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BRICS RS-95-30

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BRICS Report Series

RS-95-30

ISSN 0909-0878

June 1995

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From Branching to Linear Metric Domains

(and back)

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Abstract

A branching and a linear metric domain—both turned into a category—are related by means of a reflection and a coreflection.

Introduction

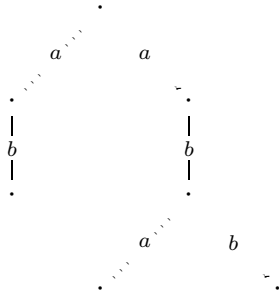
Besides partial orders, also *metric spaces* have turned out to be very useful to give semantics to programming languages (see, e.g., the collection of papers of the Amsterdam Concurrency Group [BR92]). In the literature, one encounters two main classes of metric domains: *linear domains* and *branching domains*. Linear domains were already studied by topologists in the early twenties. Branching domains have been introduced by, e.g., De Bakker and Zucker [BZ82, BZ83], Golson and Rounds [GR83, Gol84], and the author [Bre93]. The elements of these linear and branching domains are convenient to model—one might even say that they represent—*trace equivalence* classes and *bisimulation equivalence* classes, respectively. The former is a simple observation. The latter has been proved by Van Glabbeek and Rutten [GR89].

Linear domains are more abstract than branching domains. Our aim is to show that linear domains can be embedded in branching domains. We focus on the branching domain \mathcal{B} introduced by De Bakker and Zucker in [BZ83] and the linear domain \mathcal{L} the elements of which can be viewed as nonempty and compact sets of sequences. The elements of the branching domain \mathcal{B} can be viewed as labelled trees.

There is an obvious way to abstract from the branching structure of the branching domain \mathcal{B} arriving at the linear domain \mathcal{L} . This abstraction operator—called *linearize operator* in the sequel—can be viewed as assigning to a labelled

*Supported by the Netherlands Organization for Scientific Research.

tree the set of label sequences corresponding to the paths from the root of the tree to any of its leaves. For example, to the labelled tree



the linearize operator assigns the set of sequences

$$\{ab, aba, abb\}.$$

The linearize operator can be defined conveniently in terms of a *metric labelled transition system*. The theory of metric labelled transition systems has been outlined in the author’s [Bre94a] and has been developed further in his thesis [Bre94b]. The branching domain \mathcal{B} can be seen as a labelled transition system as De Bakker, Bergstra, Klop, and Meyer noted in [BBKM84]. It can even be viewed as a *compactly branching*—being a generalization of finitely branching—metric labelled transition system. The additional metric structure of a metric labelled transition system (with respect to a labelled transition systems) is essential in the definition of the linearize operator *lin*. Similarly, we can linearize other branching domains like the more involved branching domains—used to model object-oriented and higher-order features—introduced by Rutten [Rut90] and De Bakker and the author [BB93].

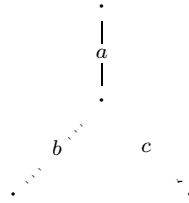
There are various ways to add branching structure to the linear domain \mathcal{L} arriving at the branching domain \mathcal{B} . Some of the *branch operators* have the property being a right inverse for the linearize operator. We will focus on two of these branch operators: $branch_0$ and $branch_1$.

$$\mathcal{L} \begin{array}{c} \xrightarrow{branch_0} \\ \xrightarrow{lin} \\ \xrightarrow{branch_1} \end{array} \mathcal{B}$$

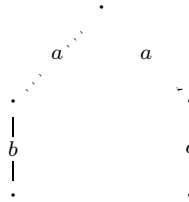
These two branch operators are canonical. The operator $branch_0$ can be characterized as “branch as late as possible” whereas the operator $branch_1$ “branches as soon as possible (i.e. at the root)”. For example, to the set of sequences

$$\{ab, ac\}$$

the branch operator $branch_0$ assigns the labelled tree



and to the set the branch operator $branch_1$ assigns the labelled tree



We detail the relationship between the linearize and branch operators. We follow the work of Nielsen and Winskel et al. [Win84, SNW93, WN94] using category theory—in particular functors—to classify domains. The linear and branching domain are both turned into a *generalized metric space*. Generalized metric spaces were already studied by Lawvere [Law73]. Lately, there is a growing interest in generalized metric spaces (see, e.g., Wagner’s thesis [Wag94], Flagg and Kopperman’s [FK94] and Rutten’s [Rut95]). The generalized metrics are obtained from the metrics the domains are endowed with by dropping one half of the Hausdorff metric [Hau14]. Generalized metric spaces induce very simple categories, namely preorders. The morphisms of the branching domain can be seen as simulations and the morphisms of the linear domain can simply be viewed as inclusion functions. The linearize operator and both the branch operators are functors. The functors $branch_0$ and $branch_1$ are a right and a left adjoint for the functor lin . The adjunctions form a *reflection* and a *coreflection*¹.

$$\mathcal{L} \xrightarrow{\quad} \mathcal{B}$$

Among the branch operators which are a right inverse for the linearize operator lin , the operator $branch_0$ is final and the operator $branch_1$ is initial.

By means of this reflection and coreflection we have expressed that the linear domain \mathcal{L} can be embedded in the branching domain \mathcal{B} in two canonical ways. For a detailed discussion of the merits of relating domains by means of reflections and coreflections we refer the reader to the introduction of [WN94].

¹We use the term reflection to mean an adjunction the right adjoint of which is full and faithful. Similarly, the term coreflection is used here to mean an adjunction the left adjoint of which is full and faithful. Although the same uses can be found in the literature, they are not entirely standard.

The linear domain \mathcal{L} and the branching domain \mathcal{B} are introduced in Section 1 and 2. In the next two sections, the linearize operator lin and the branch operators $branch_0$ and $branch_1$ are defined. The main results—including the facts that lin and $branch_0$ form a reflection and that lin and $branch_1$ form a coreflection—are presented in Section 5. In the concluding section, some related work and future work is discussed. Appendix A contains some notions from metric topology and Banach’s fixed point theorem. Banach’s theorem [Ban22] plays a central role. It is used to define the linear domain \mathcal{L} , the branching domain \mathcal{B} , the linearize operator lin , the branching operators $branch_0$ and $branch_1$, and the generalized metric the branching domain \mathcal{B} is endowed with. Furthermore, $branch_0$ and $branch_1$ being a right inverse for lin and the reflection and coreflection results are proved by means of Banach’s theorem. In Appendix B, some of the theory on (metric) labelled transition systems is developed. We assume that the reader is familiar with the basics of category theory. For further reading we refer the reader to Mac Lane’s standard work [ML71]. Some proofs have been sent to Appendix C.

Acknowledgements

Part of this work has been carried out during a visit of BRICS. The author would like to thank BRICS for the financial support making this visit possible. The author has benefitted from discussions with Jaco de Bakker, Michael Barr, Claudio Hermida, Bart Jacobs, Mogens Nielsen, Jaap van Oosten, Prakash Panangaden, Jan Rutten, Vladimiro Sassone, and Glynn Winskel, and from presenting this material at a seminar of the Centre de Recherche en Theorie des Categories.

1 A linear domain

Both the linear and the branching domain are defined in terms of a set $(a, b, c \in)^2 A$ of actions. This set is turned into a complete metric space (see Definition A.1 and A.5) by endowing it with the discrete metric (see Definition A.2).

The linear domain is defined in two steps. In the first step, the complete metric space A^∞ is defined in terms of the complete metric space A , the singleton metric space 1 containing 0 as single element, and the operations $\frac{1}{2}$, \times , and $+$ (see Definition A.3).

Definition 1.1 The complete metric space $(\sigma \in) A^\infty$ is the unique complete metric space satisfying

$$A^\infty \cong A \times \frac{1}{2} \cdot (1 + A^\infty).$$

²We use the notation $(x \in) X$ for the introduction of a set or metric space X with typical elements x, x', x_0, \dots

The fact that there exists a unique (up to isometry) complete metric space A^∞ being isometric³ (see Definition A.11) to the complete metric space $A \times \frac{1}{2} \cdot (1 + A^\infty)$ follows from Theorem 4.4 of America and Rutten's [AR89]. The proof of this theorem relies on Banach's theorem.

The elements of the complete metric space A^∞ can be viewed as nonempty and finite or infinite sequences over the action set A . For example, the element

$$\langle a, \langle b, 0 \rangle \rangle$$

corresponds to the finite sequence ab and the infinite sequence a^ω corresponds to the element

$$\langle a, \langle a, \dots \rangle \rangle.$$

The metric m_{A^∞} is a Baire-like metric [Bai09]. For example,

$$\begin{aligned} m_{A^\infty}(\langle a, \langle b, 0 \rangle \rangle, \langle a, \langle a, \dots \rangle \rangle) &= (m_A \times \frac{1}{2} \cdot (m_1 + m_{A^\infty}))(\langle a, \langle b, 0 \rangle \rangle, \langle a, \langle a, \dots \rangle \rangle) \\ &= \max \{m_A(a, a), \frac{1}{2} \cdot m_{A^\infty}(\langle b, 0 \rangle, \langle a, \dots \rangle)\} \\ &= \max \{0, \frac{1}{2} \cdot (m_A \times \frac{1}{2} \cdot (m_1 + m_{A^\infty}))(\langle b, 0 \rangle, \langle a, \dots \rangle)\} \\ &= \max \{0, \frac{1}{2} \cdot \max \{m_A(b, a), \frac{1}{2} \cdot m_{A^\infty}(0, \dots)\}\} \\ &= \max \{0, \frac{1}{2} \cdot \max \{1, \frac{1}{2} \cdot 1\}\} \\ &= \frac{1}{2}. \end{aligned}$$

In the second step, the linear domain \mathcal{L} is defined in terms of the singleton metric space 1, the complete metric space A^∞ , and the operations $+$ and \mathcal{P}_{nk} (see Definition A.7).

Definition 1.2 The complete metric space $(L \in) \mathcal{L}$ is defined by

$$\mathcal{L} = 1 + \mathcal{P}_{nk}(A^\infty).$$

The elements of the linear domain, the *linear processes*, can be viewed as sets of sequences. The element 0 of the singleton metric space 1 can be seen as the singleton set consisting of the empty sequence. All other elements of the linear domain can be viewed as nonempty and compact (see Definition A.6) sets of nonempty sequences.

In Section 5, we will discuss (half of) the metric $m_{\mathcal{L}}$ in some detail (see Property 5.3).

The elements of the linear domain \mathcal{L} represent (infinitary completed) trace equivalence classes (see, e.g., Section 2.7 of Van Glabbeek's [Gla90]). Let (C, A, \rightarrow) be a finitely branching labelled transition system (see Definition B.1 and B.2).

³We shall treat the isometry as an identity and thus elide its use. It can be put in without any difficulties, but will clutter up the presentation.

According to Property B.3, the labelled transition system induces a contractive (see Definition A.12) function $\Phi_{(C,A,\rightarrow)}$ from the nonempty complete metric space $C \rightarrow \mathcal{L}$ to itself. From Banach's theorem we can deduce that the function has a unique fixed point

$$\text{fix}(\Phi_{(C,A,\rightarrow)}) : C \rightarrow \mathcal{L}.$$

One can easily verify that, for all $c_0, c_1 \in C$, c_0 and c_1 are trace equivalent if and only if

$$\text{fix}(\Phi_{(C,A,\rightarrow)})(c_0) = \text{fix}(\Phi_{(C,A,\rightarrow)})(c_1).$$

That is, there is a one-to-one correspondence between the trace equivalence classes (of the labelled transition system (C, A, \rightarrow)) and the linear processes (in the image of $\text{fix}(\Phi_{(C,A,\rightarrow)})$).

2 A branching domain

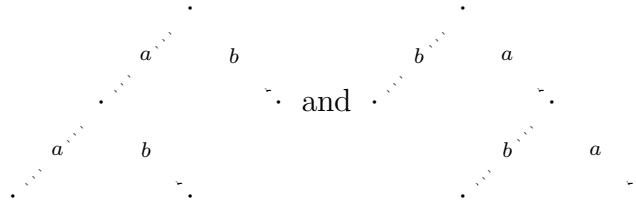
The branching domain \mathcal{B} is defined in terms of the complete metric space A , the singleton metric space 1 , and the operations $\frac{1}{2}$, \times , $+$, and \mathcal{P}_{nk} .

Definition 2.1 The complete metric space $(B \in) \mathcal{B}$ is the unique complete metric space satisfying

$$\mathcal{B} \cong 1 + \mathcal{P}_{nk}(A \times \frac{1}{2} \cdot \mathcal{B}).$$

Again we conclude from Theorem 4.4 of [AR89] that such a complete metric space exists.

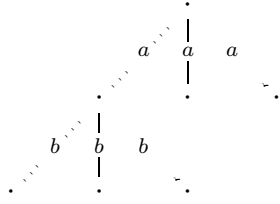
The elements of the branching domain, the *branching processes*, can be viewed as labelled trees with the following three properties. First of all, the labelled trees are commutative, i.e. for all nodes of a tree, its subtrees are not ordered. For example, the labelled trees



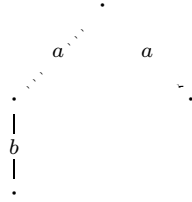
are identified by commutativity, and both correspond to the branching process

$$\{\langle a, \{\langle a, 0 \rangle, \langle b, 0 \rangle\} \rangle, \langle b, 0 \rangle\}.$$

Second, the labelled trees are absorptive, i.e. for all nodes of a tree, the collection of its subtrees contains no duplicates. For example, the labelled tree



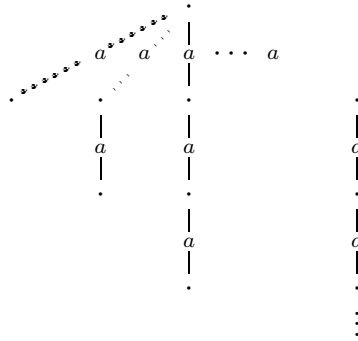
is not absorptive. By absorption we obtain the tree



This labelled tree corresponds to the branching process

$$\{\langle a, \{\langle b, 0 \rangle\} \rangle, \langle a, 0 \rangle\}.$$

From the first and the second property we can conclude that, for all nodes of a labelled tree, the collection of its subtrees is a set. Third, the trees are compactly branching, i.e. for all nodes of a tree, the set of its subtrees—corresponding to branching processes—is compact (with respect to the metric $m_{\mathcal{B}}$). For example, the labelled tree



including the infinite branch is compactly branching. It corresponds to the branching process

$$\{\langle a, 0 \rangle, \langle a, \{\langle a, 0 \rangle\} \rangle, \langle a, \{\langle a, \{\langle a, 0 \rangle\} \} \rangle, \dots, \langle a, \{\langle a, \{\langle a, \dots \rangle\} \} \} \rangle\}.$$

If we would leave out the infinite branch, the labelled tree would not be compactly branching any more.

The branching domain \mathcal{B} has been introduced by De Bakker and Zucker (see Theorem 3.2 of [BZ83]). In Section 5 we will discuss (half of) the metric $m_{\mathcal{B}}$ (see Property 5.3).

Van Glabbeek and Rutten [GR89] have shown that the elements of the branching domain \mathcal{B} represent bisimulation equivalence classes—bisimulation is a notion due to Milner and Park [Mil80, Par81, Mil94]. Let (C, A, \rightarrow) be a finitely branching labelled transition system. According to Property B.4, the labelled transition system induces a contractive function $\Psi_{(C,A,\rightarrow)}$ from the nonempty complete metric space $C \rightarrow \mathcal{B}$ to itself. As a consequence of Banach’s theorem the function has a unique fixed point

$$\text{fix} (\Psi_{(C,A,\rightarrow)}) : C \rightarrow \mathcal{B}.$$

Van Glabbeek and Rutten have proved in Theorem 1 of [GR89] that, for all $c_0, c_1 \in C$, c_0 and c_1 are bisimilar if and only if

$$\text{fix} (\Psi_{(C,A,\rightarrow)}) (c_0) = \text{fix} (\Psi_{(C,A,\rightarrow)}) (c_1),$$

i.e. there is a one-to-one correspondence between the bisimulation equivalence classes (of the labelled transition system (C, A, \rightarrow)) and the branching processes (in the image of $\text{fix} (\Psi_{(C,A,\rightarrow)})$).

3 A linearize operator

The linearize operator is defined by means of the theory of (metric) labelled transition systems. As De Bakker, Bergstra, Klop, and Meyer noted in Remark 4.3 of [BBKM84], the branching domain \mathcal{B} can be viewed as a labelled transition system. The configurations of the labelled transition systems are the branching processes. As action set we take the set A . The transition relation is presented in

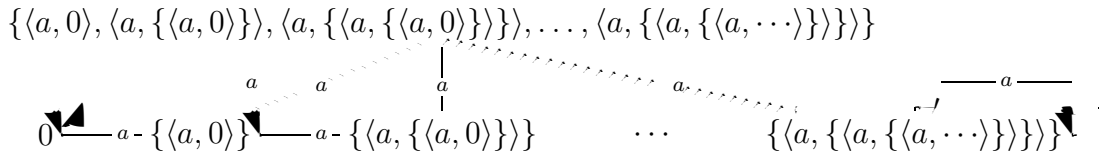
Definition 3.1 The transition relation $\rightarrow \subseteq \mathcal{B} \times A \times \mathcal{B}$ is defined by

$$B \xrightarrow{a} B' \text{ if and only if } \langle a, B' \rangle \in B.$$

There exists a function

$$\text{fix} (\Phi_{(\mathcal{B},A,\rightarrow)}) : \mathcal{B} \rightarrow \mathcal{L}$$

according to Property B.3 and Banach’s theorem, provided that the labelled transition system is finitely branching (see Definition B.2). However, the labelled transition system is not finitely branching. For example,



By endowing the branching processes with their metric $m_{\mathcal{B}}$ and the actions with the discrete metric we obtain a metric labelled transition system (see Definition B.5). This metric labelled transition system is compactly branching (see Definition B.6).

Property 3.2 *The metric labelled transition system $(\mathcal{B}, A, \rightarrow)$ is compactly branching.*

Proof We have that, for all $B \in \mathcal{B}$,

$$\mathcal{T}(B) = \begin{cases} \emptyset & \text{if } B = 0 \\ B & \text{otherwise} \end{cases}$$

Obviously, the metric labelled transition system is compactly branching. \square

According to Property B.7 and Banach's theorem, there exists a function

$$\text{fix}(\mathcal{T}_{(\mathcal{B}, A, \rightarrow)}) : \mathcal{B} \rightarrow^1 \mathcal{L}.$$

This is the aimed for linearize operator.

Definition 3.3 The function $\text{lin} : \mathcal{B} \rightarrow^1 \mathcal{L}$ is defined by

$$\text{lin} = \text{fix}(\mathcal{T}_{(\mathcal{B}, A, \rightarrow)}).$$

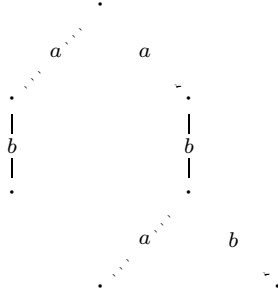
The function lin is the unique function $\text{lin} : \mathcal{B} \rightarrow^1 \mathcal{L}$ satisfying

$$\text{lin}(B) = \begin{cases} 0 & \text{if } B = 0 \\ \{ \langle a, \sigma \rangle \mid \langle a, B' \rangle \in B \wedge \sigma \in \text{lin}(B') \} \cup \{ \langle a, 0 \rangle \mid \langle a, 0 \rangle \in B \} & \text{otherwise} \end{cases}$$

For example, to the branching process

$$\{ \langle a, \{ \langle b, 0 \rangle \} \rangle, \langle a, \{ \langle b, \{ \langle a, 0 \rangle, \langle b, 0 \rangle \} \} \rangle \}$$

corresponding to the labelled tree



the linearize operator assigns the linear process

$$\{ \langle a, \langle b, 0 \rangle \rangle, \langle a, \langle b, \langle a, 0 \rangle \rangle \rangle, \langle a, \langle b, \langle b, 0 \rangle \rangle \rangle \}$$

corresponding to the set of sequences

$$\{ ab, aba, abb \}.$$

The technique of viewing the branching domain as a compactly branching metric labelled transition system and deriving from it a linearize operator as described above can also be applied to more involved branching domains.

In Definition 7.1 of [Rut90], Rutten has introduced the linearize operator *abstr* to abstract from the branching structure of a branching domain (introduced in Definition 5.1 of [Rut90]). The well-definedness proof of *abstr* is far from trivial (cf. Appendix II of [Rut90]). The branching domain can be viewed as a compactly branching metric labelled transition system and the linearize operator *abstr* can be defined as *fix* (\mathcal{T}).

The branching domain introduced by De Bakker and the author in Definition 4.5 of [BB93] can also be linearized in this way. For details we refer the reader to Chapter 10 of [Bre94b] (see Definition 10.4.14 and Proposition 10.4.15).

4 Two branch operators

The two branch operators *branch*₀ and *branch*₁ are both defined by means of the theory of (metric) labelled transition systems. As in the previous section, we view the linear domain as a labelled transition system. This time, the linear processes are the configurations of the labelled transition system. Again we take the set A as the action set. Two transition relations are presented in

Definition 4.1

- The transition relation $\rightarrow_0 \subseteq \mathcal{L} \times A \times \mathcal{L}$ is defined by

$$L \xrightarrow{a}_0 L' \text{ if and only if } L' = a \setminus L, \text{ or } \langle a, 0 \rangle \in L \text{ and } L' = 0,$$

where

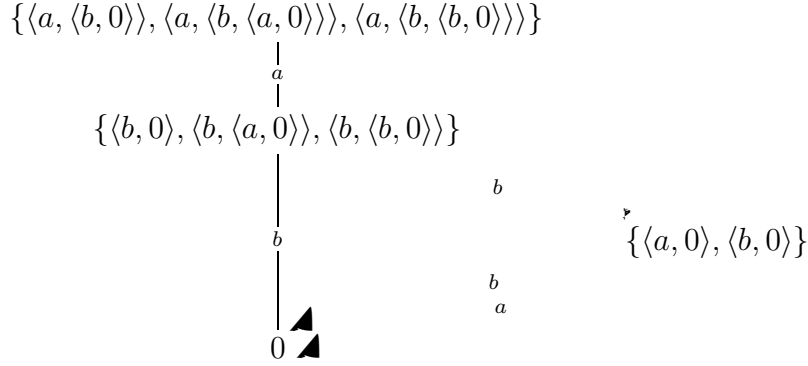
$$a \setminus L = \{ \sigma \mid \langle a, \sigma \rangle \in L \}.$$

- The transition relation $\rightarrow_1 \subseteq \mathcal{L} \times A \times \mathcal{L}$ is defined by

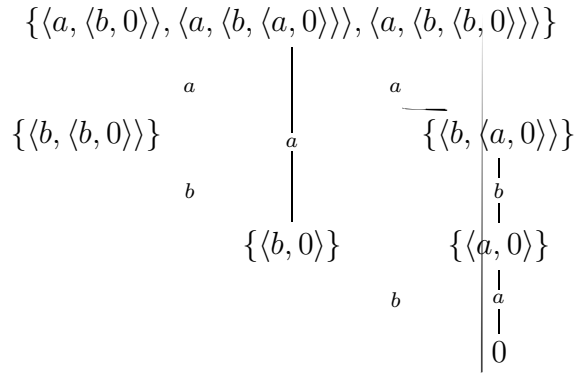
$$L \xrightarrow{a}_1 L' \text{ if and only if } \langle a, \sigma \rangle \in L \text{ and } L' = \{ \sigma \}, \text{ or } \langle a, 0 \rangle \in L \text{ and } L' = 0.$$

The first transition relation “branches as late as possible” and the second one “branches as soon as possible”. For example, the transition relation \rightarrow_0 gives rise

to



whereas the transition relation \rightarrow_1 gives rise to



The transition relation \rightarrow_0 gives rise to a finitely branching labelled transition system.

Property 4.2 *The labelled transition system $(\mathcal{L}, A, \rightarrow_0)$ is finitely branching.*

Proof We have that, for all $L \in \mathcal{L}$,

$$\mathcal{T}(L) = \begin{cases} \emptyset & \text{if } L = 0 \\ \{ \langle a, a \setminus L \rangle \mid a \setminus L \neq \emptyset \} \cup \{ \langle a, 0 \rangle \mid \langle a, 0 \rangle \in L \} & \text{otherwise} \end{cases}$$

Since the set A is endowed with the discrete metric and the set L , with $L \neq 0$, is compact, the set $\mathcal{T}(L)$ is finite. \square

Property B.4 and Banach's theorem give us the branch operator $branch_0$.

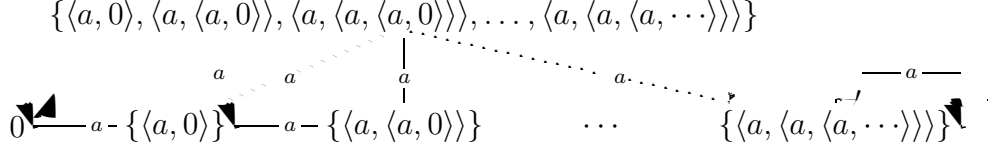
Definition 4.3 The function $branch_0 : \mathcal{L} \rightarrow \mathcal{B}$ is defined by

$$branch_0 = fix(\Psi_{(\mathcal{L}, A, \rightarrow_0)}).$$

The function $branch_0$ is the unique function $branch_0 : \mathcal{L} \rightarrow \mathcal{B}$ satisfying

$$branch_0(L) = \begin{cases} 0 & \text{if } L = 0 \\ \{ \langle a, branch_0(a \setminus L) \rangle \mid a \setminus L \neq \emptyset \} \cup \\ \{ \langle a, 0 \rangle \mid \langle a, 0 \rangle \in L \} & \text{otherwise} \end{cases}$$

The transition relation \rightarrow_1 does not give rise to a finitely branching labelled transition system. For example,



We endow the linear processes with $m_{\mathcal{L}}$ and the actions with the discrete metric. The obtained metric labelled transition system is compactly branching.

Property 4.4 *The metric labelled transition system $(\mathcal{L}, A, \rightarrow_1)$ is compactly branching.*

Proof We have that, for all $L \in \mathcal{L}$,

$$\mathcal{T}(L) = \begin{cases} \emptyset & \text{if } L = 0 \\ \{ \langle a, \{\sigma\} \rangle \mid \langle a, \sigma \rangle \in L \} \cup \{ \langle a, 0 \rangle \mid \langle a, 0 \rangle \in L \} & \text{otherwise} \end{cases}$$

Clearly, the metric labelled transition system is compactly branching. \square

From Property B.8 and Banach's theorem we obtain the branching operator $branch_1$ as follows.

Definition 4.5 The function $branch_1 : \mathcal{L} \rightarrow^1 \mathcal{B}$ is defined by

$$branch_1 = \text{fix}(\Theta_{(\mathcal{L}, A, \rightarrow_1)}).$$

The function $branch_1$ is the unique function $branch_1 : \mathcal{L} \rightarrow^1 \mathcal{B}$ satisfying

$$branch_1(L) = \begin{cases} 0 & \text{if } L = 0 \\ \{ \langle a, branch_1(\{\sigma\}) \rangle \mid \langle a, \sigma \rangle \in L \} \cup \\ \{ \langle a, 0 \rangle \mid \langle a, 0 \rangle \in L \} & \text{otherwise} \end{cases}$$

For example, to the linear process

$$\{ \langle a, \langle b, 0 \rangle \rangle, \langle a, \langle c, 0 \rangle \rangle \}$$

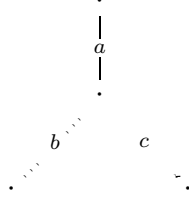
corresponding to the set of sequences

$$\{ ab, ac \}$$

the branch operator $branch_0$ assigns the branching process

$$\{\langle a, \{\langle b, 0 \rangle, \langle c, 0 \rangle\} \rangle\}$$

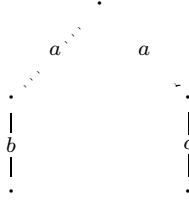
corresponding to the labelled tree



The branch operator $branch_1$ assigns to the above linear process the branching process

$$\{\langle a, \{\langle b, 0 \rangle\} \rangle, \langle a, \{\langle c, 0 \rangle\} \rangle\}$$

corresponding to the labelled tree



Both $branch_0$ and $branch_1$ are a right inverse for lin .

Property 4.6 $lin \circ branch_0 = id_{\mathcal{L}}$ and $lin \circ branch_1 = id_{\mathcal{L}}$.

Proof We only prove the first equality. One can easily verify that

$$\Phi_{(\mathcal{L}, A, \rightarrow_0)}(lin \circ branch_0) = lin \circ branch_0$$

and

$$\Phi_{(\mathcal{L}, A, \rightarrow_0)}(id_{\mathcal{L}}) = id_{\mathcal{L}}.$$

Since $\Phi_{(\mathcal{L}, A, \rightarrow_0)}$ is a contractive function from a nonempty complete metric space to itself, it has a unique fixed point according to Banach's theorem. Consequently, $lin \circ branch_0$ and $id_{\mathcal{L}}$ are equal. \square

5 A reflection and a coreflection

The linear and the branching domain are systematically turned into a category. We drop one half of the Hausdorff metric (see Definition A.7) in the definition of the metrics $m_{\mathcal{L}}$ and $m_{\mathcal{B}}$. Lawvere studied this half of the Hausdorff metric in some detail (see the introduction of [Law73]). By dropping half of the Hausdorff metric we do not obtain a metric but a generalized metric.

Definition 5.1 A (1-bounded) *generalized metric space* is a pair (X, g_X) consisting of

- a set X and
- a function $g_X : X \times X \rightarrow [0, 1]$, called *generalized metric*, satisfying, for all $x, y, z \in X$,
 - * $g_X(x, x) = 0$ and
 - * $g_X(x, z) \leq g_X(x, y) + g_X(y, z)$.

In contrast with a metric, a generalized metric might assign to a pair (x, y) , with $x \neq y$, the value 0. (Furthermore, a generalized metric need not be symmetric.) One can easily verify that, given a generalized metric space (X, g_X) ,

$$x \leq y \text{ if } g_X(x, y) = 0$$

defines a preorder on X (and hence a category with the elements of X as objects).

The generalized metrics on \mathcal{L} and \mathcal{B} are presented in

Definition 5.2

- The function $g_{\mathcal{L}} : \mathcal{L} \times \mathcal{L} \rightarrow [0, 1]$ is defined by

$$g_{\mathcal{L}}(L_0, L_1) = \begin{cases} 0 & \text{if } L_0, L_1 = 0 \\ \sup \{ \inf \{ m_{A^\infty}(\sigma_0, \sigma_1) \mid \sigma_1 \in L_1 \} \mid \sigma_0 \in L_0 \} & \text{if } L_0, L_1 \neq 0 \\ 1 & \text{otherwise} \end{cases}$$

- The function $g_{\mathcal{B}} : \mathcal{B} \times \mathcal{B} \rightarrow [0, 1]$ is the unique function satisfying

$$g_{\mathcal{B}}(B_0, B_1) = \begin{cases} 0 & \text{if } B_0, B_1 = 0 \\ \sup \{ \inf \{ \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \} \\ \mid \langle a_1, B'_1 \rangle \in B_1 \} \mid \langle a_0, B'_0 \rangle \in B_0 \} & \text{if } B_0, B_1 \neq 0 \\ 1 & \text{otherwise} \end{cases}$$

Note that the definition of $g_{\mathcal{B}}$ is recursive. This definition is justified in Appendix C.

The generalized metrics $g_{\mathcal{L}}$ and $g_{\mathcal{B}}$ are characterized in

Property 5.3

1. For all $L_0, L_1 \in \mathcal{L}$, with $L_0, L_1 \neq 0$, and ϵ , with $0 \leq \epsilon < 1$, $g_{\mathcal{L}}(L_0, L_1) \leq \epsilon$ if and only if for all $\sigma_0 \in L_0$ there exists a $\sigma_1 \in L_1$ such that $m_{A^\infty}(\sigma_0, \sigma_1) \leq \epsilon$.
2. For all $B_0, B_1 \in \mathcal{B}$, with $B_0, B_1 \neq 0$, and ϵ , with $0 \leq \epsilon < 1$, $g_{\mathcal{B}}(B_0, B_1) \leq \epsilon$ if and only if for all $\langle a, B'_0 \rangle \in B_0$ there exists a $\langle a, B'_1 \rangle \in B_1$ such that $g_{\mathcal{B}}(B'_0, B'_1) \leq 2 \cdot \epsilon$.

Proof See Appendix C. □

From the above property we can conclude that the morphisms of the linear domain are inclusion functions. The morphisms of the branching domain can be seen as simulations (see, e.g., Section 2.8 of [Gla90]) which preserve configurations with no outgoing transitions—recall that the branching domain can be viewed as a labelled transition system.

Having turned the linear and the branching domain into a category, we are ready to prove the main results of this paper. In Property 5.4, we prove that

1. lin is a functor,
2. $branch_0$ is a functor,
3. $branch_1$ is a functor,
4. $branch_0$ is a right adjoint for lin ,
5. $branch_1$ is a left adjoint for lin ,
6. $branch_0$ is full, and
7. $branch_1$ is full.

Since functors on preorders are faithful, we can conclude from 4. and 6. that lin and $branch_0$ form a reflection and from 5. and 7. that lin and $branch_1$ form a coreflection.

Property 5.4 For all $L, L_0, L_1 \in \mathcal{L}$ and $B, B_0, B_1 \in \mathcal{B}$,

1. if $g_{\mathcal{B}}(B_0, B_1) = 0$ then $g_{\mathcal{L}}(lin(B_0), lin(B_1)) = 0$,
2. if $g_{\mathcal{L}}(L_0, L_1) = 0$ then $g_{\mathcal{B}}(branch_0(L_0), branch_0(L_1)) = 0$,
3. if $g_{\mathcal{L}}(L_0, L_1) = 0$ then $g_{\mathcal{B}}(branch_1(L_0), branch_1(L_1)) = 0$,
4. $g_{\mathcal{L}}(lin(B), L) = 0$ if and only if $g_{\mathcal{B}}(B, branch_0(L)) = 0$,
5. $g_{\mathcal{L}}(L, lin(B)) = 0$ if and only if $g_{\mathcal{B}}(branch_1(L), B) = 0$,

6. if $g_{\mathcal{B}}(\text{branch}_0(L_0), \text{branch}_0(L_1)) = 0$ then $g_{\mathcal{L}}(L_0, L_1) = 0$, and

7. if $g_{\mathcal{B}}(\text{branch}_1(L_0), \text{branch}_1(L_1)) = 0$ then $g_{\mathcal{L}}(L_0, L_1) = 0$.

Proof We only treat the fifth case. All other cases can be dealt with similarly. The proof is divided into two parts.

We first prove that, for all $L \in \mathcal{L}$ and $B \in \mathcal{B}$,

$$\text{if } g_{\mathcal{L}}(L, \text{lin}(B)) = 0 \text{ then } g_{\mathcal{B}}(\text{branch}_1(L), B) = 0.$$

We define, for all $n \in \mathbb{N}$, the function $v_n : \mathcal{L} \rightarrow^1 \mathcal{B}$ by

$$v_n(L) = \begin{cases} 0 & \text{if } n = 0 \\ \Upsilon_{(\mathcal{L}, A, \rightarrow_1)}(v_{n-1})(L) & \text{otherwise} \end{cases}$$

We prove that, for all $n \in \mathbb{N}$, $L \in \mathcal{L}$, and $B \in \mathcal{B}$,

$$\text{if } g_{\mathcal{L}}(L, \text{lin}(B)) = 0 \text{ then } g_{\mathcal{B}}(v_n(L), B) \leq 2^{-n}$$

by induction on n . The above is vacuously true if $n = 0$. Let $n > 0$. By definition,

$$v_n(L) = \{ \langle a, v_{n-1}(\{\sigma\}) \rangle \mid \langle a, \sigma \rangle \in L \} \cup \{ \langle a, 0 \rangle \mid \langle a, 0 \rangle \in L \}.$$

According Property 5.3.2, it suffices to prove

1. if $\langle a, \sigma \rangle \in L$ then there exists a $\langle a, B' \rangle \in B$ such that $g_{\mathcal{B}}(v_{n-1}(\{\sigma\}), B') \leq 2^{-(n-1)}$ and
2. if $\langle a, 0 \rangle \in L$ then $\langle a, 0 \rangle \in B$.

We start with 1.

$$\begin{aligned} & \langle a, \sigma \rangle \in L \\ & \Rightarrow \langle a, \sigma \rangle \in \text{lin}(B) \quad [g_{\mathcal{L}}(L, \text{lin}(B)) = 0, \text{Property 5.3.1}] \\ & \Rightarrow \exists \langle a, B' \rangle \in B : \sigma \in \text{lin}(B') \\ & \Rightarrow \exists \langle a, B' \rangle \in B : g_{\mathcal{L}}(\{\sigma\}, \text{lin}(B')) \quad [\text{Property 5.3.1}] \\ & \Rightarrow \exists \langle a, B' \rangle \in B : g_{\mathcal{B}}(v_{n-1}(\{\sigma\}), B') \leq 2^{-(n-1)} \quad [\text{induction}] \end{aligned}$$

We continue with 2.

$$\begin{aligned} & \langle a, 0 \rangle \in L \\ & \Rightarrow \langle a, 0 \rangle \in \text{lin}(B) \quad [g_{\mathcal{L}}(L, \text{lin}(B)) = 0, \text{Property 5.3.1}] \\ & \Rightarrow \langle a, 0 \rangle \in B. \end{aligned}$$

We can conclude that, for all $L \in \mathcal{L}$ and $B \in \mathcal{B}$, if $g_{\mathcal{L}}(L, \text{lin}(B)) = 0$ then

$$\begin{aligned}
& \forall n \in \mathbb{N} : g_{\mathcal{B}}(v_n(L), B) \leq 2^{-n} \\
& \Rightarrow \lim_n g_{\mathcal{B}}(v_n(L), B) = 0 \\
& \Rightarrow g_{\mathcal{B}}(\lim_n v_n(L), B) = 0 \quad [\text{Property C.4}] \\
& \Rightarrow g_{\mathcal{B}}((\lim_n v_n)(L), B) = 0 \\
& \Rightarrow g_{\mathcal{B}}(\text{branch}_1(L), B) = 0 \quad [\text{Banach's theorem}]
\end{aligned}$$

Second, for all $L \in \mathcal{L}$ and $B \in \mathcal{B}$,

$$\begin{aligned}
& g_{\mathcal{B}}(\text{branch}_1(L), B) = 0 \\
& \Rightarrow g_{\mathcal{L}}(\text{lin}(\text{branch}_1(L)), \text{lin}(B)) = 0 \quad [\text{Property 5.4.1}] \\
& \Rightarrow g_{\mathcal{L}}(L, \text{lin}(B)) = 0 \quad [\text{Property 4.6}]
\end{aligned}$$

□

Of the functor category $\mathcal{L} \rightarrow \mathcal{B}$ we consider the full subcategory of right inverses for lin . In this subcategory,

1. branch_0 is final and
2. branch_1 is initial

as is shown in

Property 5.5 For all $\text{branch} : \mathcal{L} \rightarrow \mathcal{B}$, with $\text{lin} \circ \text{branch} = \text{id}_{\mathcal{L}}$,

1. $g_{\mathcal{L} \rightarrow \mathcal{B}}(\text{branch}, \text{branch}_0) = 0$ and
2. $g_{\mathcal{L} \rightarrow \mathcal{B}}(\text{branch}_1, \text{branch}) = 0$.

Proof We only consider the second case. Let $L \in \mathcal{L}$. Since $g_{\mathcal{L}}(L, L) = 0$ and $\text{lin} \circ \text{branch} = \text{id}_{\mathcal{L}}$, we have that $g_{\mathcal{L}}(L, \text{lin}(\text{branch}(L))) = 0$. According to Property 5.4.5, $g_{\mathcal{B}}(\text{branch}_1(L), \text{branch}(L)) = 0$. Consequently, we can conclude that $g_{\mathcal{L} \rightarrow \mathcal{B}}(\text{branch}_1, \text{branch}) = 0$. □

Related and future work

We briefly discuss some related work.

In Section 4 and 5 of [WN94], Winskel and Nielsen have presented a category of synchronization trees \mathbf{S} and a category of languages \mathbf{L} . The morphisms of the fibred categories \mathbf{S}_A and \mathbf{L}_A are simulations and inclusion functions, respectively. The category \mathbf{L}_A has been reflectively (but not coreflectively) embedded in \mathbf{S}_A .

$$\mathbf{L}_A \xrightarrow{\quad} \mathbf{S}_A$$

The categories \mathbf{S}_A and \mathbf{L}_A have been related to various other categories in [WN94] (see also [SNW93]).

In Section 8 of [Rut95], Rutten has introduced the notion of a pair of (nonexpansive) functions between two generalized metric spaces being a metric adjoint pair. Neither lin and $branch_0$ nor lin and $branch_1$ form a metric adjoint pair.

From Corollary 4.9 of Rutten and Turi's [RT92] we can conclude that \mathcal{B} forms an initial algebra and a final coalgebra. By providing \mathcal{L} with algebraic and coalgebraic structures we can define the linearize and branch operators as the unique morphisms from the initial algebra and to the final coalgebra.

Property 5.4 can also be proved by means of the $\epsilon \leq \frac{1}{2} \cdot \epsilon$ (coinductive) proof principle (see, e.g., page 174 of [ABKR89]).

We conclude with some topics for future research.

Besides dropping one half of the Hausdorff metric in the definitions of the generalized metrics $g_{\mathcal{L}}$ and $g_{\mathcal{B}}$, we can also drop one half of the disjoint union (see Definition A.3):

$$(g_X ; g_Y)(v, w) = \begin{cases} g_X(v, w) & \text{if } v, w \in X \\ g_Y(v, w) & \text{if } v, w \in Y \\ 0 & \text{if } v \in X \text{ and } w \in Y \\ 1 & \text{otherwise} \end{cases}$$

The above can be viewed as one half of the disjoint union as we have that

$$m_X + m_Y = \max\{m_X ; m_Y, m_Y ; m_X\}.$$

The preorder corresponding to $g_X ; g_Y$ (see page 14) is the concatenation (as defined, e.g., in Section 2.4 of [Pra86]) of the preorders corresponding to g_X and g_Y . We conjecture that in this setting Property 5.4 and 5.5 are still valid.

We are interested to see whether constructions like the sequential composition of branching processes (as defined in, e.g., Definition 2.14 of [KR90]) are universal.

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A Metric spaces

We present some notions from metric topology and Banach's fixed point theorem. For further details on (metric) topology we refer the reader to Engelking's standard work [Eng89]. We start with the definition of a basic notion: a 1-bounded metric space.

Definition A.1 A (1-bounded) metric space is a pair (X, m_X) consisting of

- a set X and
- a function $m_X : X \times X \rightarrow [0, 1]$, called *metric*, satisfying, for all $x, y, z \in X$,
 - * $m_X(x, y) = 0$ if and only if $x = y$,
 - * $m_X(x, y) = m_X(y, x)$, and
 - * $m_X(x, z) \leq m_X(x, y) + m_X(y, z)$.

To simplify notations, we shall usually write X instead of (X, m_X) and denote the metric of a metric space X by m_X .

Two examples of a metric are presented in

Definition A.2

- Let X be a set. The *discrete* metric $m_X : X \times X \rightarrow [0, 1]$ is defined by

$$m_X(x, y) = \begin{cases} 0 & \text{if } x = y \\ 1 & \text{if } x \neq y \end{cases}$$

- The *Euclidean* metric $m_{[0,1]} : [0, 1] \times [0, 1] \rightarrow [0, 1]$ is defined by

$$m_{[0,1]}(x, y) = |x - y|.$$

From metric spaces one can build new metric spaces by extending operations on sets like the Cartesian product \times and the disjoint union $+$ to metrics.

Definition A.3 Let X and Y be metric spaces.

- For all ϵ , with $0 < \epsilon \leq 1$, the metric $\epsilon \cdot m_X : X \times X \rightarrow [0, 1]$ is defined by

$$(\epsilon \cdot m_X)(x, y) = \epsilon \cdot m_X(x, y).$$

- The metric $m_X \times m_Y : (X \times Y) \times (X \times Y) \rightarrow [0, 1]$ is defined by

$$(m_X \times m_Y)(\langle v, w \rangle, \langle x, y \rangle) = \max \{ m_X(v, x), m_Y(w, y) \}.$$

- The metric $m_X + m_Y : (X + Y) \times (X + Y) \rightarrow [0, 1]$ is defined by

$$(m_X + m_Y)(v, w) = \begin{cases} m_X(v, w) & \text{if } v, w \in X \\ m_Y(v, w) & \text{if } v, w \in Y \\ 1 & \text{otherwise} \end{cases}$$

Below we will encounter some other operations on metrics.

The completeness of a metric space is essential in Banach's theorem. Before we introduce this notion, we first present the definitions of converging and Cauchy sequence.

Definition A.4 Let X be a metric space. Let $(x_n)_n$ be a sequence in X and x an element of X .

- The sequence $(x_n)_n$ is said to *converge* to the element x , which is denoted by $\lim_n x_n = x$, if

$$\forall \epsilon > 0 : \exists N \in \mathbb{N} : \forall n \geq N : m_X(x_n, x) \leq \epsilon.$$

- The sequence $(x_n)_n$ is called *Cauchy* if

$$\forall \epsilon > 0 : \exists N \in \mathbb{N} : \forall m, n \geq N : m_X(x_m, x_n) \leq \epsilon.$$

As can be easily seen, every convergent sequence is Cauchy.

Definition A.5 A metric space is called *complete* if every Cauchy sequences in the metric space is convergent.

As one can easily verify, the operations $\epsilon \cdot$, \times , and $+$ preserve completeness. Compactness, a generalization of finiteness, is introduced in

Definition A.6 A subset of a metric space is called *compact* if every sequences in the set has a converging subsequence.

The set $\mathcal{P}_{nk}(X)$ of nonempty and compact subsets of the metric space X is turned into a metric space by endowing it with the Hausdorff metric (see Chapter VIII, § 6 of [Hau14]) introduced in

Definition A.7 Let X be a metric space. The *Hausdorff* metric

$$\mathcal{P}_{nk}(m_X) : \mathcal{P}_{nk}(X) \times \mathcal{P}_{nk}(X) \rightarrow [0, 1]$$

is defined by

$$\mathcal{P}_{nk}(m_X)(A, B) = \max \left\{ \sup \{ \inf \{ m_X(a, b) \mid b \in B \} \mid a \in A \}, \sup \{ \inf \{ m_X(b, a) \mid a \in A \} \mid b \in B \} \right\}.$$

The operation \mathcal{P}_{nk} preserves completeness (Lemma 3 of [Kur56]). The metric space $\mathcal{P}_k(X)$ of compact subsets of the metric space X is defined by

$$\mathcal{P}_k(X) = \{\emptyset\} + \mathcal{P}_{nk}(X).$$

The set $X \rightarrow Y$ of functions from the metric space X to the metric space Y is turned into a metric space by endowing it with the metric introduced in

Definition A.8 Let X and Y be metric spaces. The metric

$$m_X \rightarrow m_Y : (X \rightarrow Y) \times (X \rightarrow Y) \rightarrow [0, 1]$$

is defined by

$$(m_X \rightarrow m_Y)(f, g) = \sup \{m_Y(f(x), g(x)) \mid x \in X\}.$$

Frequently we restrict ourselves to the subspace of nonexpansive functions.

Definition A.9 Let X and Y be metric spaces. A function $f : X \rightarrow Y$ is called *nonexpansive* if, for all $x, y \in X$,

$$m_Y(f(x), f(y)) \leq m_X(x, y).$$

We denote the metric space of nonexpansive functions from the metric space X to the metric space Y by $X \rightarrow^1 Y$. The operations \rightarrow and \rightarrow^1 preserve completeness as can easily be verified.

Next we will introduce an equivalence notion of metric spaces.

Definition A.10 Let X and Y be metric spaces. A function $f : X \rightarrow Y$ is called *isometric* if, for all $x, y \in X$,

$$m_Y(f(x), f(y)) = m_X(x, y).$$

Note that an isometric function is injective.

Definition A.11 The metric spaces X and Y are called *isometric*, denoted by $X \cong Y$, if there exists an isometric function from X to Y which is surjective.

Besides the completeness of the metric space, the contractiveness of the function is another essential ingredient of Banach's theorem.

Definition A.12 Let X and Y be metric spaces. A function $f : X \rightarrow Y$ is called *contractive* if there exists an ϵ , with $0 < \epsilon < 1$, such that

$$f \in (\epsilon \cdot X) \rightarrow^1 Y.$$

Instead of $(\epsilon \cdot X) \rightarrow^1 Y$ we usually write $X \rightarrow^\epsilon Y$. We conclude with Banach's fixed point theorem.

Theorem A.13 (Banach) *Let X be a nonempty complete metric space. If the function $f : X \rightarrow X$ is contractive then it has a unique fixed point $\text{fix}(f)$ and, for all $x \in X$,*

$$\text{fix}(f) = \lim_n x_n$$

where

$$x_n = \begin{cases} x & \text{if } n = 0 \\ f(x_{n-1}) & \text{otherwise} \end{cases}$$

Proof See Theorem II.6 of [Ban22]. □

B Metric labelled transition systems

We introduce some of the theory on (metric) labelled transition systems developed in the author's thesis [Bre94b].

Definition B.1 *A labelled transition system is a triple (C, A, \rightarrow) consisting of*

- a set of *configurations* C ,
- a set of *actions* A , and
- a *transition relation* $\rightarrow \subseteq C \times A \times C$.

Instead of $(c, a, c') \in \rightarrow$ we write $c \xrightarrow{a} c'$. If $c \xrightarrow{a} c'$ then we say that there exists a transition from c to c' labelled with a . If there exists a transition from c , we write $c \rightarrow$. Otherwise, we write $c \not\rightarrow$.

If every configuration has only finitely many outgoing transitions, i.e. for all $c \in C$, the set

$$\mathcal{T}(c) = \{ \langle a, c' \rangle \mid c \xrightarrow{a} c' \}$$

is finite, then the labelled transition system is called finitely branching. An alternative formulation of this finiteness condition is presented in

Definition B.2 *A labelled transition system (C, A, \rightarrow) is called *finitely branching* if the function*

$$\mathcal{T} : C \rightarrow \mathcal{P}(A \times C)$$

defined by

$$\mathcal{T}(c) = \{ \langle a, c' \rangle \mid c \xrightarrow{a} c' \}$$

is an element of $C \rightarrow \mathcal{P}_f(A \times C)$.

For finitely branching labelled transition systems we have the following two properties.

Property B.3 *A finitely branching labelled transition system (C, A, \rightarrow) induces a function*

$$\Phi_{(C,A,\rightarrow)} : (C \rightarrow \mathcal{L}) \rightarrow^{\frac{1}{2}} (C \rightarrow \mathcal{L})$$

defined by

$$\Phi_{(C,A,\rightarrow)}(\phi)(c) = \begin{cases} 0 & \text{if } c \not\rightarrow \\ \{ \langle a, \sigma \rangle \mid c \xrightarrow{a} c' \wedge \sigma \in \phi(c') \} \cup \\ \{ \langle a, 0 \rangle \mid c \xrightarrow{a} c' \wedge \phi(c') = 0 \} & \text{otherwise} \end{cases}$$

Proof See, e.g., Theorem 4.3.6 and Proposition 4.3.7 of [Bre94b]. □

Property B.4 *A finitely branching labelled transition system (C, A, \rightarrow) induces a function*

$$\Psi_{(C,A,\rightarrow)} : (C \rightarrow \mathcal{B}) \rightarrow^{\frac{1}{2}} (C \rightarrow \mathcal{B})$$

defined by

$$\Psi_{(C,A,\rightarrow)}(\psi)(c) = \begin{cases} 0 & \text{if } c \not\rightarrow \\ \{ \langle a, \psi(c') \rangle \mid c \xrightarrow{a} c' \} & \text{otherwise} \end{cases}$$

Proof See, e.g., Theorem 4.3.10 and Proposition 4.3.12 of [Bre94b]. □

Both Φ and Ψ are contractive functions from a nonempty complete metric space to itself. According to Banach's theorem, Φ and Ψ have unique fixed points $fix(\Phi)$ and $fix(\Psi)$, respectively.

A metric labelled transition system is a labelled transition system with some additional structure. That is, the set of configurations and the set of actions are both endowed with a complete metric.

Definition B.5 *A metric labelled transition system is a triple (C, A, \rightarrow) consisting of*

- a complete metric space of configuration C ,
- a complete metric space of actions A , and
- a transition relation $\rightarrow \subseteq C \times A \times C$.

Because we have a metric on the sets of configurations and actions, the finiteness condition finitely branching can be generalized to compactly branching: every configuration has a compact set of outgoing transitions and transitioning is nonexpansive.

Definition B.6 A metric labelled transition system (C, A, \rightarrow) is called *compactly branching* if the function

$$\mathcal{T} : C \rightarrow \mathcal{P}(A \times C)$$

defined by

$$\mathcal{T}(c) = \{ \langle a, c' \rangle \mid c \xrightarrow{a} c' \}$$

is an element of $C \rightarrow^1 \mathcal{P}_k(A \times \frac{1}{2} \cdot C)$.

Property B.3 and B.4 can be generalized as follows.

Property B.7 A *compactly branching metric labelled transition system* (C, A, \rightarrow) induces a function

$$\Upsilon_{(C,A,\rightarrow)} : (C \rightarrow^1 \mathcal{L}) \rightarrow^{\frac{1}{2}} (C \rightarrow^1 \mathcal{L})$$

defined by

$$\Upsilon_{(C,A,\rightarrow)}(v)(c) = \begin{cases} 0 & \text{if } c \not\rightarrow \\ \{ \langle a, \sigma \rangle \mid c \xrightarrow{a} c' \wedge \sigma \in v(c') \} \cup \\ \{ \langle a, 0 \rangle \mid c \xrightarrow{a} c' \wedge v(c') = 0 \} & \text{otherwise} \end{cases}$$

Proof See Theorem 7.3.8 and Proposition 7.3.10 of [Bre94b]. \square

Property B.8 A *compactly branching metric labelled transition system* (C, A, \rightarrow) induces a function

$$\Theta_{(C,A,\rightarrow)} : (C \rightarrow^1 \mathcal{B}) \rightarrow^{\frac{1}{2}} (C \rightarrow^1 \mathcal{B})$$

defined by

$$\Theta_{(C,A,\rightarrow)}(\theta)(c) = \begin{cases} 0 & \text{if } c \not\rightarrow \\ \{ \langle a, \theta(c') \rangle \mid c \xrightarrow{a} c' \} & \text{otherwise} \end{cases}$$

Proof See Proposition 7.4.1 and 7.4.3 of [Bre94b]. \square

As before, both Υ and Θ are contractive functions from a nonempty complete metric space to itself. According to Banach's theorem, Υ and Θ have unique fixed points $fix(\Upsilon)$ and $fix(\Theta)$, respectively.

C Generalized metrics

The justification of the definition of the generalized metric $g_{\mathcal{B}}$ (Definition 5.2) is presented and the characterization of $g_{\mathcal{B}}$ (Property 5.3.2) is proved.

First, we prove that there exists a unique generalized metric satisfying the recursive equation in Definition 5.2. We show that there exists a contractive function from a nonempty complete metric space to itself, the unique fixed point of which satisfies the equation uniquely.

Given a set X , the set $X \times X \rightarrow [0, 1]$, with $[0, 1]$ endowed with the Euclidean metric (see Definition A.2), forms a nonempty complete metric space.

Property C.1 *The subspace \mathcal{G}_X of generalized metrics on X is complete.*

Proof Let $(g_n)_n$ be a Cauchy sequence of generalized metrics on X converging to the function g . To conclude that g is a generalized metric we prove that, for all $x, y, z \in X$,

$$\begin{aligned} g(x, x) &= (\lim_n g_n)(x, x) \\ &= \lim_n g_n(x, x) \\ &= 0 \end{aligned}$$

and

$$\begin{aligned} g(x, z) &= (\lim_n g_n)(x, z) \\ &= \lim_n g_n(x, z) \\ &\leq \lim_n g_n(x, y) + g_n(y, z) \\ &= \lim_n g_n(x, y) + \lim_n g_n(y, z) \\ &= g(x, y) + g(y, z). \end{aligned}$$

□

On the nonempty complete metric space $\mathcal{G}_{\mathcal{B}}$ we define the following contractive function.

Definition C.2 The function $G : \mathcal{G}_{\mathcal{B}} \rightarrow \frac{1}{2} \mathcal{G}_{\mathcal{B}}$ is defined by

$$G(g)(B_0, B_1) = \begin{cases} 0 & \text{if } B_0, B_1 = 0 \\ \sup \{ \inf \{ \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g(B'_0, B'_1) \} \\ \mid \langle a_1, B'_1 \rangle \in B_1 \mid \langle a_0, B'_0 \rangle \in B_0 \} \} & \text{if } B_0, B_1 \neq 0 \\ 1 & \text{otherwise} \end{cases}$$

We leave it to the reader to verify that G maps generalized metrics to generalized metrics and that it is contractive. According to Banach's theorem, the function G has a unique fixed point: the generalized metric $g_{\mathcal{B}}$ introduced in Definition 5.2.

We conclude this appendix with the proof of Property 5.3.2. The key step in the proof is replacing the infimum in the definition of $g_{\mathcal{B}}$ by the minimum.

Since we dropped one half of the Hausdorff metric in the definition of $g_{\mathcal{B}}$, $g_{\mathcal{B}}$ is smaller than $m_{\mathcal{B}}$.

Property C.3 For all $B_0, B_1 \in \mathcal{B}$,

$$g_{\mathcal{B}}(B_0, B_1) \leq m_{\mathcal{B}}(B_0, B_1).$$

Proof We define, for all $n \in \mathbb{N}$,

$$g_n = \begin{cases} m_{\mathcal{B}} & \text{if } n = 0 \\ G(g_{n-1}) & \text{otherwise} \end{cases}$$

We can prove that, for all $n \in \mathbb{N}$ and $B_0, B_1 \in \mathcal{B}$,

$$g_n(B_0, B_1) \leq m_{\mathcal{B}}(B_0, B_1)$$

by induction on n . Consequently, for all $B_0, B_1 \in \mathcal{B}$,

$$\begin{aligned} g_{\mathcal{B}}(B_0, B_1) &= (\lim_n g_n)(B_0, B_1) \quad [\text{Banach's theorem}] \\ &= \lim_n g_n(B_0, B_1) \\ &\leq m_{\mathcal{B}}(B_0, B_1). \end{aligned}$$

□

A metric is nonexpansive in both its arguments. This does not hold for generalized metrics in general. The generalized metric $g_{\mathcal{B}}$ is nonexpansive (with respect to $m_{\mathcal{B}}$), and hence continuous, in both its arguments.

Property C.4 For all $B, B_0, B_1 \in \mathcal{B}$,

$$\begin{aligned} m_{[0,1]}(g_{\mathcal{B}}(B_0, B), g_{\mathcal{B}}(B_1, B)) &\leq m_{\mathcal{B}}(B_0, B_1) \\ m_{[0,1]}(g_{\mathcal{B}}(B, B_0), g_{\mathcal{B}}(B, B_1)) &\leq m_{\mathcal{B}}(B_0, B_1) \end{aligned}$$

Proof For example,

$$\begin{aligned} m_{[0,1]}(g_{\mathcal{B}}(B, B_0), g_{\mathcal{B}}(B, B_1)) &\leq \max\{g_{\mathcal{B}}(B_0, B_1), g_{\mathcal{B}}(B_1, B_0)\} \\ &\leq m_{\mathcal{B}}(B_0, B_1) \quad [\text{Property C.3}] \end{aligned}$$

□

From the above property we can conclude that we can replace the infimum in the definition of $g_{\mathcal{B}}$ by the minimum.

Property C.5 For all $a_0 \in A$ and $B'_0, B_1 \in \mathcal{B}$, with $B_1 \neq 0$,

$$\begin{aligned} & \inf \{ \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \} \mid \langle a_1, B'_1 \rangle \in B_1 \} \\ = & \min \{ \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \} \mid \langle a_1, B'_1 \rangle \in B_1 \}. \end{aligned}$$

Proof Because, for all $a_0 \in A$, the function

$$\lambda a_1 \in A. m_A(a_0, a_1)$$

is nonexpansive, and for all $B'_0 \in \mathcal{B}$, the function

$$\lambda B'_1 \in \mathcal{B}. g_{\mathcal{B}}(B'_0, B'_1)$$

is nonexpansive (Property C.4), for all $a_0 \in A$ and $B'_0 \in \mathcal{B}$, the function

$$\lambda \langle a_1, B'_1 \rangle \in A \times \frac{1}{2} \cdot \mathcal{B}. \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \}$$

is nonexpansive. Since all $B_1 \in \mathcal{B}$, with $B_1 \neq 0$, are compact subsets of $A \times \frac{1}{2} \cdot \mathcal{B}$ and the nonexpansive image of a compact set is compact (a consequence of Theorem III of [Ale27]), for all $B_1 \in \mathcal{B}$, with $B_1 \neq 0$, the set

$$\{ \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \} \mid \langle a_1, B'_1 \rangle \in B_1 \}$$

is compact. Because the infimum of a compact subset of $[0, 1]$ is the minimum, the desired result can be concluded. \square

This brings us to the

Proof of Property 5.3.2 Let $B_0, B_1 \in \mathcal{B}$, with $B_0, B_1 \neq 0$, and ϵ , with $0 \leq \epsilon < 1$.

$$g_{\mathcal{B}}(B_0, B_1) \leq \epsilon$$

$$\iff \sup \{ \inf \{ \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \} \mid \langle a_1, B'_1 \rangle \in B_1 \} \mid \langle a_0, B'_0 \rangle \in B_0 \} \leq \epsilon$$

$$\iff \forall \langle a_0, B'_0 \rangle \in B_0 : \inf \{ \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \} \mid \langle a_1, B'_1 \rangle \in B_1 \} \leq \epsilon$$

$$\iff \forall \langle a_0, B'_0 \rangle \in B_0 : \min \{ \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \} \mid \langle a_1, B'_1 \rangle \in B_1 \} \leq \epsilon$$

[Property C.5]

$$\iff \forall \langle a_0, B'_0 \rangle \in B_0 : \exists \langle a_1, B'_1 \rangle \in B_1 : \max \{ m_A(a_0, a_1), \frac{1}{2} \cdot g_{\mathcal{B}}(B'_0, B'_1) \} \leq \epsilon$$

$$\iff \forall \langle a_0, B'_0 \rangle \in B_0 : \exists \langle a_1, B'_1 \rangle \in B_1 : a_0 = a_1 \wedge g_{\mathcal{B}}(B'_0, B'_1) \leq 2 \cdot \epsilon$$

[A is endowed with the discrete metric]

$$\iff \forall \langle a, B'_0 \rangle \in B_0 : \exists \langle a, B'_1 \rangle \in B_1 : g_{\mathcal{B}}(B'_0, B'_1) \leq 2 \cdot \epsilon.$$

\square

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