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1 **Characterization of bio-crude components derived from pyrolysis of soft wood**
2 **and its esterified product by ultrahigh resolution mass spectrometry and**
3 **spectroscopic techniques**

4
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18
19 **Abstract**
20

21 In this work, a detailed analysis of a bio-oil obtained by pyrolysis of softwoods and its
22 esterified product is described. Information of the type of chemical function groups
23 were obtained by ^{13}C and ^1H nuclear magnetic resonance (NMR) and Fourier
24 transform infrared spectroscopy (FT-IR) and compositional analysis was obtained by
25 Fourier transform ion cyclotron resonance mass spectrometry (FTICR MS). The
26 results obtained indicate that aliphatic hydrogen and carbon atoms are found in
27 higher abundance, compared with aromatic hydrogen-carbon frameworks.
28 Furthermore, a decrease in oxygen functional groups was observed after
29 esterification. According to the FTICR MS results, the samples contain highly
30 oxygenated species corresponding to compound classes O_x , NO_x and BO_x , with a
31 high predominance of O_x species. After esterification, the compositions shifted
32 towards lower oxygen-content, lower number of rings and double bonds, and longer
33 alkyl chains as a consequence of the water removal via the condensation reaction.

34

35 Keywords: ultrahigh resolution mass spectrometry, pyrolysis, esterification, bio-oils,
36 spectroscopy

37

38

39 **1. Introduction**

40

41 With energy demand projected to double by 2025 [1], the depletion of reserves of
42 crude oil [2], and governmental policy changes with respect to the environment [3], it
43 has been necessary to develop new and sustainable sources of energy [4]. Among

44 these, biodegradable organic matter, known as biomass, is playing an important role
45 in the production of fuels and other chemical products. The conversion of biomass
46 into fuel requires processes that vary depending upon the nature of the feedstock.

47

48 Biomass-derived fuels can be generated from lignocellulosic materials, such as
49 softwood, agricultural residues, agricultural and municipal waste [5]. These materials
50 contains three major building blocks: cellulose, hemicellulose and lignin [6]. Fast
51 pyrolysis is a common method for processing lignocellulosic materials to generate
52 bio-crude [7], a primary material and precursor of fuels. During this process, a
53 biomass source is heated at a rate of over 100 K/s [8], and the vapours are rapidly
54 condensed [9]. A dense liquid, often known as “tar” [10–12], is obtained in yields up
55 to 70%. This liquid is a complex mixture of oxygenated and non-oxygenated
56 hydrocarbons with properties including low calorific value, molecular weight greater
57 than benzene, high oxygen and water content, low pH, high viscosity, low stability,
58 and immiscibility with crude oil or other petroleum-derived resources [11,13,14].

59

60 To improve the properties of bio-oils and to use them for industrial production of
61 higher value-added products, it is necessary to decrease the oxygen content within
62 the samples after pyrolysis [10,15,16]. A widely applied methodology for upgrading
63 bio-oils is known as esterification [15,17]. The esterification mechanism consists of
64 the conversion of carboxylic acids to esters, using an excess of an alcohol in an
65 acidic medium [18]. This significantly reduces the water content (upgrading) and
66 conversely reduces the oxygen content [16].

67

68 To improve the energy content of biomass resources, a better knowledge of the
69 biomass composition before and after processing is required. In previous studies,
70 various analytical techniques have been utilized to characterize bio-oils, including
71 mass spectrometry and spectroscopy [11,19]. Structural analysis of functional
72 groups in bio-oils can be obtained by ^1H and ^{13}C nuclear magnetic resonance (NMR)
73 spectroscopy [6,20,21]. Analogously, Fourier transform infrared spectroscopy (FT-
74 IR) has been used to determine the functional groups present in bio-oils constituents
75 [10,22–25]. Hence, spectroscopic techniques provide structural insight for the whole
76 bio-oils compositions and are best suited to analyse changes in the functional group
77 composition as a result of different upgrading processes. In parallel, ultrahigh
78 resolution mass spectrometry has shown to be the state-of-the-art technique for the
79 analysis of highly complex organic mixtures such as crude oils, vacuum residues,
80 natural organic matter, and bio-oils [26–32]. In contrast to other analytical
81 techniques, Fourier transform ion cyclotron resonance mass spectrometry (FTICR
82 MS) affords unrivalled performance with respect to resolving power and mass
83 accuracy; it is capable of resolving most of the small mass splits observed in
84 complex samples and molecular formulae can be assigned to the tens of thousands
85 of components in a single spectrum with a mass accuracy in the order of parts per
86 billion (ppb) [33]. Therefore, a unique molecular formula can be assigned to each
87 ionized species, and a detailed characterization of the complex sample becomes
88 achievable. The characterization of pyrolysis bio-oil by petroleomics methods was
89 reviewed by Martin Staš et. al. in 2017 [34].

90

91 In this work, the analysis of a bio-oil obtained by pyrolysis of Colombian softwood
92 and its esterified product is presented, using ^1H and ^{13}C NMR, FT-IR, and FTICR

93 MS. The FTICR MS experiments were performed in conjunction with an
94 atmospheric pressure photoionization (APPI) source operated in positive-ion mode
95 for the characterization of non-polar components, and an electrospray ionization
96 (ESI) source operated in both positive- and negative-ion mode.

97

98 **2. Material and methods**

99 **2.1 Materials**

100 A crude bio-oil was produced by fast pyrolysis using a mixture of softwoods (sample
101 C) and the esterified product was obtained using a reactive distillation treatment with
102 butanol in presence of H₂SO₄/dehydration (sample E).

103 A mixture of softwoods as raw material was used to produce a crude pyrolysis bio-oil
104 with humidity less than 10% wt. Figure 1 shows a schematic of the pyrolysis pilot unit
105 which consists of a fluidized bed reactor that operates at temperatures from 440°C to
106 500 °C. The lower part of the reactor has a preheated nitrogen inlet for provision of a
107 carrier gas to ensure fluidization, while sand was used as a fluidizing medium. The
108 coal produced in the thermal process was separated from the gas employing two
109 cyclones in series. The condensation system consists of a column with trays which
110 inject liquid bio-oil to assist the condensation of gases coming from the reactor, where
111 this liquid bio-oil is kept in recirculation during the process. After this column, the
112 system is completed with an electrostatic separator and a second condenser at 0 ° C
113 to condense the lighter gases. The yield of crude pyrolysis bio-oil was 60% wt with
114 respect to the biomass employed.

115

116 The crude pyrolysis bio-oil (sample C) was subjected to a reactive distillation treatment
117 (a simultaneous process of esterification/dehydration) with n-butanol to reduce its
118 acidity and deoxygenate it partially through water removal, producing the esterified
119 bio-oil (sample E). n-butanol is useful in the simultaneous process, as it serves as a
120 "water entraining agent" and in turn promotes esterification; this is due to the fact that
121 this alcohol forms an azeotrope with a boiling temperature of 93 °C at 1 atmosphere
122 of pressure. In this process, it is necessary to lower the boiling temperature to reduce
123 the risk of thermal degradation of the bio-oil. Therefore, the system pressure was
124 reduced to 250 mbar. For this system, the reaction was carried out in a rotary
125 evaporator and the glass tube that connects the balloon containing the reaction
126 mixture with the condenser was kept isolated to reduce heat losses and ensure that
127 the vapors reached the condenser. To guarantee reflux conditions (at the boiling point
128 of the azeotrope n-butanol: water), the butanol which was distilled (butanol phase) was
129 periodically returned to the reaction vessel. The reaction time was five hours. The yield
130 of esterified bio-oil was 68% wt with respect to the crude bio-oil sample.

131

132 **2.2 Conventional analysis**

133

134 The bulk properties of the samples were determined using the following methods:
135 water content (ASTM E203); carbon residue using a standard test method for
136 determination of carbon residue (ASTM D4530); density by means of a digital
137 densimeter (ASTM D4052); viscosity (ASTM D445); weight percent of C, H, N and O
138 by elemental analysis (ASTM D5291); high calorific value (ASTM D240); acid

139 number (ASTM standard D664); aromatic, phenolic or olefinic, and alkyl protons by
140 ^1H NMR ^{13}C NMR; and FT-IR spectroscopy.

141

142 The ^1H and ^{13}C -NMR spectra of C and E samples were obtained using 400 MHz and
143 100 MHz Bruker Avance III NMR spectrometers (Bruker Biospin GMBH,
144 Rheinstetten, Germany) instruments, respectively. 5% wt/wt solutions of MeOD were
145 analyzed by ^1H NMR, with pulses of 30° obtained with 10 s of delay time (sweep
146 width 6000 Hz). 32 scans were averaged for each spectrum and used for the
147 statistical analysis. The ^{13}C NMR spectra were obtained with 30° pulses and a delay
148 time of 20 s (sweep width 26000 Hz). The ^{13}C NMR samples were 10% solutions in
149 MeOD. The phase and baseline of the resulting spectra were manually adjusted and
150 corrected. The FT-IR spectra were acquired with an is50 FT-IR Nicolet (Thermo
151 Scientific, Madison, USA) operating in the wavelength range of $4000\text{-}400\text{ cm}^{-1}$, with
152 a resolution of 4 cm^{-1} . A total of 32 scans were co-added during acquisition of each
153 data set.

154

155 **2.3 FTICR MS analysis**

156

157 The samples were analysed with a 12 T solariX FTICR mass spectrometer (Bruker
158 Daltonik GmbH, Bremen, Germany) coupled to an APPI ion source (positive-ion
159 mode) and an Apollo II ESI ion source (positive- and negative-ion modes). The
160 samples were diluted in toluene/methanol 50/50 % v/v solutions of toluene (HPLC
161 grade 99.9%, Honeywell, Bracknell, UK) and methanol at 0.05 mg/mL. The solution
162 was spiked with 0.5% of formic acid (98-100%, Merck, Feltham UK) prior to the

163 acquisition of positive-ion ESI mass spectra. Diluted samples were directly infused
164 using a flow rate of 300 $\mu\text{L}/\text{h}$ and were accumulated for 0.060-0.350 seconds in the
165 collision cell prior to being transferred to the ICR cell for detection. The spectra were
166 acquired by co-adding 200 data sets using a detection range of m/z 100-2000 and a
167 4 MW data set size.

168

169 The mass spectra were externally calibrated using “ESI Tuning Mix” (Agilent
170 Technologies, Milton Keynes, UK), followed by internal recalibration with abundant
171 homologous alkylated compounds corresponding to O_4 , O_3 , and O_6 for both the
172 negative-ion ESI and positive-ion APPI data, and using homologous series of $\text{O}_4[\text{Na}]$
173 and $\text{O}_6[\text{Na}]$ for the spectra acquired by ESI in positive-ion mode. A resolving power
174 of 520,000 FWHM at m/z 300 and mass accuracy $<1\text{ppm}$ (RMS error of 0.43 ppm)
175 was achieved under the experimental condition described above. Composer version
176 1.5.7 (Sierra Analytics Inc., Modesto, CA, USA) was used to assign molecular
177 formulae to the thousands of components in each mass spectrum. Each ion was
178 assigned to a single elemental composition using the following constraints: maximum
179 of C_{200} , H_{1000} , N_3 , S_1 , O_{20} , B_1 , Na_1 , with a mass error below 1 ppm. The number of
180 rings plus double bonds (or double bond equivalents, DBE) for each elemental
181 formula, $\text{C}_c\text{H}_h\text{N}_n\text{O}_o\text{B}_b$, was calculated according to the following equation:

182

$$183 \quad DBE = c - h/2 + n/2 + 1 \quad (1)$$

184

185 Where c , h and n are the numbers of carbon, hydrogen, and nitrogen atoms,
186 respectively. Each individual specie is then characterized by its heteroatomic class
187 $B_bN_nO_oS_s$, carbon number and DBE. Compound classes with the labels “[H]” and
188 “[Na]” denote protonated and sodium adducts, respectively, while those classes
189 without the label were observed as radical ions.

190

191 **3. Results and Discussion**

192

193 **3.1 Elemental analysis**

194

195 Biomass is composed of elemental C, H, O, N, S, and other heteroatoms, where 97-
196 99% of the compounds present correspond to compositions containing only carbon,
197 hydrogen, and oxygen; in general, higher carbon and hydrogen content results in a
198 higher calorific value of the biomass [35]. The conventional characterization of the
199 crude bio-oil and its esterified product (samples C and E, respectively) is given in
200 Table 1. The results from the conventional analyses show a significant improvement
201 of the quality of the product obtained after the reactive distillation (sample E). After
202 esterification, a decrease in the percent of water content, oxygen percent, acidity,
203 and density were observed, as well as an increase in the high calorific value and the
204 viscosity (at 40°C). Although the value of calorific content has increased from 16 to
205 30 MJ/kg, this value remains low compared with the average values reported for light
206 and heavy oils derived from petroleum (45 MJ/kg) [9]. The difference in energy
207 content can be explained in terms of the high oxygen content of both bio-oil samples;
208 an increase in oxygen content implies a limitation in the number of carbon and

209 hydrogen atoms in the compositions and, therefore, a decrease in the energy
210 content [36].

211

212 **3.2 Spectroscopic analysis**

213

214 The chemical environments of proton and carbon atoms can be characterized by ^1H
215 and ^{13}C NMR, respectively [6]. This allows, for example, the determination the
216 approximate aromatic-to-aliphatic ratios. To obtain high levels of accuracy for the ^1H
217 and ^{13}C NMR data, the phase, baseline, and integration were calculated. The
218 integrations were performed manually five times and the averaged results of the
219 areas for each chemical shift of ^1H and ^{13}C NMR data are shown in Table 2. The
220 chemical shift regions evaluated by ^1H NMR and ^{13}C NMR were based on values
221 from the literature [6,37].

222

223 After esterification ~56% of the protons corresponded to H_{alkyl} environments (CH_3 and
224 CH_2 from an aromatic ring), compared with only 8% of the protons in the same
225 region (0.5 - 1.6 ppm) in the original pyrolysis bio-oil (sample C). This demonstrates
226 that after esterification longer alkyl chains are found, which is in accordance with the
227 higher calorific value of this sample (see Table 1). In contrast, aliphatic protons
228 adjacent to aromatics or olefins or near to heteroatoms (2.0 - 3.3 ppm), were found
229 in higher relative abundance in the pyrolysis oil than the esterified product. The
230 increased alkyl chain lengths after esterification (region 0.5 – 1.0 ppm) explains the
231 reduction of the proportion of aliphatic protons $\text{CH}_3\alpha$, $\text{CH}_2\alpha$ and $\text{CH}\alpha$ to an aromatic

232 ring after esterification. Protons in the region between 3.3 and 4.5 ppm correspond to
233 methylene groups, a moiety obtained after partial decomposition of lignin, aliphatic
234 alcohols, or ether, where similar environments were observed for both samples. By
235 contrast, $H_{\text{phenolics}}$ or $H_{\text{olefinics}}$ and H_{aromatic} were predominant in the pyrolysis sample,
236 indicating that sample C contains more aromatic moieties and carbohydrate-like
237 molecules.

238

239 Similar results were found by ^{13}C NMR. As shown in **Error! Reference source not**
240 **found.**, carbon atoms in the region 28-55 ppm, corresponding to long and branched
241 aliphatic chains, are found in higher abundance after esterification, while carbon
242 atoms correlated with carbohydrate-like structures, alcohols, phenols, methoxys, and
243 sugars are predominant in the pyrolysis sample. The region corresponding to
244 aromatic and olefinic carbon atoms (95 - 165 ppm) differs noticeably between the
245 samples. The ^{13}C NMR data suggests that sample C contains a higher proportion of
246 carbon atoms in aromatic (95 - 165 ppm), carboxylic acid and esters (165 - 180 ppm)
247 and ketones/aldehyde environments (180 - 215 ppm). The significant overlap of
248 signals of the NMR shifts causes ambiguous assignments of the different functional
249 groups [20]. For instance, the signals between 165 - 180 ppm can be assigned to
250 both carboxylic acid and esters functional groups. Due to such overlaps, it is not
251 possible to assure the specific value for each contribution. However, considering that
252 the mechanism of acid catalysed esterification with alcohols is well established
253 [38,39], and the considerable reduction of the total acid number of the sample E (see
254 Table 1), the reduction of this signal can be due to the esterification of carboxylic
255 acids compositions within the pyrolysis bio-oil.

256 The FT-IR spectra for bio-oil samples (Fig. 2) provide information about the
257 functional groups, with characteristic absorption bands in the mid-IR [11]. The typical
258 functional groups that can be assigned from the IR signal are shown in Table 3 [40].
259 The first broad band at 3000 - 3700 cm^{-1} corresponds to O–H stretching vibration in
260 phenols, alcohols, carboxylic acids, and water. The vibration modes observed
261 between 2958 cm^{-1} and 2873 cm^{-1} are associated with aliphatic C–H stretching
262 vibrations, which has higher intensity in the esterified sample, corroborating the
263 results obtained by NMR. As can be seen in Fig. 2, the lower water content (due to
264 the condensation reaction as part of the esterification process) and acidity after
265 esterification correlate with the decreased O–H stretching vibration and the increase
266 of the aliphatic C–H bands. These observations are in line with the other results,
267 including the decrease in oxygen percent, density, water content, phenolic fraction
268 (^1H NMR), carbohydrate fraction (^{13}C NMR), and the increase of the high calorific
269 content. The reduction of the carboxylic acid contribution after esterification is
270 exemplified by the lower intensity of the bands at 1613 cm^{-1} and at 3000-3700 cm^{-1} ,
271 in addition to the lower acidity of the sample.

272

273 The higher intensity of the bands at 1112 cm^{-1} and 1243 cm^{-1} show the increase of
274 esters in the upgraded product, sample E. Additionally, a lower intensity of the band
275 corresponding to C=C aromatic (band at 1613 cm^{-1}) was observed for sample E and,
276 thus, a decrease in aromaticity after esterification, which is in line with the findings
277 from the NMR data. Esterification also resulted in a higher contribution of C–O
278 stretching from saturated aliphatic primary alcohols, esters, ethers and acids (1000
279 and 1100 cm^{-1})[11], possibly due to remaining n-butanol or the formation of esters.

280 The alcohol serves as a "water entraining agent" and promotes esterification, as it
281 forms an azeotrope with a boiling temperature of 93 °C at 1 atmosphere of
282 pressure). Based on the change in the peak height at 1613 cm⁻¹ and the vibration
283 modes observed between 1112 cm⁻¹, and 1029 cm⁻¹, a decrease of the C=C
284 aromatic environments was observed, as well as increase of C–O–C symmetric and
285 asymmetric stretching, C–O stretching, saturated aliphatic primary alcohols after
286 esterification. A decrease of the band corresponding to C=C indicated the formation
287 of acetals after the esterification of aldehydes. According to these results, good
288 agreement was observed between the structural analyses obtained by NMR, FT-IR,
289 and the elemental analysis shown in **Error! Reference source not found..**

290

291 **3.3 FTICR MS**

292

293 FTICR MS can be coupled to different ionization sources, where each ionization
294 source provides complementary information of the composition of the sample. For
295 example, APPI ionizes non-polar compounds such as polycyclic aromatic
296 compounds (PAHs) and polycyclic aromatic sulfur heterocycles (PASHs), in addition
297 to being suitable for ionization of non/low- polar lipids [41], aromatic carboxylic acids,
298 ketones, lactones and some alcohols [42]. On the other hand, ESI preferentially
299 ionizes a wide range of polar compounds, such as basic species in positive-ion
300 mode and acidic compounds in negative-ion mode. The differences in ionization can
301 be utilized to gain greater insight into sample compositions. APPI offers advantages
302 with respect to ionization of a broader range of organic compounds, while the

303 selectivity of ESI can be used to differentiate between acidic and basic components,
304 for example.

305

306 The ultrahigh resolution and high mass accuracy provided by FTICR mass
307 spectrometry affords the ability to assign a unique molecular formula for each
308 detected ion. In ultrahigh resolution mass spectra of petroleum-related samples,
309 series with an exact mass difference of 14.01565 Da can be observed. These series
310 correspond to ions differing by multiples of CH₂ units (alkylation series) with the
311 same heteroatomic class and DBE. The series can be categorized by class with a
312 general formula C_cH_hN_nO_oS_sB_b. With these capabilities, thousands of species were
313 detected within a mass range of m/z 200-800, see Fig. 3. A total of 3427 and 4019
314 species were identified by ESI in negative-ion mode for samples C and E,
315 respectively. Also, 2390 and 5315 species were detected by electrospray in positive-
316 ion mode (samples C and E, respectively). APPI ionizes the broadest range of
317 components, leading to the assignment of approximately 6100 compositions
318 including both radical and protonated compositions.

319

320 The pyrolysis bio-oil and its esterified product are characterized by a broad range of
321 oxygen-containing species, as shown by the range of oxygen-containing compound
322 classes in Fig. 4, observed using negative-ion and positive-ion ESI, as well as
323 positive-ion APPI. Furthermore, Fig. 4 provides the DBE vs. carbon number plots of
324 selected compound classes, one corresponding to organic species with 5 oxygen
325 atoms (O₅[H] class) and other with 12 oxygen atoms (O₁₂[H] class). In positive-ion
326 mode ESI, O_x[Na] compositions (x= 3-16) were predominantly detected and a shift of

327 the relative abundance of lower oxygen-containing species is observed after
328 esterification. The formation of sodium adduct ions is known to occur due to the
329 presence of trace amounts of impurities in solvents, glassware, or the formic acid
330 introduced for the sample treatment [34,43,44].

331

332 The species ionized by ESI in negative-ion mode correspond to polyoxygenated
333 compounds containing hydroxyl or carboxylic acids groups [42,45]. Thus,
334 compounds classes such as ketones, furans and methylphenols (with relative low
335 response), methoxyphenols, dimethoxyphenols and carboxylic acids such as valeric
336 acid, trimesic acid, biphenyl-4-carboxyl acid, among others can be ionized by
337 negative-ion ESI [44,46]. As shown in Fig. 4, the acidic species detected by
338 negative-ion ESI are dominated by the oxygenated compound classes $O_2 - O_{17}$, with
339 a shift towards lower oxygen-containing species after esterification. Along with O_x
340 species, BO_x ($O_6 - O_{12}$) compositions were observed in the samples and these
341 assignments were supported by the isotopic pattern information. Boron is an
342 essential micronutrient found in higher plants [47], and has been previously detected
343 in pyrolyzed bio-oils by FTICR MS in 2014 by Jarvis et. al [48].

344

345 As shown in Fig. 5 (and Fig. S4 in the supplementary data), the compositions with
346 higher oxygen-containing species ($O_{x>7}[H]$) demonstrated a shift towards higher
347 carbon number after esterification, which indicated a longer alkyl chain of the
348 esterified molecules. Furthermore, a general trend towards lower DBE is observed
349 by class after esterification (see Fig. 5 and Fig. S5). In particular, an increased in the
350 relative abundance of species with DBE 1, 2, 3 and 4 is observed in some classes

351 e.g. O₂[H], O₇[H], O₁₀[H], O₁₄[H] respectively. This indicates the formation of acetals
352 from the reaction with aldehyde groups, rather than carboxylic acid groups, within the
353 compositions. These findings are consistent with the data obtained by elemental
354 analysis, NMR, and FT-IR, and indicate side reactions in addition to the intended
355 esterification.

356

357 Non-polar compounds, such as PAHs and PASHs, and a number of polar species
358 can be detected by APPI. Additionally, species containing alcohols, aldehydes,
359 ketones, carboxylic acids and cyclic carboxylic acids functional groups can also be
360 detected by APPI [42]. According to Fig. 4, the hydrocarbon compounds not
361 containing a heteroatom had a relative abundance of approximately 2%, while
362 oxygen-containing compounds, detected as protonated species and radical ions,
363 were detected in greater relative abundance. For a given compound class, there is a
364 distribution of compounds with respect to both double bond equivalents with alkyl
365 length (number of carbon atoms); this is typically represented in DBE versus carbon
366 number plots. Decreasing the number of hydrogen atoms in a molecule (i.e.
367 increasing double bonds and/or rings in a molecule) increases the DBE and hence
368 the degree of unsaturation [49]. The DBE is therefore related to the number of
369 carbon, hydrogen, and nitrogen atoms, but is independent of the number of oxygen
370 and sulfur atoms (see Equation 1). Thus, species with DBE = 0 correspond to
371 molecules containing single bonds, species with DBE = 1 can contain one double
372 bond between two carbon atoms or a double bond between one carbon atom and a
373 heteroatom such as oxygen (see Equation 1) or include a single ring, and so forth.
374 For a six-membered aromatic ring to be present, the molecule must have a DBE ≥ 4

375 in its neutral form or as a radical ion (≥ 3.5 for protonated species in positive-ion
376 mode, ≥ 4.5 for deprotonated species in negative-ion mode).

377

378 Double bond equivalents versus carbon number plots of $O_5[H]$ and $O_{12}[H]$ compound
379 classes, obtained by negative-ion ESI of sample C and E can be found in the bottom
380 right of Fig. 4 (DBE plots of O_x classes where $x = 2 - 15$ are shown in Fig. S3).

381 Species with five oxygen atoms had a DBE range between 2 and 14, with a higher
382 relative abundance of species with lower DBE after esterification. Species with 12
383 oxygen atoms had DBE ranges of 2-19 and 2-16 for samples C and E, respectively.

384 Species with a high oxygen content and low DBE (e.g. $C_{10}H_{18}O_{10}$, 0.136 ppm

385 corresponding to a component with DBE = 2) potentially indicated the presence of

386 alcohols and ethers within the molecular structure, as including more than one

387 functional group corresponding to ketones, aldehydes, or carboxylic acids groups

388 would increase the number of double bonds, and hence, the DBE value. In similarity

389 with the results obtained by NMR and IR, the analysis and the DBE plots that can be

390 obtained from ultrahigh resolution FTICR MS data clearly show that the species

391 contain higher numbers of carbon atoms after esterification, an indication of increase

392 of alkylation during the formation of esters and acetals, for example, as expected

393 after esterification [38]. For the addition of a butyl chain, resulting from the use of n-

394 butanol during esterification, the carbon number would be expected to increase by 4

395 per ester formed or by 8 per acetal formed, for example. In petroleum samples, a

396 high DBE is normally associated with the high aromaticity of the hydrocarbon

397 components. The low percentage of aromatic carbon atoms observed by ^{13}C -NMR

398 and FT-IR and the high relative abundance of highly oxygen-containing species

399 identified by FTICR MS, however, indicate that the species in samples C and E with

400 high DBE can incorporate oxygen atoms in functional groups corresponding to
401 carboxylic acids, aldehydes, or ketones rather than aromatic rings. The acetalization
402 of aldehydes and ketones in presence of alcohols during esterification can explain
403 the shift towards lower DBEs of the classes detected in sample E. Thus, after
404 esterification, organic species containing oxygen (categorized as O_x and NO_x ,
405 compound classes) have higher relative abundance of species with lower DBEs and
406 higher carbon number.

407

408 **4. Conclusions**

409 A pyrolysis bio-oil and its esterified product were analysed. The NMR and FT-IR data
410 showed an increase of the total aliphatic content after esterification and a low
411 abundance of aromatic moieties in both samples. The species detected by FTICR
412 MS correspond to classes with high oxygen content (O_2 - O_{17}), in addition to
413 compound classes incorporating other heteroatoms (e.g. NO_x and BO_x) with up to 40
414 carbon atoms and a maximum of 20 rings and double bonds within their structures.
415 The elemental compositions with low DBE and high oxygen content indicate the
416 presence of alcohols and ethers within the molecular structure.

417

418 **Supplementary material**

419 E-supplementary data of this work can be found in online version of the paper.

420

421 **Acknowledgments**

422

423 This work was supported by a Newton Fund award (reference number 275910721),
424 Research Agreement No. 5211770 UIS-ICP, COLCIENCIAS (project No. N°
425 FP44842496-2016), and by the Engineering and Physical Sciences Research
426 Council (EPSRC) for the Centre for Doctoral Training in Molecular Analytical Science
427 (grant number EP/L015307/1). The authors would also like to thank David Stranz
428 (Sierra Analytics, Modesto, CA, USA) for additional development of Composer and
429 useful discussions.

430 **Declaration of Interest**

431 Declarations of interest: none

432

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594 Figure:

595 Fig. 1. Schematic diagram of the pyrolysis of the biomass.

596 Fig. 2. FT-IR spectra of the bio-oil (sample C) and its esterified product (sample E).

597 Fig. 3. Negative-ion mode ESI FTICR MS of the bio-oil (C) and its esterified product

598 (E). The insets show an expanded region of 0.32 Da with selected assignments.

599 Fig. 4. Class distribution obtained by positive- and negative-ion mode ESI (labelled

600 ESI(+) and ESI(-), respectively) and positive-ion APPI (labelled APPI(+)) of samples

601 C and E. The graphs in the bottom right show a comparison of the double bond

602 equivalents versus carbon number plots of the O5[H] and O12[H] compound classes

603 from the negative-ion ESI data.

604 Fig. 5. Relative abundance of the carbon number and DBE distribution for classes

605 O5[H] and O12[H] detected by negative-ion mode ESI. Species with higher oxygen-

606 content showed a shift toward higher carbon number after esterification.

607

608 **Table captions**

609 Table 1. Conventional analysis of the crude bio-oil, sample C, and its esterified
610 product, sample E.

611

612 Table 2. ¹³C and ¹H NMR of the pyrolysis bio-oil (samples C) and its esterified
613 product (sample E). The standard deviation is 0.25 - 1.43.

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615 Table 3. Assignments of the FT-IR bands of the bio-oil and its esterified product.

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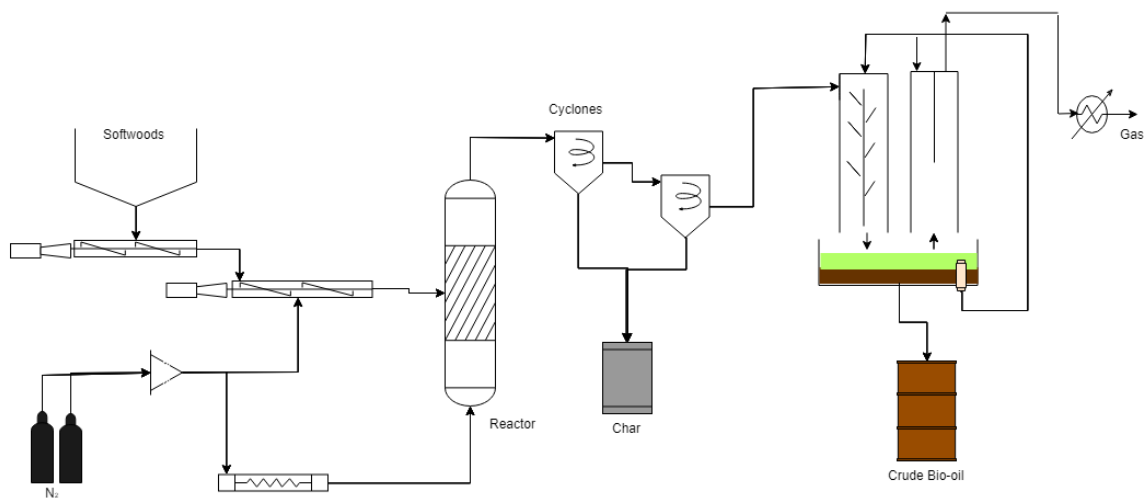
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630 Figure 1



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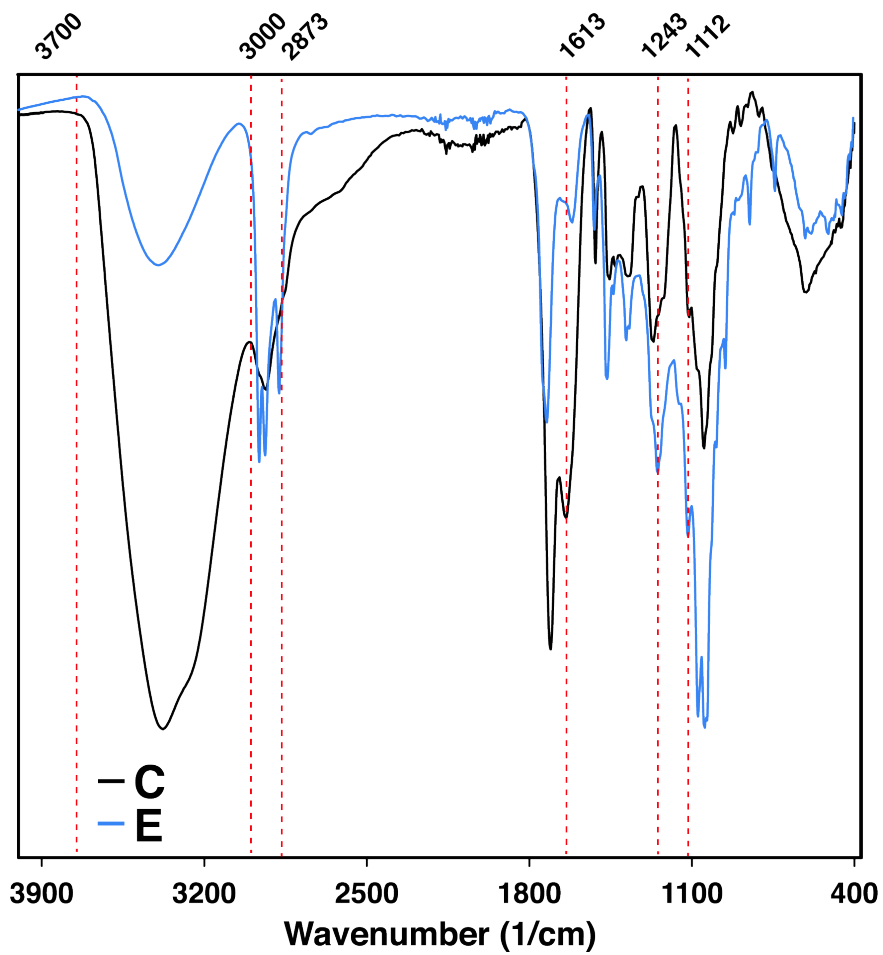
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644 Figure 2



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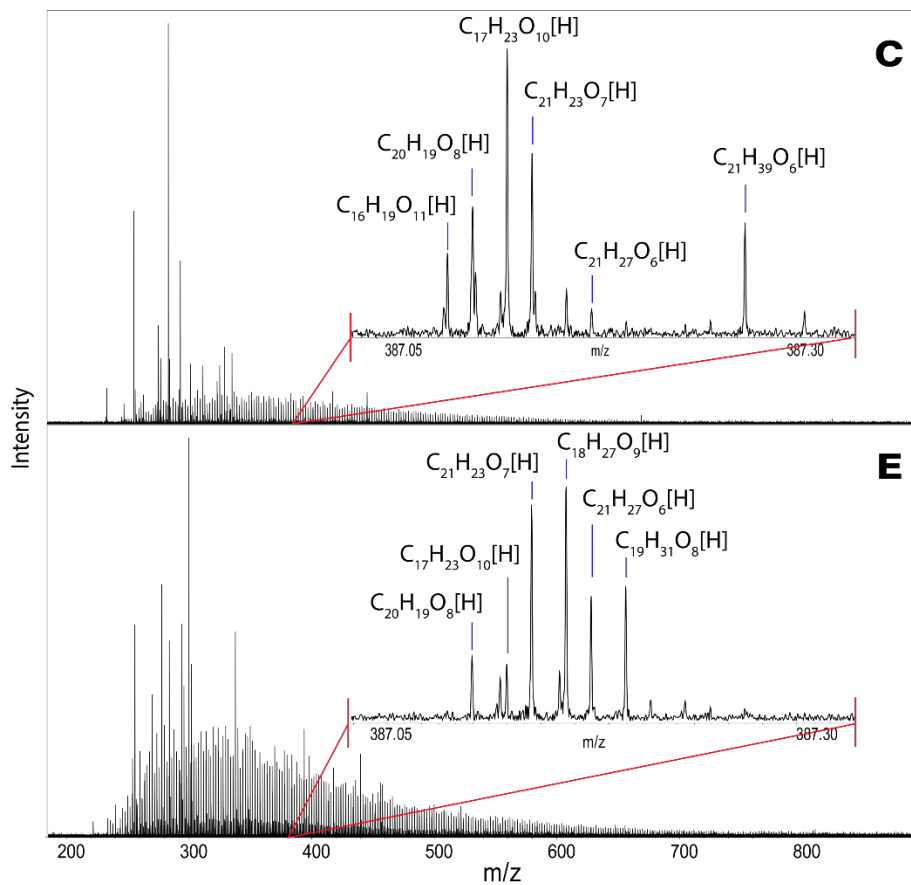
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654 Figure 3

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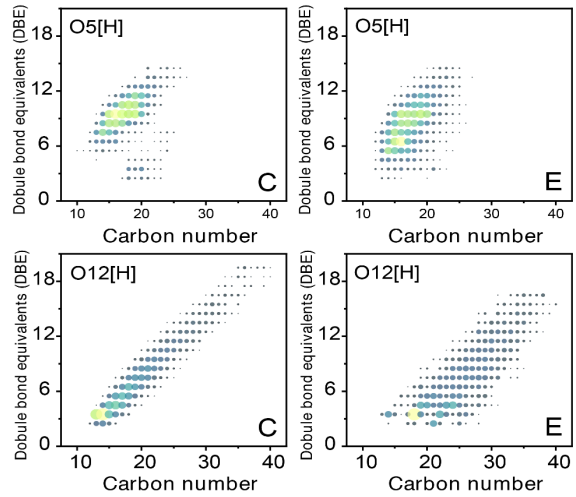
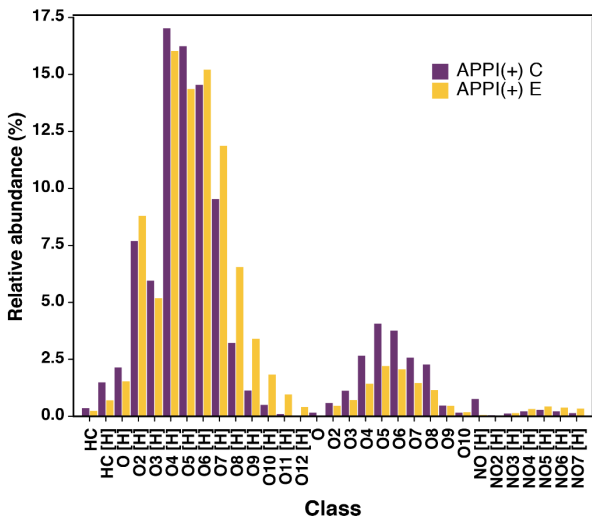
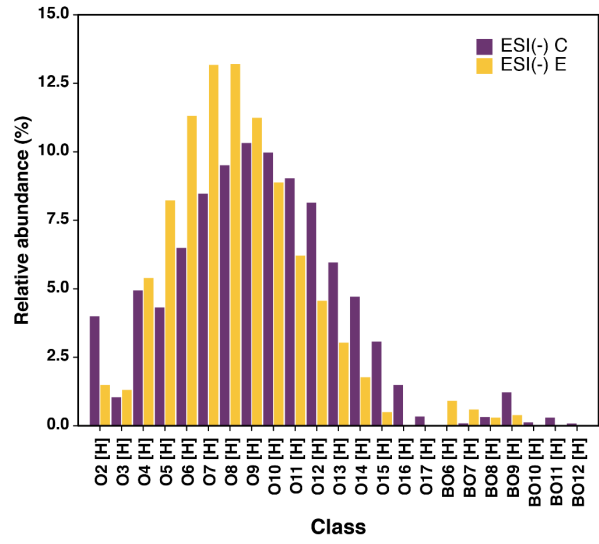
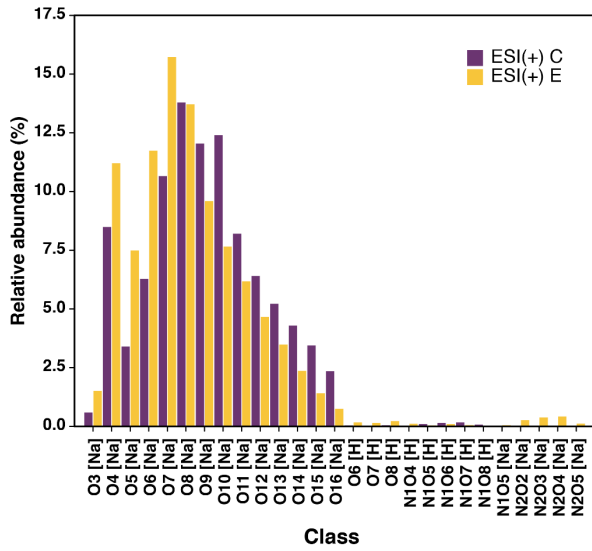
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659 Figure 4

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DBE plots of classes O5[H] and O12[H] obtained by ESI(-)

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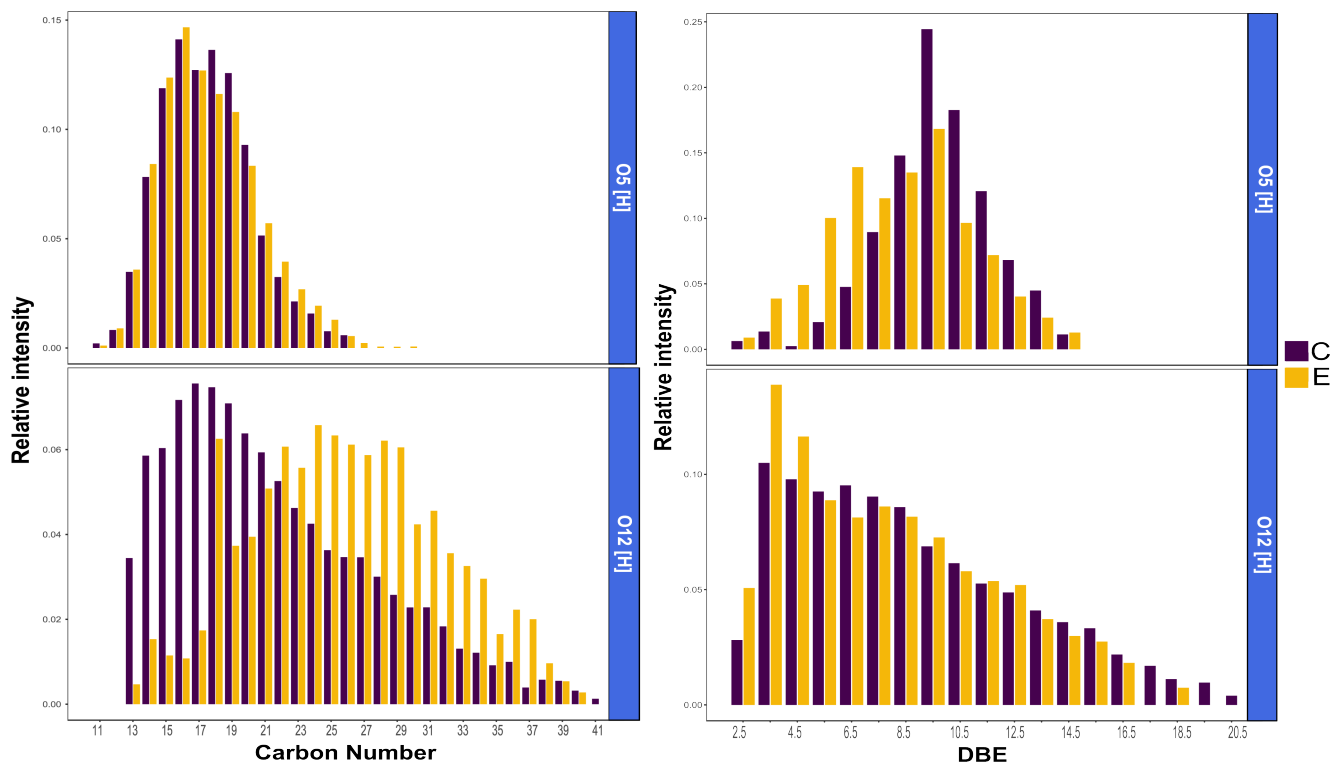
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670 Figure 5



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680 Table 1

Property	Sample	
	C	E
High calorific value (MJ/kg)	16	30
Density (g/mL)	1.206	1.043
Viscosity (40 °C) mm ² /s	23.4	37.1
Water content (wt%)	22.6	1.2
Total acid number (mg KOH/g)	214.9	62.5
Elemental composition		
C (% wt)	45.3	62.7
H (%wt)	8.9	10.6
N (%wt)	1.2	0.8
O*(%wt)	45.8	26

681 * Value obtained by difference

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692 Table 2

Functional groups and structures	Chemical shifts (ppm)	%C	
		C	E
Ketones, aldehydes	180 - 215	11.3	8.0
Esters, carboxylic acids	165 - 180	9.3	5.0
Aromatics, olefins	95 - 165	32.7	25.6
Alcohols, ethers, phenolics, methoxys, carbohydrates, sugars	55 - 95	24.6	19.4
Long and branched aliphatics	28 - 55	13.1	17.0
Short aliphatics	0 - 28	9.0	24.9
Total aliphatics	0 - 55	22.1	41.9
Proton environment	Chemical shifts (ppm)	%H	
H _{aromatic}	6.5 - 9	8.7	2.1
H _{phenolic/ oleofin}	5 - 6.5	33.7	2.2
H _{ring-join methylene}	3.3 - 4.5	25.2	27.5
H _{Alkyl CH3α, CH2α and CHα to an aromatic ring}	2.0 - 3.3	19.7	7.0
H _{Alkyl CH2α, CHα to an α ring (naphthenic)}	1.6 - 2	4.9	4.7
H _{Alkyl CH3β CH2β and, CHγ from an aromatic ring}	1.0 - 1.6	6.7	32.3
H _{Alkyl CH3γ from an aromatic ring}	0.5 - 1	1.1	24.3

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Functional group	Wavenumber [cm^{-1}]
O–H stretching	3000-3700
C–H asymmetric stretching, CH_3	2958
C–H asymmetric stretching, CH_3 – aromatic, $-\text{CH}_2-$ alkanes	2933
C–H symmetric stretching, CH_3 , $-\text{CH}_2-$ alkanes	2873
C=O stretching aryl esters, C=O stretching, saturated aliphatic carboxylic acids dimers	1720
N–H def primary amines, C=C stretching, aromatic $-\text{C}=\text{C}-$,	1613
C=C aromatic stretching	1515
$-\text{CH}_2-$ scissoring alkanes, CH_3 asymmetric	1464
C–O stretching and OH deformation, carboxylic acids	1433
SO_2 asymmetric stretching, C–H symmetric deformation - CH_3	1378
C–O stretching	1366
C–O carboxylic acids dimers, C–O–C asymmetric stretching, saturated aliphatic esters	1243
C–O stretching, saturated aliphatic tertiary alcohols, SO_2 symmetric stretching, C=O stretching	1156
C–O–C symmetric and asymmetric stretching, esters of aromatic acids, saturated aliphatic ethers, C–O stretching, aliphatic secondary alcohols	1112
C–O stretching, saturated aliphatic primary alcohols	1069, 1041, 1029
C–H out of plane bending	989
N–O stretching, CH out of plane bending, vinyl esters	950.9
C–H out of plane bending	846
C–C stretching	738
Ring out of plane vibration o-substituted benzenes	506-450