

1 **Evidence for chaotropicity/kosmotropicity offset in a yeast growth model**

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9

10 **Abstract**

11 Chaotropes are compounds which cause the disordering, unfolding and denaturation of biological
12 macromolecules. It is the chaotropicity of fermentation products that often acts as the primary
13 limiting factor in ethanol and butanol fermentations. Since ethanol is mildly chaotropic at low
14 concentrations, it prevents the growth of the producing microbes via its impacts on a variety of
15 macromolecular systems and their functions. Kosmotropes have the opposite effect to chaotropes
16 and we hypothesised that it might be possible to use these to mitigate chaotrope-induced inhibition
17 of *Saccharomyces cerevisiae* growth. We also postulated that kosmotrope-mediated mitigation of
18 chaotropicity is not quantitatively predictable. The chaotropes ethanol and urea, and compatible
19 solutes glycerol and betaine (kosmotrope), and the highly kosmotropic salt ammonium sulphate all
20 inhibited the growth rate of *Saccharomyces cerevisiae* in the concentration range 5-15%. They
21 resulted in increased lag times, decreased maximum specific growth rates, and decreased final
22 optical densities. Surprisingly, neither the stress protectants nor ammonium sulphate reduced the
23 inhibition of growth caused by ethanol. Whereas, in some cases, compatible solutes and
24 kosmotropes mitigated against the inhibitory effects of urea. However, this effect was not
25 mathematically additive from the quantification of chao-/kosmotropicity of each individual
26 compound. The potential effects of glycerol, betaine and/or ammonium sulphate may have been
27 reduced or masked by the metabolic production of compatible solutes. It may nevertheless be that
28 the addition of kosmotropes to fermentations which produce chaotropic products can enhance
29 metabolic activity, growth rate, and/or product formation.

30

31 **Keywords:** entropy, biofuel, *Saccharomyces cerevisiae*, urea, glycerol, ammonium sulphate

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33

34 Introduction

35 Chaotropes are compounds which cause the disordering of other molecular structures (Cray et al.
36 2013). Of particular biochemical relevance are those which entropically disorder, and can unfold,
37 biological macromolecules such as proteins and nucleic acids (Bennion and Daggett 2003; Das and
38 Mukhopadhyay 2009; Salvi et al. 2005). Experimentally, the chaotropic properties of compounds
39 such as urea, guanidium hydrochloride and propidium iodide have been widely exploited to
40 denature proteins and in the purification of nucleic acids (Boom et al. 1990; Pace 1986; Van Ness
41 and Chen 1991). Urea is naturally produced by mammals as the end-product of the deamination of
42 amino acids via the urea cycle (Krebs 1942). This avoids the accumulation of highly toxic ammonia,
43 but the urea itself must also be removed by excretion in the urine to avoid excessive build-up. In
44 contrast, kosmotropes promote the ordering of molecules in solution, often resulting in increased
45 rigidity and stability (Kella and Kinsella 1988). Glycerol is often used to protect proteins in solution
46 (e.g. in commercially supplied restriction endonucleases) (Vagenende et al. 2009). This compound,
47 along with proline, betaine and trehalose, is also produced naturally by many micro-organisms as a
48 compatible solute as a response to osmotic stress, (Brown 1978; Brown 1990; Brown and Simpson
49 1972). Although glycerol is not kosmotropic, it has been shown to reduce the adverse effects of
50 ethanol on fungal systems (Bhaganna et al. 2010; de Lima Alves et al. 2015; Hallsworth 1998;
51 Hallsworth et al. 2003). Ammonium sulphate is routinely used for the precipitation and preservation
52 of proteins in the laboratory, an application which relies partly on its kosmotropic properties
53 (Wingfield 1998).

54 Chaotropicity also has significant implications for industrial fermentations. By far the most common
55 fermentation is the production of ethanol by yeasts or other micro-organisms. This process is
56 required in the production of alcoholic drinks and ethanol-based biofuels. Ethanol is produced
57 naturally by some micro-organisms to inhibit or kill competing species, primarily through its
58 chaotropic effects. However, in fermentations, as the level of ethanol rises it also becomes
59 increasingly inhibitory to the yeasts which produce it. Most industrial strains of *Saccharomyces*
60 *cerevisiae* can tolerate up to approximately 15 % (v/v) ethanol before growth largely ceases and the
61 cells begin to die. Thus, the chaotropicity of ethanol sets upper limits on both the rate of ethanol
62 production and the final ethanol yield which can be produced through batch fermentation (Cray et
63 al. 2015).

64 The molecular mechanisms of chaotropic activity have not been well-studied for many of the
65 chemically diverse chaotropic stressors, but it is well-known that mechanisms may differ (Ball and
66 Hallsworth 2015; Cray et al. 2013; Cray et al. 2015). It has been suggested that chaotropes such as
67 urea compete effectively for hydrogen bond donors in proteins, thus destabilising secondary
68 structural elements such as α -helices (Bennion and Daggett 2003; Salvi et al. 2005). It has also been
69 assumed that chaotropes act as water-structure breakers for pure solutions of the chaotrope. This
70 assumption is not consistent with the original usage of 'chaotrope' (a substance that disorders
71 biomacromolecules), the experience of the microbial cell, or recent data on the physics of water in
72 the presence of chaotropes (Ball and Hallsworth 2015). Some studies, for example, have suggested
73 that chaotropes increase the overall entropy of the solution, reducing the thermodynamic penalty
74 for the unfolding of proteins (Hatefi and Hanstein 1969; Kresheck and Benjamin 1964; Moelbert et
75 al. 2004; Rupley 1964). Some authors have used molar solution entropies as a proxy measure, but
76 this would only be valid if increased entropy of the system is the principal cause of chaotropicity
77 (Aviram 1973; Miyawaki and Tatsuno 2011). Empirical measures have also been proposed which
78 measure the effects of dissolved compounds on macromolecules. The most-extensive scale utilises
79 the gelation point of agar and spans highly chaotropic compounds such as guanidine hydrochloride

80 to highly kosmotropic compounds such as ammonium sulphate. The method also permits the
81 ranking of different types of compounds including non-polar, barely water-soluble compounds such
82 as benzene, polar organic molecules such as alcohols and ionic compounds such as magnesium
83 sulphate. This scale broadly correlates with other measures, including solution entropies, suggesting
84 common, underlying mechanisms for the various empirical effects observed (Cray et al. 2013).
85 Interestingly, this scale suggests that glycerol behaves unusually. At lower concentration (<5 M), it is
86 relatively “neutral” on the scale with a molar chaotropicity close to zero. However, at higher
87 concentrations, its molar chaotropicity is comparable to ethanol (Cray et al. 2013).

88 That it is possible to quantify chaotropicity leads to some intriguing questions. Many other scales
89 are additive, for example, thermodynamic quantities such as free energy, enthalpy and entropy.
90 Even in cases where quantities cannot be added, there are generally ways of calculating the overall
91 value for a mixture (e.g. the pH scale of acidity and alkalinity). However, the interactions between
92 and within biomacromolecules, water and solutes are complex and dynamic and – for mixtures of
93 solutes – not readily predictable. Furthermore, living cells produce compatible solutes, many of
94 which are kosmotropic (e.g. trehalose), and two of which are chaotropic at sufficient concentration
95 (glycerol and fructose). Therefore, we hypothesized that chaotropicity and kosmotropicity values for
96 individual substances could not be added or subtracted to predict the impacts of solute mixtures on
97 the cellular system. We also postulated that kosmotrope-mediated mitigation of chaotropicity is not
98 quantitatively predictable. Here, we describe experiments to test these postulates by measuring the
99 effects of chaotropes, kosmotropes and mixtures thereof on the growth of yeast in liquid culture.

100

101 **Materials and Methods**

102 *Yeast strain, growth media and compounds*

103 *Saccharomyces cerevisiae* NCYC 1088 is a non-flocculating ale strain, deposited in the UK National
104 Collection of Yeast Cultures (NCYC) in 1958 by a British Brewery (NCYC 2019). It was stored at 4 °C
105 on Sabouraud Dextrose Agar (SDA) plates (Oxoid) and grown in Yeast Peptone Dextrose broth (YPD
106 broth; yeast extract 10 g l⁻¹, bacteriological Peptone from meat 20 g l⁻¹, glucose 20 g l⁻¹; Sigma Aldrich
107 Chemical Company). Ethanol, urea, betaine, ammonium sulphate and glycerol (87%) were all
108 obtained from Sigma Aldrich Chemical Company.

109

110 *Yeast growth measurements*

111 A single colony of *S. cerevisiae* NCYC 1088 was used to inoculate 10 ml of YPD broth and incubated
112 overnight at 30 °C with shaking (125 rpm). When an OD_{620nm} of 1 was reached the culture was diluted
113 1 in 10 with YPD broth and added to a well in a microplate (Thermo Scientific) containing no added
114 compound (control), or glycerol betaine or ammonium sulphate so that the final dilution of yeast
115 was 1 in 100.

116 The compounds were dissolved in YPD broth then filter sterilised. Further dilutions were prepared to
117 give a final concentration range of added solutes in the wells of between 2.5% and 15% (v/v for
118 liquids and w/v for solids). Each dilution was tested in triplicate on two to four separate occasions.
119 The microplate was covered in a non-gas permeable film (Thermo Scientific) to prevent evaporation.
120 Growth of the yeast was measured using an Ascent iEMS Multiskan microplate reader (Thermo Lab
121 Systems) at 30 °C with shaking. Optical density measurements at a wavelength of 620 nm were
122 taken every 15 min, for a period of 72 h.

123

124 *Data analysis*

125 To aid visual analysis, the Weibull growth model (equation 1) was applied in GraphPad Prism 6
126 (GraphPad Software, CA, USA) to the growth curve data.

$$127 \quad OD = OD_{max} - (OD_{max} - OD_{min}) \exp(-kt)^g \text{ (Equation 1)}$$

128 The Weibull distribution is an empirical mathematical model that takes into account the lag,
129 exponential and stationary phases of growth. It is however not able to capture the decline in OD_{600nm}
130 observed at the end of the cultivation; the equation requires adjustment to include a term for cell
131 death (Bevilacqua et al. 2015; Coroller et al. 2006). Growth parameters (lag time, t_{lag} ; maximum
132 specific growth rate, μ_{max} and final optical density, OD_{final}) were obtained by fitting the data to the
133 Gompertz equation (2) using the Microsoft Excel Add-in DMFit, running under Windows (Gompertz
134 1825).

$$135 \quad OD = OD_{min} + (OD_{max} - OD_{min}) \exp\left(-\exp\left(\left(2.718\mu_{max}/(OD_{max} - OD_{min})\right)(t_{lag} - t) + 1\right)\right)$$

136 (Equation 2)

137 For the comparison of growth parameters between controls and experiments, a one-way ANOVA
138 was performed with Dunnet's multiple comparison *post hoc* test in GraphPad Prism 6.

139

140 **Results and Discussion**

141 In interpreting the growth curves, a number of assumptions were made. Any increase in t_{lag} means
142 that the initial environment is less favourable for growth to begin and the yeast cells had adapt to
143 the conditions of the experiment. Any decrease in μ_{max} means that the environment is less
144 favourable for cell division. This could be because biomacromolecules are less functional due to
145 unfolding or excessive rigidification. Alternatively, the cells may be forced to divert energy away
146 from cell division and towards cellular homeostasis, e.g. synthesis of heat shock proteins to address
147 protein unfolding. Any decrease in OD_{final} means that the yeast was less able to convert growth
148 media into biomass.

149

150 *Chaotropes, compatible solutes, and ammonium sulphate inhibit growth at high concentrations*

151 At lower concentrations (up to 2.5%, v/v), ethanol had little effect on the three growth parameters
152 (Figure 1; Supplementary Figure S1). However, above 5% (v/v), t_{lag} was increased and μ_{max} was
153 decreased. At 12.5% (v/v) and 15% (v/v), little or no growth was observed over the course of the
154 experiment (Supplementary Figure S1). These results were expected and consistent with the well-
155 established inhibitory effects of ethanol on yeast growth. Urea had no significant effect on the
156 growth parameters up to 7.5% (w/v). Above 10% (w/v), it almost completely inhibits the growth
157 such that all three parameters could not be measured (Figure 1; Supplementary Figure S1). Glycerol
158 has an inhibitory effect on growth at 10% (v/v), increasing t_{lag} and decreasing μ_{max} (Figure 2). Of all
159 the solutes tested, betaine had the least effect at the concentrations tested, slightly increasing t_{lag} at
160 15% (w/v) (Figure 2; Supplementary Figure S1). Interestingly, both glycerol and betaine increase the
161 OD_{final} at 2.5% (v/v) and 2.5% (w/v) respectively (Figure 2; Supplementary Figure S1). This may result
162 from the utilisation of these compounds as a carbon source by the yeast, or from their roles within
163 the cell as protectants of macromolecular structures against chaotropicity and/or other stresses. At
164 concentrations above 7.5% (w/v), ammonium sulphate increases t_{lag} and above 10% (v/v) decreases

165 OD_{final} (Figure 2). This kosmotropic compound has previously been shown to inhibit the growth of
166 the bacterium *Pseudomonas putida* and the filamentous fungus *Fusarium coeruleum* (Bhaganna et
167 al. 2010; Cray et al. 2016). That chao- and kosmotropes can both inhibit growth suggests that cells
168 require optimal flexibility and mobility in their biomolecules: too great an increase in molecular
169 flexibility (which may result in unfolding and the dissociation of supramolecular complexes) or too
170 great in increase in molecular rigidity are both deleterious to the cell. In addition, all of the
171 compounds tested also reduce water activity, and some of them (betaine and ammonium sulphate)
172 cause osmotic stress, so chao-/kosmotropic-effects do not operate in isolation. It may also be that
173 some of the added compounds are assimilated as a nutrient source.- Ethanol, glycerol and betaine
174 can all act as carbon sources in some *S. cerevisiae* strains. However, the data deposited at NCYC on
175 this strain suggests that, while ethanol can be utilised, glycerol cannot; there is no data on betaine
176 (NCYC 2019). Ammonium sulphate can act as a nitrogen source. Thus, any inhibitory effects of
177 these compounds might be partly offset by their nutritional benefits. Nevertheless, these results
178 suggest that increasing the chaotropicity or the kosmotropicity of the media tends to inhibit yeast
179 growth.

180

181 *Compatible solutes and ammonium sulphate did not mitigate against inhibition of growth under the*
182 *conditions tested*

183 The effects of glycerol, betaine and ammonium sulphate were assessed at three ethanol
184 concentrations – 5, 7.5 and 10% (v/v). These values were chosen since they have a clear effect on
185 the growth parameters, but are sub-lethal and did not completely inhibit growth (Figure 1;
186 Supplementary Figure S1). At all three ethanol concentrations, glycerol (1.25%, v/v and 2.5%, v/v),
187 betaine (1.25%, w/v and 2.5%, w/v), and ammonium sulphate (1.25%, w/v and 2.5%, w/v) did not
188 improve the growth parameters (Figure 3; Supplementary Figure S2). In some cases, ammonium
189 sulphate caused a further deterioration in these parameters, increasing t_{lag} , decreasing μ_{max} and
190 decreasing OD_{final} when compared to ethanol only controls (Figure 3). At high concentrations, the
191 chaotropicity of glycerol may act synergistically with the chaotropicity of ethanol; the osmotic stress
192 induced by betaine may be inhibitory, and the ionic nature and/or kosmotropic activities of
193 ammonium sulphate may act to impair yeast growth.

194

195 *Compatible solutes and ammonium sulphate can mitigate against yeast growth inhibition by urea*

196 Cultures grown in the presence of urea, typically had improved growth profiles in the presence of
197 ammonium sulphate, glycerol or betaine. Growth curves were shifted leftwards and upwards
198 compared to controls in urea only (Supplementary Figure S2). There were varying effects on the
199 growth parameters (Figure 4). These depended on the added compound and the concentration of
200 urea which varied from 5, 7.5 and 10%, w/v corresponding to molar concentrations of 0.83, 1.25 and
201 1.66 M, and to chaotropicities of 13.8, 20.8 and 27.6 kJ kg⁻¹ (Cray et al. 2013), respectively. In some
202 cases, but not all, they partially alleviated the effects of urea on the growth parameters. -Ammonium
203 sulphate reduced t_{lag} at 10% (w/v) urea, but not at lower concentrations of the chaotrope (Figure 4).
204 At 7.5% (w/v) urea, glycerol (at 1.25%, v/v and 2.5%, v/v) and betaine (2.5%, v/v) both partially offset
205 the effects on μ_{max} when compared to urea only controls (Figure 4). At 5% (w/v) urea, glycerol (2.5%,
206 v/v); net chaotropicity 14.1 kJ kg⁻¹) and ammonium sulphate (1.25%, w/v) and 2.5% (v/v); offset the
207 reduction of OD_{final} (Figure 4). While no added compound was able to completely offset the effects
208 of urea at any of the concentrations tested, these results nevertheless demonstrate mitigation

209 against chaotropicity. As predicted, the effects of chao- and kosmotropicity are not additive for this
210 yeast model.

211

212 **Conclusions**

213 While the addition of kosmotropes can mitigate chaotropicity in some cases, the effect is not
214 quantitatively predicted in this yeast model. This is broadly similar to the results observed in an
215 isolated enzyme model. Here, both chaotropes and kosmotropes depressed the activity of the
216 enzyme and combinations only partially restored activity in a minority of cases (Bell et al. 2013).
217 However, relatively low concentrations of compatible solutes were used in this study, relative to
218 those which can be found in microbial cells under stress. -If we had made an assumption that there is
219 a linear relationship between these parameters and that chaotropicity (or kosmotropicity) in a
220 mixture of compounds is additive, the current study would have disproved this. Some work in which
221 chaotropicities have been determined empirically suggests that the relationships may be more
222 complex (de Lima Alves et al. 2015; Fox-Powell et al. 2016; Yakimov et al. 2015). Further work to
223 understand these relationships is necessary to inform quantitative studies on the mitigation of
224 chaotropicity in biofuel production and other fermentations.

225 Furthermore, in the yeast growth model, the effects which were observed only applied in the case of
226 urea: we observed no mitigation in the case of ethanol. The reasons for this will require further
227 investigation. For example, in addition to being chaotropic, ethanol reduces water activity, although
228 previous work has demonstrated that the mode of action of both compounds at low-to-moderate
229 concentrations is chaotropic (de Lima Alves et al. 2015).

230 Mitigation by compatible solutes and kosmotropes against chaotrope-induced stresses have been
231 reported in numerous studies of enzyme- and cellular systems (e.g. (Bhaganna et al. 2016; Bhaganna
232 et al. 2010; Chin et al. 2010; Cray et al. 2016; Cray et al. 2015; de Lima Alves et al. 2015; Hallsworth
233 1998; Hallsworth et al. 2003; Hallsworth et al. 2007; La Cono et al. 2019; Stevenson et al. 2015;
234 Stevenson et al. 2017; Williams and Hallsworth 2009; Yakimov et al. 2015). Many of these studies
235 carried out “testing-to-destruction” where enzyme or cellular systems were exposed to chaotropicity
236 at the edge of their window for tolerance. Under these extreme circumstances, kosmotropic
237 compatible solutes and other kosmotropic substances, as well as glycerol, mitigated against
238 chaotropicity. It may be that the *S. cerevisiae* cell produces sufficient compatible solutes under
239 moderate stresses that exogenous compounds are not required or effective. Furthermore, it may be
240 that some of the compounds added in the current study were utilised as nutrients (see above).
241 Nevertheless, the data presented here do suggest that mitigation of chaotropicity during
242 fermentations may be worth considering where this is a limiting factor. Future studies should
243 concentrate on high ethanol concentrations where chaotropicity can induce cell-system failure.

244

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249

250 **Author contributions**

251 DJT conceived the project and drafted the manuscript, which was co-authored by JE, CD, MD and
252 JEH. JE carried out the majority of the experimental work, assisted by CD and supervised by MD and
253 DJT. JEH provided intellectual input and challenge.

254 **Figure legends**

255 **Figure 1: Summary of growth data in the presence of chaotropes.** Each graph summarises the
256 effects of ethanol or urea on the three key growth parameters – t_{lag} , μ_{max} and OD_{max} . For each
257 experimental run, the measurements were obtained in triplicate. Each point represents the mean
258 value resulting from a single experiment. The horizontal line represents the mean of these
259 experimental values and the error bars the standard deviations of these means. Where values were
260 statistically significantly different from the control (no added ethanol or urea), this is shown as:
261 $p < 0.05$ *; $p < 0.01$ **; $p < 0.001$ ***. nd, not determinable (due to lack of growth under the conditions
262 of the experiment)

263 **Figure 2: Summary of growth data in the presence of compatible solutes and kosmotropes.** Each
264 graph summarises the effects of glycerol, ammonium sulphate or betaine on the three key growth
265 parameters – t_{lag} , μ_{max} and OD_{max} . For each experimental run, the measurements were obtained in
266 triplicate. Each point represents the mean value resulting from a single experiment. The horizontal
267 line represents the mean of these experimental values and the error bars the standard deviations of
268 these means. Where values were statistically significantly different from the control (no added
269 glycerol, ammonium sulphate or betaine), this is shown as: $p < 0.05$ *; $p < 0.01$ **; $p < 0.001$ ***.

270 **Figure 3: Summary of yeast growth data in the presence of ethanol and compatible solutes or**
271 **kosmotropes.** Each graph summarises the effects of adding glycerol, ammonium sulphate (AMS) or
272 betaine to yeast cultures growing in the presence of increasing concentrations of ethanol. For each
273 experimental run, the measurements were obtained in triplicate. Each point represents the mean
274 value resulting from a single experiment. The horizontal line represents the mean of these
275 experimental values and the error bars the standard deviations of these means. Where values were
276 statistically significantly different from the control (no added glycerol, ammonium sulphate or
277 betaine), this is shown as: $p < 0.05$ *; $p < 0.01$ **; $p < 0.001$ ***.

278 **Figure 4: Summary of yeast growth data in the presence of ethanol and compatible solutes or**
279 **kosmotropes.** Each graph summarises the effects of adding glycerol, ammonium sulphate (AMS) or
280 betaine to yeast cultures growing in the presence of increasing concentrations of urea. For each
281 experimental run, the measurements were obtained in triplicate. Each point represents the mean
282 value resulting from a single experiment. The horizontal line represents the mean of these
283 experimental values and the error bars the standard deviations of these means. Where values were
284 statistically significantly different from the control (no added glycerol, ammonium sulphate or
285 betaine), this is shown as: $p < 0.05$ *; $p < 0.01$ **; $p < 0.001$ ***.

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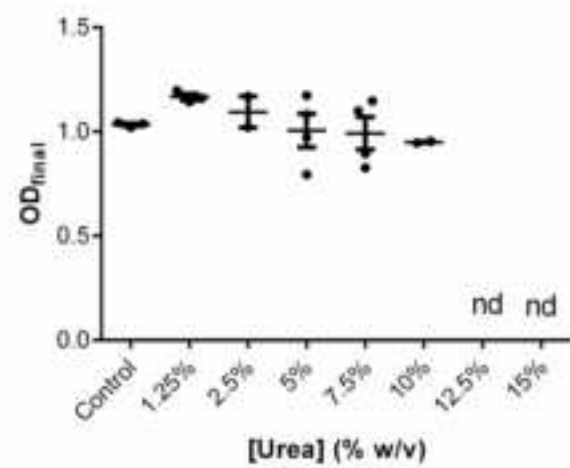
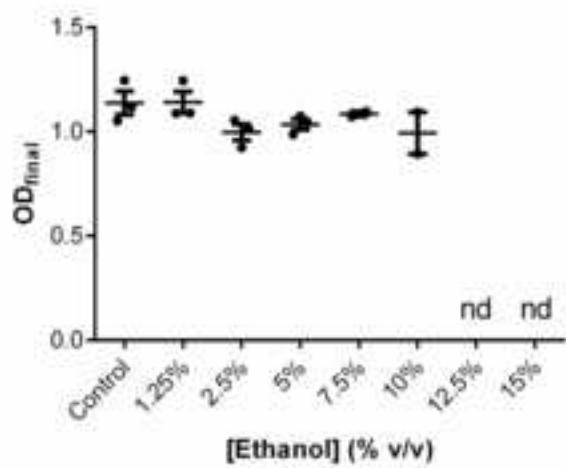
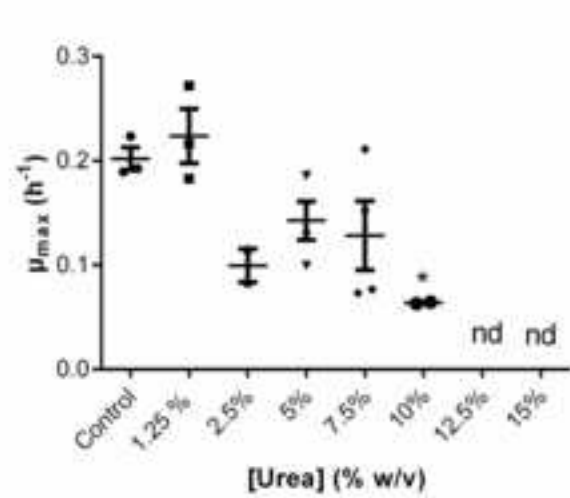
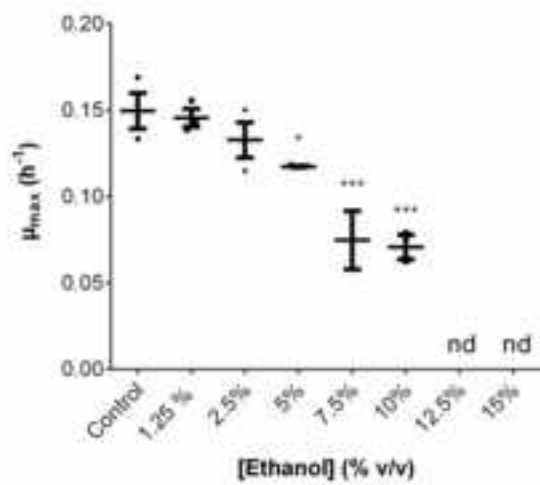
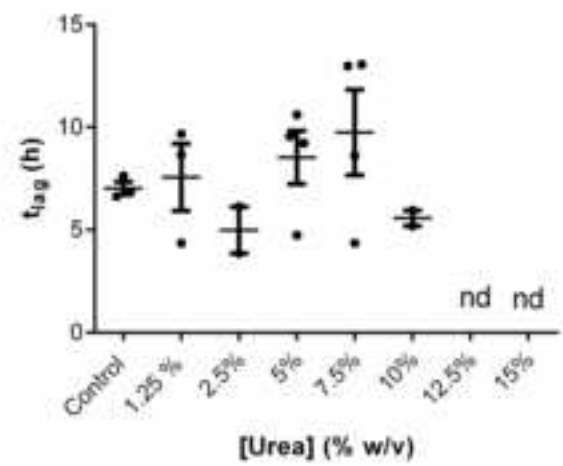
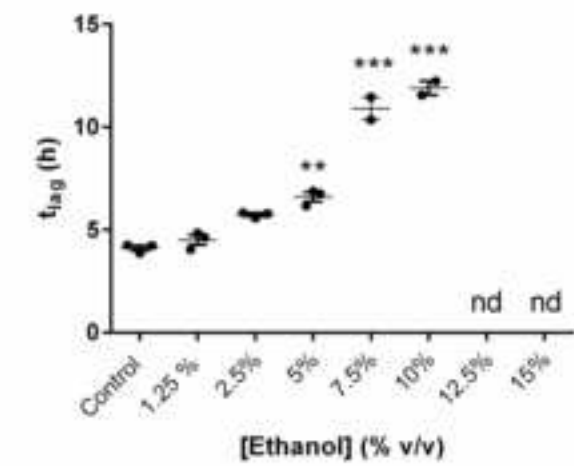
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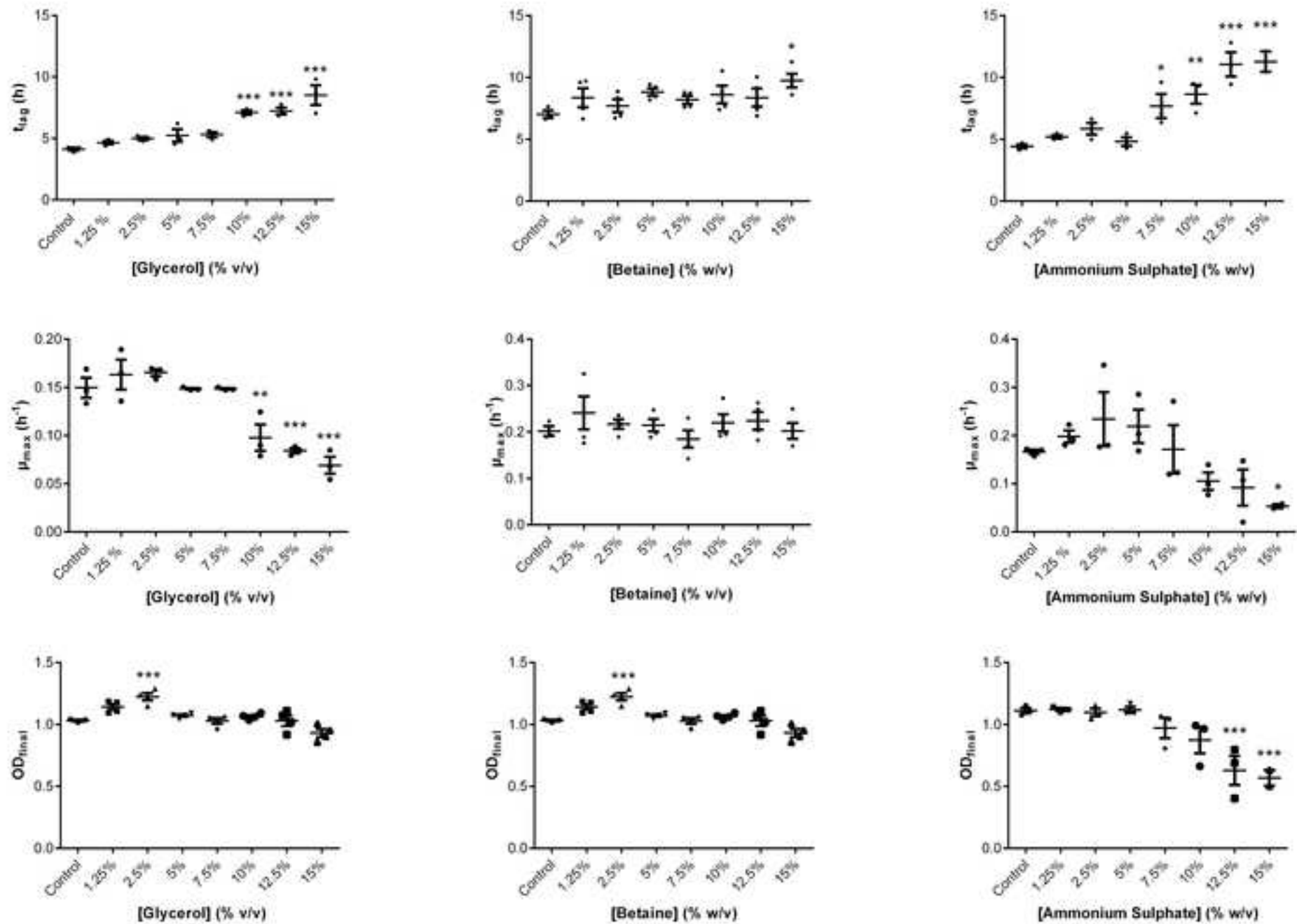
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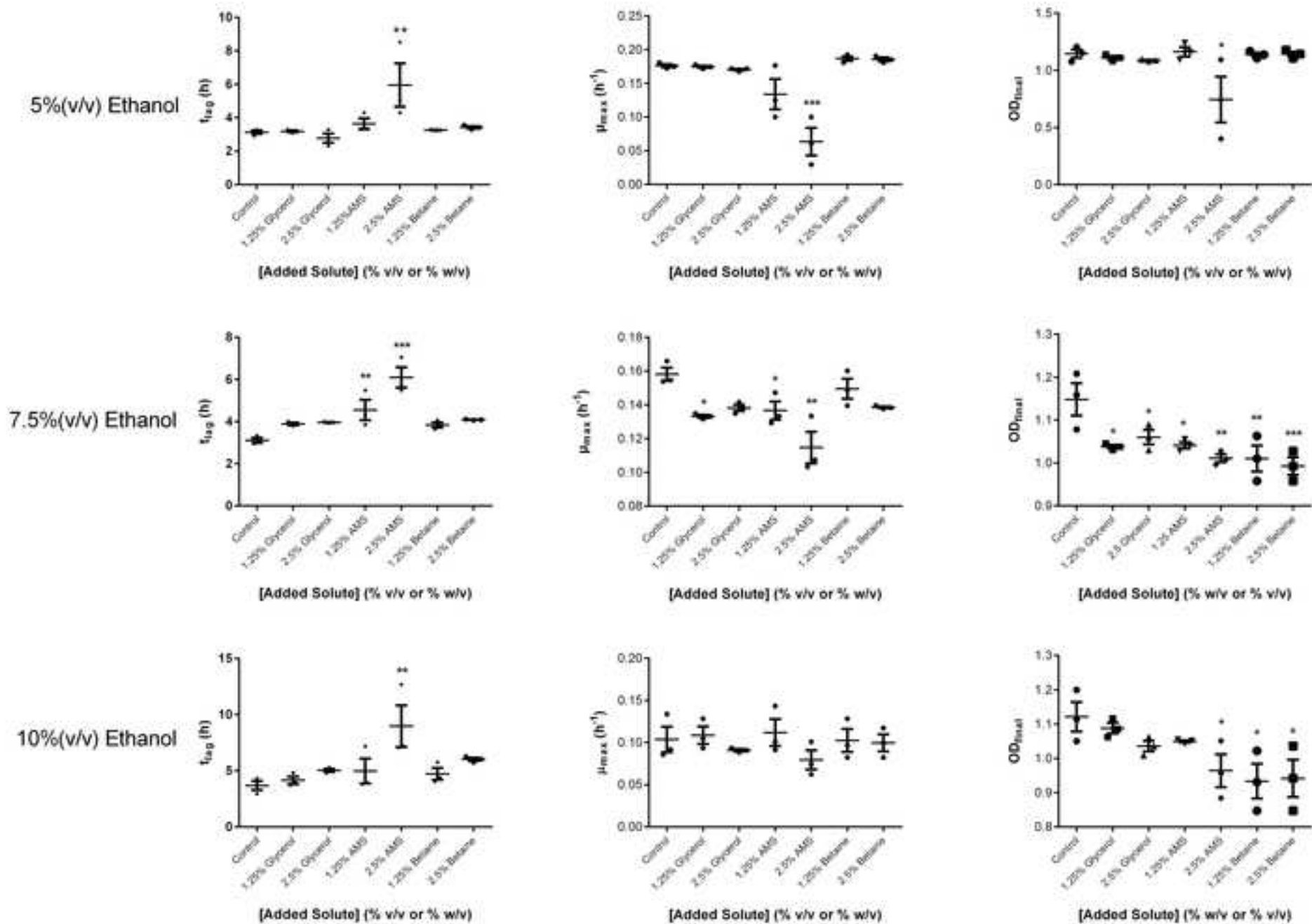
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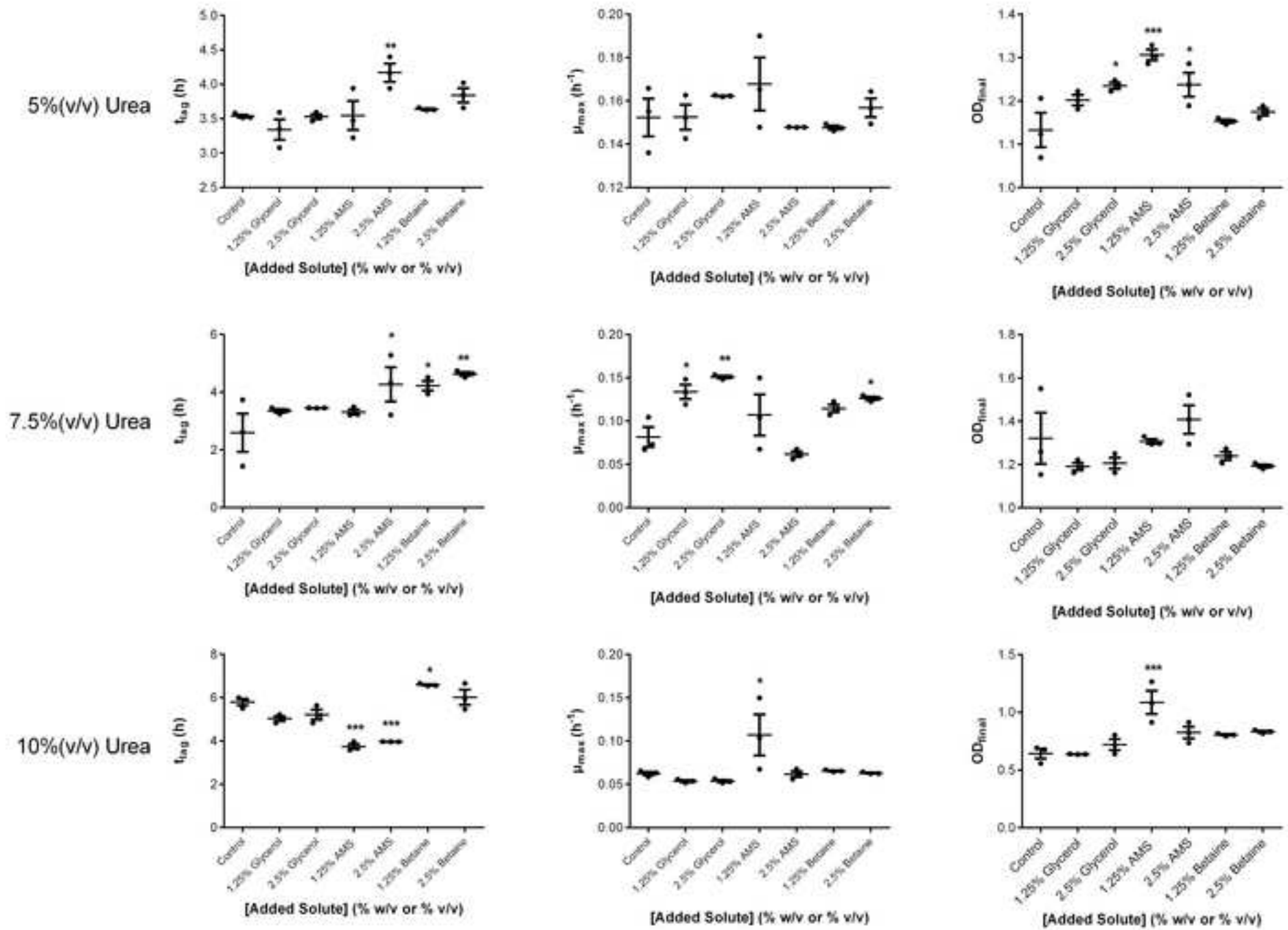
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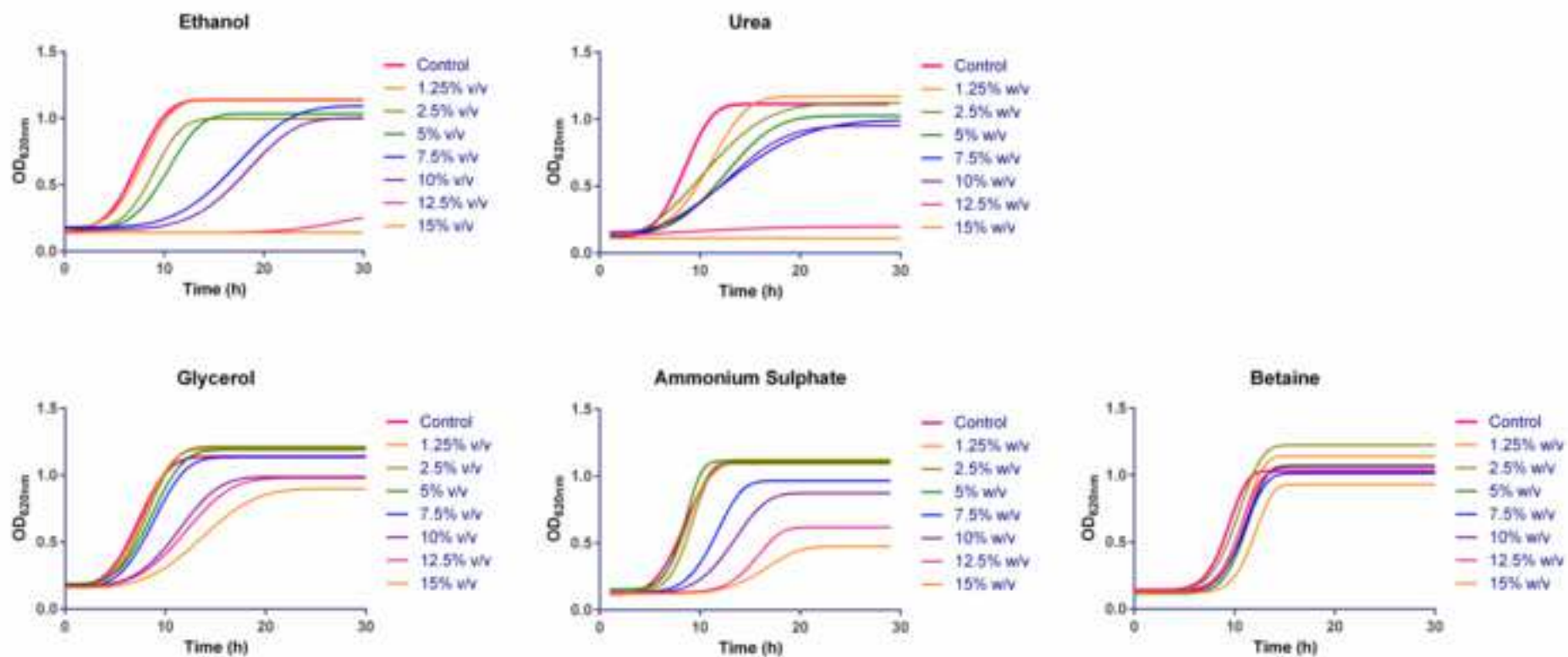
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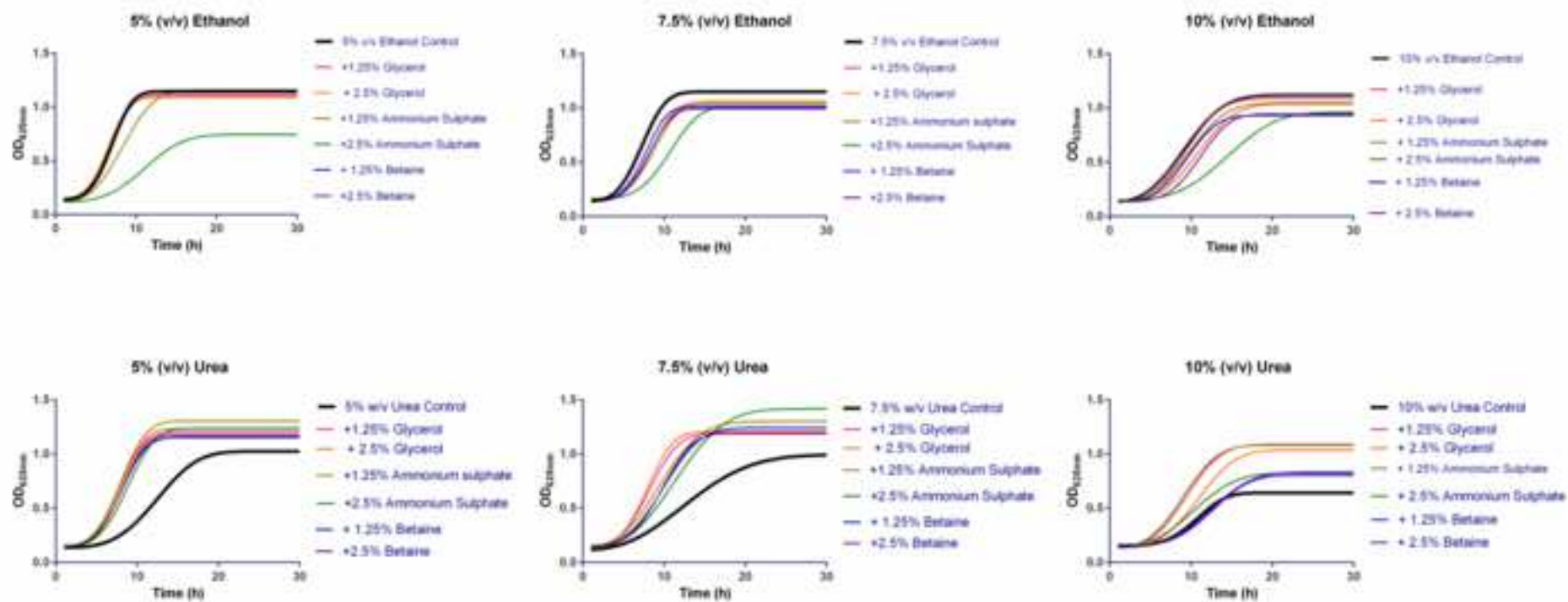








Supplementary Figure S1: Effects of chaotropes, kosmotropes and compatible solutes on the growth of *S. cerevisiae* NCYC 1088. These growth curves were used to derive the growth parameters shown in Figures 1 and 2.



Supplementary Figure S2: Effects of kosmotropes and compatible solutes on the growth of *S. cerevisiae* NCYC 1088 when challenged with ethanol or urea. These data were used to obtain the growth parameters shown in Figures 3 and 4.