

Original Article

On reflexivity, denseness and compactness of numerical radius attainable operators

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Abstract

In this paper, we study the properties of normal self-adjoint operators. We concentrate on some of their properties, for example, reflexivity, denseness and compactness. We also give some results on norm-attainability.

Keywords: Reflexivity, Compactness, Denseness, Numerical radius attainability, Normal operators and Self-adjoint operators.

1. Introduction

We consider certain properties of operators. A lot of studies have been done on reflexivity, compactness and numerical radius attainability on Hilbert space operators [1-12] and the reference therein.

2. Preliminaries**2.1 Definition**

An operator $A \in B(H)$ attain its numerical radius if there are $x_0 \in H$, $f_0 \in H^*$ such that $\|x_0\| = \|f_0\| = f_0(x_0) = 1$ and $|f_0(Ax_0)| = r(A)$, that is if the supremum defining $r(A)$ is actually a maximum.

2.2 Lemma

Let each operator $S \in M(A)$ be of rank one and attains its numerical radius. Then $M(A)$ is reflexive.

Proof. For proof see [2].

3. Main Results**3.1 Theorem**

Let $M(A)$ be reflexive. Then it is Banach and for some $y_0 \in Q_{M(A)}$ the operator $y^* \otimes y_0^*$ attains its numerical radius for any $y^* \in [M(A)]^*$.

Proof.

Let $M(A)$ be dense and non-reflexive. Suppose that every operator $y^* \otimes y_0^*$ attains its numerical radius. By the Bishop-Phelps Theorem in [4] and the non reflexive of $M(A)$, we find $(y^* \otimes y_0^*) \in \widehat{\Pi}(M(A)^*)$ which satisfies $|y_0^{**} - y_0| < 1$ and $y^{**} \notin X$, and since $y_0^{**}(y_0^*) - y_0^*(y_0) < 1$ and since $y_0^{**}(y_0^*) = 1$, then $y_0^*(y_0) \neq 1$ and

$$\alpha y_0^{**}(y_0^*) = 1 \quad (1)$$

For some scalar $\beta \neq 0$. By the Hahn-Banach Theorem, there $\xi \in Q_{M(A)^{***}}$ and $t > 0$

Such that $\xi(y) = 0, \forall y \in M(A)$ and $Re \xi(y_0^{**}) > t$. $M(A)$ is dense, therefore in $M(A)^{***}$ the topology of strong convergence on $M(A) \cup \{y_0^{**}\}$ is dense. Since $Q_{M(A)^*}$

is w^* -dense in $Q_{M(A)^{***}}$, there exist a sequence $\{y_0^{**}\}$ in $Q_{M(A)^*}$ converges to φ in $\sigma((A)^{***}, M(A) \cup \{y_0\})$. Then

$$\{y_n^*(y)\} \rightarrow 0, \forall y \in M(A) \quad (2)$$

And assume

$$Re y_0^{**}(y_n^*) \quad (3)$$

The set $C = \widehat{\Pi}(M(A))$ and $D = \widehat{\Pi}(M(A)^*)$ (C) are considered as subsets of D . But the function $f_n: \widehat{\Pi}(M(A)) \rightarrow \mathbb{R}$ given by $f_n(y) = y^*(y)^{**}, y^{**} = y^{**}(y_n^*)y^*(y_0), (y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)$. For each sequence $\{g_n\}$ with $0 \leq g_n \leq 1$ and

$$\sum_{n=1}^{\infty} g_n f_n(y^*, y^{**}) = Re y^{**}(\sum_{n=1}^{\infty} g_n y_n^*)y^*(y_0), \forall (y^*, y^{**}) \in \widehat{\Pi}(M(A)^*).$$

We now get

$$\sup_{(y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)} \lim_n \sup Re y_n^*(y)y^*(y_0) \geq \inf_{x^* \in CO\{y_n^*\}} \sup_{(y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)} Re y^{**}(x^*)y^*(y_0) \quad \text{But, } \sup_{(y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)} \lim_n \sup Re y_n^*(y)y^*(y_0) = 0 \quad (4)$$

and from (3) and (1), suppose $x^* \in \{y_n^*\}$, then $Re y^{**}(x^*) \frac{\beta}{\beta} y_0^*(y_0) \geq \frac{t}{\beta}$, and

$$\inf_{x^* \in CO\{y_n^*\}} \sup_{(y^*, y^{**}) \in \widehat{\Pi}(M(A)^*)} Re y^{**}(x^*)y^*(y_0) \geq \frac{t}{\beta} \quad (5)$$

Finally, from (4), (5) we get $0 \geq \frac{t}{\beta}$, but $t > 0$ which is a contradiction.

3.2 Theorem

Let $Y \in M(A)$ be a rank one operator not attaining its numerical radius. Then $M(A)$ can be renormed if it is infinite dimensional.

Proof.

Let $M(A)$ to be reflexive and for normalized elements $y_0 \in B_{M(A), s_0^* \in BM(A)^*}$, the equality $v(s_0^* \otimes y_0) = \|s_0^* \otimes y_0\| = 1$ is true if $s_0^*(y_0) = 1$, since $v(s_0^* \otimes y_0)$ is attained at $y_0, s_0^* \in \widehat{\Pi}(M(A))$ [1, 2, 3, 4 and 5]. Now if $v(s_0^* \otimes y_0) = 1$ then we have $s_0^*(y_0) = 1 = s_0^*(s)$ and commuting the elements s and s^* we obtain in $\widehat{\Pi}(M(A))$ satisfying $s_0^*(y_0) = 1 = s_0^*(s)$ (6)

Let y_0^* be unique in the ball of $M(A)^*$ and $y_0^*(y_0) = 1$. From the smoothness of y_0 we obtain $s^* = y_0^*$. Since $(s, y_0^*) = (s, s^*) \in \widehat{\Pi}(M(A))$ x will uniquely be determined by assuming that y_0^* is also smooth and so $s = \lambda y_0$ for some $\lambda = 1$ and $(s, s^*) = (\lambda y_0, y_0^*)$. Using (1) again, $s_0^*(\lambda y_0) = s_0^* = 1$, and the smoothness of y_0 gives us $\lambda s_0^* = y_0 = s^*$. Finally, the couple (s, s^*) is (y_0, y_0^*) . It is sufficient that $s_0^* \otimes y_0$ satisfies

$v(s_o^* \otimes y_o) = \|y_o\| = \|s_o^*\| = 1$, with y_o, s_o^* smooth and hence $s_o \notin \mathbb{K}z_o$, for some $s_o \in B_{M(A)}$ such that $s_o^*(s_o) = 1$. Next if the numerical radius of the operator is 1, then there exist $\{s_n, s_n^*\} \subseteq \prod(M(A))$ so that $\{s_n^*(y_o)\} \rightarrow 1$ (7)

By inequality $2 \geq \|s_n + y_o\| \geq s_n^*(s_n + y_o)$ and (8), we have $\{\|s_n + y_o\|\} \rightarrow 2$. Similarly, if s_o is a w -cluster point of $\{s_n\}$, (8) will also give us $s_o^*(s_o) = 1$. Conversely, if $\{s_n\}$ converges in the w -topology to an element s_o in the unit ball and $\{\|s_n + y_o\|\} \rightarrow 2$, then there is a sequence of norm one functional $\{s_n^*\}$ so that the sequence $\{s_n^*(s_n)\}$ and $\{s_n^*(y_o)\}$ converges to 1. By Bishop-Phelps-Bollobas Theorem [1, 2, 3, 4, 5] we assume that $s_n^*(s_n) = 1$ and so, we fix an element s_n^* in the unit sphere of the dual so that $s_o^*(s_o) = 1$, and we have $\lim_n s_o^*(s_n) = s_o^*(s_o) = 1, \lim_n s_n^*(y_o) = 1$ and therefore

$v(s_o^* \otimes y_o) \geq \sup_n s_o^*(s_n) s_n^*(y_o) \geq 1$, implying that the numerical radius of the operator is 1.

3.3 Corollary

Let $M(A)$ be a Banach algebra. Then every operator in $M(A)$ can be perturbed by a normal self-adjoint operator to obtain an operator in $B(H)$.

Proof.

Suppose $X \in M(A)$ with $\|X\| = 1$ and $0 < \varepsilon < \frac{1}{2}$ given. From [2, 3 and 4] two decreasing sequences of positive numbers, $\{\alpha_n\}$ and $\{\delta_n\}$ are chosen with the following conditions satisfied

$$\sum_{i=1}^{\infty} (\alpha_i + 2\alpha_i^2) < \varepsilon; \lim_{n \rightarrow \infty} \alpha_n = \frac{1}{2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2) = \left\{ \frac{\delta_n}{\alpha_n} \right\} \rightarrow 0 \tag{8}$$

(We choose $\alpha_n = \frac{\varepsilon}{3 \cdot 2^{2n}}$, for example, and $\delta = \alpha_n^3$). The sequence X_n in $M(A)$ and $\{a_n, f_n\}$ in $\prod(A)$ are constructed satisfying $X_1 = X$,

$$|f_n(X_n(a_n))| > v(X_n) - \delta_n \tag{9}$$

$$X_{n+1}(a) = X_n(a) + \alpha_n \lambda_n f_n(a) a_n + \alpha_n^2 f_n(X_n(a)) a_n \quad (a \in A) \tag{11}$$

Where $|\lambda_n| = 1$ and $f_n(X_n(a_n)) = \lambda_n |f_n(X_n(a_n))|$. It can be verified by induction that

$$\|X_{n+1}\| \leq 1 + \sum_{i=1}^{\infty} (\alpha_i + 2\alpha_i^2) \leq 2, \forall n \tag{12}$$

$$\|X_{n+1} - X_n\| \leq 1 + \sum_{i=1}^{n+k-1} (\alpha_i + 2\alpha_i^2), \forall n, k \tag{13}$$

By (12) and (7), the norm of the sequence $\{X_n\}$ converges to an operator G in $M(A)$ satisfying $\|G - X_n\| \leq \sum_{i=1}^{n+k-1} (\alpha_i + 2\alpha_i^2), \forall n, k$. (14)

For all n , and particularly $\|G - X\| < \varepsilon$. With X_n playing the role of $X, \delta = \delta_n, \alpha = \alpha_n, \rho = \alpha_{n+k+2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2)$, $(a, f) = a_n, f_n$ and $(y, h) =$

$$\begin{aligned} &(a_{n+k}, f_{n+k}), \text{ so that the operator } X' \text{ agrees with } X_{n+1} \text{ and we have} \\ &1 + \alpha_n v(X_n) \leq |f_n(a_{n+k})| + \alpha_n |f_n(X_n(a_{n+k}))| + \frac{1}{\alpha_n} [\delta_{n+k+2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2) + 2\alpha_i^2 + \delta_n] + \alpha_n \\ &\leq |f_n(a_{n+k})| + \alpha_n |f_n(X_n(a_{n+k}))| + \frac{1}{\alpha_n} [\delta_{n+k+2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2) + \delta_n] + \alpha_n \end{aligned}$$

Here, the fact that δ_n is a decreasing sequence is used for the last inequality. We now replacing X_n by G in the inequality above and use

the estimate of $G - X_n$ given by (13) (to neutralize the errors) and we get $1 + \alpha_n v(G) \leq |f_n(a_{n+k})| + \alpha_n |f_n(G(a_{n+k}))| + \varepsilon_n$ where $\varepsilon_n = \frac{1}{\alpha_n} [\delta_{n+k+2} \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2) + \delta_n(1 + \alpha_n^2)] + 2\alpha_n \sum_{i=n+1}^{\infty} (\alpha_i + 2\alpha_i^2)$.

Hence by (7) and due to the fact that the sequence $\alpha_n \rightarrow 0$ and $\delta_n \rightarrow 0$, then $G \in B(H)$.

3.4 Theorem

Let $A \in B(H)$ be normal and $M(A)$ be compact and dense in $B(H)$. Then A is compact.

Proof.

Let $A \in B(H)$ and $M(A) \subseteq B(H)$. Suppose that x_n is a strongly convergent sequence in H then Ax_n is also a strongly convergent sequence in $M(A)$. As A is normal then $M(Ax_n) \rightarrow 0$ hence $M(A)$ is normal. But $M(A)$ is compact and dense. Then $Ax_n \rightarrow 0$ for every strongly convergent sequence (x_n) from H . Then we also have $Ax_n \rightarrow 0$. Since A is normal [4,7] then the operator A^* is also normal. Since x_n is a strongly convergent sequence in H then $A^* Ax_n \rightarrow 0$ and A is closed. This implies that A is compact.

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