

1 **Community-dwelling men with dementia are at high risk of hip but not any other**
2 **fracture: The Concord Health and Ageing in Men Project**

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19 Running title: Dementia, falls and fractures

20 **ABSTRACT**

21 **Aim:** The aim of this longitudinal study of older community-dwelling men was to
22 examine the association between cognitive status at baseline, and falls, fractures, and
23 bone loss over time.

24 **Method:** In the Concord Health and Ageing in Men Project, 1705 community-dwelling
25 men aged 70 to 97 had detailed baseline clinical assessment of cognitive status
26 (dementia, mild cognitive impairment (MCI) and normal cognition), as well as
27 depression, physical activity, neuromuscular function, health status, sociodemographic,
28 comorbidities, medication use and serum 25D, 1,25D and PTH levels. During a mean
29 follow-up of 6-years, participants were contacted 4-monthly to ascertain incident falls
30 and fractures, the latter being confirmed by radiographic reports. Bone mineral density
31 (BMD) was measured by dual X-ray absorptiometry at multiple time-points.

32 **Results:** At baseline, 120 men were assessed to have MCI and 93 men to have dementia.
33 Over time, there were 162 first incident fractures, including 43 hip and 32 vertebral
34 fractures. In univariate models, baseline dementia but not MCI predicted increased
35 incidence of hip fracture (HR:6.95, 95%CI:3.47-13.96) but not vertebral (HR:2.26,
36 95%CI:0.79-6.46) or non-hip-non-vertebral fracture (HR:0.73, 95%CI:0.27-1.99). The
37 strong risk of hip fractures associated with dementia remained after accounting for
38 potential confounders (HR:4.44, 95%CI:1.97-9.98). In multivariate analyses, dementia
39 (IRR:2.26, 95%CI:1.70-2.99) but not MCI was associated with an increased risk of falls

40 compared with normal cognition. There was no associations between baseline dementia
41 and change in BMD.

42 **Conclusions:** Older men with dementia, but not MCI, have a greater tendency to fall and
43 sustain hip fractures but not any other types of fractures.

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45 **Keywords:** bone loss; dementia; epidemiology; falls; fractures

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58 **INTRODUCTION**

59 Both dementia and hip fracture are strongly associated with substantial
60 morbidity and adverse health outcomes, including an increased risk of mortality.(1, 2)
61 Dementia complicates the acute management and care in people with hip fracture such
62 as increasing hospital length of stay, time to rehabilitation and post fracture
63 management.(3)

64 Several reports from different study populations have shown people with
65 dementia and cognitive impairment have a higher prevalence and risk of hip fracture
66 ((4-6) and see Supplementary references). However, these studies did not consider bone
67 mineral density (BMD). Most studies only examined the relationship between dementia
68 and fractures at the hip but not at other sites. To date, only two studies reported
69 relationships between dementia and non-hip fractures.(4, 5) These studies were studies
70 of patients hospitalized with fractures and relied entirely on hospital admission data
71 which may not have correctly captured all dementia diagnoses or non-hip fractures.
72 Furthermore, these two studies only accounted for age, sex and administrative records
73 of comorbidities, and did not account for other important shared and intermediate risk
74 factors.

75 The key aims of our longitudinal study of community-dwelling older men were to
76 identify the relationship between baseline cognitive status and (a) different types of
77 fractures (hip, vertebral and non-hip-non-vertebral) during 6-years, (b) falls during 2-
78 years, and (c) change in BMD across 3 time-points during 5-years of follow-up.

79

80 **METHODS**

81 **Study Participants**

82 The Concord Health and Ageing in Men Project (CHAMP) is an epidemiological
83 study of a wide range of health issues in older Australian men.(7) The selection of
84 participants has been described in detail elsewhere.(7) Briefly, 1705 community-
85 dwelling men aged 70 years and over participated at baseline (2005-07), 1367 returned
86 for the 2-year follow-up (2007-09) and 958 for the 5-year follow-up (2012-13).

87

88 **Cognitive Status**

89 At baseline, all participants were screened for cognitive status using the Mini
90 Mental State Examination (MMSE) (8) and the short form Informant Questionnaire on
91 Cognitive Decline (IQCODE).(9) Participants with a MMSE score less than 27 and/or
92 IQCODE greater than 3.6 were invited to have a detailed clinical assessment by a study
93 geriatrician.(10) The diagnosis for dementia, mild cognitive impairment (MCI) or normal
94 cognition included a series of standardized review and assessment as described in detail
95 elsewhere.(11) Diagnosis and classification of dementia was based on the Diagnostic
96 and Statistical Manual of Mental Disorders (4th edition) revised criteria.(12) Participants
97 deemed to have cognitive impairment but not dementia were given the diagnosis of
98 MCI.(13)

99

100 **Fractures**

101 Following their baseline assessment, men were contacted by telephone every four
102 months to ascertain incident fractures. If a fracture was reported, radiology reports
103 were obtained either from the participant, or from hospital medical records and
104 radiology practices. Only first incident fractures confirmed by radiographic reports were
105 included. Pathological fractures and fractures of hands, fingers, feet, toes and the skull
106 were excluded. All fractures that met the inclusion criteria were included regardless of
107 trauma level.(14, 15) Fractures were categorized into three categories: hip, vertebral
108 and non-hip-non-vertebral fracture. Time to censorship was either date of death, date
109 of official withdrawal from the study or date of the last telephone contact. Participants
110 have been follow-up for an average of 6 years.

111

112 **Falls**

113 At the four monthly follow-up phone calls, participants were asked whether they
114 had fallen in the preceding 4 months and, if so, how many times they had fallen. Up to 2
115 years of telephone call cycles from baseline were used for this analysis. Falls data were
116 categorized into 1 fall, 2 falls, and 3 or more falls. In addition to identifying the number
117 of falls in 2 years, the number of falls prior to but not including the first fall-related
118 fracture were also identified.

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120 **Bone Mineral Density**

121 BMD at the total hip and femoral neck, and lean mass was measured by dual X-
122 ray absorptiometry (DXA) using a Hologic Discovery-W scanner (Hologic Inc., Bedford,
123 MA, USA) at baseline, 2-year and 5-year follow-up. The same DXA scanner was used for
124 all scans at the three assessments.

125

126 **Other Measurements**

127 Baseline smoking status (never, ex-smoker, current), and the frequency and
128 quantity of alcohol consumption per week, comorbidity, fracture history and general
129 health status were based on self-report. Depressive symptoms were evaluated by the
130 Geriatric Depression Scale, short form (GDS). Physical activity was measured by the
131 Physical Activity Scale for the Elderly (PASE) questionnaire.

132 Height and weight were measured in the clinic and BMI was calculated as kg/m^2 .
133 Gait speed was measured at usual pace. A medication inventory was conducted by
134 trained personnel during the baseline clinic visit. Trained staff used a stopwatch to
135 record the time taken to walk 6 meters and narrow walk test, which required
136 participants to keep their feet within two lines of tape 20 cm apart.(16) Sarcopenia was
137 defined based on the European Working Group on Sarcopenia criteria of a low
138 ALM/height ($<7.26 \text{ kg/m}^2$) combined with low hand grip strength ($<30 \text{ kg}$) and/or low

139 gait speed (≤ 0.8 m/s).(17) Fasting blood samples were collected from participants on the
140 morning of their clinic visit. Serum 25 hydroxyvitamin D (25D), 1,25 dihydroxyvitamin D
141 (1,25D) and parathyroid hormone (PTH) levels were measured by radioimmunoassay
142 (RIA) using single-batch reagents (DiaSorin Inc., Stillwater, MN).

143

144 **Statistical Analysis**

145 The baseline descriptive characteristics of study participants by cognitive status
146 (dementia, MCI, or normal) were generated and one-way analysis of variance (ANOVA)
147 was performed to test the statistical difference between the three cognitive status
148 groups.

149 The fall incidence rate ratios (IRR) were estimated using negative binomial
150 regression analysis. This analysis enables adjustment for different follow-up times and
151 the analysis of recurrent events that are not independent of one another.(18) The
152 relative risks of fracture (hip, vertebral and non-hip-non-vertebral) were estimated using
153 Cox proportional hazards regression models (hazard ratios, HR) and 95% confidence
154 intervals. The association between cognitive status and change in BMD across three
155 time-points was estimated using generalized estimating equations (GEE).(19) We
156 conducted sensitivity analysis to ensure the fracture was not impacting on the falls
157 model by excluding falls at the time of or after a fracture.

158 Models were initially unadjusted, then age adjusted and then multivariable
159 adjusted. Age, comorbidity, general health status, smoking status, BMI, depression,

160 alcohol consumption, physical activity, serum 25D, 1,25D, PTH, psychoactive
161 medications, osteoporosis medication, previous falls and fracture history, and BMD
162 were tested for univariate associations with the relevant outcome of interest (falls or
163 fractures). Only covariates which were statistically significant at ($p < 0.05$) in univariate
164 models were entered into the multivariate model: age, comorbidity, osteoporosis
165 medications, GDS depression, physical activity and hip BMD.

166 The impact of incident falls, gait speed, inability to do a narrow walk and
167 sarcopenia on fracture risk were added individually into the base multivariate fracture
168 model. Similarly, to explore falls risk we added the following variables into a base
169 multivariate falls model: inability to do a narrow walk and gait speed. Scores on these
170 variables may be the result of dementia and their inclusion in the base model might
171 mask other relationships. The inclusion of these respective variables was to explore
172 whether these measurements might explain the observed relationships.

173 Interaction effects between dementia, covariates and time were tested in all
174 models. Multicollinearity was assessed using the variance inflation factor with a
175 threshold value of 10. Models were fit using SPSS software version 20 (IBM Corp.,
176 Armonk, NY, USA) and SAS software 9.3 (SAS Institute Inc., Cary, NC, USA).

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178 **Ethics Approval**

179 All participants gave written informed consent. The study was approved by the
180 Sydney South West Area Health Service Human Research Ethics Committee, Concord
181 Repatriation General Hospital, Sydney, Australia.

182

183 **RESULTS**

184 Of the 1705 CHAMP men, 164 men had unknown cognitive status because they
185 had low scores on MMSE and high scores on IQCODE but were unable to be seen by the
186 study geriatrician. These men were excluded from the final analyses resulting in 1541
187 men included in this study. Men with dementia tended to be older, have more
188 comorbidities, have depression, lower BMD, poorer physical function and drink less
189 alcohol than men with MCI or normal cognition (see Table 1).

190 Men with dementia were about four times more likely to have three or more
191 falls during the first 2-year follow-up period compared with men with MCI and men with
192 normal cognition (see Table 2). A greater proportion of men with dementia sustained a
193 hip fracture, but there was a similar proportion of other fracture types in men with and
194 without dementia (see Table 2).

195 The risk of any fall was greater for men with dementia than men with MCI or
196 normal cognition (see Table 3). The unadjusted IRR for falls was 4.13 (95%CI: 3.22-5.29)
197 for dementia and 1.09 (95%CI: 0.83-1.44) for MCI compared to normal cognition.
198 Dementia remained associated with falls in both the age-adjusted (IRR: 4.33, 95%CI:
199 3.35-5.59) and multivariate-adjusted (IRR: 2.26, 95%CI: 1.70-2.99) models. The findings

200 were similar in sensitivity analyses which excluded falls at the time of and following any
201 fracture (IRR: 3.27, 95%CI: 2.23-4.80) in the multivariate model (data not shown).

202 The greater risk for sustaining a hip fracture in men with dementia than men
203 with MCI or normal cognition is detailed in Table 4 and Figure 1. In unadjusted models
204 the risk of hip fracture was almost seven times greater (HR: 6.95, 95%CI: 3.47-13.96) and
205 four times greater when adjusted for age (HR: 4.23, 95%CI: 2.00-8.93). Likewise, after
206 accounting for potential confounders, the risk remained four times greater for men with
207 dementia (HR: 4.44, 95%CI: 1.97-9.98). Inclusion of factors that are likely to be caused
208 by dementia including any falls, slower gait speed and inability to do a narrow walk did
209 not significantly attenuated the impact on the relationship between dementia and hip
210 fractures. We further adjusted for sarcopenia in the base multivariate model and
211 observed that sarcopenia did not further attenuate the relationship (data not shown).
212 The findings were similar in sensitivity analyses which excluded falls following any
213 fracture in the multivariate model (data not shown).

214 No associations were found between cognitive status and vertebral or non-hip-
215 non-vertebral fracture in either unadjusted or multivariate-adjusted models. There were
216 no interaction effects between dementia, covariates and time (data not shown). There
217 were no longitudinal associations between cognitive status and BMD change. Neither
218 dementia nor MCI were associated with baseline BMD or changes in BMD across three
219 time-points over 5-years in either unadjusted or multivariate-adjusted models (data not
220 shown).

221

222 **DISCUSSION**

223 This is a longitudinal population-based study of community-dwelling older men
224 which assesses the associations between cognitive status at baseline, and fractures, falls
225 and change in BMD over time after accounting for a range of potential confounders,
226 including BMD. Dementia conferred a two times greater risk of falling but a 4.8 times
227 greater risk of a hip fracture after accounting for multiple potential confounders.
228 However, there was no association between dementia and risk of other types of
229 fractures nor between dementia and change in BMD. MCI did not increase either
230 fracture risk or falls risk. Our findings suggest that older men with dementia may have a
231 greater decline in motor function and impaired neurological reflexes during falls. The
232 fact that there were no associations between dementia and non-hip fractures suggests
233 that it may be the way older men with dementia fall that matters, particularly falls on
234 the side which result in hip injuries.(20)

235 Only two studies have examined the relationship between dementia and non-hip
236 fractures in older adults. Similar to our findings, the Taiwanese retrospective cohort
237 study of 8,448 people aged 60 and over reported patients who visited ambulatory care
238 centers or were hospitalized with a diagnosis of dementia were at greater risk of
239 developing hip, but not wrist or vertebral fracture.(5) Likewise, an Australian study using
240 hospital admission data reported people admitted with a fracture and a secondary
241 diagnosis of dementia were more likely to have a hip fracture than a forearm, wrist or

242 hand fracture.(4) These two studies and the other studies examining dementia and hip
243 fractures except for the recent UMEA 85+ study (6) were not population-based
244 prospective cohort studies, but instead were retrospective registry-based studies,
245 nested case-control studies, nested interventional studies, residential aged care studies
246 or cross-sectional hospitalization data studies ((4, 5) and see Supplementary
247 references).

248 Our findings in relations to falls are also consistent with a systematic review and
249 meta-analysis showing dementia to be associated with risk of any falls and recurrent
250 falls in community-dwelling older men.(21) The lack of observed associations between
251 dementia and non-hip and non-hip-non-vertebral fractures in our study may be due to
252 older men with dementia have a greater decline in motor function and impaired
253 neurological reflexes during falls. Systematic reviews have reported that motor
254 impairments such as impaired gait, muscular strength and balance are significant fall risk
255 factors in older adults with cognitive impairments (22). These impairments may result in
256 reduced opportunities for them to reach their hand or arm out during a fall. Men with
257 normal cognition may have a better response to falls by trying to prevent further
258 injuries with their upper or lower limbs, as oppose to older men with dementia who
259 may simply land on their hips. The orientation of the fall most likely have the greatest
260 impact on sustaining a hip fracture. We were unable to examine specifically whether
261 men with dementia were more likely to have a backward fall resulting in rib or pelvis
262 fracture.

263 It has been hypothesized that there may be common etiologies between
264 dementia and osteoporosis which both have a similar epidemiology with marked
265 increase in prevalence in older adults.(23) However, we did not find any associations
266 between dementia and change in BMD over time, which suggests there may not be an
267 independent link. Our finding suggest that dementia may not be associated with BMD
268 loss but instead may be associated with decline in bone quality. The decline in bone
269 quality is known to result in poor bone strength, which subsequently leads to sustaining
270 hip fractures.(24) In addition, this finding suggests that medications used to increase or
271 maintain bone density alone may not be sufficient to lower the risk of hip fractures in
272 older men with dementia.

273 Falls prevention trials have been widely conducted in community-dwelling older
274 people, however, dementia has been an exclusion criteria for almost all of the
275 interventions.(25) A recent systematic review and meta-analysis of three randomized
276 controlled trials has suggested that an exercise program may potentially prevent falls in
277 older adults with dementia.(26) Our study suggests that falls prevention programs for
278 older people with dementia should specifically target hip protection. Older men with
279 dementia may have poor protective responses during a fall. The slow reaction times and
280 speed of execution in conducting protective reactions may be the most problematic in
281 older adults with dementia. Interventions for the prevention of falls-related injuries or
282 hip protectors for preventing hip fractures have mainly focused on the cognitively intact
283 older adults.(27, 28) Hence, well-designed randomized controlled trials on the use of hip

284 protectors, improving home safety by installing soft surface ground and individual
285 tailored exercise program in older men and women with dementia are warranted.

286 A major strength of our study is that we included detailed clinical assessment of
287 cognitive status at baseline which allowed us to make clinical diagnoses of dementia and
288 MCI. We also confirmed all fractures using radiological reports. A further strength of
289 CHAMP was that it includes a large and representative group of older Australian men, as
290 demonstrated by similar socio-demographic and health characteristics in CHAMP men
291 compared to older men in the nationally representative MATeS study (29).

292 However, we recorded 20% loss to follow-up from baseline to 2-year and a further
293 30% loss from 2-years to 5-years, which may have caused bias in our BMD analysis.
294 However, loss to follow up in cohort studies of older people is inevitable because of the
295 high mortality rate, which accounted for over a third of the loss in our cohort over 5-
296 years. Although men were routinely contacted by telephone every four months to
297 ascertain any incident, the possibility remains of underreporting and missing some
298 fractures, particularly in those men with dementia. Furthermore, the small sample size
299 in vertebral and non-hip-non-vertebral fractures occurred in men with dementia may
300 suggest low statistical power. Finally, our study was limited to community-dwelling men
301 and so may not apply to women.

302 In conclusion, older men with dementia, but not MCI, have an increased risk of
303 falling and sustaining hip fractures but not any other types of fractures. Intervention

304 studies using innovative strategies are needed to prevent falls and falls-related hip
305 injuries in older men with dementia.

306

307 **Disclosures**

308 The authors declare no conflict of interest.

309

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407 **TABLE 1. Characteristics of study participants (n=1541) according to diagnosed**
 408 **cognitive status at baseline (Mean (SD) or N (%))**

	Normal (n=1328)	MCI (n=120)	Dementia (n=93)	p-value*
Age (yr)	76.4 (5.1)	77.3 (5.2)	80.6 (5.9)	<0.001
Body mass index (kg/m ²)	27.8 (3.8)	27.4 (3.8)	26.9 (3.0)	0.1
Smoking				
Previous smoker	749 (56%)	66 (55%)	46 (50%)	0.7
Current smoker	71 (5%)	10 (8%)	8 (9%)	
Alcohol (drinks/wk)	9.1 (10.6)	6.7 (8.9)	6.7 (9.6)	0.02
Number of comorbidities	2.5 (1.7)	2.4 (1.7)	3.0 (1.8)	0.02
Poor health status	364 (28%)	41 (35%)	44 (49%)	<0.001
GDS Depression	142 (11%)	22 (19%)	38 (43%)	<0.001
Psychoactive medication	106 (8%)	11 (9%)	12 (13%)	0.2
Osteoporosis medication	147 (11%)	20 (17%)	10 (11%)	0.2
Hip BMD (g/cm ²)	0.94 (0.1)	0.91 (0.1)	0.92 (0.2)	0.01
Total BMD (g/cm ²)	1.04 (0.1)	1.02 (0.1)	1.02 (0.1)	0.1
Previous fracture history	604 (46%)	37 (31%)	36 (39%)	0.004
Vitamin 25D (nmol/L)	56.9 (22.4)	57.2 (21.8)	54.1 (23.4)	0.5
Vitamin 1,25D (pmol/L)	111.1 (65.6)	113.7 (74.1)	103.2 (63.1)	0.1
PTH (pg/ml)	6.1 (2.9)	5.9 (2.3)	5.8 (3.3)	0.7
Physical Activity Scale for the Elderly (PASE)	130.7 (60.0)	126.9 (60.0)	95.8 (54.1)	<0.001
Gait speed (m/s)	0.90 (0.2)	0.84 (0.2)	0.69 (0.2)	<0.001
Unable narrow walk	347 (26%)	34 (29%)	46 (51%)	<0.001

409 *p-value: ANOVA for continuous variables and chi-square test for categorical variables

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415 **TABLE 2. Total falls over 2-years and incident fracture over 6-years follow-up according to**
 416 **baseline cognitive status (N (%))**

	Normal (n=1328)	MCI (n=120)	Dementia (n=93)
Falls			
1	194 (15%)	21 (18%)	14 (15%)
2	85 (6%)	6 (5%)	4 (4%)
3+	76 (6%)	10 (8%)	22 (24%)
Fractures			
Hip	29 (2%)	3 (3%)	11 (12%)
Vertebral	26 (2%)	2 (2%)	4 (4%)
Non-hip Non-vertebral	77 (6%)	7 (6%)	4 (4%)

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435 **TABLE 3. Incident rate ratios (95%CI) of falls over 2-years follow-up based on baseline**
 436 **cognitive status**

	Unadjusted	Age-adjusted	Multivariate*	Multivariate + narrow walk	Multivariate + gait speed
Normal	1.00	1.00	1.00	1.00	1.00
MCI	1.09 (0.83-1.44)	1.07 (0.80-1.43)	1.09 (0.80-1.47)	1.03 (0.76-1.42)	0.76 (0.53-1.07)
Dementia	4.13 (3.22-5.29)	4.33 (3.35-5.59)	2.26 (1.70-2.99)	2.13 (1.59-2.84)	1.59 (1.15-2.19)

437 *multivariate model adjusted for age, number of comorbidities, osteoporosis medications, GDS
 438 depression and Physical Activity Scale for the Elderly (PASE)

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457 **TABLE 4. Hazard ratio (95% CI) for associations between baseline cognitive status and**
 458 **fractures over an average of 6-year follow-up**

	Unadjusted	Multivariate*	Multivariate + falls	Multivariate + narrow walk	Multivariate + gait speed
Hip					
Normal	1.00	1.00	1.00	1.00	1.00
MCI	1.17 (0.36-3.83)	0.91 (0.28-3.00)	0.92 (0.28-3.05)	1.01 (0.31-3.33)	1.08 (0.32-3.61)
Dementia	6.95 (3.47-13.96)	4.44 (1.97-9.98)	4.38 (1.93-9.94)	5.23 (2.33-11.73)	5.64 (2.36-13.48)
Vertebral					
Normal	1.00	1.00	1.00	1.00	1.00
MCI	0.85 (0.20-3.60)	0.81 (0.19-3.46)	0.78 (0.18-3.32)	0.88 (0.21-3.75)	0.93 (0.22-4.00)
Dementia	2.26 (0.79-6.46)	1.74 (0.56-5.44)	1.79 (0.56-5.72)	1.33 (0.37-4.82)	2.11 (0.66-6.75)
Non-hip					
Non-vertebral					
Normal	1.00	1.00	1.00	1.00	1.00
MCI	1.01 (0.46-2.18)	0.79 (0.34-1.81)	0.77 (0.33-1.77)	0.67 (0.27-1.66)	0.72 (0.29-1.79)
Dementia	0.73 (0.27-1.99)	0.52 (0.16-1.71)	0.47 (0.14-1.56)	0.56 (0.17-1.85)	0.65 (0.20-2.16)

459 *multivariate model adjusted for age, number of comorbidities, osteoporosis medications, GDS
 460 depression, Physical Activity Scale for the Elderly (PASE) and hip BMD

461 †the age-adjusted results for hip fractures are shown in text

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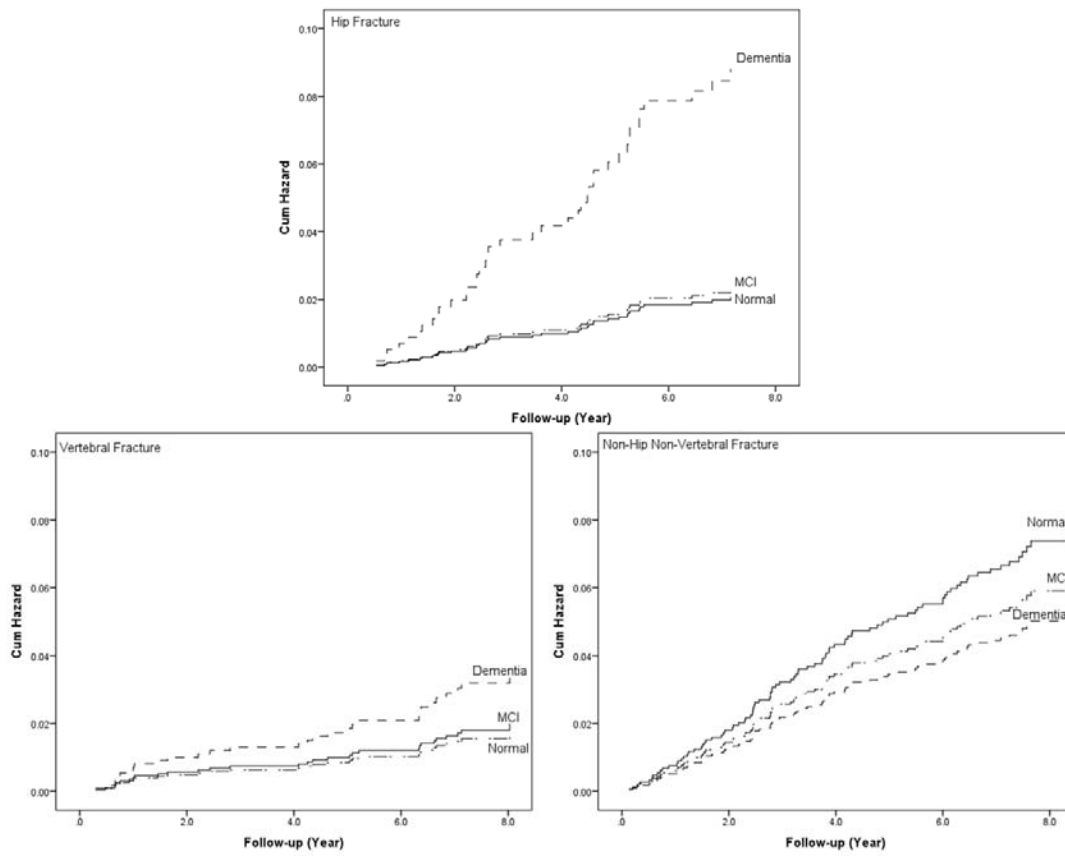
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470 FIGURE 1. Cumulative hazard rates of hip, vertebral and non-hip non-vertebral fracture
471 according to baseline diagnosed cognitive status (dementia, MCI or normal)



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