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Endosomal Trafficking as a Determinant of Antifungal Tolerance in the Pathogenic Fungus Candida albicans

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Endosomal Trafficking as a Determinant of Antifungal Tolerance in the Pathogenic Fungus Candida albicans

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Endosomal Trafficking as a Determinant of Antifungal Tolerance in the Pathogenic Fungus *Candida albicans*

A Dissertation Presented for The Graduate Studies Council The University of Tennessee Health Science Center

In Partial Fulfillment Of the Requirements for the Degree Doctor of Philosophy From The University of Tennessee

> By Arturo Luis Luna December 2016

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DEDICATION

 To my parents, Luis and Virgilia, to my brothers and sister, for their love and support, and for encouraging me to pursue my goals. To my wife Kelly, for her love and for giving me the balance I need.

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ABSTRACT

Several important antifungal drugs inhibit the synthesis of ergosterol, a lipid that modulates the thickness, fluidity and permeability of fungal cell membranes. These include the azole antifungals, which block ergosterol biosynthesis by inhibiting lanosterol demethylase (Erg11p). The resulting depletion of cellular ergosterol and the accumulation of 'toxic' sterol intermediates are both thought to cause plasma membrane dysfunction and ultimately growth arrest. However, the effects of ergosterol depletion upon the function of intracellular membranes and organelles are not well described. The purpose of this study was to characterize the effects of azole treatment upon the integrity of the *Candida albicans* vacuole, and determine if, in turn, vacuolar trafficking influences azole susceptibility.

 Profound fragmentation of the *C. albicans* vacuole was observed as an early consequence of azole treatment, before significant growth inhibition was observed. Using a genetic approach, we determined that vacuole fragmentation was a consequence of Erg11p inhibition rather than an off-target effect of azole treatment. Moreover, the degree of vacuole fragmentation following azole treatment was influenced by Erg3p, an enzyme in the ergosterol biosynthetic pathway involved in the production of toxic sterol intermediaries upon Erg11p inhibition.

We also determined that vacuolar trafficking significantly impacts *C. albicans* susceptibility to azole antifungals and other ergosterol biosynthesis inhibitors. For instance, a *vps21∆/∆* mutant, blocked in membrane trafficking through the pre-vacuolar compartment (PVC), grew significantly more than wild-type controls in the presence of several azole antifungals under standard susceptibility testing conditions. Furthermore, the *vps21∆/∆* mutant was able to grow in the presence of the azoles despite depletion of cellular ergosterol. This phenotype resembles an exaggerated form of azole tolerance known as 'trailing growth', which has been described for some clinical isolates. In contrast, the *vps21∆/∆* mutant is hypersensitive to drugs that block alternate steps in ergosterol biosynthesis.

The azole tolerance phenotype of the *C. albicans vps21*Δ/Δ mutant was independent of known azole resistance mechanisms such as the efflux pumps Cdr1p and Mdr1p. Moreover, the azole tolerance of the *vps21*Δ/Δ mutant was influenced by both pH and incubation temperature, consistent with trailing growth phenotypes. The *C. albicans vps21*Δ/Δ mutant exhibits less plasma membrane permeabilization upon azole treatment, as determined by the release of a cytoplasmic luciferase reporter into the culture supernatant. Our results also reveal that the *vps21*Δ/Δ mutant has elevated levels of intracellular calcium and enhanced calcineurin activity, as evidenced by increased expression of a calcineurin responsive *RTA2-GFP* reporter construct in response to fluconazole. Furthermore, the azole tolerant phenotype of the *vps21*Δ/Δ mutant is dependent upon both calcium and calcineurin signaling. These findings underscore the importance of endosomal trafficking in determining the cellular consequences of azole

treatment through modulation of intracellular calcium levels and calcineurin dependent responses.

While we determined that deletion of *VPS21* alone was not sufficient to confer a survival advantage upon *C. albicans* following azole treatment in the mouse model of vaginal candidiasis, the azole susceptibility of the *vps21*Δ/Δ mutant in the mouse model disseminated infection is yet to be tested. Moreover, it is unclear how, or if the azole tolerant phenotype of the *vps21*Δ/Δ mutant relates to that of trailing clinical isolates, or if these clinical isolates have abnormal endosomal trafficking.

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CHAPTER 1. INTRODUCTION

Medical Mycoses

Human fungal infections

An estimated of 1.5 million fungal species exist in nature [\(1\)](#page-118-1), with only 70,000 species described so far [\(2\)](#page-118-2) and around 300 that have been reported to cause disease in humans [\(3\)](#page-118-3). Within the last group, only a few are common pathogens of humans and other mammals [\(2\)](#page-118-2). The latter situation seems to indicate a high degree of resistance of mammals to fungi which may be due to both an effective immune system against these organisms and an elevated basal body temperature that can be increased upon infection, thus limiting the opportunities for development of fungal infections [\(2\)](#page-118-2). However, over the last few decades, fungi have emerged as important public health concerns [\(4\)](#page-118-4) due to the increasing numbers in immunocompromised populations (including those suffering from cancer, HIV infection, diabetes, immunosuppressive therapy and cystic fibrosis) [\(5\)](#page-118-5), invasive medical procedures [\(6\)](#page-118-6) and global environmental changes [\(2\)](#page-118-2), among other factors. In addition, fungi are eukaryotic organisms sisters to animals as part of Opisthokonta and hence they share a great number of features with mammalian cells, narrowing the number of therapeutic targets that could be exploited to fight fungal infections. In this regard, the number of antifungal drugs available remains limited.

 The impact of fungal infections on human health is widely underestimated. Most human fungal infections are regarded as opportunistic and are associated with predisposing factors that include host immunosuppression, organ transplant, aging, AIDS and disruption of the microbiota [\(7\)](#page-118-7). Human fungal pathogens cause a variety of diseases that include cutaneous lesions and acute pulmonary infections in immunocompetent individuals and inflammatory and life-threatening infections in immunocompromised patients [\(8\)](#page-118-8). Superficial infections are the most common forms of disease caused by fungi and affect \sim 1.7 billion people worldwide [\(6\)](#page-118-6). They are characterized as benign and selflimiting, do not involve tissue invasion and occur on keratinized tissue such as nails, hair and outer layers of the skin [\(9\)](#page-118-9). However, infections of nails and skin can be distressing and unappealing, and in many cases the patients can experience pain [\(10\)](#page-118-10). Common examples of superficial fungal infections include athlete's foot which affects 1 in 5 adults, and onychomycosis which affects from 5 to 25% of healthy individuals and increases its incidence in the elderly [\(5,](#page-118-5) [6,](#page-118-6) [11\)](#page-118-11). This category of fungal infections are mostly caused by dermatophytes (mainly *Trichophyton* spp, *Microsporum* spp and *Epidermophyton* spp) and to a lesser extent by yeast of the genera *Candida* spp and *Malassezia* spp, and in fewer cases by molds [\(9\)](#page-118-9).

 Subcutaneous fungal infections affect the dermis and subcutaneous tissue and usually result from invasion by any of several species of fungi after local trauma [\(12\)](#page-118-12). Sporotrichosis (caused by *Sporothrix schenkii*), mycetoma (caused by *Madurella mycetomatis*, *M. grisea*, *Pseudallescheria boydii* among others), and

chromoblastomycosis (caused by *Fonsecaea pedrosi*, *F. compacta*, *Phialophora verrucosa*, among others) are the most common subcutaneous mycoses. These mycoses occur more frequently in tropical and subtropical regions of the planet, where the etiological agents are widely distributed in soil, plants and animals [\(12\)](#page-118-12). As for other fungal infections, immunocompromised individuals are at increased risk of developing subcutaneous mycoses [\(12\)](#page-118-12).

Life-threatening infections are characterized by fungal dissemination to deep tissue and internal organs, causing symptoms such as fever and septic shock [\(5\)](#page-118-5). This class of illness occurs in people having well established risk factors that include an impaired immunity due to genetic predisposition, neutropenia, immunosuppressive therapy and AIDS [\(5,](#page-118-5) [6\)](#page-118-6). Although invasive fungal infections occur with lower frequency than superficial infections, in immunocompromised individuals they are associated with high mortality rates that often exceed 50%, leading to an estimated 1.5 million deaths worldwide per year, despite the availability of several antifungal drugs [\(6\)](#page-118-6). The death toll is especially high in settings such as Sub-Saharan Africa where the incidence of HIV/AIDS is high and the diagnosis and treatment for invasive fungal infections is limited. In addition, the cost of treating systemic fungal infections is high; in the United States alone, the annual hospital cost due to invasive fungal infections is estimated to be \$1.89 billion [\(13\)](#page-118-13).

Etiological agents of invasive mycoses

 Invasive fungal infections in humans can be caused by a wide range of fungi, including members of the genera *Histoplasma*, *Coccidioides*, *Blastomyces*, *Paracoccidioides*, *Fusarium* spp, *Sporothrix*, *Penicillium*, *Scedosporium*, *Mucor*, *Rhizopus* and *Rhizomucor* [\(14-17\)](#page-118-14). However, over 90% of all cases of invasive fungal infections are caused by species of *Aspergillus*, *Cryptococcus*, *Candida* and *Pneumocystis* [\(6,](#page-118-6) [18,](#page-119-0) [19\)](#page-119-1). Many of these fungi are widely distributed in nature or are considered part of the normal microbiota (e.g., several *Candida* species), and thus controlling the exposure of susceptible patients is impractical.

 Due to the worldwide distribution of *Aspergillus*, most people breathe its conidia (spores) on a daily basis without harmful consequences to the immunocompetent populations. However, inhalation of *Aspergillus* spores can cause invasive infections in immunocompromised individuals and those with chronic obstructive pulmonary disease [\(6\)](#page-118-6). Aspergillosis is characterized by the germination of conidia, followed by growth of invasive hyphae in the lungs [\(20\)](#page-119-2). The annual number of cases of aspergillosis is estimated to be over 200,000 worldwide, with mortality rates of 50% in patients with correct diagnosis and treatment, but it can reach almost 100% if the diagnosis is not performed in a timely manner [\(6\)](#page-118-6). In addition, fungal allergy and *A. fumigatus* infection have been linked to the development of severe asthma [\(6,](#page-118-6) [21,](#page-119-3) [22\)](#page-119-4). Accordingly, the global burden of severe asthma due to fungal sensitization is estimated to affect up to 12 million adults and contribute to the mortality/morbidity due to asthma, which reaches over 100,000 cases per year [\(6,](#page-118-6) [23\)](#page-119-5).

Cryptococcus is a globally distributed fungal pathogen. After inhalation, *Cryptococcus* spores or desiccated yeast cells enter the respiratory tract where they can be eradicated or isolated inside granulomas by the host's immune response, or cause an asymptomatic infection within the lungs [\(24,](#page-119-6) [25\)](#page-119-7). However, *Cryptococcus* cells can disseminate hematogenously to other organs including the eyes, urinary tract, bones and central nervous system, if host immunity becomes dysfunctional [\(25\)](#page-119-7). Infection is common in patients whose risk factors include AIDS and immunosuppressive therapy [\(6\)](#page-118-6), with mortality rates of 15 to 20% in developed countries and 55 to 70% in Latin America and sub-Saharan Africa. For cryptococcal meningitis alone, the annual global burden is estimated to be over one million cases, with over 620,000 deaths in sub-Saharan Africa alone [\(6,](#page-118-6) [26\)](#page-119-8) where the access to antiretroviral therapy against HIV/AIDS is severely limited.

 A proper and timely diagnosis is imperative to treat invasive fungal infections [\(27\)](#page-119-9). Despite this importance, difficulties in diagnosing these infections remain and consequently the high mortality rates of invasive fungal infections [\(28\)](#page-119-10). The diagnostic tools available for fungal infections lack sensitivity and/or have limited specificity [\(29\)](#page-119-11) and access is limited in low income countries [\(6\)](#page-118-6). Histopathology continues to be a rapid and cost-effective means of providing a presumptive or definitive diagnosis of an invasive fungal infection; however, it allows only the identification of yeast or molds and often lacks enough sensitivity to determine the infecting specie or strain [\(27,](#page-119-9) [28\)](#page-119-10). Blood and deep-tissue culture are insensitive and slow; for example, blood cultures from patients with deep-seated invasive infections often give negative results and blood cultures in the diagnosis of invasive candidiasis or aspergillosis have sensitivities of \sim 50% and 0%, respectively [\(6,](#page-118-6) [18\)](#page-119-0).

Candidiasis

Candida species are normal constituents of the microbiota on the skin, oral cavity, gastrointestinal tract and vagina of humans [\(30\)](#page-119-12). However, in susceptible individuals they are the etiological agents of a group of diseases known as candidiasis, that vary from superficial mucosal conditions such as oral thrush and vaginitis, to life-threatening systemic infections [\(31\)](#page-119-13). Out of two hundred known *Candida* species, over a dozen species can cause disease in humans, and are collectively considered as the second most abundant causes of fungal disease worldwide [\(6\)](#page-118-6). In terms of incidence in all disease manifestations, *C. albicans* is the leading species among its genera [\(6\)](#page-118-6).

Mucosal candidiasis

 Mucosal candidiasis is characterized by profusive growth of *Candida* on mucosal surfaces such as the vagina, esophagus, oropharynx and urinary tracts [\(5\)](#page-118-5). Excessive *Candida* growth in the oral cavity is known as "oral thrush" and can include infection on the mucosa of the mouth and throat. This class of infections is common in immunocompromised patients [\(5\)](#page-118-5); approximately 70% of HIV/AIDS patients develop

oropharyngeal candidiasis [\(32\)](#page-119-14), contributing to a global burden estimated to be around 10 million annual cases of oral thrush worldwide [\(6\)](#page-118-6). Vulvovaginal thrush is common in otherwise healthy women; it is estimated that 50 to 75% of all women suffer from at least one episode of vulvovaginal candidiasis (VVC) in their childbearing years, from which 40 to 50% will experience a subsequent infection [\(33\)](#page-119-15). Notably, 5 to 8% of women suffer of recurrent VVC, with 3 or more episodes per year [\(34\)](#page-119-16). Mucosal infections of the oral cavity can be unpleasant and uncomfortable when ingesting meals [\(35\)](#page-119-17), while infections of the vaginal tract can cause general discomfort, itching and burning sensation [\(36\)](#page-119-18). *C. albicans*, *C. glabrata*, *Aspergillus* spp., *Cryptococcus* spp., and *Blastomyces* spp. among other fungi, are able to cause mucosal infections [\(37\)](#page-119-19).

 Invasive candidiasis

Invasive candidiasis occurs when any of several *Candida* species reach the blood and organs such as the heart, brain, eyes, and liver, generally due to breach of the integument or impaired immune function [\(38\)](#page-120-0). Several predisposing factors can increase the risk of invasive *Candida* infections and include a severely immunocompromised state, the use of catheters, prolonged stays in intensive care units, severe trauma or invasive clinical procedures such as major gut surgery or liver transplantation, among others [\(6\)](#page-118-6). *Candida* species are the most common invasive fungal pathogens with *C*. *albicans* accounting for more than 50% of all *Candida* infections [\(39\)](#page-120-1) and a mortality rate of \sim 46-75% [\(6,](#page-118-6) [39\)](#page-120-1).

Candida bloodstream infection, or candidemia, is the most common form of invasive candidiasis with an estimated ~400,000 bloodstream infections occurring worldwide per year [\(6\)](#page-118-6). In the United States, *Candida* spp. are ranked the fourth most common cause of nosocomial bloodstream infections in the intensive care unit (ICU) [\(40\)](#page-120-2). Approximately 10,500 to 42,000 total cases of candidemia are estimated to occur annually in the United States [\(4\)](#page-118-4). Moreover, between 2,800 and 11,200 deaths each year may be associated with nosocomial candidemia based on a crude mortality rate of 40% for *Candida* bloodstream infections [\(4\)](#page-118-4). The annual economic burden for this type of infection is estimated to exceed one billion dollars [\(41\)](#page-120-3).

Virulence factors of *Candida albicans*

 During both mucosal and disseminated infection, *C. albicans* uses an array of virulence attributes that include the ability to switch from yeast to hyphae, and secretion of hydrolytic enzymes, among others, that promote host tissue damage [\(42\)](#page-120-4).

Hyphal growth

C. albicans is a polymorphic fungus with the ability to switch reversibly between the ovoid-shaped budding yeast, pseudohyphae, and hyphae [\(43\)](#page-120-5), a process often referred as morphogenesis. In the human host, *C. albicans* can be found as yeast, pseudohyphae or in its invasive filamentous hyphal form [\(30,](#page-119-12) [43,](#page-120-5) [44\)](#page-120-6). The morphological plasticity of *C.*

albicans is considered a virulence determinant [\(45\)](#page-120-7), since the ability to switch between both yeast and hyphae have key roles in pathogenesis. In this regard, it is assumed that the yeast form is better suited for dissemination to bloodstream and tissues due to its oval shape and relatively small size [\(46\)](#page-120-8), while the hyphal form is better adapted for tissue invasion and damage as well as escape from immune cells as hyphae are capable of rupturing host cell membranes [\(45,](#page-120-7) [47,](#page-120-9) [48\)](#page-120-10). *C. albicans* mutants that are unable to form hyphae are not only typically avirulent in mouse models of disseminated or mucosal candidiasis. They also have decreased ability to invade and damage organs such liver and pancreas, have reduced ability to penetrate mucosal surfaces and are also prone to be killed by macrophages in vitro [\(49-52\)](#page-120-11). In addition, strains that are unable to grow in the yeast form also have reduced virulence [\(53,](#page-120-12) [54\)](#page-120-13), further supporting the importance of the ability of *C. albicans* to transition between yeast and hyphal forms. Importantly, since yeast and hyphal cells can both be found in infected organs, with a predominance of one particular form depending on the target organ [\(55\)](#page-121-0), it has been suggested that both have a role in disease progression [\(32\)](#page-119-14).

Hydrolytic enzymes

Several enzymes that are secreted by *C. albicans* have been associated with virulence. Among these, secreted aspartyl proteases (SAPs) and phospholipases are the best characterized.

Secreted aspartyl proteases. Aspartyl proteases are proteinases that have two highly conserved aspartate residues in the active site that are used to hydrolyze peptide bonds in proteins [\(56\)](#page-121-1). The family of secreted aspartyl proteinases (SAP) in *C. albicans* contains at least ten members between 35 and 50 kDa in size [\(56-58\)](#page-121-1), whose primary function is to degrade proteins for nutrient acquisition [\(56\)](#page-121-1). SAPs are synthesized as preproenzymes that are processed in the Golgi and released into the extracellular space via the secretory pathway. They have been linked not only to invasion and damage of host tissues, but also to adherence [\(59\)](#page-121-2); SAPs are able to degrade host membrane proteins in order to facilitate invasion [\(56\)](#page-121-1). This function also seems to confer a protective role against the host's immune system by degrading membrane proteins and proteins secreted by the host as part of the immune response against the fungus [\(56\)](#page-121-1), including lactoferrin, immunoglobulins, complement components and cathepsin D [\(56,](#page-121-1) [59-61\)](#page-121-2). SAP activity can induce an acute inflammatory immune response in the vaginal environment [\(62\)](#page-121-3) and has been shown to lead to activation of the proinflammatory cytokine IL-1β [\(63\)](#page-121-4).

 Although there are multiple SAPs, the requirement of each one for disease development seems to vary between different infection sites. For example, Sap1p-Sap6p appear to be required for virulence in models of disseminated candidiasis [\(64,](#page-121-5) [65\)](#page-121-6), but the requirement of each one of them seems to vary in several in vitro models of mucosal disease [\(66,](#page-121-7) [67\)](#page-121-8). Sap2 has been shown to be required for disease progression in a rat model of vaginal candidiasis [\(68\)](#page-121-9), and to induce inflammatory responses by itself in a mouse model of vaginal candidiasis [\(62\)](#page-121-3). In addition, several studies have shown a positive correlation between the level of SAP activity and virulence of mucosal isolates of *C. albicans* [\(56,](#page-121-1) [69-71\)](#page-122-0). Clinical isolates of *C. albicans* from symptomatic patients

with vaginal candidiasis were shown to have higher SAP activity in vitro than isolates from asymptomatic patients [\(69,](#page-122-0) [70,](#page-122-1) [72\)](#page-122-2), as did clinical isolates from HIV-positive patients with oral candidiasis versus isolates from HIV-negative patients.

Phospholipases. Phospholipases are enzymes that hydrolyze acyl and phosphate esters in phospholipids. In *C. albicans* phospholipases have been associated with penetration and damage of host tissue by degradation of the host's cell membrane phospholipids [\(73\)](#page-122-3). Several types of phospholipases, including phospholipase A_1 , A_2 , C, and D, lysophospholipase, and lysophospholipase-transacylase, have been identified in *C. albicans* [\(74,](#page-122-4) [75\)](#page-122-5). However, phospholipase B1 (Plb1p) is the only phospholipase that has been shown to be required for virulence in vivo. Deletion of *PLB1* led to attenuation of virulence in a mouse model of disseminated [\(73\)](#page-122-3) and intragastric candidiasis [\(76\)](#page-122-6). Moreover, Plb1p has been detected at the hyphal tips during penetration of tissues [\(77\)](#page-122-7).

Current Antifungal Therapies

 A large number of fungal pathogens can cause disease in humans, each of them with different susceptibilities to the antifungal drugs available. Of concern is that current antifungal therapies have led to only modest success in reducing the high mortality rates caused by invasive fungal infections, in part due to drug toxicity, narrow spectrum of activity and drug resistance [\(6\)](#page-118-6). In addition, the difficulties in providing a timely and accurate diagnosis of invasive fungal infections further limits the chances of reducing those mortality rates by delaying the selection of appropriate treatments [\(78\)](#page-122-8).

Ergosterol biosynthesis inhibitors

 A number of enzymes in the ergosterol biosynthetic pathway (**Figure 1-1A**) are targeted by several classes of antifungal drug currently in clinical use; these include the morpholines, allylamines and azoles. The membrane lipid ergosterol (**Figure 1-1B**), the primary fungal sterol, is important to modulate the thickness, fluidity and permeability of cellular membranes [\(79,](#page-122-9) [80\)](#page-122-10). Ergosterol is crucial for cellular processes such as sporulation, pheromone signaling and plasma membrane fusion and also contributes to the correct function of a wide variety of membrane-bound proteins [\(81\)](#page-122-11). The function of a multitude of proteins including those responsible for nutrient uptake requires ergosterol [\(82\)](#page-122-12). In addition, ergosterol is a major component of secretory vesicles in *Saccharomyces cerevisiae*. Loss of ergosterol in the plasma membrane leads to defects that include loss of fluidity and function that in turn inhibits cell growth and cell division [\(83\)](#page-122-13). Genetic or pharmacological inhibition of ergosterol biosynthesis can also impact mitochondrial morphogenesis and function in yeast. Several ergosterol biosynthetic (*erg*) mutants have aberrant mitochondrial morphologies and a number of ergosterol biosynthesis inhibitors are known to induce reactive oxygen species (ROS) and reduce mitochondrial ATPase activity [\(84-86\)](#page-122-14). Moreover, deletion of the genes responsible for early steps in ergosterol biosynthesis in fungi is lethal, underscoring the importance of the synthesis of this lipid [\(87\)](#page-123-0).

Figure 1-1. Ergosterol biosynthesis pathway.

(A) A linear model of the ergosterol biosynthetic pathway is depicted. The enzymes that catalyze each step and the intermediates produced (boldface) are shown. The classes of antifungals that block the ergosterol biosynthetic pathway and their targets are displayed in red. (B) The structures of ergosterol (left) and its functional analog in animal cells cholesterol (right) differ from each other by two double-bonds and a methyl group at C24.

 \mathbf{A} .

Acetyl CoA (2x) Erg10p \downarrow Acetoacetyl-CoA Erg13p \downarrow **HMG-CoA** Hmg_{1p} \downarrow **Mevalonic Acid** Erg12p \downarrow Mevalonate-5-phosphate Erg_{8p} \downarrow Mevalonate-5-pyrophosphate Erg19p \downarrow Isopentenyl-pyrophosphate Erg20p \downarrow Farnesyl-pyrophosphate (2x) $Erg9p \downarrow$ Squalene Erg1p \downarrow \downarrow Allylamines 2,3-Oxidosqualene $Erg7p$

B.

Morpholines

 The morpholine antifungals, which include the topical amorolfine and the agricultural pesticides tridemorph and fenpropimorph, inhibit two enzymes within the late ergosterol biosynthetic pathway, sterol- Δ^7 - Δ^8 -isomerase and sterol- Δ^{14} -reductase, the products of the *ERG2* and *ERG24* genes, respectively (**Figure 1-1A**) [\(88\)](#page-123-1). Growth inhibition by these drugs is believed to be the result of the accumulation of sterol precursors in the fungal cell and/or the depletion of the major fungal sterol ergosterol [\(88\)](#page-123-1). In vitro susceptibility testing indicates that amorolfine has higher efficacy against dermatophytes and dimorphic fungi. However, when tested in animal models of fungal infections, amorolfine only showed activity in animal models of dermatophytosis and vaginal candidiasis [\(89\)](#page-123-2).

Allylamines

 Allylamines inhibit the product of the gene *ERG1*, squalene epoxidase, which catalyzes the epoxidation of squalene to 2,3-oxidosqualene (**Figure 1-1A**) [\(90\)](#page-123-3). The resulting squalene accumulation and ergosterol depletion affect the integrity of the plasma membrane and increases cell permeability, which ultimately leads to growth inhibition and cell death [\(90\)](#page-123-3). This group of drugs includes terbinafine and naftifine. Terbinafine, is routinely used in the treatment of nail infections [\(83\)](#page-122-13).

Azoles

The azoles are the most widely used and important class of antifungal drugs, which inhibit lanosterol-14-demethylase (Erg11p, **Figure 1-1A**), a cytochrome P450 enzyme [\(81\)](#page-122-11). A nitrogen atom in the azole ring binds to the iron atom in the heme group of the active site [\(91\)](#page-123-4). Inhibition of Erg11p leads to the accumulation of 14α-methylated sterol intermediates that are converted by Erg3p to 14α -methylergosta-8,24(28)-dien-3β,6α-diol, which accumulates in the plasma membrane resulting in increased permeability, defective membrane fluidity and ultimately growth inhibition [\(92\)](#page-123-5).

 The azoles can be divided into two groups based on the number of nitrogen atoms in the azole ring. Imidazoles contain two nitrogen atoms while triazoles contain three (**Figure 1-2A** and **B**) [\(5,](#page-118-5) [93\)](#page-123-6). Imidazoles were the first subclass to be developed for antifungal therapy. Several imidazoles such as miconazole (**Figure 1-2B**, left) and clotrimazole have been shown to possess additional mechanisms in addition to Erg11p inhibition that mediate their antifungal activity, including direct membrane damage at high concentration, inducing ROS production, actin cytoskeleton damage and impairment of ATP production. These additional mechanisms may explain why several imidazoles are cidal at high concentrations. Although effective antifungals, the elevated toxicity of imidazoles when administered orally or parentally restrict their use to topical applications. In addition, several of them have poor intestinal absorption, cannot cross the cerebrospinal barrier and cause severe side effects including liver and gastrointestinal complications. These shortcomings led to the development of the triazoles. The first triazole available for clinical use was fluconazole (**Figure 1-2B**, right) in 1990. It is

Figure 1-2. Structure of azole antifungals.

(A) Azole antifungals are classified with regard to the number of nitrogen atoms in the azole rings: imidazoles (left) contain two nitrogen atoms while triazoles (right) contain three nitrogen atoms in the azole ring. (B) The chemical structure of the imidazole drug miconazole (left) and the triazole antifungal fluconazole (right).

highly soluble in water favoring its oral and parenteral use, has good intestinal absorption, can cross the blood-brain barrier and has a broad spectrum of activity against several groups of fungi. Although the triazoles have proven efficacy with good safety profiles, azole resistant fungi have emerged from their continuous use [\(94\)](#page-123-7).

 The third generation of azole antifungals, also called the "second-generation" triazoles, was developed in order to overcome the limitations of the "first-generation" triazoles, which included limited spectrum of activity, acquired resistance and toxicity among others. Examples of third-generation triazoles include voriconazole, ravuconazole and posaconazole, which possess increased potency, wider spectrum of activities, better safety profiles, and they represent new alternatives against invasive fungal infections such as aspergillosis in immunocompromised populations [\(93,](#page-123-6) [95\)](#page-123-8).

Polyenes

 Polyenes antifungals include amphotericin B (AmB), natamycin and nystatin. Polyenes bind directly to plasma membrane ergosterol (**Figure 1-1A** and **B**), with a secondary mechanism that consists of the formation of channels in the plasma membrane that enhances cell killing [\(96-99\)](#page-123-9) due to leakage of ions and loss of the proton gradient [\(100,](#page-123-10) [101\)](#page-123-11). In addition, polyenes are able to cause inhibition of plasma membrane chitin synthase at high concentration [\(102\)](#page-124-0). Polyenes are effective against a multitude of fungal pathogens that include *Candida*, *Aspergillus*, Zygomycetes, *Cryptococcus* and other dimorphic species [\(102\)](#page-124-0). However, polyenes are also able to bind the ergosterol analog cholesterol (**Figure 1-2B**) on human cell membranes, which explains to a certain extent its toxicity [\(103\)](#page-124-1). For instance, AmB is highly toxic when administered systemically, with renal impairment as the main side effect [\(102,](#page-124-0) [104\)](#page-124-2).

Other antifungals

 Another class of antifungals of clinical importance consists of the *echinocandins*. These drugs are non-competitive inhibitors of the enzyme 1,3-β-D glucan synthase, responsible for the synthesis of $β-1,3-D$ -glucan, a polysaccharide important in maintaining the structure and integrity of the fungal cell wall as well as cell growth of fungi [\(105,](#page-124-3) [106\)](#page-124-4). Inhibition of 1,3-β-D glucan synthase leads to the formation of defective cell walls that prevent the fungal cells from maintaining shape and which lack the rigidity required to tolerate osmotic pressure, thus resulting in cell lysis of yeast [\(106\)](#page-124-4) and the formation of aberrant hyphae in molds [\(107\)](#page-124-5).

 This class of drugs includes caspofungin and micafungin, which are used clinically against *Candida* and *Aspergillus*, but have no activity against *Cryptococcus, Trichosporon, Pseudallescheria, Alternaria, Zygomycetes, Fusarium* and other fungi [\(106,](#page-124-4) [108-111\)](#page-124-6). In addition, they are expensive, only available as intravenous formulations, and acquired resistance has been reported [\(112\)](#page-124-7).

Clinical Azole Resistance

The development of resistance to the azoles is a problem of increasing medical significance. The extensive use of fluconazole in the previous two decades has fostered the emergence of several intrinsically resistant *Candida* species such as *C. glabrata* and *C. krusei* [\(113\)](#page-124-8), and the development of acquired resistance in *C. albicans* and other fungal species [\(114-116\)](#page-124-9). In addition, a high level of resistance toward azoles is also well known for *C. inconspicua*, *C. rugosa*, and *C. norvegensis* [\(117\)](#page-124-10). The overall resistance in clinical isolates of *Candida* spp. to fluconazole and voriconazole is ~3-6%, and the level of resistance has remained constant over a decade [\(118\)](#page-124-11). However, a recent report revealed clinical azole resistance in 12.5 - 18.8% of *C. albicans* isolates, 10.2–13.6% of *C. tropicalis* and up to 25% of *C. glabrata*. [\(118\)](#page-124-11). Likewise, triazole resistance in *Aspergillus fumigatus* is increasingly being recognized with up to 6% of clinical isolates were resistant [\(119\)](#page-124-12). Furthermore, concern has been expressed over the possibility of selection for resistance in opportunistic fungi such as *Aspergillus* spp. in the environment as azole fungicides are widely used in agriculture [\(120\)](#page-125-0). Hence the development of environmentally azole resistant fungi may represent a source of cross-resistant isolates in the clinics.

Mechanisms of resistance to azole antifungals in *Candida albicans*

 Microbiological drug resistance can be defined as the reduced susceptibility, or lack thereof, to a particular antifungal during in vitro susceptibility testing observed when the minimum inhibitory concentration (MIC) of such drug surpasses the established susceptibility breakpoint for a fungal species [\(107\)](#page-124-5). On the other hand, clinical resistance can be defined as the inability to eliminate a fungal infection using an antifungal that is known to possess in vitro and in vivo activity against a particular species [\(107\)](#page-124-5).

 Fungal drug resistance can be intrinsic or acquired. The first is an innate property of a fungal species and occurs without previous exposure to the drug, and it is exemplified by fluconazole resistance in *C. krusei* and echinocandin resistance in *Cryptococcus neoformans*. Acquired resistance develops after drug exposure and is exemplified by the development of resistance to azoles in *C. albicans* [\(107\)](#page-124-5).

Several acquired mechanisms of azole resistance have been characterized in *C. albicans* and usually appear in a stepwise manner [\(81\)](#page-122-11). These include overexpression and mutations within the *ERG11* gene and overexpression of efflux pumps, such as Cdr1p and Mdr1p. However, a combination of the above mechanisms is usually necessary to confer a significant reduction in azole susceptibility [\(121\)](#page-125-1), and notably, the resistance of many fungal isolates is not fully accounted for by any of the mechanisms described so far [\(122\)](#page-125-2). Thus, it is imperative to understand the mechanisms of azole resistance and tolerance in order to devise therapeutic strategies to enhance their efficacy, overcome drug resistance and extend their span of clinical use. Additionally, accurate interpretation of azole susceptibility testing of fungal isolates is a central component of patient management. However, this can be complicated by phenomena such as trailing growth

[\(123,](#page-125-3) [124\)](#page-125-4), which is observed in *Candida* spp. in vitro during standard susceptibility testing. Trailing growth occurs when a clinical isolate appears to be sensitive to azole treatment after 24 hours of drug exposure but appear to be resistant at 48 hours [\(123\)](#page-125-3). Yet, the molecular basis as well as the clinical and biological relevance of the trailing growth phenomenon remains unclear.

Azole resistance mechanisms described for *C. albicans* can be grouped into three main categories: decreased intracellular drug accumulation, changes in the target enzyme for the drug, and alteration in sterol biosynthesis.

Decreased intracellular drug accumulation

 The main mechanism contributing to reduction in the accumulation of azole antifungals within the fungal cell is increased drug efflux [\(81\)](#page-122-11) as result of overexpression of efflux pumps that extrude azoles back into the extracellular environment (**Figure 1-3**). Early studies on these mechanisms of azole resistance in clinical isolates of *C. albicans* highlighted the elevated mRNA expression of members of the ATP-binding cassette (ABC) superfamily and major facilitator superfamily (MFS) [\(125\)](#page-125-5). The ABC and MFS transporters are membrane-bound proteins that use the energy generated from ATP hydrolysis or the proton gradient, respectively, to move a wide variety of compounds across membranes, including drugs, sugars, and amino acids, among others [\(126,](#page-125-6) [127\)](#page-125-7). Remarkably, the prevalence of efflux pumps as a mechanism of azole resistance appears to be high; Perea *et al* [\(128\)](#page-125-8) found that 85% of azole resistant isolates evaluated exhibited elevated expression of the genes encoding for efflux pumps.

In *C. albicans*, overexpression of *CDR1* and *CDR2* encoding efflux pumps belonging to the ABC transporter family has been associated with cross-resistance to azoles and amorolfine [\(125,](#page-125-5) [129\)](#page-125-9). Although more *CDR* genes have been identified [\(81,](#page-122-11) [129,](#page-125-9) [130\)](#page-125-10), their role in azole resistance is unclear.

It has been shown that increased *CDR1*, *CDR2* and *MDR1* mRNA levels in clinical isolates are the result of increased transcription of their respective genes [\(130\)](#page-125-10). This is often a consequence of gain of function mutations in the genes encoding the transcription factors Tac1p [\(131\)](#page-125-11) (which regulates *CDR1* and *CDR2*) and Mrr1p [\(132\)](#page-125-12) (which regulates *MDR1*) [\(133\)](#page-126-0), leading to hyperactivity of the transcription factor and the overexpression of the target genes.

Changes in the target enzyme

 The transcription of several genes involved in ergosterol biosynthesis, including *ERG9*, *ERG1*, *ERG7*, *ERG11*, *ERG25* and *ERG3* is upregulated following treatment with azole antifungals in susceptible isolates of *C. albicans*, presumably as a response to depletion of ergosterol and changes in cellular sterol composition [\(81,](#page-122-11) [134\)](#page-126-1). However, increased expression of *ERG11* has been shown to be associated with azole resistance in a number of matched sets of susceptible and resistant clinical isolates (**Figure 1-3**) [\(125,](#page-125-5) [128\)](#page-125-8). Another study showed that 35% of azole resistant isolates were found to have

Figure 1-3. Azole resistance mechanisms in fungi.

(A) Several mechanisms that contribute to azole resistance have been identified in pathogenic fungi. (A) Overexpression of the target enzyme lanosterol demethylase (Erg11p) allows for the replacement of azole-inhibited proteins. (B) Mutations within the *ERG11* gene lead to amino acid susbtitutions in the enzyme ($_{\text{mut}}$ Erg11p) that can reduce affinity for the drug. (C) Overexpression of multidrug transporters such as Cdr1p and Mdr1p result in reduced accumulation of the azoles within the fungal cell. (D) Mutations in genes of the ergosterol biosynthetic pathway, such as *ERG3*, which result in loss of function allows fungi to survive azole exposure by avoiding the production of toxic sterol intermediaries that otherwise accumulate in the plasma membrane.

elevated *ERG11* mRNA levels compared to susceptible isolates [\(128\)](#page-125-8). The transcription factor Upc2p regulates the expression of *ERG11* [\(135,](#page-126-2) [136\)](#page-126-3). Overexpression and gain of function mutations within *UPC2* have been shown to be associated with azole resistance [\(135\)](#page-126-2). Activating mutations in *UPC2* can lead to constitutive overexpression of *ERG11* and hence, contribute to azole resistance [\(137,](#page-126-4) [138\)](#page-126-5).

 In addition, point mutations within *ERG11* leading to amino acid substitutions around the active site of the enzyme have been linked to azole resistance by reducing the affinity of Erg11p for the drug (**Figure 1-3**) [\(139\)](#page-126-6). Events of gene conversion leading to the selection for allelic variants that confer resistance under selective pressure have been reported [\(83,](#page-122-13) [140\)](#page-126-7).

Alterations in the sterol biosynthetic pathway

Azole treatment leads to the accumulation of 14α -methylergosta-8,24(28)-dien-3β,6α-diol, which is believed to be a toxic sterol byproduct of Erg3p activity accompanying Erg11 inhibition and has been directly linked to the antifungal activity of azoles [\(141\)](#page-126-8). However, certain azole resistant clinical isolates accumulate 14αmethylfecosterol following azole treatment instead of the toxic sterol, suggesting loss of Erg3p function [\(142\)](#page-126-9). This alternative sterol allows *C. albicans* to overcome growth inhibition otherwise caused by azoles. Thus, mutations that abolish Erg3p activity are regarded as a mechanism of azole resistance (**Figure 1-3**) [\(142\)](#page-126-9).

Fungal azole tolerance

 The static nature of the azoles allows for fungal cells to persist even at high concentrations of the drugs. Azole tolerance is defined as the persistent growth of a fungal strain at concentrations above the MIC. A well-known example of azole tolerance is the trailing growth phenomenon that is observed during azole susceptibility testing of *C. albicans* isolates. Trailing isolates appear to be susceptible to azole treatment in vitro at 24 hours; however, by the 48 hour time-point, they appear to be resistant to azole treatment [\(143\)](#page-126-10). This phenotype is commonly observed when evaluating susceptibility to triazole drugs such as fluconazole and itraconazole [\(123\)](#page-125-3). However, experiments performed in mouse models of disseminated infection indicate that *C. albicans* trailing isolates are susceptible to azole treatment in vivo [\(143-145\)](#page-126-10).

Several mechanisms have been linked to azole tolerance in fungi. The best studied mechanisms of azole tolerance are related to calcium dependent signaling, mainly through the calcineurin signaling pathway [\(146,](#page-127-0) [147\)](#page-127-1). Calcineurin is a Ca^{2+} and calmodulin dependent serine/threonine protein phosphatase that links Ca^{2+} -dependent signaling to a multitude of cellular processes [\(148\)](#page-127-2). Pharmacological or genetic inhibition of calcineurin signaling sensitizes several pathogenic fungi to azole exposure [\(147,](#page-127-1) [149\)](#page-127-3). For example, combination of fluconazole and cyclosporine A (a cyclophilin inhibitor) or FK506 (a FKBP inhibitor) has synergistic effects in that addition of CsA or FK506 enhance the antifungal efficacy of fluconazole [\(149,](#page-127-3) [150\)](#page-127-4). Moreover, deletion of genes

encoding for upstream and downstream elements of the calcineurin signaling pathway, such as the transcription factor Crz1p [\(151,](#page-127-5) [152\)](#page-127-6) or the chaperone Hsp90p [\(153\)](#page-127-7), increases fungal killing by azole treatment. Furthermore, several proteins involved in maintenance of calcium homeostasis have been shown to be required for the survival of several fungi to azole treatment, as deletion of the genes encoding for such proteins enhance the antifungal effects of the azoles [\(146\)](#page-127-0). These include the plasma membrane calcium channel formed by Cch1p and Mid1p [\(154\)](#page-127-8).

Vacuolar Function in *Candida albicans*

 Within the fungal cell, the vacuole is one of the largest organelles [\(155\)](#page-127-9). While the functions of this acidic membrane-bound compartment have been defined using the model *S. cerevisiae* [\(49,](#page-120-11) [156\)](#page-127-10), the findings have been extrapolated to pathogenic fungi and is used as a model for the mammalian lysosome. These functions include degradation of macromolecules for the recycling of their building blocks, metabolite storage, stress tolerance, ion homeostasis and response to starvation in yeast [\(156\)](#page-127-10).

 An important feature of the vacuole is that many of its functions depend on maintaining an acidic lumen [\(157\)](#page-127-11), a task that depends on the activity of the vacuolar H⁺-ATPase (V-ATPase), an enzyme complex responsible for the establishment of a proton gradient across the vacuolar membrane driven by ATP hydrolysis [\(158\)](#page-127-12). For example, amino acid storage within the vacuole relies on the proton gradient and activity of at least eight H⁺/amino acid exchangers in the vacuolar membrane [\(156\)](#page-127-10). The main amino acids found to be stored in the vacuole through this system are arginine, lysine, histidine, phenylalanine, tryptophan, tyrosine, glutamine, asparagine, isoleucine and leucine [\(156\)](#page-127-10).

The vacuole is also the major site of storage of Ca^{2+} in the fungal cell. Ca^{2+} dependent signaling requires the maintenance of low levels of this ion in the cytosol under resting conditions [\(159\)](#page-127-13). The initiation of Ca^{2+} signals entail its release from intracellular stores and influx from the extracellular media in response to different stimuli, followed by efflux from the cell and storage back into organelles until resting levels are achieved [\(160\)](#page-127-14). Importantly, several Ca^{2+} pumps and channels involved in this process are located on the vacuolar membrane and include the pump Pmc1p, the Ca^{2+}/H^+ exchanger Vcx1p and the channel Yvc1p [\(160,](#page-127-14) [161\)](#page-128-0).

 Furthermore, the vacuole works as a site of storage for polyphosphate. This macromolecular anion serves in amino acid and cation retention. It is also important for storage of inorganic phosphate and pH regulation [\(156\)](#page-127-10). Remarkably, more than 90% of cellular Ca^{2+} is associated with large chains of polyphosphate inside the vacuole [\(161\)](#page-128-0).

The vacuole also functions as a storage of alkali metals such as K^+ and Na⁺ and it is important to maintain a high K^+/Na^+ ratio in the cell [\(159\)](#page-127-13). This process also depends on the proton gradient created by the V-ATPase and the activity of the cation/ H^+ antiporter Vnx1p [\(162\)](#page-128-1). The vacuole is also important for detoxification of metal ions. Accumulation of ions such as Cd^{2+} , Co^{2+} and Cu^{2+} at high concentrations in the

cytoplasm is toxic, but they can be sequestered in the vacuole using a proton gradient dependent mechanism [\(163,](#page-128-2) [164\)](#page-128-3). On the other hand, Fe^{2+} can also be sequestered in the vacuole via a mechanism independent of vacuole acidification [\(165\)](#page-128-4).

 Mutants of *S. cerevisiae* and *C. albicans* with severe vacuolar dysfunction are hyper-susceptible to osmotic stress [\(156\)](#page-127-10). This includes mutants with defects in protein sorting, polyphosphate formation, acidification, vacuole biogenesis, ion regulation, and homeostasis of several amino acids [\(156\)](#page-127-10).

In *C. albicans* and other pathogenic fungi, the vacuole plays a pivotal role in pathogenesis. Vacuolar defects result in several pathogenesis related phenotypes, including susceptibility to a wide variety of stresses and loss of virulence-associated traits [\(49,](#page-120-11) [51,](#page-120-14) [166\)](#page-128-5). Vacuolar expansion has been shown to be important for the migration of cellular material during hyphal formation, while regulation of vacuolar fragmentation and fusion help control cell size and branching [\(167,](#page-128-6) [168\)](#page-128-7). Moreover, *C. albicans* mutants with severe vacuolar defects have reduced rates of planktonic growth [\(49\)](#page-120-11), have reduced capacity to form hyphae and are unable to kill phagocytes, a virulence attribute important during invasion of host tissue and escape from immune cells [\(49,](#page-120-11) [166\)](#page-128-5). In addition, several mutants with altered vacuolar function fail to cause disease in a mouse model of disseminated candidiasis [\(51,](#page-120-14) [166\)](#page-128-5). Mutants severely affected in vacuole biogenesis are not only unable to kill systemically infected mice but are also rapidly cleared from the target organs [\(51\)](#page-120-14).

 Recent evidence points to an important role of ergosterol in vacuolar function. Ergosterol has been shown to be required for endocytic trafficking to the vacuole [\(169\)](#page-128-8) and for the priming step during homotypic vacuole-vacuole fusion in *S*. *cerevisiae* [\(170\)](#page-128-9). In addition, several non-essential *S. cerevisiae* ergosterol biosynthetic mutants have a fragmented vacuole morphology [\(170,](#page-128-9) [171\)](#page-128-10). Furthermore, *C. albicans* mutants lacking Erg2p or Erg24p have impaired vacuolar function and were avirulent in a mouse model of disseminated candidiasis [\(172\)](#page-128-11). Yet, the consequences of treatment with ergosterol biosynthesis inhibitors of clinical importance upon vacuolar function and the contribution of vacuole dysfunction to their antifungal activity remain to be fully understood.

Research Hypothesis

The membrane lipid ergosterol is the most common target of several classes of antifungals. It has been widely assumed that both the depletion of cellular ergosterol and the accumulation of 'toxic' sterol intermediates in the plasma membrane account for the antifungal activity of ergosterol biosynthesis inhibitors [\(92\)](#page-123-5). Nonetheless, the cellular pathways affected by ergosterol depletion remain poorly understood [\(87\)](#page-123-0). Moreover, it remains unknown whether the antifungal activity of the azoles is only due to plasma membrane dysfunction, or if it is compounded by the dysfunction of other intracellular membranes.

 Although the bulk of cellular ergosterol is found in the plasma membrane, other intracellular membrane compartments such as the fungal vacuole also contain ergosterol [\(87,](#page-123-0) [173\)](#page-128-12). Thus it is likely that the function of other *intracellular* membranes is profoundly impacted by ergosterol availability following treatment with ergosterol biosynthesis inhibitors.

 In a high-throughput screen for compounds that target the fungal vacuole, several azole antifungals were identified as causing disruption of this organelle in *C. albicans* (unpublished results). After considering the importance of the vacuole in *C. albicans* biology, I hypothesize that disruption of vacuolar integrity contributes to the antifungal activity of the azoles and, in turn, vacuolar dysfunction can impact the antifungal efficacy of this class of drugs.

Research Objectives

 In order to address the research hypothesis, I have established 4 research objectives:

- To characterize the effects of azole treatment upon the integrity of the *C. albicans* vacuole (Chapter 2).
- To determine if membrane trafficking to the vacuole affects susceptibility to azole antifungals (Chapter 3).
- To determine the mechanisms by which a *C. albicans* mutant lacking the Rab GTPase Vps21p is tolerant to azole antifungals (Chapter 4).
- To study the interactions between endosomal trafficking and known mechanisms of azole resistance (Chapter 5).
CHAPTER 2. THE AZOLE ANTIFUNGALS SEVERELY DISRUPT VACUOLAR INTEGRITY IN *CANDIDA ALBICANS* **THROUGH INHIBITION OF ERG11P***

Introduction

 Several of the most successful antifungal therapies currently used in both the clinical and agriculture settings target the biosynthesis of the membrane lipid, ergosterol. Azoles are the most important class of antifungals; they inhibit ergosterol biosynthesis by preventing the function of lanosterol-14 α -demethylase (Erg11p), which in turn causes plasma membrane damage, presumably as a consequence of both ergosterol depletion and the accumulation of toxic sterol intermediaries. Ergosterol is the major sterol in fungal cell membranes, and plays an essential role in maintaining and regulating plasma membrane integrity, fluidity and permeability. In addition, ergosterol is also required for the normal function of a number of plasma membrane proteins, including several proteins involved in nutrient uptake at the plasma membrane such as the tryptophan permease Tat2p [\(82\)](#page-122-0) and the amino acid permease Gap1 [\(174\)](#page-128-0), the activity of chitin synthase [\(175,](#page-128-1) [176\)](#page-128-2), and the association of beta-1,3-glucanosyltransferase Gas1p and Pma1p with lipid rafts [\(177\)](#page-129-0). Accordingly, ergosterol is required for several biologically important cellular functions including cellular signaling [\(178\)](#page-129-1), sporulation in *S. cerevisiae* [\(179\)](#page-129-2), as well as polarized hyphal growth in *C. albicans* [\(180\)](#page-129-3).

 The bulk of cellular ergosterol is found in the plasma membrane. As such, it is widely assumed that the primary consequence of ergosterol depletion, and the accumulation of sterol-diol following azole treatment is plasma membrane dysfunction. However, other intracellular membrane compartments like the mitochondria, endoplasmic reticulum, trans-Golgi network and the fungal vacuole, are also known to contain smaller amounts of ergosterol [\(87,](#page-123-0) [173\)](#page-128-3). Thus it is likely that the function of other intracellular membranes is profoundly impacted by ergosterol availability following treatment with ergosterol biosynthesis inhibitors. For example, inhibition of ergosterol biosynthesis can severely compromise mitochondrial function [\(181\)](#page-129-4). However, the consequences of azole treatment upon the function and integrity of intracellular membranes and organelles are not well characterized.

A recent high-throughput screen conducted in our laboratory identified several imidazole antifungals as disrupting vacuolar integrity in *C. albicans*. Previous studies have indicated that ergosterol is required for endocytic trafficking from the plasma membrane to the fungal vacuole [\(95\)](#page-123-1), and to support homotypic vacuole-vacuole fusion

^{*} Modified by permission of American Society for Microbiology. Luna-Tapia A, Kerns ME, Eberle KE, Jursic BS, Palmer GE. 2015. Trafficking through the late endosome significantly impacts *Candida albicans* tolerance of the azole antifungals. Antimicrob Agents Chemother 59:2410–2420. doi[:10.1128/AAC.04239-14.](http://dx.doi.org/10.1128/AAC.04239-14)

in an *in vitro* biochemical assay. In addition, ergosterol biosynthetic (*erg*) mutants of *Saccharomyces cerevisiae* exhibit defects in vacuolar fusion and are known to have aberrant vacuole morphologies [\(96,](#page-123-2) [97\)](#page-123-3). Furthermore, it has recently been proposed that ergosterol depletion following azole treatment impairs the function of the V-ATPase [\(79\)](#page-122-1) responsible for vacuolar acidification, which in turn is required for many processes in this organelle. This is of significance as defects in vacuolar function and acidification substantially diminish *C. albicans* capacity to endure physiological stress, produce tissue invasive hyphae, and cause lethal infections in mice [\(49,](#page-120-0) [51,](#page-120-1) [166,](#page-128-4) [182-184\)](#page-129-5). Furthermore, severe defects in vacuolar biogenesis can severely impair *C. albicans* growth rates [\(49\)](#page-120-0). However, it remains unknown whether azole-induced vacuolar disruption contributes to the overall antifungal activity of the azoles, and in turn, how defective vacuolar function may influence susceptibility to the azoles. Thus, the objective of this chapter is to characterize the effects of azole treatment upon the integrity of the *C. albicans* vacuole.

Materials and Methods

Growth and media conditions

 All *C. albicans* strains were routinely grown on yeast extract-peptone-dextrose (YPD) agar plates or in liquid medium at 30°C and supplemented with uridine (50 μg/mL) when necessary. Transformant selection was carried out on minimal YNB medium (6.75 g/L yeast nitrogen base without amino acids, 2% dextrose, 2% Bacto agar), supplemented with the appropriate auxotrophic requirements as described for *S. cerevisiae* [\(185\)](#page-129-6) or uridine at 50 μg/mL. All *C. albicans* strains were stored frozen at - 80°C in 20% glycerol.

For growth curves, overnight cultures in YPD at 30^oC were sub-cultured into 15 mL fresh YNB medium at an initial cell concentration of 1×10^6 cells/mL in the presence of 1 μg/mL fluconazole or 0.5% dimethyl sulfoxide (DMSO - drug free control), and incubated at 30°C with shaking. Samples were taken every 30 minutes and optical density was determined spectroscopically at 600 nm.

Plasmid construction

All oligonucleotides used in this study are listed in **Table 2-1**. Plasmid pKE1:GFP-YPT72 [\(186\)](#page-129-7) for expression of the GFP-Ypt72 fusion protein to label the vacuolar membrane was made by amplifying the coding sequence of the previously described *GFP-YPT72* gene fusion [\(51\)](#page-120-1) with primer set GFPSALIF + YPT72ORFR-MluI, and cloning the product downstream of the *ACT1* promoter, between the *Sal*I and *Mlu*I sites of the pKE1 expression vector [\(187\)](#page-129-8). Plasmid pKE1:CPP-GFP was constructed as follows. The coding sequence of the predicted *C. albicans* Cpy1p prepropetide (codons 1-129) [\(188\)](#page-129-9), was amplified by PCR from SC5314 gDNA using the primers CPPORFF-SalI and CPPORFR-EagI, and cloned between the *Sal*I and *Eag*I restriction

| Primer | Sequence (5^2-3^2) |
|---|--|
| ARG4DETF | ATCAATTAACACAGAGATACC |
| ARG4DETR | CCGAGCTTGGCGTAATCATGG |
| ARG4INTF2 | AAGCTAGTGTGGAAAGAAGAG |
| ARG4INTR2 | AATGACTGAATTATGTCGGTC |
| CPPORFF-Sall | TCAGTCGACATGAAGTTATCAAAATCCAC |
| CPPORFR-EagI | TCAGGGCCGACCACCACCACCTTTAATTC |
| ERG11AMPF2-KpnI | TCAGGTACCAATGAAAATGTCGTGGGGAGC |
| | |
| ERG11DISF | TTTCTTTTCAAGAAGATCATAACTCAATGTTTTCCCAGTC |
| | ACGACGTT |
| | TGTGTTAATCCAACTAAGTAACAAAATGAAAACAATCTG |
| ERG11DISR | AACACTGAATCGAAAGAAAGTTGCCGTTTTATGTGGAAT |
| | TGTGAGCGGATA |
| ERG11F-KpnI-SalI | TCAGGTACCGTCGACGCCAACAGAATTACTACCCAA |
| ERG11ORFF | TCAGTCGACATGGCTATTGTTGAAACTGTC |
| ERG11ORFR | TCAACGCGTTGAATCGAAAGAAAGTTGCCG |
| ERG11R-KpnI-MluI | TCAGGTACCACGCGTTTGGGTAGTAATTCTGTTGGC |
| ERG11SEQR1 | TTTTCATAACATTGGCAACCC |
| | AAATCAATTTTTATATATAAATAGACAAAGAAAGGGAAT |
| ERG11TETOF | TCAATCGTTATTCTTTCCATATTACTTGTCGTAATACGACT |
| | CACTATAGGG |
| | ATCTGTTGTGTAACACTAAGGGACAAAAAAATAATTAATG |
| ERG11TETOR | CCATCAATGACAGTTTCAACAATAGCCATCTAGTTTTCT GAGATAAAGCTG |
| | TCAGAGCTCGACTACGCGAGACCACACTTGC |
| ERG3AMPF-SacI | TCAGAGCTCGTGAAATTACTTACAATATGGAG |
| ERG3AMPR-SacI ERG3DETF | GCTGATGTTTTCCCTAAAGATGG |
| ERG3DETR | GTAAGAGTTACCAAGTCTATCCC |
| | CCCTTCCCATTTCTTTCCCTATTGTGCATATAAGTTCAATC |
| ERG3DISF | TTTTTTTCTTTCTTTCGGATTCGGTTTAGTGTGGAATTGT |
| | GAGCGGATA |
| | GGAAAAATAGTCAATGGTCCAAAACAAAGATGTACCAA |
| ERG3DISR | TCATTGTTCAACATATTCTCTATCGTCAACTTGTTTTCCC |
| | AGTCACGACGTT |
| GFPAMP-MluI | TCAACGCGTTTATTTGTACAATTCATCC |
| GFPEAGF | TCACGGCCGATGTCTAAAGGTGAAGAATTATTC |
| GFPSALIF | TCAGTCGACATGTCTAAAGGTGAAGAATTATTC |
| HIS1DETF | TCATCCTCCAGGATCCCGCGG |
| HIS1DETR | TGTCACCTAAATAGCTTGGCG |
| HIS1INTF2 | ACTGTATCCTCTTCTGTCCCC |
| HIS1INTR2 | CGACCATATGGGAGAGCTCCC |
| | |

Table 2-1. List of oligonucleotides used in the study described in Chapter 2.

Table 2-1. (Continued).

| Primer | Sequence $(5'-3')$ |
|------------------------|---|
| LUXINTDETF | CTGACCTTTAGTCTTTCCTGC |
| LUXINTDETR | CAGTAGTACTTGTTGTTGTATCG |
| TETODETF | GTTGACACTTCTAAATAAGCG |
| URA3DISF1 | ATGACAGTCAACACTAAGACC |
| URA3DISF2 | CCACTAAGGAATTCCTTGAATCAAGAAGAAGGATTTGAT |
| | TGGCT |
| URA3DISR2 | CAAATAAGCATTCCAACCAGC |
| URA3DISR3-SacII | TCACCGCGGCAATATGAGTCTTGATTAAGC |
| URA3INTF | TTAGTGTTACGAATCAATGGC |
| URA3INTF2 | TTATACCATCCAAATCCCGCG |
| URA3INTR | CAATTATAAATGTGAAGGGGG |
| YPT72ORFR-MluI | TCAACGCGTTTTGTTCTCTTTCTTCACCTG |

sites of pKE1 [\(187\)](#page-129-8). A *C. albicans* codon-optimized GFP coding sequence was then amplified by PCR from plasmid pGFPURA3 [\(189\)](#page-129-10) using primers GFPEAGF and GFPAMPR-MluI, and cloned in-frame and downstream of the *CPY1* prepropeptide coding sequence, between *Eag*I and *Mlu*I sites to yield the CPP-GFP fusion construct.

 Plasmid pGUD1 was constructed as follows. A 210 bp portion of the 3' end of the *URA3* ORF was amplified from pGEM-URA3 [\(190\)](#page-129-11) with primer set URA3DISF2 + URA3DISR2, and cloned into the vector pGEM®-T Easy (Promega). A 193 bp portion of the 5' end of the *URA3* ORF was then amplified using the primer set URA3DISF1 and URA3DISR3-SacII. This product was then digested with *Sph*I and *Sac*II and cloned between the same sites of the pGEM®-T Easy vector, adjacent to the 3' end of the *URA3* gene. This produced an *ura3* allele lacking a 390 bp central portion of the ORF.

C. albicans **strain construction**

Strains used in this study are listed in **Table 2-2**. Gene deletion strains were constructed by the PCR-based approach described by Wilson et al., [\(190\)](#page-129-11), using the *ura3∆/∆his1∆/∆arg4∆/∆* strain BWP17 (kindly provided by Dr. Aaron Mitchell, Carnegie Mellon University).

ERG3 deletion cassettes were amplified by PCR with primer pair ERG3DISF + ERG3DISR, using pRS-ARG4*∆*SpeI or pGEM-HIS1 [\(190\)](#page-129-11) as templates. Each *ERG3* allele was sequentially deleted from strain BWP17 using *ARG4* and *HIS1* markers to generate *erg3∆/∆ura3∆/∆* gene deletion mutants. Correct integration of deletion cassettes was confirmed at each step by PCR with the following primer sets: ARG4INTF2 + ERG3AMPR-SacI and ARG4INTR2 + ERG3AMPF-SacI (*ARG4* integration), or HIS1INTF2 + ERG3AMPR-SacI and HIS1INTR2 + ERG3AMPF-SacI (*HIS1* integration). The lack of an intact *ERG3* allele was confirmed using primer pair ERG3DETF and ERG3DETR.

Strain THE1 [\(191\)](#page-129-12) was kindly provided by H. Nakayama and M. Arisawa (Nippon Roche). One allele of *ERG11* was deleted using the recyclable *URA3-dpl200* selection marker, which was amplified from pDDB57 with primer pair $ERG11DISF +$ ERG11DISR. Correct integration was then confirmed by PCR using primer pair URA3INTR + ERG11R-KpnI-MluI. The resulting strain was then made *ura3*⁻ through 5fluoroorotic acid (5'FOA) selection on YNB medium supplemented with uridine and 1 μg/mL 5'FOA [\(192\)](#page-130-0) in order to isolate *ura3-* segregants that had excised the *URA3* marker gene. Excision of the *URA3* gene was confirmed using the flanking primer set ERG11AMPF2 + ERG11R-KpnI-MluI (1307 bp product) [\(186\)](#page-129-7).

 The native 5' UTR of the second *ERG11* allele was then replaced with a *URA3 tetO* promoter cassette which was amplified from plasmid p97CAU [\(191\)](#page-129-12), using ERG11TETOF + ERG11TETOR primers. Correct promoter replacement was confirmed using primer pair TETODETF + ERG11SEQR1 to confirm integration of the *tetO*

| Strain | Relevant Genotype | Reference |
|------------------------------------|---|------------|
| CAI4 | $ura3\Delta/\Delta$ | (193) |
| BWP17 | $ura3\Delta/\Delta$ his $I\Delta/\Delta$ arg4 Δ/Δ | (190) |
| THE ₁ | ura3Δ/Δ ade2Δ/Δ ENO1/Δ:ENO1-tetR-ScHAP4AD-3× HA-ADE2 | (191) |
| $CAI4 + GFP-YPTT72$ | $ura3\Delta/\Delta$: URA3: GFP-YPT72 | This study |
| $CAI4 + CPP-GFP$ | $ura3\Delta/\Delta$: URA3: CPP-GFP | This study |
| erg3 Δ/Δ + GFP-YPT72 | ura3Δ/Δ: URA3: GFP-YPT72 his1Δ/Δ arg4Δ/Δ erg3Δ: HIS1/erg3Δ: ARG4 | This study |
| $erg11\Delta/ERG11 + GFP-YPT72$ | $ura3\Delta/\Delta$: URA3: GFP-YPT72 ade2 Δ/Δ ENO1/ Δ : ENO1-tetR-ScHAP4AD-3× | This study |
| | $HA\text{-}ADE2 \, erg11\Delta \text{:} dpl200/ERG11$ | |
| $erg11\Delta/tetO-ERG11+GFP-YPT72$ | ura3Δ/Δ: URA3: GFP-YPT72 ade2Δ/Δ ENO1/Δ:ENO1:tetR-ScHAP4AD-3× | This study |
| | HA - $ADE2$ erg11 \triangle : $dp1200$ /tetO-ERG11 | |

Table 2-2. List of strains used in the study described in Chapter 2.

promoter upstream of the remaining *ERG11* ORF, and ERG11AMPF2-KpnI + ERG11SEQR1 to confirm the absence of a native *ERG11* allele [\(186\)](#page-129-7).

Next the *erg11∆/tetO-ERG11* strain was transformed with a *URA3* deletion cassette that was amplified from plasmid pGUD1 with primer pair URA3DISF1 $+$ URA3DISR2. This consisted of the *URA3* ORF lacking a 390 bp internal sequence. Transformants were then selected on 5'FOA plates (see above), and correct disruption of the *URA3* ORF confirmed using primer pair URA3DISF1 + URA3DISR2 that flank the internal deletion sequence of *URA3*. The absence of an intact *URA3* ORF (792 bp), and presence of a truncated product (402 bp) confirmed that the *URA3* selection marker had been successfully disrupted [\(186\)](#page-129-7).

Plasmid pKE1:GFP-YPT72 linearized with *Nhe*I was introduced into the *ura3- C. albicans* strain CAI4 [\(193\)](#page-130-2), *erg3*Δ/Δ*ura3*Δ/Δ and *erg11∆/tetO-ERG11ura3*Δ/Δ, and transformants selected on YNB medium lacking uridine. For construction of the CPP-GFP expressing strain of *C. albicans*, the pKE1:CPP-GFP expression plasmid was linearized with *Nhe*I, transformed into strain CAI4, and *URA3+* transformants selected. Correct integration of either expression construct fully restores the *URA3* and adjacent *IRO1* loci, and this was confirmed by PCR analysis using primer pair LUXINTDETF + LUXINTDETR which yields a 2199 bp product following restoration of the *ura3* locus.

Fluorescence microscopy to determine vacuole morphology construction

 Vacuole morphology of *C. albicans* strains was determined using a GFP-Ypt72p or CPP-GFP fusion protein expressed from pKE1. Cells were observed with an Olympus BX51 fluorescence microscope with a 100X objective and a fluorescein isothiocyanate (FITC) filter set to detect GFP fluorescence. For each field matching phase contrast and FITC fluorescence images were acquired using a QImaging MicroPublisher 3 RTV camera (QImaging) and the CellSens® Digital Imaging Software (Olympus). Adjustments in the brightness and/or contrast of images were made using the CellSens® Digital Imaging software. All images presented for a given time point/condition of each experiment were scaled identically to permit direct comparison. In some instances, imaging was performed using a Cytation 5™ Cell Imaging Multi-Mode Reader (BioTek).

Results

Azole antifungals cause severe vacuolar disruption in *C. albicans*

 During a high-throughput screen, we recently identified a variety of imidazole antifungals, including miconazole and ketoconazole, as causing disruption of *C. albicans* vacuolar integrity (unpublished results). This was further confirmed by fluorescence microscopy using a strain expressing the GFP-tagged GTPase Ypt72p which localizes to the surface of *C. albicans* vacuole [\(51\)](#page-120-1). As shown in **Figure 2-1A**, treatment with

Figure 2-1. Azole antifungals cause severe disruption of the *C. albicans* **vacuole.** A *C. albicans* strain expressing GFP-Ypt72p was treated with (A) 1.5 μg/mL of miconazole (MCZ), (B) 1 μg/mL of fluconazole (FLU) or 0.5% DMSO in YNB and grown at 30°C for 4 and 6 hours, respectively. Imaging of vacuolar integrity was performed by fluorescence microscopy using a FITC filter set to detect GFP fluorescence. PC: phase contrast.

miconazole caused severe disruption of vacuolar integrity, further confirming the results obtained in the chemical screen.

 Several imidazoles have been proposed to possess secondary antifungal mechanisms that account for their fungicidal activity at high concentrations, which are independent of their inhibition of ergosterol biosynthesis [\(85,](#page-122-2) [86,](#page-123-4) [194,](#page-130-3) [195\)](#page-130-4); however, these mechanisms have not been described for the triazoles. We therefore tested if the fungistatic triazole fluconazole, caused similar perturbation of the *C. albicans* vacuole. Using the *C. albicans* strain expressing GFP-Ypt72p fusion protein, we determined that treatment with fluconazole caused similar disruption of vacuolar integrity as did miconazole (**Figure 2-1B**). A similar observation was made when the vacuoles were prelabeled with the lipophilic dye FM4-64, which is endocytosed and accumulates within the vacuolar membrane (**Figure 2-2**). This indicates that both imidazole and triazoles share a common mechanism leading to *C. albicans* vacuole disruption, presumably Erg11p inhibition.

Vacuole disruption caused by fluconazole treatment occurs before growth inhibition is observed

 In order to determine the sequence of events leading to vacuole disruption following azole treatment, we performed a time-course experiment. The *C. albicans* GFP-Ypt72p tagged strain was sub-cultured into YNB medium ± 1 μg/mL fluconazole, and growth, cell viability as well as vacuolar morphology compared at 30 minute intervals. When growth was measured as OD_{600nm} , statistically significant growth inhibition was initially observed in the presence of fluconazole at the 420 minutes time point (**Figure 2-3A**). However, when cell viability was compared in the same cultures as colony forming units (CFU), fluconazole was found to significantly reduce CFU's vs. the drug free control at the 330 minute time point (**Figure 2-3C**). In the presence of fluconazole, significant vacuolar fragmentation was initially observed at the 240 minute time point and is pronounced by 270 minutes (**Figure. 2-3B**). This precedes the reduction in CFU and the effect on OD_{600nm} , suggesting that vacuolar degeneration is an early event following azole treatment and not merely a secondary consequence of a prolonged growth arrest. Similar observations were also made using a *C. albicans* strain expressing a GFP tagged version of Carboxypeptidase Y (**Figure 2-4**), which labels the vacuolar lumen.

Disruption of vacuolar integrity occurs upon inhibition of Erg11p

In order to confirm that the observed vacuolar defects were a direct consequence of Erg11p inhibition, rather than an 'off-target' effect of the azoles, we constructed a strain in which the transcription of the *ERG11* gene could be shut-down using a doxycycline repressible promoter. In the presence of doxycycline, the *tetO*-*ERG11* strain showed similar degeneration of GFP-Ypt72p labeled compartments to those observed following fluconazole treatment (**Figure 2-3D**). This confirmed that the vacuolar defects

Figure 2-2. The integrity of the *C. albicans* **vacuole is severely compromised after azole treatment.**

The vacuole of a *C. albicans* strain expressing the GFP-Ypt72p fusion protein was labeled with FM4-64 in YPD medium for 30 minutes. Cells were then sub-cultured into fresh YNB medium \pm 4 μg/mL of fluconazole (FLU) at 1 x 10⁶ cells/mL and grown at 30°C for 4 hours. Imaging of vacuolar integrity was performed by fluorescence microscopy using a FITC filter set to detect GFP fluorescence or TRITC for FM4-64 detection.

Figure 2-3. Inhibition of Erg11p causes vacuolar fragmentation in *C. albicans***.** (A, B and C) A *C. albicans* strain expressing the GFP-Ypt72p fusion protein was subcultured into YNB broth supplemented with either 1 μg/mL fluconazole or 0.5% DMSO (minus drug control) at \sim 1 x 10⁶ cells/mL and incubated at 30°C with shaking. Samples were taken at 30 minutes intervals and growth measured as $OD_{600nm}(A)$ and cell viability as colony forming units (CFU). Vacuolar integrity was also observed by fluorescence microscopy using a FITC filter set (C) and matching phase contrast (PC) images also obtained. Data in panels (A) and (B) are the mean and standard deviation of two independent experiments, and the images in panel (C) are representative of each time point in the same two experiments. Growth and CFU's were compared ± fluconazole for each time point using a two tailed *t*-test. * *P* < 0.05; § *P* < 0.001. (D) The *C. albicans ERG11* gene was placed under the transcriptional control of a doxycycline repressible promoter and the GFP-Ypt72p expression construct introduced into the *tetO*-*ERG11* strain. The *tetO-ERG11* strain was then sub-cultured into YNB medium \pm 5 μ g/mL of doxycycline (Dox) at 1 x 10^5 cells/mL and incubated at 30°C with shaking. After 8 hours vacuole morphology was observed as described above.

Figure 2-4. Azole treatment severely disrupts the integrity of *C. albicans* **vacuole.**

A fusion protein consisting of the first 129 amino acids of Carboxypeptidase Y (Cpy1p) fused to GFP was expressed in *C. albicans* under the *ACT1* promoter, in order to fluorescently label the vacuolar lumen. YNB broth $\pm 1 \mu$ g/mL of fluconazole (FLU) was inoculated at 1×10^6 cells/mL. Samples were taken hourly and vacuolar integrity was observed by fluorescence microscopy using a FITC filter set.

induced by azole treatment are a direct consequence of Erg11p inhibition, and thus presumably result from depletion of cellular ergosterol.

Erg3p modulates the severity of vacuole disruption in azole treated *C. albicans*

Ergosterol depletion together with accumulation of the toxic sterol 14α methylergosta-8,24(28)-dien-3β,6α-diol in the plasma membrane following azole treatment are thought to result in loss of its integrity and ultimately growth arrest [\(141\)](#page-126-0). The production of 14α-methylergosta-8,24(28)-dien-3β,6α-diol depends upon Erg3p (C-5 sterol desaturase) activity since loss of Erg3p function results in the accumulation of its precursor 14α-methylfecosterol in the plasma membrane, which allows continued growth following azole treatment [\(81,](#page-122-3) [141\)](#page-126-0). Whether production of toxic sterols mediated by Erg3p upon azole exposure contributes to loss of integrity of intracellular membranes such as the fungal vacuole is unknown.

 In order to test whether Erg3p function impacts vacuole integrity after azole exposure, we constructed an *erg3*Δ/Δ mutant expressing the fusion protein GFP-Ypt72p and determined its vacuole morphology by fluorescence microscopy. As shown in **Figure 2-5**, the *erg3*Δ/Δ mutant exhibited a rather normal vacuole morphology in the absence of fluconazole. While treatment with 2 μg/mL of fluconazole caused severe loss of vacuole integrity in the WT control strain as previously shown, the severity of vacuole disruption in the *erg3*Δ/Δ mutant was reduced under similar conditions (**Figure 2-5**). This suggests that an active Erg3p, and thus presumably the toxic sterols that it synthesizes following azole treatment, exacerbates the severity of vacuole disruption.

Discussion

 Azoles inhibition of fungal growth is often assumed to be a consequence of plasma membrane dysfunction caused by both depletion of cellular ergosterol, and the accumulation of 'toxic' sterol intermediates [\(196\)](#page-130-5). Here, we established that inhibition of lanosterol demethylase (Erg11p) with azoles or through transcriptional repression led to significant perturbation of vacuolar integrity in the fungal pathogen *C. albicans*. Moreover, vacuolar degeneration was an early consequence of azole treatment. We have previously demonstrated that *C. albicans* mutants with severe defects in vacuolar biogenesis, have impaired growth, stress tolerance, polarized hyphal growth and pathogenicity [\(49-51,](#page-120-0) [166\)](#page-128-4). Thus it is possible that vacuolar dysfunction may make a significant contribution to the overall antifungal activity of the azoles. Previous studies have also noted that several non-essential *Saccharomyces cerevisiae* ergosterol biosynthetic mutants have a fragmented vacuole morphology, and ergosterol is required for homotypic vacuole-vacuole fusion [\(170,](#page-128-5) [171\)](#page-128-6). We have shown that mutants of *C. albicans* lacking Erg2p or Erg24p, the targets of the morpholine antifungals, have distinct degrees of degeneration of vacuolar integrity [\(172\)](#page-128-7), which is worsened when both targets are pharmacologically repressed with ergosterol biosynthesis inhibitors such as amorolfine and fenpropimorph. Like the *C. albicans erg2*Δ/Δ and *erg24*Δ/Δ mutants, the

Figure 2-5. Loss of Erg3p reduces the impact of azole treatment upon vacuolar integrity in *C. albicans***.**

C. albicans WT (CAI4) and *erg3*Δ/Δ mutant strains expressing the GFP-Ypt72p fusion protein were treated with 2 μg/mL of fluconazole or 0.5% DMSO for 6 hours in YNB medium. Vacuole morphology was determined with a CytationTM 5 Cell Imaging Multi-Mode Reader using a GFP filter cube. PC: phase contrast.

*erg3*Δ/Δ mutant is expected to have no ergosterol. However, the *erg3*Δ/Δ mutant has a lesser degree of vacuolar degeneration than the former mutants. In addition, the *C. albicans erg3*Δ/Δ mutant has a lesser degree of deterioration of vacuolar integrity when treated with azole antifungals than a WT strain. This indicates that the degree of vacuolar degeneration does not depend only on the absence of ergosterol, but rather depends on the specific steps blocked along the ergosterol biosynthetic pathway. This may be explained by differences in the physical or chemical properties of the specific sterol intermediates that accumulate following blockage of a particular step. Different sterol species have been shown biochemically to confer different structural properties upon membranes [\(197\)](#page-130-6), and thus the accumulation of a particular sterol may alter the structure of a cellular membrane.

Recent studies by Rao and colleagues have also suggested that ergosterol is required for the activity of the V-ATPase responsible for vacuolar acidification, which in turn is required for normal vacuolar membrane fusion [\(198,](#page-130-7) [199\)](#page-130-8). However, it is likely that ergosterol depletion in itself affects the structural integrity of the vacuole, and potentially the activity of a multitude of other vacuolar membrane proteins. Thus the precise interdependence between ergosterol availability, V-ATPase activity, vacuolar integrity and the antifungal efficacy of the azoles, remains to be elucidated.

CHAPTER 3. VACUOLAR TRAFFICKING THROUGH THE LATE ENDOSOME/PREVACUOLAR COMPARTMENT SIGNIFICANTLY IMPACTS AZOLE SUSCEPTIBILITY OF *CANDIDA ALBICANS******

Introduction

 Recent evidence points to a significant role of ergosterol in the function of the fungal vacuole. For example, ergosterol is required for endocytosis [\(169\)](#page-128-8) and homotypic vacuole fusion [\(170,](#page-128-5) [171\)](#page-128-6), and has been suggested to be required for the activity of the V-ATPase responsible for vacuolar acidification [\(79\)](#page-122-1). In Chapter 2 we showed that Erg11p inhibition following exposure to azole antifungals drastically impacts the integrity of the *C. albicans* vacuole and this is dependent upon Erg11p inhibition. Moreover, we determined that severe fragmentation of the vacuole can be observed as an early event following azole treatment, occurring before significant growth inhibition is observed. Thus it is likely that the vacuolar defects observed upon azole treatment contribute to the antifungal activity of the azoles, as vacuolar dysfunction renders *C. albicans* more susceptible to a wide variety of stresses, can impair growth and hyphae development, and reduces its pathogenic capacity in vivo [\(49,](#page-120-0) [51,](#page-120-1) [166\)](#page-128-4). Nevertheless, whether vacuolar dysfunction can impact the antifungal activity of the azoles is unknown.

 Normal membrane trafficking to the fungal vacuole is required for *C. albicans* to respond to a multitude of stressors, hyphal growth, biofilm formation and its ability to cause disease. Many mutants with impaired vacuolar membrane trafficking are deficient in one or more of the aforesaid biological processes [\(50,](#page-120-2) [51,](#page-120-1) [187,](#page-129-8) [200\)](#page-130-9). Several membrane trafficking pathways that deliver material to the vacuole have been characterized in the non-pathogenic model yeast *S. cerevisiae* (**Figure 3-1**), all of which make significant contributions to normal vacuolar function [\(201\)](#page-130-10). The alkaline phosphatase (ALP) trafficking pathway delivers its cargo directly from the Golgi to the vacuole (**Figure 3-1**). Membrane trafficking through the ALP pathway requires the AP3 adaptor complex which consists of 4 proteins namely Apl6p, Apl5p, Apm3p and Aps3p [\(201\)](#page-130-10).

 The so called carboxypeptidase Y (CPY) trafficking pathway transports cargo from the Golgi to the vacuole, via an intermediate trafficking step at the late endosome/pre-vacuolar compartment (PVC, **Figure 3-1**). Targeting and fusion of Golgiderived vesicles with the PVC require the t-SNARE [Soluble N-ethylmaleimide-sensitive factor (NSF) attachment protein receptor] Pep12p/Vps6p in the target membrane [\(202\)](#page-130-11), the v-SNARE protein Vti1p on the transport vesicle [\(203\)](#page-130-12), the SM (Sec1/Munc18p-like)

^{*} Modified by permission of American Society for Microbiology. Luna-Tapia A, Kerns ME, Eberle KE, Jursic BS, Palmer GE. 2015. Trafficking through the late endosome significantly impacts *Candida albicans* tolerance of the azole antifungals. Antimicrob Agents Chemother 59:2410–2420. doi[:10.1128/AAC.04239-14.](http://dx.doi.org/10.1128/AAC.04239-14)

Figure 3-1. Membrane trafficking pathways to the vacuole of *C. albicans* **analyzed in this study.**

The alkaline phosphatase pathway (ALP) delivers material from the Golgi apparatus to the vacuole and is dependent upon Aps3p. Both the carboxypeptidase Y (CPY) and the endocytic pathways carry material to the vacuole from the Golgi apparatus or the plasma membrane, respectively, via the late endosome/prevacuolar compartment; both are dependent upon Vps21p. The autophagocytic pathway transports material from the cytoplasm to the vacuole and is dependent upon Atg9p. Additionally, the role of Ypt72p (the *C. albicans* homolog of yeast's Ypt7p) which controls membrane fusion events at the vacuole itself, was analyzed in this study. Mutants blocked in ALP trafficking (*aps3*Δ/Δ), endosomal trafficking (*vps21*Δ/Δ), autophagy (*atg9*Δ/Δ) or membrane fusion at the vacuolar membrane (*ypt72*Δ/Δ) were examined.

protein Vps41p, the small Rab GTPase Vps21p [\(204\)](#page-130-13), the Rab exchange factor Vps9p [\(205\)](#page-130-14), the Rab effector Vac1p/Vps19p/Pep7p [\(206\)](#page-130-15), and membrane tethering factors of the class C Vps complex formed by Vps11p/Pep5p, Vps18p/Pep3p, Vps16p, and Vps33p [\(201\)](#page-130-10). Once at the PVC, proteins destined for the vacuole are sorted, packed into membrane vesicles for transport to and fusion with the vacuolar membrane, or for retrograde transport back to the Golgi [\(201\)](#page-130-10).

 The endocytic trafficking pathway carries out the internalization of extracellular material and plasma membrane components for recycling or degradation in the fungal vacuole via endocytosis. Similar to the CPY pathway, the endocytic pathway converges at the PVC [\(201\)](#page-130-10). At this stage, the fusion of vesicles arriving from the plasma membrane with the PVC requires the same components regulating membrane fusion of vesicles transported through the CPY trafficking pathway, including the Rab GTPase Vps21p [\(207\)](#page-131-0).

 During autophagy, bulk or selected material from the cytoplasm is sequestered into double-membrane compartments called autophagosomes that are delivered to the vacuole for degradation (**Figure 3-1**). Autophagy is induced in response to multiple stresses including nutrient starvation [\(208\)](#page-131-1), or certain developmental events [\(209\)](#page-131-2). Among the various proteins involved in autophagocytic trafficking, Atg9p is strictly required for autophagosome formation [\(210\)](#page-131-3).

 The last trafficking step of the ALP, CPY and autophagocytic pathways is characterized by fusion of transport vesicles with the vacuole (**Figure 3-1**), a process controlled by the Rab GTPase Ypt7p in yeast, together with other components that include the SNARE complex formed by Vam3p, Vti1p, Vps43p/Vam7p and Ykt6p, and the Class C complex [\(203,](#page-130-12) [211,](#page-131-4) [212\)](#page-131-5).

 The objective of the studies described in this chapter was to determine if vacuolar dysfunction, in the context of membrane trafficking to the vacuole, can impact azole susceptibility in *C. albicans*.

Materials and Methods

Growth and media conditions

C. albicans was routinely grown on yeast extract-peptone-dextrose (YPD) at 30°C, supplemented with 50 μg/mL uridine when necessary. Transformant selection was carried out on minimal YNB medium $(6.75 \text{ g/L} \text{ yeast nitrogen base without amino acids},$ 2% dextrose, 2% Bacto agar), supplemented with the appropriate auxotrophic requirements as described for *S. cerevisiae* [\(185\)](#page-129-6) or 50 μg/mL uridine.

Plasmid construction

Plasmid pLUX [\(213\)](#page-131-6), was kindly provided by Dr. William Fonzi (Georgetown University). Plasmids pLUXVPS21 and pKE1 have been previously described [\(51,](#page-120-1) [187\)](#page-129-8). Plasmid pGUD1 was constructed as described in Chapter 2. All oligonucleotides used in this study are listed in **Table 3-1**.

C. albicans **strain construction**

Strains used in this study are listed in **Table 3-2**. The *vps21∆/∆*, *ypt72∆/∆*, *atg9∆/∆*, *aps3∆/∆*, *ypt52∆/∆*, *ypt53∆/∆*, *vps21∆/∆ypt52∆/∆*, *vps21∆/∆ypt53∆/∆*, *ypt52∆/∆ypt53∆/∆* and *vps21∆/∆ypt52∆/∆ypt53∆/YPT53T27N* mutants were constructed in previous studies [\(50,](#page-120-2) [51,](#page-120-1) [187,](#page-129-8) [214\)](#page-131-7). The *C. albicans pep12∆/∆* mutant and isogenic control strains [\(200\)](#page-130-9), were kindly provided by Dr. Samuel A. Lee (University of New Mexico). The azole susceptible clinical isolate TW1 [isolate 1 from reference [\(125\)](#page-125-0)] and the matched azole resistant isolate TW17 [isolate 17 from reference [\(125\)](#page-125-0)] were kindly provided by Dr. Theodore C. White (University of Missouri-Kansas City). Control strain YJB6284 [\(215\)](#page-131-8) was kindly provided by Dr. Judith Berman (University of Minnesota) and SC5314 has been previously described [\(216\)](#page-131-9).

C. albicans was transformed with DNA constructs using the lithium acetate procedure [\(217\)](#page-131-10). Gene deletion strains were constructed by the PCR-based approach described by Wilson et al., [\(190\)](#page-129-11), using the *ura3∆/∆his1∆/∆arg4∆/∆* strain BWP17 (kindly provided by Dr. Aaron Mitchell, Carnegie Mellon University). The doxycycline repressible *tetO-ERG11* strains were made using the system described by Nakayama and colleagues [\(191\)](#page-129-12) as described in Chapter 2.

Antifungal susceptibility testing

Antifungal susceptibility testing of all the strains included in this study was performed using the broth micro-dilution method described in the CLSI document M27- A3 [\(218\)](#page-131-11) in a 96-well plate format. All drugs for susceptibility testing used in this study were diluted in DMSO in 2-fold dilutions at 200 times the final concentration. Fluconazole (Sigma-Aldrich) concentrations tested ranged from 64 μg/mL to 0.0313 μg/mL, Miconazole (Sigma-Aldrich) from 50 μg/mL to 0.024 μg/mL, Ketoconazole (Sigma-Aldrich) from 32 μ g/mL to 0.015 μ g/mL, Itraconazole (Sigma-Aldrich) from 16 μg/mL to 0.007 μg/mL and Amphotericin B (LKT Laboratories, Inc.) from 16 μg/mL to 0.001 μg/mL. RPMI 1640 medium (Sigma-Aldrich) was prepared according to the CLSI document. The medium was buffered with 0.165 M morpholinepropanesulfonic acid (MOPS) and pH adjusted using NaOH and HCl. Cell inoculum was $\sim 1 \times 10^3$ cells per well. Plates were incubated without shaking at 35°C for 24 or 48 hours unless otherwise stated. The content of each well was carefully resuspended by pipetting up and down before OD_{600nm} was measured using a Biotek Synergy Mx plate reader.

| Primer | Sequence (5^2-3^2) |
|-------------------|---|
| | ERG11AMPF2-KpnI TCAGGTACCAATGAAAATGTCGTGGGGAGC |
| | |
| ERG11DISF | TTTCTTTTCAAGAAGATCATAACTCAATGTTTTCCCAGTC |
| | ACGACGTT |
| | TGTGTTAATCCAACTAAGTAACAAAATGAAAACAATCTG |
| ERG11DISR | AACACTGAATCGAAAGAAAGTTGCCGTTTTATGTGGAAT |
| | TGTGAGCGGATA |
| ERG11R-KpnI-MluI | TCAGGTACCACGCGTTTGGGTAGTAATTCTGTTGGC |
| ERG11SEQR1 | TTTTCATAACATTGGCAACCC |
| | AAATCAATTTTTATATATAAATAGACAAAGAAAGGGAAT |
| ERG11TETOF | TCAATCGTTATTCTTTCCATATTACTTGTCGTAATACGACT |
| | CACTATAGGG |
| | ATCTGTTGTGTAACACTAAGGGACAAAAAAATAATTAATG |
| ERG11TETOR | CCATCAATGACAGTTTCAACAATAGCCATCTAGTTTTCT |
| | GAGATAAAGCTG |
| LUXINTDETF | CTGACCTTTAGTCTTTCCTGC |
| LUXINTDETR | CAGTAGTACTTGTTGTTGTATCG |
| TETODETF | GTTGACACTTCTAAATAAGCG |
| URA3DISF1 | ATGACAGTCAACACTAAGACC |
| URA3DISR2 | CAAATAAGCATTCCAACCAGC |
| URA3INTF2 | TTATACCATCCAAATCCCGCG |
| URA3INTR | CAATTATAAATGTGAAGGGGG |
| VPS21AMPR | TCATCAGGATCCGTAAAATTGCACGACTGTGCC |
| VPS21DETF | GAGATATAACGATTGCATTGG |
| VPS21DETF | GCAACATGTGCTTCTTGGAGC |
| | CCATCATTATTTCTACTATTTGTATTGCATTGTCGCATT |
| VPS21DISF | CAGGATCAAAGAGCCAAAATACATACTAGCATGTGGA |
| | ATTGTGAGCGGATA |
| | ACCATGGAGATCTGTACACACTTGCCTATTTGTATATTA |
| VPS21DISR | CCTTAACTTCAGTCTCCTTGTTTATTTATATTTCCCAGT CACGACGTT |
| | AATACTAGTAGTATCACCATC |
| VPS21SEOF | |

Table 3-1. List of oligonucleotides used in the study described in Chapter 3.

Table 3-2. List of strains used in the study described in Chapter 3.

Table 3-2. (Continued).

Quantification of cellular ergosterol

 50 mL YNB broth plus either 4 μg/mL fluconazole or 0.5% DMSO was inoculated with 2 x 10^6 *C. albicans* cells/mL and grown at 35 $^{\circ}$ C for 24 hours with shaking at 200 rpm. Total cellular sterols were then extracted using n-heptane as described by Arthington-Skaggs *et al*. [\(219\)](#page-131-14). n-heptane from the organic phase was evaporated under reduced pressure (0.03 mm Hg) at -20 $^{\circ}$ C. White powdery residue was dissolved in 0.5 mL CDCl3 (99.8% D contains 1% v/v TMS) and it was subject to proton NMR (Nuclear magnetic resonance) spectroscopy analysis. NMR was recorded on Varian 500 MHz INOVA instrument. The amounts of the ergosterol in these samples were estimated by comparison with the NMR integrals of methyl C-18 group at 0.562 ppm to an internal TMS standard. The amount of ergosterol in these samples was calculated by standardizing these integrals with the C-18 methyl integral of a standard ergosterol sample (1 mg/mL; Sigma-Aldrich) that was prepared and the NMR was recorded by an identical procedure.

Results

Vps21p mediated trafficking through the pre-vacuolar compartment influences fungal growth in the presence of azoles

In the previous chapter we revealed that treatment with azole antifungals cause severe disruption of *C. albicans* vacuolar integrity. To gain further insight into the interaction between the antifungal activity of the azoles and vacuolar integrity, we tested the susceptibility of *C. albicans* mutants blocked in four distinct vacuolar trafficking steps (**Figure 3-1**), using the CLSI (Clinical and Laboratory Standards Institute) standard protocol for antifungal susceptibility testing [\(218\)](#page-131-11). The susceptibility of mutants blocked in either autophagy (*atg9∆/∆*) [\(214\)](#page-131-7), or in a direct Golgi-to-vacuole trafficking pathway (*aps3∆/∆*) [\(50\)](#page-120-2), to miconazole (**Figure 3-2A** and **2B**) and fluconazole (**Figure 3-3A** and **3B**) is not significantly different from their isogenic control strains. However, a *vps21∆/∆* mutant, lacking a Rab GTPase that regulates membrane fusion and trafficking through the pre-vacuolar compartment (PVC), apparently has significantly reduced susceptibility to miconazole (**Figure 3-2C**), fluconazole (**Figure 3-3C**), itraconazole (**Figure 3-4A**) and ketoconazole (**Figure 3-4B**) as judged by the enhanced growth of the mutant versus the control strains in the presence of any of these azole antifungals. Interestingly, a *ypt72∆/∆* mutant lacking a Rab GTPase (the homolog of Ypt7p of *S. cerevisiae*) that localizes to the vacuole itself also showed slightly elevated growth in the presence of both fluconazole and miconazole (**Figure 3-3D** and **Figure 3-2D**, respectively), but the difference was less dramatic than for the *vps21*Δ/Δ mutant. This suggests that trafficking through the PVC is more important with respect to determining azole sensitivity, than trafficking to the vacuole per se. The susceptibility of the *vps21∆/∆* mutant to Amphotericin B, which acts by directly binding ergosterol, is not significantly different from the isogenic control strain (**Figure 3-4C**). Furthermore, the *vps21∆/∆* mutant has an *increased* susceptibility to the allylamine antifungal terbinafine (**Figure 3-5A**), which

Figure 3-2. Endosomal trafficking influences the growth of *C. albicans* **in the presence of miconazole.**

The susceptibility of several *C. albicans* vacuolar trafficking mutants to miconazole was compared to 'wild-type' (YJB6284) and 'reconstituted' control strains using the standard CLSI broth microdilution protocol. After 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. (A) An *atg9∆/∆* mutant blocked in autophagy. (B) An *aps3∆/∆* mutant blocked in a direct Golgi-to-vacuole trafficking pathway. (C) A *vps21∆/∆* mutant blocked in membrane fusion and trafficking through the PVC. (D) An *ypt72∆/∆* mutant blocked in membrane fusion at the vacuolar membrane. The mean and standard deviation of two independent experiments are presented in each panel.

Figure 3-3. Endosomal trafficking defects alter *C. albicans* **growth in the presence of fluconazole.**

The susceptibility of several *C. albicans* vacuolar trafficking mutants to fluconazole was compared to 'wild-type' (YJB6284) and 'reconstituted' control strains using the standard CLSI broth microdilution protocol. After 48 hours incubation, growth was measured as OD600nm and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. (A) An *atg9∆/∆* mutant blocked in autophagy. (B) An *aps3∆/∆* mutant blocked in a direct Golgi-to-vacuole trafficking pathway. (C) A *vps21∆/∆* mutant blocked in membrane fusion and trafficking through the PVC. (D) A *ypt72∆/∆* mutant blocked in membrane fusion at the vacuolar membrane. The mean and standard deviation of three independent experiments are presented in each panel. The growth of each mutant was compared to that of the 'wild-type' control at each drug concentration using a two-tailed *t*-test. * *P* < 0.05.

Figure 3-4. The Rab GTPase Vps21p impacts *C. albicans* **growth in the presence of the triazole itraconazole and the imidazole ketoconazole.**

The *C. albicans vps21*Δ/Δ mutant and isogenic control strains were tested for their susceptibility to (A) itraconazole, (B) ketoconazole, and (C) the polyene amphotericin B using the standard CLSI broth microdilution protocol. After 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. The mean and standard deviation of two independent experiments are presented in each panel.

Figure 3-5. Endosomal trafficking impacts *C. albicans* **susceptibility to other ergosterol biosynthesis inhibitors.**

The susceptibility of the *C. albicans vps21*Δ/Δ mutant to the allylamine terbinafine (A) and the morpholine amorolfine (B) was also determined as described above, except that growth was measured after 24 hours incubation. The mean and standard deviation of three independent experiments are presented in each panel. The growth of each mutant was compared to that of the 'wild-type' control at each drug concentration using a twotailed *t*-test. * *P* < 0.05.

inhibits an earlier step of the ergosterol biosynthetic pathway, and to amorolfine (**Figure 3-5B**), which acts downstream of Erg11p. Thus the *vps21∆/∆* mutants' phenotype seems to be specific to the azoles, rather than a general 'drug resistance' phenotype.

The *VPS21* **paralogs** *YPT52* **and** *YPT53* **do not significantly affect azole susceptibility**

We recently described two additional GTPases that localize to the PVC, are closely related to, and share significant functional overlap with Vps21p [\(187\)](#page-129-8). However, the *ypt52∆/∆* and *ypt53∆/∆* mutants lacking these GTPases are unaffected in their susceptibility to miconazole (**Appendix A, Figure A-1A** and **B**), as is a *ypt52∆/∆ypt53∆/∆* double mutant (**Appendix A, Figure A-1C**). While the *ypt52∆/∆* single mutant has slightly elevated growth in the presence of fluconazole compared to the isogenic control strain (**Figure 3-6A**), the *ypt53∆/∆* mutant is unaffected (**Figure 3-6B**). Furthermore, *vps21∆/∆ypt52∆/∆* (**Appendix A, Figure A-1E**), *vps21*∆/∆*ypt53*∆/∆ (**Appendix A, Figure A-1F**), and a triple GTPase mutant (**Figure 3-6C**), were all affected by fluconazole to a similar extent as the *vps21∆/∆* single mutant. Thus despite significant functional overlap between these three Rab GTPases, it seems that the Vps21p GTPase has the greatest effect upon *C. albicans* growth in the presence of the azoles under standard MIC testing conditions.

Susceptibility to azole antifungals is determined by the trafficking step controlled by Vps21p rather than a Vps21p specific function

 To further determine if the growth phenotype shown by the *C. albicans vps21*Δ/Δ mutant in the presence of the azoles represents a specific function of the Vps21p GTPase, or is a feature of the trafficking step controlled by Vps21p, we tested the azole susceptibility of a *C. albicans pep12∆/∆* mutant [\(200\)](#page-130-9). Pep12p is a *t*-SNARE protein that is a downstream effector of Vps21p, and also facilitates membrane fusion events at the PVC [\(202\)](#page-130-11). The growth of the *pep12∆/∆* mutant (**Figure 3-7**) shows a similar doseresponse to fluconazole as that described above for the *vps21∆/∆* mutant (**Figure 3-3C**), suggesting that this phenotype is a result of a block in PVC transport rather than a specific function of the Vps21p GTPase.

Interestingly, a *C. albicans vps28∆/∆* mutant lacking a subunit of the ESCRT I protein sorting complex that controls biogenesis of multivesicular bodies (MVB, which form by invagination of the limiting endosomal membrane for internalization of membrane proteins that are to be sorted to the vacuole) [\(220\)](#page-131-15) was no more sensitive or tolerant to fluconazole treatment than the control strains (**Appendix A, Figure A-2**). This underscores the importance of the trafficking step mediated by Vps21p in determining azole susceptibility in *C. albicans* over other membrane trafficking events within the PVC.

Figure 3-6. The Vps21p paralogs Ypt52p and Ypt53p have minimal effect upon *C. albicans* **growth in the presence of fluconazole.**

C. albicans ypt52∆/∆ (A), *ypt53∆/∆* (B), *vps21∆/∆ypt52∆/∆ypt53∆*/*YPT53:YPT53T27N* (triple GTPase mutant) (C) mutants and their isogenic control strains were tested for their susceptibility to fluconazole using the standard CLSI broth microdilution protocol. After 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. The data in each panel is the mean and standard deviation of three independent experiments. The growth of each mutant was compared to that of the 'wild-type' control at each drug concentration using a two-tailed *t*-test. $* P < 0.05$.

Figure 3-7. The *C. albicans pep12***∆/∆ mutant has reduced susceptibility to fluconazole.**

C. albicans pep12∆/∆ mutants and their isogenic control strains were tested for their susceptibility to fluconazole using the standard CLSI broth microdilution protocol. After 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. The mean and standard deviation of three independent experiments is shown. The growth of the *pep12∆/∆* mutant was compared to that of the 'wild-type' control at each drug concentration using a two-tailed *t*-test. $* P < 0.05$.

The *C. albicans vps21∆/∆* **mutant exhibits an exaggerated 'trailing growth' phenotype**

 The dose-response profiles of the *vps21∆/∆* mutant to fluconazole are distinct from that of a well characterized azole resistant isolate TW17 [\(125\)](#page-125-0) (**Figure 3-8A** and **B**). When growth was compared at the earlier time point of 24 hours, it could be discerned that the growth of the *vps21∆/∆* mutant was inhibited at similar concentrations of fluconazole to the isogenic control strain, but the degree of growth inhibition was significantly less (**Figure 3-9A**). In addition, above concentrations of 0.5 µg/mL no further 'dose-dependent' decrease in growth was observed. The differential doseresponse profiles at 24 vs. 48 hours (**Figure 3-9A** and **B**) are characteristic of the 'trailing growth' phenomenon [\(124\)](#page-125-2). Clinical isolates exhibiting 'trailing growth' typically exhibit MIC's of ≤ 1 µg/mL at the 24 hour time point, but ≥ 64 µg/mL at 48 hours. Using a strict cutoff of 80% growth inhibition (MIC₈₀) the *vps21∆*/∆ mutant would be considered azole resistant at both 24 and 48 hour time points. However, adopting a less stringent criterion of 50% growth inhibition (MIC50), the *vps21∆/∆* mutants' differential response at 24 vs. 48 hours conforms to that of 'trailing growth'. While trailing isolates are generally considered to be susceptible to azole treatment *in vivo* [\(143,](#page-126-1) [144\)](#page-126-2), the phenomenon can complicate *in vitro* antifungal susceptibility testing since it can lead to misclassification of susceptible isolates as resistant ones, impacting therapeutic decisions. Furthermore, the mechanisms underlying trailing growth are not well understood. We therefore examined if the increased growth of the *vps21∆/∆* mutant in the presence of the azoles was true resistance, or an exaggerated 'trailing growth' phenotype. It has been previously reported that adjusting the pH of the RPMI growth medium from 7 to a more acidic pH is sufficient to eliminate trailing growth, but not true azole resistance (124) . At pH 3, the *vps21∆*/∆ mutant still grew more than the isogenic control strain in the presence of fluconazole (**Figure 3-9C**), but the differential was substantially diminished. It has also been reported that adjusting the incubation temperature from 35°C to 25°C or 42°C eliminates trailing growth [\(221\)](#page-131-16). The $vps21\Delta/\Delta$ mutant was completely re-sensitized to fluconazole at either 25 or 42°C (**Figure 3-9D** and **E**), further supporting that the *vps21*Δ/Δ mutants reduced growth inhibition in the presence of the azoles is an exaggerated form of the trailing growth phenomenon, rather than outright resistance.

The *vps21∆/∆* **mutant is tolerant of Erg11p inhibition and ergosterol depletion compared to control strains**

 The dose-response data for the 24 hour time point suggest that the *potency* of the azoles with respect to Erg11p inhibition is unchanged in the *vps21∆/∆* mutant, but that the *in vitro* antifungal *efficacy* (i.e. impact on growth), is reduced under standard testing conditions. We therefore considered the possibility that the *vps21∆/∆* mutant has a decreased dependence upon ergosterol for growth, or that it was more tolerant than wildtype of the toxic sterol intermediates that accumulate upon azole treatment. To test this we compared cellular ergosterol levels in the *vps21∆/∆* and isogenic control strain, in the presence and absence of fluconazole. This confirmed that both *vps21∆/∆* and control strains are similarly depleted of ergosterol following treatment with fluconazole

Figure 3-8. The dose-response growth profile of the *vps21***∆/∆ mutant to fluconazole is distinct from that of an azole resistant clinical isolate.**

A well described, azole susceptible isolate (TW1) and an azole resistant matched strain (TW17), the *vps21∆/∆* mutant, reconstituted control strain (*vps21∆/∆* + *VPS21*), and additional "wild-type" control strains (SC5314 and YJB6284) were tested for their susceptibility to fluconazole using the standard CLSI broth microdilution protocol. Growth was determined as OD_{600nm} after 24 hours (A) and 48 hours (B) incubation and expressed as a percentage of the growth in the minus drug (DMSO) control wells. The means and standard deviations of two biological replicates is shown.

Figure 3-9. The *C. albicans vps21***Δ/Δ mutant shows features consistent with an exaggerated trailing growth-like phenotype.**

The susceptibility of the *vps21∆/∆* mutant and control strains to fluconazole was tested using the standard CLSI protocol and growth compared at either 24 hours (A) or 48 hours (B). The same experiment was also conducted with RPMI medium that was adjusted to a pH of 3 (C); or at pH 7 with incubation at either 25 (D) or 42° C (E) and growth compared after 48 hours of incubation. Data in panels A-E are the mean and standard deviation of three independent experiments. The growth of the *vps21∆/∆* mutant was compared to the WT control at each concentration of fluconazole using a two-tailed *t*-test; $* P < 0.05$. (F) The *vps21* Δ/Δ mutant and isogenic control strains were cultured in YNB broth \pm 4 ug/mL of fluconazole at 35°C for 24 hours, before total cellular sterols were extracted and ergosterol content quantified by NMR analysis. Ergosterol content is expressed as a percentage of the samples wet weight. A *C. albicans erg2*Δ/Δ mutant (no fluconazole) was used as negative control for ergosterol. (G) Both *VPS21* alleles were deleted from the *tetO-ERG11* strain. The growth of the resulting *vps21∆/∆,* 'reconstituted' and 'wild-type' (YJB6284) control strains was then compared using a broth microdilution assay in YNB medium supplemented with a range of doxycycline concentrations. Growth was measured as OD_{600nm} and expressed as a percentage of growth occurring in the minus doxycycline control wells. The mean and standard deviation of three independent experiments is indicated, and statistical analysis was performed as described above.

(**Figure 3-9F**). Furthermore, NMR analysis of cellular n-heptane extracts from mutant and control cells indicates that there are no significant differences in the composition of the sterol intermediates that accumulate upon fluconazole treatment (**Appendix A, Figure A-3A** and **B**). Thus the elevated growth of the *vps21∆/∆* mutant is unlikely to be accounted for by altered azole uptake, efflux or target inhibition.

 The above results suggest that despite fluconazole inhibiting Erg11p (and ergosterol biosynthesis) to similar extents, the *vps21∆/∆* mutant is able to grow more than its isogenic control, at least under standard MIC testing conditions. To provide further support for this argument, we deleted both *VPS21* alleles from the *tetO-ERG11* strain described above. This enabled us to repress Erg11p activity at a transcriptional level and independently of the azole drugs. Doxycycline suppressed the growth of the isogenic control strain, but growth was suppressed to a much lesser extent in the *vps21∆/∆* background (**Figure 3-9G**). Together, these data suggest that the elevated growth of the *vps21∆/∆* mutant in the presence of the azoles relates more to a mitigation of the consequences of Erg11p inhibition and ergosterol depletion, rather than a reduction in Erg11p inhibition.

Discussion

 An important finding of this study is that vacuolar trafficking pathways through the PVC are an important determinant of antifungal tolerance. Blocking the PVC trafficking steps controlled by the Rab GTPase Vps21p and *t*-SNARE Pep12p does not seem to affect the azoles capacity to inhibit ergosterol biosynthesis (i.e. potency), but significantly reduces the resulting impact upon fungal growth under standard susceptibility testing conditions (35°C, pH 7). The differential dose-response curves at 24 vs. 48 hours, as well as the pH and temperature dependence of the *vps21∆/∆* mutants' decreased susceptibility to the azole antifungals are consistent with it being an exaggerated form of the 'trailing growth' phenotype that has been described for about 18% of *C. albicans* isolates [\(145\)](#page-127-0). The mechanism(s) that underlie trailing growth are not well understood, and whether altered endosomal trafficking is a contributing factor to the trailing growth observed in clinical isolates remains to be established. Tests on a limited number of clinical isolates have suggested that the trailing growth phenotype is usually associated with *in vivo* susceptibility to azole therapy [\(143,](#page-126-0) [144\)](#page-126-1). Thus we would expect the *vps21∆/∆* and *pep12∆/∆* mutants to be susceptible *in vivo*. Similarly, it will be important to determine if altered endosomal trafficking contributes to the differences in *in vitro* as well as *in vivo* antifungal efficacy that are observed between clinical isolates.

Others have reported that a *C. albicans vps15*Δ/Δ mutant, defective in retrograde trafficking from the PVC back to the Golgi is highly sensitive to fluconazole [\(222\)](#page-131-0). Furthermore, two mutants lacking components of the ESCRT complex that facilitates the formation of intra-lumenal multi-vesicular bodies from the PVC's surface membrane are also sensitive to fluconazole [\(223\)](#page-131-1). A further finding of potential clinical relevance is that the *vps21∆/∆* mutant is significantly more susceptible to terbinafine and amorolfine, antifungal medications that target alternate steps within the ergosterol biosynthetic

pathway. This suggests that membrane trafficking through the PVC compartment is an important determinant of susceptibility to the antifungal agents that target ergosterol biosynthesis. Furthermore, chemical agents that interfere with specific endosomal trafficking steps may sensitize pathogenic fungi, and enhance the antifungal efficacy of therapies that target the ergosterol biosynthetic pathway.

 Several major components of sterol biosynthesis are localized to the endoplasmic reticulum [\(173\)](#page-128-0). While the mechanisms responsible for sterol redistribution to other cellular membranes are poorly understood [\(224\)](#page-132-0), they are believed to involve both vesicular transport and non-vesicular carrier-protein mediated processes [\(224-226\)](#page-132-0). Thus one explanation may be that membrane trafficking defects at the PVC could lead to the sub-cellular redistribution of the sterol intermediates that accumulate upon treatment with ergosterol biosynthesis inhibitors. The methylated sterols that accumulate upon azole treatment are thought to contribute to cellular membrane dysfunction and growth inhibition [\(196\)](#page-130-0). Thus the redistribution of these potentially toxic sterols to distinct compartments within the fungal cell could determine the specific cellular organelles affected, and thus the cellular consequences of antifungal treatment. For example, blocking Vps21p mediated membrane trafficking through the PVC may divert the transport of the 'toxic' sterol intermediates produced within the endoplasmic reticulum upon azole treatment, away from a cellular compartment that is especially sensitive, and redistribute them to a cellular compartment that can better tolerate them. Conversely, the same mechanism renders the *vps21∆/∆* mutant more sensitive to the sterol intermediates species that accumulate following treatment with terbinafine or amorolfine. Viewed in this light, the impact of antifungal treatment upon the fungal cell is determined not only by ergosterol depletion, but a combination of the chemical and physical properties of the specific sterol intermediate that accumulates (i.e. which steps of ergosterol are blocked), as well as the cellular destination to which they are transported.

CHAPTER 4. AZOLE TOLERANCE IN *CANDIDA ALBICANS* **MUTANTS LACKING THE RAB GTPASE VPS21P IS DEPENDENT UPON CALCIUM SIGNALING*†**

Introduction

 A form of azole tolerance known as "trailing growth" denotes the persistent growth of some clinical isolates of *C. albicans* and other *Candida* species, at drug concentrations higher than the minimum inhibitory concentration (MIC). A "trailing" isolate exhibits azole susceptibility at the 24 hour time-point but appears similar to an azole resistant isolate after 48 hours incubation under standard susceptibility testing conditions [\(144\)](#page-126-1), despite substantial reduction in cellular ergosterol levels [\(143,](#page-126-0) [219\)](#page-131-2). However, experiments carried out in animal models of mucosal and disseminated candidiasis indicate that strains displaying trailing growth *in vitro* are susceptible to azoles *in vivo* [\(143,](#page-126-0) [144\)](#page-126-1).

The mechanisms underlying trailing growth as well as the biological significance of this phenomenon remain unclear. Some studies have suggested that upregulation of genes related to several azole resistance mechanisms such as *ERG11*, *CDR1*, *CDR2* and *MDR1* in response to azole treatment may play a role in the trailing growth phenomenon [\(227\)](#page-132-1). However, no direct correlation has been established between *ERG11, CDR1* and *MDR1* expression levels, or particular amino acid substitutions in Erg11p with the trailing phenomenon [\(123\)](#page-125-0).

In Chapter 3 we showed that endosomal trafficking is a key determinant of the consequences of ergosterol biosynthesis inhibition, as a *C. albicans vps21*Δ/Δ mutant deficient in membrane fusion events at the PVC is tolerant of the azole antifungals, but more sensitive to exposure to the allylamine terbinafine and morpholine amorolfine. Remarkably, the *vps21*Δ/Δ mutant was able to grow significantly more than the control strains in the presence of the azole antifungals despite ergosterol depletion, a phenotype that resembled an exaggerated form of the trailing growth phenomenon that has been described for some clinical isolates. However, the mechanisms by which the *vps21*Δ/Δ mutant exerts this phenotype are unknown. The objective of the studies described in this

^{*} Modified by permission of American Society for Microbiology. Luna-Tapia A, Kerns ME, Eberle KE, Jursic BS, Palmer GE. 2015. Trafficking through the late endosome significantly impacts *Candida albicans* tolerance of the azole antifungals. Antimicrob Agents Chemother 59:2410–2420. doi[:10.1128/AAC.04239-14.](http://dx.doi.org/10.1128/AAC.04239-14)

[†] Modified by permission of American Society for Microbiology. Luna-Tapia A, Tournu H, Peters TL, Palmer GE. 2016. Endosomal trafficking defects can induce calcium dependent azole tolerance in *Candida albicans*. Antimicrob Agents Chemother 60:000 – 000. [doi:10.1128/AAC.01034-16.](http://dx.doi.org/10.1128/AAC.01034-16)

chapter is to define the mechanisms underlying the azole tolerance of the *vps21*Δ/Δ mutant.

Materials and Methods

Growth conditions

C. albicans was routinely grown in YPD medium (1% yeast extract, 2% peptone, 2% dextrose) at 30° C, supplemented with uridine (50 μ g/mL) when necessary. Transformant selection was carried out on minimal YNB medium (6.75 g/L yeast nitrogen base without amino acids, 2% dextrose, 2% Bacto agar), supplemented with the appropriate auxotrophic requirements as described for *S. cerevisiae* [\(185\)](#page-129-0) or 50 μg/mL uridine.

Plasmid construction

Plasmid pLUX [\(213\)](#page-131-3), was kindly provided by Dr. William Fonzi (Georgetown University). Plasmids pLUXVPS21 [\(51\)](#page-120-0), pKE1 [\(187\)](#page-129-1), and pKE1-NLUC [\(172\)](#page-128-1) were previously described. All oligonucleotides used in this study are listed in **Table 4-1**.

The *VPH1* ORF with 5' and 3' UTR sequences was amplified from SC5314 genomic DNA (gDNA) with HiFi Platinum Taq (Invitrogen) and primer set VPH1AMPF + VPH1AMPR-KpnI, and cloned between the *Sac*I and *Kpn*I restriction sites of pLUX to produce plasmid pLUXVPH1.

pLUXCDR1 was produced by amplifying the *CDR1* ORF with 5' and 3' untranslated region sequences from SC5314 genomic DNA (gDNA) with HiFi Platinum Taq (Invitrogen) and primer set CDR1AMPF2 + CDR1AMPR2, and then cloned between the *Nsi*I and *Age*I restriction sites of pLUX.

For construction of the reporter plasmid $pRTA2prGFP\gamma$ [\(228\)](#page-132-2), 1000 bp of the *RTA2* promoter were amplified from SC5314 gDNA with HiFi Platinum Taq (Invitrogen) and primer pairs RTA2prF-KpnI + RTA2prR-SalI, and cloned between the *Kpn*I and *Sal*I sites of pKE1 in place of the *ACT1* promoter. The GFPγ coding sequence was then amplified using GFPAMPF-SalI + GFPAMPR-MluI, and cloned downstream of the *RTA2* promoter between *Sal*I and *Mlu*I sites.

C. albicans **strain construction**

Strains used in this study are listed in **Table 4-2**. Transformation of *C. albicans* with DNA constructs was performed using the lithium acetate method [\(217\)](#page-131-4). Gene deletion strains were constructed by the PCR-based approach described by Wilson et al.

| Primer | Sequence $(5'-3')$ |
|---------------------|---|
| ARG4DETF | ATCAATTAACACAGAGATACC |
| ARG4DETR | CCGAGCTTGGCGTAATCATGG |
| ARG4INTF2 | AAGCTAGTGTGGAAAGAAGAG |
| ARG4INTR2 | AATGACTGAATTATGTCGGTC |
| | ATATCACATATATATTCTATTTATTTTTTGTACTTAATAAT |
| CDR1DISF | TTCTTTAAAAGGTCAAAAACGAAAAAATTGTTTTCCCAGT |
| | CACGACGTT |
| | ATAGTCTAAAAACGTCTATTATATTTTAGACGTTTGAGAT |
| CDR1DISR | ACCACCATGTCAAAAAACAAACTGTTTAATTGTGGAATT |
| | GTGAGCGGATA |
| CDR1AMPF2 | GTAGCAAGTGTGTCAAGAACC |
| CDR1AMPR2 | TCAACCGGTCAGATGAGAAACACTTTTTCCC |
| CDR1DETF2 | GGAGCTCAACGGAAAATTGCC |
| CDR1DETF3 | GGTGCCATGACTCCTGCTACCG |
| CDR1DETR2 | AGATGAGAAACACTTTTTCCC |
| CDR1DETR3 | CACCAGCGACACCAACTAGGGC |
| GFPAMPF-Sall | TCAGTCGACATGTCTAAAGGTGAAGAATTATTC |
| GFPAMPR-MluI | TCAACGCGTTTATTTGTACAATTCATCC |
| HIS1DETF | TCATCCTCCAGGATCCCGCGG |
| HIS1DETR | TGTCACCTAAATAGCTTGGCG |
| HIS1INTR2 | CGACCATATGGGAGAGCTCCC |
| LUXINTDETF | CTGACCTTTAGTCTTTCCTGC |
| LUXINTDETR | CAGTAGTACTTGTTGTTGTATCG |
| | TTCCGTAACAATCATATTATAATTTTACATTGCCCCAATA |
| MDR1DISF | GCAATACATATACTTACATAGAACTTCATAGTTTTCCCAG |
| | TCACGACGTT |
| | CTTTTCTCTTTTTAATTATTGATTAATGTATCTATAACACG |
| MDR1DISR | ATATATCTATAGGAAAACAATGACACCTCTGTGGAATTGT |
| | GAGCGGATA |
| MDR1AMPF | TCAGGATCCTCACACGAACAAAAACAACCC |
| MDR1AMPR | TCAGGATCCTAATGAGAACATTGTGTCCGCG |
| MDR1DETF | AATAGTTGTCACTTGGGATG |
| MDR1DETR | AGCACCCAAACTCCAAGCGGC |
| RTA2prF-KpnI | TCAGGTACCGAATGTTAACTAAGGGGTGG |
| RTA2prR-Sall | TCAGTCGACAGTTGAAGGGTGGGAATGAACTG |
| URA3INTF | TTAGTGTTACGAATCAATGGC |
| URA3INTF2 | TTATACCATCCAAATCCCGCG |
| URA3INTF2 | TTATACCATCCAAATCCCGCG |
| URA3INTR | CAATTATAAATGTGAAGGGGG |
| URA3INTR2 | CAAGAAGAAGGATTTGATTGGC |

Table 4-1. List of oligonucleotides used in the study described in Chapter 4.

Table 4-1. (Continued).

Table 4-2. List of strains used in the study described in Chapter 4.

[\(190\)](#page-129-3) using the *ura3*Δ/Δ*his1*Δ/Δ*arg4*Δ/Δ strain BWP17 (kindly provided by Dr. Aaron Mitchell, Carnegie Mellon University). Strain CAI4 was kindly provided by Dr. William Fonzi (Georgetown University).

The *vps21*Δ/Δ, *aps3*Δ/Δ, and *vps21*Δ/Δ*aps3*Δ/Δ mutants were constructed in previous studies [\(50,](#page-120-2) [51,](#page-120-0) [187,](#page-129-1) [214\)](#page-131-6). Control strain YJB6284 [\(215\)](#page-131-7) was kindly provided by Dr. Judith Berman (Tel Aviv University).

CDR1 deletion cassettes were amplified by PCR with HiFi Platinum Taq (Invitrogen) and primers CDR1DISF and CDR1DISR, using pRS-ARG4*∆*SpeI, pGEM-HIS1, or pDDB57 (containing a recyclable *URA3-dpl200* marker) [\(190,](#page-129-3) [230\)](#page-132-4) as templates. Each *CDR1* allele was sequentially deleted by using *HIS1* and *ARG4* markers to generate *cdr1∆/∆ura3∆/∆* gene deletion mutants. Correct integration of deletion cassettes was confirmed at each step by PCR with the following primers sets: ARG4DETF + CDR1DETF2 and ARG4DETR + CDR1DETR2 (*ARG4* integration), or HIS1DETF + CDR1DETF2 and HIS1DETR + CDR1DETR2 (*HIS1* integration). The absence of an intact *CDR1* allele was confirmed using primer pair CDR1DETF3 + CDR1DETR3. Finally, isogenic mutant and *CDR1* reconstituted strains were produced by transforming the *cdr1∆/∆ura3∆/∆* mutant with either pLUX (vector alone) or pLUXCDR1 following restriction digestion with *Nhe*I. Correct integration of the pLUX vector fully restores the *URA3* and adjacent *IRO1* loci, and this was confirmed by PCR analysis using primer pair LUXINTDETF + LUXINTDETR which yields a 2199 bp product following restoration of the *URA3* and *IRO1* loci.

A *vps21∆/∆cdr1∆/∆* double mutant was also made from a *vps21∆/∆ura3∆/∆arg4∆/∆* strain previously described [\(51\)](#page-120-0). The first allele of *CDR1* was deleted with the *URA3-dpl200* selection marker and the second allele with the *ARG4* marker. Replacement of the first *CDR1* allele with the *URA3-dpl200* selection marker was confirmed using primer pairs URA3INTF + CDR1DETF2 and URA3INTR + CDR1DETR2. The resulting *vps21∆/∆cdr1∆/∆* double mutant was then selected on YNB medium supplemented with uridine and 1 μg/mL 5-FOA [\(192\)](#page-130-2) to select for *ura3* segregants that had excised the *URA3* marker gene. Correct excision of the *URA3* marker from the *cdr1∆:URA3-dpl200* loci was confirmed using the flanking primer pairs CDR1DETF2 and CDR1DETR2. Prototrophic and isogenic mutant and reconstituted control strains were then made by transforming the *vps21∆/∆cdr1∆/∆* double mutant with *Nhe*I digested pLUX, pLUXVPS21 [\(51\)](#page-120-0), and pLUXCDR1. Correct integration of the plasmids and reconstitution of the *URA3* and *IRO1* loci was confirmed as described above.

The *MDR1* ORF was deleted using an identical strategy to that described above for *CDR1*, except the deletion cassettes were amplified with primers MDR1DISF and MDR1DISR. Correct integration of the *MDR1* deletion cassettes was confirmed using primer pairs ARG4DETF + MDR1AMPF and ARG4DETR + MDR1AMPR (*ARG4* integration), HIS1DETF + MDR1AMPF and HIS1DETR + MDR1AMPR (*HIS1* integration), or URA3INTF + MDR1AMPF and URA3INTR + MDR1AMPR (*URA3* integration). Deletion of both *MDR1* alleles was also confirmed by the absence of a PCR product using primer pair MDR1DETF + MDR1DETR which binds to sequences within the deleted portion of *MDR1*. Prototrophic and isogenic mutant and reconstituted control strains were then made by transforming the *mdr1∆/∆* mutant and *vps21∆/∆mdr1∆/∆* double mutant with *Nhe*I digested pLUX. Correct plasmid integration and restoration of *URA3* and *IRO1* loci was determined as previously described.

VPH1 deletion cassettes were amplified by PCR with primers VPH1DISF + VPH1DISR, using pRS-ARG4*∆*SpeI, pGEM-HIS1, or pDDB57 (containing a recyclable *URA3-dpl200* marker)[\(190,](#page-129-3) [230\)](#page-132-4) as templates. Each *VPH1* allele was sequentially deleted using *HIS1* and *ARG4* markers to generate *vph1*Δ/Δ*ura3*Δ/Δ gene deletion mutants [\(228\)](#page-132-2). Correct integration of the deletion cassettes was confirmed at each step by PCR with the following primers sets: ARG4INTF2 + VPH1DETR2 and ARG4INTR2 + VPH1DETF2 for *ARG4* integration or HIS1INTR2 + VPH1DETF2 for *HIS1* integration. The absence of an intact *VPH1* allele was confirmed using primer pair VPH1DETF + VPH1DETR. Isogenic mutant and *VPH1* reconstituted strains were produced by transforming the *vph1*Δ/Δ*ura3*Δ/Δ mutant with either pLUX (vector alone) or pLUXVPH1 after linearization with *Nhe*I. Correct plasmid integration and restoration of *URA3* and *IRO1* loci was determined as described above.

Construction of a *vps21*Δ/Δ*vph1*Δ/Δ double mutant from a *vps21*Δ/Δ*ura3*Δ/Δ*arg4*Δ/Δ strain previously described [\(51\)](#page-120-0) was carried out by deleting the first allele of *VPH1* with the *URA3-dpl200* selection marker and the second allele with the *ARG4* marker [\(228\)](#page-132-2). Replacement of the first *VPH1* allele with the *URA3-dpl200* selection marker was confirmed using primer pairs URA3INTF2 + VPH1DETR2 and URA3INTR2 + VPH1DETF2. The resulting *vps21*Δ/Δ*vph1*Δ/Δ double mutant was then selected on YNB-agar plates supplemented with uridine and 5-fluoroorotic acid (5- FOA)[\(192\)](#page-130-2) to select for *ura3* segregants that had excised the *URA3* marker gene. Correct excision of the *URA3* marker from the *vph1∆:URA3-dpl200* loci was confirmed using the flanking primer pair VPH1AMPF + VPH1AMPR-KpnI. Prototrophic and isogenic mutant and reconstituted control strains were then made by transforming the *vps21*Δ/Δ*vph1*Δ/Δ double mutant with pLUX, pLUXVPS21, or pLUXVPH1 digested with *Nhe*I [\(228\)](#page-132-2). Correct plasmid integration and restoration of *URA3* and *IRO1* loci was determined as previously described.

C. albicans strains expressing cytoplasmic Nano Luciferase® (Nluc) [\(231\)](#page-132-5) (produced with permission from Promega Corporation), were produced using the previously described pKE1-NLUC plasmid [\(172\)](#page-128-1), which was linearized using *Nhe*I, and transformed into *ura3-* recipient strains. Strains carrying the *RTA2-GFPγ* reporter were made by transforming CAI4 and *vps21*Δ/Δ*ura3-* strains with the *Nhe*I digested pRTA2prGFPγ. Correct plasmid integration and restoration of *URA3* and *IRO1* loci was determined as described above.

Luciferase based membrane integrity assay

C. albicans strains expressing a cytoplasmic version of Nano Luciferase (Nluc) [\(231\)](#page-132-5) were cultured overnight in YPD at 30°C. 200 μl of each culture was then transferred to an Eppendorf tube and the cells pelleted using a microfuge. The culture supernatant was then removed, the cells resuspended in 1 mL YNB and further diluted 1:100 in YNB medium. 200 μL of each cell suspension was then dispensed into the wells of a round bottomed 96-well plate. After 24 hour incubation in a 30°C or 35°C standing incubator, the cells in each well were resuspended by mixing using a multichannel pipette. The plates were then centrifuged at 1200 rpm in a benchtop centrifuge to pellet the cells. 50 μL of the culture supernatant was then transferred to a white flat-bottomed 96 well plate and Nluc activity determined using the Nano-Glo® Luciferase Assay Reagent (Promega Corporation), as per the manufacturer's instructions. Luminescence was then measured using a Cytation[™] 5 plate reader (BioTek Instruments, Inc.). Growth in each well from the overnight incubation was also determined by measuring OD_{600nm} from samples diluted 1:10 in distilled water using a separate flat bottomed 96-well plate, and each luminescence reading normalized to the corresponding OD_{600nm} reading.

Biomass measurement and determination of cell viability

C. albicans strains were grown overnight in YNB at 30°C, washed and subcultured into 50 mL of fresh YNB at 2 x 10^6 cells/mL, in the presence of 5 μ g/mL of fluconazole or 0.5% DMSO and incubated at 30 or 35°C for 24 hours with shaking. Cell viability was then determined as colony forming units (CFU) from culture samples following appropriate dilution in YNB and plating to YPD agar plates. 40 mL of each culture was also pelleted at 3500 rpm, washed by resuspension in 5 mL of deionized $H₂O$, and harvested by filtration using a preweighed 0.22 μ m GS filter membrane (Millipore). Cell pellets were dehydrated at 65°C for 24 hours and dry weight determined [\(228\)](#page-132-2).

Antifungal susceptibility testing

Antifungal susceptibility testing of all the strains was performed using the broth micro-dilution method described in the CLSI document M27-A3 [\(218\)](#page-131-8) in a 96-well plate format. All drugs for susceptibility testing used here were diluted in DMSO in 2-fold dilutions of 200 times the final concentration. RPMI 1640 medium (Sigma-Aldrich) was prepared according to the CLSI document [\(218\)](#page-131-8), and the medium was buffered with morpholinepropanesulfonic acid (MOPS) and pH adjusted to 7.0 using NaOH. Plates were incubated without shaking at 35°C for 24 or 48 hours unless otherwise stated. The content of each well was carefully resuspended by pipetting up and down before OD_{600nm} was measured using a Cytation[™] 5 plate reader (BioTek Instruments, Inc.). Checkerboard assays with fluconazole (Sigma-Aldrich) and the calcineurin inhibitors CsA (Sigma-Aldrich) or FK506 (Tacrolimus, Tocris) were performed in a 96-well plate in MOPS-buffered RPMI 1640 pH 7.0 according to the CLSI standard. Susceptibility

testing using combinations of fluconazole (1 μ g/mL) plus nisoldipine (0.39-50 μ M) or ethylene glycol tetraacetic acid (EGTA, 50-500 μM) was performed as described above. Plates were incubated and growth measured as described before.

Determination of intracellular free Ca2+ levels

The relative levels of intracellular free Ca^{2+} were determined by using the Ca^{2+} sensitive dye Fura 2-AM (EMD Millipore Corporation). *C. albicans* strains were grown overnight in YPD at 30°C, washed in PBS, and approximately \sim 10⁷ cells resuspended in 200 μL PBS pH 7.2 (without CaCl₂ and MgCl₂) plus 10 μM Fura 2-AM. Each cell suspension was then dispensed into the wells of a round bottomed 96-well plate. Following incubation at 37°C for 1 hour, cells were pelleted and washed three times with PBS. Fluorescence was determined by dual excitation at 340 nm and 380 nm with a single emission at 505 nm in a CytationTM 5 plate reader (BioTek Instruments, Inc.) [\(228\)](#page-132-2) with the relative Ca^{2+} levels expressed as the ratio of fluorescence intensities upon excitation at 380 nm and 340 nm [\(232\)](#page-132-6).

Quinacrine accumulation assay

Quinacrine is a weak base that accumulates in acidic membrane organelles such as the fungal vacuole in yeast [\(233\)](#page-132-7) and is used as a qualitative measurement of organelle acidification. The unprotonated form of quinacrine enters the cell and becomes protonated upon entry into an acidic organelle, accompanied by a boost in its fluorescence intensity [\(234\)](#page-132-8). Labeling of the *C. albicans* vacuole with quinacrine was performed as described by Baggett et al [\(233\)](#page-132-7) with the following modifications. 10 mM quinacrine stocks were prepared in 1 M HEPES pH 7.6 and stored at -20°C until use. Cells were grown overnight in YPD medium at 30 \degree C in a rotator. Approximately 5 x 10⁷ cells per sample of each strain were harvested by centrifugation at 8000 rpm for 30 seconds and resuspended in 100 μL of assay buffer (100 mM HEPES plus 2% glucose). Quinacrine was added to one set of samples at a final concentration of 25 μM; an equivalent volume of 1 M HEPES pH $7.6 + 2\%$ glucose was added to a second set of samples to determine cell autofluorescence. A minus cell control containing assay buffer and 25 μM quinacrine was included to determine quinacrine binding to plastic. Samples were incubated during 5 minutes at room temperature in the dark; then were pelleted at 8000 rpm for 30 seconds and cells resuspended in 100 μL of fresh assay buffer without quinacrine and placed on ice until use. $100 \mu L$ of each sample were transferred to a black, flat bottom 96-well plate. Three samples per set per biological replicate were used. 100 μL of assay buffer alone was added to three additional wells to determine medium background. Fluorescence intensity was determined in a Cytation™ 5 Cell Imaging Multi-Mode Reader (BioTek Instruments, Inc.). Excitation and emission were set at 490 nm and 525 nm, respectively, with a 9 nm bandwidth. Net fluorescence intensity was normalized to the OD_{600nm} of each sample and expressed as a fold change from the fluorescence intensity of the WT control strain (YJB6284).

GFPγ reporter assays

C. albicans strains expressing GFPγ [\(235\)](#page-132-9) from the *RTA2* promoter were grown overnight in YPD at 30° C. Cells were then washed and resuspended in YNB and \sim 1.3 x 10⁶ cells per well were dispensed into a round bottomed 96-well plate. Cells were treated with either 1 μ g/mL fluconazole or 0.5% DMSO, and incubated at 35°C for 24 hours. GFPγ fluorescence intensity was then quantified using a Cytation™ 5 plate reader (BioTek Instruments, Inc.) with excitation at 488 nm and emission at 507 nm. Growth was determined by measuring OD_{600nm} for normalization of the fluorescence signal.

Results

The *C. albicans vps21***Δ/Δ mutant is tolerant of azole induced membrane damage**

We previously showed that the *vps21*Δ/Δ mutant exhibits enhanced growth in the presence of the azole antifungals versus WT controls, despite ergosterol depletion. We therefore examined plasma membrane integrity following azole mediated ergosterol depletion, to determine if the *vps21*Δ/Δ mutant exhibited signs of membrane damage. A cytoplasmic version of Nano Luciferase (Nluc – Promega Corp.) [\(231\)](#page-132-5) was expressed in mutant and control strains, and Nluc release into the culture supernatant was determined following treatment with fluconazole for 24 hours. Interestingly, fluconazole treatment at 35°C, caused significant Nluc release from the WT strain indicating loss of plasma membrane integrity (**Figure 4-1A**). However, at this temperature the *vps21*Δ/Δ mutant released significantly less Nluc upon fluconazole treatment than WT, indicating less membrane damage. In contrast, at 30°C the mutant released similar levels, or even slightly more Nluc than WT (**Figure 4-1B**), indicating that fluconazole induces similar levels of membrane permeabilization in either strain at the lower temperature. This is consistent with our previous observation that the continued growth of the *vps21∆/∆* mutant in the presence of the azoles (as measured by OD_{600nm}), occurs at 35° C but not 30°C.

To further confirm the temperature dependence of the *vps21∆/∆* mutant's azole tolerance, we compared its growth in the presence and absence of fluconazole using two additional measures, dry weight and colony forming units (**Figure 4-1C** and **D**). By both measures, at 35°C the *vps21∆/∆* mutant was more tolerant of fluconazole than WT, while at 30°C the growth of both strains was severely inhibited. As such, it appears that at 35°C the azole antifungals cause less membrane damage to the *vps21∆/∆* mutant than WT, and this likely accounts for its continued growth.

Figure 4-1. The *C. albicans vps21***Δ/Δ mutant has delayed membrane damage following azole treatment.**

Nluc was expressed in the *vps21*Δ/Δ mutant and wild-type (CAI4) control strain, which were grown in 96-well plates with various concentrations of fluconazole. Culture supernatant was removed after 24 hours of incubation at (A) 35° C or (B) 30° C, Nluc activity assayed, and expressed as a percentage of the minus drug controls. The means of three biological replicates are shown for each drug concentration, and error bars indicate the standard deviation. The means of each group were compared using a two-tailed *t*-test, * $P < 0.05$. (C-D) The *vps21* Δ/Δ mutant and WT were grown in YNB at 2 x 10⁶ cells/mL in the presence of 0.5% DMSO or 5 μg/mL of fluconazole (FLU) for 24 hours at 30°C or 35 \degree C. Biomass as measured by (C) dry weight and cell viability as measured by (D) CFUs were determined and expressed as a percentage of the DMSO control for each strain under each condition. The means \pm standard deviations of two biological replicates are shown for all panels. The means of each group were compared using a two-tailed *t*test, $* P < 0.05$.

Azole tolerance in the *C. albicans vps21***Δ/Δ mutant does not depend upon Vph1p function**

A recent study has proposed that inhibition of the V-ATPase proton pump may in part underlie the antifungal activity of the azoles [\(79\)](#page-122-0). In yeast, delivery of the V-ATPase to the vacuolar membrane depends on trafficking through the PVC. A *S. cerevisiae vps21∆* mutant is deficient in the delivery of the V-ATPase to vacuole, and thus its ability to acidify the vacuole is impaired, as evidenced by decreased accumulation of the weak base quinacrine within the fungal vacuole [\(236\)](#page-132-10). We therefore determined whether vacuolar acidification was affected in our *vps21∆/∆* mutant. Unexpectedly, our *vps21*Δ/Δ mutant accumulated more quinacrine than the isogenic control strains, indicating a more acidified vacuole (**Figure 4-2A**). In order to determine whether the altered vacuolar acidification within the *vps21∆/∆* mutant contributed to its azole tolerance, we deleted both alleles of *VPH1*, a gene encoding for a vacuole-specific subunit of the V-ATPase [\(184\)](#page-129-4). However, loss of *VPH1* does not affect the susceptibility of the 'wild-type' control strain to fluconazole, or the trailing growth phenotype of the *vps21*Δ/Δ mutant (**Figure 4- 2B**). These data demonstrate that the azole tolerance of the *vps21*Δ/Δ mutant does not depend upon V-ATPase activity.

Azole tolerance in the *C. albicans vps21∆/∆* **mutant is independent of the Cdr1p and Mdr1p efflux pumps**

Many plasma membrane proteins are targeted for degradation within the vacuole following endocytic trafficking via the PVC. We have previously reported that the *C. albicans vps21∆/∆* mutant has reduced rates of endocytosis [\(51\)](#page-120-0). Thus we considered that reduced rates of uptake and degradation, may increase the 'half-life' of the well described Cdr1p and Mdr1p efflux pumps at the cell surface, and the resulting increase in abundance of these pumps may contribute to the tolerance of the *vps21∆/∆* mutant. To test this we deleted both alleles of either *CDR1* or *MDR1* from the *vps21*Δ/Δ mutant and examined the azole susceptibility of the resulting *vps21∆/∆cdr1∆/∆* and *vps21∆/∆mdr1∆/∆* double mutants. Loss of *MDR1* had no impact on the MIC of either the 'wild-type' control or the *vps21∆/∆* mutant (not shown) as it is not typically expressed in susceptible strains [\(140\)](#page-126-2). While loss of *CDR1* caused a slight increase in azole susceptibility in the WT, it did not significantly affect the susceptibility of the *vps21∆/∆* mutant (**Figure 4-3**). These results suggest that the azole tolerance of the *vps21∆*/∆ mutant does not depend upon the activity of either the Mdr1p or Cdr1p efflux pumps.

The *C. albicans vps21***Δ/Δ mutant has elevated cytoplasmic calcium levels**

 $Ca²⁺$ based signaling has been shown to induce adaptive responses that promote *C. albicans* survival following azole treatment [\(147,](#page-127-1) [237\)](#page-132-11). Moreover, intracellular Ca^{2+} is compartmentalized in different organelles, with the fungal vacuole being the major storage site [\(238\)](#page-132-12). To determine if the vacuolar trafficking defects of the *vps21*Δ/Δ

(A) Quinacrine uptake in the *vps21*Δ/Δ mutant and control strains was measured from overnight cultures in YPD. The mean \pm standard deviation of four biological replicates is shown. The means of each group were compared using a two-tailed *t*-test, $*$, $P < 0.005$; **, *P* < 0.006. (B) *VPH1*, encoding a vacuole specific subunit of the V-ATPase proton pump, was deleted from either wild-type or the *C. albicans vps21*Δ/Δ mutant, and the fluconazole susceptibility of each strain was compared to 'wild-type' (YJB6284), *vps21*Δ/Δ and 'reconstituted' control strains using the standard CLSI broth microdilution protocol each strain tested using the standard CLSI broth microdilution protocol. Following 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. The means \pm standard deviations of two biological replicates are shown. No statistically significant differences were found between the growth of the *vps21*Δ/Δ and the *vps21*Δ/Δ*vph1*Δ/Δ mutants.

Figure 4-3. Growth of the *C. albicans vps21***Δ/Δ mutant in the presence of fluconazole does not depend upon the Cdr1p efflux pump.**

Both alleles of the *CDR1* gene were deleted from either 'wild-type' (YJB6284) or the *vps21*Δ/Δ mutant and the susceptibility of the resulting strains to fluconazole tested using the standard CLSI broth microdilution protocol. Following 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. The mean and standard deviation of three independent experiments is presented. No statistically significant differences were found between the growth of the *vps21*Δ/Δ and the *vps21*Δ/Δ*cdr1*Δ/Δ mutants.

mutant impact cellular Ca^{2+} homeostasis, we measured levels of cytoplasmic calcium with the calcium-sensitive dye Fura 2-AM [\(232\)](#page-132-6). As expected, the antiarrhythmic drug amiodarone (AMD), known to cause cytoplasmic Ca^{2+} surges in *S. cerevisiae* [\(239\)](#page-133-0), increased intracellular free Ca^{2+} levels in our wild-type control strain (**Figure 4-4A**). The relative intracellular calcium levels for the *vps21*Δ/Δ mutant were higher than for the WT controls (**Figure 4-4B**) as indicated by higher 380nm/340nm fluorescence intensity ratios. This indicates that the *C. albicans vps21*Δ/Δ mutant has a calcium homeostasis defect.

The *C. albicans vps21***Δ/Δ mutant's azole tolerance is dependent on extracellular calcium**

Azole tolerance in *C. albicans* and other fungi has been shown to be reliant on $Ca²⁺$ dependent signaling [\(147,](#page-127-1) [237\)](#page-132-11), which in turn relies on the availability of both extracellular and intracellular free Ca^{2+} [\(152\)](#page-127-2). We therefore examined the dependence of the *vps21* Δ/Δ mutant's azole tolerance on extracellular Ca²⁺ levels. Addition of 1 mM CaCl₂ to the medium did not significantly enhance the growth of both $vps21\Delta/\Delta$ mutant and control strains in the presence of fluconazole (**Figure 4-5A**). However, the Ca^{2+} chelator EGTA reduced the mutant's tolerance to fluconazole (**Figure 4-5B** and **C**), establishing a requirement for extracellular Ca^{2+} for its azole tolerance. Entry of extracellular Ca^{2+} into the fungal cells depends on several Ca^{2+} channels located at the plasma membrane including the voltage-gated calcium channel formed by Mid1p/Cch1p [\(161,](#page-128-2) [240\)](#page-133-1), a high-affinity Ca^{2+} influx system. Treatment of the *vps21* Δ/Δ mutant with the voltage-gated Ca2+ channel blocker nisoldipine (**Figure 4-5E**) also reduced its azole tolerance, at concentrations far below that at which nisoldipine is toxic by itself (**Figure 4-5D**). This further supports the conclusion that the *vps21*Δ/Δ mutant depends on extracellular Ca^{2+} in order to tolerate azole exposure.

In further experiments we evaluated the impact of the Ca^{2+} homeostasis defect of the *vps21*Δ/Δ mutant by characterizing the effect on azole tolerance of AMD, known to cause cytoplasmic Ca^{2+} surges due to an increase in Ca^{2+} fluxes from the media and intracellular stores [\(239\)](#page-133-0) (**Figure 4-5F** and **G**). Surprisingly, under standard MIC testing conditions, AMD dramatically enhanced growth of the WT control strain in the presence of fluconazole (**Figure 4-5G**). In contrast, growth of the *vps21*Δ/Δ mutant in the presence of fluconazole was largely unresponsive to AMD (**Figure 4-5G**). This further confirms a Ca^{2+} homeostasis defect in the *vps21* Δ/Δ mutant and highlights the role of Ca^{2+} fluxes in azole tolerance in *C. albicans* in general.

The *C. albicans vps21***Δ/Δ mutant's azole tolerance is dependent upon calcineurin signaling**

Signaling through the Ca^{2+} -responsive phosphatase calcineurin has been shown to be required for azole tolerance in *C. albicans* [\(147\)](#page-127-1), as pharmacologic or genetic

Figure 4-4. The *C. albicans vps21***Δ/Δ mutant has elevated intracellular levels of** $Ca²⁺$.

(A) The control strain SC5314 was treated with a range on concentrations of AMD for 2 hours in order to induce Ca^{2+} fluxes into the cytoplasm, followed by staining with the $Ca²⁺$ sensitive dye Fura 2-AM. Fluorescent intensity with dual excitation and 340 nm and 380 nm and single emission at 505 nm was measured in Cytation™ 5 multi-mode reader. Relative Ca²⁺ levels are expressed as the ratio of the fluorescence intensity at 380 nm and 340 nm. The mean \pm standard deviation of two experimental replicates from a representative experiment is shown. (B) Free intracellular levels of Ca^{2+} were measured in the *vps21*Δ/Δ mutant and control strains using Fura 2-AM. WT is strain YJB6284. Fluorescence was determined as described above. The relative intracellular levels of Ca^{2+} are expressed as the ratio of the fluorescence intensity upon excitation at 380 nm and 340 nm. The means \pm standard deviations of four biological replicates are shown. The means of each group were compared using a two-tailed *t*-test, * *P* < 0.0001.

Figure 4-5. The *C. albicans vps21***Δ/Δ mutant's azole tolerance depends upon extracellular calcium.**

(A) The *C. albicans vps21*Δ/Δ mutants' susceptibility to fluconazole was tested after addition of 1 mM of CaCl, to the media, and compared to wild-type (YJB6284), and reconstituted control strains using the standard CLSI broth microdilution protocol. After 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. (B and C) Growth of the *C. albicans vps21*Δ/Δ mutant and control strains was compared in the presence of various concentrations of the Ca²⁺ chelator EGTA without (B) or with 1 μ g/mL of fluconazole (C). Growth was determined as above and is expressed as a percentage of the growth in the minus drug (DMSO) control wells. (D and E) The susceptibility of the *vps21*Δ/Δ mutant to the Ca^{2+} channel blocker nisoldipine (NIS) (D) and its effect on the mutant's tolerance to fluconazole (E) was evaluated. Growth was determined and expressed as above. (F and G) The effect of the antiarrhythmic drug amiodarone (AMD) on the *vps21*Δ/Δ mutant's azole tolerance was tested. Growth was determined and expressed as above. The means \pm standard deviation of two biological replicates is shown in each panel. The means of each group were compared using a two-tailed *t*-test, * *P* < 0.05.

inhibition of this pathway renders the normally fungistatic azoles fungicidal [\(147,](#page-127-1) [150,](#page-127-3) [241\)](#page-133-2). Upon azole exposure, increased calcineurin activity enhances the transcription of a number of specific genes involved in plasma membrane maintenance and stress response, including *RTA2* [\(148,](#page-127-4) [242\)](#page-133-3). In order to evaluate the state of calcineurin signaling in the *vps21*Δ/Δ mutant, we compared the transcriptional activity of the calcineurin responsive gene *RTA2* in mutant and control strains using a GFPγ based reporter construct. *RTA2* is a stress related gene that encodes a phospholipid translocase whose expression depends on the calcineurin-dependent transcription factor Crz1p [\(151,](#page-127-5) [243,](#page-133-4) [244\)](#page-133-5) and has been found to be upregulated in experimentally-induced azole resistant isolates [\(245\)](#page-133-6). As expected, treatment with fluconazole enhanced GFPγ expression from the *RTA2* promoter in the WT control strain (**Figure 4-6A**). Furthermore, treatment with the calcineurin inhibitor cyclosporine A suppressed fluconazole induced expression of GFPγ (**Appendix B, Figure B-1**), establishing the responsiveness of this reporter construct to calcineurin activity. Interestingly, treatment of the *vps21*Δ/Δ mutant with fluconazole induced a significantly larger response from the *RTA2pr-GFPγ* reporter than was observed for the WT control strain, indicating an enhanced calcineurin dependent response in the mutant after azole exposure (**Figure 4-6A**).

In order to further define the role of calcineurin activity in the *vps21*Δ/Δ mutant's azole tolerance, we evaluated the impact of pharmacological inhibition of calcineurin signaling on the *vps21*Δ/Δ mutant and control strains after fluconazole exposure (**Figure 4-6B-D**). Inhibition of calcineurin signaling with either cyclosporine A (**Figure 4-6C**) or tacrolimus (FK506) (**Appendix B, Figure B-2A-C**), eliminated the *vps21*Δ/Δ mutant's azole tolerance. Collectively, these data indicate that the ability of the *vps21*Δ/Δ mutant to tolerate azole exposure is dependent upon calcineurin activity.

Discussion

In previous chapters we established that the azole antifungals cause severe disruption of the *C. albicans* vacuole (Chapter 2) and that trafficking through the late endosome/PVC mediated by the Rab GTPase Vps21p is a determining factor of *C. albicans* response to azole exposure. Our *vps21*Δ/Δ mutant was able to tolerate azole treatment and grow despite ergosterol depletion (Chapter 3), but unexpectedly, the mutant was more sensitive to exposure to other ergosterol biosynthesis inhibitors than the control strains. The growth of the mutant in the presence of azole antifungals resembles the 'trailing' growth phenomenon that is observed for some clinical isolates when treated with triazoles and was independent of established mechanisms of azole resistance. Our results reveal that the *C. albicans vps21* Δ/Δ mutant releases less cytoplasmic content than WT strains following fluconazole treatment at 35^oC, suggesting it sustains less membrane damage. In addition, we determined that the *vps21*Δ/Δ mutant has elevated levels of intracellular Ca^{2+} and that its growth in the presence of the azoles depends upon $Ca²⁺$ availability. This indicates that trafficking through the PVC mediated by Vps21p is not only important in maintaining Ca^{2+} homeostasis in *C. albicans*, but that the Ca^{2+} homeostasis defects that arise from defective endosomal trafficking have substantial

Figure 4-6. Inhibition of calcineurin signaling suppresses the *vps21***Δ/Δ mutant's azole tolerance.**

(A) The *RTA2pr-GFPγ* expression construct was introduced into *C. albicans* strain CAI4 (WT) and $vps21\Delta/\Delta$ mutant, and the resulting strains were grown in YNB \pm 1 µg/mL fluconazole for 24 hours. GFP fluorescence was quantified by excitation at 488 nm and emission at 507 nm, normalized for the cell density (OD_{600nm}) of each strain and expressed as fold fluorescence of the DMSO control. The means \pm standard deviations of four biological replicates are shown. The means of each group were compared using a two-tailed *t*-test: * $P < 0.0004$. (B-D) The growth of the *vps21* Δ/Δ mutant, WT (YJB6284) and reconstituted strain in the presence of both the calcineurin activity inhibitor cyclosporine A (CsA) and fluconazole was compared using a checkerboard assay. The growth of WT (B), *vps21*Δ/Δ mutant (C), and isogenic control strain (D) was determined as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO) control wells. Data from a representative experiment is shown, but similar results were obtained in a second repeat experiment.

consequences in the response of *C. albicans* against the most important class of antifungals in clinical use.

We also determined that the ability of the *vps21*Δ/Δ mutant to tolerate azole exposure is dependent upon signaling through the Ca^{2+} -responsive phosphatase calcineurin. In *C. albicans* and other fungi, the role for Ca^{2+} -dependent calcineurin signaling in the cellular response to azole antifungals has been widely described [\(147\)](#page-127-1). Upregulation of calcineurin signaling enhances the survival of the fungal cell by expressing a multitude of genes involved in the response against membrane stressors, including azole antifungals [\(148,](#page-127-4) [242\)](#page-133-3). Importantly, genetic or pharmacological inhibition of calcineurin signaling renders the normally static azoles into cidals [\(149,](#page-127-6) [150\)](#page-127-3). As suggested by our reporter assay in **Figure 4-6A**, abnormal Ca^{2+} homeostasis could potentially increase the basal level of calcineurin activity and/or affect the magnitude or duration of calcineurin signaling in the *vps21*Δ/Δ mutant upon azole exposure. This in turn may increase the chances of the mutant's survival by, for example, minimizing the deleterious effects of azole treatment upon plasma membrane integrity. Consequently, it was not surprising that inhibition of calcineurin signaling with CsA or FK506 rendered the *vps21*Δ/Δ mutant susceptible to fluconazole. Interestingly, previous studies showed that membrane trafficking mutants of *C. albicans* defective in endosome-to-Golgi retrograde transport have constitutive activation of calcineurin signaling and enhanced expression of genes involved in stress responses [\(222\)](#page-131-0). However, these mutants were hypersensitive to azole treatment. Collectively, these findings suggest that the specific PVC trafficking step affected is a crucial determinant of the physiological impact of the azoles upon the fungal cell.

CHAPTER 5. THE *CANDIDA ALBICANS* **MUTANT LACKING THE RAB GTPASE VPS21P IS SUSCEPTIBLE TO AZOLE TREATMENT IN VIVO**

Introduction

 In previous chapters we showed that the *C. albicans vps21*Δ/Δ mutant defective in trafficking through the PVC is tolerant to azole exposure under standard susceptibility testing conditions. This tolerance phenotype resembled the "trailing growth" phenomenon that is observed in a small percentage of clinical isolates of *C. albicans*, whereby an isolate that appears susceptible to azole treatment at 24 hours, is capable of continued growth and seemingly resistant at the 48 hour time-point [\(143,](#page-126-0) [144\)](#page-126-1). We also determined that the tolerance of the *vps21*Δ/Δ mutant to azole antifungals is dependent upon Ca^{2+} and calcineurin signaling.

The contribution of several mechanisms of azole resistance, such as overexpression of the target enzyme $Erg11p$ and/or efflux pumps Cdr1p and Mdr1p, to the trailing growth phenomenon has been investigated [\(123\)](#page-125-0). However, their role as causative of this form of azole tolerance has not been conclusive. Although "trailing isolates" are capable of tolerating azole exposure in vitro, they appear to be susceptible when exposed to azoles in mouse models of disseminated *C. albicans* infection [\(143,](#page-126-0) [144\)](#page-126-1). However, the possible interactions between azole resistance mechanisms and the trailing growth phenomenon have not been explored, in part due to the fact that the molecular mechanisms responsible for the trailing growth phenomenon have not been completely defined. The objective of the studies described in this chapter was to define whether defective endosomal trafficking synergizes or antagonizes with known azole resistance mechanisms. In addition, we sought to determine if the azole tolerance of the *vps21*Δ/Δ mutant affects the in vivo antifungal efficacy of the azoles.

Materials and Methods

Growth and media conditions

C. albicans was routinely grown in YPD medium (1% yeast extract, 2% peptone, 2% dextrose) at 30 $^{\circ}$ C, supplemented with uridine (50 μ g/mL) when necessary. Transformant selection was carried out on minimal YNB medium $(6.75 \text{ g/L} \text{ years})$ nitrogen base without amino acids, 2% dextrose, 2% Bacto agar), supplemented with the appropriate auxotrophic requirements as described for *S. cerevisiae* [\(185\)](#page-129-0) or 50 μg/mL uridine.

Plasmid construction

All oligonucleotides used in this study are listed in **Table 5-1**. Plasmid pKE1 was previously described [\(187\)](#page-129-1). Plasmid pKE4 was constructed by replacing the *ACT1* promoter from pKE1 with the *TEF1* promoter which was amplified with primers TEF1pF-KpnI and TEF1pR-SalI from SC5314 genomic DNA (gDNA), and cloned using *Kpn*I and *Sal*I restriction sites of pKE1.

The *ERG11* ORF was amplified from SC5314 gDNA with HiFi Platinum Taq (Invitrogen) and primer set ERG11ORFF + ERG11ORFR, and cloned between the *Sal*I and *Mlu*I restriction sites of pKE4 to produce plasmid pKE4ERG11.

 Plasmid pKE4CDR1 was produced by amplifying the *CDR1* ORF from SC5314 gDNA with HiFi Platinum Taq (Invitrogen) and primer pair CDR1ORFF-SalI + CDR1ORFR-MluI, and then cloned between the *Sal*I and *Mlu*I restriction sites of pKE4.

The *CDR2* ORF was amplified from SC5314 gDNA with HiFi Platinum Taq (Invitrogen) and primer pair CDR2ORFF-SalI + CDR2ORFR-MluI, and cloned between the *Sal*I and *Mlu*I restriction sites of pKE4 to produce plasmid pKE4CDR2.

 For construction of the plasmid pKE4MDR1, the *MDR1* ORF was amplified with HiFi Platinum Taq (Invitrogen) and primer pair MDR1ORFF-EagI + MDR1ORFR-MluI from SC5314 gDNA, and cloned between the *Eag*I and *Mlu*I restriction sites of pKE4 to produce plasmid pKE4MDR1.

C. albicans **strain construction**

Strains used in this study are listed in **Table 5-2**. Transformation of *C. albicans* with DNA constructs was performed using the lithium acetate method [\(217\)](#page-131-4). Gene deletion strains were constructed by the PCR-based approach described by Wilson et al. [\(190\)](#page-129-3) using the *ura3*Δ/Δ*his1*Δ/Δ*arg4*Δ/Δ strain BWP17 (kindly provided by Dr. Aaron Mitchell, Carnegie Mellon University). Strain CAI4 was kindly provided by Dr. William Fonzi (Georgetown University). Strain SC5314 has been previously described [\(216\)](#page-131-9). Control strain YJB6284 [\(215\)](#page-131-7) was kindly provided by Dr. Judith Berman (Tel Aviv University). The azole resistant strain AR46606 was kindly provided by Dr. Mairi C. Noverr (Louisiana State University Health Sciences Center).

The *vps21*Δ/Δ and *vps21*Δ/Δ*ura3*Δ/Δ were constructed in previous studies [\(51\)](#page-120-0). CAI4 and the *vps21*Δ/Δ*ura3*Δ/Δ were transformed with pKE4, pKE4ERG11, pKE4CDR1, pKE4CDR2, pKE4MDR1. Correct integration of the above plasmids fully restores the *URA3* and adjacent *IRO1* loci, and this was confirmed by PCR analysis using primer pair LUXINTDETF + LUXINTDETR which yields a 2199 bp product.

| Primer | Sequence (5^2-3^2) |
|----------------------|---|
| CDR1ORFF-Sall | TCAGTCGACATGTCAGATTCTAAGATGTCG |
| CDR1ORFR-MluI | TCAACGCGTGACGTTTGAGATACCACCATGTC |
| CDR2ORFF-Sall | TCAGTCGACATGAGTACTGCAAACACGTCT |
| CDR2ORFR-MluI | TCAACGCGTTTGTAAAATAAGACCCCATCC |
| ERG11ORFF | TCAGTCGACATGGCTATTGTTGAAACTGTC |
| ERG11ORFR | TCAACGCGTTGAATCGAAAGAAAGTTGCCG |
| LUXINTDETF | CTGACCTTTAGTCTTTCCTGC |
| LUXINTDETR | CAGTAGTACTTGTTGTTGTATCG |
| MDR1ORFF-EagI | TCACGGCCGATGCATTACAGATTTTTGAGAG |
| MDR1ORFR-MluI | TCAACGCGTATAGGAAAACAATGACACCTC |
| TEF1pF-KpnI | TCAGGTACCTGCAAATCTGTTTGCTGATGG |
| TEF1pR-Sall | TCAGTCGACGATTGATTATGACTATAATGTG |

Table 5-1. List of oligonucleotides used in the study described in Chapter 5.

Table 5-2. List of strains used in the study described in Chapter 5.

Antifungal susceptibility testing

Antifungal susceptibility testing of all the strains was performed using the broth micro-dilution method described in the CLSI document M27-A3 [\(218\)](#page-131-8) in a 96-well plate format. Fluconazole was diluted in DMSO in 2-fold dilutions at 200 times the final concentration. RPMI 1640 medium (Sigma-Aldrich) was prepared according to the CLSI document [\(218\)](#page-131-8), and the medium was buffered with morpholinepropanesulfonic acid (MOPS) and pH adjusted to 7.0 using NaOH. Plates were incubated without shaking at 35°C for 24 or 48 hours unless otherwise stated. The content of each well was carefully resuspended by pipetting up and down before OD_{600nm} was measured using a CytationTM 5 plate reader (BioTek Instruments, Inc.).

Mouse model of vaginal candidiasis and treatment of the *vps21***Δ/Δ mutant with fluconazole in vivo**

 The murine model of *Candida* vaginitis has been reported extensively in the literature and was performed as described previously [\(246\)](#page-133-7). The animals used in this study were housed in AAALAC-approved facilities located at the Louisiana State University Health Sciences Center (LSUHSC) in the School of Dentistry. The LSUHSC Animal Care and Use Committee approved all animals and protocols. Mice were given standard rodent chow and water *ad libitum*. Mice were monitored daily for signs of distress, including noticeable weight loss and lethargy. C57BL/6 mice were purchased from Jackson Laboratories and housed in isolator cages mounted onto ventilated racks. Mice were administered 0.1 mg of estrogen (β-estradiol 17-valerate; Sigma) dissolved in 0.1 mL sesame oil subcutaneously 72 hours prior to inoculation with *C. albicans*. Estrogen injections were administered weekly thereafter. Stationary-phase cultures of *C. albicans* isolates were washed three times in sterile, endotoxin-free phosphate-buffered saline (PBS) and resuspended in a 0.2X volume of PBS. Cell suspensions were diluted, counted on a Neubauer hemocytometer, and adjusted to 2.5×10^8 CFU mL⁻¹ in sterile PBS. Estrogen-treated mice were intravaginally inoculated with 20 μL of the standardized cell suspension, generating an inoculum size of 5×10^6 blastoconidia. Animals were infected with the following strains: SC5314 (WT), the *vps21*Δ/Δ mutant, the complemented strain ($vps2I\Delta/\Delta$ + $VPS2I$), and the azole resistant vaginal isolate AR46606. For fluconazole treatment, animals infected with each strain were divided into two groups of five mice each. Every group was administered fluconazole at 25 mg/kg or vehicle at day 4 post inoculation by oral gavage. Vaginal lavage was carried out at day 7 and 10 post inoculation to determine colony forming units (CFUs) as a measure of infection/clearance.

Results

The *C. albicans vps21***Δ/Δ mutant is susceptible to azole treatment in a mouse model of vaginal candidiasis**

Although trailing isolates are tolerant to azole treatment in vitro, in vivo studies conducted in mouse models of disseminated candidiasis suggest that such isolates are susceptible to azole treatment in vivo [\(143,](#page-126-0) [144\)](#page-126-1). In order to determine whether the *vps21*Δ/Δ mutant is susceptible or tolerant to azole treatment in vivo, and to establish the clinical significance of endosomal trafficking with respect to azole tolerance, we tested the *vps21*Δ/Δ mutant's response to fluconazole treatment in a mouse model of vaginal candidiasis. Mice were treated with estrogen in order to establish and maintain *C. albicans* infection. After 4 days post-infection, animals were treated with 25 μg/mL of fluconazole or vehicle, and clearance was determined as CFU from vaginal lavage at day 7 and 10.

The *vps21*Δ/Δ mutant was able to colonize the mouse vagina at levels similar to the control strains, as indicated by the CFUs of untreated animals at day 7 and 10 post infection (**Figure 5-1A** and **B**). Treatment with fluconazole reduced the CFUs of the *vps21*Δ/Δ mutant at day 7 and to a lesser degree at day 10 post infection (**Figure 5-1A**), to a similar extent to that observed in the susceptible *VPS21+* control strains. This suggests that the *vps21*Δ/Δ mutant is susceptible to azole treatment in vivo, like the susceptible control strains. Thus, the *vps21*Δ/Δ mutant's azole tolerance in vitro does not seem to translate into reduced susceptibility in vivo or increased fungal persistence upon azole treatment in the mouse vagina.

Overexpression of *ERG11* **antagonizes azole tolerance in the** *C. albicans vps21***Δ/Δ mutant**

 The need to explore the role of trailing growth in the development of azole resistance has been suggested previously [\(123\)](#page-125-0). Azole resistance mechanisms such as overexpression of *ERG11* as well as *CDR1*, *CDR2* and *MDR1*, and amino acid substitutions in Erg11p have been described in a multitude of azole resistant clinical isolates of *C. albicans* [\(81\)](#page-122-1). However, a combination of these mechanisms is usually required to confer high levels of azole resistance [\(125\)](#page-125-1). Moreover, there are a number of resistant clinical isolates in which no known mechanisms of azole resistance have been identified. Therefore we aimed to determine whether deficient endosomal trafficking may synergize or antagonize with known azole resistance mechanisms in *C. albicans*. To address this, we overexpressed the genes encoding for the target enzyme *ERG11*, and the efflux pumps *CDR1*, *CDR2*, and *MDR1* from the *TEF1* promoter in the *vps21*Δ/Δ mutant and tested the susceptibility of the "overexpressor" strains to fluconazole.

 Overexpression of the genes encoding the efflux pumps did not significantly affect the tolerance to fluconazole of the *vps21*Δ/Δ (**Figure 5-2A-C**), indicating that

Figure 5-1. The *C. albicans vps21***Δ/Δ mutant is susceptible to azole treatment in a mouse model of vaginal candidiasis.**

The susceptibility to fluconazole of the WT (SC5314), *vps21*Δ/Δ mutant, complemented control strain and the azole resistant clinical isolate AR46606 was compared in a mouse model of vaginal candidiasis. For each strain tested, groups of four animals were treated with 25 μg/mL of fluconazole or vehicle by oral gavage at 4 days post-infection (p.i.). Clearance/infection was determined as colony forming units (CFU) from vaginal lavage carried out at day (A) 7 and (B) 10 p.i.

Figure 5-2. Overexpression of efflux pumps does not synergize or antagonize azole tolerance in the *C. albicans vps21***Δ/Δ mutant.**

The genes encoding for the efflux pumps Mdr1p (A), Cdr1p (B), and Cdr2p were expressed from the *TEF1* promoter in CAI4 (WT) and *vps21*Δ/Δ mutant. The susceptibility to fluconazole of the overexpressing and control strains was compared using the standard CLSI broth microdilution protocol. Following 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. The means \pm standard deviations of two biological replicates are shown.

overexpression of efflux pumps does not synergize or antagonize with endosomal trafficking. Importantly, overexpression of *CDR1* in the *vps21*Δ/Δ mutant negatively affected the growth of the resulting strain in the control wells (no drug), but not in the presence of fluconazole as determined by OD_{600nm} (data not shown). Therefore it seems apparent that in the presence of fluconazole, the percentage growth of the *vps21*Δ/Δ mutant overexpressing *CDR1* is elevated with respect to the *vps21*Δ/Δ control strain, although the OD_{600nm} are similar (data not shown).

 Interestingly, overexpression of *ERG11* significantly reduced the fluconazole tolerance at the 48 hour time point, despite increasing the MIC of the mutant by 24 hours (**Figure 5-3A** and **B**). These suggests that overexpression of *ERG11* antagonizes azole tolerance dependent on altered endosomal trafficking.

Discussion

Thus far we have determined that the *C. albicans vps21*Δ/Δ mutant tolerates azole exposure, and this ability depends upon both Ca^{2+} and calcineurin signaling. Furthermore, we determined that the pattern of growth of the mutant in the presence of azoles resembled that of "trailing" isolates of *C. albicans*. In order to determine the clinical relevance of these findings, we studied possible interactions between azole tolerance as a result of loss of Vps21p-mediated trafficking through the PVC and known azole resistance mechanisms. No synergism or antagonism seems to occur between loss of Vps21p and overexpression of genes encoding for the efflux pumps Cdr1p, Cdr2p and Mdr1p. However, we found an antagonistic relationship between deletion of *VPS21* and overexpression of the gene encoding the azoles target enzyme Erg11p. Overexpression of *ERG11* increased the MIC in both *vps21*Δ/Δ mutant and wild-type after 24 hours incubation, but it reduced the tolerance of the mutant at the 48 hour time point. This is interesting, since in Chapter 3 we showed that the tolerance phenotype of the *vps21*Δ/Δ mutant was dependent upon Erg11p inhibition, reflecting a complex interaction between the expression of Erg11p and disruption of endosomal trafficking mediated by Vps21p.

We also tested the susceptibility of the *vps21*Δ/Δ mutant to azole treatment *in vivo* using a mouse model of vaginal candidiasis. We selected this model based on several considerations. First, the *vps21*Δ/Δ mutant has been shown to have reduced virulence in a mouse model of disseminated candidiasis, which can complicate the interpretation of results if the susceptibility to fluconazole is tested in this model. Secondly, the mouse vagina has a temperature of around 35°C and a neutral pH, similar to those used in the CLSI protocol for susceptibility testing. Several studies performed to date have suggested that the trailing growth phenotype is usually associated with *in vivo* susceptibility to azole therapy [\(143,](#page-126-0) [144\)](#page-126-1). In this context, we determined that our *vps21*Δ/Δ mutant was susceptible to azole treatment in vivo in a mouse model of vaginal candidiasis. These susceptibility results are analogous to those obtained for clinical trailing isolates in mouse models of disseminated candidiasis [\(143,](#page-126-0) [144\)](#page-126-1). However, whether or not endosomal trafficking defects contribute to the trailing growth phenomenon in clinical isolates is a

Figure 5-3. Overexpression of *ERG11* **reduces azole tolerance in the** *C. albicans vps21***Δ/Δ mutant.**

The *ERG11* ORF was expressed from the *TEF1* promoter in CAI4 (WT) and *vps21*Δ/Δ mutant. The susceptibility to fluconazole of the *ERG11*-overexpressing and control strains was evaluated using the standard CLSI broth microdilution protocol. Following 24 (A) and 48 hours (B) incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. The mean \pm standard deviations of two biological replicates are shown. The means of each group were compared using a two-tailed *t*-test. * *P* < 0.05, between the *vps21*Δ/Δ mutant and *vps21*Δ/Δ + *TEF1prERG11* strain.

matter that has not been explored to date.

 Collectively, these findings highlight the importance of Vps21p-mediated trafficking in determining the outcome of azole treatment in *C. albicans* both *in vitro* and *in vivo*.
CHAPTER 6. DISCUSSION†

Azole Antifungals and Vacuolar Integrity

The fungal lipid ergosterol is a major membrane component that plays key roles in supporting plasma membrane function and integrity [\(79,](#page-122-0) [80\)](#page-122-1). It has been implicated in processes that include endocytosis, homotypic vacuole fusion, pheromone signaling and the function of several proteins [\(81,](#page-122-2) [82,](#page-122-3) [169,](#page-128-0) [170\)](#page-128-1). The importance of ergosterol is such, that a number of antifungal drugs target its biosynthesis. The most important class of antifungals currently in clinical use is the azoles. They prevent the synthesis of ergosterol by inhibiting the enzyme lanosterol 14-demethylase (Erg11p). In addition, inhibition of Erg11p leads to the accumulation of methylated sterols that ultimately insert in the plasma membrane and cause instability and loss of function that result in growth inhibition [\(92\)](#page-123-0). Loss of plasma membrane function due to both the accumulation of toxic sterol species and ergosterol depletion in the plasma membrane have been widely acknowledged as the main antifungal mechanisms of azole antifungals [\(196\)](#page-130-0). However, other intracellular membranes contain ergosterol, although in relatively smaller quantities [\(173\)](#page-128-2). Therefore the integrity and function of intracellular membranes can be compromised due to azole treatment and inhibition of ergosterol biosynthesis. This opens the question of whether the antifungal activity of the azoles is only due to loss of plasma membrane integrity or if it is also associated with the dysfunction of intracellular membranes.

The vacuole is a membrane-bound compartment whose importance in stress responses and pathogenesis has been well defined in fungi [\(156,](#page-127-0) [166\)](#page-128-3). In this work, we showed that treatment with azole antifungals severely compromise *C. albicans* vacuole integrity, and that this phenomenon is a result of Erg11p inhibition and not an off-target effect of azole treatment. Furthermore, vacuole disruption was shown to occur as an early event following drug exposure, before significant growth inhibition was observed. These findings support the notion that azole antifungals not only disrupt plasma membrane integrity but other intracellular membrane compartments are also affected. In addition to supporting *C. albicans* resistance to a multitude of different stresses, the vacuole has been shown to support hyphae formation and the ability of *C. albicans* to survive and cause lethality in vivo. *C. albicans* mutants with severe defects in vacuolar function have reduced growth rates, and are susceptible to multiple stresses, defective in filamentous growth and unable to cause lethality in a mouse model of disseminated candidiasis [\(49,](#page-120-0) [51,](#page-120-1) [166\)](#page-128-3). Thus it is possible that the impairment of vacuolar function as a result of azole exposure contributes to the antifungal activity of the azoles, in addition to the

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consequences on plasma membrane integrity. In this regard, recent work suggested that loss of ergosterol upon azole treatment affects the function of the V-ATPase responsible for vacuolar acidification, which is necessary for the function of hydrolytic enzymes and homotypic vacuole fusion [\(79\)](#page-122-0). The function of a number of other vacuolar membrane proteins may also be affected by azole treatment, including amino acid exchangers, transporters, ion pumps and channels, among others.

 Whether vacuole disruption following azole treatment is mediated by ergosterol depletion, by the accumulation of toxic sterol species in the membrane or a combination of both factors is less clear. Several methylated sterol species accumulate in the plasma membrane as a consequence of Erg11p inhibition and results in increased permeability, defective membrane fluidity, and ultimately, growth inhibition [\(141\)](#page-126-0). Among those, 14αmethylergosta-8,24(28)-dien- 3β,6α-diol amasses in large quantities in the plasma membrane in cells exposed to azoles and it is thought to play a major role in the mechanism of action of these antifungals [\(141\)](#page-126-0). This sterol is a metabolic product of Erg3p after inhibition of ergosterol biosynthesis at the Erg11p step [\(81,](#page-122-2) [141\)](#page-126-0). Interestingly, deletion of *ERG3* ameliorated the impact of azole treatment on the integrity of the *C. albicans* vacuole, suggesting that the activity of Erg3p and presumably the production of toxic methylated sterols contribute at least in part to the deleterious effects of azole treatment on the integrity of the fungal vacuole.

Vacuolar Trafficking as a Determinant of the Antifungal Efficacy of Ergosterol Biosynthesis Inhibitors

 Another major finding of this work is the impact that vacuolar dysfunction can have on the antifungal efficacy of the azoles. Normal vacuolar function depends on several trafficking pathways [\(201\)](#page-130-1), each contributing to different extents to processes such as hyphal growth, stress resistance and virulence [\(50,](#page-120-2) [51,](#page-120-1) [182\)](#page-129-0). Due to the critical role of the vacuole in survival and pathogenesis of *C. albicans* and the extensive clinical use of azoles, it was of significance to evaluate how vacuolar dysfunction affects azole susceptibility in the context of vacuolar membrane trafficking. In this regard, we found that deficiency in autophagy or ALP trafficking did not cause significant changes in azole susceptibility. However, lack of the Rab GTPase Vps21p, which controls membrane fusion events at the pre-vacuolar compartment, enhanced *C. albicans* survival after azole exposure. Interestingly, Vps21p seems to not only impact fungal susceptibility to azoles antifungals but also other ergosterol biosynthesis inhibitors like terbinafine and amorolfine, which inhibit alternate steps along the ergosterol biosynthetic pathway. Interestingly, the effect of Vps21p on azole tolerance seems to be particular to the trafficking step that it mediates, since the azole tolerance phenotype was also observed in a *pep12*Δ/Δ mutant. Moreover, we also found that membrane fusion events at the vacuolar membrane mediated by another Rab GTPase, Ypt72p, can affect *C. albicans* susceptibility to azole antifungals, but to a lesser degree than Vps21p. This further highlights the importance of vacuolar function and dysfunction, and specifically vacuolar trafficking pathways, on the antifungal activity of the azoles and other ergosterol biosynthesis inhibitors.

The mechanism by which the *C. albicans* ν *ps21* Δ/Δ mutant was tolerant to azole treatment, but more hypersensitive to other ergosterol biosynthesis inhibitors is not clear. However, by targeting alternate steps along the ergosterol biosynthetic pathway, treatment with each class of inhibitor creates a particular sterol profile that depends on the enzyme(s) being inhibited. Since intracellular sterol trafficking depends on both vesicle and non-vesicle mediated transport [\(247\)](#page-133-0), disruption of a particular trafficking step could affect the distribution of the sterols accumulated upon drug treatment, thus enhancing or avoiding the effects that the buildup of a particularly toxic sterol has on the membranes where they are delivered. For the *vps21*Δ/Δ mutant, disruption of trafficking at the PVC could prevent the toxic sterols accumulated upon Erg11p inhibition from reaching the PVC itself or another target membrane for which the PVC is an intermediate step. However, this effect seems to be deleterious when Erg1p or Erg2p and Erg24p are targeted and presumably different sterols profiles are produced.

The tolerance phenotype of the $vps21\Delta/\Delta$ mutant resembles the trailing growth phenomenon that is observed during standard azole susceptibility testing in ~18% of *C. albicans* clinical isolates [\(145\)](#page-127-1). "Trailing" isolates appear to be susceptible to azole treatment at 24 hours but can appear as azole resistant strains at the 48 hour time-point [\(144\)](#page-126-1). The *vps21*Δ/Δ mutant not only "mimicked" the 24 vs 48 hours pattern of growth but also displayed some other features that "trailing" clinical isolates present such as enhanced growth despite ergosterol depletion and elimination of the trailing phenotype in acidic medium or upon incubation at 25°C or 42°C [\(124,](#page-125-0) [143,](#page-126-2) [219,](#page-131-0) [221\)](#page-131-1). Moreover, the *vps21*Δ/Δ mutant was susceptible to azole treatment in vivo, a classic feature of "trailing" isolates. These observations open the question of whether clinical isolates that display trailing growth have altered or abnormal endosomal trafficking. This question can be addressed by performing phenotypic analysis on trailing isolates in order to identify phenotypes similar to that of the *vps21*Δ/Δ mutant in response to stressors such as hypersensitivity to SDS and Congo red, delayed endocytosis [\(50,](#page-120-2) [51\)](#page-120-1) and differential responses to ergosterol biosynthesis inhibitors. Nevertheless, the mechanisms underlying trailing growth in clinical isolates are far from being completely understood.

Connecting the Dots: Vacuolar Trafficking, Ca2+ Homeostasis and Azole Tolerance

The relationship between Ca^{2+} and membrane trafficking has been previously recognized, exemplified by the role for Ca^{2+} in the early stages of vesicular trafficking [\(248\)](#page-133-1) as well as in the context of endosomal membrane trafficking and yeast homotypic vacuole fusion [\(249,](#page-133-2) [250\)](#page-133-3). Two important findings of this work are that disruption of endosomal trafficking mediated by Vps21p creates a $Ca²⁺$ homeostasis defect characterized by elevated levels of intracellular Ca^{2+} , and that the tolerance of the *vps21* Δ/Δ mutant is dependent upon Ca²⁺ and Ca²⁺-dependent signaling via the serine/threonine protein phosphatase calcineurin. Ca^{2+} dependent signaling has been widely linked to azole tolerance in fungi [\(147\)](#page-127-2). In addition, the vacuole is the major storage site of intracellular calcium in fungi and several proteins that regulate the Ca^{2+} fluxes required for calcineurin signaling are located on the vacuolar membrane [\(161\)](#page-128-4). These include the Ca²⁺ pump Pmc1p, the Ca²⁺/H⁺ exchanger Vcx1p and the Ca²⁺ channel

Yvc1p [\(161\)](#page-128-4). Disruption of endosomal trafficking could potentially cause abnormal delivery of these proteins to the vacuolar membrane, thus altering Ca^{2+} homeostasis and contributing to azoles tolerance. However, we have determined that deletion of either *VCX1* or *PMC1* (see **Appendix C** for a list of strains) does not affect *C. albicans* azole susceptibility or induce trailing growth under standard testing conditions (**Figure 6-1A** and **B**). This suggests that if defects in trafficking lead to a lack of Pmc1p or Vcx1p in the vacuolar membrane, they may not be sufficient to alter azole susceptibility.

Another possibility is that the mechanisms that regulate Ca^{2+} pumps and channels on the plasma membrane are affected by the trafficking defects of the *vps21*Δ/Δ mutant and, this in turn, leads to the Ca2+ homeostasis defects observed. The *S. cerevisiae* secretory pathway, responsible for the transport of a large number of plasma membrane and extracellular proteins, require the Ca^{2+} pump Pmr1p [\(251\)](#page-133-4). Pmr1p was shown to be regulated by ESCRT components involved in membrane sorting at the PVC, including Vps28p. Mutants lacking Pmr1p or components of vesicle-mediated trafficking [\(161\)](#page-128-4) exhibit higher rates of Ca^{2+} uptake due to constitutive activation of a high-affinity Ca^{2+} influx system (HACS) [\(161\)](#page-128-4) formed by Cch1p and Mid1p [\(252\)](#page-133-5), the yeast homologous of the mammalian L-type Ca^{2+} channel. Cch1p/Mid1p appear to ensure the uptake of Ca^{2+} needed by Pmr1p to sustain the secretory pathway [\(252\)](#page-133-5). Thus, alterations in PVC membrane trafficking could potentially alter Ca^{2+} homeostasis via several mechanisms. Although we did not establish the mechanism responsible for the increased levels of cytoplasmic Ca²⁺ in the mutant, we found that the adjunctive treatment with Ca²⁺ channel blocker nisoldipine rendered the *vps21*Δ/Δ mutant sensitive to fluconazole. These results highlight the need of the mutant for an active Ca^{2+} channel in order tolerate azole treatment, presumably Cch1p/Mid1p.

 We also found that calcineurin dependent gene expression in the *vps21*Δ/Δ mutant appears to be enhanced upon azole exposure, as indicated by the reporter assay using GFPγ under the promoter of the calcineurin responsive gene *RTA2*. As expected, inhibition of calcineurin signaling with CsA or FK506 rendered the *vps21*Δ/Δ mutant susceptible to fluconazole. The role of calcineurin signaling in the mutant's azole tolerance could be explained in the models outlined in **Figure 6-2** and **Figure 6-3**. In a "wild-type" strain (**Figure 6-2**), azole treatment (step 1) causes a release of Ca^{2+} from intracellular stores and influx from the medium (step 2). This in turn leads to activation of calcineurin signaling (step 3), followed by dephosphorylation of a calcineurin-dependent transcription factor such as Crz1p (step 4) and the expression of genes involved in stress response (step 5) and azole tolerance (step 6).

 In the *vps21***Δ/Δ mutant** (**Figure 6-3**): disruption of Vps21p-mediated trafficking (step 1) creates a calcium homeostasis defect (i.e., elevated basal levels of cytoplasmic Ca^{2+}) that may rely on a plasma membrane channel (perhaps Cch1p/Mid1p, step 2). This may affect the basal level of calcineurin activity and/or affect the magnitude or duration of calcineurin signaling (step 3) and downstream events (step 4). This could lead to enhanced expression of genes involved in stress response (step 5), which promote the mutant's survival (step 6) once it is exposed to azole antifungals (step 7).

Figure 6-1. The vacuolar calcium pump Pmc1p and the Ca2+/H+ exchanger Vcx1p do not impact *C. albicans* **susceptibility to fluconazole.**

The susceptibility to fluconazole of the *C. albicans vcx1*Δ/Δ (A) and *pmc1*Δ/Δ mutants (B) were compared to WT (YJB6284), and 'reconstituted' control strain using the standard CLSI broth microdilution protocol. Growth is expressed as a percentage of the growth in the minus drug (DMSO) control wells. The means \pm standard deviation of two biological replicates is shown for each panel.

Figure 6-2. Accepted model of Ca2+ dependent response to azole antifungals in *C. albicans***.**

Azole exposure (1) induces rapid fluxes of Ca^{2+} from the extracellular space and its release from intracellular stores into the cytoplasma (2). The elevated Ca^{2+} levels allow activation of the calcineurin signaling pathway (3 and 4) and the expression of number of genes (5) involved in stress response (5) and survival to azoles (6).

Figure 6-3. Proposed model for the Ca^{2+} dependent azole tolerance in the C. *albicans vps21***Δ/Δ mutant.**

Disruption of membrane trafficking mediated by the Rab GTPase Vps21p (1) causes a $Ca²⁺$ homeostasis defect (2) represented by elevated levels of intracellular $Ca²⁺$ that may alter the intensity of calcineurin activity (3). Calcineurin-dependent transcription factors (4) then drive the *enhanced* expression of genes involved in stress responses (5) that support the survival of the *vps21*Δ/Δ mutant upon azole exposure (6 and 7).

 Although loss of Vps28p, an ESCRT component involved in MVB biogenesis at the PVC, affects Ca^{2+} homeostasis leading to activation of calcineurin signaling in *S*. *cerevisiae*, our results indicate that Vps28p does not impact azole tolerance in *C. albicans*. Furthermore, it was previously reported that a *C. albicans* mutant defective in retrograde trafficking from the PVC back to the Golgi is highly sensitive to the azoles despite having upregulated calcineurin signaling [\(222\)](#page-131-2). Taken together, these findings indicate that azole tolerance in membrane trafficking mutants does not only depend on activation of calcineurin signaling, but it is also determined by the trafficking steps blocked. In support of this notion deletion of *APS3* (see **Appendix C** for a list of strains), which controls trafficking through the ALP pathway, abolished the *C. albicans vps21*Δ/Δ mutant's ability to withstand azole exposure (**Figure 6-4**) despite having no effect on the susceptibility of the "wild-type" (**Figure 3-2B**). Thus, the *vps21*Δ/Δ mutant's azole tolerance is not only dependent on Ca^{2+} and calcineurin signaling, but also relies on membrane trafficking, specifically from the Golgi to the vacuole. This further highlights the significance of membrane trafficking in the antifungal activity of azole antifungals in *C. albicans*.

Concluding Remarks

 The work described herein revealed that a *C. albicans vps21*Δ/Δ mutant displays enhanced growth in response to azole treatment, resembling an exaggerated form of the trailing growth phenomenon. However, it is unclear how, or if the azole tolerant phenotype of the *vps21*Δ/Δ mutant relates to that of trailing clinical isolates, or if these clinical isolates have abnormal endosomal trafficking. This could be investigated by testing trailing clinical isolates for phenotypes indicative of endosomal trafficking defects. This would include sensitivity to SDS, Congo red, allylamines and morpholines, and delayed endocytosis [\(50,](#page-120-2) [51,](#page-120-1) [186\)](#page-129-1).

While deletion of *VPS21* alone was not sufficient to confer a survival advantage upon *C. albicans* following azole treatment in the mouse model of vaginal candidiasis, several important questions remain. First, the azole susceptibility of the *vps21*Δ/Δ mutant could be tested in a mouse model disseminated infection. This may be important because several *C. albicans* mutants that are avirulent in the disseminated model have no apparent defects in pathogenicity in the vaginal model of infection. For example, we have recently reported that *C. albicans* lacking *ERG2* or *ERG24*, which encode enzymes involved in ergosterol biosynthesis, are pathogenic in a mouse model of vaginal infection but not in the mouse model of disseminated candidiasis [\(172\)](#page-128-5). Second, as the fungistatic azoles depend upon the host's immune defenses to clear fungal infections, it will be important to determine if the *C. albicans vps21*Δ/Δ mutant have a survival advantage over the wildtype following azole treatment in immunocompromised hosts. This can be tested by conducting similar experiments to those described herein but using immunosuppressed mice. Third, it is crucial to determine if cells that persist following azole exposure, such as the *vps21*Δ/Δ mutant and trailing isolates, are more predisposed to develop azole resistance overtime. This can be tested by exposing both wild-type and *vps21*Δ/Δ mutant or trailing isolates to increasing concentrations of azole antifungals and comparing the

frequency of appearance of azole resistance in each passage. Finally, it was also revealed that the *C. albicans* $vps21\Delta/\Delta$ mutant has elevated basal levels of Ca^{2+} and enhanced calcineurin-dependent transcriptional response following azole exposure. It will also be important to determine if differences in basal levels of intracellular free Ca^{2+} and azoleinduced Ca^{2+} -dependent signaling contribute to variations in azole susceptibility in clinical isolates. This can be tested by using several of the methodologies described in this work.

Figure 6-4. Disruption of the ALP trafficking pathway in the *C. albicans vps21***Δ/Δ mutant eliminates azole tolerance.**

The susceptibility to fluconazole of the *vps21*Δ/Δ*aps3*Δ/Δ double mutant was compared to that of the *vps21*Δ/Δ mutant, *aps3*Δ/Δ, YJB6284 (WT), and 'reconstituted' control strains using the standard CLSI broth microdilution protocol. Growth was measured as OD600nm and expressed as a percentage of the DMSO control wells. The mean \pm standard deviation of two biological replicates is shown.

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APPENDIX A. SUPPLEMENTAL FIGURES FOR CHAPTER 3

Figure A-1. The *C. albicans* **Vps21p paralogs Ypt52p and Ypt53p do not significantly affect susceptibility to miconazole.**

(A-C) The susceptibility of *C. albicans ypt52*Δ/Δ (A), *ypt53*Δ/Δ (B), and *ypt52*Δ/Δ*ypt53*Δ/Δ (C) mutants to miconazole was tested using the standard CLSI broth microdilution protocol. After 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. (D-F) The susceptibility of *C. albicans ypt52*Δ/Δ*ypt53*Δ/Δ (D), *vps21*Δ/Δ*ypt52*Δ/Δ (E) and *vps21*Δ/Δ*ypt53*Δ/Δ (F) double mutants was tested as described above. The mean of at least two independent experimental replicates is shown for all panels.

Figure A-2. Deletion of *VPS28* **does not affect** *C. albicans* **susceptibility to fluconazole.**

The susceptibility of the *C. albicans vps28*Δ/Δ mutant and control strains to fluconazole was evaluated using the standard CLSI broth microdilution protocol. After 48 hours incubation, growth was measured as OD_{600nm} and expressed as a percentage of the growth in the minus drug (DMSO alone) control wells. The means \pm standard deviations of three biological replicates are shown.

Figure A-3. The composition of sterols extracted from fluconazole-treated *vps21***Δ/Δ mutant is similar to that of the isogenic control.**

The *C. albicans vps21*Δ/Δ mutant and its isogenic control strain were grown in YNB broth with 4 µg/mL fluconazole (A and B top panel) or 0.5% DMSO (A and B bottom panel) for 24 hours at 35°C, total cellular sterols extracted using n-heptane and analyzed by NMR analysis.

Figure B-1. Fluconazole induced expression of GFP from the *RTA2* **promoter is calcineurin dependent.**

The *RTA2pr-GFPγ* expression construct was introduced into *C. albicans* strain CAI4, and the resulting strain cultured in YNB medium with either 1 µg/mL fluconazole (FLU), 2 μ g/mL cyclosporine A (CsA), a combination of both drugs, or 0.5% DMSO. Cells were incubated for 24 hours at 30ºC. Fluorescence intensity was determined by excitation at 488 nm and emission at 507 nm, normalized for cell density OD_{600nm} , and expressed as relative fluorescence units (RFU). The mean \pm standard deviation of two biological replicates is shown. A two-tailed *t*-test was used to compare the mean of each group; * *P* < 0.006 .

Figure B-2. The calcineurin signaling inhibitor FK506 eliminates the *C. albicans vps21***Δ/Δ mutant's azole tolerance.**

The effect of calcineurin signaling inhibition with FK506 on azole tolerance in the WT (A), $vps21\Delta/\Delta$ mutant (B) and isogenic control strain (C) was evaluated using a checkerboard assay using the standard CLSI broth microdilution protocol. Growth was determined as a percentage of the minus drug (DMSO) control. A representative experiment is shown, but similar results were obtained in a second repeat experiment.

APPENDIX C. LIST OF STRAINS DESCRIBED IN CHAPTER 6

VITA

Arturo L. Luna, son of Luis Luna and Virgilia Tapia, was born in the town of Flor del Monte, Department of Sucre - Colombia in 1984. After graduating from high school at his hometown, he moved to the Department's capital Sincelejo to pursue college education, earning a Bsc in Biology in 2008 from the Universidad de Sucre. In 2010, he was awarded a Fulbright scholarship to pursue Graduate education in the United States. He entered the Microbiology, Immunology and Parasitology Graduate Program at LSU Health Sciences Center New Orleans in the Fall semester of 2011. In 2015 he moved with his advisor to Memphis, and transferred to The University of Tennessee Health Science Center to continue his graduate education in the Microbiology, Immunology and Biochemistry track of the Integrated Program in Biomedical Sciences. He expects to complete his doctoral degree by December 2016.