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## Strong Concatenable Processes: An Approach to the Category of Petri Net Computations

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ABSTRACT. We introduce the notion of strong concatenable process for Petri nets as the least refinement of non-sequential (concatenable) processes which can be expressed abstractly by means of a functor  $\mathcal{Q}[.]$  from the category of Petri nets to an appropriate category of symmetric strict monoidal categories with free non-commutative monoids of objects, in the precise sense that, for each net N, the strong concatenable processes of N are isomorphic to the arrows of  $\mathcal{Q}[N]$ . This yields an axiomatization of the causal behaviour of Petri nets in terms of symmetric strict monoidal categories.

In addition, we identify a *coreflection* right adjoint to Q[.] and we characterize its replete image in the category of symmetric monoidal categories, thus yielding an abstract description of the category of net computations.

## Introduction

Petri nets, introduced by C.A. Petri in [17] (see also [18, 20]), are unanimously considered among the most representative models for concurrency, since they are a fairly simple and natural model of concurrent and distributed computation. However, Petri nets are, in our opinion, not yet completely understood.

Among the semantics proposed for Petri nets, a relevant role is played by the various notions of process [19, 9, 2], whose merit is to provide a faithful account of computations involving many different transitions and of the causal connections between the events occurring in a computation. However, process models, at least in their standard forms, fail to bring to the foreground the algebraic structure of nets and their computations. Since such a structure is relevant to the understanding of nets, they fail, in our view, to give a comprehensive account of net behaviours.

The idea of looking at nets as algebraic structures [20, 16, 23, 24, 3, 4, 15], has been given an original interpretation by considering monoidal categories

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as a suitable framework [13]. In fact, in [13, 6] the authors have shown that the semantics of Petri nets can be understood in terms of symmetric monoidal categories—where objects are states, arrows processes, and the tensor product and the arrow composition model respectively the operations of parallel and sequential composition of processes. In particular, [6] introduced concatenable processes—the slightest variation of Goltz-Reisig processes [9] on which sequential composition can be defined—and structured concatenable processes of a Petri net N as the arrows of the symmetric strict monoidal category  $\mathcal{P}[N]$ . This yields an axiomatization of the causal behaviour of a net as an essentially algebraic theory and thus provides a unification of the process and the algebraic view of net computations.

However, also this construction is somehow unsatisfactory, since it is not functorial. More strongly, as illustrated in Section 2, given a morphism between two nets—which is nothing but a simulation—it may not be possible to identify a corresponding monoidal functor between the respective categories of computations. This situation, besides showing that our understanding of the structure of nets is still incomplete, has also other drawbacks, the most relevant of which is probably that it prevents us to identify the category (of the categories) of net computations, i.e., to axiomatize the behaviour of Petri nets "in the large".

This paper presents an analysis of this issue and a solution based on the new notion of strong concatenable processes, introduced in Section 4. These are a slight refinement of concatenable processes which are still rather close to the standard notion of process: namely, they are Goltz-Reisig processes whose minimal and maximal places are linearly ordered. In the paper we show that, similarly to concatenable processes, the strong concatenable processes of N can be axiomatized as an algebraic construction on N by providing an abstract symmetric strict monoidal category  $\mathcal{Q}[N]$  whose arrows are isomorphic to the strong concatenable processes of N. The category  $\mathcal{Q}[N]$  constitutes our proposed axiomatization of the behaviour of N in categorical terms.

The key feature of  $\mathcal{Q}[\_]$  is that, differently from  $\mathcal{P}[\_]$ , it associates to net N a monoidal category whose objects form a free, non-commutative monoid. The reason for renouncing to commutativity, a choice that at first glance may seem odd, is explained in Section 2, where the following negative result is proved: under very reasonable assumptions, no mapping from nets to symmetric strict monoidal categories whose monoids of objects are commutative can be lifted to a functor, since there exists a morphism of nets which cannot be extended to a monoidal functor between the appropriate categories. Thus, abandoning the commutativity of the monoids of objects seems to be a price that has to be paid in order to obtain a functorial version of the algebraic semantics of nets given in [6]. Then, bringing such a condition at the level of nets, instead of taking multisets of places as sources and targets of computations, we consider strings of places, a choice which leads us directly to strong concatenable processes.

Correspondingly, a transition of N is represented by many arrows in  $\mathcal{Q}[N]$ , one for each different "linearization" of its pre-set and its post-set. However, such arrows are "linked" to each other by a "naturality" condition, in the precise sense that, when collected together, they form a natural transformation between appropriate functors. This naturality axiom is the second relevant feature of  $\mathcal{Q}[\_]$  and it is actually the key to keep the computational interpretation of the new category  $\mathcal{Q}[N]$  surprisingly close to the category  $\mathcal{P}[N]$  of concatenable processes.

Concerning functoriality, in Section 3 we introduce  $\underline{\mathsf{TSSMC}}^\otimes$ , a category of symmetric strict monoidal categories with free non-commutative monoids of objects, called  $symmetric\ Petri\ categories$ , whose arrows are equivalence classes of those symmetric strict monoidal functors which preserve some further structure related to nets, and we show that  $\mathcal{Q}[\_]$  is a functor from  $\underline{\mathsf{Petri}}$ , a rich category of nets introduced in [13], to  $\underline{\mathsf{TSSMC}}^\otimes$ . In addition, we prove that  $\mathcal{Q}[\_]$  has a  $\mathit{coreflection}\ right\ adjoint\ \mathcal{N}[\_]\colon \underline{\mathsf{TSSMC}}^\otimes\to \underline{\mathsf{Petri}}$ . This implies, by general reasons, that  $\underline{\mathsf{Petri}}\ is\ equivalent\ to\ an\ easily\ identified\ coreflective\ subcategory\ of\ \underline{\mathsf{TSSMC}}^\otimes$ , namely the  $\mathit{replete}\ image\ of\ \mathcal{Q}[\_]$ . The category  $\underline{\mathsf{TSSMC}}^\otimes$ , together with the functors  $\mathcal{Q}[\_]$  and  $\mathcal{N}[\_]$ , constitutes our proposed axiomatization ("in the large") of Petri net computations in categorical terms.

Although this contribution is a first attempt towards the aims of a functorial algebraic semantics for nets and of an axiomatization of net behaviours "in the large", we think that the results given here help to deepen the understanding of the subject. We remark that the refinement of concatenable processes given by strong concatenable processes is similar and comparable to the one which brought from Goltz-Reisig processes to them. Clearly, the passage here is less obvious on intuitive grounds, since it brings us to model Petri nets, which after all are just multiset rewriting systems, using strings. It is important, however, to remind that the result of Section 2 makes strong concatenable processes "unavoidable" if a functorial construction is desired. In addition, from the categorical viewpoint, our approach is quite natural, since it is the one which simply observes that multisets are equivalence classes of strings and then takes into account the categorical paradigm, following which one always prefer to add suitable isomorphisms between objects rather than considering explicitly equivalence classes of them.

Some preliminary related results appear also in [21].

NOTATION. Given a category  $\underline{C}$ , we denote the composition of arrows in  $\underline{C}$  by the usual symbol  $\underline{\ \ }$ o  $\underline{\ \ }$  and follow the usual right to left order. The identity of  $c \in \underline{C}$  is written as  $id_c$ . However, we make the following exception. When dealing with a category in which arrows are meant to represent computations, in order to stress this, we write arrow composition from left to right, i.e., in the diagrammatic order, and we denote it by  $\underline{\ \ }$ ;  $\underline{\ \ \ }$ . Moreover, when no ambiguity arises,  $id_c$  is simply written as c. We shall use  $\underline{SSMC}$  to indicate the category of (small) symmetric strict monoidal categories and symmetric strict monoidal functors. Since the monoidal categories considered in the paper are always  $strict\ monoidal\ and\ (non-strictly)\ symmetric$ , we may sometimes omit to mention all the attributes without causing misunderstandings.

The reader is referred to [12] for the categorical concepts used in the paper. The basic definitions concerning monads and symmetric strict monoidal categories are summarized, respectively, in Appendices A and B.

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## 1 Concatenable Processes

In this section we recall the notion of concatenable process [6] and we give the definitions which will be used in the rest of the paper.

NOTATION. Given a function  $\nu$  from a set S to the set of natural numbers  $\omega$ , its support is the subset of S consisting of those elements s such that  $\mu(s) > 0$ . We denote by  $S^{\oplus}$  the set of finite multisets of S, i.e., the set of all functions from S to  $\omega$  with finite support. We shall represent a finite multiset  $\nu \in S^{\oplus}$  as a formal sum  $\bigoplus_{i \in I} n_i s_i$  where  $\{s_i \mid i \in I\}$  is the support of  $\nu$  and  $n_i = \nu(s_i)$ , i.e., as a sum whose summands are all nonzero.

REMARK. We recall that  $S^\oplus$  is a  $commutative\ monoid$ , actually the  $free\ commutative\ monoid$  on S, under the operation of multiset union with unit element the empty multiset 0. Clearly,  $\oplus$  can be extended to an endofunctor ( $\_$ ) $^\oplus$  on  $\underline{Set}$ , the category of (small) sets and functions, by taking, for each  $f:S_0\to S_1$ , the monoid homomorphism  $f^\oplus\colon S_0^\oplus\to S_1^\oplus$  defined by  $f^\oplus(\bigoplus_{i\in I}n_is_i)=\bigoplus_{i\in I}n_if(s_i)$ . This gives a monad (see Appendix A) (( $\_$ ) $^\oplus$ ,  $\eta,\mu$ ) on  $\underline{Set}$ , where  $\eta_S\colon S\to S^\oplus$  is the function which maps  $s\in S$  to the singleton multiset s, and  $\mu_S\colon (S^\oplus)^\oplus\to S^\oplus$  is the monoid homomorphism which sends a multiset of multisets  $\nu$  to the multiset  $\bigoplus_i \nu$  obtained as union of the elements of  $\nu$ . Of course, the ( $\_$ ) $^\oplus$ -algebras are exactly the commutative monoids and the ( $\_$ ) $^\oplus$ -homomorphisms are the monoid homomorphisms.

## DEFINITION 1.1 (Petri Nets)

A Place/Transition Petri (PT) net is a structure  $N = (\partial_N^0, \partial_N^1: T_N \to S_N^{\oplus})$ , where  $T_N$  is a set of transitions,  $S_N$  is a set of places,  $\partial_N^0$  and  $\partial_N^1$  are functions.

A morphism of PT nets from  $N_0$  to  $N_1$  is a pair  $\langle f, g \rangle$ , where  $f: T_{N_0} \to T_{N_1}$  is a function and  $g: S_{N_0}^{\oplus} \to S_{N_1}^{\oplus}$  is a monoid homomorphism, such that  $\langle f, g \rangle$  respects source and target, i.e., they make the two rectangles obtained by choosing the upper or lower arrows in the parallel pairs of the diagram below commute.

$$T_{N_0} \xrightarrow{\partial_{N_0}^0} S_{N_0}^{\oplus}$$

$$\downarrow g$$

$$T_{N_1} \xrightarrow{\partial_{N_1}^0} S_{N_1}^{\oplus}$$

This, with the obvious componentwise composition of morphisms, defines the category Petri of PT nets.

This describes a Petri net precisely as a graph whose set of nodes is a free commutative monoid, i.e., the set of *finite multisets* on a given set of *places*. The source and target of an arc, here called a *transition*, are meant to represent, respectively, the *markings* consumed and produced by the firing of the transition.

DEFINITION 1.2 (Process Nets and Processes) A process net is a finite, acyclic net  $\Theta$  such that

- i) for all  $t \in T_{\Theta}$ ,  $\partial_{\Theta}^{0}(t)$  and  $\partial_{\Theta}^{1}(t)$  are non-empty sets (as opposed to possibly empty multisets);
- ii) for all pairs  $t_0 \neq t_1 \in T_{\Theta}$ ,  $\partial_{\Theta}^i(t_0) \cap \partial_{\Theta}^i(t_1) = \emptyset$ , for i = 0, 1.

Given  $N \in \underline{\text{Petri}}$ , a process of N is a morphism  $\pi: \Theta \to N$ , where  $\Theta$  is a process net and  $\pi$  is a net morphism which maps places to places (as opposed to morphisms which map places to markings).

For the purpose of defining processes at the right level of abstraction, we need to make some identifications. Of course, we shall consider as identical process nets which are isomorphic and, consequently, we shall make no distinction between two processes  $\pi\colon \Theta \to N$  and  $\pi'\colon \Theta' \to N$  for which there exists an isomorphism  $\varphi\colon \Theta \to \Theta'$  such that  $\pi'\circ\varphi=\pi$ . Observe that the constraint on  $\pi$  is relevant, since we certainly want process morphisms to map a single component of the process net to a single component of N. Otherwise said, process are nothing but labellings of  $\Theta$ , which in turn is essentially a partial ordering of transitions, with an appropriate element of N.

The equivalence of the following definition of  $\mathcal{P}[N]$  with the original one in [6] has been proved in [22].

## Definition 1.3

The category  $\mathcal{P}[N]$  is the monoidal quotient (see Appendix B) of  $\mathcal{F}(N)$ , the free symmetric strict monoidal category generated by N, modulo the axioms

```
\begin{array}{rcl} \gamma_{a,b} & = & id_{a \oplus b} & \text{if } a,b \in S_N \text{ and } a \neq b, \\ t; (id \otimes \gamma_{a,a} \otimes id) & = & t & \text{if } t \in T_N \text{ and } a \in S_N, \\ (id \otimes \gamma_{a,a} \otimes id); t & = & t & \text{if } t \in T_N \text{ and } a \in S_N, \end{array}
```

where  $\gamma$  is the symmetry isomorphism of  $\mathfrak{F}(N)$ .

The arrows of  $\mathcal{P}[N]$  have a nice computational interpretation in terms of a slight refinement of the classical notion of process consisting of a suitable layer of labels to the minimal and to the maximal places of process nets in order to distinguish among different istances of a place in a process of N.

DEFINITION 1.4 (f-indexed orderings) Given the sets A and B together with a function  $f: A \to B$ , an f-indexed ordering of A is a family  $\{\ell_b \mid b \in B\}$  of bijections  $\ell_b: f^{-1}(b) \to \{1, \ldots, |f^{-1}(b)|\}$ ,  $f^{-1}(b)$  being as usual the set  $\{a \in A \mid f(a) = b\}$ .

Informally, an f-indexed ordering of A is a family of total orderings, one for each of the partitions of A induced by f. In the following, given a process net  $\Theta$ , let  $\min(\Theta)$  and  $\max(\Theta)$  denote, respectively, its minimal and maximal elements, which must be places.

DEFINITION 1.5 (Concatenable Processes) A concatenable process of N is a triple  $CP = (\pi, \ell, L)$  where

- $\pi:\Theta \to N$  is a process of N;
- $\ell$  is a  $\pi$ -indexed ordering of min( $\Theta$ );
- L is a  $\pi$ -indexed ordering of  $\max(\Theta)$ .

Two concatenable processes CP and CP' are isomorphic if their underlying processes are isomorphic via an isomorphism  $\varphi$  which respects the ordering, i.e., such that  $\ell'_{\pi'(\varphi(a))}(\varphi(a)) = \ell_{\pi(a)}(a)$  and  $L'_{\pi'(\varphi(b))}(\varphi(b)) = L_{\pi(b)}(b)$  for all  $a \in \min(\Theta)$  and  $b \in \max(\Theta)$ . As in the case of processes, we identify isomorphic concatenable processes.

Clearly, it is possible to define an operation of concatenation of concatenable processes, whence their name. We can associate a source and a target in  $S_N^{\oplus}$  to any concatenable process CP, namely by taking the image through  $\pi$  of, respectively,  $\min(\Theta)$  and  $\max(\Theta)$ , where  $\Theta$  is the underlying process net of CP. Then, the concatenation of concatenable processes  $(\pi_0 \colon \Theta_0 \to N, \ell_0, L_0) \colon u \to v$  and  $(\pi_1 \colon \Theta_1 \to N, \ell_1, L_1) \colon v \to w$  is realized by merging the maximal places of  $\Theta_0$  and the minimal places of  $\Theta_1$  using both the values of  $\pi_0$  and  $\pi_1$  and the labellings to match those places one-to-one. Under this operation of sequential composition, the concatenable processes of N form a category  $\mathcal{CP}[N]$  with identities those processes consisting only of places, which therefore are both minimal and maximal, and such that  $\ell = L$ .

Concatenable processes admit also a tensor operation  $\otimes$  which can be though of as the operation of putting two processes side by side and reorganizing the labelling from left to right. The concatenable processes consisting only of places are the *symmetries* which make  $\mathcal{CP}[N]$  into a symmetric strict monoidal category; this clarifies that the role of the symmetries in a process is that of regulating the flow of causality between subprocesses by permuting tokens appropriately.

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PROPOSITION 1.6 \mathcal{CP}[N] and \mathcal{P}[N] are isomorphic. 
Proof. See [6].
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## 2 A Negative Result about Functoriality

Among the primary requirements usually imposed on constructions like  $\mathcal{P}[.]$  there is that of functoriality. One of the main reasons supporting the choice of a categorical treatment of semantics is the need of specifying further the structure of the systems under analysis by giving explicitly the morphisms or, in other words, by specifying how the given systems simulate each other. This, in turn, means to choose precisely what the relevant (behavioural) structure of the systems is. It is therefore clear that such morphisms should be preserved at the semantic level. In our case, the functoriality of  $\mathcal{P}[.]$  means that if N can be mapped to N' via a morphism  $\langle f, g \rangle$ , which by the very definition of net morphisms implies that N can be simulated by N', there must be a way, namely  $\mathcal{P}[\langle f, g \rangle]$ , to see the processes of N as processes of N'.

Unfortunately, this is not possible for  $\mathcal{P}[\bot]$ . More precisely, although it might be possible to extend  $\mathcal{P}[\bot]$  to net morphisms, it is definitely not possible to associates to a net morphism a symmetric monoidal functor, i.e., a functor which respects the monoidal structure of processes, which is certainly what is to be done in our case. The problem, as illustrated by the following example, is due to the particular shape of the symmetries of  $\mathcal{P}[N]$  which, on the other hand, is exactly what makes  $\mathcal{P}[N]$  capture quite precisely the notion of processes of N.

## Example 2.1

Consider the nets N and  $\bar{N}$  in the picture below, where we use the standard graphical representation of nets in which circles are places, boxes are transitions, and sources and targets are directed arcs. We have  $S_N = \{a_0, a_1, b_0, b_1\}$  and  $T_N$  consisting of the transitions  $t_0: a_0 \to b_0$  and  $t_1: a_1 \to b_1$ , while  $S_{\bar{N}} = \{\bar{a}, \bar{b}_0, \bar{b}_1\}$  and  $T_{\bar{N}}$  contains  $\bar{t}_0: \bar{a} \to \bar{b}_0$  and  $\bar{t}_1: \bar{a} \to \bar{b}_1$ .



The morphism  $\langle f, g \rangle$ , where  $f(t_i) = \bar{t}_i$ ,  $g(a_i) = \bar{a}$  and  $g(b_i) = \bar{b}_i$ , i = 0, 1, cannot be extended to a monoidal functor  $\mathcal{P}[\langle f, g \rangle] : \mathcal{P}[N] \to \mathcal{P}[\bar{N}]$ . Suppose in fact that F is such an extension. Then, it must be  $F(t_0 \otimes t_1) = F(t_0) \otimes F(t_1) = \bar{t}_0 \otimes \bar{t}_1$ . Moreover, since  $t_0 \otimes t_1 = t_1 \otimes t_0$ , we would have

$$\bar{t}_0 \otimes \bar{t}_1 = \mathsf{F}(t_1 \otimes t_0) = \bar{t}_1 \otimes \bar{t}_0.$$

But this is impossible, since the leftmost and the rightmost terms of the chain of equalities above are different arrows of  $\mathcal{P}[\bar{N}]$ .

The problem can be explained formally by saying that the category of symmetries sitting inside  $\mathcal{P}[N]$ , say  $Sym_N$ , is not free, and this is why we cannot find an extension to  $\mathcal{P}[N]$  of the morphism  $\langle f,g\rangle:N\to \bar{N}\hookrightarrow \mathcal{P}[\bar{N}]$ . In fact, Definition 1.3 states that  $Sym_N$  is generated modulo the axiom

$$\gamma_{a,b} = id_{a \oplus b}$$
 if  $a \neq b$  in  $S_N$ .

Clearly, it is exactly this conditional axiom with a negative premise which prevents  $Sym_N$  from being free. To make things worse, the theory illustrated extensively in [6, 21] makes it clear that, in order for  $\mathcal{P}[N]$  to have the interesting computational meaning it has, such an axiom is strictly needed. Moreover, it is easy to observe that as soon as one imposes further axioms on  $\mathcal{P}[N]$  which guarantee to get a functor, one annihilates all the symmetries and, therefore, destroys the ability of  $\mathcal{P}[N]$  of dealing with causality.

There does not seem to be an easy and satisfactory solution to the functoriality problem for  $\mathcal{P}[\_]$ . A possible solution which comes naturally to the mind would consist of looking for a non strict monoidal functor, i.e., a functor F together with a natural transformation  $\varphi\colon F(x_1)\otimes F(x_2)\to F(x_1\otimes x_2)$  which substitutes the equality required by strict functors. However, simple examples show that this idea does not lead anywhere, at least unless  $\mathcal{P}[\_]$  is heavily modified also on the objects, since it is not possible to choose the components of  $\varphi$  "naturally".

The following proposition shows that the problem illustrated in Example 2.1 is serious, actually deep enough to prevent any naive modification of  $\mathcal{P}[\ ]$  to be functorial.

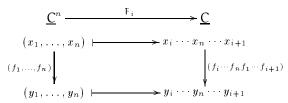
## Proposition 2.2

Let  $\mathcal{X}[.]$  be a function which assigns to each net N a symmetric strict monoidal category whose monoid of objects is commutative and contains  $S_N$ , the places of N. Suppose further that the group of symmetries at any object of  $\mathcal{X}[N]$  is finite. Finally, suppose that there exists a net N with a place  $a \in N$  such that, for each n > 1, we have that the component at (na, na) of the symmetry isomorphism of  $\mathcal{X}[N]$  is not an identity.

Then, there exists a Petri net morphism  $\langle f, g \rangle : N_0 \to N_1$  which cannot be extended to a symmetric strict monoidal functor from  $\mathcal{X}[N_0]$  to  $\mathcal{X}[N_1]$ .

*Proof.* The key of the proof is the following observation about monoidal categories. Let  $\underline{C}$  be a symmetric strict monoidal category with symmetry isomorphism c. Then, for all  $a \in \underline{C}$  and for all  $n \geq 1$ , we have  $(c_{a,(n-1)a})^n = id$ , where, in order to simplify the notation, throughout the proof we write na and  $c_{x,y}^n$  to denote,

respectively, the tensor product of n copies of a and the sequential composition of n copies of  $c_{x,y}$ . To show that the above identity holds, consider for  $i = 1, \ldots, n$  the functor  $F_i$  from  $\underline{\mathbb{C}}^n$ , the cartesian product of n copies of  $\underline{\mathbb{C}}$ , to  $\underline{\mathbb{C}}$  defined as follows.



Moreover, consider the natural transformations  $\phi_i \colon \mathsf{F}_i \to \mathsf{F}_{i+1}, \ i=1,\ldots,n-1$  and  $\phi_n \colon F_n \to F_1$  whose components at  $x_1,\ldots,x_n$  are, respectively,  $c_{x_i,x_{i+1}\cdots x_nx_1\cdots x_{i-1}}$  and  $c_{x_n,x_1\cdots x_{n-1}}$ . Finally, let  $\phi$  be the sequential composition of  $\phi_1,\ldots,\phi_n$ . Then,  $\phi$  is a natural transformation  $x_1\cdots x_n \to x_1\cdots x_n$  built up only from components of c. From the Kelly-MacLane coherence theorem [11, 10] (see also Appendix B) we know that there is at most one natural transformation consting only of identities and components of c, and since the identity of  $\mathsf{F}_1$  is one such transformation, we have that  $\phi = id_{\mathsf{F}_1}$ . Then, instantiating each variable with a, we obtain  $(c_{a,(n-1)a})^n = id_{na}$ , as required.

It may be worth observing that the above property holds also for n = 0, provided we define 0a = e and  $c_{x,y}^0 = id$ .

It is now easy to conclude the proof. Let N' be a net such that, for each n, we have  $c'_{na,na} \neq id$ , where c' is the symmetry natural isomorphism of  $\mathcal{X}[N']$ , and let N be a net with two distinct places a and b and with no transitions, and let c' be the symmetry natural isomorphism of  $\mathcal{X}[N]$ . Since the group of symmetries at ab is finite, there is a cyclic subgroup generated by  $c_{a,b}$ , i.e., there exists k > 1, the order of the subgroup, such that  $(c_{a,b})^k = id$  and  $(c_{a,b})^n \neq id$  for any  $1 \leq n < k$ .

Let p be any prime number greater than k. We claim that the Petri net morphism  $\langle f,g\rangle\colon N\to N'$ , where f is the (unique) function  $\varnothing\to T_{N'}$  and g is the monoid homomorphism such that g(b)=(p-1)a and g is the identity on the other places of N, cannot be extended to a symmetric strict monoidal functor  $F\colon \mathcal{X}[N]\to \mathcal{X}[N']$ . In fact, from the first part of this proof, we know that  $(c_{a,(p-1)a})^p=1$ . Moreover, by general results of group theory, the order of the cyclic subgroup generated by  $c_{a,(p-1)a}$  must be a factor of p and then, in this case, 1 or p. In other words, either  $c_{a,(p-1)a}=id$  or  $(c_{a,(p-1)a})^n\neq id$  for all  $1\leq n< p$ . If the second situation occurs, then we have  $F((c_{a,b})^k)=id$  and also  $F((c_{a,b})^k)=(c'_{F(a),F(b)})^k=(c'_{a,(p-1)a})^k\neq id$ , i.e., F cannot exists. Thus, in order to conclude the proof, we only need to show that, in our hypothesis,  $c'_{a,(p-1)a}\neq id$ . For this, it is enough to observe that  $c'_{a,(p-1)a}=id$  implies  $c'_{na,na}=id$  for n=p-1, which is against our hypothesis on N'. In fact,  $c'_{ka,(p-1)a}=ac'_{(k-1)a,(p-1)a}$ ;  $c'_{a,(p-1)a}na$ , whence it follows directly that  $c'_{(p-1)a,(p-1)a}=id$ .

The contents of the previous proposition may be restated in different terms

by saying that in the free category of symmetries on a commutative monoid M there are infinite homsets. This means that dropping axiom  $\gamma_{a,b} = id_{a \oplus b}$  in the definition of  $\mathcal{P}[N]$  causes an "explosion" of the structure of the symmetries. More precisely, if we omit that axiom, we can find some object u such that the group of symmetries on u has infinite order. Of course, since symmetries represent causality, and as such they are integral parts of processes, this makes the category so obtained completely useless for the kind of application we have in mind.

The hypothesis of Proposition 2.2 can be certainly weakened in several ways, at the expense of complicating the proof. However, we avoided such complications, since the conditions stated above are already weak enough if one wants to regard  $\mathcal{X}[N]$  as a category of processes of N. In fact, since places represent the atomic bricks on which states are built, one needs to consider them in  $\mathcal{X}[N]$ , since symmetries regulate the "flow of causality", there will be  $c_{na,na}$  different from the identity, and since in a computation we can have only finitely many "causality streams", there will not be categories with infinite groups of symmetries. Therefore, the given result means that there is no chance to have a functorial construction of the processes of N on the line of  $\mathcal{P}[N]$  whose objects form a commutative monoid.

## 3 The Category Q[N]

In this section we introduce the symmetric strict monoidal category  $\mathcal{Q}[N]$  which is meant to represent the processes of the Petri net N and which supports a functorial construction. This will allow us to characterize the category of categories of net behaviours, i.e., to axiomatize the behaviour of nets "in the large". In fact, although [13] and [6] clarify how the behaviour of a single net can be captured by a symmetric strict monoidal category, because of the missing functoriality of  $\mathcal{P}[\_]$ , nothing is said about what the semantic domain for Petri net behaviours should be.

Proposition 2.2 shows that, necessarily, there is a price to be payed. Here, the idea is to renounce to the commutativity of the monoids of objects. More precisely, we build the arrows of  $\mathcal{Q}[N]$  starting from the  $Sym_N^*$ , the "free" category of symmetries over the set  $S_N$  of places of N. This makes transitions have many corresponding arrows in  $\mathcal{Q}[N]$ ; however, all the arrows of  $\mathcal{Q}[N]$  which differ only by being instances of the same transition are linked together by a "naturality" condition whose role is to guarantee that  $\mathcal{Q}[N]$  remains close to the category  $\mathcal{P}[N]$  of concatenable processes. Namely, the arrows of  $\mathcal{Q}[N]$  correspond to Goltz-Reisig processes in which the minimal and the maximal places are totally ordered.

Similarly to  $Sym_N$ ,  $Sym_N^*$  serves a double purpose. From the categorical point of view it provides the symmetry isomorphism of a symmetric monoidal category, while from the semantics viewpoint it regulates the flow of causal dependency. It should be noticed, however, that here the point of view is strictly more concrete than in the case of  $Sym_N$ . In fact, generally speaking, a symmetry in  $\mathcal{Q}[N]$  must be interpreted as a "reorganization" of the tokens in the global state of the net which, when reorganizing multiple instances of the same place, as a by-product, yields a exchange of causes exactly as  $Sym_N$  does for  $\mathcal{P}[N]$ . Notation. In the following, we use  $S^{\otimes}$  to indicate the set of (finite) strings on the set S, more commonly denoted by  $S^*$ . In the same way, we use  $\otimes$  to denote string concatenation, while 0 denotes the empty string. As usual, for  $u \in S^{\otimes}$ , we indicate by |u| the length of u and by  $u_i$  its i-th element.

REMARK. The construction of  $S^{\otimes}$ , which under the operation of string concatenation is the free monoid on S, admits a corresponding monad  $((\_)^{\otimes}, \eta, \mu)$  on  $\underline{Set}$ . In this case  $(\_)^{\otimes}$  is the functor which associates to each set S the monoid  $S^{\otimes}$  and to each  $f: S_0 \to S_1$  the monoid homomorphism  $f^{\otimes}: S_0^{\otimes} \to S_1^{\otimes}$  such that  $f^{\otimes}(u) = \bigotimes_i f(u_i), \ \eta_S: S \to S^{\otimes}$  is the injection of S in  $S^{\otimes}$ , and  $\mu_S: S^{\otimes^2} \to S^{\otimes}$  is the obvious monoid homomorphism mapping a string of elements of  $S^{\otimes}$  to the concatenation of its component strings. Recall that the algebras for such a monad are the monoids and the homomorphisms are the monoids homomorphisms.

**REMARK.** A permutation of n elements is an automorphism of the segment of the first n positive natural numbers. The set  $\Pi(n)$  of the n! permutations of n elements is a group under the operation of composition of functions. The neutral element of  $\Pi(n)$  is the identity function on  $\{1,\ldots,n\}$  and the inverse of  $\sigma$  is its inverse function  $\sigma^{-1}$ . The group  $\Pi(n)$  is called the  $symmetric\ group$  on n elements, or of order n!. Due to its triviality, the notion of permutation of zero elements is never considered. However, to simplify notations, we shall assume that the empty function  $\varnothing: \varnothing \to \varnothing$  is the (unique) permutation of zero elements.

A permutation  $\sigma$  leaves i fixed if  $\sigma(i)=i$ . A transposition is a permutation which leaves all the elements fixed but two, say i and j, which are exchanged. We shall denote such a  $\sigma$  simply as  $(i\ j)$ . Transpositions are a relevant kind of permutations, since each permutation can be written as a composition of transpositions. Moreover, since any transposition  $(i\ j)$  can be expressed as the composition of "swappings" of adjacent integers, we have that the n-1 transpositions on adjacent integers  $(1\ 2), (2\ 3), \ldots (n-1\ n)$  generate the group  $\Pi(n)$ . In view of this fact, in the following we shall use the term transposition to indicate exclusively permutations of the kind  $(i\ i+1)$ .

## Definition 3.1 (The Category of Permutations)

Let S be a set. The category  $Sym_S^*$  has for objects the strings  $S^{\otimes}$  and an arrow  $p: u \to v$  if and only if  $p \in \Pi(|u|)$ , i.e., p is a permutation of |u| elements, and v is the string obtained by applying the permutation p to u, i.e.,  $v_{p(i)} = u_i$ .

Arrows composition in  $Sym_S^*$  is obviously given by the product of permutations, i.e., their composition as functions, here and in the following denoted by  $\bot$ ;  $\bot$ .

Graphically, we represent an arrow  $p: u \to v$  in  $Sym_S^*$  by drawing a line between  $u_i$  and  $v_{p(i)}$ , as for example in Figure 1.

Of course, it is possible to define a tensor product on  $Sym_S^*$  together with interchange permutations which make it a symmetric monoidal category (see also Figure 1, where  $\gamma$  is the permutation (12)).

Figure 1: The monoidal structure of  $Sym_S^*$ 

Definition 3.2 (Operations on Permutations)

Given the permutations  $p: u \to v$  and  $p': u' \to v'$  in  $Sym_S^*$  their parallel composition  $p \otimes p': u \otimes u' \to v \otimes v'$  is the permutation such that

$$i \mapsto \left\{ \begin{array}{ll} p(i) & \text{if } 0 < i \le |u| \\ p'(i - |u|) + |u| & \text{if } |u| < i \le |u| + |u'| \end{array} \right.$$

Given  $\pi \in \Pi(m)$  and m strings  $u_i$  in  $S^{\otimes}$ , i = 1, ..., m, the interchange permutation  $\pi(u_1, ..., u_m)$  is the permutation p such that

$$p(i) = i - \sum_{j=1}^{h-1} |u_j| + \sum_{\pi(j) < \pi(h)} |u_j| \quad \text{if } \sum_{j=1}^{h-1} |u_j| < i \le \sum_{j=1}^{h} |u_j|.$$

Clearly,  $\otimes$  so defined is associative and furthermore a simple calculation shows that it satisfies the equations

$$(p \otimes p') ; (q \otimes q') = (p ; q) \otimes (p' ; q') \text{ and } id_u \otimes id_v = id_{u \otimes v}.$$

It follows easily that the mapping  $\otimes: Sym_S^* \times Sym_S^* \to Sym_S^*$  defined by

$$Sym_{S}^{*} \times Sym_{S}^{*} \xrightarrow{\otimes} Sym_{S}^{*}$$

$$(u, u') \longmapsto u \otimes v$$

$$\downarrow_{(p \otimes p')}$$

$$(v, v') \longmapsto v \otimes v'$$

is a functor making  $Sym_S^*$  a strict monoidal category. Finally, the symmetric structure of  $Sym_S^*$  is made explicit through the interchange permutations.

Proposition 3.3 ( $Sym_S^*$  is symmetric strict monoidal)

For any set S, the family  $\gamma = {\gamma(u,v)}_{u,v \in Sym_S^*}$  provides the symmetry isomorphism endowing  $Sym_S^*$  with a symmetric monoidal structure.

*Proof.* Recall that  $\gamma(u,v)$  is the interchange permutation defined from the permutation  $\gamma=(1\ 2)$  in  $\Pi(2)$ . It is just a matter of performing a few calculations to verify that, for any  $p:u\to u'$  and  $p':v\to v'$ , the equations defining a symmetry isomorphism i.e., equations (6) in Appendix B which in the current case reduce to

$$\begin{array}{rcl} \left(\gamma(u,v)\otimes w\right)\,;\,\left(v\otimes\gamma(u,w)\right) & = & \gamma(u,v\otimes w) \\ \gamma(u,v)\,;\,\left(p'\otimes p\right) & = & \left(p\otimes p'\right)\,;\,\gamma(u',v') \\ \gamma(u,v)\,;\,\gamma(v,u) & = & u\otimes v \end{array}$$

hold. Observe that, in fact,

$$\gamma(u,v)(i) = \begin{cases} i + |v| & \text{if } 0 < i \le |u| \\ i - |u| & \text{if } |u| < i \le |u| + |v| \end{cases}$$

which shows the second equation. Moreover, it implies that  $(\gamma(u,v);(p'\otimes p))(i)$  is equal to p(i)+|v| if  $0< i\le |u|$ , and is equal to p'(i-|u|) if  $|u|< i\le |u|+|v|$ . On the other hand, we have that  $((p\otimes p');\gamma(u',v'))(i)$  is equal to p(i)+|v'|=p(i)+|v| if  $0< i\le |u|$  and p'(i-|u|)+|u|-|u|=p'(i-|u|) if  $|u|< i\le |u|+|v|$ . Therefore, the first equation holds. Concerning the last equation, we have that

$$(\gamma(u,v) \otimes w)(i) = \begin{cases} i + |v| & \text{if } 0 < i \le |u| \\ i - |u| & \text{if } |u| < i \le |u| + |v| \\ i & \text{if } |u| + |v| < i \le |u| + |v| + |w| \end{cases}$$

and, since

$$(v \otimes \gamma(u,w))(i) = \left\{ \begin{array}{ll} i & \text{if } 0 < i \leq |v| \\ i + |w| & \text{if } |v| < i \leq |v| + |u| \\ i - |u| & \text{if } |v| + |u| < i \leq |v| + |u| + |w|, \end{array} \right.$$

we have the required equality.

The previous proposition justifies the use of the name symmetries for the arrows of the groupoid  $Sym_S^*$ . The key point about  $Sym_S^*$  is that it is a free construction. In order to show it, we need the following lemma [14, 5].

## Lemma 3.4

The symmetric group  $\Pi(n)$  is (isomorphic to) the group G freely generated from the set  $\{\tau_i \mid 1 \leq i < n\}$ , modulo the equations (see also Figure 2)

$$\tau_{i}\tau_{i+1}\tau_{i} = \tau_{i+1}\tau_{i}\tau_{i+1};$$

$$\tau_{i}\tau_{j} = \tau_{j}\tau_{i} \quad \text{if } |i-j| \ge 1;$$

$$\tau_{i}\tau_{i} = e;$$
(1)

where e is the neutral element of the group.

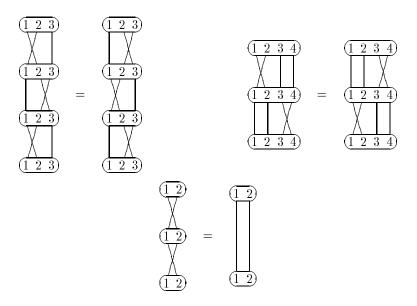


Figure 2: Some instances of the axioms of permutations

*Proof.* The proof is by induction on n. First of all, observe that for n=0 and n=1 the set of generators is empty and the equations are vacuous. Hence, G is the free group on the empty set of generators, i.e., the group consisting only of the neutral element, which is (isomorphic to)  $\Pi(0)$  and  $\Pi(1)$ .

Suppose now that the thesis holds for  $n \geq 1$  and let us prove it for n+1. It is immediately evident that the permutations of n+1 elements are generated by the n transpositions, i.e., by those pemutations which leave all the elements fixed but two adjacent ones, which are exchanged. Moreover, the transpositions satisfy axioms (1), as a quick look to Figure 2 shows. It follows that the order of G must not be smaller than the order of  $\Pi(n+1)$ , i.e.,  $|G| \geq (n+1)!$ , where  $|\Box|$  is the cardinality function. Moreover, there is a group homomorphism  $h: G \to \Pi(n+1)$  which sends  $\tau_i$  to the transposition (i i + 1), and since the transpositions generate  $\Pi(n+1)$ , we have that h is surjective. Thus, in order to conclude the proof, we only need to show that h injective, which clearly follows if we show that |G| = (n+1)!.

Let H be the subgroup of G generated by  $\{\tau_1, \tau_2, \ldots, \tau_{n-1}\}$  and consider the n+1 cosets  $H_1, \ldots, H_{n+1}$ , where  $H_i = H\tau_n \cdots \tau_i = \{x\tau_n \cdots \tau_i \mid x \in H\}, 1 \leq i \leq n$ , and  $H_{n+1} = H$ . Then, for  $1 \leq i \leq n+1$  and  $1 \leq j \leq n$ , consider  $H_i\tau_j$ . The following cases are possible.

i > j + 1. By the second of axioms (1),  $\tau_j$  is permutable with each of  $\tau_i, \ldots, \tau_n$  and, therefore,

$$H_i \tau_j = H \tau_n \cdots \tau_i \tau_j$$

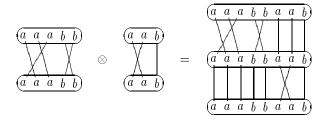


Figure 3: The parallel composition of permutations

$$= H \tau_j \tau_n \cdots \tau_i$$
$$= H \tau_n \cdots \tau_i = H_i.$$

 $\underline{i < j}$ . Again by the second of (1),  $\tau_j$  is permutable with each of  $\tau_i, \ldots, \tau_{j-2}$  and, therefore,

$$\begin{array}{rcl} H_{i}\tau_{j} & = & H\tau_{n}\cdots\tau_{i}\tau_{j} \\ & = & H\tau_{n}\cdots\tau_{j+1}\tau_{j}\tau_{j-1}\tau_{j}\cdots\tau_{i} \\ & = & H\tau_{n}\cdots\tau_{j+1}\tau_{j-1}\tau_{j}\tau_{j-1}\cdots\tau_{i} \quad \text{ by the first of (1)} \\ & = & H\tau_{j-1}\tau_{n}\cdots\tau_{j+1}\tau_{j}\tau_{j-1}\cdots\tau_{i} \quad \text{ by the second of (1)} \\ & = & H\tau_{n}\cdots\tau_{i} = H_{i}. \end{array}$$

 $\underline{i=j}$ . Then  $H_j\tau_j=H\tau_n\cdots\tau_j\tau_j$  which, by the third of (1), is  $H\tau_n\cdots\tau_{j+1}=H_{j+1}$ .  $\underline{i=j+1}$ . Then  $H_{j+1}\tau_j=H\tau_n\cdots\tau_{j+1}\tau_j=H_j$ .

In other words, for  $1 \leq j \leq n$ , the sets  $H_1 \ldots H_{n+1}$  remain all unchanged by postmultiplication by  $\tau_j$ , except for  $H_j$  and  $H_{j+1}$  which are exchanged with each other. Now, since each element of G is a product  $\tau_{i_1} \cdots \tau_{i_k}$ , it belongs to  $H\tau_{i_1} \cdots \tau_{i_k}$ , i.e., to one of the  $H_i$ . Hence, G is contained in the union of the  $H_i$ 's. It follows immediately that, if H is finite, we have that  $|G| \leq (n+1) \cdot |H|$ . However, by induction hypothesis, H is (isomorphic to)  $\Pi(n)$ , and thus H is finite and |H| = n!. Therefore,  $|G| \leq (n+1)!$ , which concludes the proof.

We are now ready to show the announced fact about  $Sym_S^*$ .

### Proposition 3.5

Let S be a set, let  $\underline{\mathbb{C}}$  be a symmetric strict monoidal category and let F be a function from S to the set of objects of  $\underline{\mathbb{C}}$ . Then, there exists a unique symmetric strict monoidal functor  $F: Sym_S^* \to \underline{\mathbb{C}}$  extending F.

*Proof.* Let  $\otimes$  be the tensor product, e the unit object, and  $\gamma: x_1 \otimes x_2 \xrightarrow{\sim} x_2 \otimes x_1$  the symmetry natural isomorphism in  $\underline{\mathbb{C}}$ . There is of course a choice forced upon us for the behaviour of F on objects: the monoidal extension of F, i.e., the mapping

$$F(0) = e$$
 and  $F(u \otimes v) = F(u) \otimes F(v)$  for  $u, v \in S^{\otimes}$ .

Concerning morphisms, we know by Lemma 3.4 that each arrow in  $Sym_S^*$  can be written as a composition of transpositions. Moreover, observe that the transposition  $(i\ i+1)\colon u\otimes a\otimes b\otimes v\to u\otimes b\otimes a\otimes v$ , where u is a string of length i-1, coincides in  $Sym_S^*$  with the tensor of  $\gamma(a,b)\colon a\otimes b\to b\otimes a$  with appropriate identities, namely  $(u\otimes \gamma(a,b)\otimes v)$ . Thus, recalling also that  $0\otimes \gamma(a,b)=\gamma(a,b)=\gamma(a,b)\otimes 0$ , the following definition defines F on all the arrows of  $Sym_S^*$ .

$$\begin{aligned}
\mathsf{F}(u\otimes\gamma(a,b)\otimes v) &=& \mathsf{F}(u)\otimes\gamma_{\mathsf{F}(a),\mathsf{F}(b)}\otimes\mathsf{F}(v) & a,b\in S,\ u,v\in S^\otimes; \\
\mathsf{F}(p\ ;\ p') &=& \mathsf{F}(p')\circ\mathsf{F}(p).
\end{aligned} \tag{2}$$

Observe that both the equations (2) are forced by the definition of symmetric *strict* monoidal functor (see axioms (7) in Appendix B). It follows that the extension of F to a strict monoidal functor, if it exists, is unique and must be given by (2). Then, in order to conclude the proof, we only need to show that F is well-defined and that it is a symmetric monoidal functor.

We first show that F is well-defined. For this, it is enough to show that the axioms (1) of Lemma 3.4 are preserved by F. In fact, this implies that applying the definition of F to two different factorizations of p actually yields the same result, i.e., it implies that F is well-defined. Concering axioms (1), the third one matches directly with the fact that the inverse of  $\gamma_{\mathsf{F}(a),\mathsf{F}(b)}$  is  $\gamma_{\mathsf{F}(b),\mathsf{F}(a)}$ , while the second one follows easily from the fact that  $\otimes$  is a functor. In fact, in the hypothesis, we have  $\tau_i = (u \otimes \gamma(a,b) \otimes v \otimes c \otimes d \otimes w)$  and  $\tau_j = (u \otimes b \otimes a \otimes v \otimes \gamma(c,d) \otimes w)$ . Thus, we have that

$$\begin{array}{lll} \mathsf{F}(\tau_i \; ; \; \tau_j) & = & (\mathsf{F}(u) \otimes \mathsf{F}(b) \otimes \mathsf{F}(a) \otimes \mathsf{F}(v) \otimes \gamma_{\mathsf{F}(c),\mathsf{F}(d)} \otimes \mathsf{F}(w)) \circ \\ & & (\mathsf{F}(u) \otimes \gamma_{\mathsf{F}(a),\mathsf{F}(b)} \otimes \mathsf{F}(v) \otimes \mathsf{F}(c) \otimes \mathsf{F}(d) \otimes \mathsf{F}(w)) \\ & = & (\mathsf{F}(u) \otimes \gamma_{\mathsf{F}(a),\mathsf{F}(b)} \otimes \mathsf{F}(v) \otimes \gamma_{\mathsf{F}(c),\mathsf{F}(d)} \otimes \mathsf{F}(w)) \\ & = & (\mathsf{F}(u) \otimes \gamma_{\mathsf{F}(a),\mathsf{F}(b)} \otimes \mathsf{F}(v) \otimes \mathsf{F}(d) \otimes \mathsf{F}(c) \otimes \mathsf{F}(w)) \circ \\ & & (\mathsf{F}(u) \otimes \mathsf{F}(a) \otimes \mathsf{F}(b) \otimes \mathsf{F}(v) \otimes \gamma_{\mathsf{F}(c),\mathsf{F}(d)} \otimes \mathsf{F}(w)) \\ & = & \mathsf{F}(\tau_j \; ; \; \tau_i) \end{array}$$

Finally, concerning the first axiom, we have

$$\begin{split} F(\tau_i \ ; \tau_{i+1} \ ; \tau_i) &= & (F(u) \otimes \gamma_{F(b),F(c)} \otimes F(a) \otimes F(v)) \circ \\ & (F(u) \otimes F(b) \otimes \gamma_{F(a),F(c)} \otimes F(v)) \circ \\ & (F(u) \otimes \gamma_{F(a),F(b)} \otimes F(c) \otimes F(v)) \circ \\ &= & (F(u) \otimes F(b) \otimes \gamma_{F(a),F(c)} \otimes F(v)) \circ \\ & (F(u) \otimes \gamma_{F(a),F(c)} \otimes F(v)) \circ \\ &= & (F(u) \otimes \gamma_{F(a),F(c)} \otimes F(v)) \circ \\ &= & (F(u) \otimes \gamma_{F(a),F(c)} \otimes F(v)) \circ \\ &= & (F(u) \otimes F(c) \otimes \gamma_{F(a),F(c)} \otimes F(v)) \circ \\ &= & (F(u) \otimes F(c) \otimes \gamma_{F(a),F(c)} \otimes F(v)) \circ \\ &= & (F(u) \otimes F(a) \otimes \gamma_{F(b),F(c)} \otimes F(v)) \circ \\ &= & (F(u) \otimes F(a) \otimes \gamma_{F(b),F(c)} \otimes F(v)) \circ \\ &= & F(\tau_{i+1} \ ; \tau_i \ ; \tau_{i+1}) \end{split}$$

where the third equation is by naturality of  $\gamma$  and the others follow from the coherence axiom for  $\gamma$ .

Let us prove that F is a symmetric monoidal functor. Since  $\underline{C}$  is a symmetric strict monoidal category, we have  $\gamma_{e,x} = \gamma_{e\otimes e,x} = \gamma_{e,x} \otimes e \circ e \otimes \gamma_{e,x} = \gamma_{e,x} \circ \gamma_{e,x}$ , and since  $\gamma_{e,x}$  is invertible, it follows that  $\gamma_{e,x} = id_x$ . Of course, the same holds for every symmetric strict monoidal category. Therefore, since  $F(id_u) = F(\gamma(0,u))$  and  $\gamma_{e,F(u)} = id_{F(u)}$ , we have that  $F(id_u) = id_{F(u)}$ . This, together with the second of the equations (2), means that F is a functor.

Observe further that for permutations  $p: u \to v$  and  $p': u' \to v'$  in  $Sym_S^*$  we have  $p \otimes p' = (p \otimes u')$ ;  $(v \otimes p')$  (see also Figure 3). Then, we have that

$$\mathsf{F}(p\otimes p')=\mathsf{F}(v\otimes p')\circ\mathsf{F}(p\otimes u')=(\mathsf{F}(v)\otimes\mathsf{F}(p'))\circ(\mathsf{F}(p)\otimes\mathsf{F}(u'))=\mathsf{F}(p)\otimes\mathsf{F}(p'),$$

i.e., F is a strict monoidal functor.

Finally, thanks to the coherence axiom for symmetries, i.e., the first of axioms (6), we have that  $\gamma(a,b\otimes c)=(\gamma(a,b)\otimes c)$ ;  $(b\otimes \gamma(a,c))$  and thus, by the aforesaid axiom and by the coherence of  $\gamma$ ,

$$\begin{array}{lll} \mathsf{F}(\gamma(a,b\otimes c)) & = & \mathsf{F}((\gamma(a,b)\otimes c)\;;\;(b\otimes\gamma(a,c))) \\ & = & (F(b)\otimes\gamma_{F(a),F(c)})\circ(\gamma_{F(a),F(b)}\otimes F(c)) \\ & = & \gamma_{F(a),F(b)\otimes F(c)} = \gamma_{\mathsf{F}(a),\mathsf{F}(b\otimes c)}. \end{array}$$

Now, by considering the inverses of the arrows appearing in the coherence axiom, we have that  $\gamma(a \otimes b, c) = (a \otimes \gamma(b, c))$ ;  $(\gamma(a, c) \otimes b)$  and that  $\gamma_{\mathsf{F}(a \otimes b), \mathsf{F}(c)} = (\gamma_{\mathsf{F}(a), \mathsf{F}(c)} \otimes \mathsf{F}(b)) \circ (\mathsf{F}(a) \otimes \gamma_{\mathsf{F}(b), \mathsf{F}(c)})$ . Therefore, it follows easily by induction that  $\mathsf{F}(\gamma(u, v)) = \gamma_{\mathsf{F}(u), \mathsf{F}(v)}$ . Then,  $\mathsf{F}$  maps each component of the symmetry natural isomorphism of  $Sym_S^*$  to the corresponding component of  $\gamma$ , i.e.,  $\mathsf{F}$  is a symmetric monoidal functor.

This result proves that the mapping  $S \mapsto Sym_S^*$  extends to a *left adjoint* functor from <u>Set</u> to <u>SSMC</u>, the standard category of symmetric strict monoidal (small) categories and symmetric strict monoidal functors. Equivalently, we can say that  $Sym_S^*$  is the free symmetric strict monoidal category on the set S.

## Corollary 3.6

Let  $\underline{S}$  be the symmetric strict monoidal category whose monoid of objects is  $S^{\otimes}$ , the free monoid on S, and whose arrows are freely generated from a family of arrows  $c_{u,v} : u \otimes v \to v \otimes u$ , for  $u,v \in S^{\otimes}$ , subject to the axioms (6) in Appendix B (with  $\gamma$  properly replaced by c). Then  $\underline{S}$  and  $Sym_S^*$  are isomorphic.

<sup>&</sup>lt;sup>1</sup>Strictly speaking, the first and the third of (6) are the coherence axioms for symmetries. However, by abuse of language, we shall often refer to the first of (6) as the coherence axiom.

Proof. By definition,  $\underline{S}$  is the free monoidal category on S. In fact, since the axioms (6) which define  $\underline{S}$  hold in all symmetric strict monoidal categories, it is immediate to verify that  $\underline{S}$  enjoys the universal property stated in Proposition 3.5. Then, exploiting in the usual way the uniqueness condition in this universal property, we have that the functors  $F: Sym_S^* \to \underline{S}$  and  $G: \underline{S} \to Sym_S^*$  which are the identity on the objects and which map, respectively,  $\gamma(u,v)$  to  $c_{u,v}$  and  $c_{u,v}$  to  $\gamma(u,v)$  are inverse to each other.

Now, we can define of Q[N]. In the following, given a string  $u \in S^{\otimes}$ , let  $\mathcal{M}(u)$  denote the multiset corresponding to u, and given a net N let  $Sym_N^*$  denote the category  $Sym_{S_N}^*$ .

Definition 3.7 (The category Q[N])

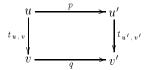
Let N be a net in <u>Petri</u>. Then Q[N] is the category which includes  $Sym_N^*$  as subcategory and has as additional arrows those defined by the following inference rules:

$$\begin{array}{l} \underline{t \colon \mathcal{M}(u) \to \mathcal{M}(v) \text{ in } T_N} \\ \overline{t_{u,v} \colon u \to v \text{ in } \mathcal{Q}[N]} \\ \underline{\alpha \colon u \to v \text{ and } \beta \colon u' \to v' \text{ in } \mathcal{Q}[N]} \\ \overline{\alpha \otimes \beta \colon u \otimes u' \to v \otimes v' \text{ in } \mathcal{Q}[N]} \end{array} \qquad \underline{\alpha \colon u \to v \text{ and } \beta \colon v \to w \text{ in } \mathcal{Q}[N]} \\ \underline{\alpha \colon \beta \colon u \to w \text{ in } \mathcal{Q}[N]} \end{array}$$

plus the axioms expressing the fact that Q[N] is a symmetric strict monoidal category with symmetry isomorphism  $\gamma$  (see Appendix B), and the following axiom involving transitions and symmetries.

$$p ; t_{u',v'} = t_{u,v} ; q$$
 where  $p : u \to u'$  in  $Sym_N^*$  and  $q : v \to v'$  in  $Sym_N^*$ .  $(\Phi)$ 

It is worth noticing that axiom  $(\Phi)$  entails, as a particular case, the last two axioms in the Definition 1.3 of  $\mathcal{P}[N]$ , called axioms  $(\Psi)$  in [6], whenever they make sense in  $\mathcal{Q}[N]$ . In fact, axiom  $(\Phi)$  asserts that any diagram of the kind



commutes. Now, fixed u=u' and v=v', choosing p=id, respectively q=id, one obtains the first, respectively the second of axioms  $(\Psi)$ . Of course, when  $v \neq v'$  one rather obtains  $t_{u,v}$ ;  $q=t_{u,v'}$ , and when  $u \neq u'$  one has p;  $t_{u,v}=t_{u',v}$ .

Exploiting Corollary 3.6, it is easy to prove that the following is an alternative description of Q[N].

### Proposition 3.8

Q[N] is (isomorphic to) the category  $\underline{C}$  whose objects are the elements of  $S_N^{\otimes}$  and whose arrows are generated by the inference rules

$$\frac{u \in S_N^{\otimes}}{id_u \colon u \to u \text{ in } \underline{C}} \quad \frac{u, v \text{ in } S_N^{\otimes}}{c_{u,v} \colon u \otimes v \to v \otimes u \text{ in } \underline{C}} \quad \frac{t \colon \mathcal{M}(u) \to \mathcal{M}(v) \text{ in } T_N}{t_{u,v} \colon u \to v \text{ in } \underline{C}}$$

$$\frac{\alpha \colon u \to v \text{ and } \beta \colon u' \to v' \text{ in } \underline{C}}{\alpha \otimes \beta \colon u \otimes u' \to v \otimes v' \text{ in } \underline{C}} \quad \frac{\alpha \colon u \to v \text{ and } \beta \colon v \to w \text{ in } \underline{C}}{\alpha \colon \beta \colon u \to w \text{ in } \underline{C}}$$

modulo the axioms expressing that  $\underline{C}$  is a strict monoidal category, namely,

$$\alpha; id_v = \alpha = id_u; \alpha \quad \text{and} \quad (\alpha; \beta); \delta = \alpha; (\beta; \delta),$$

$$(\alpha \otimes \beta) \otimes \delta = \alpha \otimes (\beta \otimes \delta) \quad \text{and} \quad id_0 \otimes \alpha = \alpha = \alpha \otimes id_0,$$

$$id_u \otimes id_v = id_{u \otimes v} \quad \text{and} \quad (\alpha \otimes \alpha'); (\beta \otimes \beta') = (\alpha; \beta) \otimes (\alpha'; \beta'),$$

$$(3)$$

the latter whenever the righthand term is defined, the following axioms corresponding to axioms (6) expressing that  $\underline{C}$  is symmetric with symmetry isomorphism c

$$c_{u,v\otimes w} = (c_{u,v}\otimes id_w); (id_v\otimes c_{u,w}),$$

$$c_{u,u'}; (\beta\otimes\alpha) = (\alpha\otimes\beta); c_{v,v'} \text{ for } \alpha: u\to v, \ \beta: u'\to v',$$

$$c_{u,v}; c_{v,u} = id_{u\otimes v},$$

$$(4)$$

and the following axiom corresponding to axiom  $(\Phi)$ 

$$p ; t_{u',v'} ; q = t_{u,v}$$
 where  $p: u \to u'$  and  $q: v' \to v$  are symmetries.

*Proof.* It is enough to observe that the definition of  $\underline{C}$  is simply the definition of  $\mathcal{Q}[N]$  enriched with the axiomatization of  $Sym_N^*$  provided by Corollary 3.6.

The previous proposition is relevant, since it gives a completely axiomatic description of the structure of  $\mathcal{Q}[N]$  which can be useful in many contexts. In the following, we shall at each time use as definitions of  $\mathcal{Q}[N]$  and  $Sym_N^*$  those versions best suited for the actual application.

We show next that  $\mathcal{Q}[\_]$  can be lifted to a functor from the category of Petri nets to an appropriate category of symmetric strict monoidal categories and (equivalence classes of) symmetric strict monoidal functors. The issue is not very difficult now, since most of the work has been done in the proof of Proposition 3.5. We start by showing that  $\mathcal{Q}[\_]$  is a pseudo-functor from Petri to  $\underline{SSMC}$  in the sense made explicit by Proposition 3.9 below. More precisely, we extend  $\mathcal{Q}[\_]$  to a mapping from Petri net morphisms to symmetric strict

monoidal functors in such a way that identities are preserved strictly, while net morphism composition is preserved only up to a monoidal natural isomorphism. In order to do that, the key point which is still missing is to be able to embed N into  $\mathcal{Q}[N]$ . To achieve this, we assume for each set S a function  $in_S: S^{\oplus} \to S^{\otimes}$  such that  $\mathcal{M}(in_S(\nu)) = \nu$ , i.e., a function which chooses a "linearization" of each  $\nu \in S^{\oplus}$ . Clearly, corresponding to different choices of the functions  $in_S$ , we shall have a different—yet equivalent—extension of  $\mathcal{Q}[\_]$  to a pseudo-functor. We would like to remark that this apparent arbitrariness of  $\mathcal{Q}[\_]$  is not at all a concern, since the relevant fact we want to show now is that such an extension exists. Moreover, we shall see shortly that introducing the category  $\underline{SSMC}^{\otimes}$  one can completely dispense with the functions  $in_S$ . In the following, given a net N, we shall use  $in_N$  to denote  $in_{S_N}$ .

<u>Remark.</u> An elegant way to express the idea of "linearization" of a multiset, would be to look for a morphism of monads  $in: (\_)^{\oplus} \to (\_)^{\otimes}$ . This would indeed simplify the following formal development and would make  $\mathcal{Q}[\_]$  be a functor  $\underline{Petri} \to \underline{SSMC}$ . However, such a morphism does not exist. It is worth noticing that this is because it is not possibile to choose the functions  $in_N$  "naturally".

## PROPOSITION 3.9 $(Q[\_]: \underline{Petri} \rightarrow \underline{SSMC})$

Let  $\langle f,g \rangle \colon N_0 \to N_1$  be a morphism in <u>Petri</u>. Then, there exists a symmetric strict monoidal functor  $\mathcal{Q}[\langle f,g \rangle] \colon \mathcal{Q}[N_0] \to \mathcal{Q}[N_1]$  which extends  $\langle f,g \rangle$ . Moreover,  $\mathcal{Q}[id_N] = id_{\mathcal{Q}[N]}$  and  $\mathcal{Q}[\langle f_1,g_1 \rangle \circ \langle f_0,g_0 \rangle] \cong \mathcal{Q}[\langle f_1,g_1 \rangle] \circ \mathcal{Q}[\langle f_0,g_0 \rangle]$ .

Proof. Let  $\langle f,g\rangle\colon N_0\to N_1$  be a morphism of Petri nets. Since g is a monoid homomorphism from the free monoid  $S_{N_0}^{\oplus}$  to  $S_{N_1}^{\oplus}$ , it corresponds to a unique function  $g\circ\eta_{S_{N_0}}$  from  $S_{N_0}$  to  $S_{N_1}^{\oplus}$ , where  $\eta$  is the unit of the "commutative monoid" monad. Then, we obtain  $\hat{g}=in_{N_1}\circ g\circ\eta_{S_{N_0}}\colon S_{N_0}\to S_{N_1}^{\otimes}$ , i.e., a function from  $S_{N_0}$  to the set of objects of  $\mathcal{Q}[N_1]$ . Then, from Proposition 3.5, we have the symmetric strict monoidal functor  $F'\colon Sym_{S_{N_0}}\to \mathcal{Q}[N_1]$ . Clearly, the objects component of F' is  $\bar{\mu}_{S_{N_1}}\circ\hat{g}^{\otimes}$ , where  $\bar{\mu}$  is the multiplication of the "monoid" monad. Finally, we extend F' to a functor F from  $\mathcal{Q}[N_0]$  to  $\mathcal{Q}[N_1]$  by considering the symmetric strict monoidal functor which coincides with F' on  $Sym_{N_0}$  and maps  $t_{u,v}\colon u\to v$  to  $f(t)_{F(u),F(v)}\colon F(u)\to F(v)$ . Since monoidal functors map symmetries to symmetries, and since f(t) is a transition of  $N_1$ , it follows immediately that F preserves axiom  $(\Phi)$ , i.e., that F is well defined.

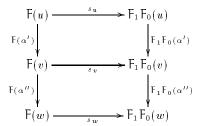
We show next that the above definition makes  $\mathcal{Q}[\text{-}]$  into a pseudo-functor. First of all, observe that whatever the choice of  $in_N$ , the function  $S_N \hookrightarrow S_N^{\oplus} \stackrel{in_N}{\to} S_N^{\otimes}$  is the inclusion of  $S_N$  in  $S_N^{\otimes}$ . It follows from the uniqueness part of the universal property stated in Proposition 3.5 that  $\mathcal{Q}[id_N] \colon \mathcal{Q}[N] \to \mathcal{Q}[N]$  is the identity functor. Now consider  $\langle f_0, g_0 \rangle \colon N_0 \to N_1$  and  $\langle f_1, g_1 \rangle \colon N_1 \to N_2$  and, for i = 0, 1, let  $F_i$  be  $\mathcal{Q}[\langle f_i, g_i \rangle] \colon \mathcal{Q}[N_i] \to \mathcal{Q}[N_{i+1}]$  and let F be  $\mathcal{Q}[\langle f_1 \circ f_0, g_1 \circ g_0 \rangle]$ . We have to show that  $F \cong F_1 F_0$ . Let  $u \in S_{N_0}^{\otimes}$ . By definition, we have that  $F(u_i) = in_{N_2} \circ g_1 \circ g_0(u_i)$  is a permutation of  $F_1 F_0(u_i) = \bar{\mu}_{S_{N_2}} \circ \hat{g}_1^{\otimes} \circ \hat{g}_0(u_i)$  and, therefore, there exists a symmetry  $s_i \colon F(u_i) \to F_1 F_0(u_i)$  in  $\mathcal{Q}[N_2]$ . Then, we take  $s_u$  to be  $s_1 \otimes \cdots \otimes s_n \colon F(u) \to F_1 F_0(u)$ , where n is the length of the string u. We shall prove that the family of the  $s_u$ ,

for  $u \in S_{N_0}^{\otimes}$  is a natural transformation  $F \to F_1 F_0$ . Since s is clearly a monoidal transformation and each  $s_u$  is an isomorphism, this concludes the proof.

We must show that for any  $\alpha: u \to v$  in  $\mathcal{Q}[N_0]$  we have  $\mathsf{F}(\alpha)$ ;  $s_v = s_u$ ;  $\mathsf{F}_1\mathsf{F}_0(\alpha)$ . Exploiting the characterization of  $\mathcal{Q}[N_0]$  given by Proposition 3.8, we proceed by induction on the structure of  $\alpha$ . The key to the proof is that s is monoidal, i.e.,  $s_{u\otimes v} = s_u\otimes s_v$ , as a simple inspection of the definition shows. If  $\alpha$  is an identity, then the claim is obvious. Moreover, if  $\alpha$  is a transition  $t_{u,v}$ , then we have  $\mathsf{F}(\alpha) = f_1 \circ f_0(t)_{\mathsf{F}(u),\mathsf{F}(v)}$  and  $\mathsf{F}_1\mathsf{F}_0(\alpha) = f_1(f_0(t))_{\mathsf{F}_1\mathsf{F}_0(u),\mathsf{F}_1\mathsf{F}_0(v)}$  and the thesis follows immediately from axiom  $(\Phi)$ . Let us consider now  $\alpha = \gamma(u,v)$ . Since  $\mathsf{F}$  and  $\mathsf{F}_1\mathsf{F}_0$  are symmetric strict monoidal functors, the equation we have to prove reduces to  $\gamma(\mathsf{F}(u),\mathsf{F}(v))$ ;  $s_v\otimes s_u=s_u\otimes s_v$ ;  $\gamma(\mathsf{F}_1\mathsf{F}_0(u),\mathsf{F}_1\mathsf{F}_0(v))$ , which certainly holds since  $\{\gamma(u,v)\}_{u,v\in S_0^0}$  is a natural transformation  $x_1\otimes x_2\to x_2\otimes x_1$ . If  $\alpha=\alpha'\otimes\alpha''$ , where  $\alpha':u'\to v'$  and  $\alpha'':u''\to v''$  then, by induction, we have  $\mathsf{F}(\alpha')$ ;  $s_{v'}=s_{u'}$ ;  $\mathsf{F}_1\mathsf{F}_0(\alpha')$  and  $\mathsf{F}(\alpha'')$ ;  $s_{v''}=s_{u''}$ ;  $\mathsf{F}_1\mathsf{F}_0(\alpha'')$ . Then, we deduce

$$F(\alpha') \otimes F(\alpha'')$$
;  $s_{v'} \otimes s_{v''} = s_{u'} \otimes s_{u''}$ ;  $F_1 F_0(\alpha') \otimes F_1 F_0(\alpha'')$ ,

which is  $F(\alpha)$ ;  $s_v = s_u$ ;  $F_1F_0(\alpha)$ . Finally, in the case  $\alpha = \alpha'$ ;  $\alpha''$ , where  $\alpha'$ :  $u \to v$  and  $\alpha''$ :  $u \to w$ , the induction is mantained by pasting the two commutative squares in the following diagrams, which exist by the induction hypothesis



Thus,  $F(\alpha)$ ;  $s_v = s_u$ ;  $F_1F_0(\alpha)$ , which concludes the proof.

Therefore, due to technical reasons concerned with the lack of naturality of the functions  $in_N$ ,  $\mathcal{Q}[\_]$  fails to be a functor from Petri to SSMC. It is only a pseudo-functor. However, it is worth remarking that this failure is intrinsically different from the situation for  $\mathcal{P}[\_]$ , and that the pseudo-functoriality of  $\mathcal{Q}[\_]$  is already a valuable result. In fact, in the case of  $\mathcal{P}[\_]$ , we cannot lift net morphisms to functors between the categories of processes, a failure which may possibly rise doubts on the structure chosen to represent the processes of the single net, while in the case of  $\mathcal{Q}[\_]$ , we just cannot define arrow composition better that "up to isomorphism". This simply brings us to the conclusion that  $\underline{SSMC}$  is not the correct target category for the functorial construction we are looking for. Indeed, as we shall see in the following, it is easy to identify a category  $\underline{SSMC}^{\otimes}$  of symmetric strict monoidal categories such that  $\mathcal{Q}[\_]$  is a functor  $\underline{Petri} \to \underline{SSMC}^{\otimes}$ . Actually, this construction is already implicit in Proposition 3.9 and corresponds to taking an appropriate quotient of  $\underline{SSMC}$ .

Definition 3.10 (Symmetric Petri Categories)

A symmetric Petri category is a symmetric strict monoidal category  $\underline{C}$  in <u>SSMC</u> whose monoid of objects is the free monoid  $S^{\otimes}$  for some set S.

For any pair  $\underline{C}$  and  $\underline{D}$  of symmetric Petri categories, consider the binary relation  $\mathcal{R}_{\underline{C},\underline{D}}$  on the symmetric strict monoidal functors from  $\underline{C}$  to  $\underline{D}$  defined as F  $\mathcal{R}_{\underline{C},\underline{D}}$  G if and only if there exists a monoidal natural isomorphism  $\sigma\colon F\cong G$  whose components are all symmetries. Clearly,  $\mathcal{R}_{\underline{C},\underline{D}}$  is an equivalence relation. Moreover, if  $F'\colon \underline{C}'\to \underline{C}$  and  $G'\colon \underline{D}\to \underline{D}'$  are symmetric strict monoidal functors, then whenever F  $\mathcal{R}_{\underline{C},\underline{D}}$  G we have  $G'FF'\,\mathcal{R}_{\underline{C}',\underline{D}'}\,G'GF'$ . In fact, if  $\sigma\colon F\cong G$  then  $G'\sigma F'\colon F'FG'\cong F'GG'$ , where  $G'\sigma F'$  is clearly monoidal and all its components are symmetries. In other words, the family  $\mathcal{R}$  is a congruence with respect to functor composition. Therefore, the following definition makes sense.

DEFINITION 3.11 (The category SSMC<sup>⊗</sup>)

Let  $\underline{SSMC}^{\otimes}$  be the quotient of the full subcategory of  $\underline{SSMC}$  consisting of the symmetric Petri categories modulo the congruence  $\Re$ .

Of course, concerning  $\underline{SSMC}^{\otimes}$  there is the following easy result.

PROPOSITION 3.12  $(Q[\_]: \underline{Petri} \to \underline{SSMC}^{\otimes})$  $Q[\_]$  extends to a functor from  $\underline{Petri}$  to  $\underline{SSMC}^{\otimes}$ .

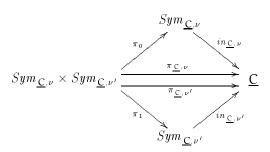
*Proof.* For  $\langle f, g \rangle: N_0 \to N_1$ , let  $\mathcal{Q}[\langle f, g \rangle]$  be the equivalence class of the functor in <u>SSMC</u> from  $\mathcal{Q}[N_0]$  to  $\mathcal{Q}[N_1]$  described in Proposition 3.9.

Observe that, when describing  $\mathcal{Q}[\langle f,g\rangle]$  in <u>SSMC</u><sup> $\otimes$ </sup>, there is no need to consider the family of functions in, since the extensions of  $\langle f,g\rangle$  to a symmetric strict monoidal functor corresponding to different choices of  $in_S$  yield the same functor in <u>SSMC</u><sup> $\otimes$ </sup>.

However, the category  $\underline{SSMC}^{\otimes}$  is still too general for our purpose. In particular, it is easily noticed that  $\mathcal{Q}[\_]$  is not full (though faithful), i.e., that that there are functors from  $\mathcal{Q}[N_0]$  to  $\mathcal{Q}[N_1]$  in  $\underline{SSMC}^{\otimes}$  which do not correspond to any morphism from  $N_0$  to  $N_1$  in  $\underline{Petri}$ . This signifies that  $\underline{SSMC}^{\otimes}$  has too little structure to represent net behaviours precisely enough; in other terms, since the structure of the objects of a category  $\underline{C}$  is "encoded" in the morphisms of  $\underline{C}$ , it

signifies that the morphisms of  $\underline{SSMC}^{\otimes}$  do not capture the structure of symmetric Petri categories precisely enough. Specifically, the transitions, which are definitely primary components of nets, and as such are treated by the morphisms in  $\underline{Petri}$ , have no corresponding notion in  $\underline{SSMC}^{\otimes}$ : we need to identify such a notion and refine the choice of the category of net computations accordingly.  $\underline{Notation}$ . Given a symmetric monoidal category  $\underline{C}$ , we use  $\underline{Sym_C}$  to indicate the subcategory of  $\underline{C}$  consisting of the symmetries, i.e., of those arrows which are build up from identities and components of symmetry isomorphism of  $\underline{C}$ .

The key to accomplish our task is the following observation about axiom  $(\Phi)$  in Definition 3.7: as already mentioned, it simply expresses that the collection of the arrows  $t_{u,v}$  of  $\mathcal{Q}[N]$ , for  $t \in T_N$  and  $u,v \in S_N^{\otimes}$ , is a natural transformation. Namely, for  $\underline{\mathbb{C}}$  a symmetric Petri category with objects  $S^{\otimes}$ , and  $\nu$  a multiset in  $S^{\oplus}$ , let  $Sym_{\underline{\mathbb{C}},\nu}$  be the full subcategory of  $Sym_{\underline{\mathbb{C}}}$  consisting of those objects  $u \in S^{\otimes}$  such that  $\mathcal{M}(u) = \nu$ , and let  $in_{\underline{\mathbb{C}},\nu}$  be the inclusion of  $Sym_{\underline{\mathbb{C}},\nu}$  in  $\underline{\mathbb{C}}$ . Then, for  $\nu,\nu' \in S^{\oplus}$ , one obtains a pair of parallel functors  $\pi_{\underline{\mathbb{C}},\nu}$  and  $\pi_{\underline{\mathbb{C}},\nu'}$  by composing  $in_{\underline{\mathbb{C}},\nu}$  and  $in_{\underline{\mathbb{C}},\nu'}$  respectively with the first and with the second projection of  $Sym_{\underline{\mathbb{C}},\nu} \times Sym_{\underline{\mathbb{C}},\nu'}$ .



It follows directly from the definitions that, when  $\underline{C}$  is  $\mathcal{Q}[N]$ , axiom  $(\Phi)$  states exactly that, for all  $t: \nu \to \nu' \in T_N$ , the set  $\{t_{u,v} \mid \mathcal{M}(u) = \nu, \mathcal{M}(v) = \nu'\}$  is a natural transformation from  $\pi_{\mathcal{Q}[N],\nu}$  to  $\pi_{\mathcal{Q}[N],\nu'}$ .

A further very relevant property of the transitions of N when considered as arrows of Q[N] is that of being decomposable as a tensor only trivially and as a composition only by means of symmetries. This is easily captured by the following notion of *primitive* arrow.

Definition 3.13 (Primitive Arrows) Let  $\underline{C}$  be a symmetric Petri category. An arrow  $\tau$  in  $\underline{C}$  is primitive if

- i)  $\tau$  is not a symmetry;
- ii)  $\tau = \alpha$ ;  $\beta$  implies  $\alpha$  is a symmetry and  $\beta$  is primitive, or viceversa;
- iii)  $\tau = \alpha \otimes \beta$  implies  $\alpha = id_0$  and  $\beta$  is primitive, or viceversa.

A simple inspection of Definition 3.7 shows that the only primitive arrows in  $\mathcal{Q}[N]$  are the arrows  $t_{u,v}$ , for  $t:\mathcal{M}(u)\to\mathcal{M}(v)$  a transition of N. As a consequence, the natural transformations  $\tau:\pi_{\mathcal{Q}[N],\nu}\to\pi_{\mathcal{Q}[N],\nu'}$  whose components are primitive are in one-to-one correspondence with the transitions of N. Following the usual categorical paradigm, we then use the properties that characterize the transitions of N in  $\mathcal{Q}[N]$ , expressed in abstract categorical terms, to define the notion of transition in any symmetric Petri category.

DEFINITION 3.14 (Transitions of Symmetric Petri Categories) Let  $\underline{C}$  be a symmetric Petri category and let  $S^{\otimes}$  be its monoid of objects. A transition of  $\underline{C}$  is a natural transformation  $\tau: \pi_{\underline{C}, \nu} \to \pi_{\underline{C}, \nu'}$ , for  $\nu, \nu'$  in  $S^{\oplus}$ , whose components  $\tau_{u, v}$  are primitive arrows of  $\underline{C}$ .

It is clear now what the extra structure required in  $\underline{SSMC}^{\otimes}$  is: transitions must be preserved by morphisms of symmetric Petri categories. Formally, for  $\underline{C}$  and  $\underline{D}$  in  $\underline{SSMC}^{\otimes}$  and  $F:\underline{C} \to \underline{D}$  in  $\underline{SSMC}$ , F respects transitions if, for each transition  $\tau:\pi_{\underline{C},\nu} \to \pi_{\underline{C},\nu'}$  of  $\underline{C}$ , there exists a transition  $\tau':\pi_{\underline{D},\bar{\nu}} \to \pi_{\underline{D},\bar{\nu}'}$  of  $\underline{D}$  such that  $F(\tau_{u,v}) = \tau'_{F(u),F(v)}$  for all (u,v) in  $Sym_{\underline{C},\nu} \times Sym_{\underline{C},\nu'}$ ; in this case, we say that  $\tau'$  corresponds to  $\tau$  via F.

### **Lemma 3.15**

If  $F: \underline{C} \to \underline{D}$  preserves transitions, then for any transition  $\tau$  of  $\underline{C}$ , there exists a unique transition  $\tau'$  of  $\underline{D}$  which corresponds to  $\tau$  via F.

*Proof.* First observe that, for any symmetric Petri category  $\underline{\mathbb{C}}$  and any pair of natural transformations  $\tau, \tau' \colon \pi_{\underline{\mathbb{C}}, \nu} \to \pi_{\underline{\mathbb{C}}, \nu'}$  whenever  $\tau_{u,v} = \tau'_{u,v}$  for some u and v, then  $\tau = \tau'$ . In fact, for any u' and v' there exists  $(s, s') \colon (u', v) \to (u, v')$  in  $Sym_{\underline{\mathbb{C}}, \nu} \times Sym_{\underline{\mathbb{C}}, \nu'}$ , and then  $\tau_{u', v'} = s \colon \tau_{u, v} \colon s' = s \colon \tau'_{u, v} \colon s' = \tau'_{u', v'}$ .

Now consider the transitions  $\tau'$  and  $\tau''$  of  $\underline{D}$  and suppose that they both correspond to  $\tau$  via F. Then,  $F(\tau_{u,v}) = \tau'_{F(u),F(v)} = \tau''_{F(u),F(v)}$ , which implies  $\tau' = \tau''$ .

The previous lemma shows that any symmetric strict monoidal functor which preserves transitions defines a mapping between the respective sets of transitions. Then next lemma proves that this extends to the arrows of  $SSMC^{\otimes}$ .

## Lemma 3.16

If F  $\Re$  G, then F respects transitions if and and only if G does so, and then  $\tau'$  corresponds to  $\tau$  via F if and only if  $\tau'$  corresponds to  $\tau$  via G.

Proof. Let  $\sigma: \mathsf{F} \to \mathsf{G}: \underline{\mathsf{C}} \to \underline{\mathsf{D}}$  be a monoidal natural isomorphism whose components are symmetries, suppose that  $\mathsf{F}$  respects transitions, and consider a transition  $\tau: \pi_{\underline{\mathsf{C}}, \nu} \to \pi_{\underline{\mathsf{C}}, \nu'}$ . By hypothesis, there exists a transition  $\tau': \pi_{\underline{\mathsf{D}}, \nu} \to \pi_{\underline{\mathsf{D}}, \nu'}$  of  $\underline{\mathsf{D}}$  such that  $\mathsf{F}(\tau_{u,v}) = \tau'_{\mathsf{F}(u), \mathsf{F}(v)}$  for all  $(u,v) \in \mathit{Sym}_{\underline{\mathsf{C}}, \nu} \times \mathit{Sym}_{\underline{\mathsf{C}}, \nu'}$ . Then, by naturality of  $\sigma$ ,  $\mathsf{G}(\tau_{u,v}) = \sigma_u^{-1}; \tau'_{\mathsf{F}(u), \mathsf{F}(v)}; \sigma_v$ , and therefore, by naturality of  $\tau'$ ,  $\mathsf{G}(\tau_{u,v}) = \tau'_{\mathsf{G}(u), \mathsf{G}(v)}$  and the proof is concluded.

It follows now from Lemma 3.16 that the next definition is well given.

DEFINITION 3.17 (Symmetric Petri Morphisms and the Category  $\overline{\text{TSSMC}}^{\otimes}$ ) A morphism of symmetric Petri category is an arrow in  $\underline{\text{SSMC}}^{\otimes}$  which respects transitions. We shall use  $\underline{\text{TSSMC}}^{\otimes}$  denote the (lluf) subcategory of  $\underline{\text{SSMC}}^{\otimes}$  whose arrows are the morphisms of symmetric Petri categories.

Finally, it is easy to prove that  $\mathcal{Q}[\underline{\ }]$  is actually a functor to  $\mathsf{TSSMC}^{\otimes}$ .

PROPOSITION 3.18  $(Q[\_]: \underline{Petri} \to \underline{TSSMC}^{\otimes})$ The functor  $Q[\_]$  restricts to a functor from  $\underline{Petri}$  to  $\underline{TSSMC}^{\otimes}$ .

Proof. It is enough to verify that, for any morphism  $\langle f,g\rangle\colon N_0\to N_1$  in <u>Petri</u>, a representative F of  $\mathcal{Q}[\langle f,g\rangle]$  respects transitions. But this follows at once, since f is a function from  $T_{N_0}$  to  $T_{N_1}$ , since  $\mathsf{F}(t_{u,v})=f(t)_{\mathsf{F}(u),\mathsf{F}(v)}$ , and since the transitions of  $\mathcal{Q}[N_i]$  are exactly the natural transformations  $\{t_{u,v}\mid \mathcal{M}(u)=\nu,\mathcal{M}(v)=\nu'\}$ , for  $t\colon \nu\to \nu'\in T_{N_1}$ .

Interestingly enough, we can identify a functor from  $\overline{\text{TSSMC}}^{\otimes}$  to  $\underline{\text{Petri}}$  which is a coreflection right adjoint to  $\mathcal{Q}[\_]$ . It is worth remarking that this answers to a possible legitimate doubt about the category  $\underline{\text{TSSMC}}^{\otimes}$ : in principle, in fact, the functoriality of  $\mathcal{Q}[\_]$  could be due to a very tight choice of the target category, e.g., the congruence  $\mathcal{R}$  could induce too many isomorphisms of categories and  $\mathcal{Q}[\_]$  make undesirable identifications of nets. The existence of a coreflection right adjoint to  $\mathcal{Q}[\_]$  is, of course, the best possible proof of the adequacy of  $\underline{\text{TSSMC}}^{\otimes}$ : it implies that  $\underline{\text{Petri}}$  is embedded in it fully and faithfully. More precisely,  $\underline{\text{Petri}}$  is (equivalent to) a coreflective subcategory of  $\underline{\text{TSSMC}}^{\otimes}$ . This result supports our claim that  $\underline{\text{TSSMC}}^{\otimes}$  is an axiomatization of the category of net computations.

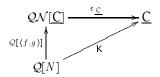
PROPOSITION 3.19 ( $Q[\_] \dashv \mathcal{N}[\_] : \underline{\mathsf{Petri}} \to \underline{\mathsf{TSSMC}}^\otimes$ ) Let  $\underline{\mathsf{C}}$  be a symmetric Petri category, and let  $S^\otimes$  be its monoid of objects. Define  $\mathcal{N}[\mathsf{C}]$  to be the Petri net  $(\partial^0, \partial^1 : T \to S^\oplus)$ , where

- T is the set of transitions  $\tau: \pi_{\underline{C}, \nu} \to \pi_{\underline{C}, \nu'}$  of  $\underline{C}$ ;
- $\partial^0(\tau:\pi_{C,\nu}\to\pi_{C,\nu'})=\nu;$
- $\bullet \ \partial^1(\tau \colon \pi_{\underline{C},\nu} \overset{.}{\to} \pi_{\underline{C},\nu'}) = \nu'.$

Then,  $\mathcal{N}[.]$  extends to a functor  $\underline{\mathsf{TSSMC}}^\otimes \to \underline{\mathsf{Petri}}$  which is right adjoint to  $\mathcal{Q}[.]$ . In addition, since the unit is an isomorphism, the adjunction is a coreflection.

*Proof.* Given any symmetric Petri category  $\underline{C}$ , there is a (unique) symmetric strict monoidal functor  $\varepsilon_{\underline{C}} : \mathcal{QN}[\underline{C}] \to \underline{C}$  which is the identity on the objects and which sends the component at (u, v) of the transition  $\tau : \nu \to \nu'$  of  $\mathcal{N}[\underline{C}]$ , in the following

denoted by  $[\tau]_{u,v}$ , to the component  $\tau_{u,v}$  of the corresponding natural transformation  $\tau\colon \pi_{\underline{C},\nu}\to \pi_{\underline{C},\nu'}\colon Sym_{\underline{C},\nu}\times Sym_{\underline{C},\nu'}\to \underline{C}$ . In fact, by naturality of  $\tau$ , we have that  $s;\tau_{u',v'}=\tau_{u,v};s'$  for any symmetries  $s\colon u\to u'$  and  $s'\colon v\to v'$  in  $Sym_{\underline{C}}$ . It follows then directly from Definition 3.7 that the conditions above define  $\varepsilon_{\underline{C}}$  (uniquely) as a symmetric strict monoidal functor from  $\mathcal{QN}[\underline{C}]$  to  $\underline{C}$ . In addition, since it clearly preserves transitions, we have that  $\varepsilon_{\underline{C}}$  is a (representative of a) morphism of symmetric Petri categories. We shall prove that  $\varepsilon_{\underline{C}}$  enjoys the following couniversal property: for each  $K\colon \mathcal{Q}[N]\to \underline{C}$  in  $\underline{TSSMC}^\otimes$ , there exists a unique morphism  $\langle f,g\rangle\colon N\to \mathcal{N}[C]$  in  $\underline{Petri}$  such that the following diagram commutes.



This proves that  $\mathcal{N}[\_]$  is right adjoint to  $\mathcal{Q}[\_]$ , in symbols,  $\mathcal{Q}[\_] \dashv \mathcal{N}[\_]$ .

Let  $S^{\otimes}$  denote the monoid of objects of  $\underline{C}$ , and let  $(\partial^{0}, \partial^{1}: T \to S^{\oplus})$  be  $\mathcal{N}[\underline{C}]$  and F any representative of K. Since the object component of F is a monoid homomorphism, we have  $\mathcal{M}(F(u)) = \mathcal{M}(F(v))$  whenever  $\mathcal{M}(u) = \mathcal{M}(v)$ . Then, the function  $g: S_{N}^{\oplus} \to S^{\oplus}$  which sends  $\nu$  to  $\mathcal{M}(F(u_{\nu}))$ , for  $u_{\nu}$  any linearization of  $\nu$ , is a well defined monoid homomorphism. Moreover, g does not depend on the chosen representative of K, for if F  $\mathcal{R}$  F' then, for all  $u \in S^{\otimes}$ , there is a symmetry  $\sigma_{u} \colon F(u) \to F'(u)$ , whence  $\mathcal{M}(F(u)) = \mathcal{M}(F'(u))$ . Concerning the transitions, consider  $f: T_{N} \to T$  defined as  $f(t) = \tau$ , where  $\tau$  is the transition of  $\underline{C}$  corresponding via F to the transition  $\{t_{u,v}\}$  of  $\mathcal{Q}[N]$ . By Lemma 3.15, f is well-defined, and by Lemma 3.16, it does not depend on the representative of K. Moreover, since  $f(t: \nu \to \nu') = \tau$  implies that  $\tau \colon \pi_{\underline{C},g(\nu)} \to \pi_{\underline{C},g(\nu')}$ , we have that  $\langle f,g \rangle \colon N \to \mathcal{N}[\underline{C}]$  is a morphism in  $\underline{Petri}$ .

We have to prove that  $\varepsilon_{\underline{C}} \circ \mathcal{Q}[\langle f,g \rangle] = \mathbb{K}$  in  $\underline{TSSMC}^{\otimes}$ . Without loss of generality, exploiting the fact that  $\mathcal{R}$  is a congruence, we prove that  $\varepsilon \circ G = \mathbb{F}$  for choosen representatives  $\varepsilon$  of  $\varepsilon_{\underline{C}}$ , G of  $\mathcal{Q}[\langle f,g \rangle]$ , and F of K. In particular, we can assume that  $\varepsilon$  is the identity on the objects and that G(u) = F(u) for all  $u \in S_N^{\otimes}$ . Then,  $\varepsilon G(t_{u,v}) = \varepsilon([f(t)]_{G(u),G(v)}) = f(t)_{G(u),G(v)} = \tau_{F(u),F(v)} = F(t_{u,v})$ , the last equality being since  $\tau$  is the transition of  $\underline{C}$  corresponding to  $\{t_{u,v}\}$  via F. The required equality of functors follows now directly from Definition 3.7. Finally, the uniqueness of  $\langle f,g \rangle$  follows immediately, since if the diagram has to commute, then both the definitions of f and g are forced.

By general results in category theory, the component  $\eta_N \colon N \to \mathcal{N}\mathcal{Q}[N]$  of the unit of  $\mathcal{Q}[\_] \dashv \mathcal{N}[\_]$  is the unique arrow which makes the diagram commute when  $\underline{C}$  is  $\mathcal{Q}[N]$  and K is the (equivalence class of the) identity of  $\mathcal{Q}[\_]$ . Applying the previous part of the proof, we have that  $\eta_N = \langle f, g \rangle$ , where g is the identity of  $S_N^{\oplus}$  and f sends  $t \in T_N$  to  $\{t_{u,v}\} \in T_{\mathcal{N}\mathcal{Q}[N]}$ . Since by the definitions of  $\mathcal{N}[\_]$  and of transition of  $\mathcal{Q}[N]$  we know that f is an isomorphism, we conclude that  $\eta_N$  is such.

We end this section by characterizing the replete image of Q[] in TSSMC<sup> $\otimes$ </sup>.

PROPOSITION 3.20 (Petri  $\cong$  PSSMC)

Let <u>PSSMC</u> be the full subcategory of <u>TSSMC</u><sup> $\otimes$ </sup> consisting of those symmetric Petri categories <u>C</u> whose arrows can be generated by tensor and composition from symmetries, and components of transitions of <u>C</u>, uniquely up to the axioms of symmetric strict monoidal categories, i.e., axioms (3) and (4), and the naturality of transitions, i.e., axiom ( $\Phi$ ).

Then, PSSMC and Petri are equivalent.

Proof. By general results in category theory, it is enough to show that  $\underline{C}$  belongs to  $\underline{PSSMC}$  if and only if the component  $\varepsilon_{\underline{C}} \colon \mathcal{QN}[\underline{C}] \to \underline{C}$  of the counit of  $\mathcal{Q}[\underline{-}] \dashv \mathcal{N}[\underline{-}]$  is an isomorphism. Let  $\varepsilon$  be a representative of  $\varepsilon_{\underline{C}}$ . Clearly,  $\varepsilon_{\underline{C}}$  is iso if and only if  $\varepsilon$  is such. Moreover, since  $\varepsilon$  is an isomorphism on the objects, it is iso if and only if it is an isomorphism on each homset. Then the result follows, since each arrow of  $\underline{C}$  can be written as tensor and composition of symmetries and component of transitions if and only if  $\varepsilon$  is surjective on each homset, and this can be done uniquely (up to the equalities that necessarily hold in any symmetric Petri category) if and only if  $\varepsilon$  is injective on each homset.

## 4 Strong Concatenable Processes

In this section we introduce a slight refinement of concatenable processes and we show that they are abstractly represented by the arrows of the category  $\mathcal{Q}[N]$ . In other words, we find a process-like representation for the arrows of  $\mathcal{Q}[N]$ . This yields a functorial construction for the category of the processes of a net N. Once again most of the work has already been done in the proof of Proposition 3.5 and therefore our task is now easy.

Definition 4.1 (Strong Concatenable Processes)

Given a petri net N in <u>Petri</u>, a strong concatenable process of N is a tuple  $(\pi, \ell, L)$  where  $\pi: \Theta \to N$  is a process of N, and  $\ell: \min(\Theta) \to \{1, \ldots, |\min(\Theta)|\}$  and  $L: \max(\Theta) \to \{1, \ldots, |\max(\Theta)|\}$  are isomorphisms, i.e., total orderings of, respectively, the minimal and the maximal places of  $\Theta$ .

An isomorphism of strong concatenable processes is an isomorphism of the underlying processes which, in addition, preserves the orderings  $\ell$  and L. As usual, we identify isomorphic strong concatenable processes.

So, a strong concatenable process is a non-sequential process where the minimal and maximal places are linearly ordered. Graphically, we shall represent strong concatenable processes by using the usual representation of non-sequential processes enriched by labelling the minimal and the maximal places with the value of, respectively,  $\ell$  and L. An example is shown in Figure 4.

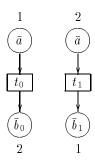


Figure 4: A strong concatenable process for the net of Example 2.1

As in the case of concatenable processes, it is easy to define an operation of concatenation of strong concatenable processes. We associate a source and a target in  $S_N^{\otimes}$  to each strong concatenable process by taking the *string* corresponding to the linear ordering of, respectively,  $\min(\Theta)$  and  $\max(\Theta)$ . Then, the concatenation of  $(\pi_0: \Theta_0 \to N, \ell_0, L_0): u \to v$  and  $(\pi_1: \Theta_1 \to N, \ell_1, L_1): v \to w$  is the concatenable process  $(\pi: \Theta \to N, \ell, L): u \to w$  defined as follows (see also Figure 5), where, in order to simplify notations, we assume that  $S_{\Theta_0}$  and  $S_{\Theta_1}$  are disjoint.

• Let A be the set of pairs (x, y) such that  $x \in \max(\Theta_0)$ ,  $y \in \min(\Theta_1)$  and  $\ell(y) = L(x)$ . By the definitions of concatenable processes and of their sources and targets, each element of  $\max(\Theta_0)$  belongs exactly to one pair of A, and of course the same happens to  $\min(\Theta_1)$ . Consider  $S_0 = S_{\Theta_0} \setminus \max(\Theta_0)$  and  $S_1 = S_{\Theta_1} \setminus \min(\Theta_1)$ . Then, let  $in_0: S_{\Theta_0} \to S_0 \cup A$  be the function which is the identity on  $x \in S_0$  and maps  $x \in \max(\Theta_1)$  to the corresponding pair in A. Define  $in_1: S_{\Theta_1} \to S_1 \cup A$  analogously. Then,

$$\Theta = (\partial^0, \partial^1: T_{\Theta_0} + T_{\Theta_1} \to (S_0 \cup S_1 \cup A)^{\oplus}),$$

where + denotes the disjoint union of sets and functions, and

$$- \partial^0 = i n_0^{\oplus} \circ \partial_{\Theta_0}^0 + i n_1^{\oplus} \circ \partial_{\Theta_1}^0;$$

$$- \partial^1 = i n_0^{\oplus} \circ \partial_{\Theta_0}^1 + i n_1^{\oplus} \circ \partial_{\Theta_1}^1;$$

- Suppose  $\pi_i = \langle f_i, g_i \rangle$ , for i = 0, 1, and consider the function  $g(a) = g_i(a)$  if  $a \in S_i$  and  $g((x, y)) = g_0(x) = g_1(y)$  otherwise. Then  $\pi = \langle f_0 + f_1, g \rangle$ .
- $\ell(a) = \ell_0(a)$  if  $a \in \min(\Theta_0)$  and  $\ell((x,y)) = \ell_0(x)$  if  $(x,y) \in \min(\Theta)$ .
- $L(a) = L_1(a)$  if  $a \in \max(\Theta_1)$  and  $L((x, y)) = L_1(y)$  if  $(x, y) \in \max(\Theta)$ .

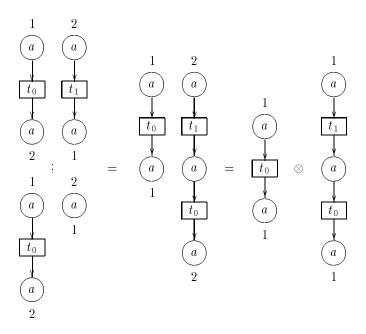


Figure 5: An example of the algebra of strong concatenable processes

#### Proposition 4.2

Under the above defined operation of sequential composition, the strong concatenable processes of N form a category  $\mathcal{CQ}[N]$  with identities those processes consisting only of places, which therefore are both minimal and maximal, and such that  $\ell = L$ .

Strong concatenable processes admit a tensor operation  $\otimes$  such that, given  $SCP_0 = (\pi_0 : \Theta_0 \to N, \ell_0, L_0) : u \to v$  and  $SCP_1 = (\pi_1 : \Theta_1 \to N, \ell_1, L_1) : u' \to v', SCP_0 \otimes SCP_1$  is the strong concatenable process  $(\pi : \Theta \to N, \ell, L) : u \otimes u' \to v \otimes v'$  given below (see also Figure 5).

- $\bullet \ \Theta = (\partial^0_{\Theta_0} + \partial^0_{\Theta_1}, \partial^1_{\Theta_0} + \partial^1_{\Theta_1} : T_{\Theta_0} + T_{\Theta_1} \to (S_{\Theta_0} + S_{\Theta_1})^{\oplus});$
- $\pi = \pi_0 + \pi_1$ ;
- $\ell(in_0(a)) = \ell_0(a)$  and  $\ell(in_1(a)) = |\min(\Theta_0)| + \ell_1(a)$ ;
- $L(in_0(a)) = L_0(a)$  and  $L(in_1(a)) = |\max(\Theta_1)| + L_1(a)$ .

It is easy to verify that  $\otimes$  is a functor  $\otimes: \mathcal{CQ}[N] \times \mathcal{CQ}[N] \to \mathcal{CQ}[N]$ . The strong concatenable processes consisting only of places are analogous in  $\mathcal{CQ}[N]$ 

of the permutations of  $\mathcal{Q}[N]$ . In particular, for any  $u,v\in S^{\otimes}$ , the strong concatenable process  $\bar{\gamma}(u,v)$  consisting of places in one-to-one correspondence with the elements of the string  $u\otimes v$  mapped by  $\pi$  to the corresponding places of N, and such that  $\ell(u_i)=i,\ \ell(v_i)=|u|+i,\ L(u_i)=|v|+i$  and  $L(v_i)=i,$  plays in  $\mathcal{C}\mathcal{Q}[N]$  the role played by the permutation  $\gamma(u,v)$  in  $\mathcal{Q}[N]$  (see also Figure 6).

### Proposition 4.3

Under the above defined tensor product  $\mathcal{CQ}[N]$  is a symmetric strict monoidal category whose symmetry isomorphism is the family  $\{\bar{\gamma}(u,v)\}_{u,v\in S_N^{\otimes}}$ . Moroever, the subcategory of  $\mathcal{CQ}[N]$  consisting of the processes with only places is the category of symmetries of  $\mathcal{CQ}[N]$  and is isomorphic to  $Sym_N^*$ .

*Proof.* Concerning the first claim, it is enough to verify that  $\mathcal{CQ}[N]$  satisfies the axioms (6) with respect to  $\otimes$  and the symmetries  $\bar{\gamma}(u,v)$  defined above. The task is really immediate and thus omitted.

Let Sym be the subcategory of the processes consisting only of places of  $\mathcal{CQ}[N]$ . Since  $\otimes$  restricts to a functor  $Sym \times Sym \to Sym$ , we have that Sym is a symmetric strict monoidal category with symmetry isomorphism  $\{\bar{\gamma}(u,v)\}_{u,v \in S_N^{\otimes}}$ . Then, by Proposition 3.5, there exists a functor F from  $Sym_N^*$  to Sym, corresponding to the identity function on  $S_N^{\otimes}$ , which is the identity on the objects and such that  $F(\gamma(u,v)) = \bar{\gamma}(u,v)$ . Moreover, since for any  $u,v \in S_N^{\otimes}$  the strong concatenable processes from u to v in Sym are clearly isomorphic to the permutations  $p:u \to v$  in  $Sym_N^*$ , it follows easily that F is full and faithful. Therefore, F is an isomorphism. This means that Sym is generated via composition and tensor product from the symmetries  $\bar{\gamma}(u,v)$  and from the identities, i.e., that Sym is the category of symmetries of  $\mathcal{CQ}[N]$ .

The transitions t of N are faithfully represented in the obvious way by processes with a unique transition which is in the post-set of any minimal place and in the pre-set of any maximal place, minimal and maximal places being in one-to-one correspondence, respectively, with  $\partial_N^0(t)$  and  $\partial_N^1(t)$ . Thus, varying  $\ell$  and L on the process corresponding to a transition we obtain a representative in  $\mathcal{CQ}[N]$  of each instance  $t_{u,v}$  of t in  $\mathcal{Q}[N]$  (see also Figure 6).

We can show the announced correspondence between  $\mathcal{CQ}[N]$  and  $\mathcal{Q}[N]$ .

## Proposition 4.4

 $\mathcal{CQ}[N]$  and  $\mathcal{Q}[N]$  are isomorphic.

Proof. First of all observe that  $\mathcal{CQ}[N]$  satisfies axiom  $(\Phi)$  of Definition 3.7, the symmetries and the (instances of) transitions being as explained above. In order to prove this claim, let  $T_{u,v} = (\pi_0: \Theta_0 \to N, \ell_0, L_0)$  and  $T_{u',v'} = (\pi_1: \Theta_1 \to N, \ell_1, L_1)$  be different instances of some transition t, and let  $S: u \to u'$  and  $S': v \to v'$  be symmetries of  $\mathcal{CQ}[N]$ . Moreover, suppose that  $S^{-1}$  and S' correspond, respectively,

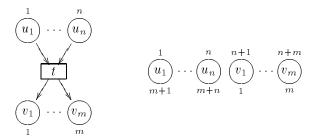


Figure 6: A transitions  $t_{u,v}: u \to v$  and the symmetry  $\gamma(u,v)$  in  $\mathcal{CQ}[N]$ 

to the permutations  $p:u'\to u$  and  $q:v\to v'$  in  $\mathbb{Q}[N]$ . Then,  $S^{-1}:T_{u,v}:S$  is (isomorphic to)  $(\pi_0\colon\Theta_0\to N,p\circ\ell_0,q\circ L_0)$ . Consider the function  $g:S_{\Theta_0}\to S_{\Theta_1}$  such that  $g(x)=\ell_1^{-1}(p(\ell_0(x)))$  if  $x\in\min(\Theta_0)$  and  $g(x)=L_1^{-1}(q(L_0(x)))$  if  $x\in\max(\Theta_1)$ . Clearly, by definition of  $\Theta_0$  and  $\Theta_1$ , g is an isomorphism. Moreover, since for each  $x\in\min(\Theta_0)$  and  $y\in\max(\Theta_0)$  we have that  $u_{\ell_0(x)}=u'_{p(\ell_0(x))}$  and  $v_{L_0(y)}=u'_{q(L_1(y))}$ , it follows that  $\pi_1(g(x))=u'_{\ell_1(g(x))}=u'_{p(\ell_0(x))}=u_{\ell_0(x)}=\pi_0(x)$  and that  $\pi_1(g(y))=u'_{L_1(g(y))}=u'_{q(L_0(y))}=u_{L_0(y)}=\pi_0(y)$ . Therefore, we have an isomorphism  $(f,g^\otimes):\Theta_0\to\Theta_1$ , where  $g^\otimes:S_{\Theta_0}^\otimes\to S_{\Theta_1}^\otimes$  is the free monoidal extension of g and f is the function which maps the unique transition in  $\Theta_0$  to the unique transition in  $\Theta_1$ . Then,  $S^{-1}:T_{u,v}:S'=T_{u',v'}$ , i.e.,  $(\Phi)$  holds.

Thus, since by definition  $\mathcal{Q}[N]$  is the free symmetric strict monoidal category built on  $Sym_N^*$  plus the additional arrows in  $T_N$  and which satisfies axiom  $(\Phi)$ , there is a strict monoidal functor  $\mathcal{H}: \mathcal{Q}[N] \to \mathcal{C}\mathcal{Q}[N]$  which is the identity on the objects and sends the generators, i.e., symmetries and transitions, to the corresponding strong concatenable processes. We want to show that  $\mathcal{H}$  is an isomorphism. Observe that, by Proposition 4.3, we already know that  $\mathcal{H}$  is an isomorphism between the corresponding categories of symmetries.

fullness. It is completely trivial to see that any strong concatenable process SCP may be obtained as a concatenation  $SCP_0$ ; ...;  $SCP_n$  of strong concatenable processes  $SCP_i$  of depth one. Now, each of these  $SCP_i$  may be split into the concatenation of a symmetry  $S_0^i$ , the tensor of the (processes representing the) transitions which appear in it plus some identities, say  $u_i \otimes \bigotimes_j T_j^i$  and finally another symmetry  $S_1^i$ . The intuition about this factorization is as follows. We take the tensor of the transitions which appear in  $SCP_i$  in any order and multiply the result by an identity concatenable process in order to get the correct source and target. Then, in general, we need a pre-concatenation and a post-concatenation with a symmetry in order to get the right indexing of minimal and maximal places. Then, we finally have

$$SCP = S_0^0 ; (u_1 \otimes \bigotimes_j T_j^1) ; (S_1^0 ; S_0^1) ; \dots ; (S_1^{n-1} ; S_0^n) ; (u_n \otimes \bigotimes_j T_j^n) ; S_1^n$$

which shows that every strong concatenable process is in the image of  $\mathcal{H}$ .

faithfulness. The arrows of Q[N] are equivalence classes, modulo the axioms stated in Definition 3.8, of terms built by applying tensor and sequential composition to the identities  $id_u$ , the symmetries  $c_{u,v}$ , and the transitions  $t_{u,v}$ . We have to show that, given two such terms  $\alpha$  and  $\beta$ , whenever  $\mathcal{H}(\alpha) = \mathcal{H}(\beta)$  we have  $\alpha = \varepsilon \beta$ , where  $=\varepsilon$  is the equivalence induced by the axioms (3), (4) and  $(\Phi)$ .

First of all, observe that if  $\mathcal{H}(\alpha)$  is a strong process SCP of depth n, then  $\alpha$  can be proved equal to a term

$$\alpha' = s_0; (id_{u_1} \otimes \bigotimes_j \tau_j^1); s_1; \ldots; s_{n-1}; (id_{u_n} \otimes \bigotimes_j \tau_j^n); s_n$$

where, for  $1 \leq i \leq n$ ,  $\tau_j^i = (t_j^i)_{u_j^i, v_j^i}$  and the transitions  $t_j^i$ , for  $1 \leq j \leq n_i$ , are exactly the transitions of SCP at depth i and where  $s_0, \ldots, s_n$  are symmetries. Moreover, we can assume that in the *i*-th tensor product  $\bigotimes_{i} \tau_{j}^{i}$  the transitions are indexed according to a global ordering  $\leq$  of  $T_N$  assumed for the purpose of this proof, i.e.,  $t_1^i \leq \cdots \leq t_{n_i}^i$ , for  $1 \leq i \leq n$ . Let us prove our claim. It is easily shown by induction on the structure of terms that using axioms (3)  $\alpha$  can be rewritten as  $\alpha_1; \ldots; \alpha_h$ , where  $\alpha_i = \bigotimes_k \xi_k^i$  and  $\xi_k^i$  is either a transition or a symmetry. Now, observe that by functoriality of  $\otimes$ , for any  $\alpha': u' \to v'$ ,  $\alpha'': u'' \to v''$  and  $s: u \to u$ , we have  $\alpha' \otimes s \otimes \alpha'' = (id_{u'} \otimes s \otimes id_{u''}); (\alpha' \otimes id_u \otimes \alpha''), \text{ and thus, by repeated}$ applications of (3), we can prove that  $\alpha$  is equivalent to  $\bar{s}_0; \bar{\alpha}_1; \bar{s}_1, \ldots; \bar{s}_{h-1}; \bar{\alpha}_h$ , where  $\bar{s}_0, \ldots, \bar{s}_{h-1}$  are symmetries and each  $\bar{\alpha}_i$  is a tensor  $\bigotimes_k \bar{\xi}_k^i$  of transitions and identities. The fact that the transitions at depth i can be brought to the i-th tensor product, follows intuitively from the facts that they are "disjointly enabled", i.e., concurrent to each other, and that they depend causally on some transition at depth i-1. In particular, the sources of the transitions of depth 1 can be target only of symmetries. Therefore, reasoning formally as above, they can be pushed up to  $\bar{\alpha}_1$  exploiting axioms (3). Then, the same happens for the transitions of depth 2, which can be brought to  $\bar{\alpha}_2$ . Proceeding in this way, eventually we show that  $\alpha$  is equivalent to the composition  $\bar{s}_0$ ;  $\bar{\alpha}_1$ ;  $\bar{s}_1 \dots$ ;  $\bar{s}_{n-1}$ ;  $\bar{\alpha}_n$ ;  $\bar{s}_n$  of the symmetries  $\bar{s}_0,\ldots,\bar{s}_n$  and the products  $\bar{\alpha}_i=\bigotimes_k\bar{\xi}_k^i$  of transitions at depth i and identities. Finally, the order of the  $\bar{\xi}_k^i$  can be permuted in the way required by  $\leq$ . This is achieved by pre- and post-composing each product by appropriate interchange symmetries. More precisely, let  $\sigma$  be a permutation such that  $\bigotimes_k \bar{\xi}^l_{\sigma(k)}$  coincides with  $id_{u_i} \otimes \bigotimes_j \tau_j^i$ , suppose that  $\bar{\xi}_k^i$ :  $u_k^i \to v_k^i$ , for  $1 \leq k \leq k_i$ . Then, by definition of interchange permutation in  $Sym_N^*$ , we have that

$$\sigma(u_1^i,\ldots,u_{k_i}^i);(\bigotimes_k \overline{\xi}^i_{\sigma(k)}) = (\bigotimes_k \overline{\xi}^i_k);\sigma(v_1^i,\ldots,v_{k_i}^i),$$

and then, since  $\sigma(u_1^i, \ldots, u_{k_i}^i)$  is an isomorphism, we have that

$$(id_{u_i} \otimes \bigotimes_j \tau_j^i) = \sigma(u_1^i, \ldots, u_{k_i}^i)^{-1}; (\bigotimes_k \bar{\xi}_k^i); \sigma(v_1^i, \ldots, v_{k_i}^i).$$

Now, applying the same argument to  $\beta$ , one proves that it is equivalent to a term  $\beta' = p_0; \beta_0; p_1; \dots p_{n-1}; \beta_n; p_n$ , where  $p_0, \dots, p_n$  are symmetries and  $\beta_i$  is the product of (instances of) the transitions at depth i in  $\mathcal{H}(\beta)$  and of identities.

Then, since  $\mathcal{H}(\alpha) = \mathcal{H}(\beta)$ , and since the transitions occurring in  $\beta_i$  are indexed in a predetermined way, we conclude that  $\beta_i = (id_{u_i} \otimes \bigotimes_j \bar{\tau}^i_j)$ , where  $\bar{\tau}^i_j = (t^i_j)_{u^i_j, v^i_j}$  i.e..

$$\alpha' = s_0; (id_{u_1} \otimes \bigotimes_j (t_j^1)_{u_j^1, v_j^1}); s_1; \dots; s_{n-1}; (id_{u_n} \otimes \bigotimes_j (t_j^n)_{u_j^n, v_j^n}); s_n$$

$$\beta' = p_0; (id_{u_1} \otimes \bigotimes_j (t_j^1)_{\bar{u}_1^1, \bar{v}_j^1}); p_1; \dots; p_{n-1}; (id_{u_n} \otimes \bigotimes_j (t_j^n)_{\bar{u}_j^n, \bar{v}_j^n}); p_n.$$
(5)

In other words, the only possible differences between  $\alpha'$  and  $\beta'$  are the symmetries and the sources and targets of the corresponding instances of transitions. Observe now that the steps which led from  $\alpha$  to  $\alpha'$  and from  $\beta$  to  $\beta'$  have been performed by using the axioms which define  $\mathcal{Q}[N]$  and since such axioms hold in  $\mathcal{C}\mathcal{Q}[N]$  as well and  $\mathcal{H}$  preserves them, we have that  $\mathcal{H}(\alpha') = \mathcal{H}(\alpha) = \mathcal{H}(\beta) = \mathcal{H}(\beta')$ . Thus, we conclude the proof by showing that, if  $\alpha$  and  $\beta$  are terms of the form given in (5) which differ only by the intermediate symmetries and if  $\mathcal{H}(\alpha) = \mathcal{H}(\beta)$ , then  $\alpha$  and  $\beta$  are equal in  $\mathcal{Q}[N]$ .

We proceed by induction on n. Observe that if n is zero then there is nothing to show: since we know that  $\mathcal{H}$  is an isomorphism on the symmetries,  $s_0$  and  $p_0$ , and thus  $\alpha$  and  $\beta$ , must coincide. To provide a correct basis for the induction, we need to prove the thesis also for n = 1.

depth 1. In this case, we have

$$\begin{array}{rcl} \alpha & = & s_0; \left(id_u \otimes \bigotimes_j (t_j)_{u_j,v_j}\right); s_1 \\ \beta & = & p_0; \left(id_u \otimes \bigotimes_j (t_j)_{\bar{u}_j,\bar{v}_j}\right); p_1. \end{array}$$

Without loss of generality we may assume that  $p_0$  and  $p_1$  are identities. In fact, we can multiply both terms by  $p_0^{-1}$  on the left and by  $p_1^{-1}$  on the right and obtain a pair of terms whose images through  $\mathcal{H}$  still coincide and whose equality implies the equality in  $\mathcal{Q}[N]$  of the original  $\alpha$  and  $\beta$ .

Let  $(\pi: \Theta \to N, \ell, L)$  and  $(\bar{\pi}: \bar{\Theta} \to N, \bar{\ell}, \bar{L})$  be, respectively, the strong concatenable processes  $\mathcal{H}(id_u \otimes \bigotimes_j (t_j)_{u_j,v_j})$  and  $\mathcal{H}(id_u \otimes \bigotimes_j (t_j)_{\bar{u}_j,\bar{v}_j})$ . Clearly, we can assume that  $\mathcal{H}(s_0)$  and  $\mathcal{H}(s_1)$  are respectively  $(\pi_0: \Theta_0 \to N, \ell', \ell)$  and  $(\pi_1: \Theta_1 \to N, L, L')$ , where  $\Theta_0$  is  $\min(\Theta)$ ,  $\Theta_1$  is  $\max(\Theta)$ ,  $\pi_0$  and  $\pi_1$  are the corresponding restrictions of  $\pi$ , and  $\ell'$  are the orderings respectively of the minimal and the maximal places of  $\Theta$ .

Then, we have that  $\mathcal{H}(s_0;(id_u\otimes \bigotimes_j(t_j)_{u_j,v_j});s_1)$  is  $(\pi\colon\Theta\to N,\ell',L')$ , and by hypothesis there is an isomorphism  $\varphi\colon\Theta\to\bar{\Theta}$  such that  $\bar{\pi}\circ\varphi=\pi$  and which respects all the orderings, i.e.,  $\bar{\ell}(\varphi(a))=\ell'(a)$  and  $\bar{L}(\varphi(b))=L'(b)$ , for all  $a\in\Theta_0$  and  $b\in\Theta_1$ . Let us write  $id_u\otimes\bigotimes_j(t_j)_{u_j,v_j}$  as  $\bigotimes_k\xi_k$  and  $id_u\otimes\bigotimes_j(t_j)_{\bar{u}_j,\bar{v}_j}$  as  $\bigotimes_k\bar{\xi}_k$ , where  $\xi_k$ , respectively  $\bar{\xi}_k$ , is either a transition  $(t_j)_{u_j,v_j}$ , respectively  $(t_j)_{\bar{u}_j,\bar{v}_j}$ , or the identity of a place in u. Clearly,  $\varphi$  induces a permutation, namely the permutation  $\sigma$  such that  $\bar{\xi}_{\sigma(k)}=\varphi(\xi_k)$ . In order for  $\varphi$  to be a morphism of nets, it must map the (places corresponding to the) pre-set, respectively post-set, of  $(t_j)_{u_j,v_j}$  to (the places corresponding to the) pre-set, respectively post-set, of  $(t_{\sigma(j)})_{\bar{u}_{\sigma(j)},\bar{v}_{\sigma(j)}}$ . It follows that  $(\pi_1\colon\Theta_1\to N,L,L')$ , which is  $\mathcal{H}(s_1)$ , must be

a symmetry obtained by post-concatenating the image via  $\mathcal{H}$  of the interchange symmetry  $\sigma(\bar{v}_1,\ldots,\bar{v}_{k_i})$  in  $\mathcal{CQ}[N]$  with a tensor product  $\bigotimes_j S_j^1$  of symmetries, one for each t occurring in  $\alpha$ , where  $S_j^1\colon v_j\to\bar{v}_j$ , whose role is to reorganize the tokens in the post-sets of each transitions. Reasoning along the same lines, we can conclude that  $(\pi_0\colon\Theta_0\to N,\ell,\ell')$ , which is  $\mathcal{H}(s_0)^{-1}$ , must be a symmetry obtained by concatenating a tensor product  $\bigotimes_j S_j^0$ , where  $S_j^0\colon u_j\to\bar{u}_j$  is a symmetry, with the image via  $\mathcal{H}$  of the interchange symmetry  $\sigma(\bar{u}_1,\ldots,\bar{u}_{k_i})$ . Then, since  $\mathcal{H}$  is an isomorphism between  $Sym_{\mathcal{Q}[N]}$  and  $Sym_{\mathcal{CQ}[N]}$ ,  $s_0$  and  $s_1$  must necessarily be, respectively,  $\sigma(\bar{u}_1,\ldots,\bar{u}_{k_i})^{-1}$ ;  $(id_u\otimes\bigotimes_j s_j^0)$ , and  $(id_u\otimes\bigotimes_j s_j^1)$ ;  $\sigma(\bar{v}_1,\ldots,\bar{v}_{k_i})$ , where  $s_j^0\colon \bar{u}_j\to u_j$  and  $s_j^1\colon v_j\to\bar{v}_j$  are symmetries.

Then, by distributing the tensor of symmetries on the transitions and using  $(\Phi)$ , we show that  $\alpha = \sigma(\bar{u}_1, \ldots, \bar{u}_{k_i})^{-1}$ ;  $(id_u \otimes \bigotimes_j s_j^0; (t_j)_{u_j,v_j}; s_j^1); \sigma(\bar{v}_1, \ldots, \bar{v}_{k_i}) = \sigma(\bar{u}_1, \ldots, \bar{u}_{k_i})^{-1}; (id_u \otimes \bigotimes_j (t_j)_{\bar{u}_j,\bar{v}_j}); \sigma(\bar{v}_1, \ldots, \bar{v}_{k_i})$ , which, by definition of interchange symmetry, is  $(id_u \otimes \bigotimes_j (t_j)_{\bar{u}_j,\bar{v}_j})$ . Thus, we have  $\alpha =_{\mathcal{E}} \beta$  as required.

Inductive step. Suppose that n > 1 and let  $\alpha = \alpha'; \alpha''$  and  $\beta = \beta'; \beta''$ , where

$$\begin{array}{lclclclcl} \alpha' & = & s_0; (id_{u_1} \otimes \bigotimes_j \tau_j^1); s_1; \ldots; s_{n-1} & \text{ and } & \alpha'' & = & (id_{u_n} \otimes \bigotimes_j \tau_j^n); s_n \\ \beta' & = & p_0; (id_{u_1} \otimes \bigotimes_j \bar{\tau}_j^1); p_1; \ldots; p_{n-1} & \text{ and } & \beta'' & = & (id_{u_n} \otimes \bigotimes_j \bar{\tau}_j^n); p_n \end{array}$$

We show that there exists a symmetry s in  $\mathcal{Q}[N]$  such that  $\mathcal{H}(\alpha';s) = \mathcal{H}(\beta')$  and  $\mathcal{H}(s^{-1};\alpha'') = \mathcal{H}(\beta'')$ . Then, by the induction hypothesis, we have  $(\alpha';s) = \varepsilon \beta'$  and  $(s^{-1};\alpha'') = \varepsilon \beta''$ . Therefore, we conclude that  $(\alpha';s;s^{-1};\alpha'') = \varepsilon (\beta';\beta'')$ , i.e., that  $\alpha = \beta$  in  $\mathcal{Q}[N]$ .

Let  $(\pi\colon\Theta\to N,\ell,L)$  be the strong concatenable process  $\mathcal{H}(\alpha)=\mathcal{H}(\beta)$ . Without loss of generality we may assume that the strong processes  $\mathcal{H}(\alpha')$  and  $\mathcal{H}(\beta')$  are, respectively,  $(\pi\colon\Theta'\to N,\ell',L^{\alpha'})$  and  $(\pi'\colon\Theta'\to N,\ell',L^{\beta'})$ , where  $\Theta'$  is the subnet of depth n-1 of  $\Theta$ ,  $\ell'$  is the appropriate restriction of  $\ell$  and finally  $L^{\alpha'}$  and  $L^{\beta'}$  are orderings of the places at depth n-1 of  $\Theta$ . Consider the symmetry  $S=(\bar{\pi}\colon\bar{\Theta}\to N,\bar{\ell},\bar{L})$  in  $\mathcal{CQ}[N]$ , where

- $\bar{\Theta}$  is the process nets consisting of the maximal places of  $\Theta'$ ;
- $\bar{\pi}: \bar{\Theta} \to N$  is the restriction of  $\pi$  to  $\bar{\Theta}$ ;
- $\bar{\ell} = L^{\alpha'}$ :
- $\bullet \ \bar{L} = L^{\beta'}.$

Then, by definition, we have  $\mathcal{H}(\alpha')$ ;  $S = \mathcal{H}(\beta')$ . Let us consider now  $\alpha''$  and  $\beta''$ . We can assume that  $\mathcal{H}(\alpha'')$  and  $\mathcal{H}(\beta'')$  are, respectively,  $(\pi'' : \Theta'' \to N, \ell^{\alpha''}, L'')$  and  $(\pi'' : \Theta'' \to N, \ell^{\beta''}, L'')$ , where  $\Theta''$  is the process net obtained by removing from  $\Theta$  the subnet  $\Theta'$ , L'' is the restriction of L to  $\Theta''$ , and  $\ell^{\alpha''}$  and  $\ell^{\beta''}$  are orderings of the places at depth n-1 of  $\Theta$ . Now, in our hypothesis, it must be  $L^{\alpha'} = \ell^{\alpha''}$  and  $L^{\beta'} = \ell^{\beta''}$ , which shows directly that  $S^{-1}$ ;  $\mathcal{H}(\alpha'') = \mathcal{H}(\beta'')$ . Then,  $s = \mathcal{H}^{-1}(S)$  is the required symmetry of  $\mathcal{Q}[N]$ .

Then, since  $\mathcal{H}$  is full and faithful and is an isomorphism on the objects, it is an isomorphism and the proof is concluded.

## Conclusions

In this paper we studied the issue of functoriality for the categorical/algebraic viewpoint of Petri net processes introduced in [6]. We gave a negative result showing that no naive modification of  $\mathcal{P}[N]$  can be functorial. Then, we introduced the strong concatenable processes as the least modification of concatenable processes which takes such a result into account and we showed that the construction of the strong concatenable processes can be expressed via a functor  $\mathcal{Q}[\bot]$ . This shows that, in a sense, strong concatenable processes are the least extension of concatenable processes which yields functoriality, i.e., the least extension of Goltz-Reisig processes which yields an operation of concatenation and admits a functorial treatment.

In addition, the paper proposed  $\overline{\text{TSSMC}}^{\otimes}$  as an axiomatization of the category of (categories of) net behaviours; the appropriateness of such a category to the purpose has been proved by showing that  $\mathcal{Q}[\_]$  embeds coreflectively  $\underline{\text{Petri}}$  in  $\underline{\text{TSSMC}}^{\otimes}$ .

The choice of the category of Petri nets studied in the paper, namely <u>Petri</u> exactly as defined in [13] and used in [6], has been suggested by the existence of the open problem of functoriality of the process semantics. It is worth remarking, however, that such a category is rather general, in the precise sense of allowing all the reasonable morphisms, as introduced in [23, 24], which map transitions to transitions. Nevertheless, more general kinds of morphisms, e.g., mapping transitions to computations, have been occasionally proposed in the literature [24, 13]. A question which may be worth investigating in the future concerns the categorical axiomatizations of the behaviour of nets, analogous to the one presented here, when such morphisms are considered.

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## A Monads

A monad [8, 12] on a category  $\underline{C}$  is a triple  $(\mathsf{T}, \eta, \mu)$ , where  $\mathsf{T}: \underline{C} \to \underline{C}$  is an endofunctor,  $\eta: Id_{\underline{C}} \to T$  is a natural transformation, called the *unit* of the monad,  $\mu: \mathsf{T}^2 \to \mathsf{T}$  is a natural transformation, called the *multiplication* of the monad, such that

$$\mu \cdot \eta T = \mathbf{1}_T = \mu \cdot T \eta \text{ (Unit law)};$$
  
 $\mu \cdot T \mu = \mu \cdot \mu T \text{ (Associative law)}.$ 

Monads are strictly related to algebraic constructions. In order to appreciate this fact, one should think of T as being the "free construction", in the precise sense of associating to each object  $c \in \underline{C}$  a "free algebra" Tc on c, one should think of  $\eta$  as the injection of c in the "free algebra" on it, and one should think of  $\mu$  as providing the interpretation for the operations in Tc.

A T-algebra is a pair (c, h), where  $c \in \underline{C}$  and  $h: Tc \to c$  is a morphism, called the *structure map*, such that

$$h \circ \eta_c = id_c \quad \text{(Unit)};$$
  
 $h \circ \mathsf{T} h = h \circ \mu_c \quad \text{(Associativity)}.$ 

Observe that for any c we have that  $(Tc, \mu_c)$  is an algebra.

A morphism of T-algebras, or T-homomorphism,  $f:(c,h)\to (c',h')$  is an arrow  $f:c\to c'$  in  $\underline{C}$  such that

$$h' \circ \mathsf{T} f = f \circ h$$
.

T-algebras and their morphisms define the category  $\underline{\mathbf{C}}^{\mathsf{T}}$ .

The well know fact that homomorphisms of algebras whose source is a free algebra Tc are uniquely identified by their behaviour on c has the following counterpart in the theory of monads: there is a bijection between the T-homomorphisms  $(Tc, \mu_c) \to (c', h')$  in  $\underline{C}^T$  and the arrows  $c \to c'$  in  $\underline{C}$ . Such one-to-one correspondence is expressed by following diagram.

$$\operatorname{Hom}_{\underline{C}}(c,c') \qquad \operatorname{Hom}_{\underline{C}^{\mathsf{T}}} \left( (\mathsf{T}c,\mu_c),(c',h_{c'}) \right)$$

In fact, for each arrow  $f: c \to c'$  in  $\underline{C}$ , it follows from the naturality of  $\eta$  that  $h_{c'} \circ \mathsf{T} f \circ \eta_c = h_{c'} \circ \eta_{c'} \circ f$ , which, by the unit law of structure maps, is f. On the other hand, given a homomorphism  $g: (\mathsf{T} c, \mu_c) \to (c', h_{c'})$ , we have that  $h_{c'} \circ \mathsf{T} (g \circ \eta_c) = g \circ \mu_c \circ \mathsf{T} \eta_c$  by definition of T-homomorphism, and  $g \circ \mu_c \circ \mathsf{T} \eta_c = g$  by the unit law of monads.

## B Symmetric Strict Monoidal Categories

A symmetric strict monoidal category [1, 7, 12] is a category  $\underline{C}$  together with a functor  $\otimes: \underline{C} \times \underline{C} \to \underline{C}$ , called the tensor product, and a selected object  $e \in \underline{C}$ , the unit object, such that  $\otimes$ , when viewed as a pair of operations respectively on objects and arrows of  $\underline{C}$ , forms two monoids whose units are e and  $id_e$ , and together with a family of arrows  $\gamma_{x,y}: x \otimes y \to y \otimes x$ , for x and y objects of  $\underline{C}$ , such that, for each  $f: x \to y$  and  $g: x' \to y'$  in  $\underline{C}$ ,

$$(id_{y} \otimes \gamma_{x,z}) \circ (\gamma_{x,y} \otimes id_{z}) = \gamma_{x,y \otimes z} (g \otimes f) \circ \gamma_{x,y} = \gamma_{x',y'} \circ (f \otimes g); \gamma_{y,x} \circ \gamma_{x,y} = id_{x \otimes y}$$

$$(6)$$

Notice that the equations above mean, respectively, that  $\gamma$  satisfies the relevant Kelly-MacLane [11, 10] coherence axiom, that  $\gamma = \{\gamma_{x,y}\}_{x,y\in\underline{C}}$  is a natural transformation  $\otimes \to \otimes \circ \Delta$ , where  $\Delta$  is the endofunctor on  $\underline{C} \times \underline{C}$  which "swaps" its arguments, and that  $\gamma_{x,y}$  is an isomorphism with inverse  $\gamma_{y,x}$ . The role of  $\gamma$  is to express the commutativity "up to isomorphism" of the structure by giving explicitly the isomorphism, e.g., between  $x \otimes y$  and  $y \otimes x$ . Then, the axioms above guarantee the reasonable requirement that between two given objects there is at most one such structural isomorphism, i.e., they guarantee the coherence of the structural isomorphism  $\gamma$ .

THEOREM [11, 10]. Every diagram of natural transformations each arrow of which is obtained by repeatedly applying  $\otimes$  to "instances" of  $\gamma$  and identities, where in turn "instances" means components of the natural transformation at objects of  $\underline{\mathbf{C}}$  obtained by repeated applications of  $\otimes$  to e and to "variables", commutes.

A symmetry in a symmetric monoidal category is any arrow obtained as composition and tensor of "instances" of  $\gamma$  and identities. We write  $Sym_{\underline{C}}$  to denote the subcategory of a symmetric monoidal category  $\underline{C}$  whose objects are those of  $\underline{C}$  and whose arrows are the symmetries of  $\underline{C}$ .

A symmetric strict monoidal functor from  $(\underline{C}, \otimes, e, \gamma)$  to  $(\underline{D}, \otimes', e', \gamma')$  is a functor  $F: \underline{C} \to \underline{D}$  such that

$$F(e) = e',$$

$$F(x \otimes y) = F(x) \otimes' F(y),$$

$$F(\gamma_{x,y}) = \gamma'_{Fx,Fy}.$$

$$(7)$$

These data define the category <u>SSMC</u> of symmetric strict monoidal (small) categories and symmetric strict monoidal functors.

Given the symmetric strict monoidal categories  $\underline{C}$  and  $\underline{D}$  and the symmetric strict monoidal functors  $F:\underline{C}\to\underline{D}$  and  $G:\underline{C}\to\underline{D}$ , a monoidal transformation from F to G is a natural transformation  $\sigma\colon F\to G$  such that

$$\begin{aligned}
\sigma_e &= id_{e'}, \\
\sigma_{u \otimes v} &= \sigma_u \otimes' \sigma_v.
\end{aligned} \tag{8}$$

Given a (symmetric monoidal) category  $\underline{C}$  and a family  $\mathcal{R}$  of binary relations on the homsets of  $\underline{C}$  (in particular a set of equations  $\mathcal{E}$  on parallel arrows of  $\underline{C}$ ) the *(monoidal) quotient* of  $\underline{C}$  modulo  $\mathcal{R}$ , is the category  $\underline{C}/\mathcal{R}$ , whose objects are those of  $\underline{C}$  and whose arrows are the equivalence classes of the arrows of  $\underline{C}$  modulo the *least* equivalence closed with respect to arrow composition (and tensor product) which contains  $\mathcal{R}$ .

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