

Determination of hydroxyl groups in biorefinery resources via quantitative ^{31}P NMR spectroscopy

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The analysis of chemical structural characteristics of biorefinery product streams (such as lignin and tannin) has advanced substantially over the past decade, with traditional wet-chemical techniques being replaced or supplemented by NMR methodologies. Quantitative ^{31}P NMR spectroscopy is a promising technique for the analysis of hydroxyl groups because of its unique characterization capability and broad potential applicability across the biorefinery research community. This protocol describes procedures for (i) the preparation/solubilization of lignin and tannin, (ii) the phosphitylation of their hydroxyl groups, (iii) NMR acquisition details, and (iv) the ensuing data analyses and means to precisely calculate the content of the different types of hydroxyl groups. Compared with traditional wet-chemical techniques, the technique of quantitative ^{31}P NMR spectroscopy offers unique advantages in measuring hydroxyl groups in a single spectrum with high signal resolution. The method provides complete quantitative information about the hydroxyl groups with small amounts of sample (~30 mg) within a relatively short experimental time (~30–120 min).

Introduction

Over the past decade, advances in genetic engineering and biotechnology have led to a new manufacturing concept directed at converting renewable lignocellulosics to valuable fuels and products, generally referred to as the biorefinery¹. The development of biomass-based biorefineries, in turn, has been the main impetus for developing methods to assess the characteristics of the biorefinery feedstocks, process intermediates, and final products. A strong case can be made that substrate characterization in biorefinery has emerged as a research field in its own right. Characterization of biorefinery resources has been examined by several state-of-the-art analytical techniques including Fourier transform infrared spectroscopy (FTIR)², fluorescence spectroscopy³, HPLC⁴, gas chromatography–mass spectrometry (GC–MS)⁵, NMR spectroscopy⁶, gel permeation chromatography (GPC)⁷, scanning electron microscopy⁸, tunneling electron microscopy⁹, atomic force microscopy¹⁰, Raman spectrometry¹¹, time-of-flight secondary ion mass spectrometry (ToF-SIMS)¹², and small-angle neutron scattering¹³ along with a host of wet-chemistry and biological assays.

Phosphitylation followed by ^{31}P NMR spectroscopy analysis is a promising technique for the analysis of hydroxyl groups. Since the initial publication series entitled “ ^{31}P NMR in wood chemistry”^{14–21}, over 500 research efforts have used or adopted the proposed technique for the analysis of lignins and other biomass-related products and product streams. However, a survey of the literature identified that on occasion there have been some iterations of the method (mainly related to internal standards (ISs), solvents used, and sometimes data interpretation) without clear experimental data justifying these choices, which may lead to misleading results. Hence, at this juncture, there is a clear need to publish a well-defined protocol to help maintain and promote the scientific accuracy and uniform application of this valuable methodology as currently used by both academia and industry. In this protocol, we describe how to perform quantitative ^{31}P liquid-state NMR spectroscopy analysis on various organosolv lignin and tannin samples^{22,23}. In all cases, the samples need to be sulfur free, rich in hydroxyl groups, and of limited carbohydrate contamination. The same protocol can also be

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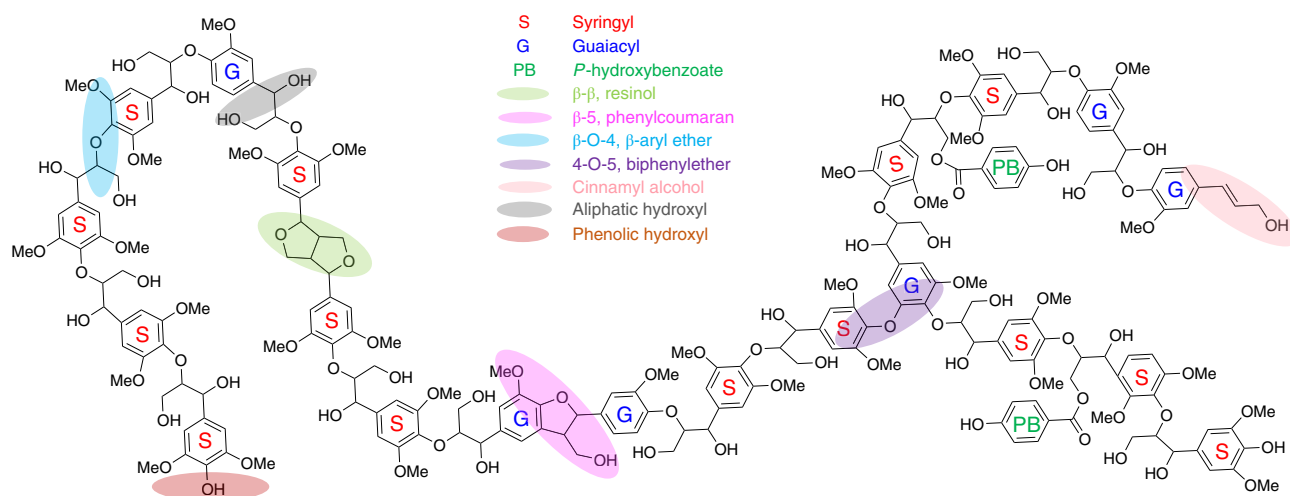


Fig. 1 | A representative structural model of hardwood lignin, as predicted from NMR-based lignin analysis. Adapted with permission of the American Society of Plant Biologists, from "Lignin biosynthesis and structure," Vanholme et al., *Plant Physiol.* Vol. 153, 2010.

extended to polymer chemistry^{24–26} and biological systems such as lipid metabolites²⁷, as long as hydroxyl and carboxyl groups are presented.

Structure of lignin and tannin

Lignin, a 3D heterogeneous phenolic polymer in the plant cell wall, is generated in substantial amounts in most current biorefinery systems that focus mainly on converting plant polysaccharides to liquid fuels. In lignocellulosic plants, lignin is synthesized by combinatorial free radical polymerization of phenylpropanoid monomers, namely, coniferyl, sinapyl, and *p*-coumaryl alcohols, giving rise to guaiacyl (G), syringyl (S), and *p*-hydroxyphenyl (H) subunits²⁸. In addition, other types of flavonoids, stilbenes, and hydroxyphenylpropanoid compounds including tricetin²⁹, hydroxystilbenes³⁰, hydroxycinnamaldehydes³¹, hydroxycinnamyl acetates³², dihydrocinnamyl alcohols³³, and the catechol-based hydroxycinnamyl alcohols caffeoyl and 5-hydroxyconiferyl alcohols³⁴, all derived from the monolignol biosynthetic pathway, have also been reported to be subunits of lignin in either wild-type or transgenic plants³⁵. The C₉ phenylpropane units are primarily connected through ether (e.g., β -O-4, α -O-4, 4-O-5) and carbon-carbon (e.g., β - β , β -5, β -1, 5-5) linkages, with β -O-4 being the dominant linkage. The lignin macromolecule also contains various functional groups including hydroxyl, carbonyl, methoxy, and carboxyl groups that have an effect on lignin's reactivity. A representative structure of hardwood lignin is shown in Fig. 1, which does not depict the actual structure of lignin but outlines the common linkages and functional groups known to occur in lignin and their relative frequencies determined by NMR spectroscopy studies^{36,37}. Despite high-value opportunities, lignin is still significantly underutilized in the current biorefinery systems, with the bulk of technical lignins being used to meet internal energy demands by combustion²⁸. The effective use of lignins in a future integrated biorefinery process strongly depends on the fundamental understanding of their chemical structures, and consequently, the structural analysis of lignin has indeed become a subdiscipline of its own²⁸. The inherent structural complexity of lignin brings substantial barriers to traditional analytical methods. Although a suite of methods have been developed, such as thioacidolysis³⁸, oximation³⁹, ozonation⁴⁰, alkaline nitrobenzene oxidation⁴¹, permanganate oxidation⁴², and derivatization followed by reductive cleavage (DFRC)⁴³, these techniques usually suffer from disadvantages such as being tediously laborious and involving many steps prone to significant error⁴⁴.

Proanthocyanidins are flavan-3-ol-based oligomers and polymers, also termed condensed tannins. They are ubiquitous in plants and constitute up to 40% of the dry weight of the bark in several species^{45–48}. Their structures are depicted in Fig. 2^{22,49,50}. Proanthocyanidins are currently exploited in a wide range of applications, including use in dyes for inks and textiles, waste water amendment, adhesives, binder formulations, biomedical and nutraceutical compounds, cosmetics, and food. The phenolic substitution patterns in rings A and B are characteristic of each proanthocyanidin species, as shown in Fig. 2c²². Ring B presents either a catechol or a pyrogallol hydroxylation pattern, whereas

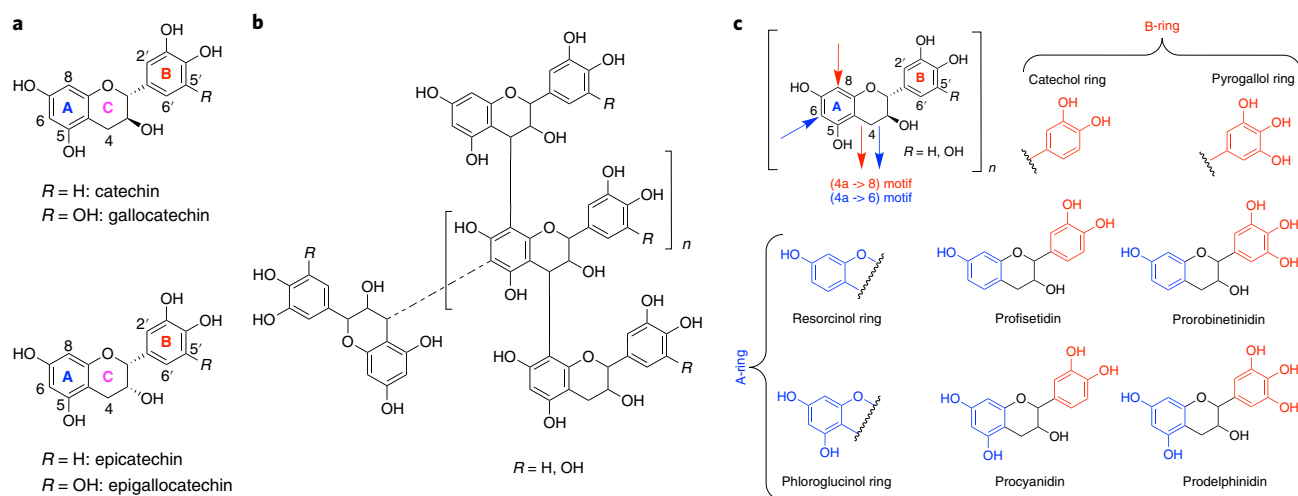


Fig. 2 | Structural features of proanthocyanidin and its subunits. a, Stereocenters. **b**, Regiochemistry of polymerization. **c**, Possible substitution patterns of A and B rings. Adapted with permission from ref. 22, American Chemical Society.

the phenolic distribution in ring A has a resorcinol or phloroglucinol hydroxylation pattern. These structural features determine the complexing, antioxidant, and biological properties of tannins^{50–52}. The specific phenolic substitution patterns result in different responses to the commonly used analytical protocols based on the determination of the phenolic groups' content or protein precipitation^{53–55}. This implies that the overall amount of proanthocyanidin or phenolic groups in a sample cannot be reported as a single value and does not allow the use of specific standards.

Methods for characterization of lignin and tannin

Lignin and tannin characterization has advanced substantially over recent decades, with conventional methods being replaced or supplemented by chromatographic and spectroscopic approaches such as pyrolysis GC–MS⁵⁶, ToF-SIMS¹², FTIR⁵⁷, near-infrared spectroscopy (NIR)⁵⁸, and NMR⁵⁹. Among these techniques, no other single technique has been more comprehensively used to offer structural insight into lignin than NMR technology, which has advanced the structural knowledge of lignin. Simple 1D ¹H and ¹³C NMR have been widely used to characterize alkyl groups, aliphatic/phenolic hydroxyl groups, methoxy groups, aromatic O/C/H structures, aldehydes, ketones, and β-O-4 substructures in lignin^{60,61}. 2D heteronuclear single-quantum coherence (HSQC) NMR is also attracting significant attention owing to its versatility in the determination of lignin interlinkages and subunits, lignin–carbohydrate complexes (LCCs)^{62,63}. Both ¹³C NMR (liquid state and cross-polarization magic angle spinning solid state) and HSQC NMR have been used to determine the compositional aspects of tannins^{64–66}. Furthermore, some gel-state and liquid-state 2D whole-cell-wall NMR techniques using different solvent systems such as dimethyl sulfoxide (DMSO)-*d*₆, DMSO-*d*₆/pyridine-*d*₅, and DMSO-*d*₆/deuterated hexamethylphosphoramide have been introduced to provide insights into the full array of polymers that comprise the plant cell wall, and this procedure requires only fine grinding of the biomass^{59,67–69}. In spite of the advances in these NMR approaches, both 1D (e.g., ¹H and ¹³C NMR) and 2D correlation (e.g., HSQC) techniques have their own limitations: 2D NMR is typically not fully quantitative, and 1D NMR usually suffers from the spectral overlap of functionality. More recently, the elucidation of lignin structure by quantitative 2D HSQC (Q-HSQC) NMR pulse sequences has been developed and has provided invaluable contributions to the understanding of lignin's structural details, including the degree of polymerization and the presence and role of branch units^{70–73}. However, Q-HSQC still cannot provide full quantitative information related to the nature and amount of the specific functional groups present on the lignin backbone.

An alternative approach to the abovementioned ¹³C and ¹H NMR methodologies is to selectively label functional groups such as hydroxyl groups with a specific NMR-active nucleus and then analyze the derivatized substrate by NMR. These active nuclei normally have much broader chemical shift ranges with less signal overlap and less interference from the homonuclear coupling. Most important, however, is the fact that the solvent normally has no effect on the NMR signal and thus solvent suppression is not necessary⁷⁴. Phosphitylation followed by ³¹P NMR analysis was one of the first

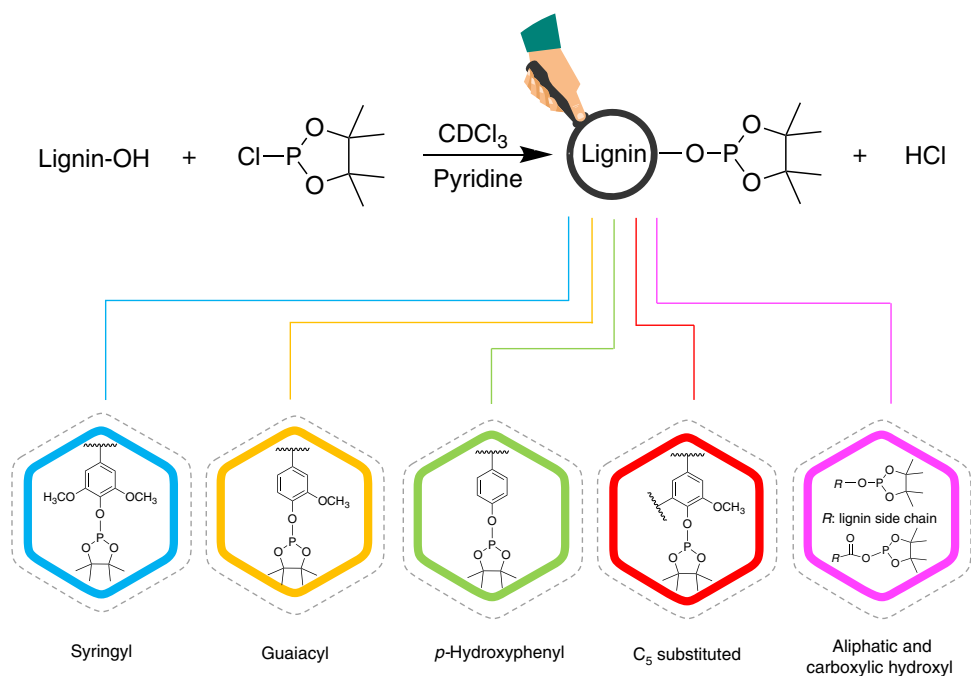


Fig. 3 | The phosphitylated products of the reaction between hydroxyl groups in lignin and TMDP in the presence of a solvent mixture of pyridine and deuterated chloroform.

methodologies to use this approach to characterize the hydroxyl groups in coal pyrolysis condensates and lignins^{14–16,75}. With appropriate phosphorus reagents, different hydroxyl groups including aliphatic, phenolic, and carboxylic, may now be readily quantified with ³¹P NMR spectroscopy. Hydroxyl groups, particularly phenolic, are one critical functionality that affects the physical and chemical properties of lignin and tannins. The role of the phenolic hydroxyl groups in lignin has been reported to be extremely important for its overall reactivity⁷⁶. For example, the existence of phenolic hydroxyl groups in lignin is known to promote the base-catalyzed cleavage of interunit linkages of lignin and oxidative degradation in the commercial pulping process⁷⁶. Furthermore, the phenolic hydroxyl group is critical in determining antioxidant activity⁷⁷, thermal and oxidative characteristics^{78,79}, and the properties of the resulting materials^{77,80}. A recent study also suggests that the condensed phenolic hydroxyl groups are a primary component in the nonproductive binding between lignin and cellulase enzymes, which detrimentally affects the biological conversion of biomass to simple sugars and subsequently the production of biofuels⁶². The content and regiochemical details of phenolic hydroxyl groups also regulate the protein-binding capacities and antioxidant activities of tannins⁸¹. Thus, the quantitative determination of hydroxyl groups in lignin and tannins is essential, offering vital information related to the chemical and biological reactivity of lignin and tannins in various biorefinery processes. In the following sections we provide an overview of the ³¹P NMR analysis protocol, using lignin as an example, as well as the development and application of this NMR technique in other areas.

Overview of the ³¹P NMR protocol

A typical ³¹P NMR experiment involves the phosphitylation of hydroxyl groups in the substrate using an appropriate ³¹P reagent (Steps 3–7) followed by quantitative NMR spectroscopy analysis (Steps 8–13), data processing (Steps 14–18), and calculation of the amounts of different hydroxyl groups (Steps 19–23). Since the introduction of 2-chloro-4,4,5,5-tetramethyl-1,3,2-dioxaphospholane (TMDP) in 1995, the reagent has seen wide applicability, and it is now the most commonly employed phosphitylating reagent for hydroxyl group analysis of biorefinery resources⁸². Figure 3 shows the phosphitylated products of the reaction between various hydroxyl groups (e.g., aliphatic, phenolic, and carboxylic acid) in lignin and TMDP in the presence of a solvent mixture of pyridine (major) and deuterated chloroform (minor). The HCl liberated during the reaction could cause decomposition to

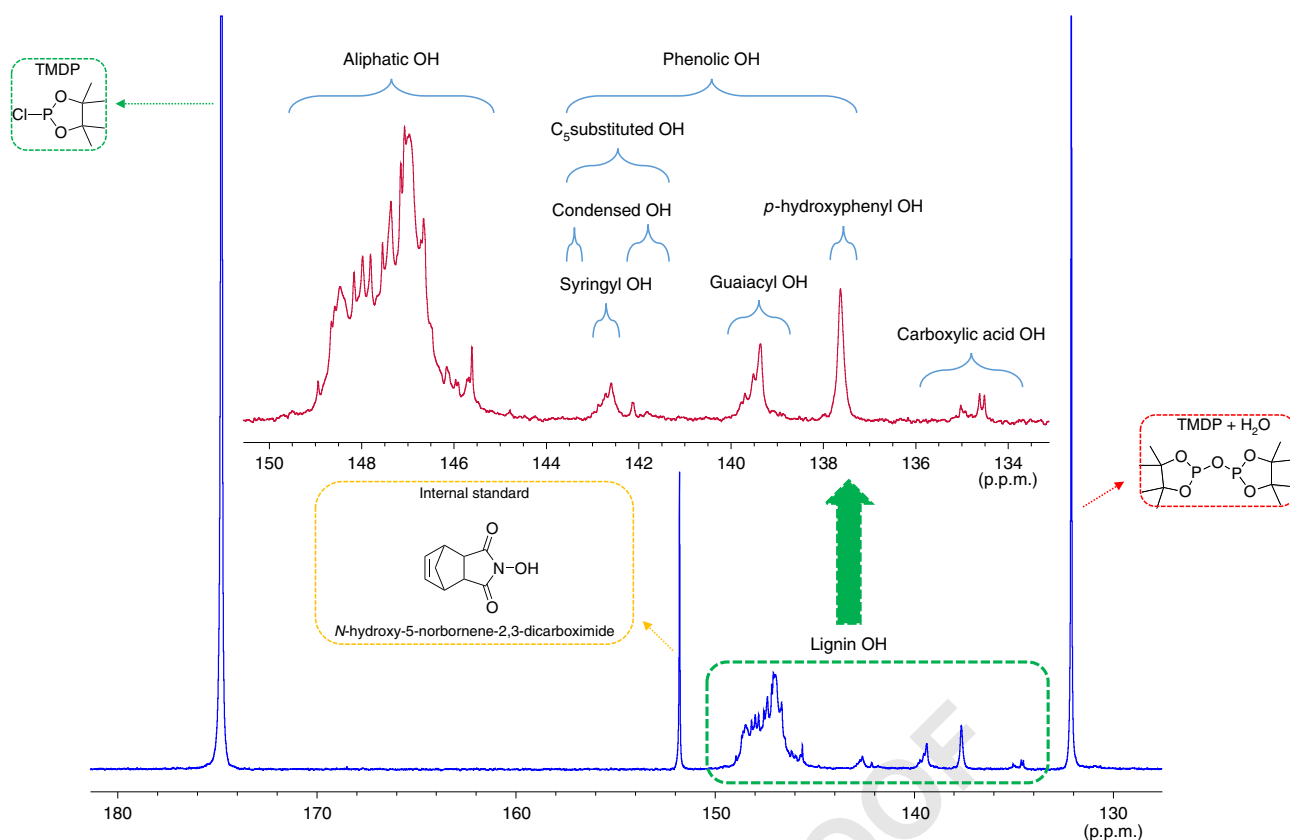


Fig. 4 | A quantitative ^{31}P NMR spectrum of a hardwood poplar lignin derivatized with TMDP using NHND as an IS.

the derivatized compounds, but it is captured by the excess amount of pyridine in the solvent mixture used, to form a pyridine–HCl salt. Deuterated chloroform is also added, offering a triple function: it helps dissolve the sample (along with the added pyridine), it prevents precipitation of the pyridine–HCl salt, and it provides the necessary deuterated signal for NMR signal locking. For the analysis of other types of polymers that have good solubility in CDCl_3 , the amount of pyridine in the solvent mixture can be reduced, as it serves only as an HCl-trap reagent in that case. After phosphitylation, a quantitative ^{31}P NMR spectrum can then be recorded and the phosphitylated hydroxyl groups are subsequently quantitatively assessed against an internal standard (IS). Figure 4 shows a typical ^{31}P NMR spectrum of hardwood lignin derivatized with TMDP using *N*-hydroxy-5-norbornene-2,3-dicarboximide (NHND) as an IS. The selection of solvent, ^{31}P reagent, and IS, as well as the peak assignments and integration regions, is further discussed in detail in the following sections.

Development of the ^{31}P NMR protocol

In the early and mid-1990s, Argyropoulos's group published a series of papers with the general title “ ^{31}P NMR in Wood Chemistry” focused on determining the hydroxyl groups in lignin model compounds, carbohydrates, LCCs, and lignins derived from various processes^{14–21}. In these papers, an extensive effort using 2-chlorine-1,3,2-dioxaphospholane (CDP) as the phosphorus reagent was made to prove that different hydroxyl groups in model compounds including carboxylic acid, guaiacyl, syringyl, and *p*-hydroxyphenyl offered notably well-separated ^{31}P NMR signals. Furthermore, significant differentiation also occurred between primary and secondary hydroxyl groups and between the erythro and threo conformations of β -O-4 structures, as they gave rise to sharp, single, and well-separated ^{31}P NMR signals^{16,83}. Subsequent studies suggested that one of the drawbacks of using CDP as the phosphorus reagent is that signal overlap is usually observed between syringyl phenolic, primary hydroxyls, and C_5 substituted condensed phenolic groups^{82,84}. To address this issue, Argyropoulos recommended the use of another phosphitylation reagent, namely, TMDP, which has now become the most commonly used phosphitylating reagent for hydroxyl group analysis in

lignin⁸², tannins^{22,81,85}, synthetic aromatic polymers²⁶, and edible oils^{86–93}. This was found to be particularly effective in terms of providing considerably better signal resolution for the uncondensed and condensed phenolic moieties in lignin⁸². Furthermore, Jiang and Argyropoulos showed that the coupling of Mannich chemistry on the lignin followed by ³¹P NMR offered an additional means to resolve even more the guaiacyl groups in softwood technical lignins from minor overlapping signals caused by condensed moieties⁹⁴. Notably, the ³¹P NMR technique has been further validated by an examination of a series of lignins that was the subject of an international analytical round-robin effort using independent methods of analysis^{82,95}.

Historically, benzoic acid⁹⁶, bisphenol²⁶, cholesterol⁹⁷, and cyclohexanol⁸² have all been used as ISs in ³¹P NMR analysis of lignin. However, these ISs overlapped with the resonance of lignin moieties, causing an incomplete baseline resolution and subsequently leading to underestimated values for lignin hydroxyl groups⁸⁴. In the early 2000s, Ragauskas's group introduced NHND as a promising alternative IS in ³¹P NMR analysis of lignin owing to its ability to be fully baseline resolved from lignin-derived resonances⁹⁸. His group has applied ³¹P NMR using this IS to diverse areas including the characterization of transgenic and pretreated biomass^{99–101}. In addition, Argyropoulos's group carried out extensive studies to elucidate the spin-lattice relaxation times and solvent effects on the ³¹P NMR chemical shifts and arrived at the now universally used relaxation additive (chromium acetylacetonate) and the mixture of pyridine and CDCl₃ at the ratio of 1.6:1 as the solvent^{15,20}. The above developments have allowed Crestini's group to show that ³¹P NMR allows the straightforward assignment of the structural details of both hydrolysable and condensed tannins^{22,81,85}. Recent advances in NMR technology, including the development of cryogenic probes and pulse-shaping software, have made it possible to acquire a spectrum from the whole plant cell wall without any laborious isolation of individual biomass components^{59,69}. Furthermore, Argyropoulos's group has developed various imidazole-type ionic liquids to dissolve and characterize cellulose and the whole plant cell wall at elevated temperatures (~80–100 °C) via quantitative ³¹P NMR analysis^{102–105}. In a recent study, Ben's group reported that a pyridine-based ionic liquid, 1-allyl-3-butylpyridinium chloride, was capable of dissolving the whole biomass at low temperature (50 °C) and could be used in conjunction with the ³¹P NMR methodology, thus providing a promising approach to quantitatively assess the hydroxyl groups in biomass as their original structures¹⁰⁶. The broad applicability of this technique within the biorefinery research community is demonstrated in the following section.

Applications of the ³¹P NMR protocol

Nowadays, the quantitative ³¹P NMR technique is widely used in characterizing hydroxyl groups of biorefinery resources. It has been used to determine the functional groups of different industrial technical lignins including herbaceous lignins (soda and organosolv wheat straw), hardwood lignin (Alcell and organosolv poplar), and softwood lignin (Indulin Kraft, organosolv spruce)^{73,107}. Biomass pretreatment, an important step to overcome biomass recalcitrance, often requires elevated temperatures under acidic or alkaline conditions. A large variety of depolymerization or condensation reactions typically occur within the lignin structure under such conditions. Quantitative ³¹P NMR analyses of lignins isolated from various biomass pretreatment technologies have been highlighted in recent studies^{101,108–117}. As lignin has become a key genetic engineering target for the enhancement of wood quality and biofuel production^{118,119}, quantitative ³¹P NMR analyses also have been carried out on transgenic lignin^{97,120}. Use of the ³¹P NMR methodology along with DFRC offers unique information about etherified and carbon–carbon linked bonding patterns in lignin¹²¹. Fu et al.¹²² initially adopted quantitative ³¹P NMR to understand the nature of pyrolysis oils. ³¹P NMR was used by other researchers to track the water content of pyrolysis oil, as well as the chemical transitions of bio-oil that take place during pyrolysis of biomass and the ensuing catalytic upgrading processes^{123–128}. Following these efforts, a laboratory analytical procedure for the quantification of hydroxyls in bio-oil has been developed by National Renewable Energy Laboratory¹²⁹. Functional groups of LCCs in softwood and hardwood might be also analyzed by quantitative ³¹P NMR analysis^{130,131}. Biomass impurities, tricin, and tricin-like flavonoid derivatives were also successfully identified by this ³¹P NMR technique in recent studies^{132,133}. ³¹P NMR was also used to analyze the structural details of both hydrolysable and condensed tannins^{22,81,85}. More specifically, the ³¹P NMR technique provides a deep analysis of the substitution patterns in rings A, B, and C of the proanthocyanidins (Fig. 2), the acquisition of a specific fingerprint, and the determination of the purity of samples in complex matrices²². The principles and applications of this highly useful methodology involving quantitative ³¹P NMR in biomass, lignin, and biofuel precursor characterization have been summarized in two

critical reviews^{21,134}. Furthermore, in a series of food-chemistry-related studies, Dais's group successfully applied this ³¹P NMR technique on olive oils to quantify several minor compounds including phenolic compound, sterols, glycerol, and free acidity. Their studies have concluded that the application of this technique could be seamlessly extended to other edible oils, foods, and beverages for the purposes of quality control and authentication^{86–93}.

Advantages and limitations of the ³¹P NMR protocol

To date, many approaches have been developed to determine the hydroxyl groups in lignin and tannins. These methodologies have been compared in several publications^{135–138}. It was reported that the values of hydroxyl groups in lignins determined by ³¹P NMR are in good agreement with the values obtained by other independent techniques such as FTIR/pyrolysis, the conductometric titration method, and ¹H and ¹³C NMR spectroscopy^{96,139}. Compared with traditional wet-chemical techniques, ³¹P NMR offers unique advantages in determining hydroxyl groups in a single spectrum with great signal resolution. It allows the discrimination of the phenolic hydroxyl groups attached to syringyl, guaiacyl, and *p*-hydroxyphenyl units instead of simply offering the total aromatic hydroxyl groups. The method requires only small amounts of sample (~30 mg) and can be carried out within a relatively short experimental time (~30–120 min).

Nevertheless, the technique has its limitations. One of these is that the phosphorus reagent TMDP is pricey (€107/g in Europe, or \$133/g in the US) and currently not widely commercially available among the common chemical suppliers. Its availability is not always guaranteed, which makes the use of ³¹P NMR for the 'screening' of process parameters in biorefineries quite limited. However, Argyropoulos's group has arrived at a detailed protocol for its synthesis, which can be supplied upon request. As an NMR analysis, it always requires expensive hardware and specialized technical support. ³¹P NMR in particular also requires high-purity samples (free of moisture, ash, sulfur, and carbohydrates) and sufficient solubility of samples in appropriate solvents. Signal overlap in phenolic regions caused by similar aromatic ring substitution patterns is another issue. Specifically, hydroxyl groups in lignin syringyl units are typically difficult to separate from the condensed guaiacyl units, which can cause biased integration by simply splitting unresolved resonance signals⁸⁴. Derivatized samples and some ISs are not stable after a long period of storage, which requires an almost instant ³¹P NMR acquisition. Finally, the existence of amine groups can also interfere with the quantification of the hydroxyl groups in some cases, such as preparations including large amounts of enzyme contamination.

Experimental design

Substrate solubilization

The complete solubilization of the substrate in an appropriate solvent is an essential prerequisite for an accurate quantitative liquid-state NMR measurement. A mixture of deuterated chloroform and pyridine is the suggested solvent, based on the early identified principles for ³¹P NMR analysis of lignin, bio-oil, tannins, and other types of biorefinery resources¹⁵. The solvent used certainly can be adjusted on the basis of the properties or solubility of the substrates being analyzed. For lignin samples with limited solubility in chloroform and pyridine, *N,N*-dimethylformamide (DMF) could be introduced as a third solvent to help facilitate solubilization^{134,140,141}. In a recent study, Stücker et al.¹⁴² reported that a novel solvent system containing DMF, deuterated DMF, and pyridine (4.5:1:1 (vol/vol/vol)) is capable of fully dissolving sulfonated lignins. Pre-swelling of lignosulfonates in DMSO also proved to be an effective way to overcome the solubility obstacle of lignosulfonates in the conventional deuterated chloroform and pyridine solvent mixture¹⁴⁰. The same study also showed that although sonication can improve the solubility of lignin, it can also cause a dramatic increase of the aliphatic and phenolic OH groups, which is suggestive of depolymerization of lignin. Thus sonication is not recommended. When new solvents are introduced to help facilitate such analyses, one should always ensure their inert nature toward the phosphorylating reagents and the ³¹P NMR chemical shift dependency principles developed in the literature¹⁵. In this respect, completely new solvents or solvent mixtures may necessitate extensive verification of chemical shift integration ranges, as those originally proposed by the McGill team might not be valid in the new solvent system^{14–17,26}.

Internal standards

NHND has proved to be one of the most effective ISs in ³¹P NMR analysis of lignin; however, it is not stable after a long period of storage, and another type of IS such as cholesterol or triphenylphosphine oxide (TPPO) should be used instead if a long-term experiment or extended sample storage is

required¹⁴³. For example, cholesterol has proved to be an excellent IS in ³¹P NMR analysis of tannins owing to its long-time stability. The chemical shift of phosphitylated cholesterol (~144.8 p.p.m.) also does not overlap with other tannin functional groups⁸¹. In the case of pyrolysis oils, TPPO was considered as the best IS^{129,143}. The derivatization reagent used in ³¹P NMR analysis can react with water almost instantly, and thus a dry sample is essential. In addition, it is critical to ensure dry sample preparation conditions. All solvents to be used in the analysis should be of high purity and anhydrous. It is thus highly recommended that a fresh solvent mixture be prepared for each analysis set and that activated molecular sieves be used to minimize the moisture content.

NMR acquisition

In terms of the NMR acquisition, the inverse gated decoupling pulse needs to be routinely used to minimize the nuclear Overhauser effect enhancement for quantitative measurements^{21,82}. Chromium (III) acetylacetonate is usually introduced into the solvent system as a relaxation agent to shorten the spin-lattice relaxation time of the phosphorus nuclei^{82,97}. A relatively long interpulse delay (≥10 s) is also required in order to ensure complete spin relaxation before the application of a subsequent radiofrequency pulse^{134,140}. The spectra quality should be judged on the basis of characteristics such as flat baseline, sharp and phased peaks, and the absence of spectral artifacts. The chemical shift is usually internally calibrated relative to the sharp peak at around 132.2 p.p.m. (Fig. 4) arising from the phosphitylation product of TMDP with water and ~121.1 p.p.m. for the case of CDP^{82,95}.

Materials

▲ CRITICAL None of the equipment and reagents described below have to be obtained from specific suppliers. Alternative similar equipment and identical chemicals from different suppliers may certainly be used; however, it is highly recommended to use the same product specifications listed below to ensure accuracy. The use of appropriate personal protective equipment to minimize exposure to hazardous materials is also essential.

Biological materials

- Lignin **▲ CRITICAL** Lignin samples that have been analyzed successfully using this protocol include organosolv lignin derived from aqueous ethanol pretreated (180 °C, 1.25% H₂SO₄, 60% ethanol, 60 min) hardwood poplar, herbaceous switchgrass, and softwood pine. In all cases, the organosolv lignin, which is sulfur free, is rich in OH functionality, is low ash, and has limited carbohydrate contamination, is precipitated and recovered from the concentrated pretreatment liquor according to procedures described in the literature²³.
- Tannin **▲ CRITICAL** For this work, Quebracho Colorado (*Schinopsis balansae*) was collected in the Chaco region between Argentina and Paraguay, and black wattle (*Acacia mearnsii*) was collected in Tanzania. The plant material was collected, identified, and certified by SilvaTeam. The extracts studied are commercial samples obtained from *S. balansae* wood and *A. mearnsii* bark kindly supplied by SilvaTeam. Epigallocatechin gallate was supplied by Sigma-Aldrich.

Reagents

- Acetone (Sigma-Aldrich, cat. no. 650501) **! CAUTION** Acetone is an extremely flammable liquid and an eye irritant. Inhalation and contact with skin should be prevented.
- Chloroform-d (Sigma-Aldrich, cat. no. 151823) **! CAUTION** Chloroform-d is a skin/eye irritant, carcinogenic, and toxic if inhaled. Inhalation and contact with skin should be prevented.
- Cholesterol (Sigma-Aldrich, cat. no. C8667)
- Chromium(III) 2,4-pentanedionate (Alfa Aesar, cat. no. 12538)
- Drierite (Sigma-Aldrich, cat. no. 238988)
- Pyridine anhydrous (Sigma-Aldrich, cat. no. 270970) **! CAUTION** Pyridine anhydrous is an extremely flammable liquid and a skin/eye irritant. Inhalation and contact with skin should be prevented.
- 2-chloro-4,4,5,5-tetramethyl-1,3,2-dioxaphospholane (TMDP; Sigma-Aldrich, cat. no. 447536) **! CAUTION** TMDP can cause severe skin burns and eye damage. Inhalation and contact with skin should be prevented.
- Molecular sieves (type 3A; Sigma-Aldrich, cat. no. MX1583C)
- N-hydroxy-5-norbornene-2,3-dicarboxylic acid imide (NHND; 97%; Sigma-Aldrich, cat. no. 226378)
- N,N-dimethylformamide (DMF; Sigma-Aldrich, cat. no. 227056) **! CAUTION** DMF is a flammable liquid and a skin/eye irritant. Inhalation and contact with skin should be prevented.
- Calcium sulfate

Equipment

- Analytical balance (Sartorius, model no. BP 210 S, or equivalent) 331
- Drierite gas-drying unit (Sigma-Aldrich, cat. no. Z112879, or equivalent) 332 **Q11**
- Hamilton glass gastight syringes (Hamilton, cat. nos. 81243 and 81000, or equivalent) 333
- Glass vials (Sigma-Aldrich, cat. no. 27345, or equivalent) 334
- Glass Pasteur pipette (Sigma-Aldrich, cat. no. Z628018, or equivalent) 335
- Glass desiccator (Sigma-Aldrich, cat. no. SLW1591/02D, or equivalent) 336
- NMR tubes (Sigma-Aldrich, cat. no. Z272019, or equivalent) 337
- Stirring plate (Sigma-Aldrich, cat. no. CLS6795420D, or equivalent) 338
- Stir bars (Sigma-Aldrich, cat. no. Z126942, or equivalent) 339
- NMR spectrometer (e.g., Bruker Avance III HD 500-MHz with 5-mm BBO probe, capable of ³¹P detection, or equivalent) 340
- Vacuum oven (VWR, model no. 1400E, or equivalent) 341

Software

- NMR acquisition and processing software (Bruker Topspin 3.5pl7, or equivalent) 344
- MestReNova and VnmrJ 345

Reagent setup**Solvent A**

Prepare 10.0 mL of a solvent mixture (solvent A) composed of deuterated chloroform and anhydrous pyridine at a volume ratio of 1:1.6 (vol/vol). Solvent A can be stored at room temperature (20–25 °C) for up to 4 weeks over molecular sieves in a sealed container that has a hole cap with a PTFE-lined silicone septum. Wrap the cap of the container with moisture-resistant Parafilm. **▲ CRITICAL** Anhydrous pyridine is normally stored in a crown-cap bottle that has a hole in the cap and a PEFÉ-faced rubber liner under the crown-cap. It needs to be dispensed from the reagent bottle under inert atmosphere (e.g., N₂). Insert a needle connected to a Schlenk line or regulated low-pressure N₂ source equipped with a laboratory Drierite gas-drying unit into the septum to fill the space above the liquid with the inert gas inside the bottle. Use another glass gastight syringe as an outlet to withdraw the liquid from the container. 347–358 **Q12**

IS solution

Prepare a solution of chromium(III) 2,4-pentanedionate (Cr(acac)₃) by using solvent mixture A at a concentration of ~5.0 mg/mL, sealed from the atmosphere. Add NHND to the Cr(acac)₃ solution at a concentration of ~18.0 mg/mL (~0.1 M). Record the actual weight of NHND. This solution will be referred to as the IS solution. Record the actual weight of the entire IS solution (containing both Cr(acac)₃ and NHND). Store the IS solution over molecular sieves in a sealed container equipped with a PTFE-lined silicone septum, and wrap the cap of the container with moisture-resistant Parafilm. **▲ CRITICAL** For ³¹P NMR analysis of tannins or other types of substrate that need a long-term experiment or extended sample storage, it is recommended to use cholesterol as the IS. In that case, add cholesterol to the Cr(acac)₃ solution at a concentration of ~38.67 mg/mL (~0.1 M). Record the actual weight of cholesterol and the entire IS solution. 359–369

Procedure**Sample setup ● Timing ~24 h**

- 1 Place the lignin or the tannin sample into a vacuum oven at ~45 °C and allow it to dry until a constant weight is attained (~24 h). 370–373
- 2 Cool the samples to 25 °C in a glass desiccator over anhydrous calcium sulfate. 374–375

NMR solution setup ● Timing ~30 min–12 h

- 3 Transfer ~0.1 mL of the IS solution (see 'Reagent setup' section) into a 4-mL glass vial equipped with a PTFE-lined silicone septum. Record the actual weight of the 0.1 mL of IS solution. 376–378
- 4 Add ~30 mg of pre-dried lignin or tannin sample from Step 2 into the same vial. Record the actual weight of the samples to the nearest 0.1 mg. 379–380
- 5 Use a glass gastight syringe to add ~0.5 mL of solvent A (see 'Reagent setup' section) into the same vial with constant stirring, using a magnetic stirrer. Note: stir the solution overnight (~12 h) to fully dissolve the lignin or tannin samples if necessary (depending on the nature of the sample). 381–383

▲ CRITICAL STEP Treat solvent A as an anhydrous solvent and transfer the solvent under an inert atmosphere as described in the ‘Reagent setup’ section. Make sure the substrate is fully dissolved in the solvent mixture, as this is the key step for an accurate quantitative analysis.

? TROUBLESHOOTING

- Add ~0.1 mL of TMDP to the homogeneous lignin or tannin solution by using a glass gastight syringe, and wash the syringe with acetone immediately.

▲ CRITICAL STEP Excess amounts of TMDP need to be added so that all the hydroxyl group can be phosphitylated. ~0.1 mL of TMDP is more than enough for typical lignin or tannin analyses. However, for samples such as pyrolysis oil that may contain a significant amount of moisture and hydroxyl groups, up to 0.2 mL of TMDP should be added.

▲ CRITICAL STEP TMDP should not be added until a homogeneous solution is formed.

▲ CRITICAL STEP TMDP reacts with water almost instantly, producing a yellow precipitate in the reagent bottle. Thus TMDP should be transferred into a vial equipped with a PTFE-lined silicone septum so that it can be withdrawn from the container without the need to remove the cap.

■ PAUSE POINT The vial and original TMDP container should be wrapped with Parafilm and can be kept in a freezer at -20 °C for several days.

- Shake the mixture for ~30 s to several minutes and confirm that no precipitates are formed.

▲ CRITICAL STEP As long as a homogeneous solution is formed, the solution should be analyzed by NMR immediately. The phosphitylation derivative of NHND is not stable over a long period of time, and long-term storage will therefore lead to inaccurate measurements. If cholesterol or triphenylphosphine oxide is used as the IS (see ‘Experimental design’ section), the sample can be stored for up to several hours.

? TROUBLESHOOTING

NMR measurement ● Timing ~30 min to 2 h

- Transfer all the phosphitylated lignin or tannin solution from Step 7 into a 5-mm NMR tube via a glass Pasteur pipette.

- Load the sample tube into the NMR spectrometer with an appropriate probe capable of ³¹P detection.

- Set up the NMR parameters using the following recommended conditions:

Spectrometer	Bruker Avance III HD 500 MHz	421
Pulse program	Inverse gated decoupling pulse (zgig)	423
Nucleus	³¹ P	425
Spectral width (SW)	100 p.p.m.	427
Acquisition time (AQ)	~0.8 s	429
Relaxation delay (D1)	≥10 s	431
Number of scans (NS)	64 or more	433
Center of spectrum (O1P)	140 p.p.m.	435

▲ CRITICAL STEP More scans (128 or 256) could lead to an increased signal-to-noise ratio (S/N) at the cost of a longer experiment time. For 300-MHz spectrometers, a minimum of 128 scans is needed.

▲ CRITICAL STEP Bruker Avance III HD 500 MHz and Topspin 3.5 were used for NMR data acquisition and processing in this study. Equivalent experiments could also be performed on other NMR systems such as Agilent/Varian and JEOL, and the nomenclature for setting and parameters could be different. The obtained data could be processed via different software (e.g., MestReNova and Vnmrj) as well.

- Lock the spectrometer frequency to the deuterium resonance arising from the NMR solvent (CDCl₃).
- Shim the sample and tune the NMR probe using either manual or automated methods following the instructions provided by the manufacturer.
- Acquire the ³¹P spectra by applying the standard Bruker inverse gated decoupling pulse sequence.

Data processing ● Timing ~20 min

- Apply NMR processing commands to process the FID and calculate the spectrum (Bruker command: efp) and to perform automatic phase correction (Bruker command: apk).

Table 1 | Typical integration regions for lignins in a ³¹P NMR spectrum¹³⁴

Lignin functional group	Chemical shift (p.p.m.)
Aliphatic OH	-145.4–150.0
Phenolic OH	-137.6–144.0
C ₅ substituted	-140.0–144.5
5-5	-141.2
4-O-5	-142.3
Syringyl	-142.7
β-5	-143.5
Guaiacyl	-139.0–140.2
<i>p</i> -Hydroxyphenyl	-137.8
Carboxylic acid OH	-133.6–136.0

Table 2 | Typical integration regions for tannins in a ³¹P NMR spectrum²²

Tannin functional group	Chemical shift (p.p.m.)
Ring A	
<i>o</i> -Unsubstituted phenolic OH	137.9–137.4
<i>o</i> -Substituted	138.8–137.9
Ring B	
Catechol	140.2–138.8
Pyrogallol	144.0–140.2
Ring C	
Aliphatic OH	150.0–145.5

- 15 Make sure there is a sharp signal around 175 p.p.m. representing the extra TMDP peak. 455
? TROUBLESHOOTING 456
- 16 Calibrate the chemical shift by assigning the sharp TMDP + H₂O peak at 132.2 p.p.m. (Fig. 4). 457
- 17 Adjust the zero and first order phasing of the spectra to make all the peaks as symmetrical as possible. 458
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- 18 Integrate the NMR peak regions with respect to the IS (NHND) peak based on the assignments described in Table 1 (for lignins) and Table 2 (for tannins). 460
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- ▲ CRITICAL STEP** Certain ³¹P NMR spectra may show a distorted baseline that could prevent accurate integration of the peaks. A baseline correction should always be applied. Automatic baseline correction works in the majority of cases. The user can also carry out baseline correction manually by defining a polynomial function to subtract from the spectrum. Peak simulation or advanced line shape fitting is not necessary for the ³¹P NMR protocol. 462
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- ▲ CRITICAL STEP** The chemical shift assignments described in Tables 1 and 2 are based on ³¹P NMR analysis of lignin or tannin model compounds using TMDP and pyridine/CDCl₃ as the phosphitylating reagent and solvent, respectively. A completely new solvent system and ³¹P reagent may necessitate extensive verification of chemical shift integration ranges, as those originally proposed might not be valid in the new solvent system. 467
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Calculation of the amount of different hydroxyl groups ● Timing -30 min 473

- 19 Calculate the mole quantity of IS (NHND, with a purity of 97%) in IS solution: 474

$$\text{NHND in IS solution (mmol)} = \frac{\text{Mass of NHND (g)}}{179.17 \text{ (g/mol)}} \times 97\% \times 1,000$$

Box 1 | Determining the fine structural details of condensed tannins

1. Amount of proanthocyanidins = $1/2 \times$ catechols.
2. Average phenols in ring B (b) = (B ring OH)/($1/2 \times$ catechols).
3. Ring B substitution pattern = (pyrogallol OH)/($1/2 \times$ catechols).
4. Average phenols in ring A (a) = (A ring OH)/($1/2 \times$ catechols) = (2 ring A = phloroglucinol; 1 ring A = resorcinol).
5. Average monomeric unit molecular weight (M_w) = $C_{15}H_{12}O_2 + O(a + b)$, where a = average phenols on ring A and b = average phenols on ring B.
6. Sample purity (flavan-3-ol content) (%) = $1/2 \times$ catechols $\times M_w \times 0.1$.

20 Calculate the mole quantity of IS (NHND) in the NMR sample:

$$\text{NHND in NMR sample (mmol)} = \frac{\text{NHND in IS solution (mmol)}}{\text{Total mass of IS solution (g)}} \times \text{Mass of 0.1 mL of IS solution (g)}$$

21 Calculate the ratio (R) of the integration of the spectral region of interest (I_{OH}) over the IS region (I_{NHND}):

$$R = I_{OH}/I_{NHND} = \frac{\text{Integration of spectral region of interest}}{\text{Integration of NHND region}}$$

22 Calculate the amount of different hydroxyl groups in lignin/tannin samples, that is, mmol OH/g lignin:

$$\text{mmol of different types of OH per g of lignin/tannin} = \frac{R \text{ mmol of NHND in NMR sample (mmol)}}{\text{Dry weight of lignin/tannin sample (g)}}$$

23 Determine the fine structural details of condensed tannins using the scheme in Box 1.

Troubleshooting

Troubleshooting advice can be found in Table 3.

Table 3 | Troubleshooting table

Step	Problem	Possible reason	Solution
5	A heterogeneous mixture is formed	Poor solubility of the substrate in chloroform and pyridine solvent mixture	Add more solvent. If the substrate is still insoluble, a small amount of a third solvent such as DMF could be added to help dissolve the samples. Always keep in mind that the third solvent introduced into the system needs to be inert so as not to interfere with the sought functionality analysis and not significantly affect the chemical shifts ^{15,20} . Stir the solution overnight if necessary with a magnetic stir bar
7	Precipitates are formed	The substrate has too much moisture and/or the TMDP-solvent mixture has been contaminated by water There is not enough deuterated chloroform to dissolve the pyridine-HCl salt	The sample needs to be dried again before being dissolved in freshly prepared pyridine-chloroform-d and derivatized by fresh TMDP Add an extra amount of solvent A (deuterated chloroform and pyridine) until the precipitate is no longer visible. The amount of solvent being added will not affect the ensuing quantitative analysis
15	The TMDP peak is missing in the ³¹ P NMR spectra	There is not enough TMDP in the NMR sample solution, and thus the phosphorylation reaction is incomplete	If the TMDP peak is missing, repeat Step 14 by adding extra TMDP and perform the NMR experiment again until a sharp TMDP peak shows up

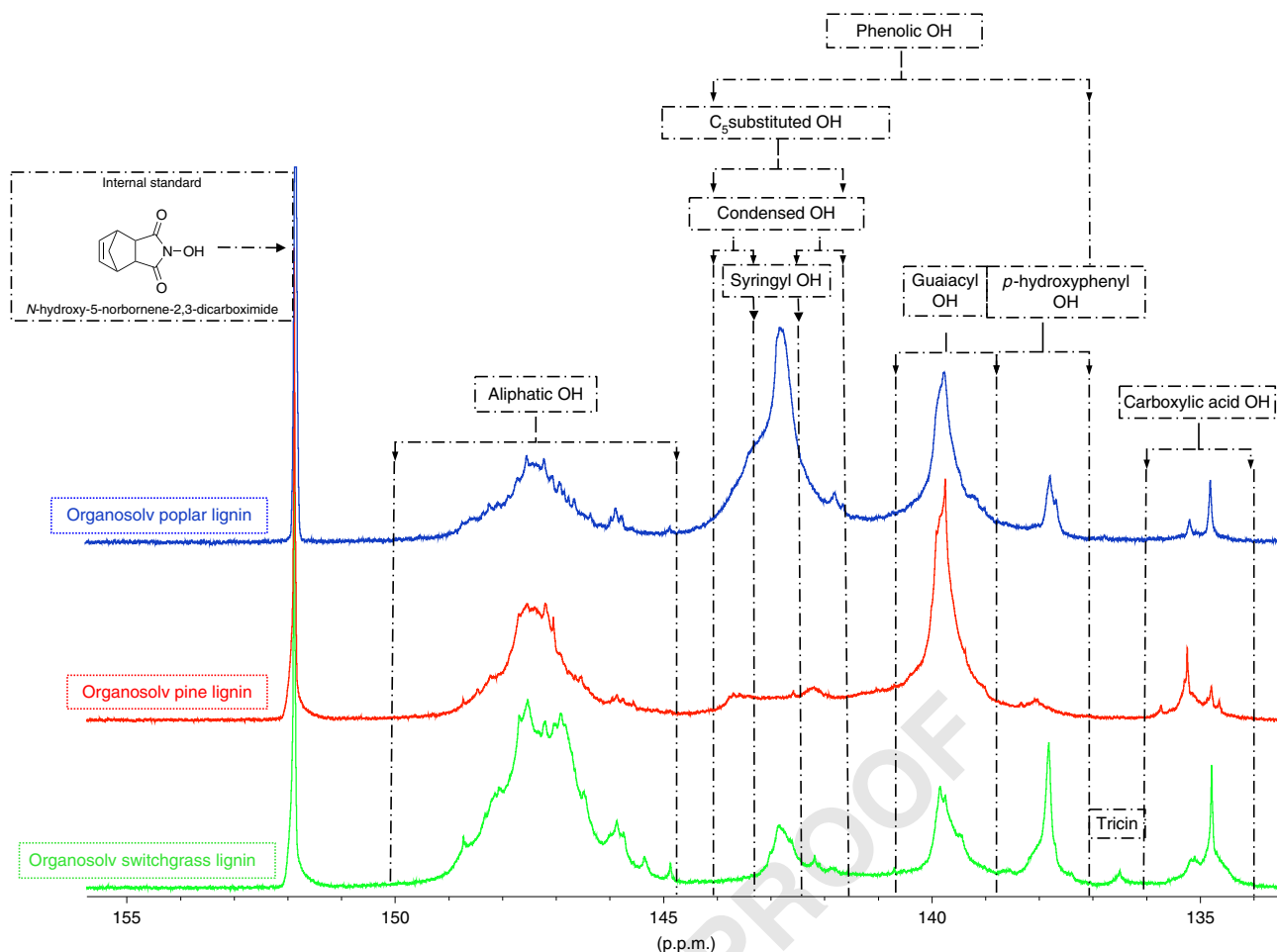


Fig. 5 | Quantitative ^{31}P NMR spectra of organosolv poplar, pine, and switchgrass lignin derivatized with TMDP using NHND as the IS.

Timing

- Steps 1 and 2, sample setup: ~24 h
- Steps 3–7, NMR solution setup: ~30 min–12 h
- Steps 8–13, NMR measurement: ~30 min–2 h
- Steps 14–18, data processing: ~20 min
- Steps 19–23, calculation of the amount of different hydroxyl groups: ~30 min

Anticipated results

Figure 5 shows three quantitative ^{31}P NMR spectra of phosphitylated organosolv poplar, pine, and switchgrass lignin derivatized with TMDP using NHND as the IS. A cryoprobe-equipped spectrometer was used in this study; room temperature probes are sufficient for the ^{31}P NMR protocol, but an increased number of scans (128 or 256) is needed to achieve the equivalent S/N. As shown in Fig. 5, the IS used in this study, NHND, has a chemical shift that is well separated from lignin-derived components. Different TMDP-derivatized OH groups including aliphatic, syringyl, guaiacyl, *p*-hydroxyphenyl, and carboxylic acid OH have substantially different chemical shifts, which allows them to be distinguished and quantified by ^{31}P NMR. It is recommended that the syringyl and other types of condensed 5-substituted units (e.g., 5-5 and β -5) be combined into C_5 substituted phenolic OH because of the signal overlap issue, in order to prevent possible overestimation of the syringyl OH and underestimation of condensed units^{84,107}. This normally will not be a problem for softwood lignins, as the C_5 -substituted OH is composed exclusively of condensed guaiacyl units as shown in Fig. 5. Taking into account the comments above, we show the calculated contents of five different

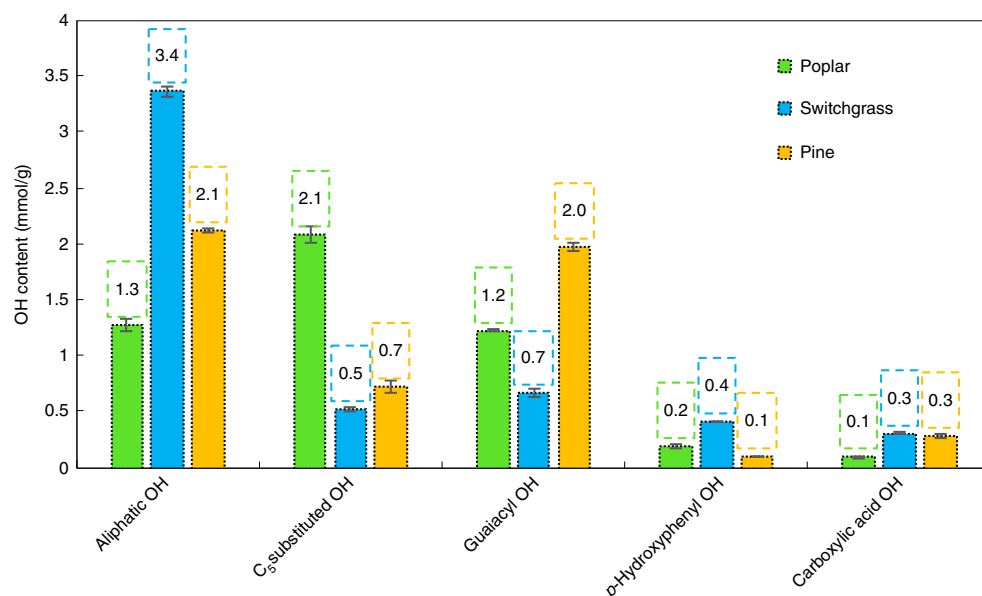


Fig. 6 | Contents of different hydroxyl groups in organosolv poplar, switchgrass, and pine lignin determined by ³¹P NMR analysis. Error bars represent ±s.d. of three individual measurements.

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types of hydroxyl groups expressed in mmol of OH groups per g of organosolv lignin samples in Fig. 6. Measurements were done in triplicate on distinct samples, and the results show great reproducibility, with the coefficient of variation ranging from 1.1% to 9.8%. Quantitative data clearly show that softwood lignin and herbaceous lignin were the richest in guaiacyl and *p*-hydroxyphenyl OH groups, respectively, while hardwood lignin had the greatest amount of C₅-substituted OH. Tricin, a flavonoid metabolite, was also identified in switchgrass lignin.

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The ³¹P NMR spectrum of proanthocyanidins can be divided into three main regions: 138.1–137.5, 142.5–137.9, and 146.0–145.0 p.p.m. relative to ring A (*o*-unsubstituted phenolic OH-groups), ring B (catechols/pyrogallols), and ring C (aliphatic OH), respectively. The absorbance area of ring B is further divided into two specific regions because of the *o*-disubstituted phenolics in 3,4,5-trihydroxyphenyl units (142.5–141.8/141.5–141.0 p.p.m.) and the *o*-monosubstituted hydroxyphenyl groups (139.4–137.9 p.p.m.) characteristic of the prorobinetinidin/prodelphinidin and procyanidin/profisetinidin subunits, respectively. Integration of the catecholic area allows the quantitative determination of the amount of flavan-3-ol units; in fact, each subunit in condensed tannins contains two catecholic groups in ring B (Fig. 2). Figure 7 shows representative spectra of commercial samples of condensed tannins along with A, B, and C rings in chemical shift regions. When the B ring belongs to the procyanidin/profisetinidin family, only signals in the catecholic area are present (Fig. 7a). In the case of samples containing prorobinetinidin/prodelphinidin units, an additional signal is present in the pyrogallol area (Fig. 7b). The ratio between the pyrogallol and the catechol content gives the ring B substitution pattern ratio.

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The specific nature of ring A is determined by the integration of the *o*-unsubstituted phenolic groups (138.1–137.5 p.p.m.). The ratio of the integrals of the regions related to B and A rings provides the average amount of phenolic units in the A ring per flavan-3-ol unit, and thus its specific resorcinol or phloroglucinol nature (Fig. 2). Technical samples can contain mixtures of different proanthocyanidins. In this case, the ratio of the different A rings' patterns is easily determined. The ³¹P NMR analysis of tannins also provides a determination of the sample purity. More specifically, it can be calculated from the ratio between the amount of flavan-3-ol units as determined by the integration of the catechol region (divided by 2, as each flavan-3-ol unit contains two catecholic OH groups) and the average *M_w* of the flavan-3-ol subunits as determined from the substitution patterns of A and B rings according to the following equation ²²:

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$$\text{Flavan-3-ol content}(\%) = (\text{Flavan-3-ol content}/\text{Theoretical flavan-3-ol content}) \times 100$$

where the flavan-3-ol content is determined by the mmol/g of catechols divided by 2, and the theoretical flavan-3-ol content is determined by 1/average flavan-3-ol *M_w*. When complex tannins are

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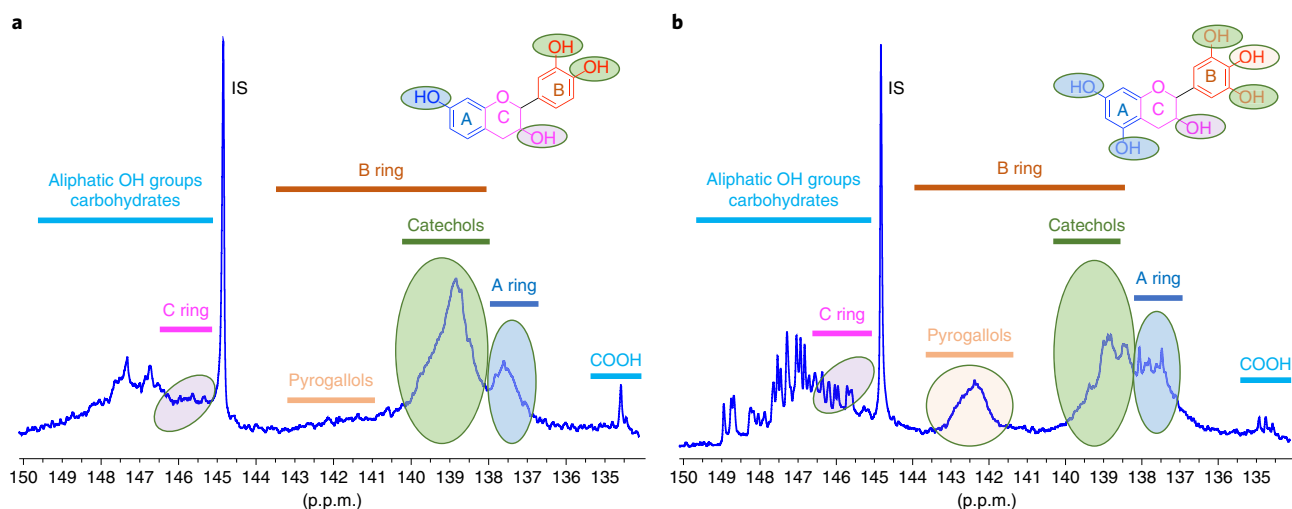


Fig. 7 | Representative ^{31}P NMR spectra of condensed tannins using cholesterol as the IS. a, *Schinopsis balansae* wood extract. b, *Acacia meamsii* wood extract. Reproduced with permission from ref. 22, American Chemical Society.

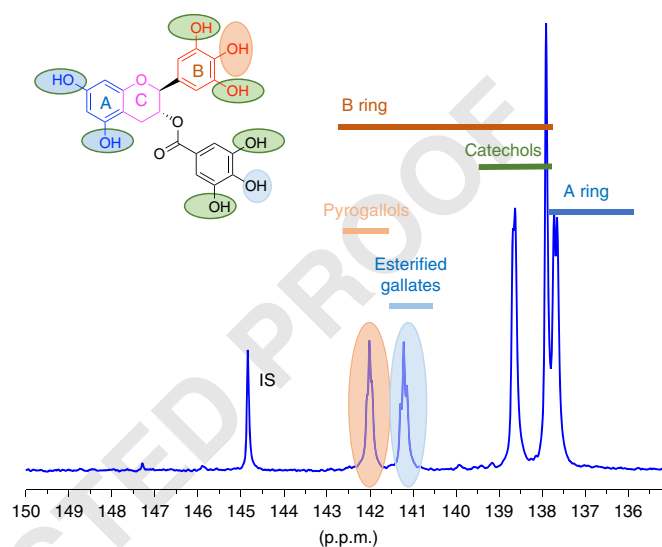


Fig. 8 | Representative ^{31}P NMR of a complex tannin (epigallocatechin-3-O-gallate) using cholesterol as the IS: signals of esterified gallates and B rings are excellently resolved. Adapted with permission from ref. 22, American Chemical Society.

present where both pyrogallol groups and esterified gallates are present, it is possible to specifically integrate the different units owing to the excellent signal resolution, as shown in Fig. 8.

In conclusion, the quantitative ^{31}P NMR technique presented in this protocol offers a rapid but reliable analytical tool for analysis of hydroxyl groups, and we believe it will be continually used to explore the functionality of biomass-based green materials in future integrated biorefinery studies and plant sciences.

Reporting Summary

Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Data availability

All data generated during this study are included in this published article. The NMR integration data are available upon request. The software used for NMR data analysis is freely available (see 'Software').

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Author contributions

A.R. proposed and designed the manuscript. X.M. wrote the manuscript with major input from D.A., C.C., H.B., Y.P., and A.R. X.M. and N.H. performed the organosolv pretreatment. X.M. and Y.P. performed the NMR experiments and data processing on lignins. C.C. carried out the NMR experiments and data processing on tannins. For specific questions regarding the conception and the foundations of the protocol, including selection of an appropriate solvent, relaxation time, and synthesis of phosphorus reagent, contact D.A. Questions about the characterization of tannin should be referred to C.C. For selection of an appropriate IS and the application of ³¹P NMR for the characterization of native/transgenic plants and untreated or pretreated biomass, please contact A.R. All authors approved the final version of the manuscript. 876–882

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Competing interests

The authors declare no competing interests.

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Data collection Bruker TopSpin 3.5 pl 6 was used to collect NMR data

Data analysis Bruker Topspin 3.5 pl 7 and Microsoft Excel 2016 were used for NMR data analysis

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Life sciences study design

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Sample size	Lignin and tannins were characterized by 31P NMR technique. The sample size (n) of each experiment is provided in the figure/table legends in the main manuscript. Three technical replicates were performed on each lignin sample which results in reproducible data that support meaningful conclusions.
Data exclusions	No data are excluded
Replication	All replicate analysis attempts were successful.
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Blinding	Not applicable.

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