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Spring 5-15-2016

Application of Genomic Technologies to Study Infertility

Nicholas Rui Yuan Ho *Washington University in St. Louis*

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WASHINGTON UNIVERSITY IN ST. LOUIS

Division of Biology and Biomedical Sciences Computational and Systems Biology

Dissertation Examination Committee: Donald Conrad, Chair Barak Cohen Joseph Dougherty John Edwards Liang Ma

Application of Genomic Technologies to Study Infertility by Nicholas Rui Yuan Ho

> A dissertation presented to the Graduate School of Arts & Sciences of Washington University in partial fulfillment of the requirements for the degree of Doctor of Philosophy

> > May 2016 St. Louis, Missouri

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Nicholas Rui Yuan Ho

Washington University in St. Louis May 2016

ABSTRACT OF THE DISSERTATION

Application of Genomic Technologies to Study Infertility

by

Nicholas Rui Yuan Ho

Doctor of Philosophy in Biology and Biomedical Sciences Computational and Systems Biology Washington University in St. Louis, 2016 Donald Conrad, Chair

An estimated one in eight couples in the United States are diagnosed with infertility. There is a significant genetic contribution to infertility, with estimates of heritability ranging from 0.2 to 0.5. We know surprisingly little about the genetic causes, with only slightly more than a hundred genes known to cause human infertility. I have been translating recent advances in genomics to study infertility in a more efficient manner, in order to improve our knowledge of the genetic causes. By using high throughput genomics and proteomics datasets from other groups, I was able to feed that into a machine learning algorithm to predict novel fertility function genes. While not perfect, this computational model performs comparably to other publish prediction models. In order to test the top predicted fertility genes I also developed an experimental technique to simultaneously screen up to hundreds of genes for spermatogenesis function *in vivo* in mice. This method is based off of RNAi, and I was able to benchmark its performance to demonstrate that it performed comparably to other benchmarked RNAi screens in flies. I then used this method to test the top 26 predicted spermatogenesis genes and showed that most of them (24/26) have an important role in spermatogenesis. Using this technique, other groups can screen genes for spermatogenesis function in a fraction of the time and cost compared to the traditional approach of generating knockout mouse lines. Finally, I describe the progress I have made in using genetic engineering to rescue spermatogenesis in mice. By analyzing the missteps I have made in delivering constitutively expressed transgenes and CRISPR genes into mouse testes, I describe the probably reasons for my failure and how to implement future experiments to get more success.

Introduction

Application of genomic technologies to study infertility

Nicholas Rui Yuan Ho

Infertility is defined as the inability of a couple to achieve pregnancy after a year or more of regular unprotected sexual intercourse. This reproductive disease presents its phenotype as a couple, but the cause may be found in either partner. Approximately half of the cases of infertility are attributed to the male and half to the female partner in the couple.

In its 2014 infertility white paper, the CDC reported that 12-18% of couples and 9% of men are infertile in the United States¹. Infertility is also highly heritable, with heritability estimates ranging from 0.16 to 0.81, with a mean of around $0.3²$. When looking specifically at male infertility, male relatives of couples treated with intracytoplasmic sperm injection were found to have higher rates of infertility than the general population³, and up to 10% of cases of azoospermia are clinically attributable to Y chromosome microdeletions in typical populations of European ancestry^{4,5}. Numerous physiological systems are required for the maintenance of human fertility and genetic studies in mice and humans have played a major role in their dissection. Genes are now known to be involved in the proper information of male and female gonads and genitalia, neuroendocrine control of gonadal function, paracrine regulation of gamete development, fertilization and implantation⁶. In total, the data suggests there is a significant genetic component to infertility.

Given its high prevalence, surprisingly little is known about the genetic causes of this disease in humans. To date only a small handful of loci have been identified as definitively involved in human fertility, and these genes explain only a small proportion of the heritability of fertility^{7–11}. This is due to the traditional method of infertility gene ascertainment, phenotyping knockout mice, which is time-consuming, low-throughput, and expensive. Ongoing generation and analysis of mouse mutants from places like Knockout Mouse Project and various other investigators have slowly produced a larger list of gonad-essential genes, but this is still far from comprehensive. As high-throughput DNA sequencing moves to the clinic, there will be a deluge of data generated about human infertility. However interpreting variations across the whole genome of infertile patients is a difficult problem at best and it will be almost impossible to verify all candidate infertility genes via traditional methods.

My research has been focused on increasing the efficiency of discovering candidate genes and verifying their function *in-vivo*. To help identify infertility genes in patients, I will show that I can use available high throughput data about human genes that have been generated by other groups to come up with a set of infertility candidate genes based on co-regulation, expression and protein-protein interactions. I will also demonstrate a new experimental method which can be used to screen a panel of genes *in-vivo* in mouse testis. This method can be used as a primary screen for male infertility genes that are identified in humans, reducing the cost and speeding up the verification process. Finally I discuss the work I have performed using genetic engineering to attempt to rescue spermatogenesis in mice.

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Computational Fertility Gene Candidate Identification

Nicholas Rui Yuan Ho, Ni Huang, Donald F Conrad

Improved detection of disease-associated variation by sex-specific characterization and prediction of genes required for fertility. Andrology 3, 1140–1149 (2015).

Chapter 1 - Introduction

There has been a recent explosion in the amount of genomewide genomic data being generated on hundreds of human and mouse cell types, including germ cells, much from the Encyclopedia of DNA Elements Consortium (ENCODE)^{1,2}. Gene expression, histone modifications, and methylation have all been assayed on bulk gonadal tissue, and in some cases, purified germ cells. I hypothesized that since known fertility genes work in a small set of pathways, I can computationally identify genomic features among genomic high throughput data that distinguish "fertility genes". All genes in the genome with similar features are likely to be similarly regulated and are probably working in the same pathways. These genes are likely to also cause fertility problems when mutated.

To accomplish this I apply a technique known as "supervised learning", creating a model for classifying unlabeled objects from studying pre-existing labeled, training data. In the simplest implementation, the purpose of the classifier is to place unlabeled objects into one of two groups, say, "positive" and "negative". This method has had good results for well-studied diseases with a large set of known causative genes in humans^{3,4} and various tools have been made which use single features to define similarity amongst genes, ranging from disease ontology terms to protein structure and tissue-specific expression⁵⁻⁸.

Since the genomic feature information fed to the model greatly influences the results, I had to build my own tool since the existing tools can only use a small subset of the current high throughput data. I explored the use of a diverse set of high-throughput genomic data types, including protein-protein interaction networks, gene co-expression networks, tissue and cell type-specific expression levels, epigenetic marks, and gene conservation. In the process I obtained over 30 published sequencing-based genomic datasets from human and mouse and reprocessed them with a uniform pipeline to ensure comparability.

The other key factor that determines accuracy is the size and curation of the training set used to train the model. Larger gene sets that are more specific to a given phenotype improve the performance of supervised learning models. In the case of infertility, there is a relatively small list of genes that have been definitively shown to cause disease in humans which work in various pathways. To get around this issue, I used data from mouse knockout lines to augment my training datasets.

I first tested my approach on the better annotated mouse genome, classifying genes in general categories like "reproductive" as well as focusing on specific physiological processes such as "meiosis arrest" or "ovulation" for classification. I was able to validate that my classifier performs at a comparable level to other previously published models. In general, there were improvements in classification accuracy for the more specific phenotypes, as quantified by the area under the receiver-operator-characteristic curve (AUC). I then applied my model to the human genome to classify genes by using the small set of known human fertility genes.

The main product of this work is a list of quantitative predictions about the relevance of each gene in the human and mouse genome to reproductive function. These quantitative summaries can be used as a research resource for hypothesis generation, say in the design of experiments, or the interpretation of human genetic data. I show some uses of the quantitative predictions by showing how they can be used to improve detection and interpretation of pathogenic copy number variants (CNVs) in genomewide association studies of gonadal function.

One other significant result of my work is to provide some insight into the relative importance of the complex and rapidly growing genomic data on reproductive cell types. By evaluating a large amount of genomic data side-by-side, I was able to make precise statements about the information generically relevant to infertility contained in each of these data types. This is a first attempt at what will be an increasingly visible and routine problem for reproductive biologists: how to computationally integrate human genetic analysis, model organism research, and genomic data to precisely predict the reproductive consequences of mutation.

Figure 1.1 Overview of the study.

I set out to assess the utility of functional genomic data for predicting the identity of genes relevant to mammalian fertility using a machine learning approach. I obtained and reprocessed over 30 high-throughput functional genomic datasets from mouse and human, and used these to annotate all genes in the mouse and human genomes, respectively. Using extensive phenotyping data from Jackson Labs Mouse Genome Informatics (MGI), I generated a negative training set of genes which were highly unlikely to be related to mammalian fertility. I then created multiple positive gene training sets of genes known to disrupt mammalian fertility, identified in either mouse or human. I combined each positive gene training set with the negative gene training set and used these to create phenotype-specific gene classifiers using linear Discriminant analysis. The accuracy of each classifier was then evaluated using standard statistical approaches.

Chapter 1 - Results

I tried various modeling frameworks before settling on using Linear Discriminant Analysis (LDA) for my supervised learning classifier. LDA has worked well in the past to generically predict human haploinsufficient genes⁹. Since LDA uses a linear combination of genomic features to make its predictions, the features with the largest separation between training groups are also the ones weighted most when classifying the test set. This provides me with the advantage of being able to consider many different data types, picking only the most informative genomic features (Larger difference = more informative). In this study I considered numerous genomic features such as stage-specific and tissue differential RNA expression data, locus conservation between species and, protein-protein interactions (PPI), but only picked the best 3-4 to actually make any given model prediction.

To ensure that the data generated by different experiments were comparable, I downloaded the raw sequencing reads for the ChIP-seq and RNA-Seq experiments and remapped and quantified them using the same pipeline. PPI scores were generated by determining proximity to different gene sets such as reproductive genes and cancer genes **(Methods).**

There are two inputs for an LDA model. Apart from the genomic features that the LDA model will use to calculate variance, it also needs examples of the "positive" and "negative" genes. Because the ideal, large and well-curated, fertility training set is unavailable for humans, I performed my investigations with various gene sets (**Figure 1.1**). This resulted in predictions of sets of genes involved in different reproductive processes, ranging from a category as broad as "fertility" to something as narrow as "abnormal ovulation without superovulation". I have

picked the models with the best results to present here, but I discuss all the models I tested in the supplement.

A popular measurement of the accuracy of a classification model is the Area Under the Receiver-Operator-Curve (AUC), where a larger AUC means the model has a better trade-off between accuracy and specificity. The maximum AUC for a two-category model is 1 (perfect prediction) and the minimum is 0.5 (random guessing). For each set of predictions I used 10-fold cross validation to test the precision and sensitivity of the LDA models. This method essentially leaves out 10% of the training set for testing and repeats it ten times, leaving out different genes each time, in order to determine how much error there is in the precision and sensitivity measurements.

MGI genes on mouse genome model

I first constructed models for three broad categories of genes: fertility, male fertility, and female fertility, using mouse genetic and genomic data. The AUC for the gender aspecific model was 0.711, 0.741 for the male specific model, and 0.738 for the female specific model for the 15,212 genes tested in the mouse genome (**Figure 1.2**).

In principle, genes involved in a narrow biological process should be more tightly co-regulated and co-evolving than a set of genes involved in diverse processes; thus I reasoned that genes involved in narrowly defined processes should be easier to model and predict. Based on the phenotype observed in knockout mice, I picked 12 of them that had at least 50 different genes implicated. I then characterized these models on the mouse genome. **(Methods)**

Each figure shows the receiver operator characteristic (ROC) curves corresponding to classifiers based on functional genomic data derived from mouse (left) or human (right) genomes. The negative training set for each classifier is always the same set of MGI null genes.

The subcategory models all had better ROC curve AUC than the more general infertility models, but their precision recall curve AUCs were not as good (**Figure 1.3**). Among these models I ended up characterizing the results of two, male meiosis arrest and abnormal female meiosis, because they were the only ones that performed better than the more general fertility models in both metrics.

Among all the genomic features for the mouse models that I tested **(Figures 1.S1-1.S3, 1.S9- 1.S20)**, I found that PPI with genes in the positive set and gonad RNA expression were the most important ones. Some histone modification marks (H3K27ac) also proved to be helpful in building the male infertility prediction models **(Figure 1.4)**.

Figure 1.3: Model performance vs training set size

For each classification model, I plotted the Precision-recall AUC (AUPRC) versus Receiver Operator Characteristic AUC (AUROC) as two measures of model performance. I fit trend lines to each set of points using loess regression, with AUPRC in red and AUROC in blue. In general, AUROC decreases with increasing positive training set size, while AUPRC increases.

Human genetic studies' genes on human genome model

Because my approach was working for the large and small mouse derived training sets I reasoned that it may also work just as well with the smaller set of fertility genes implicated in humans. I combined male and female infertility genes that work in various pathways from

review articles to generate four positive training sets **(Methods)**. Because it was difficult to find a list of genes proven not to cause fertility problems in humans, I translated the MGI null gene set into conserved human genes and used this as the negative training set.

Figure 1.4: Selected feature Importance to each model

These show the relative importance of the genomic features used to construct the nine models presented towards the model predictions. I show a subset of all the features that I tested, presenting only the ones actually used in the model(s). All the other features are presented in the supplement.

I got better performance for these models compared to the mouse models, with AUCs of 0.913 for the general fertility model, 0.946 for the male specific model, and 0.927 for the female specific model for the 17,758 genes tested in the human genome. The non-obstructive azoospermia model also had a good AUC of 0.95 **(Figure 1.2)**.

There were fewer human genomic features available compared to mouse **(Figures 1.S4 – 1.S7)**, and among the ones I tested PPI with genes in the positive set was the most important. Other useful features were gene conservation and gonad RNA expression, but to a much smaller extent **(Figure 1.4)**.

Table 1.1: Summary of prediction results for 9 reproductive gene classifiers

This shows the benchmarks using different cutoffs for the Chi score produced by the functional gene prediction models. The predictive score cutoff tries to get close to a 5% false positive rate. We tested 15,212 genes in the mouse genome and 17,758 genes in the human genome.

Model predictions

In order to produce a list of candidate genes likely to modulate fertility, I used a cutoff for the χ score produced by the LDA model, where any gene with a γ score greater than or equal to the cutoff is considered a candidate for being an important gene for reproduction. The cutoff for each model was chosen so that the resulting candidate gene predictions would have at most a 5% false positive rate (FPR). (**Table 1.1**) This created shortlists of candidate infertility genes numbering between 400 – 900 genes for the mouse genome and between 590 – 1030 genes for the human genome (depending on the phenotype).

Using model predictions to improve identification of human fertility-associated CNVs

A primary challenge in genomewide association studies is the identification of true diseaseassociated variation amongst the background of millions of unassociated variants within a given set of individuals. One strategy for improving detection power is to test only those variants that have strong *a priori* evidence for contributing to the disease process, such as variants near genes expressed in the tissue(s) of interest. I sought to evaluate how my fertility gene predictions could be used to improve analysis of case-control data from cohort studies of gonadal function.

First I took data generated from several cohorts of male and female gonadal dysfunction, as well as matched controls, previously used for genomewide association studies (GWAS). I found that an infertile patient had an odds ratio of 1.25 of having a deletion spanning any gene exon compared to a control individual. When I used my all-gender model gene predictions to consider only deletions spanning at least one exon of a candidate gene, the same patients had a slightly higher odds ratio of 1.48 than the controls. Finally when looking at the male human model

predicted infertility genes for the male cases and the female human model predicted infertility genes for the female cases, I found that cases had a much higher odds ratio of 2.31 of having a deletion in one of the predicted infertility genes than controls. **(Table 1.2)**

I also looked at candidate genes that were deleted multiple times in either male or female cases alone, but not controls **(Table 1.3)**. This produced a list of 14 patients in azoospermia and 2 patients in primary ovarian insufficiency. Eight of the cases of azoospermia had deletions covering known male fertility genes (CDY1, CDY1B, DAZ1, DAZ2, DAZ3, DDX3Y, USP9Y), while 4 of them had deletions covering DMRT1 (2 of them also covered FOXD4). The last two patients had deletions covering PSG5. For the female cases with primary ovarian insufficiency, I found 2 patients with deletions covering PRL.

Chapter 1 - Discussion

The premise of this study was that high-throughput functional genomic data from mouse and human germ cells and tissues could be used to identify novel infertility genes. Ideally this would work by finding other genes that are regulated similarly or interact with known infertility genes, thus likely to work in the same molecular pathways. Because pathways are often the basis of genotype-phenotype mapping, I expect that disrupting the same pathway in different ways can produce correlated disease phenotypes.

Are the genomic features that were ultimately most informative for my gene classifiers consistent with this hypothesis? It would appear so, given that the PPI distance to reproductive genes was consistently the most significantly separated genomic data features between the positive and negative gene sets. Aside from PPI distance, 6 of the 8 most useful genomic features that I observed were based on germ cell or gonad gene expression levels, and only one was based on an epigenetic mark (**Figure 1.5**). Genomic features derived from male tissues tended to be more informative across multiple models than genomic features derived from female tissues. This could reflect the fact that more high quality functional genomic data are available on specific developmental subpopulations in male gametogenesis compared to female gametogenesis. This is largely due to technical limitations in isolating and generating data from scarce cellular populations, and I expect that richer female functional genomic datasets will emerge with time and innovation. Intriguingly, some genomic features derived from male gonads were also informative for predicting female infertility genes across a broad range of phenotypes, especially male germ cell and gonad expression levels. I interpret this as underscoring a common set of pathways that are involved in gametogenesis for males and females (probably beyond obvious shared processes such as meiosis).

These results suggest that getting high resolution RNA expression of various germline cell types and gonads will be the best way to improve fertility gene predictions in both humans and mice. Furthermore, it looks like the RNA expression results that came from a pool of cells were more reliable than the single cell experiments, leading us to conclude that for single cell sequencing results to be useful it need to be repeated many times to get an accurate idea of the average cell expression.

I evaluated my ability to predict genes involved in 15 mouse reproductive phenotypes and 4 human reproductive phenotypes. Each predictive model produced an area under the ROC curve

in the range of 0.7 - 0.9 and area under the precision-recall curve of 0.2 - 0.4, numbers competitive with many other predictive models that have been reported for disease gene classification^{3,6,7,9}. Interestingly, the gender specific models slightly outperformed the all-gender model, confirming that while there is a shared molecular basis for infertility in both genders (e.g. defects in meiosis), there are also unique pathways that contribute to fertility in each gender.

Separation of different features between genders in mouse models

Many of the functional annotations used to produce my classifiers are obtained from sex-specific germ cells. For the top 8 most informative features in my study, I show the relative importance of each feature to the performance of 7 male (blue) and 5 female (pink) classifiers, summarized as a box-and-whiskers plot of the –log10(P) scores for each feature. A higher –log10(P) score indicates that the feature better differentiates between the positive and negative training set genes. While features derived from male gonads were typically more sex-biased in their predictive power than features derived from female gonads, it is interesting to note that spermatogonial expression levels appeared to be equally useful for predicting genes involved in both male and female reproductive traits.

Since I used a standard cutoff of 5% false positive rate for all models to identify candidate infertility genes, the two measures of model performance I used were the sensitivity and precision. A high sensitivity means that the model was able to identify most of the known fertility genes among its identified candidate genes, giving you confidence that the model is reasonably comprehensive. A high precision means that there are more known fertility genes than non-fertility genes among all the predicted candidate genes, letting you trust that any given candidate gene is less likely to be a false positive.

Sensitivity was negatively correlated to the size of the positive gene training set **(Figure 5)**. This could be due, in part, to the method I use to get the smaller positive gene training sets, picking genes involved in a certain phenotype and thus similar pathways, making other genes in the small set of pathways easier to identify. However since the negative gene training set is much larger, it results in the unfortunate side effect of lower precision with shrinking positive training gene set sizes. The false negatives can be attributed to genes that affect fertility by external mechanisms (e.g. Insulin reduces fertility by causing diabetes) or genes that have few other genes annotated in their pathways. The false positives are most likely caused by noisy data such as spurious *in-vitro* protein-protein interactions with little biological function *in-vivo.*

Even with the trade-off between precision and accuracy, I found that using the predicted infertility genes helped improve the odds ratio of cases versus controls in the human infertility studies **(Table 1.2)**. This increase in the odds ratios show that my predictions are enriched for true infertility genes relative to a random selection of genes and that the gender-specific model predictions provide the best enrichment.

Table 1.2: Tests of association between gene-disrupting CNVs and infertility.

Positive/negative status of case/control individuals was determined by taking the highest scoring gene in any CNV in the patient and judging based on the cutoffs determined in Table 1. The all gender model score uses the MGI reproductive set on human genome model score of the genes for both male and female cohorts. The gender specific model score uses the MGI male reproductive set on human genome model scores for the male cohort patients and the MGI female reproductive set on human genome model scores for the female cohort patients. Positive/negative status for the deletion CNVs spanning genes table was determined by whether the patient had any loss of copy number CNVs that span exons

To highlight the best predictions, I chose the infertility genes that were deleted multiple times in the infertile patients across the case-control studies **(Table 1.3)**. One such candidate is DMRT1, which was deleted in 4 different patients and is known to affect post-natal testis differentiation in mice¹⁰ and is associated with male infertility¹¹. I also found PRL deleted in 2 primary ovarian insufficiency patients. Female knockout mice lacking PRL are also infertile (Males are fine) 12 , and overexpression of this gene cause many detrimental effects in humans including female infertility¹³. Finally, the last candidate gene that I highlight is PSG5, which was found to be

deleted in 2 different azoospermic men. This gene is not very well studied, and its homolog in mice (PSG22) does not have a knockout line created yet. PSG5 is expressed at high levels in the testis and is closely related to PSG1 which is highly expressed in the placenta. Further studies on this gene may show an important role in male fertility.

Table 1.3: Candidate genes identified multiple times in gene-disrupting CNVs for infertility cohorts.

Known infertility genes have their names bolded.

This example shows application of my human gene predictions, providing a basis for prioritizing large candidate gene lists produced in GWAS for further experiments. In my own data, I have seen how these predictions can be used to hone in on one specific gene when investigating many genes deleted by a large CNVs **(Figure 1.S22)**. Given that my available case-control studies' genetic data was low resolution, this limited my analysis to large deletions. With the increasing commonplace use of exome sequencing for studies like this in the future it is likely that more of my predicted genes will be implicated. Furthermore, such data can be used to refine my predictions by noting which of my predicted infertility genes have recurrent mutations more frequently in the cases than the controls.

Functional genomic analysis of mammalian germ cells has historically been limited by the difficulty of working with mammalian gonadal tissue. These tissues are complex cellular mixtures, and large-scale isolation of specific cell types has been a rate-limiting step, especially from ovaries. A primary barrier to follow-up of my large prediction sets is to apply a complementary high-throughput experimental system to test these predictions. To this end, I have been developing a method to perform multiplex shRNA screening directly in mammalian testis, and are in the process of using this to test over a hundred of my top candidates reported here. My hope is that by tying together high-dimensional computational analysis of mammalian germ cells with novel high-throughput genomic assays in these same cells, I can help usher in a new era of functional genomics for mammalian reproductive biology.

Chapter 1 - Methods

Training gene sets

To create the positive and negative trainings sets of mouse genes, I first used the Mouse Phenotypic Alleles database from Jackson Labs Mouse Genome Informatics (MGI) to make a list of unique genes where a knockout mouse had been made and phenotyped for at least one system. From this list, I extracted the genes with an observed reproductive system phenotype (MP:0005389) to make a reproductive positive training set gene list. For the negative training set gene list, I took all the other genes from the list that did not have a reproductive system phenotype and further filtered out the genes which caused embryogenesis (MP:0005380) and

abnormal survival (MP:0010769) phenotypes, but not the extended life span phenotype (MP:0001661) among the abnormal survival genes.

To make the male reproductive training gene list I picked the genes shown to cause abnormal male reproductive morphology (MP:0001145) and physiology (MP:0003698) from the original positive training set gene list. Similarly I used the categories for abnormal female reproductive morphology (MP:0001119) and physiology (MP:0003699) to create my female reproductive training gene list. I also evaluated each of 12 subcategories: Abnormal female meiosis (MP:0005168), Abnormal endometrium morphology (MP:0004896), Abnormal spermiogenesis (MP:0001932), Azoospermia (MP:0005159), Decreased oocyte number (MP:0005431), Male meiosis arrest (MP:0008261), Oligozoospermia (MP:0002687), Teratozoospermia (MP:0005578), Abnormal ovulation without superovulation (MP:0001928), abnormal ovulation cycle (MP:0009344), male germ cell apoptosis (MP:0008280), and sperm physiology (MP:0004543), all taken from the same MGI database.

MGI's vertebrate homology table was used to translate the negative training sets into human conserved genes. Due to orthology relationships between mouse and human, the sizes of the human training genes set differed slightly from those of mouse, increasing from 3,344 genes in mouse to 3,406 genes in human.

The human genetic studies' derived positive training gene set was taken using Azoospermic/ Oligospermic gene set (AO) and female infertility gene set (POF) from some review articles¹⁴⁻¹⁷ . This produced a list of 67 human male fertility genes and 62 human female fertility genes. These lists were combined to produce a list of 125 human fertility genes. Finally the human male fertility gene list was curated for genes only found in non-obstructive azoospermia to produce a list of 41 genes.

Gene similarity features

All genomic feature data were normalized to a mean of 0 and a spread from -1 to 1 for the purposes of being able to compare different features.

Expression properties

 $ENCODE^{1,2} paired-end RNA-Seq reads were used for differential tissue expression. Mouse liver$ (ENCSR000AJU & ENCSR216KLZ), heart (ENCBS441FDF & ENCSR000BYQ), testis (ENCSR266ESZ & ENCSR000BYW) and ovary (ENCSR516UNF & ENCSR000BZC) were used. Human liver (ENCSR085HNI & ENCSR000AEU), heart (ENCSR000AHH & ENCSR635GTY), testis (ENCSR693GGB) and ovary (ENCSR046XHI) were used. Mouse spermatogenesis-specific expression was obtained from RNA-Seq paired end reads of two datasets, Soumillon $(GSE43717)^{18}$ and Hammoud $(GSE49624)^{19}$. Mouse and human oocyte RNA-Seq paired end reads were taken from Xue $(SSE44183)^{20}$.

All mouse RNA-Seq fastq files were mapped to the mm9 assembly while human RNA-Seq fastq files were mapped to the hg19 assembly. Alignment was performed using tophat 2^{21} with the default values and gene expression was summarized using cuffnorm²² on the UCSC gene annotations to normalize across the datasets. I used cuffdiff with the default options to determine which genes are differentially expressed.

Histone modification properties
H3K27ac, H3K27me3, H3K4me1 and, H3K4me3 histone modification marks for mouse spermatogenesis cell specific stages were taken from Hammoud (GSE49624). ENCODE was the source for the same histone modification marks for mouse testis. (ENCSR000CCU, ENCSR000CGB, ENCSR000CCV and ENCSR000CCW)

I aligned the CHIP-Seq read to the mm9 assembly using Novocraft's novoalign tool with its default options (http://www.novocraft.com). Following that, I used seqminer²³ to map the reads + -5kb around the transcription start site (TSS). I created several statistical summaries of the distribution of marks around the TSS including mean, standard deviation, kurtosis and skew.

Network properties

Protein-protein interactions (PPI) were collected from HPRD²⁴, Reactome²⁵, and STRING²⁶ and integrated into a single PPI network by mapping interacting entities to HGNC symbols. Measures of network centrality (degree and betweenness) and modularity (cluster coefficient) were calculated using MCL^{27} . Sum of weight of edges were calculated as a measure of proximity to a group of 'seed' genes as described previously⁹. Seed gene sets that I used to calculate scores included cancer, early development, haploinsufficiency and known reproductive genes that I supplied to the model.

Gene properties

The dN/dS, GERP scores, number of domains, number of exons, and length of domains for each gene were downloaded from EnsEMBL version 74.

Linear Discriminant Analysis Model

For each genomic feature, a given gene will have a score normalized to between -1 and 1. For this score, I can calculate the likelihood that a given gene belongs in either the positive training

set genes or the negative training set genes based on how similar it is to each group. In order to combine the information from multiple features together I used Linear Discriminant Analysis (LDA). The LDA approach assigns weights to each given feature such that the likelihood variance within each group is minimized and the variance between the positive and negative groups is maximized. Using the results from the LDA I then calculated the γ score for all genes which is a projection of the multidimensional data onto a one dimensional continuum. I can then pick a threshold χ score to divide the positive and negative groups, thus classifying the genes as either reproductively important (positive) or not (negative).

To do 10-fold cross validation, I first split the positive and negative training sets into 10 random subsets, then training the model using 9 of those subsets, leaving 1 subset for testing. I then plot the false positive rate using the remaining negative subset and the false negative rate using the remaining positive subset at the various likelihood cutoffs for each possible subset. The Receiver Operating Characteristic (ROC) curve is generated by plotting the average false positive rate against the false negative rate of the 10 models.

Human Infertility Gene Deletion Analysis

I obtained existing Copy Number Variant (CNV) calls from men assayed in my previous study of spermatogenic impairment²⁸. Using published, validated CNV calling pipelines, I generated new CNV calls from two female cohorts with extensive reproductive health history, GARNET and SHARE, both of which are components of the Women's Health Initiative (WHI), using data obtained, with permission, from the Database of Genotypes and Phenotypes (dbGAP, Bethesda (MD): National Center for Biotechnology Information, National Library of Medicine). I used the extensive health history data available on each WHI subject to construct a diagnosis that I believe approximates the clinical definition of primary ovarian insufficiency, resulting in a case/control classification for all WHI individuals.

I performed a series of case-control association tests, testing for association between CNV carrier status and disease status. Patients were defined as "positive" for CNV carrier status if the carry at least one CNV that results in meeting one the following criteria, depending on the analysis: gene disrupting, fertility gene disrupting, or sex-specific fertility gene disrupting, where disrupting means that the CNV is deleted, not duplicated. A patient was otherwise classified as "negative" for CNV carrier status. I then built a 2X2 contingency table for the case-control status and ran a Fisher's exact test.

I then picked the sex-specific fertility gene disrupting CNVs that were found only in the cases and not the controls and extracted the candidate genes from them for further analysis. The 3 genes that occurred more than once were discussed in the paper while the one-off genes were listed in a separately**.**

Note: All supplemental tables (Table S1-S31) can be found in the supplement of the paper: Ho, N. R. Y., Huang, N. & Conrad, D. F. Improved detection of disease-associated variation by sex-specific characterization and prediction of genes required for fertility. *Andrology* **3,** 1140– 1149 (2015).

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Figure 1.S1: Data distribution of all features for MGI reproductive training set in the mouse genome

The right plot shows the spread of the renormalized data when using positive and negative training sets. HI indicates positive training set genes and HS shows the distribution for negative control genes. The left bar plot shows how likely it is that the data came from the same distribution, where a higher –log10P signifies that it is more likely that the 2 features have different data distributions in the positive and negative training sets. The line in the left plot shows the percentage of the genome that the feature covers. The positive training set is the MGI reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S2: Data distribution of all features for MGI male reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI male specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S3: Data distribution of all features for MGI female reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI female specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S4: Data distribution of all features for reproductive training set in the human genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the human fertility gene set and the negative training set is the MGI null gene set for genes that are conserved between mice and humans.

Figure 1.S5: Data distribution of all features for male reproductive training set in the human genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the male specific reproductive gene set and the negative training set is the MGI null gene set for genes that are conserved between mice and humans.

Figure 1.S6: Data distribution of all features for female reproductive training set in the human genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the female specific reproductive gene set and the negative training set is the MGI null gene set for genes that are conserved between mice and humans.

The figure is laid out the same way as Figure S1. Here, the positive training set used is the nonobstructive azoospermia gene set and the negative training set is the MGI null gene set for genes that are conserved between mice and humans.

Figure 1.S8: Model performance benchmarks without PPI

Each figure shows the receiver operator curve for the LDA model classifying the test set genes correctly based on χ score cutoffs. Each LDA model has the PPI feature removed from the list of features used to generate the χ scores. The negative training set used is always MGI null genes. All MGI genes: Positive set is MGI male reproductive gene training set Female MGI genes: Positive set is MGI female reproductive gene training set Male MGI genes: Positive set is MGI reproductive gene training set Human Reproductive genes: Positive set is reproductive genes implicated by human GWAS studies

Figure 1.S9: Data distribution of all features for MGI abnormal female meiosis reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI abnormal female meiosis specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S10: Data distribution of all features for MGI abnormal endometrium morphology training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI abnormal endometrium morphology specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S11: Data distribution of all features for MGI decreased oocyte number reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI decreased oocyte number specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S12: Data distribution of all features for MGI abnormal ovulation cycle reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI abnormal ovulation cycle specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S13: Data distribution of all features for MGI abnormal ovulation reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI abnormal ovulation specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S14: Data distribution of all features for MGI male meiosis arrest reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI male meiosis arrest specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S15: Data distribution of all features for MGI azoospermia reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI azoospermia specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S16: Data distribution of all features for MGI oligozoospermia reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI oligozoospermia specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S17: Data distribution of all features for MGI male germ cell apoptosis reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI male germ cell apoptosis specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S18: Data distribution of all features for MGI abnormal spermiogenesis reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI abnormal spermiogenesis specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S19: Data distribution of all features for MGI sperm physiology reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI sperm physiology specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S20: Data distribution of all features for MGI teratozoospermia reproductive training set in the mouse genome

The figure is laid out the same way as Figure S1. Here, the positive training set used is the MGI teratozoospermia specific reproductive gene set and the negative training set is the MGI null gene set.

Figure 1.S21: Model performance benchmarks for mouse infertility subset phenotypes

On the top are the figures for the receiver operator curve for the LDA model classifying the test set genes correctly based on χ score cutoffs. The negative training set used is always MGI null genes. On the bottom are the precision recall curves for the same LDA models. On the left are the specific infertility phenotypes that affect females while the male specific infertility phenotypes are on the right.

Figure 1.S22: Examples of finding candidate infertility genes in patient CNVs

Each figure shows the scores for each gene in large CNVs found in a Nanjing azoospermia GWAS study. The top figure is a large deletion found in a control patient while the bottom three figures are for large deletions found in different case patients. This shows that while not every large CNV will have at least one potential candidate, in some CNVs there are candidate genes which are predicted to have a high likelihood of being causative for infertility. For example, MAPK3 in the second figure and LSS and S100B in the bottom figure.

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Experimental *in vivo* **genetics screen for spermatogenesis function**

Nicholas Rui Yuan Ho, Abul Usmani, Yan Yin, Liang Ma, Donald F Conrad

To be submitted

Chapter 2 - Introduction

Spermatogenesis requires the activation of many different pathways at various time points, varying from general processes like metabolism, cell cycle, meiosis, and transcription, to very specific functions like membrane capacitation and cell-cell recognition proteins $1,2$. Many of the pathways are even distinct from somatic cells despite having the same function³. Due to the complexity of this process, I expect that there should be numerous genetic defects that cause infertility. Pathogenic mutations that impair fertility are unlikely to be inherited (and thus recurrent), making it difficult to identify fertility genes via recurrent mutations in families. However as next generation sequencing becomes more affordable, Genome Wide Association Studies (GWAS) are helping to identify genes which are associated with infertility by finding recurrent mutations in unrelated individuals^{4,5}.

Unfortunately it is currently difficult to verify candidate fertility gene functions because the existing *in vitro* model systems for complete spermatogenesis are technically challenging to perform^{6–8}. Generation of knockout mouse models has thus been the most popular tool to verify candidate pathogenic genes. This has been translated to humans to advance our knowledge and develop treatments for human infertility. However, costs a few thousand dollars and between months to years to characterize a single gene by generating a knockout mouse line. As large scale genomic studies become more commonplace, the gap between implicated and verified fertility genes will only get larger if this remains as the verification method of choice.

To address this problem, I have developed a quick, simple, and inexpensive method to screen numerous genes simultaneously *in* vivo for spermatogenesis function. The basis for the method

lays in RNA interference (RNAi) screens, which are commonly used to efficiently elucidate gene function. This approach has been used *in vitro*^{9,10} in cell lines or *in vivo*^{11–16} in other tissues in mice to discover important genes for various different biological processes.

The process of *in vitro* RNAi screens is relatively simple; cultured cells are transfected with the miRNA or RNAi expression construct using a highly effective transfection reagent (e.g. chemical, viral) and treated cells are then selected for a trait of interest (e.g. survival, response to stimulus). Following that, the cells are harvested and the RNAi in the cells with and without the selection pressure are quantified to determine the differences between them. To produce reproducible results it is important to have a sufficient percentage of cells infected. *In vivo* transfection rates tend to be orders of magnitude lower than *in vitro* systems for the same transfection reagent¹⁷. This leads to a trade-off between the number of biological replicates and the cost of the transfection reagent. To avoid the issue of low transfection rates, some groups have transfected certain cells *in vitro* and then transplanted them into recipient mice and performed selections in the xenografted models $13-16$. Spermatogonial stem cells have been transplanted into sterile donor testes to restore fertility in various species^{18–20}. However this stem cell transplantation is a difficult technique to perform and there is a low number of unique stem cells that actually successfully transplant per mouse. This creates a bottleneck that makes cell transplantation inappropriate for a screening study, since screening relies on having a large number of independent transfection events in order to produce statistically significant results. Recently, a small number of studies have shown that one can use viruses to transfect mouse tissues *in vivo* with a sufficiently high transfection rate for multiplex selection *in vivo*^{11,12}.

Intrigued by this concept, I adapted a recently developed approach to transfect the germ cells in the testis²¹ to render it compatible with linear DNA libraries expressing small hairpin RNA (shRNA) **(Figures 2.1a, 2.1b)**. The technique uses a buffered salt solution to generate an osmotic gradient which drives water and the dissolved DNA into the germ cells of the testis at a reasonably high rate. A similar approach using electroporation has been shown to work for a single shRNA in spermatogenesis 22 .

I demonstrate the feasibility of using this low cost transfection method in mouse testes to screen multiple genes simultaneously for functional importance in spermatogenesis. By carefully designing the pilot study, I was also able to benchmark this system to prove the importance of large numbers of biological replicates and quantify the limits of this system. I also applied this method to establish the functional importance of twenty six uncharacterized genes that I previously predicted to be important for infertility via machine learning²³.

Figure 2.1a: Overview of Experimental approach (Transfection and Selection)

Figure 2.1b: Overview of Experimental approach (Sequencing)

Chapter 2 - Results

Pilot shRNA screen

As a proof-of-concept for this technique, we designed a pilot shRNA pool targeting 4 classes of genes: i) sixteen genes that have been shown to cause assorted sperm development problems when knocked out (BAX, CSF, KIT, PIN1, CPEB1, GNPAT, MLH3, SPO11, CIB1, MAP7, PYGO2, TBPL1, SH2B1, TSN, SIRT1 & VDAC3); ii) five genes that have been characterized in knockout mice but have not been linked to spermatogenesis defects (MMP3, SYT4, TFF3, TNFSF4, TYRP1); iii) three genes that have no knockout mice made and are not expressed in mouse testis (APOC4, LCE1I, SCRG1); and iv) one gene that causes spermatogenesis failure when overexpressed but not reported to affect spermatogenesis when knocked out (VAMP7) **(Table 2.1a)**. Our pool contained one hundred and nineteen unique RNAi with a mode of five RNAi per targeted gene.

Instead of miRNA, I used small hairpin RNA (shRNA) expressing DNA sequences to induce knockdown of genes. By integrating the expression cassette into the genome, I got stable expression of the RNAi construct in transfected cells. I experimented with two different transfection methods, Tris-HCl with naked DNA and lentiviral infection. A single injection of a high titer (10⁹ Tu/ml) lentivirus produced a testis with a higher infection rate than an injection of Tris-HCl with 15µg of DNA. However, the single injection of lentivirus produced an infection rate was lower than five injections of the Tris-HCl DNA **(Table 2.S1)**. Due to the lower infection rate, I observed low reproducibility and more dropout of shRNA samples in the single DNA and viral injection testes samples compared to the five DNA injection testes **(Data not shown)**. Since the cost of performing five DNA injections is significantly lower than even one high titer lentivirus injection (about \$50 versus \$250), I decided to proceed with that method rather than attempt multiple lentiviral injections.

Table 2.1a: Pilot shRNA pool screen effect sizes and p values (testis)

A combined survival/differentiation selection pressure was applied on the germ cells in the testis by waiting for a sufficient length of time (20 days or slightly longer than half of a murine spermatogenesis cycle) after transfection before quantification. I assumed that any DNA injected into a mouse testis would not transfect the other testis because it would first have to pass through a large part of the rest of the body via the circulatory system. Indeed, the Pearson correlation of the shRNA pools between different testes in the same mouse was not significantly different than between testes from different mice. This allowed us to use the two testes in a mouse as different biological replicates or even to test different shRNA pools, halving the mouse requirements for our experiments.

To analyze the data, I used a non-parametric Wilcoxon Rank Sum Test. I expected that many shRNAs would be non-functional, but some of the shRNAs against important spermatogenesis genes would be effective and knockdown expression, causing the cell to either arrest developmentally or undergo apoptosis. Those shRNAs would be depleted in the testis relative to non-functional shRNAs. Naively, you would compare the fold change of the shRNA across biological replicates and compare it to the overall population fold changes. However, that would rely on the ratio of effective shRNAs to the non-functional shRNAs to remain low. I instead spiked in a small number of negative control shRNAs into the pool to act as our miner's canary; I compared the fold change of any shRNA against only the negative control shRNA fold changes. Due to the low transfection efficiency (1-3%) **(Table S1)**, multiplicity of infection, a common issue in RNAi screens, was not a worry in the analysis.
Of the sixteen genes that have been reported to cause sperm development problems when knocked out in mice, twelve of them had at least two shRNAs be significantly depleted ($P \leq$ 0.01) in the testis compared to the injected pool. This produces a 25% false negative rate (4/16). One of the eight negative control genes [groups (ii) and (iii)], TNFSF4 (moderately expressed in the testis), also passed our screen, producing a false positive rate of 12.5% (1/8). **(Figure 2.2)**

Testis (9 biological replicates) single shRNA cutoff : $p \le 0.01$

Figure 2.2: Pilot shRNA pool screens results

Each cell shows the log² fold change of a shRNA against the target gene on the right from what was injected until after incubation in the mouse testis. Each row is arranged in ascending order based on the log² fold change. If a cell is shaded, it means that it passes the significance threshold, which is annotated below the respective figure. Cells are shaded orange for significant depletion and white for significant enrichment. Predicted gene function on different stages of spermatogenesis is annotated to the left using a color bar.

VAMP7, has been shown to cause spermatogenic failure when overexpressed in mice²⁴, and the two studies examining the knockout mouse focused more on behavior and neurons than the reproductive system^{25,26}. Because of these lines of evidence, I thought it was possible that VAMP7 might also cause subfertility when knocked out in mice. VAMP7 did pass our knockdown screen, providing strong evidence for that hypothesis.

Our criterion of requiring any given gene to have two different shRNAs to be significantly depleted might limit how low the false negative rate can go, since if a given gene has only one effective shRNA in the pool it can never pass. Indeed if we look at the false negatives in our pool, all of them (BAX, MTAP7, and SH2B1) simply had only one shRNA that was depleted (albeit very strongly). However, if we were to remove this requirement, our false positive rate would drastically increase to 50% (4/8). Since this is a method for large scale screening, missing some true positives is preferable to implicating excess false positives. Another way of resolving this issue may be to increase the average number of shRNAs per gene (5) that is used in the pool.

One drawback of this approach is that both genes that are important for spermatogenesis and genes that are required for cell survival will be highlighted. By transfecting the same shRNA pool into an unrelated cell line and performing a survival screen on the cells, one should be able to highlight only genes needed for survival. A simple elimination filter on the original highlighted group of genes will then produce a list of genes that only affect spermatogenesis.

I transfected three separate wells of Neuro-2a cells (N2a), prepared sequencing libraries, and analyzed the data through the same pipeline as the testis samples **(Methods)**. The normalized read counts of the shRNA pool before and after the transfection and incubation was not well correlated with the testis read counts **(Figure 2.3)**. Furthermore none of the shRNAs that were significantly depleted in the testes samples were also significantly depleted in the cell line

(Figure 2.2). I thus concluded that the genes with multiple shRNAs depleted in testes affect spermatogenesis and not survival.

PCA of pilot pool data

Pilot shRNA pool (Spearman Correlations)

	Testis	N ₂ a cells
Testis	$0.6639 - 0.9628$	$-0.1940 - 0.8340$
N ₂ a cells	$-0.1940 - 0.8340$	$0.7393 - 0.9238$

Figure 2.3: Clustering and correlations fold changes of the shRNAs across testes and cell lines

Benchmarking the performance

The first question with RNAi screening experimental designs is how many biological replicates to use. Increasing the number of biological replicates enables the discovery of genes with subtler effects, but there must be a point of diminishing returns for any given effect size, where more biological replicates do not significantly increase discovery power. I found that with more stringent significance thresholds and less biological replicates, the minimum detectable effect size decreased. For a lenient (P \leq 0.1), standard (P \leq 0.05), and stringent(P \leq 0.01) p value thresholds there was little improvement in detectable effect size beyond 3-4, 4-5, and 6-7 biological replicates respectively **(Figure 2.4)**. In order to reduce the chance of off-target effects, I required any given gene to have two different significantly depleted shRNAs before the gene was determined to have a spermatogenesis function. Thus, the P value for the lenient cutoff was really 0.01 (0.1²) and the stringent cutoff was 0.0001 (0.01²) (each shRNA works independently of each other in different cells, so the likelihood of two of them being depleted is the square of the cutoff).

*Figure A shows the effect of biological replicates on minimum detectable shRNA fold change. The yellow line plots the median minimum log² fold change that was observed by any shRNA that passed the p value threshold of p ≤ 0.1 in the pilot pool for varying amounts of biological replicates. In the lighter shaded area it shows 1 standard deviation from that observed minimum log*₂ *fold change. In purple shows a similar plot for* $p \le 0.05$ *and in blue shows the plot for* $p \le 0.05$ *0.01. Note that the blue starts at 3 biological replicates because there were no observations that passed that threshold before then. B shows the p value cutoffs for varying number of biological replicatesIn red there is the highest p-value (non-inclusive) that can be used to maintain the false positive rate, in blue is the lowest p-value (inclusive) that can be used to maintain the false negative rate. The shaded area behind each line represents the standard deviation for the cutoff values.*

Another reason to use more biological replicates is to minimize the false positives and false negatives. Among the significantly depleted shRNAs, I determined the largest p value of a called gene and the lowest p value from an uncalled gene with varying numbers of biological replicates **(Figure 2.4)**. The gap between the two values is an indicator of the likelihood of obtaining more false positives and negatives; a larger gap indicating a lower likelihood. Six replicates was the minimum number to avoid any overlap between the two p-value cutoffs within 1 standard deviation.

Based on these analyses, I determined that 6-7 biological replicates were the optimal amount. It was possible to use fewer biological replicates, but less stringent criteria needed to be applied. Importantly, the fold change of each shRNA remained consistent across the biological replicates, but increasing the biological replicates allowed us to call smaller fold enrichment scores as significant. Using fewer biological replicates also sometimes slightly increased the number of false positives and/or negatives (depending on the combination of replicates used).

Screening for uncharacterized predicted fertility genes

Next I implemented this screening technique for the top candidate spermatogenesis genes that I had previously identified²³ (Methods). In this new screen I used a pool comprising of one hundred and thirty shRNAs against twenty-six candidate genes and fifteen of the previously validated negative control shRNAs. Of the twenty-six candidate genes, there were four genes which are expressed early in spermatogenesis (ALPI, POLA1, RFC1, RRM1), fifteen genes which start expression in the middle of spermatogenesis (CRISP2, GSTM5, HRASLS5, KLHDC3, LDHAL6B, PGAM2, PHF7, PHKG2, RFC2, SFI1, SPATA4, TAF9, TCP1, TCP11, ZMYND10), and seven genes which are only expressed late in spermatogenesis (4933411K16Rik, ACTL7B, GSG1, MEA1, SPA17, SPZ1, UGT1A1) **(Table 2.1b)**. I visualized the functional relationships of these genes with other known spermatogenesis genes **(Figure**

2.S2).

Table 2.1b: Predicted genes' shRNA pool screen effect sizes and p values (testis)

Similar to the previous pool, we also transfected this pool into N2a cells to eliminate the possibility that these genes are required for general cell survival. Only two genes (MEA1 and TAF9) had at least two shRNAs that were significantly depleted $(P \le 0.01)$ (**Figure 2.5**). Overall, the normalized read counts of the shRNA pool in N2a cells were not well correlated with the testis read counts **(Figure 2.6)**. Given this, we concluded that most of the genes with multiple shRNAs depleted in testes (24/26) affect spermatogenesis and not survival.

Figure 2.5: Predicted genes' shRNA pool screens results *See Figure 2.3 for plot explanation*

Given that I tested the top candidates in a list of close to a thousand, it is not too surprising that most of the candidate genes passed the screen. Furthermore, most of the genes are predicted to have functions that are closely related to meiosis. Seven genes are thought to be involved in cell cycle (ACTL7B, KLHDC3, POLA1, RFC2, RFC4, RRM1, SFI1), seven in metabolism (ALPI, GSTM5, HRASLS5, LDHAL6B, PGAM2, PHKG2, UGT1A1), three transcription factors

(OHF7, SPZ1, ZMYND10), two protein folding (TCP1, TCP11), one cell binding in sperm (SPA17), and one involved in capacitation of the membrane (CRISP2).

PCA of predicted genes pool data

Principal Component 1

Predicted genes shRNA pool (Spearman Correlations)

	Testis	N ₂ a cells
Testis	$0.5493 - 0.9555$	$-0.1915 - 0.6617$
N ₂ a cells	$-0.1915 - 0.6617$	$0.9593 - 0.9848$

Figure 2.6: Clustering and correlations fold changes of the shRNAs across testes and cell lines

I performed a functional pathway analysis of these genes and found four functional networks. All twenty five tested genes were linked to the twenty associated genes in the co-expressed network, which tests for similar expression levels across different conditions in various published Gene Expression Omnibus (GEO) datasets. In the predicted network, which uses protein interactions and orthologous functional relationships from other organisms I found three isolated networks. The largest network links sixteen of the tested genes with seventeen other genes, fourteen of which are annotated with GO terms related to sperm function. The next largest network groups six of the genes together. Four of the genes were not functionally associated with any other sperm function gene. The co-localized network identifies genes expressed in the same tissue. This produced a large network linking thirteen of the tested genes with fifteen associated genes and two smaller networks linking three and two tested genes respectively. Lastly I had the shared protein domain network which links genes if their product has a common protein domain. This was the sparsest network with three tested genes linked to each other and two tested genes linked to one spermatogenesis associated gene each. **(Figure S2)**.

Chapter 2 - Discussion

The performance of our direct *in vivo* screen is comparable to other benchmarked RNAi screens performed in other model systems. Our false negative rate of 25% is up to the standards of various studies benchmarking RNAi screens for different pathways in *drosophila melanogaster*²⁷–³⁰ where it was reported to be between 13% and 50%. Our false positive rate of 12.5% also compares favorably to the same study³⁰ which found their false positive rates to be between 7% and 18%.

Over half (65/121) the shRNAs in the pilot pool and about a third of the shRNAs (48/145) in the predicted gene pool were reported by Sigma-Aldrich to be validated in various cell lines **(Supplemental Table 2.1 & 2.2)**. There was at least one validated shRNA for 72% (18/25) of the pilot pool genes and 45% (13/29) of the predicted pool genes. While not all the validated shRNAs were consistently significantly depleted in the two studies, many of them were, giving us confidence that the signal I observed was not caused by off-target effects.

Going forward, I think that it will be important to create a method to verify spermatogenesis genes without having to make knockout mice. I am working on adapting the transfection protocol to accomplish this. I am also interested in looking at the functions of the twenty one genes identified by this screen, especially the three genes of unknown molecular function (4933411K16Rik, GSG1, and SPATA4). These genes could work in novel pathways, providing new insights about spermatogenesis.

I was extremely conservative with the multiplexity of the pool in this study. In order to produce reproducible signals I ensured that the number of cells was orders of magnitude larger than the number of shRNAs. In this manner I could be confident that no shRNA would be underrepresented in the final pool due to transfection efficiency. Even with the relatively low transfection rate, I was able to get consistent signals using pools consisting of up to approximately 150 shRNAs. It required about 2% of the total genomic DNA extracted from a testis to make a library and MiSeq to sequence the libraries. It is conceivable to scale up the number of shRNAs in the pool up to ten times without changing any other parameters other than using ten times more of the genomic DNA to make sequencing libraries and changing to the HiSeq system for sequencing which will produce ten times more sequencing reads in one sequencing lane. Taking advantage of this increase in throughput, one could both increase the number of genes screened and increase the number of shRNAs used per gene to potentially reduce the false negative rate.

Figure 2.7: Technical and biological noise in the shRNA pools

This visualizes standard error as a proportion of the median normalized count values of each shRNA within each sample in box plot form. In blue are the errors for libraries prepared from the PCR product injected into the testes. Due to the large numbers of shRNA, this is a good quantification of the technical noise. In red are the errors for the median counts across all testes samples, a measure for the biological noise. In purple are the errors within a testis sample, measuring the sum of the biological and technical noise.

To make this protocol accessible I used a total of 31 cycles of PCR to prepare the sequencing libraries. This produced nearly two orders of magnitude more material than was required for sequencing on one Illumina lane, raising concerns about overamplification. However I found that the technical noise inherent in each sample was within the same scale as the noise between biological samples **(Figure 2.7)**, meaning it does not cause too much problem in the downstream analyses. If technical noise is a concern, it is possible to reduce the number of PCR cycles in the first step of library preparation and still have sufficient amounts of DNA for sequencing. However a more sensitive method of DNA quantification such as a Bioanalyzer chip will be required in that case.

I made an attempt to decipher the functional effects of the genes via this same technique by using cell stage and functional separation (FACS and sperm motility assays respectively). Unfortunately because the number of cells I could retrieve in this manner was limited, I was unable to prepare sequencing libraries from the subpopulations. If I could transfect a majority (60-80%) of the cells (perhaps by multiple lentivirus injections), or if it was possible to sort and retrieve large numbers of cells (10s of millions), direct functional assays using an RNAi pool may be possible. More technically challenging protocols such as efferent duct injection or invitro organogenesis may be required to enable such future experiments.

For other groups intending to use this technique, I would like to provide some words of caution. Firstly, the fold changes are a relative measure of the strength of the RNAi relative to each other RNAi in the pool. Furthermore, fold change convolutes gene functional effect and RNAi knockdown efficiency. As such, I would advise against using the extent of the fold change of a RNAi to rank gene functional effects. Finally, the analysis I used requires some known negative controls spiked into the pool. I recommend using the ones against the 7 negative control genes that did not produce false positives in the pilot pool. Our experimental protocol (**Supplementary Protocol 2.1, 2.2**) and analysis pipeline are provided to make it easy for any other interested groups to try this technique on shRNA pools of their own design against genes of their interest. Based on our benchmarks, I would recommend that they use at least 6-7 biological replicates for good confidence in their results.

Via the traditional method of making knockout mice to validate gene function, it would have been unreasonably time consuming and expensive to test all twenty-six candidate genes, despite them being the very top candidates in a list of near a thousand genes. Using our screening technique I was able to quickly produce experimental evidence for their functional effect. I expect this technique to be useful to help narrow down the large lists of genes that will be generated from large scale exome studies of infertility that are currently underway.

Chapter 2 - Methods

Gene Selection

For the initial pool, I used data from the Mouse Genome Database³¹ from Jackson Labs (MGI) to create a list of genes that affect the male reproductive system when knocked out. I then used a list of genes that have been knocked out and not reported to cause any male reproductive defects to use as negative controls.

For the predicted spermatogenesis gene pool, picked the top 30 candidates from each of the mouse predicted infertility gene models²³ and filtered it to keep only the genes that were not reported in MGI to have any knockout mice line made. I then used shRNAs against three of the known negative genes from the pilot pools together with two scrambled non-mammalian sequences to use as negative controls.

shRNA Pool Preparation

I used RNAi from the MISSION® TRC-Mm 1.5 and 2.0 (Mouse) obtained from Sigma-Aldrich **(Supplemental Table 2.1 & 2.2)** ordered as shRNA plasmids. The shRNA expression cassette from the plasmids was amplified from the plasmid pool by PCR and purified using AMPure XP beads **(Supplementary Protocol 2.2)**. These purified amplicons were pooled and then used for injection into mouse testis and transfection into cell lines. An aliquot of this mixture was sequenced on MiSeq to determine initial shRNA pool composition.

Mouse Testis Transfection

I performed the experiments using C57BL/6 mice generated in house between 28-32 days of age. All mice were maintained under pathogen-free conditions and all animal experiments were approved by Washington University's Animal Studies Committee. Each mouse received bilateral intra-testicular DNA injections five times, spaced 3-4 days apart **(Supplementary Protocol 2.1)**. Following the injections, the mice were allowed to recover until 20 days after the third injection, when testes were dissected. Genomic DNA from the whole testis was extracted using Qiagen DNeasy Blood and Tissue kit.

Cell Line Transfection

N2a cells were maintained in Minimum Essential Media (MEM) supplemented with 10% Fetal Bovine Serum (FBS) until they reached 60% confluency in 6 well cell culture plates. Each well of cells was transfected with 2.5µg shRNA pool DNA using Lipofectamine® 3000 (Life Technologies) following manufacturer's instructions. The cells were then incubated at 37°C for 2 days, with daily media replacement, before the genomic DNA was extracted using the Qiagen DNeasy Blood and Tissue Kit.

Illumina Sequencing Library Preparation

I used a custom protocol to amplify the shRNA sequences in the genomic DNA samples **(Supplementary Protocol 2.2)**. This protocol used 2 rounds of PCR amplification to prepare the sequencing library instead of ligation followed by PCR amplification. I started with 2µg of genomic DNA to survey the genomes of enough cells in order to reduce the likelihood of dropout or PCR jackpotting; common artifacts when testing low numbers of cells.

Each biological sample had between three to five separate sequencing libraries prepared with different indices using different aliquots of genomic DNA to quantify technical noise. Sequencing libraries were then pooled and run in a lane of Illumina MiSeq 2x150bp to obtain an average of at least 3,000 reads per unique shRNA in the library.

Statistical Analysis

Mapping of reads to shRNAs was done by aligning each read to the unique half of the hairpin sequence with no mismatches. A table of read counts for each shRNA was generated to determine significant enrichment/depletion. There was no significant difference in the counts between paired-end reads when they were mapped separately. I minimized technical noise by using the median value of technical replicates as the true count for each shRNA.

To determine significant depletion/enrichment of shRNAs, I used a custom R script. I started by normalizing the shRNA count data to number of reads per shRNA per million reads in the sequencing library. I then calculated the log 2 fold enrichment of each shRNA in the testis relative to the initial DNA pool. The fold changes of different experiments using the same shRNA pool design were always normalized to the sequencing counts of the actual injected material. These fold changes were then merged to produce more biological replicates for a given shRNA pool design. Finally, I performed a Wilcoxon Rank Sum Test for each shRNAs' fold enrichment across biological replicates against the fold enrichment of shRNAs against genes which are not known to affect spermatogenesis to calculate the likelihood that the shRNA was significantly depleted or enriched compared to the null. Any shRNA that had a p value smaller than the cutoff was determined to be significant.

Network Analysis

I used Cytoscape³² with the GeneMANIA plugin³³ with the default settings to visualize the functional network of the tested predicted genes in the supplement.

Supplementary Protocol 2.1: Mouse Testis DNA transfection

Materials Needed:

- 1M Tris-Hcl pH7.0
- Pure, DNase and RNase free water
- DNA to be injected
- Anasthesia*
- 29 gauge Insulin Needle (Terumo: SS10M2913)
- 701N Syringe, Cemented Needle, 26s Gauge (Hamilton: 80300)
- 70% Ethanol (denatured is fine)
- 100% pure Ethanol
- **•** Kimwipes

Step 1: Prepare the DNA mixture

If using linear DNA

Dilute the DNA in Tris-Hcl and water to get 15µg of DNA in 20µl of **150mM** Tris-HCL pH 7.0 *If using circular DNA*

Dilute the DNA in Tris-Hcl and water to get 15µg of DNA in 20µl of **125mM** Tris-HCL pH 7.0

Step 2: Anesthetize the mouse

I used a mixture of *(final concentrations)* Ketamine (10mg/ml), Xylazine (1mg/ml), and Glycopyrrolate (2µg/ml) diluted in sterile PBS. This was injected intraperitoneally using a 29 gauge needle, with 10µl of anesthesia used per 1g of mouse body weight.

Alternative general anesthesia methods such as isoflurane can also be used

Step 3: Injection of material

First, wipe down the inferior torso (where the testis are) with 70% ethanol and a kimwipe. (This lattens the fur and prevents it from interfering with the injection.

Nest, feel for one of the mouse testis and get a good grip on it between your fingers.

Using the 701N syringe, pipette 10µl of the DNA mixture form step 1 and inject it slowly through the skin into the anterior end of the testis. (This should take between 30s to 60s to finish injecting. I find that slower rates of injection lead to better transfection rates) Repeat this with the other 10µl using the same syringe into the posterior end of the testis.

You can repeat the same procedure for the other testis.

Following Injection, tap the testes gently about ten times with your finger to ensure that the DNA mixture is spread throughout the testis.

At the end, clean the syringe by pulling up 100% ethanol through it three times and wiping down the needle using a kimwipe and 100% ethanol.

To prevent contamination, I like to use different 701N syringes for different DNA mixtures. However, the same syringe can be used on multiple different testes if you are using an identical DNA mixture for all of them.

I spaced the injections 3-4 days apart (twice a week) to allow the testis to heal from the prior injection.

Supplementary Protocol 2.2: shRNA pool DNA Preparation (For Injection)

Materials Needed:

- Q5 Hot Start DNA polymerase* [NEB: M0493L]
- 5M Betaine [Sigma-Aldrich: B0300-1VL/ B0300-5VL]
- 10mM dNTPs [Promega: U1511/U1515]
- shRNA pool [Sigma-Aldrich: Mission® shRNA Library]
- PCR Primers: *(5' to 3')*

- Ampure XP beads [Beckman Coulter: A63880/A63881/A63882]
- 70% Ethanol
- Magnetic separation rack for 1.5ml microcentrifuge tubes

**(I have found Q5 to be more sensitive and specific than Taq or Phusion, and this improvement is necessary for amplifying from low amounts of sample.)*

Step 1: Mix shRNA pool

I ordered shRNAs in plasmid form in the 96-well plate format. Taking 5µl of the plasmid each shRNA I wanted in the pool I made a pool of over a hundred shRNAs at a final concentration of around 20ng/µl. This was mixed via a 10 second vortex and spun down briefly to collect all the liquid at the bottom of the tube.

Step 2: PCR Amplification of shRNA pool

Each PCR reaction produces ~ 3-4µg of DNA, meaning around 20 reactions are needed for enough material for 1 testis (15µg/injection X 5 injections = 75µg).

Per reaction, mix:

Expected product size is 343bp

Step 3: Clean-up of PCR products

(Adapted from AMpure XP manufacturer's protocol)

- 1. Pool up to 10 reactions in a 1.5ml microcentrifuge tube.
- 2. Add 1.8X volume of AMpureXP beads to the mixture (i.e. 900ul beads for a 500ul reaction mix) and incubate for 5 minutes at room temperature
- 3. Place tubes in the magnetic separation rack for at least 1 minute.
- 4. Without disturbing the beads on the side of the tube, pipette out the liquid leaving up to 20µl behind.
- 5. While still on the rack, add 1ml of 70% ethanol to each tube to wash the beads.
- 6. Incubate for 1 minute at room temperature.
- 7. Pipette out all the liquid from each tube.
- 8. Repeat Steps 5 to 7.
- 9. Air dry the beads for 2 minutes at room temperature
- 10. Remove tubes from rack and add 200µl of water/elution buffer to the beads, pipetting up and down to ensure all the beads are suspended. Sequentially take the same 200µl bead/water mixture and pipette into the other tubes, until there are 6 tubes worth of beads suspended in the water.
- 11. Incubate at room temperature for a minimum of 5 minutes.
- 12. Place tubes in magnetic separation rack and let it sit for 5 minutes.
- 13. Without disturbing the beads on the side of the tube, pipette out the 200µl of liquid and put into a new tube.
- 14. Quantify the concentration of DNA using a spectrophotometer.

Supplementary Protocol 2.2: shRNA pool Sequencing Library Preparation

Materials Needed:

- Q5 Hot Start DNA polymerase* [NEB: M0493L]
- 5M Betaine [Sigma-Aldrich: B0300-1VL/ B0300-5VL]
- 10mM dNTPs [Promega: U1511/U1515]
- DNA *(input/genomic)*
- PCR Primers: *(5' to 3')*

(Step 1 PCR primers)

Note: NNNN stands for four and NNNNNN stands for six random nucleotides. These are a mixture of 25% of each base and are required to avoid QC errors for Illumina sequencing since the library has a low complexity at the non-shRNA regions.

(Step 2 PCR primers)

Note: XXXXXXXX stands for the reverse complement of the index sequence used for multiplexing. This can be between 6-8 base pairs in length. Different R primers can be ordered and used with the F primer. If double indexing, add the reverse complement of the second index to the space in the F primer.

- (Optional) Qiagen Minelute Kit [Qiagen: 28004]
- Ampure XP beads [Beckman Coulter: A63880/A63881/A63882]
- 70% Ethanol
- 96-well magnetic separation plate

**(I have found Q5 to be more sensitive and specific than Taq or Phusion, and this improvement is necessary for amplifying from low amounts of sample.)*

**Note: If amplifying from input DNA pool, use ~100ng of sample. Expected product size is 196bp*

(Optional) Step 2: Purification of DNA sample

This step removes excess primers which can inhibit the PCR reaction in step 3 Use the Qiagen Minelute kit with the manufacturer's protocol to purify, eluting the sample in 10µl of EB.

Alternative: Use the AMpure XP beads to purify the sample similar to step 4, scaling the volume of beads added to the 25µl PCR reaction volume (45µl of beads) and eluting in 20µl of water/elution buffer.

Step 3: Illumina Library Preparation using PCR

**Note: If planning to run different samples in the same sequencing lane, ensure that the F and R primer combination of indices are different for each sample so that you can demultiplex during analysis.*

If skipping Step 2, X should be half of the volume of the step 1 reaction (12.5µl) Expected product size is 260bp

Step 4: Illumina Sequencing Library Cleanup

(Adapted from AMpure XP manufacturer's protocol)

- 1. Add 1.8X volume of AMpureXP beads to each reaction (i.e. 90µl beads for a 50µl reaction) and incubate for 5 minutes at room temperature
- 2. Place tubes in the magnetic separation rack for at least 1 minute.
- 3. Without disturbing the beads on the side of the tube, pipette out the liquid leaving up to 20µl behind.
- 4. While still on the rack, add 200µl of 70% ethanol to each tube to wash the beads.
- 5. Incubate for 1 minute at room temperature.
- 6. Pipette out all the liquid from each tube.
- 7. Repeat Steps 4 to 6.
- 8. Air dry the beads for 2 minutes at room temperature
- 9. Remove tubes from rack and add 40µl of water/elution buffer to the beads, pipetting up and down to ensure all the beads are suspended.
- 10. Incubate at room temperature for a minimum of 5 minutes.
- 11. Place tubes in magnetic separation rack and let it sit for 5 minutes.
- 12. Without disturbing the beads on the side of the tube, pipette out the 40µl of liquid and put into a new tube.
- 13. Quantify the concentration of DNA using a spectrophotometer.

**Note: Different samples can be pooled to produce a pool withan equal amount of DNA per sample and run on one Illumina HiSeq/MiSeq sequencing lane if they are uniquely indexed.*

Figure 2.S1: Standard curve for Actin and shRNA qPCR primers

Slope for the actin curve (green) is -3.797 with an R² of 0.998, corresponding to 83.374% primer efficiency.

Slope for the shRNA curve (red) is -3.691 with an R² of 0.996, corresponding to 86.614% primer efficiency.

Table 2.S1: Infection Rates for various injection conditions

Each sample was prepared using at least 3 qPCR replicates for each target (Actin, shRNA). The formula to calculate the transfection rate was

$$
-1\\
$$

Actin Primer efficiency

 2 shRNA cycle count – Actin cycle count \times

shRNA Primer efficiency

Assuming each cell has 1 copy of actin (haploid germ cell), this should provide the transfection rate of the shRNA in the test.

The black diamond nodes are genes that I tested in the predicted shRNA pool while gray circle nodes are genes predicted to be functionally related. Red gene names mean that the shRNA are annotated with GO terms that are related to sperm function, while black gene names have no such annotation. The four figures with identical nodes but different color lines indicate which GeneMANIA mouse network links the genes.

Supplemental Table 2.1: shRNA pool design for pilot pool

Supplemental Table 2.2: shRNA pool design for predicted genes' pool

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Genetic engineering to rescue fertility

Nicholas Rui Yuan Ho, Abul Usmani, Michael Rieger, Nathan Kopp, Prabagaran

Esaky, Masato Hoshi, Joseph Dougherty, Donald F Conrad

Chapter 3 - Introduction

While identification of fertility genes is important, the eventual goal of reproductive research is to fix the defects and restore fertility in patients. I envision two approaches to accomplish this goal. The first naïve, method is to deliver a plasmid expressing a transgene copy of the defective gene into the germ cells. The alternative approach is to fix the defective copy of the gene in the genome of the germ cells.

Transgenic gene expression works by placing a copy of a gene (without introns) downstream of a ubiquitous promoter (e.g. CMV) and integrating it into the genome of a cell in the hope that this will compensate for the defective endogenous gene. Breeding a transgenic mouse with a knockout mouse has successfully rescued spermatogenesis in their progeny^{1–3}. Direct delivery of a transgene expression construct has also successfully improved spermatogenesis in knockout mice^{4–6}, but there have been many more reports about such methods inhibiting spermatogenesis instead^{$7-10$}.

Given the possibility of transgene delivery backfiring, I also explored the possibility of fixing the endogenous "broken" copy of the gene. CRISPR/cas9 is the most promising system at present. It uses a bacterial protein (cas9) to make double stranded DNA breaks based on a 26 base pair sequence targeting RNA. The endogenous mammalian DNA repair mechanisms then randomly repair the break by inserting or deleting random base pairs. If a homologous sequence is present, it will instead repair the broken DNA using the homolog as a template. By directly injecting the cas9 mRNA, targeting RNA, and single stranded homologous DNA into the nucleus of mouse embryos, you can make transgenic mice with relatively high efficiency 11,12 .

In this chapter, I will present the work I have done to adapt the direct DNA delivery technique described in the previous chapter to work with these two approaches. To perform transgene delivery, I selected mlh3 as the gene to study because there was an available knockout mouse that had been shown to have completely arrested spermatogenesis. I transfected the knockout and wild-type mouse testes with an expression plasmid that had a cDNA copy of mlh3 cloned into it. This was sufficient to determine the ability of transgene delivery to rescue spermatogenesis or if it had deleterious effects instead. For endogenous gene correction I used the all-in-one plasmid pioneered by Feng Zhang's group¹¹ to determine if it would be sufficient to cause double stranded breaks in the male germ cells, the first step in correcting defecting genes.

Chapter 3 - Results

Transgene delivery

50 Days 3 Days *Figure 3.2: Expression of eGFP in testis after injection*

I injected a plasmid expressing mlh3 and eGFP into the testis of mlh3 lnockout mice and WT C57Bl6 mice **(Figure 3.1)** (I used GFP as a marker for mlh3 expression). While GFP expression in WT testis peaked 3 days after the injection, the expression rapidly decreased, becoming almost undetectable after 50 days **(Figure 3.2)**. The injected mlh3 knockout mice were bred with WT female mice to see if any offspring could be produced. However, after 6 months of breeding there were no offspring. This data suggests that the plasmid was not effective at rescuing spermatogenesis.

Figure 3.3: Morphology of testis after mlh3 expression plasmid injection *The top row show the eGFP expression for the testis while the bottom row shows the hematoxylin and Eosin staining for the same sample.*

To explain why this would be the case I performed histology of the WT testis after plasmid injection at different time points. We found that eGFP expression was almost undetectable 10 days after the injection and the morphology of the testis indicated severe impairment of spermatogenesis **(Figure 3.3)**. This data seems to suggest that overexpression of mlh3 actually has a detrimental effect on spermatogenesis.

Endogenous gene repair

Since my attempts at spermatogenesis rescue by transgene expression had failed, I worked on an alternative approach to rescue spermatogenesis instead. I hoped to accomplish this by 'fixing' the deleterious mutation in the affected gene to the wild-type sequence using the CRISPR/cas9 system developed by Feng Zhang **(Figure 3.4)**.

Figure 3.5: Immunofluorescence of cas9 plasmid injected testes *Blue is DAPI stain, Green is eGFP (top two rows) or cas9 (bottom row) antibody*

The first benchmark we used was to detect if cas9 could be delivered and consistently expressed over a prolonged period. We were able to detect eGFP and cas9 expression even three weeks after one injection of the plasmid into wild type mouse testes **(Figure 3.5)**. Between 10%-30% of the tubules showed evidence of cas9/GFP expression.

I then made four small guide RNA constructs against tyr (tyrosinase precursor), a gene when knocked out in mice produces a white coat color phenotype (v.s. normal black color). I called them tyr136, tyr184, tyr211 and tyr237 small guide RNAs after the nucleotide position in the gene where it targets. These guide RNAs were cloned into the cas9 plasmid and the constructs were injected into WT mouse testis which were then bred with female tyr KO mice from Jackson labs.

Since no white offspring were produced **(Table 3.1)**, I considered two possible explanations; either the four small guide RNA constructs are not effective or that it could be creating mutations at such a low rate that the breeding study was insufficient to detect the changes. To resolve this question I transfected the same constructs into N2a cell lines and WT mouse testes and used their genomic DNA to prepare a deep sequencing library across the tyr locus. I grouped substitutions, insertions, and deletions as mutations when calculating the mutation rate.

Table 3.1: Offspring coat colors from the injected WT X tyr KO cross *Black/White offspring indicates that the directed mutation failed or succeeded respectively.*

Figure 3.6: Mutation rate of tyr constructs in N2a cell line and mouse testes *The x axis shows the coordinates of section of the tyr gene that was targeted, with a vertical line indicating the targeted cut site. The y axis indicates the mutation rate.*

The mutation rate of the constructs in the N2a cell line ranged between 8-28%. However, the exact same constructs had no detectable mutations in the testes **(Figure 3.6)**. Since previous experiments show that cas9 is delivered and expressed in the testes for prolonged periods of time, I eliminated the possibility of it being a plasmid delivery or expression issue.

Because *in vitro* cell line transfection is more efficient than *in vivo* testis transfection I considered that this might be a dosage issue. I took the tyr237 construct and injected it into WT mouse testes up to ten times, spaced three to four days apart, in order to boost the *in vivo* transfection rate. Furthermore, I also FACS sorted one WT testis that had undergone ten injections to isolate a million eGFP positive and negative cells. This was performed to isolate the cells with cas9 expression to see if it would increase the sensitivity for detecting mutation rates. The samples' genomic DNA was once again used to prepare a deep sequencing library to determine the mutation rate.

I found that the mutation rate at the target site was undetectable under all conditions **(Figure 3.7)**. Although GFP positive cells appear to have an elevated mutation rate downstream of the

target site, this is probably due to stochastic selection of cells elevating natural variants by chance rather than evidence of cas9 activity.

Figure 3.7: Mutation rate of tyr237 cas9 constructs in mouse testes (Higher dosage) *See Figure 3.6 for graph axis explanation*

Another possible explanation for why the cas9 constructs were not working is that male germ cells have some mechanism that inhibits cas9 function (Either an active pathway or DNA packing). I decided to use a mouse spermatocyte cell line, Gc2-spd as the model system to

answer this question. If this hypothesis is true, we would expect the mutation rate using the same constructs to be much lower than in the N2a cell line despite the higher transfection rate.

There was a detectable mutation rate in the Gc2-spd cell line for all the constructs, but it was up to ten times lower than in the N2a cell lines, despite increasing the amount of DNA and transfection reagent **(Figure 3.8)**. A previous attempt using identical DNA and transfection reagent amounts in the Gc2-spd cell as the N2a cells did not create any detectable mutation rate **(Data not shown).**

This data suggests that there is some mechanism in the germ cell that inhibits cas9 activity.

Figure 3.8: Mutation rate of tyr cas9 constructs in Gc2-spd cell line *See Figure 3.6 for graph axis explanation*

Chapter 3 - Discussion

Overexpressing transgenic copies of genes can have just as much deleterious consequences as knocking them out in the genome^{$7-10$}. Studies that rescue fertility by transgenic expression attempt to ensure that not too many copies of the transgene are present in the genome, presumably to avoid the deleterious effects of massive oversexpression^{5,6}. This suggests that a naïve transfection of a pool of transgenic genes is unlikely to boost spermatogenesis and might even cause detrimental effects.

To fix infertility due to spermatogenesis problems, we should be fixing the endogenous 'broken' genes instead. I have shown that the previously presented low cost *in vivo* male germ cell transfection method cannot be used to work with the CRISPR/cas9 system. The guide RNA constructs also showed lower efficiency when transfected into a spermatocyte cell line compared to a neuronal cell line, despite an increase in the transfection reagents.

Why did the tyr constructs work in the Gc2-spd cell line when it did not work in the testes? My hypothesis is that the Gc2-spd cell line contains proportionally more stem cells than the testis tissue does. Other groups have shown that it is possible to manipulate the genomes of spermatogonial stem cells¹³. My hypothesis is that if you can introduce cas the sells develop into germ cells, it will be possible to edit their genomes.

If I could increase the *in vivo* transfection rate it would increase the chances of delivering the CRISPR constructs into primordial germ cells, where they could work. To accomplish this, future work will have to involve more technically challenging protocols such as efferent duct injection and/or lentivirus infection.

If a working approach could be found that fixes spermatogenesis in mice via genetic engineering, we could also use the same system to make new transgenic animals for other disease models. More experiments will also be needed to ensure the safety and minimize off-target effects, but there is the potential to use the system to repair all sorts of genetic defects, not just the ones that affect fertility.

Chapter 3 - Methods

Plasmid cloning

The mlh3 overexpression plasmid was cloned using the sequence obtained from Mammalian Gene Collection (MGC:100285) with the pCI mammalian expression plasmid as the backbone (Promega: E1731).

CRISPR/cas9 constructs were cloned into the pX458 plasmid backbone (Addgene: 48138) following the previously published protocol¹⁴. Primers used for the constructs can be found in **Table 3.S1**.

Cell line transfection

N2a cells were maintained in Minimum Essential Media (MEM) supplemented with 10% Fetal Bovine Serum (FBS) until they reached 60% confluency in 6 well cell culture plates. Each well of cells was transfected with 1µg of plasmid DNA using 3.75µl of Lipofectamine® 3000 (Life Technologies: L3000008). The cells were then incubated at 37°C for 2 days, with daily media replacement, before the genomic DNA was collected.

Gc2-spd cells were treated the same way and the N2a cells, with the only change being each well was transfected with of 2.5µg of plasmid DNA and 7.5µl of Lipofectamine[®] 3000 instead.

Cell genomic DNA was extracted using DNeasy blood and Tissue Kit (Qiagen: 69504) using the manufacturer's suggested protocol.

DNA Library preparation

Extracted genomic DNA was amplified using a Q5 hot-start high fidelity polymerase (NEB: M0493L) using the manufacturer's protocol and custom primers (Table S2). This PCR product was purified using Minelute columns (Qiagen: 28004). The overhang from the custom primers was used to attach Illumina sequencing adapters and indices via a second round of PCR. This PCR product was purified using Agencourt AmPure XP beads (Beckman-Coulter: A63880) following the manufacturer's protocol. Each library was run on a 3% agarose gel to ensure that the library size was around 300bp. Libraries from multiple samples were pooled and run on a single lane of Illumina MiSeq.

Data Analysis

Paired end reads were mapped to the mouse mm10 genome assembly using STAR¹⁵. Reads across the tyr locus was verified to make up more than 95% of the total reads in the sample. These reads were condensed using samtools' mpileup command, using the –A option to increase the max depth to $2,000,000^{16}$. This data was then run through a custom analysis script to calculate and plot the mutation rate at each base pair in the locus. A mutation was classified as

any base at that position which did not match the reference genome, including insertions and deletions.

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 5401 aaaccagagg tgaatgtttc tattctgaac taataaaaca ctaaaaaata aaaaaaaaaa 5461 aaaaaaaaaa aggctctctc agcggccgcg gagagggcag aggaagtctg ctaacatgcg 5521 gtgacgtcga ggagaatcct ggcccagtga gcaagggcga ggagctgttc accggggtgg 5581 tgcccatcct ggtcgagctg gacggcgacg taaacggcca caagttcagc gtgtccggcg 5641 agggcgaggg cgatgccacc tacggcaagc tgaccctgaa gttcatctgc accaccggca 5701 agctgcccgt gccctggccc accctcgtga ccaccctgac ctacggcgtg cagtgcttca 5761 gccgctaccc cgaccacatg aagcagcacg acttcttcaa gtccgccatg cccgaaggct 5821 acgtccagga gcgcaccatc ttcttcaagg acgacggcaa ctacaagacc cgcgccgagg 5881 tgaagttcga gggcgacacc ctggtgaacc gcatcgagct gaagggcatc gacttcaagg 5941 aggacggcaa catcctgggg cacaagctgg agtacaacta caacagccac aacgtctata 6001 tcatggccga caagcagaag aacggcatca aggtgaactt caagatccgc cacaacatcg 6061 aggacggcag cgtgcagctc gccgaccact accagcagaa cacccccatc ggcgacggcc 6121 ccgtgctgct gcccgacaac cactacctga gcacccagtc cgccctgagc aaagacccca 6181 acgagaagcg cgatcacatg gtcctgctgg agttcgtgac cgccgccggg atcactctcg 6241 gcatggacga gctgtacaag gaattctaac tagagctcgc tgatcacccg ggttcgagca 6301 gacatgataa gatacattga tgagtttgga caaaccacaa ctagaatgca gtgaaaaaaa 6361 tgctttattt gtgaaatttg tgatgctatt gctttatttg taaccattat aagctgcaat 6421 aaacaagtta acaacaacaa ttgcattcat tttatgtttc aggttcaggg ggagatgtgg 6481 gaggtttttt aaagcaagta aaacctctac aaatgtggta aaatcgataa ggatccgggc 6541 tggcgtaata gcgaagaggc ccgcaccgat cgcccttccc aacagttgcg cagcctgaat 6601 ggcgaatgga cgcgccctgt agcggcgcat taagcgcggc gggtgtggtg gttacgcgca 6661 gcgtgaccgc tacacttgcc agcgccctag cgcccgctcc tttcgctttc ttcccttcct 6721 ttctcgccac gttcgccggc tttccccgtc aagctctaaa tcgggggctc cctttagggt 6781 tccgatttag tgctttacgg cacctcgacc ccaaaaaact tgattagggt gatggttcac 6841 gtagtgggcc atcgccctga tagacggttt ttcgcccttt gacgttggag tccacgttct 6901 ttaatagtgg actcttgttc caaactggaa caacactcaa ccctatctcg gtctattctt 6961 ttgatttata agggattttg ccgatttcgg cctattggtt aaaaaatgag ctgatttaac 7021 aaaaatttaa cgcgaatttt aacaaaatat taacgcttac aatttcctga tgcggtattt 7081 tctccttacg catctgtgcg gtatttcaca ccgcatatgg tgcactctca gtacaatctg 7141 ctctgatgcc gcatagttaa gccagccccg acacccgcca acacccgctg acgcgccctg 7201 acgggcttgt ctgctcccgg catccgctta cagacaagct gtgaccgtct ccgggagctg 7261 catgtgtcag aggttttcac cgtcatcacc gaaacgcgcg agacgaaagg gcctcgtgat 7321 acgcctattt ttataggtta atgtcatgat aataatggtt tcttagacgt caggtggcac 7381 ttttcgggga aatgtgcgcg gaacccctat ttgtttattt ttctaaatac attcaaatat 7441 gtatccgctc atgagacaat aaccctgata aatgcttcaa taatattgaa aaaggaagag 7501 tatgagtatt caacatttcc gtgtcgccct tattcccttt tttgcggcat tttgccttcc 7561 tgtttttgct cacccagaaa cgctggtgaa agtaaaagat gctgaagatc agttgggtgc 7621 acgagtgggt tacatcgaac tggatctcaa cagcggtaag atccttgaga gttttcgccc 7681 cgaagaacgt tttccaatga tgagcacttt taaagttctg ctatgtggcg cggtattatc 7741 ccgtattgac gccgggcaag agcaactcgg tcgccgcata cactattctc agaatgactt 7801 ggttgagtac tcaccagtca cagaaaagca tcttacggat ggcatgacag taagagaatt 7861 atgcagtgct gccataacca tgagtgataa cactgcggcc aacttacttc tgacaacgat 7921 cggaggaccg aaggagctaa ccgctttttt gcacaacatg ggggatcatg taactcgcct 7981 tgatcgttgg gaaccggagc tgaatgaagc cataccaaac gacgagcgtg acaccacgat 8041 gcctgtagca atggcaacaa cgttgcgcaa actattaact ggcgaactac ttactctagc 8101 ttcccggcaa caattaatag actggatgga ggcggataaa gttgcaggac cacttctgcg 8161 ctcggccctt ccggctggct ggtttattgc tgataaatct ggagccggtg agcgtgggtc 8221 tcgcggtatc attgcagcac tggggccaga tggtaagccc tcccgtatcg tagttatcta 8281 cacgacgggg agtcaggcaa ctatggatga acgaaataga cagatcgctg agataggtgc 8341 ctcactgatt aagcattggt aactgtcaga ccaagtttac tcatatatac tttagattga 8401 tttaaaactt catttttaat ttaaaaggat ctaggtgaag atcctttttg ataatctcat

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Data 3.S1: mlh3 overexpression plasmid seqeuence genBank DNA file

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Table 3.S1: Primer sequences used in CRISPR/cas9 construct cloning

These constructs were cloned into the pX458 plasmid created by Feng Zhang for mammalian CRISPR/cas9 engineering.

Table 3.S2: Primers used for genomic locus amplification and Illumina sequencing library preparation

The first two primers are the pair used for gDNA amplification of the tyr locus. The last two primers are the pair used for the second round of PCR for Illumina library preparation. XXXXXX in PE PCR R represents any six bases which can be used for sample indexing, which allows for pooling of samples in a single illumine lane.

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Summary

Application of genomic technologies to study infertility

Nicholas Rui Yuan Ho

Science is commonly described as a collection of facts. While the scientific body of knowledge is important and should be referenced, the core of the scientific method requires creative application of these facts to test new hypotheses; in the process we discover more about the world and add to the body of knowledge. As Albert Einstein famously said, "Any fool can know. The point is to understand." In this work, I have applied the knowledge of others in new ways to better understand the processes underlying fertility and more specifically spermatogenesis.

I first took the high throughput data on various germ and somatic cell stages, sequencing and protein interaction, which were generated by other groups. Using machine learning I was able to figure out which ones would be the most informative for differentiating between fertility and non-fertility genes. This was then used to produce lists of a few hundred genes which I predict to affect various fertility functions like spermatogenesis and oogenesis in both mice and humans. With better quality sequencing data for human germ cells and oogenesis stages, I think better predictions could be made, but the existing list should be reasonably accurate.

In order to test some of my predictions I then developed an experimental protocol to screen genes for spermatogenesis function *in vivo*. By transfecting a pool of small hairpin RNA expression cassettes into mouse testicular germ cells, I was able to affordably test up to 29 different genes simultaneously in a month. The performance of this method compares favorably to other benchmarked RNAi screens and with minimal adjustments this protocol could be used to test up to 300 genes simultaneously in one experiment. Twenty one of the top twenty six predicted spermatogenesis genes passed the experimental screen, providing confidence for more costly follow-up studies, especially for three genes with unknown molecular function (4933411K16Rik, GSG1, and SPATA4).

Finally I detail the progress I have made towards using genetic engineering to repair dysfunctional spermatogenesis in mice. From the first flawed attempts to overexpress transgenes in knockout mice to the current experiments using CRISPR to change genotypes in mouse germ cells, I have found various ways to fail in direct *in vivo* genetic engineering. However those failures have provided hints on different approaches to achieve better results which we are currently carrying out.

Most of my projects and research interests lie in taking new technological innovations and using them for novel applications. As a result, much of my work would not have been possible without the efforts of many other people. Obviously the data and experimental systems developed by other groups have been pivotal in inspiring all of the projects that I have described. Less obvious contributions have included the comments and mentorship of numerous professors, post-docs, and fellow graduate students in helping me understand the various technologies and their capabilities and limitations so that I could freely adjust them for my desired application. I hope that this work inspires other research projects which will add to the immense and yet insufficient body of scientific knowledge.

"If I have seen further, it is by standing on the shoulders of giants." – Isaac Newton