate array (FPGA), System on a Chip (SoC), a controller, a microcontroller, etc.) and can be one processor or a plurality of processors that are operatively connected. The memory can include one or more non-transitory computer-readable storage mediums, such as RAM, ROM, EEPROM, EPROM, flash memory devices, magnetic disks, etc., and combinations thereof. The memory can store data and instructions which are executed by the processor to cause the IoT device to perform operations. The IoT devices can, in some instances, include various other hardware components as well, including, for example, a communications interface to enable communication over any number of networks or protocols, sensors, and/or other components.

In some implementations, the IoT device can include or be constructed using one or more System on Module (SoM) architectures. Each SoM can be a fully integrated component that can be dropped directly into a final design. Modules can be manufactured separately and combined to form the device. In some implementations, the device software can include a hardware abstraction layer and kernel which may be packaged as a board support package for the modules. In other implementations, different, non-modular architectures can be used.

Example IoT devices include or can be associated with an air conditioning or HVAC system, lighting device, a television or other home theater or entertainment system, security system, automatic door or window locking system, thermostat device, home energy manager, home automation system, audio speaker, camera device, treadmill, weight scale, smart bed, irrigation system, garage door opener, appliance (e.g., refrigerator, dishwasher, hot water heater, furnace, stove, fireplace, etc.), baby monitor, fire alarm, smoke alarm, medical devices, livestock tracking devices, cameras, beacon devices, a phone (e.g., smartphone), a computerized watch (e.g., a smart watch), a fitness tracker, computerized evewear, computerized headwear (e.g., a head mounted display such as a virtual reality of augmented reality display), other types of computing devices that are able to be worn, a tablet, a personal digital assistant (PDA), a laptop computer, a desktop computer, a gaming system, console, or controller, a media player, a remote control, utility meter, an electronic book reader, a navigation system, a vehicle (e.g., car, boat, or plane/drone) or embedded vehicle system, an environmental, food, or pathogen monitor, search and rescue devices, a traffic control device (e.g., traffic light), traffic monitor, climate (e.g., temperature, humidity, brightness, etc.) sensor, agricultural machinery and/or sensors, factory controller, GPS receivers, printers, motor (e.g., electric motor), and/or other suitable device or system.

The technology discussed herein makes reference to servers, databases, software applications, and other computer-based systems, as well as actions taken and information sent to and from such systems. One of ordinary skill in the art will recognize that the inherent flexibility of computer-based systems allows for a great variety of possible configurations, combinations, and divisions of tasks and functionality between and among components. For instance, server processes discussed herein may be implemented using a single server or multiple servers working in combination. Databases and applications may be implemented on a single system or distributed across multiple systems. Distributed components may operate sequentially or in parallel.

# Figures

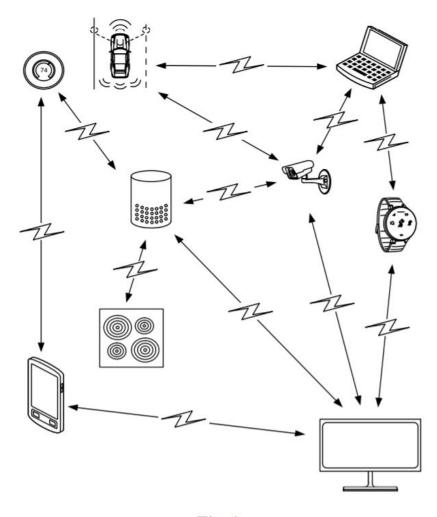


Fig. 1

