Vol.7, No.3, 2016



# Effect of Shadowing and Multipath Fading on the Area Spectral Efficiency of a Macro-Femto Heterogeneous Network for Cell-Edge Users

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### Abstract

The traditional macro-only network is not effective especially when communication signal is required for users far away from the macrocell base station and located in the cell edge. The signal strength reaching these users is excessively attenuated due to fading and shadowing. The deployment of femtocells around the cell edge of this macrocell helps to reduce the effect of fading and shadowing thereby increasing the overall efficiency of the cellular network. This holds a great promise for adaptive space-based wireless sensor networks, formation-flying satellites and constellations.

Keywords: Femtocells; Heterogeneous networks; Macrocells; Spectral Efficiency; Uplink

# 1. Introduction

Spectral efficiency is an important measure of the performance of a communication system that deals with the effective transmission of data must be properly maximized as a result of how scarce and costly available radio spectrum is. This efficiency must also be maximized at any given location of mobile users for a seamless communication. Any new communication strategy has to be able to use the available spectrum in the most efficient way alongside providing optimum communication resources for the ever increasing users. As mobile users increase, the pressure on the available communication spectrum increases as a result of high data rate device users who are mostly situated within the mobile station causing huge data traffic, leaving users in the cell-edge with extremely poor reception of the communication resources from the base station. This increase in mobile users is fast becoming higher than the spectral efficiency enhancements available to meet the required increase in the traffic.

To meet these challenging necessities in terms of coverage, capacity and deployment costs, heterogeneous network transmission techniques [1–3] are regarded to be one of the most promising solutions. A crucial part of these techniques will be how to significantly improve the capacity of users in the cell edge, coverage in rural areas due to the long distance between the traditional base stations and the mobile users in these areas as well as underground locations due to wall attenuation. One of the current heterogeneous network approaches is the deployment of low-power and low-cost femtocells within and around the main macro cellular infrastructure. This is referred to as two-tier Heterogeneous network [4–6]. This paper considers the effect of shadowing and fading on the area spectral efficiency (ASE) of this two-tier heterogeneous network in uplink called the macro-femto heterogeneous network (MFHN).

# 2. System Model and Analysis

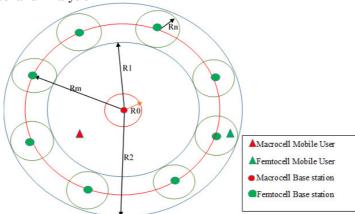


Figure. 1: Femtocells distribution at the cell edge in the Macro-Femto network

From Fig. 1, the first tier of the case study heterogeneous network comprises of the macro-only network in which the carrier frequency is re-used at a minimum distance D[m]. This first tier comprises of a circular macrocell of radius  $R_m[m]$  with a base station made up of an omni-directional antenna. The user is considered to



be randomly located within the macro-cell bounded by  $R_o$  and  $R_m$  where  $R_o$  is the minimum distance a user can be with reference to the macrocell base station.

The second tier heterogeneous network is made up of N circular femtocells each of radius  $R_n$  [m] with low-powered low-cost user deployed femto base station at the center. The femtocells are deployed round the edge of the reference macrocell. This is also referred to as the Femto-On-Edge (FOE) configuration in [7]. For the simulation, the number of femtocells per macrocell, N, is given as:

$$N = \mu \frac{A_m}{A_m} \tag{1}$$

where  $A_m$  is the area of the macrocell,  $A_n$  is the area of each of the femtocells and  $\mu$  is referred to as the femto population factor (FPF) which controls the number of femtocells per macrocell.

### A. Bandwidth Allocation

For this paper, co-channel allocation of the bandwidth is used. Here, the users all share the same frequency channel without any partitioning. This is to say that:

$$W_m = W_f = W \tag{2}$$

where  $W_m$ , is the bandwidth for the macrocell users,  $W_f$  is the bandwidth for the femtocell users and W is the total available bandwidth. The macrocell and the femtocells share all the communication resources available. For simplicity, the channel is assumed to be serving only one user at a time for the both tier. The bandwidth is re-used throughout the macrocell network at a distance  $D = R_u(R_m + R_n)$  where  $R_u$  is the network traffic load which has a value of 2 for a fully loaded cellular network.

# B. Mobile User Distribution

The mobile users in the macrocell, femtocell and interfering cells are assumed to be independent and uniformly distributed in their cells. The joint probability density function (PDF) of the macrocell users at any location  $(r, \theta)$  from its serving macrocell base station is given as

$$p(r,\theta) = \frac{r - R_o}{\pi (R_1 - R_o)^2}, p_\theta(\theta) = \frac{1}{2\pi}$$
 (3)

where  $R_0 \le r \le R_1$ ,  $0 \le \theta \le 2\pi$  and  $R_1 = R_m - R_n$ .

For the femtocell users at any location  $(\tilde{r}, \theta)$  from its serving femtocell base station, the PDF is given as:

$$p(\tilde{r}, \frac{\tilde{r}}{\pi R_n^2}, p_{\theta}(\frac{\tilde{\theta}}{\theta}) = \frac{1}{2\pi}$$
(4)

where  $0 \le \tilde{r} \le R_n$  and  $0 \le \tilde{\ell} = 2\pi$ .

### C. Shadowing

The shadowing is modelled as a lognormal distribution with the probability density function (PDF) of the slowly varying received signal power given as:

$$ps(P) = \frac{\xi}{\sqrt{2\pi\sigma}P} \exp(-\frac{(\xi \ln(P) - \mu)^2}{2\sigma^2}), P \ge 0$$
 (5)

where  $\xi = 10/\ln 10$ ,  $\mu = \xi \ln(\overline{P})$  is the logarithmic mean power in dB,  $\sigma$  is the shadow standard deviation in dB.

### D. Fading

This is modelled using the slow varying flat fading channel. It is assumed that the fading environment is characterized by a Nakagami-m distribution with the probability density function (PDF) of the received signal power given as:

$$ps(P) = \left(\frac{m}{\Omega}\right)^m \frac{P^{m-1}}{\Gamma(m)} \exp(-m\frac{P}{\Omega}), P \ge 0$$
 (6)

where m is the Nakagami fading parameter,  $\Omega$  is the mean received power related to path-loss and shadowing,  $\Gamma(.)$  is the gamma function.



# E. Area Spectral Efficiency

The Area spectral efficiency is defined as the sum of the maximum available rates per bandwidth per unit macrocell area. For the two tier network being considered, mathematically the ASE can be expressed as:

$$ASE_{macro+femto} = \frac{4(W_m C_m + NW_f C_f)}{\pi W R_u^2 (R_m + R_n)^2}$$
(7)

 $W_m$  is the bandwidth of the macrocell,  $W_f$  is the bandwidth of the femtocell,  $C_m$  is the spectral efficiency (Capacity) of the macrocell,  $C_f$  is the capacity of the femtocell and N is the number of femtocell deployed.

From the earlier assumption in equation (1) this equation reduces to

$$ASE_{macro+femto} = \frac{4(C_m + NC_f)}{\pi R_u^2 (R_m + R_n)^2}$$
(8)

# 3. Simulation Parameters

A Monte-Carlo simulation procedure is established for the given system parameters.

Table 1: Simulation parameters values

Simulation parameters Femtocell M System bandwidth 20 MHz		
System bandwidth 20 MHz		
	0 600	
Cell radius 30 m 10	0-600 m	
Path-Loss Exponent 2	2	
Additional path-loss exponent 2	2	
BS antenna height 5 m	25 m	
Mobile User antenna height 1.5 m	1.5 m	
Femto Population Factor, $\mu$ 1	_	
Reference distance 100		
Path-loss constant, K 1	1	
Maximum transmit Power 1 Watt	1 Watt	
Reference distance, Ro -	100	

# 4. Simulations and Discussion

# A. Area Spectral Efficiency with Shadowing

In this section, the effect of shadowing is investigated. Shadowing occurs due to objects obstructing the relative propagation path between the transmitter and receiver. For a long distance propagation, the received signal is modelled as a log-normal distribution with values in dB. A case of light shadowing ( $\sigma_d = \sigma_I = 4$  dB) and heavy shadowing ( $\sigma_d = \sigma_I = 6$  dB) are considered.

Figure 2 shows the effect on the area spectral efficiency for a shadowing parameter of 4dB. From Fig. 2, a lognormal shadowing parameter of 4 dB reduces the ASE for the macro only. For the macro-femto network, shadowing effect is negligible. In Fig. 3, the increase in the shadowing parameter to 6dB further reduces the area spectral efficiency of the macro-only network. The area spectral efficiency of the macro-femto network is minimally affected.

Comparing Figs. 2 and 3, the lower effect of shadowing on the macro-femto network can be attributed to the deployment of the low powered femtocells at the cell edge which provides the platform of signal reception for the cell-edge user rather than receiving communication signal directly from the traditional macro base station which is subject to more shadowing effect.

Furthermore, the deployment of the femtocells provides the medium for cell-edge users' connection to the network reducing the traffic on the traditional microcell network. This leads to less shadowing experienced over the macro-only distance, hence improving the quality of the over-all macro-femto network.



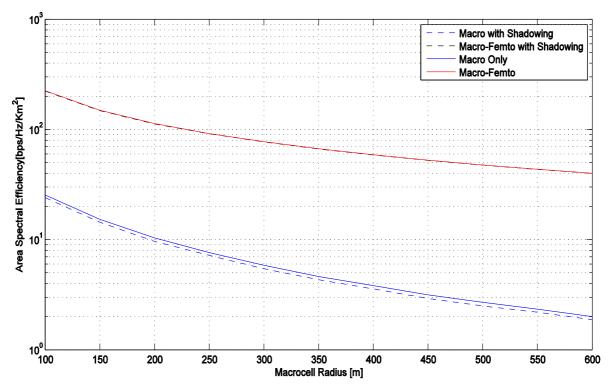


Figure. 2. Effect of shadowing on the area spectral efficiency for  $\sigma_d = \sigma_I = 4 \text{ dB}$ 

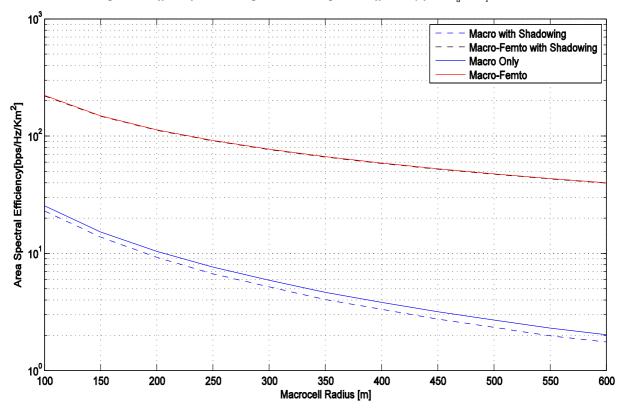


Figure. 3. Effect of shadowing on the area spectral efficiency for  $\sigma_d = \sigma_I = 6 dB$ 

# B. Area Spectral Efficiency with Fading

Fading is the distortion to communication signal as it is being propagated through certain propagation medium. This distortion may be as a result of multiple reflection of transmitted signal from various surfaces leading to a multipath propagation of the transmitted signal. This effect is considered for mobile users situated in the cell edge where they are prone to excessive fading on the communication signal from the traditional macrocell base station.

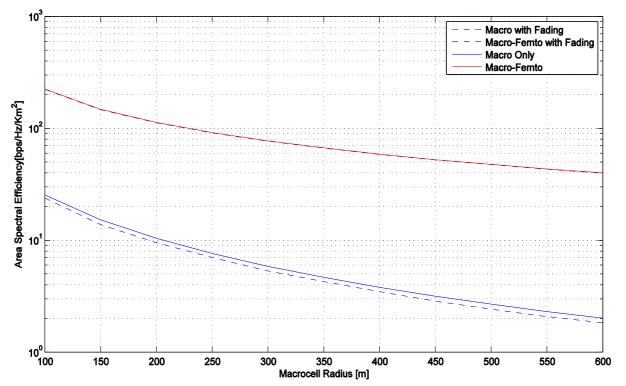


Figure. 4. Effect of fading on the area spectral efficiency for  $m_d = 1$ ,  $m_1 = 1$ 

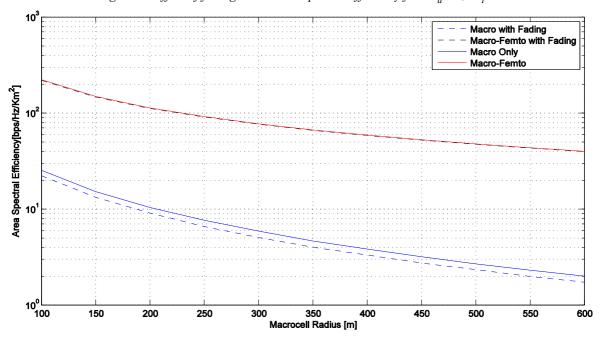


Figure.5. Effect of fading on the area spectral efficiency for  $m_d = 1$ ,  $m_I = 3$ 

## 5. Conclusion

A Monte-Carlo simulation process has been carried out to investigate the effect of fading and shadowing on the area spectral efficiency of a macro-femto network. This effect is compared with a macro-only network. The simulation result shows that the fading and shadowing effect in the macro-femto network is minimal when compared with the macro-only network. The immediate future works bordering on this research span the terrestrial and space communications networks. Firstly, the investigation of the effect of fading and shadowing between femto and femto cells located in the macro-cell-edge is a core research area. Secondly, the energy efficiency of the macro-femto scheme discussed in this dissertation can be investigated further. Heterogeneous network hybrids such as a three-tier heterogeneous network form a key study niche that next-generation networks will depend on



for a reliable seamless global communication; this represents a viable R & D domain. Furthermore, the study can be extended to validate the area spectral efficiency of space-based sensor nodes and small satellite constellations links in Earth orbits.

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