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# An Application-Oriented Error Control Scheme For High Speed Networks $^{\dagger}$

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#### Abstract

Many new network applications demand interprocess communication (IPC) services that are not supported by existing transport protocol mechanisms. Large bandwidth-delay products of high-speed networks also render the existing error control mechanisms inefficient. This paper presents the design, evaluation, and implementation of an application-oriented error control scheme that can support efficient IPC for these applications in high-speed network environments.

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## 1. INTRODUCTION

The new generation of high-speed networks will interconnect machines with very high bandwidth over long distances. The high data rates and long propagation delays result in very large bandwidth-delay products. This large bandwidth-delay product has a number of implications on error control strategy: (1) Time for end-to-end control actions (minimum of one round trip delay) becomes very significant with respect to the high data rate; and therefore, frequent end-to-end control actions should be avoided. (2) It becomes very difficult to achieve efficient error control using only timer-based loss detection, because high data rates make it more difficult to set timer accurately and also make it costly to have an inaccurate timer. (3) It becomes very expensive to recover every packets in error through retransmission.

On the other hand, new applications, such as network computing and visualization, require high bandwidth and low latency communication with performance guarantees. They also deal with different types of data streams (e.g., voice and video streams, image sequence, and data set) which have very different error tolerances. It is thus highly desirable to have a flexible service interface provided by the transport level that allows the application to accurately specify its error tolerance and thus avoid unnecessary long recovery delay.

Existing error control schemes have not addressed these issues efficiently. A new application-oriented error control scheme has been developed that addresses these issues with efficiency. The scheme has been evaluated using analysis and simulation, and it has been implemented in software inside SunOS 4.0.3 kernel. This paper presents the design and main results for the error control scheme. A more detailed report is available in [8].

The rest of the paper is organized as follows. Section 2 reviews briefly the existing error control schemes. Section 3 discusses how the proposed scheme addresses the key error control issues. Section 4 describes in detail the packet formats used as well as the operations of the proposed scheme. Section 5 describes the performance studies conducted for the proposed scheme and presents the results. Section 7 presents a summary for the paper.

#### 2. BACKGROUND

#### 2.1. Error Types

There are four types of errors in network communication: (1) out-of-sequence delivery caused by packets taking multiple paths of different delays, (2) duplication caused by retransmission of packets, (3) corruption caused by bit errors in the channel, and (4) loss caused by dropping of packets along the path. Error control involves two basic steps: the detection of errors and the recovery from these errors.

Duplication and out-of-sequence delivery errors are usually dealt with by use of sequence numbers. Typically, when a large data set is divided into smaller blocks called packets for transmission, each data packet carries a sequence number that uniquely identifies the data block within the large data set. Then, the receiver will be able to interpret the incoming data packets in correct sequence, and to detect and discard duplicate packets. Main issues concerning sequence numbers include initialization at connection setup, re-synchronization after loss of packets or system crashes, and ambiguity due to wraparound of sequence numbers. Nonetheless, there are fairly standard ways for addressing these issues. Therefore, this paper will not dwell on these errors. Corruption in a packet is also easily detected by a checksum or CRC field appended to it. For recovery, corrupted packets are treated as lost packets in this paper.

# 2.2. Existing Error Control Schemes

There are currently two classes of transport level error control services for applications. In one class, no error control function is provided by the transport level. It is up to applications to decide if error control is needed and do it by themselves as necessary. User Datagram Protocol (UDP) is an example of such a transport protocol. The second class of error control provides 100% reliability. In this case, the transport protocol performs recovery from every single error detected regardless of the error tolerance of the application or the "cost" involved. The service provided by Transmission Control Protocol (TCP) is an example of this type.

Figure 1 summarizes the schemes used by transport protocols to implement error control functions. At the top level, there is the retransmission-based approach and the forward error correction (FEC) approach. The retransmission-based approach, also called ARQ, is by far the most widely used and explored. The FEC has mainly been used in deep space communications where the propagation delay is very large (e.g., several hundred milliseconds), and its usefulness in high speed networks is currently

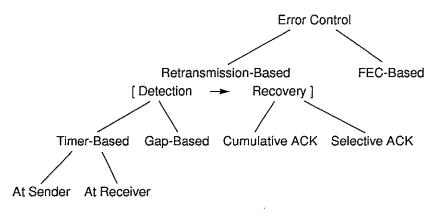


Figure 1: Existing Error Control Schemes

being explored in protocols such as TP++ [2]. The alternative schemes under the retransmission-based error control are examined next.

Loss detection can be based on a timer or on gaps in packet sequence numbers. With a timer-based scheme, the timer can be located at either the sender or the receiver side. The timer value is set according to the expected arrival time of an acknowledgment (or a data packet) and a loss is detected when the timer expires. With a gap-based scheme, detection is performed at the receiver side. The receiver assumes that the data packets will arrive in the order of their sequence number and a loss is detected when there is a gap (i.e., a hole) in the sequence numbers of arriving packets.

There are two main alternatives for error recovery step. With a cumulative ACK scheme, the receiver only accepts packets that are not corrupted and are in sequence. An acknowledgment to the sender carries a single sequence number N which cumulatively acknowledges successful reception of all packets with sequence numbers up to N-1. Thus, when a packet with sequence number N is corrupted or lost, the receiver will continue to send acknowledgment with sequence number N and discard all future incoming packets until packet N is correctly received. The sender must retransmit packet N plus all succeeding packets when it times out on an ACK for packet N. The selective ACK scheme is an improvement to the cumulative ACK scheme. It selectively acknowledges packets received correctly and requests retransmissions for only the lost ones. This adds to the receiver complexity as the receiver now has to buffer and re-sequence the packets that arrive out of sequence.

Current implementation of TCP uses a cumulative ACK scheme with a loss timer at the sender side. A number of more recent transport protocol designs have used selective ACK schemes with timer-based loss detection. For example, VMTP [3] and SNR [11] have used loss detection timers at the sender side, while NETBLT used a receiver side timer [4]. A gap-based loss detection method was first used in a link

level protocol CPM [1].

#### 2.3. Error Control Objectives

To meet the communication challenge of the new applications in high-speed networks, we set the following new objective for an error control scheme: the error control is to satisfy the applications' error tolerance with minimum retransmission overhead, so as to achieve high throughput and low end-to-end delay for a given network connection.

# 3. ERROR CONTROL ISSUES

There are three major issues to the error control: characterization of application error tolerance, detection of errors, and recovery from errors. Each of these issues is discussed next, along with a description of how it is addressed in the proposed scheme.

#### 3.1. Characterization Of Application Error Tolerance

In this paper, a segment is defined as the smallest unit of data that an application accesses independently. Thus, a segment is the smallest meaningful unit of data for applications. Furthermore, we assume that a sending stage always attempts to deliver a segment to its receiving stage as soon as possible in order to achieve concurrent operations among stages. From a transport protocol's point of view, a sender will try to transmit a data segment to the receiver as soon as the segment is available for transmission and the receiver is willing to accept it. This mode of communication is called streaming.

The first requirement for designing an efficient error control scheme is a suitable characterization of application's error tolerance. Specifically, it should be powerful enough to describe detailed distribution of tolerable errors within a data segment. It should also be expressive enough to describe a wide range of error tolerances, from tolerating no error to tolerating all errors. Preferably, it should be simple and as much as possible compatible with the quality of service (QOS) specification of the network, in order to achieve efficient implementation.

There are two traditional measures used for characterizing the reliability of communication channels as well as the reliability requirements of applications. They are bit-error-rate and packet-loss-rate. These measures only specify a long-term average of bit error or packet loss rate which is hard to monitor and enforce on a per application basis.

For the proposed error control scheme, we have defined a triplet specification (W, E, B) for characterization of application error tolerance. In this characterization, W is the number of packets based on which the loss should be assessed and tolerance checked. W is referred to as the length of an Error  $Enforcement\ Window$ . In the case of pipeline applications, it should be chosen to be equal to the segment size. Parameter E specifies the maximum number of packets that is permitted to be lost among W; and B specifies the maximum length of an acceptable loss burst. When such a tolerance is specified by an application to the transport protocol, the transport level will guarantee that the tolerance is satisfied through necessary retransmissions.

#### Application Examples

The most important advantage of the triplet specification is that it describes the loss with respect to a meaningful data unit of the application. Therefore, an application will be able to evaluate the impact of loss in a more precise context and make rational decisions on how to set its tolerance. For example, in order to speed up the developing process of a large distributed application, one may want to set error tolerances high at the debugging stage while concentrating on the other key aspects of the application, and use the desired tolerances at the final operation stage.

We show by an example how the triplet specification can be used by applications. For applications such as computational fluid dynamics CFD simulation and visualization, the data set is often organized as a series of 2D slices (or arrays). Figure 2 shows an example where each slice is  $1024 \times 1024$  bytes. Each slice is an independent data unit for the application and is defined as a segment. The segment is then divided into 1024 packets for transmission.

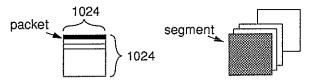


Figure 2: Application Data Set Structure

If each slice is an animation image, loss of 5 isolated scan lines may be perfectly acceptable. So a tolerance specification of (1024, 5, 1) will ensure that the application will not suffer the recovery overhead as long as the underlying network is not violating this tolerance.

Another main advantage of the triplet specification is that an application has the flexibility of specifying a lower tolerance and letting the transport level do the recovery, or specifying a higher tolerance

and dealing with the loss on its own. If the round trip delay of the connection is 60 ms and the receiver machine has a floating point capability of 50 Mflops, then, upon loss of a line, the delay cost of recovery by retransmission is at least 60 ms; if the lost line is regenerated by linear interpolation, the cost is about  $1024 \times 3/50$  Mflops  $\approx 0.06144$  ms. Therefore, it is almost 1000 times more efficient to recover a lost line by linear interpolation than retransmission.

#### 3.2. Error Detection

Data segments are divided into packets for transmission. The size of a packet is jointly determined by the transport protocol and the underlying networks. Each packet contains a pair of sequence numbers (i,j), where i is the segment number and j is the packet number within the segment. For normal transmission, all packets will be sent in the order of increasing segment numbers and again in increasing packet numbers within a segment. For example, if there is a total of  $\sigma$  segments to send and each segment is s packets long, and let  $p_{ij}$  represent the  $j^{th}$  packet of the  $i^{th}$  segment, the whole data set will be scheduled for transmission in the following order:  $p_{11}p_{12} \dots p_{1s}p_{21}p_{22} \dots p_{2s} \dots p_{\sigma 1}p_{\sigma 2} \dots p_{\sigma s}$ . Each packet will also contain a checksum field for detecting corruption in the packet.

Packet losses include packet corruption and packet losses in the network. In the proposed scheme, packet corruption is detected by using a checksum in each packet. The following discussion focuses on the detection of packet losses in the network.

#### Using Gap-Detection and Loss Timer

In order to perform recovery according to the tolerance of the application, the receiver has to make the final decision for accepting a segment. The proposed scheme performs loss detection at the receiver side so that the amount of state information exchange between the sender and the receiver is minimized. A gap-based detection mechanism is used at the receiver to make early detection of packet losses. The effectiveness of a gap-based detection scheme depends on the following two conditions:

- Data packets are always transmitted in a particular order at the sender.
- The underlying networks guarantee a maximum re-sequencing distance for all the packets transmitted.

Let the re-sequencing distance guaranteed by the network be m. Then, the receiver can deem a missing packet lost if another packet transmitted m or more packets after this packet has arrived. For example, consider the first-time transmission of segment i. The first packet of this segment will have a sequence number (i,1). According to the above assumptions, all the packets missing at the receiver when any packet (i+1,k) with  $k \ge m$  arrives must have been lost. The gap-based scheme assumes guarantees for in-sequence delivery which is a more realistic assumption than guarantees of data rate. Schemes using only a timer at the receiver for loss detection will require stringent guarantees for the data rate.

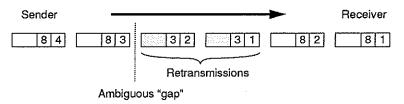


Figure 3: Sequence Number Ambiguity

Due to packet retransmissions, the segment and packet sequence numbers contained in each packet do not identify uniquely the order in which a packet is transmitted at the sender and, therefore, they cannot be used for gap detection. Figure 3 shows an example scenario. Although the receiver detects that there is a gap between the sequence numbers (3,2) and (8,3), it cannot determine for sure whether there is any packet lost between (3,2) and (8,3). Some packets from segments 4 to 7 may have been retransmitted and get lost, or the sender may not have retransmitted them at all because the retransmission requests have not reached the sender yet. A shipment sequence number attached to each packet solves this problem. Every packet, new or retransmission, is assigned a unique shipment sequence number according to the sequence it is sent out at the sender. Any gap larger than m in the shipment sequence numbers observed at the receiver indicates a loss of packets. When the sender temporarily runs out of data to send, it sends special control packets. These packets get their shipment sequence numbers from the same space of monotonically increasing sequence numbers. The special packets provide the necessary context for the receiver to detect losses in the segments transmitted earlier and informs the receiver that the sender is idle but alive.

In addition to the gap detection mechanism, the receiver also uses a loss timer. The purpose of the timer is to detect very long burst of losses, in which there is no subsequent packets to enable detection of gaps. The duration of the loss timer is on the order of several segments transmitting time.

Overall, the combination of gap-detection with a loss timer ensures that early loss detection can be made. Early detections make quick recovery from losses possible.

#### 3.3. Error Recovery

Once loss detection is made, recovery from the loss involves three steps: (1) The receiver still has to determine which lost packets need to be requested for retransmission. (2) The request information needs to be passed to the sender. (3) The sender needs to verify the request and retransmit the necessary packets. Error recovery issues and the proposed ways of addressing them are discussed in the following paragraphs.

#### **Determining Retransmission List**

The packets that have been successfully received are marked with a "1" in the corresponding positions of a packet-presence bitmap. At the time of a loss detection, retransmission request list needs to be generated from the packet-presence bitmap and the application error tolerance. Determination of the request list is a trivial issue in existing transport protocols such as TCP because all lost packets are retransmitted regardless of application tolerance. In high speed networks, packet transmitting time (packet length divided by transmitting rate) is very small compared to the round trip delay. For example, with a coast-to-coast round trip delay of 60 ms, a data rate of 100 Mbps, and a packet size of 1024 bytes, one round trip delay is equivalent to the transmission time of about 732 packets. Therefore, the primary optimization goal for the determination of the request list should be to minimize the number of retransmission rounds, where a retransmission round consists of retransmissions for all requested packets of the same segment. A retransmission round incurs at least one round trip delay. The minimization of the absolute number of packets retransmitted is only of secondary concern. Following this strategy, when a burst of loss is detected and at least one packet needs to be retransmitted to satisfy the tolerance, the whole burst is included in the request list. The retransmission request list is also in the form of a bitmap, but with "0" indicating a request for retransmission of the corresponding packet and "1" otherwise.

#### Sending Acknowledgments

There are two pieces of information concerning the state of the receiver that must be available to the sender in order to perform retransmission:

- Which packets have been correctly received so that the buffer at the sender can be released.
- Which packets need to be retransmitted.

Since loss detection is made at the receiver in the proposed scheme, the receiver has to send explicit information about what packets need to be retransmitted and which segments have been correctly received.

The proposed scheme uses two types of acknowledgments. The first type is positive acknowledgment (PACK). A PACK is only used to inform the sender that certain segments have been accepted and can be released; A PACK can acknowledge a single isolated segment or it can acknowledge a contiguous block of segments if the block is the next expected in sequence. A selective negative acknowledgment (SNAK) is the second type of acknowledgment. It is mainly used to request packet retransmissions from the sender. However, it also carries a segment sequence number that informs the sender of the acceptance of all segments with sequence numbers below this number. The proposed scheme transmits SNAK messages to the sender periodically to avoid long delays, in the case of a SNAK loss. Periodic transmission of a SNAK stops when the corresponding segment is successfully accepted at the receiver.

## Avoiding Premature Retransmission

By detection of gaps, the receiver can quickly detect the occurrence of losses. However, it is difficult for the receiver to determine exactly which packets are lost based on only the receiver's state information, particularly when the new and retransmitted packets are overlapping. This difficulty is avoided by allowing the receiver to determine only a superset of the lost packets and request for their retransmissions. The sender can easily filter out the premature requests because it knows exactly what have been transmitted. The sender always records the time at which each segment is transmitted. Upon receiving a retransmission request, the sender compares the current time with the time recorded for the last transmission of the segment. The sender ignores the request if the segment was transmitted within one round trip time in the past. Note that in order for any legitimate acknowledgment of a segment to come back, it takes more than one round trip delay on the connection.

## Transmission Scheduling

Transmission scheduling determines the sequence in which all packets are sent out from the sender side. In general the receiving application expects data segments in the same sequence in which they are provided by the sending application. This sequence is represented by the segment sequence number assigned to each segment. Therefore, data segments are transmitted according to their segment sequence numbers.

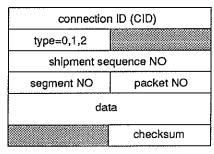
Each segment is divided into packets and all the packets are transmitted in the order of increasing packet numbers. Note that the policy of transmission according to the segment and packet sequence numbers applies to both new and retransmission packets.

# 4. PACKET FORMATS AND PROTOCOL OPERATIONS

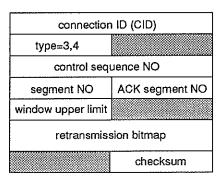
This section first describes the data and control packet formats necessary for carrying out the transport protocol functions, particularly those of error control. Then, a detailed description of the operations of the error control mechanisms is provided.

#### 4.1. Packet Formats

There are five types of packets used for data transport and error control. There are two basic packet formats as shown in Figure 4. The width of each row is assumed to be 4 bytes.



(a) sender to receiver



(b) receiver to sender

Figure 4: Error Control Packet Formats

All packets start with a 4-byte connection identifier field (CID) followed by a 2-byte type field and ends with a 2-byte checksum field. The CID identifies all the packets belonging to a connection. The type field contains a unique code number for each packet type. Of the five types, type 0, 1, and 2 are

for conveying information from sender to receiver; type 3 and 4 are used in the reverse direction. The checksum field is filled by the sender and then used by the receiver to detect packet corruption. The rest of the fields are summarized as follows:

- Data Packet (type 0): Data packet is for carrying application data from the sender to the receiver.

  The shipment sequence number indicates the sequence at which the packet was last shipped from the sender and is used for loss detection. The segment number along with packet number identifies the position of this data block in the application's data stream. The data itself is carried in the data field.
- Keep-Alive Packet (type 1): Keep-alive packet is for informing the receiver that the sender is at a pause. Periodic transmission of this packet serves two purposes: (1) to prevent the receiver from closing the connection and (2) to provide the context (shipment sequence number, segment number, and packet number) for the receiver to detect losses in earlier segment transmissions. This format is the same as that of the data packet except that it does not have a data field.
- EndStream Packet (type 2): End-of-stream packet is for informing the receiver of the end of segment stream. It also allows the receiver to make prompt detection of losses occurring in the last portion of the segment stream. This format is the same as that of the keep-alive packet.
- PACK Packet (type 3): A PACK packet serves three purposes: (1) to acknowledge the acceptance of the segment specified by segment NO, (2) to cumulatively acknowledge all the segments with segment number below ACK segment NO, and (3) to optionally advance the sender's window by specifying a new window upper limit<sup>‡</sup>. The control sequence NO is used by the sender to detect and discard duplicate PACK packets.
- SNAK Packet (type 4): A SNAK packet is used to request retransmission of the packets specified by the retransmission bitmap and to cumulatively acknowledge all those segments with segment number below ACK segment NO. The most recently granted window limit is contained in field window upper limit to protect against the loss of the last window advancement message. The difference between this packet and the PACK packet is that it has a retransmission bitmap. This bitmap represents retransmission requests for those packets where there is a "0" in the corresponding position of the bitmap.

<sup>&</sup>lt;sup>‡</sup>The window control, which is part of a new flow control scheme we have also developed, has a direct effect on the error control operations. Details of the flow control are available in [8]. Simple window control operations will be explained as necessary when error control operations are presented.

#### 4.2. Error Control Operations

It is assumed that a connection between the sender and the receiver has already been set up. Again, application data consists of a sequence of segments, which is to be transported to the receiver as a segment stream. For transmission over the underlying network each segment is divided into s packets. The error control operations during the data transfer are described next.

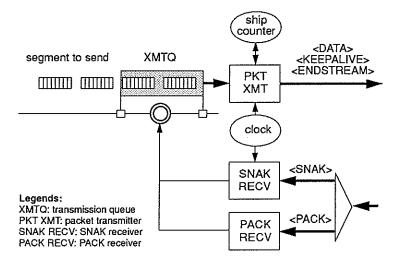


Figure 5: Sender Error Control Logic

#### Sender Operations

Main error control related functions at the sender are:

- Schedule and transmit new segments.
- Process retransmission requests, schedule and retransmit requested packets.
- Process positive acknowledgments and release successfully delivered segments.

Figure 5 shows a logic diagram for the sender. There are three functional blocks for performing the error control functions, a packet transmitter (PKT XMT), a retransmission request receiver (SNAK RECV), and a positive acknowledgment receiver (PACK RECV). The transmission queue (XMTQ) contains all packets eligible for transmission. There is also a shipment counter for generating monotonically increasing shipment sequence numbers, and a time reference clock for retransmission control.

For each outstanding segment, there is also a data structure called segment control block, which contains information such as the status of the segment (e.g., in transmission or waiting for acknowledgment)

and the packet retransmission bitmap. This data structure is not shown in Figure 5 for clarity reasons. Each of the sender side functions is explained in the following paragraphs.

Packet Transmission: Transmission queue XMTQ is serviced in the order of increasing packet sequence numbers according to a given rate. For every packet leaving the transmission queue, transmission logic puts the current value of ship counter into the shipment sequence NO field of the packet and increments the counter. Whenever the queue becomes empty due to a pause of the application, a keep-alive packet is sent. An end-of-stream packet will be sent if the application has reached the end of its data stream. The keep-alive and end-of-stream packets carry the same segment number and packet number as the last data packet sent, but with their shipment sequence numbers incremented for each successive transmission, as described in Section 3. A 16 bit checksum is computed and appended to the checksum field before each packet is passed down to the internetwork level protocol for output.

Retransmission Request Processing: There is no retransmission unless it is explicitly requested by the receiver with a SNAK packet. Upon transmission of the last packet of a packet group<sup>§</sup>, the sender records the current time (from the reference clock) in the control block of the corresponding segment. When a SNAK packet arrives later for this segment, the current time is compared with the previously recorded time and a retransmission is scheduled only if the last transmission for the segment was at least one round trip time ago. This way, no spurious retransmission can occur even if the receiver sends premature retransmission requests (SNAK packets). Packets to be retransmitted are scheduled into the same transmission queue as the first-time packets.

Positive Acknowledgment Processing: A segment is released from the buffer when it is acknowledged by the receiver. The acknowledgment for segment i can arrive at the sender in three different ways. The most common way is an explicit PACK packet with its segment number set to i. A second possible path is when the explicit acknowledgment for segment i gets lost, the segment can still be acknowledged by another PACK packet with a cumulative acknowledgment segment number (ACK segment NO) greater than i. Finally a segment i can also be acknowledged by a SNAK packet with a cumulative acknowledgment segment number greater than i. Every time the sender's window is advanced, data from new segments are packetized and scheduled into XMTQ for transmission.

<sup>§</sup>A packet group refers to all packets belonging to a common segment. For example, a segment is itself a packet group when first transmitted; all packets to be retransmitted for a segment define a packet group. A packet group is identified by the segment number.

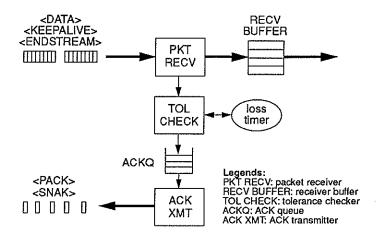


Figure 6: Receiver Error Control Logic

# Receiver Operations

There are three key error control functions at the receiver side:

- The receiver has to perform per packet processing that includes verification of checksum, check for duplicate packets and packets outside the window, and detection of gaps in the shipment sequence numbers.
- Upon loss detection or reception of the last expected packet in a segment, the receiver needs to determine which packets, if any, to request for retransmission.
- Positive acknowledgments will need to be sent for the successfully accepted segments and retransmission requests (SNAK) have to be sent for those packets needing retransmissions.

These functions are carried out by three functional blocks as shown in Figure 6. The packet reception and gap detection function is performed by packet receiver (PKT RECV), the retransmission decision is made by the tolerance checker (TOL CHECK), and acknowledgments delivered by acknowledgment transmitter (ACK XMT). Also shown in the figure is a receiving buffer (RECV BUFFER) for re-sequencing data packets and segments, an acknowledgment queue (ACKQ), and a segment loss timer that detects long burst of losses.

There are several additional data structures that are omitted from Figure 6 but need to be introduced before describing the receiver operations. A variable L holds the highest segment number below which all of the segments have been acknowledged. Another variable H records the maximum segment number of all packets correctly received so far. The receiver also maintains one segment presence bitmap

(SEGMAP[W]) and W packet presence bitmaps (PKTMAP[W][s]), each for one segment in the window. By definition, PKTMAP[i][j] = 1 if and only if packet j of segment i has been successfully accepted; and SEGMAP[i] = 1 if and only if segment i has been accepted. The receiver operations are summarized as follows:

Packet Reception and Gap Detection: The receiver (PKT RECV) operates according to the following steps upon reception of a packet:

- 1. Compute checksum for the packet and compare it with the value contained in the checksum field of the packet. Discard the packet if there is no exact match.
- 2. If this is a data packet, check whether the packet is within the current window. If not, discard it; otherwise, put the packet into the proper slot of the receiving buffer according to the segment and packet number. The packet is marked as present in the PKTMAP.
- 3. The tolerance checker is called to make acknowledgment decision under following conditions when a packet is received:
  - If the data packet is the last expected of a segment, call tolerance checker for this segment.
  - If the shipment sequence number of the packet is more than m beyond the expected number, call the tolerance checker for all segments with sequence number i ∈ [n<sub>1</sub>, n<sub>2</sub> 1]. Note that n<sub>1</sub> is the segment number of the packet immediately before the gap, n<sub>2</sub> is the segment number of the current packet, and m is the maximum re-sequencing distance guaranteed by the underlying network.
  - If the keep-alive packet indicates that the last segment sent was n, but the receiver has only seen segments up to H < n, call tolerance checker for all segments with sequence number  $i \in [H, n]$ .
  - In the case of an end-of-stream packet, call tolerance checker for all the segments, from the next expected in sequence up to the last segment as indicated by the end-of-stream packet.
- 4. If the shipment sequence number of the packet is less than the next expected shipment sequence number, discard the duplicate packet; otherwise reset the loss timer.

Loss Timer Processing: Upon expiration of the loss timer, tolerance checker is called for all segments with sequence numbers in the range [L, H+1] to make acknowledgment decisions. Recall that L is

the next segment number yet to be accepted in sequence and H is the maximum segment number of all the packets received so far. The loss timer is used for early detection of long burst of losses. Typically, the timer value should be on the order of several segments transmission time.

Checking Application Tolerance: Assume that the application tolerance is given as (W, E, B). When invoked, tolerance checker performs as follows:

- 1. If the application specifies 100% error tolerance (i.e.,  $E \ge W \land B \ge W$ ), all segments will be marked as accepted before going to step 4 to send acknowledgments.
- 2. If the application requires 100% error recovery (i.e.,  $E = 0 \lor B = 0$ ), no manipulation of the packet presence bitmap is necessary so skip to step 4 to send acknowledgments.
- 3. When the application has a partial tolerance, check the packet presence bitmap of each segment against the specified tolerance:
  - Start from the first packet and count the total number of missing packets as well as the number of missing ones with consecutive packet numbers.
  - If a missing packet does not cause violation of the tolerance it is marked as present in the bitmap, otherwise the whole burst of lost packets starting from the current one is left as missing and will be requested for retransmission later. This checking process continues with the next packet until all the packets in the segment are checked.
  - · A segment is marked as accepted if there is no violation during the checking process.
- 4. After all segments have been checked, PACK or SNAK packets are scheduled for these segments as appropriate. If the newly accepted segments form the next contiguous block of data in sequence for the application, deliver the data to the application, set L to be the sequence number of the next segment to be accepted in sequence, and call ACK transmitter to send a PACK packet for the accepted block. If a segment is accepted but cannot be delivered to the application due to some incomplete segments ahead of it, a PACK packet is sent for only this segment. Finally, if a segment needs retransmission, call ACK transmitter to schedule a SNAK packet.

Acknowledgment Transmission: If a PACK packet needs to be sent, a new PACK packet will be created. The packet will contain the given segment sequence number, the current L value as its cumulative ACK sequence number, and the current window limit as its window upper limit. After a unique control sequence number is attached, the checksum is computed and the packet is

transmitted by calling the internetwork level function. In the case of a SNAK packet, the fields of the segment sequence number, the cumulative ACK sequence number, and the window upper limit are constructed the same way as for the PACK packet. However, the packet will contain an additional retransmission bitmap. The retransmission bitmap is simply a copy of the packet presence bitmap for the segment at the receiver. The SNAK packet is appended to the ACKQ for transmission to the sender. If the segment has been requested for retransmission before, a SNAK packet for the segment will be already in ACKQ. Then, the fields of the segment number, the cumulative ACK sequence number, the window upper limit, and the retransmission bitmap are updated with new values. SNAK packets are periodically transmitted from ACKQ to the sender. A SNAK packet stays in the queue until it is removed upon successful acceptance of the corresponding segment. A new control sequence number is attached and checksum computed every time before a SNAK packet is transmitted.

# 5. PERFORMANCE STUDY

This section first describes the objectives for this performance study and defines three most important performance measures for transport protocol evaluation. Then, the analysis and simulation techniques used in this study are summarized. A set of performance results is presented and discussed at the end of the section.

#### 5.1. Objectives and Performance Measures

The performance study serves two main purposes. First, to obtain quantitative measures on how well the proposed scheme works in a typical high bandwidth-delay product network environment and to gain understanding of how various network as well as application parameters (e.g., packet loss probability, round trip delay, and connection bandwidth) affect the performance. Second, to gain insight into the working of the scheme so that the design can be improved and a better implementation can be achieved. It should be noted that in this study, no attempt will be made to compare the proposed scheme with a cumulative ACK scheme. The reason is that sufficient studies have been done by other researchers that have shown conclusively that selective ACK schemes are superior than cumulative ACK schemes, especially in high bandwidth-delay product environments [6, 10, 15].

The performance measures to be used in this study are defined next. From applications' point of view, the two most important performance measures of a transport protocol are throughput and delay.

Given two communicating processes  $p_1$  and  $p_2$ , throughput from  $p_1$  to  $p_2$  is traditionally defined as the number of data segments transferred from  $p_1$  to  $p_2$  in one unit time. Clearly, this definition is inherently an average measure, and it is dependent on the application behavior. For example, the same protocol mechanism may produce different throughput on different occasions when the application process changes its speed from time to time. To concentrate on the performance of the protocol mechanism itself, the maximum achievable throughput when application process is not a bottleneck is considered. Furthermore, a throughput that is normalized against the connection rate is used in order to obtain a direct measure of how efficient the mechanism can utilize the connection. Specifically, Throughput Efficiency is defined as the ratio between the ideal segment transmitting time (segment size divided by the connection rate) and the actual time required on average to successfully deliver one segment.

Transport protocol deals with end-to-end delay as opposed to the hop-to-hop delay that concerns network level protocols. A transport level connection may be supported by many network hops consisting of packet switches and gateways. Without loss of generality, a one-way data flow from a sender to a receiver is considered here. The End-to-End Delay of a segment is defined as the time elapsed from the start of transmission at the sender till the successful acceptance of the segment at the receiver. For example, if the segment transmitting time is  $t_s$  and the round trip delay on the connection is RTD, the ideal end-to-end delay for the segment will be  $(t_s + RTD/2)$ , assuming propagation delays in both directions are the same on the connection. It should be noted that the above delay definition is from the receiver's point of view. If it were defined from the sender's point of view, the ideal end-to-end delay for the example would be  $(t_s + RTD)$ . The former definition is chosen because it reflects exactly when the segment is available for consumption at the receiver.

From the above basic definition of end-to-end delay, two commonly used delay measures can be defined. Average End-to-End Delay is the average of end-to-end delays over all the segments. Maximum End-to-End Delay is the maximum of end-to-end delays among all the segments. While the average characterizes the overall delay performance, the maximum gauges the worst case behavior that is very important to many applications with strict time constraint. The evaluation approaches taken in this study are described next.

#### 5.2. Evaluation Approaches

Both analysis and simulation are used in the study of the error control scheme. Due to the complexity of the error control process, only approximate analyses for average delay and throughput efficiency are

pursued. The purposes of the analyses are: first, to gain insights through the derivation of simple performance expressions; and second, to verify the simulation model. Discrete event simulation is used for more accurate study of the various aspects of the error control. For example, the effect of bursty losses and the application-dependent error recovery is examined through simulations.

#### Delay Analysis

Given the average end-to-end delay definition in Section 5.1, it can be expected to be rather insensitive to the window control function. This is because the delay is not measured until the transmission of a segment begins and the window only determines if the transmission of a segment can begin. Once a segment begins transmission and also possibly retransmission, the delay caused by transmitting packets of another overlapping segment should be small compared to the acknowledgment delay. Therefore, the average end-to-end delay for a large number of segments is approximated by the average delay of a single segment transmitted in isolation.

Let the packet size be l bytes, the segment size be s packets, and the data rate be A bps (bits/second). The packet transmitting time is  $t_p = (8 \times l)/A$  seconds. The following specific assumptions apply to this analysis:

- A packet is lost if it is either corrupted or dropped in the underlying network. Each packet can be
  lost independently with probability p.
- An acknowledgment (PACK or SNAK) always comes back to the sender  $t_a$  time after the transmission of the last packet of a packet group, where  $t_a = RTD + 3 \times t_p$ . That is, it takes one round trip delay plus an assumed acknowledgment delay of  $3 \times t_p$  in the receiver, for an acknowledgment to return to the sender.
- Application requires 100% reliable delivery of all segments.

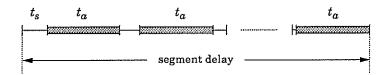


Figure 7: A General Segment Delivery Scenario

Figure 7 depicts a general scenario for the delivery of a segment. A segment is successfully delivered after at least one round of attempt. The first round consists of the transmitting time  $t_s$  for the whole

segment followed by the waiting time  $t_a$  for the acknowledgment (the first shaded area in the figure); in each subsequent round, the lost packets from the previous round are retransmitted and another  $t_a$  has to pass by before an acknowledgment is received; no more attempt will be needed when a positive acknowledgment is received. Let  $D_s$  denote the average segment delay as shown in the figure, it contains clearly three parts:

# $D_s = Transmitting\ Time + Mean\ Retransmission\ Time + Mean\ Waiting\ Time$

The segment transmitting time is simply  $s \times t_p$ . The second term, the mean retransmission time for the segment is  $s \times t_p \sum_{i=0}^{\infty} i \times p^i \times (1-p)$ . As each round has a constant waiting time  $t_a$ , the mean waiting time equals  $t_a$  times the mean number of rounds, which is  $t_a \sum_{i=1}^{\infty} i \times [(1-p^i)^s - (1-p^{i-1})^s]$ . Therefore:

$$D_{s} = st_{p} + st_{p} \sum_{i=0}^{\infty} ip^{i}(1-p) + t_{a} \sum_{i=1}^{\infty} i[(1-p^{i})^{s} - (1-p^{i-1})^{s}]$$
$$= \frac{t_{s}}{1-p} + t_{a} \sum_{i=0}^{\infty} [1 - (1-p^{i})^{s}]$$

Derivation of  $D_s$  assumed sender's point of view. Let  $D_r$  be the average delay as defined in Section 5.1 from the receiver's view point, it is then related to  $D_s$  as follows:

$$D_r = D_s - \frac{1}{2}RTD - 3t_p$$

Numerical results from this expression are presented in Section 5.3. It should be mentioned that a similar analysis has been presented in [10] for a selective acknowledgment scheme that uses only packet granularity (i.e., there is no concept of a segment). In that analysis, expressions were derived for both average delay (mean) and the variance, while the main interest here is the mean value, and the current derivation is simpler.

#### Throughput Efficiency Analysis

Accurate throughput analysis for selective retransmission scheme with large windows is a very difficult task. The difficulty stems mainly from the complex overlap and interaction between transmission of new segments and retransmission of lost packets. In general, full analysis of error control schemes using selective ACK is lacking in the literature. Existing studies [10, 15] have made simplifying assumptions such as absence of window flow control and no overlap between transmission and retransmission. These assumptions, though still allowing one to demonstrate the superiority of the selective ACK over the

cumulative ACK, are too unrealistic for the operations of real schemes such as the one proposed. The approach used by Doshi and his colleagues in the analysis of SNR protocol is the only exception to this account (for the protocol see [11] and for analysis [6]), and it is the main inspiration for the throughput analysis to be presented. However, there are several significant differences between the SNR error control scheme and the proposed scheme that require different treatment in the throughput analysis.

The assumptions made for the throughput analysis are the following:

- A packet is lost if it is either corrupted or dropped in the underlying network. Each packet can be
  lost independently with probability p.
- An acknowledgment (PACK or SNAK) always comes back to the sender  $t_a$  time after the transmission of the last packet of a packet group, where  $t_a = RTD + 3 \times t_p$ . The delay for the receiver to send out an acknowledgment after receiving the last packet of a segment is assumed to be  $3 \times t_p$ .
- Application requires 100% reliable delivery of all segments.
- The probability  $(1-(1-p^2)^s)$  of requiring more than one retransmission for each packet is negligible.

The key assumption is that the probability for a retransmitted packet to be lost is negligible. With this assumption, we are able to identify regenerative cycles for the error control operation on a connection. Within one cycle, we first determine the actual number of segments delivered and the ideal number of segments deliverable under error-free conditions. The ratio of the actual number of segment to the ideal number of segment defines the throughput efficiency of the scheme. Let  $g = [1 - (1 - p)^s]$  denote the probability that a segment will require at least one packet retransmission. The following expressions can be derived using this approach (see [8] for details):

$$ThE \approx \frac{N_{actual}}{N_{ideal}}$$

$$\approx \frac{g^{-1} + W}{2w_a + 1 + p + \frac{(w_a + 1)}{W}g^{-1}} \quad 1 < W \le (w_a + 1)$$

$$ThE \approx \frac{w_a + \min(W - w_a, w_a(1 - p)) + g^{-1}}{2w_a + 1 + p + \min(W - w_a, w_a(1 - p)) + g^{-1}} \quad (w_a + 1) < W < (2w_a + 1)$$

Note that due to the simplifying assumptions made, the identified cycle is only appropriate for deriving

expressions with  $1 < W < (2w_a + 1)$ . For W = 1, using the average end-to-end delay  $D_s$  from Section 5.2:

$$ThE \approx \frac{t_s}{D_s}$$

When  $W \geq 2w_a + 1$ , the only reduction in throughput is due to retransmission, therefore:

$$ThE \approx (1-p)$$

Numerical results obtained using these expressions will be shown in Section 5.3.

Throughput performance with higher loss probabilities as well as bursty losses is studied using simulation, which are described in Section 5.2.

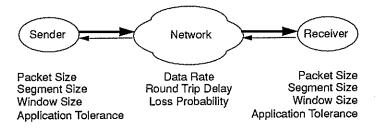


Figure 8: Simulation Configuration

#### Discrete Event Simulation

There are many aspects of error control that require a detailed simulation study in order to understand their interactions and to obtain accurate measures of performance under more realistic conditions. For example, the aspects of transmission scheduling and application-dependent selective retransmission were not accounted for in the simple analyses. The effect of bursty packet loss also requires simulation study. A set of discrete event simulation programs has been developed for this purpose. The simulation configuration is shown in Figure 8.

The underlying network is modeled as a "black box" characterized by a connection data bandwidth, a round trip delay, and a packet loss process. The end system consists of two transport entities, a sender and a receiver. The end system parameters include packet size, segment size, window size, and application error tolerance. Performance measures of main interest are throughput efficiency, average end-to-end delay, and maximum end-to-end delay of a segment.

There are other parameters that can affect the performance of an error control scheme. For example, the total number of data segments to be transported and the amount of physical memory in the sender and receiver. However, these effects can be minimized by transmitting a large amount of data ( $\geq 10^7$  packets) and by assuming very fast processors with large memories.

All aspects of the proposed error control scheme are modeled in the simulation except for the following simplifying assumptions:

- The round trip delay (RTD) on the connection does not vary in the duration of data transfer and the delay on each direction is RTD/2.
- All control packets are sent "out-of-band" which means they do not consume the bandwidth of
  data connection and none of the control packets will ever be corrupted or lost.
- An acknowledgment (PACK or SNAK) always comes back to the sender  $t_a$  time after the transmission of the last packet of a packet group, where  $t_a = RTD + 3 \times t_p$ .
- Receivers always have sufficient buffer space to match the window size used, and therefore, no overflow can occur at receivers.

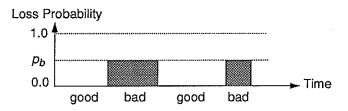


Figure 9: Bursty Loss Process

With simulations, the effect of bursty losses on the performance is also studied, in addition to the cases of random loss. The bursty loss process is modeled with two alternating states, good and bad, as shown in Figure 9. There is no loss in the good state while packet loss occurs with probability  $p_b$  in the bad state. The durations for each state can be deterministic or exponentially distributed.

#### 5.3. Numerical Results

This section presents and discusses a small subset of results from the simulation study. More results are available in [8].

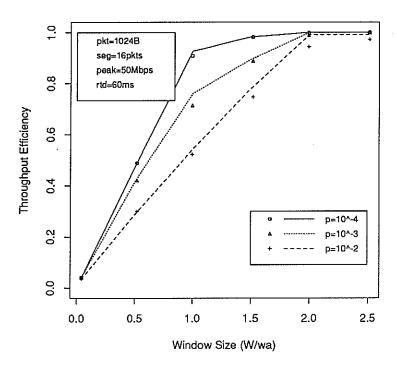


Figure 10: Throughput Efficiency - Analysis vs. Simulation

#### Throughput Efficiency with Random Loss

Figure 10 shows throughput efficiency against window size. The results from both the analysis and the simulation are shown for comparison. The connected lines correspond to the analysis and the discrete symbols are for simulation. The loss probability was varied to obtain a family of three data sets which are labeled with different symbols in the figure. The error control was providing 100% loss recovery. The following can be observed from this plot:

- With very small loss probability ( $\leq 10^{-4}$ ), a window size of  $(1+w_a)$  is sufficient for achieving close to maximum throughput efficiency. Because with no loss, a window of size  $(1+w_a)$  is sufficient to keep the sender busy all the time.
- Larger window sizes are necessary to achieve the same efficiency when loss probability is higher. However, with loss probability of up to  $10^{-2}$ , a window size of about 2.5 times bandwidth-delay product can achieve almost perfect throughput efficiency. The factor of 2.5 is in the same range as that found by Doshi et al. in SNR study. Although  $2.5 \times w_a$  may correspond to a fairly large memory requirement for large bandwidth-delay product networks, the factor 2.5 should be reasonable considering the very high bandwidth achieved.

• The throughput efficiency expressions derived in Section 5.2 seem to provide quite accurate prediction for loss probabilities up to 10<sup>-2</sup>. But as expected, the analysis consistently predicts higher throughput due to the optimistic assumption about packet loss.

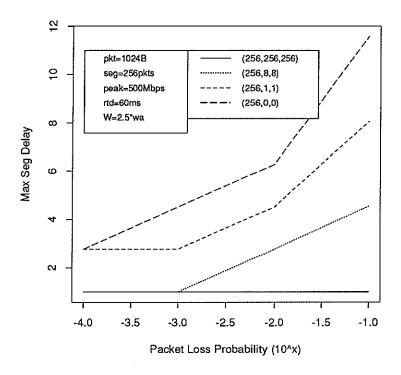


Figure 11: Maximum End-to-End Delay

# Maximum Delay with Random Loss

To study the effect of packet loss on maximum segment delay and evaluate the effectiveness of the application-dependent recovery mechanism, another simulation experiment was conducted. In this experiment, the error control mechanism dynamically recovers from losses according to four different settings of application tolerance. The collected maximum delay is plotted against packet loss probability in Figure 11. The family of four curves corresponds to the four different error tolerances as shown in the legend. The results demonstrate that:

• When the application requires 100% loss recovery (i.e., tolerance=(256,0,0)), it suffers significant increase in maximum delay with increasing loss probability; on the other extreme, it is immune to the increased loss if it can tolerate all losses (i.e., tolerance=(256,256,256)) as one would expect.

• More importantly, it is seen that lower maximum delay can be achieved by tolerating more packet losses using the scheme across a wide range of tolerances. For example, with loss probability equal to 10<sup>-3</sup>, an application can reduce its maximum delay from 154 ms to 94 ms by tolerating only one packet loss in a segment and the saving of 60 ms is a very significant amount of processing time.

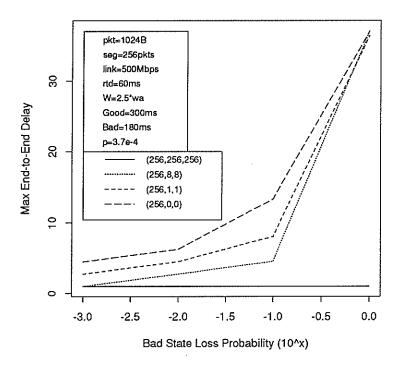


Figure 12: Maximum End-to-End Delay with Variable Tolerance

#### Application-Dependent Recovery with Bursty Loss

Figure 12 shows the trade-off between the loss tolerance and the maximum segment delay achievable under bursty losses. To maintain the readability of the plot, only the result for mean bad state duration 180 ms is included. The set of four curves represent the maximum delay vs. loss probability relationships for four different loss tolerances. In the legend, p represents the effective loss probability for the bad state duration with bad state loss probability  $10^{-3}$ . The effective loss probability is computed as  $p = \frac{p_b d_b}{d_g + d_b}$ , where  $d_g$  and  $d_b$  are the mean duration time for good and bad states respectively. The following can be observed from the plot:

• Similar to the results under random losses, as the application tolerance increases from (256, 0, 0) to (256, 256, 256) the maximum segment delay shows significant decrease accordingly.

- As the bad state loss probability approaches 1 the differences among the three lowest tolerance settings diminish. This is not surprising for the following reasons:
  - All three tolerances will be violated under the same condition because every packet will be lost once in a bad state.
  - Recall that the retransmission strategy will request retransmission for all the packets lost in a burst from the point the tolerance is violated, which include almost all the packets lost for all three tolerance settings.
  - Once the three tolerance settings lead to the same number of segment retransmissions, waiting time due the round trip delay affects them the same way.

Additional results with different bad state durations have shown that: (1) As the bad state duration increases, the trade-off between tolerance and delay becomes much more significant as an indirect result of increased impact of bursty loss on the maximum delay; (2) When the bad state duration is decreased, the reduction in maximum delay with increasing tolerance becomes less. However, with bad state duration as short as 15 ms the trade-offs with the same set of tolerances as used above are still appreciable.

Features of Scheme	Proposed	SNR	
Location for	Receiver	Sender	
Loss Detection			
Loss Detection Method	Gap Detection & Timer	Timer	
Error Control Unit	Segment	Block	
Retransmission	Selective	Selective	
Strategy			
(Unit)	(Packet)	(Block)	
Control Info	Pause/End	Highest seq#	
Sender→ Receiver			
(Frequency)	(When Idle)	(Periodic $T_{in}$ )	
Control Info	Cumulative ACK &	Cumulative ACK &	
Receiver→ Sender	Selective NAK	Selective NAK	
(Frequency)	(Periodic NAK)	(Periodic $T_{in}$ )	
Support for Variable	Application Dependent	Yes or No	
Grades of Reliability	Recovery	Error Control	

Table 1: Proposed Scheme versus SNR Scheme

#### Comparison with SNR Scheme

SNR is a transport protocol developed in AT&T Bell Labs [11]. The most important features of SNR are:
(1) periodic and complete state exchange between sender and receiver and (2) selective retransmission

based on blocks (block=multiple packets). Key ideas of SNR have been accepted as part of the B-ISDN T1S1 standard. Doshi and his colleagues have also shown that SNR error control scheme outperforms those used in existing protocols [6]. Therefore, the proposed error control scheme is compared with the SNR scheme. Table 1 provides a qualitative comparison between the two schemes.

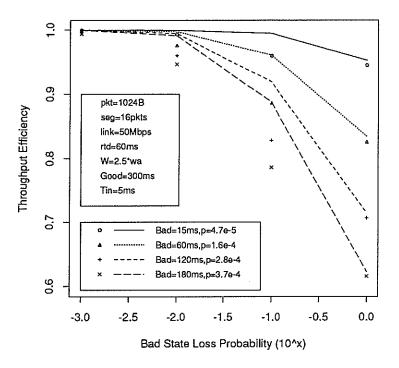


Figure 13: Throughput Efficiency Comparison

Figure 13 shows a comparison of throughput efficiency between the proposed scheme (in line style) and SNR scheme (by discrete points). There are four sets of data corresponding to four different mean bad state durations. As expected, there is little difference between the two schemes when bad state loss probability is very low ( $< 10^{-3}$ ); but as bad state loss probability increases, the proposed scheme performs better and better than SNR scheme; the difference reaches a maximum before it starts to diminish as the bad state loss probability approaches 1. The diminishing difference is also expected, because when almost every packet is lost in the bad state, selective retransmission at the packet level has very little advantage over retransmission at the block (segment) level. It should also be noted that, the performance advantage of the proposed scheme increases with longer bad state duration.

Simulations have also been done for comparison of the SNR error control and the proposed scheme under random loss conditions. Throughput efficiency, average delay, and maximum delay were all collected for each of the simulations. All the comparison results showed superior performance by the proposed scheme over the SNR scheme.

The performance advantage of the proposed scheme over that of SNR stems mainly from the fact that SNR performs retransmission on a per block (multiple packets) basis whereas the proposed scheme selectively retransmits only lost packets. It is recognized that the main incentive for retransmitting larger blocks is to reduce the amount of state information for retransmission and to simplify retransmission logic. But, for demanding applications such as pipelined computing and visualization, the additional complexity is well justified given the improvement in performance. The shorter delay in requesting for retransmission is another factor that contributes to the better performance of the proposed scheme. In the case of the SNR protocol, transmission of the receiver state information to the sender is strictly time-driven. The minimum interval at which this occurs is  $T_{in}$ , determined as a fraction of the round trip delay RTD. In the proposed scheme, sending of the first SNAK packet for a segment is driven by the detection of a loss. Following the example of Doshi et al.,  $T_{in}$  is set to 5 ms for RTD = 60 in the SNR simulations. This results in an average delay in sending retransmission request of  $T_{in}/2 = 2.5$  ms. However, the proposed scheme assumes a delay for initiating a request (SNAK) that is equivalent to the transmission time for three packets  $(3 \times t_p)$ . For all cases studied, this delay is less than 2.5 ms, and therefore, the proposed scheme has a smaller loss recovery delay. Determining  $T_{in}$  solely based on RTD but not the average bandwidth of the connection does not seem sufficient for achieving efficient loss recovery.

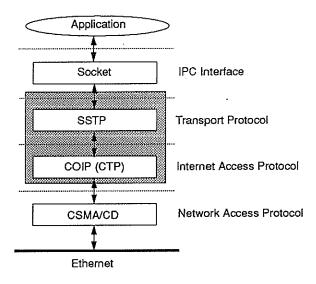


Figure 14: Protocol Hierarchy

# 6. IMPLEMENTATION

The proposed error control scheme has been implemented along with a flow control scheme as part of a segment streaming transport protocol (SSTP) inside the SunOS 4.0.3 kernel. SSTP is built on top of a connection-oriented internet protocol (COIP) designed by Cranor [5]. Figure 14 displays the protocol hierarchy used in the SSTP implementation. Applications use SSTP service through the standard socket interface. SSTP provides flow-controlled segment streams with variable degrees of reliability by building on the services provided by CTP; and CTP is supported by the Ethernet protocol CSMA/CD.

Extensive trace data has been collected that verified the error control function. We also measured the throughput performance of the SSTP implementation using both custom software and a kernel probe technique developed by Papadopoulos [13]. The protocol processing delay results are summarized in Table 2. Protocol processing delays at both the sending and receiving ends are measured on a large number of packets. The resulting average is shown in the second column of the table. Note that this delay measure does not include the time for copying data from the application space to the kernel space or vice versa. In the last column, we also show the theoretical throughput corresponding to the given processing delay.

Sending/Receiving	Average Delay	Theoretical Throughput
Sending	$273~\mu s$	30 Mbps
Receiving	$310~\mu \mathrm{s}$	26 Mbps

Table 2: Per Packet Processing Delay

It is worth noting that the corresponding theoretical throughput for TCP/IP has been found to be about 22 Mbps. Thus, SSTP/COIP is about 20% faster than existing TCP/IP implementation. While TCP/IP implementation has been carefully crafted over the years, very little effort has been made to optimize implementations of SSTP or COIP. Furthermore, efficiency of the SSTP/COIP implementations are limited by the mbuf-based memory management scheme and by the lack of efficient timer support in the operating system kernel. We expect significant performance improvement for SSTP/COIP with the removal of these constraints and with additional hardware assistance. Since the control mechanisms of SSTP have been designed to maintain efficient operation even in large bandwidth-delay product networks, SSTP is expected to perform much better than TCP in high-speed network environments. More detailed results of the implementation are reported in [8].

#### 7. SUMMARY

This paper has presented an application-oriented error control scheme for high speed networks. It is designed as a transport protocol mechanism for distributed pipeline applications. The proposed triplet specification for loss tolerance allows accurate description of the application's reliability requirement and also lends itself to easy enforcement. The recovery scheme performs selective packet retransmission according to application loss tolerance, thus minimizing retransmission delay. It uses a gap-based loss detection method to ensure early loss detection. The scheme also introduces redundant retransmission requests by sending a request periodically from the receiver to the sender, which avoids long recovery delay in the face of a request loss.

Analysis and simulation of the error control scheme have also been presented. The results support the following conclusions: (1) The proposed scheme can achieve high throughput with reasonable buffer requirements and with a range of random and bursty loss conditions. (2) The maximum delay increases significantly with packet losses. This leads to a large variance in segment delay. (3) The proposed application-dependent loss recovery scheme provides an effective means for controlling the trade-off between error tolerance and the maximum segment delay. (4) The proposed scheme gives superior throughput and delay performances than the SNR scheme which has been shown to outperform other proposed schemes. Our measurement results show that even the primitive SSTP/COIP implementation performs significantly better than the well-crafted TCP/IP protocol.

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