



COLLEGE OF GRADUATE HEALTH SCIENCES**ET/D Declaration of Authorship**

In accordance with the University of Tennessee Health Science Center [Honor Code](#) and the requirements for integrity and honesty laid out in the College of Graduate Health Sciences' [Electronic Thesis & Dissertation \(ET/D\) Learning Portal](#), I, Hong Ha Rosa Nguyen, declare that this dissertation titled "Study of Natural Killer Cells and Their Therapeutic Role in Pediatric Cancer" and the work presented therein are my own. I confirm that:

- This work was done wholly or mainly while being a candidate for a terminal degree at the University of Tennessee Health Science Center.
- There is clear and full disclosure in the thesis/dissertation for any part that was previously submitted for a degree or any other qualification at this University or any other institution or that has been accepted for publication.
- Where I used the published or unpublished work of others, this is clearly attributed.
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- I have acknowledged all other main sources of help (e.g., lab assistance, joint work on projects, consultations/discussions with colleagues/experts, funding).
- Where the thesis/dissertation is based on work done jointly with others, I have made clear what I contributed (see next page).

Student Name: Hong Ha Rosa Nguyen

See digital reply with confirmation.

Advisor Name: Michael A. Dyer

See digital reply with confirmation.

**Declaration of Personal Contributions to Previously Published Articles
with Several Authors Incorporated into the ET/D
(This also includes in press and accepted articles.)**

Please provide below the Chapter number of the incorporated article(s), the List of References citation, and your significant contributions as first or second author, or indicate Not Applicable. If part of your accepted submission to the journal was a statement of author contributions, you may quote that and at the end indicate [As submitted and accepted by *Journal title*.]


Articles with several authors incorporated into my ET/D and my contributions to them:

- **Chapter 2-4**
- **Citation:** Nguyen, R., Houston, J., Chan, W.K., Finkelstein, D., Dyer, M.A. (2018). The role of interleukin-2, all-trans retinoic acid, and natural killer cells: surveillance mechanisms in anti-GD2 antibody therapy in neuroblastoma. *Cancer Immunol Immunother*, 67: 615-26.
<https://doi.org/10.1007/s00262-017-2108-6>.
- **My significant contributions:** experimental design, conduction of experiments, analysis and interpretation of data, and draft of the manuscript.


- **Chapter 2-4**
- **Citation:** Nguyen, R., Wu, W., Pounds, S., Inaba, H., Ribeiro, R.C., Cullins, D., Rooney, B., Bell T., Lacayo, N., Heym, K., Degar, B., Schiff, D., Janssen, W. E., Triplett, B., Pui, C.-H., Leung, W., Rubnitz, J. E. (2019). A phase II clinical trial of adoptive transfer of haploidentical natural killer cells for consolidation therapy of pediatric acute myeloid leukemia. *J Immunother Cancer*, in press.
- **My significant contributions:** data curation, analysis and interpretation of data, verification of biologic data (flow cytometry analysis), writing of original draft, review and editing of manuscript revisions, visualization of all graphs and data.

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Dr. Michael Dyer's reply has been added below -
Shirley Hancock, ET/D Formatting & Review Manager


 **Shirley Hancock** <shirleyhancock@gmail.com> 2:49 PM (1 hour ago)
to Michael.dyer, Hong, Felicia, Larry

Dr. Dyer -- Please just reply all to this email with "Confirmed" to register your response to the statements in Rosa Nguyen's ETD Declaration of Authorship. Thank you.
Shirley Hancock, ETD Formatting & Review Manager

 **Dyer, Michael A** 3:32 PM (41 minutes ago)
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