

1 **Outcomes in children treated for tuberculosis with the new**
2 **dispersible fixed-dose combinations in Port Moresby**

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32 **ABSTRACT**

33 **Setting:** The new child-friendly fixed dose combinations (FDCs) were introduced at
34 Port Moresby General Hospital, Papua New Guinea, in 2016 for the first-line
35 treatment of children (<15 years) with tuberculosis (TB) who were less than 25
36 kilograms.

37 **Objective:** To describe the characteristics and outcomes for children treated with the
38 new FDCs, and to identify risk factors for unfavorable treatment outcomes.

39 **Design:** A retrospective cohort study of all children treated for TB with the FDCs
40 from August 2016 to August 2017.

41 **Results:** 713 children were included, and 488 (68%) were diagnosed as pulmonary
42 TB. Only 6 (0.8%) TB cases were bacteriologically confirmed and HIV status was
43 known in 50%. Treatment outcomes were favorable in 425 (60%) children. **Of 288**
44 **with unfavorable outcomes, 242 (84%) were loss to follow-up (LTFU) and 25**
45 **(8.4%) were known to have died.** Children who were severely underweight (<-3
46 weight-for-age Z score) on presentation were at greater risk of LTFU compared to
47 children of normal weight on multivariable analysis (aRR 1.3, 95% CI 1.0-1.6,
48 $p<0.05$).

49 **Conclusion:** **Alternative** models of care to reduce LTFU during treatment need
50 consideration, including integration with nutritional support. **Improving diagnosis**
51 **through microbiological confirmation of TB and HIV are major challenges to be**
52 **addressed.**

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60 **INTRODUCTION**

61 Tuberculosis (TB) is a major cause of morbidity and mortality among children in high
62 burden countries.¹ Globally in children (<15 years of age) in 2016, there were an
63 estimated 1.04 million incident cases of TB and 253,000 TB related deaths.² In Papua
64 New Guinea (PNG) in 2016, the case notification rate for all forms of TB was 333 per
65 100,000 population and children accounted for 27% of all TB case notifications,³
66 higher than the global estimate of 10.6% of all TB cases **and the highest proportion**
67 **of TB cases in children reported globally.**² The vast majority of child TB cases in
68 PNG are clinically diagnosed without bacteriological confirmation.

69 The burden of TB is high in the National Capital District (NCD) in 2016, with a case
70 notification rate of 1,117 per 100,000 population, of which 21% occurred in children.³
71 In 2016, 42.5% of TB cases in the NCD were tested for HIV, compared to the
72 national average of 35%, and 7.6% of those tested in NCD were HIV-positive.³
73 Treatment success has remained low throughout the country, ranging from 55% to
74 65% during 2008 to 2016, **and lost to follow-up (LTFU) is common.**³

75 Young age is a consistent risk factor for mortality in children with TB. An estimated
76 80% of all child TB deaths globally in 2015 occurred in children under 5 years of
77 age.^{4,5} Young children require higher dosages per weight than older children and
78 adults to achieve adequate **drug exposure.** Hence, the World Health Organization
79 (WHO) increased the recommended dosages for the first-line drugs to treat TB in
80 young children in 2010.⁶ Further, the previously available formulations were difficult
81 to administer to young children to achieve these dosages, often requiring breaking
82 multiple tablets into portions with concerns about accuracy of dosing.⁷ These
83 challenges led to the development of appropriately-dosed, child-friendly, dispersible
84 fixed-dose combinations (FDCs) consisting of RHZ (75 mg/50mg/150mg) and RH
85 (75mg/50mg) for the treatment of drug-susceptible (DS) TB in children weighing less
86 than 25 kg; these FDCs were launched in December 2015.^{6,8}

87 PNG was the first country in the Asia-Pacific region to introduce the new FDCs at
88 Port Moresby General Hospital (PMGH) situated in the NCD in August 2016. We
89 aimed to describe the characteristics and treatment outcomes for children treated with

90 the new FDCs at PMGH and to identify risk factors associated with unfavorable
91 outcomes.

92 **METHODS**

93 *Study Setting*

94 Port Moresby is the capital of PNG with a population of 365,000. PMGH is the
95 largest hospital in PNG with 1000 beds, including 138 paediatric beds, that provides
96 care for child TB cases, mainly from the NCD and Central Province. Children treated
97 for TB at PMGH may present as inpatients or outpatients. The approach to the
98 diagnosis of pulmonary TB in children includes clinical evaluation, TB contact
99 history and chest radiography. Expecterated sputum is collected if available,
100 otherwise gastric aspirates are obtained if directed by the clinician. Two sputum
101 samples are sent to the laboratory for examination by smear microscopy for acid-fast
102 bacilli and Xpert® MTB/RIF assay (Cepheid, Sunnyvale, CA, USA). Only specimens
103 in which rifampicin resistance is detected by Xpert are sent to Queensland
104 Mycobacterium Reference Laboratory in Australia for mycobacterial culture and drug
105 susceptibility testing. Laboratory investigation of extra-pulmonary TB is dependent
106 on the site of disease, such as fine needle aspiration of lymph nodes or examination of
107 cerebrospinal fluid. **Routine** HIV testing is encouraged, but **not always performed**
108 **due to: lack trained staff (certified training is required); patient volume; and**
109 **properly recording data.**

110 *Study Design and Population*

111 This was a retrospective cohort study of all children (<15 years) with presumptive
112 DS-TB who were treated with the new FDC from the commencement of TB treatment
113 at PMGH over a one-year period (17th August 2016 to 16th August 2017).

114 *Management of TB in Children*

115 Treatment regimens for TB were in accordance with national guidelines.^{9,10} First-line
116 treatment included a two-month intensive phase of daily rifampicin (R), isoniazid (H),
117 pyrazinamide (Z) and ethambutol (E) followed by a continuation phase of four
118 months of daily rifampicin and isoniazid (2RHZE/4RH) for children with pulmonary

119 TB. All forms of extra-pulmonary TB were treated for nine months with a
120 continuation phase of seven months (2RHZE/7RH). Corticosteroids are routinely
121 given for 6 weeks in children with TB meningitis or pericardial TB.

122 Weight-based dosing of the FDCs was used as described in Table I as per national
123 guidelines.^{9,10} Following hospital discharge for inpatients or treatment initiation for
124 outpatients, children were provided with treatment for two weeks and requested to
125 attend the PMGH outpatient TB clinic for follow-up. Children severely underweight
126 (weight-for-age Z score <-3) on hospital admission were requested to attend the
127 nutritional rehabilitation clinic two weeks after discharge until they reached their
128 target weight, and were followed up at the outpatient child TB clinic.

129 **In PNG, children receiving treatment for drug-susceptible TB are supervised by**
130 **family members, with no formal directly-observed treatment. Families were**
131 **educated about TB treatment by the provider, and given incentives as described**
132 **below when available.** Children are followed every 1-2 months with medication
133 provided until the next scheduled clinic visit. At each follow-up visit, children
134 completed evaluation which included weight, clinical history to determine resolution
135 or persistence of symptoms, assessment of adherence to and **tolerance of medication**.
136 The numbers of dispersible FDC tablets to be taken each day was adjusted according
137 to the current weight (Table 1); if a child weighed ≥ 25 kilograms, they were changed
138 to “adult” preparations of FDC as per guidelines.^{9,10} Repeat sputum or gastric lavage
139 was not done in children who had been diagnosed with bacteriologically confirmed
140 TB. Incentives were provided when available, which included monthly transport
141 vouchers (~\$2.80 USD) and shopping vouchers (~\$14 USD) at the end of the
142 intensive phase and upon treatment completion. In addition, they received a gift pack
143 of books and pencils on treatment completion.

144 ***Data collection and analysis***

145 Data variables collected in this study included: residence, age, sex, weight, weight for
146 age, site of TB, type of TB, HIV status and treatment outcomes which were reported
147 according to standard WHO and national definitions.^{9,11} Data were captured in ‘E TB
148 Manager’ tablets that were introduced to PMGH together with the introduction of the
149 new FDC in August 2016 by Rural Sensing Centre and the National Health

150 Information System in PNG. After data capture, data describing children treated for
151 DS-TB were downloaded into an electronic database and then made available in
152 Microsoft Excel (Microsoft, Redmond, Washington, USA). Data were cross-checked
153 with treatment registers, follow-up clinic registers, and paediatric admission and
154 inpatient death register books.

155 Data were validated and analysed in Stata v15 (StataCorp, College Station, Texas,
156 United States). Categorical data were reported as numbers and proportions.
157 Continuous data were reported as median and inter-quartile range. A modified
158 Poisson regression using robust variance estimates was used for analysis of risk
159 factors. Associations were summarised and inferred using relative risk (RR,
160 unadjusted and adjusted) and 95% confidence intervals (CIs).

161 *Ethics*

162 Ethical approval to conduct this study was obtained from the PNG Medical Research
163 Advisory Council, The Port Moresby General Hospital, and the Alfred Hospital
164 Ethics Committee, Australia. As this study involved routinely collected, secondary
165 programme data, waiver of informed consent was sought and approved by the ethics
166 committees.

167 **RESULTS**

168 There were 713 children who initiated treatment with the new FDCs over a one-year
169 period. Demographic and clinical characteristics are summarized in Table 2, and 554
170 (78%) children were recorded as being resident in the NCD. The majority (77%) of
171 the children were < 5 years of age, reflecting the fact that the new FDCs are only for
172 children weighing less than 25 kilograms (Table I), and 117 (16%) of the study
173 population were infants (<12 months of age). Pulmonary TB (68% of total cases) was
174 the most common site and extra-pulmonary TB included: lymph node TB (9% of total
175 cases), TB meningitis (7%), abdominal TB (4%), and pleural TB (2%). Less than 1%
176 of the cohort had bacteriologically confirmed TB. HIV status was unknown in 50% of
177 the cohort, and among 357 children with known HIV status, 13% were living with
178 HIV.

179 Table 3 presents data on treatment outcomes. There were no children recorded as
180 “cured” as sputum was not collected for microscopy or culture at follow-up. There
181 were 25 deaths. The median time from starting treatment until death was 10 days,
182 though with a wide range (IQR 6, 53; n=21). Of children who died, 15 (60%) had
183 PTB and 7 (28%) had disseminated disease, 5 with TB meningitis and 2 with miliary
184 TB. Seven (28%) of the deaths were in children newly diagnosed with HIV, and 9
185 (36%) were HIV-negative or unknown.

186 One-third (34%) of all children in the cohort were LTFU. Characteristics associated
187 with the outcomes of “treatment complete” (n=425) and “LTFU” (242) were assessed
188 (Table IV). Children who were severely underweight (<-3 weight-for-age Z score) on
189 presentation were at significantly greater risk of LTFU compared to children of
190 normal weight on multivariable analysis adjusting for potential confounders (adjusted
191 RR 1.3, 95%CI 1.0-1.6, p<0.05). Multivariable analysis similarly adjusted for
192 potential confounders did not identify any factors associated with unfavorable
193 outcomes defined collectively as died, LTFU, and not evaluated (data not shown).
194 However, **93 (44%) of 212 severely underweight children (WFA Z score of <-3)**
195 **were not tested for HIV.**

196 DISCUSSION

197 This is a cohort study reporting outcomes in children treated with the recently
198 developed FDCs for DS-TB. Our findings highlight the challenges of TB
199 confirmation and retention in care that are common in many resource poor settings.
200 The mortality rate of 3.5% found in our study is higher than the 2% previously
201 reported from a cohort study of 639 children who received first-line treatment for
202 pulmonary and extra-pulmonary TB as single drug preparations in the 1980s at
203 PMGH.¹² In comparing outcomes of these two large PNG child TB cohorts it should
204 be noted that the previous study was conducted in the pre-HIV era and included older
205 children while our study was limited to children weighing less than 25 kilograms.
206 Young age and HIV are recognized risk factors for mortality in children treated for
207 TB.⁴ While child TB is commonly diagnosed and reported in PNG,³ treatment
208 outcomes, including TB-related deaths are not well reported. Deaths due to severe TB
209 in children can be under-represented in surveillance data because they often occur
210 early following presentation and diagnosis before the child can be registered as a TB

211 case.¹³ Most of the recorded deaths occurred as inpatients within weeks following
212 diagnosis. There is a recognized need for better data of TB-related deaths in
213 children.^{1,4,5} This study also highlights the need to improve coverage of testing for
214 HIV in children with presumptive TB.

215 The high proportion of children LTFU described here is similar to a previous study
216 from PMGH¹², where the LTFU rate was 28%. Both studies may underestimated the
217 true mortality rate as there were likely to have been deaths among the children who
218 were LTFU. LTFU is recognized to be a major contributor to the low treatment
219 success rates that were recently reported for PNG – representing around 19% of all
220 treatment outcomes in 2016 but as high as 27% in some settings.³ LTFU and poor
221 treatment adherence are frequent in cohorts of children treated for TB in high-burden
222 settings.^{14,15} One of the commonly perceived treatment barriers to adherence, a lack
223 of child-friendly medicines, was not a factor in this cohort and yet retention in care
224 remained a challenge. LTFU also occurred despite the use of incentives. However,
225 incentives were provided inconsistently during this study period which highlights the
226 challenges of access and follow-up when care is centralised in a large tertiary facility.
227 Of note, the LTFU rate may have been lower than reported, as TB clinic staff may
228 have failed to record clinic attendance in the register and accurately document
229 treatment outcomes. Improving the quality of TB program data is an important to
230 ensure that the data can be meaningfully used to inform accurate reporting and quality
231 improvement activities.

232 Children who were severely undernourished were at highest risk of LTFU. However,
233 when adjusting for measured potential explanatory factors, the effect size was not
234 large, suggesting that other unmeasured factors exist. There is a known higher risk of
235 death among children with severe malnutrition which could explain the higher rate of
236 LTFU. Additionally, it is possible that these children chose to attend nutritional
237 rehabilitation services for follow-up suggesting that coordination with nutritional
238 services may support retention in the TB cascade of care. **Finally, HIV status was
239 unknown in a large proportion of the cohort including children with severe
240 malnutrition, and undiagnosed HIV-infected children not being treated with
241 antiretroviral therapy are at risk for severe malnutrition and poor outcomes.
242 Having enough trained staff to perform HIV testing in the hospital and clinic
243 was challenging, in addition to capturing HIV testing into the electronic tablet.**

244 This is the first study of outcomes of children receiving FDCs in our population and
245 will serve as a benchmark to measure future efforts to improve care. Factors
246 associated with LTFU are likely to be multiple and complex including behavioral,
247 socioeconomic and healthcare system related. Improving retention in care will require
248 consideration of these factors when treating paediatric TB. Enabling patients to
249 receive care closer to home by enhancing community-based treatment support may be
250 an important factor to promote.¹⁵

251 The proportion of all TB in PNG that is bacteriologically confirmed is low (26% of
252 pulmonary TB cases) and the diagnosis of pulmonary TB without sputum or of extra-
253 pulmonary TB is common.³ Low rate of bacteriological confirmation (less than 1%)
254 underlines the challenges of TB diagnosis in children. Additionally **for this study,**
255 accurately recording specimen collections into the electronic tablet was challenging.
256 **The consistently low diagnostic yield from smear microscopy of gastric aspirates**
257 **and lack of culture facilities has discouraged clinicians in PNG from routinely**
258 **taking specimens for bacteriological confirmation of TB in children.** The WHO
259 and PNG guidelines now recommend that children with presumptive TB have
260 specimens tested using GeneXpert^{9,11} **and mycobacterial culture is also now**
261 **available (since 2017) in Port Moresby.** Optimising the use of **Xpert, culture and**
262 **drug susceptibility** testing to improve the diagnosis of child TB is important,
263 especially in PNG that has an increasingly high burden of drug-resistant TB.¹⁶
264 Obtaining specimens from young children remains a challenge, **especially in a setting**
265 **where nasogastric tubes are often not available. Nonetheless, efforts to improve**
266 **the laboratory detection of *Mycobacterium tuberculosis* and the spectrum of drug**
267 **resistance in children are required.**

268 This study has a number of important limitations. We did not have a control group
269 that would allow a comparison to be made between treatment outcomes achieved
270 using the new FDC formulations compared to the former. Additionally, this was a
271 retrospective study reliant on routinely collected programmatic data. As such there
272 were missing data that despite cross checking registers, were not able to be identified,
273 especially in regards to specimen collections for GeneXpert and HIV. While this
274 study aimed to determine risk factors for LTFU, the results may not be a true
275 reflection of actual risk factors as key information, notably HIV status, was missing
276 for a large proportion of patients. Additionally, some children may have had

277 undiagnosed drug resistant TB. Finally, we were unable to actively trace the large
278 proportion of the cohort who were LTFU to determine their status and ascertain the
279 possible reasons for not completing TB treatment at PMGH.

280 In conclusion, this study of a large cohort of children treated with the new FDC in
281 PNG highlighted the need to improve retention in care, promote bacteriological
282 confirmation of TB among children, increase access to HIV testing and improve
283 linkages with community-based TB programs and nutrition services.

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297 **Conflict of interest**

298 There are no conflicts of interest to declare.

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308 **Author contributions**

309 The Child TB Project was developed by TI, JA, AM and HW. VA, SG, AM, HDS,
310 ML and HW contributed to the drafting of the study proposal. VA, ML sought and
311 received ethical clearance. The project was managed by GS, VA, ML, JA, HW, and
312 TM. VA, SG, PC, HDS contributed to data analysis. VA, ML, SG, AM, PC, HDS
313 drafted the final manuscript and all authors reviewed and contributed to it.

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356 **Table 1. Dosing regimen by weight bands for the treatment of tuberculosis in**
 357 **children using the new dispersible fixed-dose combinations at Port Moresby**
 358 **General Hospital, Papua New Guinea ^{8,9}**

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Weight bands	Numbers of tablets		
	Intensive phase		Continuation phase
	RHZ* 75/50/150 mgs (Dispersible tablets)	Ethambutol 100 mg	RH*75/50 mgs (Dispersible tablets)
4 – 7.9 kg	1	1	1
8 – 11.9 kg	2	2	2
12 – 15.9 kg	3	3	3
16 – 24.9 kg	4	4	4
≥ 25 kg	Go to adult dosages and preparations		

360 *R, Rifampicin; H, Isoniazid; Z, Pyrazinamide

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362 **Table 2. Clinical and demographic characteristics of children who commenced**
 363 **treatment of tuberculosis using the new dispersible fixed-dose combinations at**
 364 **Port Moresby General Hospital, Papua New Guinea from August 2016 to August**
 365 **2017**

Characteristic	Number (%)
Total	713
Age	
<12 months	117 (16.4)
12-59 months	431 (60.4)
60-119 months	141 (19.8)
≥120 months	23 (3.2)
Missing	1 (0.1)
Gender	
Male	387 (54.3)
Female	325 (45.6)
Missing	1 (0.1)
Residence	
National Capital District	554 (77.7)
Central province	144 (20.2)
Gulf province	4 (0.5)
Others	2 (0.3)
Missing	9 (1.3)
HIV status	
Uninfected	308 (43.2)
Infected	49 (6.9)
Not known	356 (49.9)
Baseline weight	
< 4 kg	4 (0.5)
4-7.9 kg	216 (30.3)

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8-11.9 kg	229 (32.1)
12-15.9 kg	135 (19.0)
16-24.9 kg	119 (16.7)
Missing	10 (1.4)

Site of TB

Pulmonary TB (PTB)	488 (68.4)
TB Lymph node	66 (9.3)
TB Meningitis	47 (6.6)
Extra-pulmonary TB (EPTB) - Others	108 (15.1)
Missing	4 (0.6)

Case Definition

PTB bacteriologically confirmed	1 (0.1)
PTB clinically diagnosed, bacteriologically negative	34 (4.8)
PTB clinically diagnosed, not tested bacteriologically	427 (59.9)
EPTB bacteriologically confirmed	5 (0.7)
EPTB clinically diagnosed	230 (32.3)
Case definition not recorded	16 (2.2)

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383 **Table 3. Treatment outcomes of children who commenced treatment of**
 384 **tuberculosis using the new dispersible fixed-dose combinations at Port Moresby**
 385 **General Hospital, Papua New Guinea**

End of treatment outcomes	Number (%)
Total	713 (100)
Cured	0 (0)
Treatment completed	425 (59.6)
Treatment failed	0 (0)
Died	25 (3.5)
Lost to follow-up	242 (33.9)
Not evaluated*	21 (3.0)
Not recorded	0 (0)

386 *** Not evaluated is defined as: a TB patient for whom no treatment outcome is**
 387 **assigned. This includes cases ‘transferred out’ to another treatment unit as**
 388 **well as cases for whom the treatment outcome is unknown to the reporting**
 389 **unit.**

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395 **Table 4. Risk factors for lost to follow-up as compared to treatment success in**
 396 **children treated with the new fixed-dose combinations at Port Moresby General**
 397 **Hospital**

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Characteristic	Treatment Complete	Lost to follow-up	RR	aRR**
	N (%)	N (%)	(0.95 CI)	(0.95 CI)
Total	425 (63.7)	242 (36.3%)	-	-
Age in months (n=667)				
<12	58 (13.6%)	44 (18.2%)	1.05 (0.6, 1.8)	1.2 (0.7, 2.2)
12-59	258 (60.7%)	151 (62.4%)	0.9 (0.5, 1.5)	1.1 (0.6, 1.8)
60-119	96 (22.6%)	38 (15.7%)	0.7 (0.4, 1.2)	0.8 (0.5, 1.5)
≥120	13 (3.1%)	9 (3.7%)	Ref	Ref
Gender (n=667)				
Male	233 (54.8%)	134 (55.4%)	Ref	Ref
Female	192 (45.2%)	108 (44.6%)	1.0 (0.8, 1.2)	1.0 (0.8, 1.2)
Residence (n=662)				
National capital district	339 (80.3%)	181 (75.4%)	Ref	Ref
Central province	80 (19.0%)	56 (23.3%)	1.2 (0.9, 1.5)	1.1 (0.9, 1.4)
Others	3 (0.7%)	3 (1.3%)	1.4 (0.6, 3.2)	1.6 (0.6, 2.6)
HIV status (n=667)				
Uninfected	187 (44.0%)	105 (43.4%)	Ref	Ref
Infected	26 (6.1%)	14 (5.8%)	1.0 (0.6, 1.5)	1.0 (0.6, 1.5)
Unknown	212 (49.9%)	123 (50.8%)	1.0 (0.8, 1.3)	1.1 (0.9, 1.3)
Type of patient (n=665)				
New	402 (94.8%)	232 (96.3%)	Ref	Ref
Previously treated	22 (5.2%)	9 (3.7%)	0.8 (0.4, 1.4)	0.8 (0.4, 1.4)
Site of TB (n=666)				
Pulmonary TB	288 (67.8%)	171 (71.0%)	Ref	Ref

FDCs for child TB in PNG

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TB Lymph Node	42 (9.9%)	20 (8.3%)	0.9 (0.6, 1.3)	1.4 (0.6, 2.9)
TB Meningitis	24 (5.6%)	17 (7.1%)	1.1 (0.8, 1.6)	1.6 (0.8, 3.5)
Extra-pulmonary TB-Others	71 (16.7%)	33 (13.7%)	0.98 (0.6, 1.2)	1.3 (0.6, 2.6)
Case Definition (n=666)				
Bacteriologically confirmed	4 (0.9%)	2 (0.8%)	0.9 (0.3, 2.7)	0.8 (0.2, 2.7)
PTB clinically diagnosed	275 (64.7%)	167 (69.3%)	Ref	Ref
EPTB clinically diagnosed	146 (34.4%)	72 (29.9%)	0.9 (0.7, 1.1)	0.8 (0.4, 1.4)
Baseline weight for age Z score (n=658)				
Normal (≥ -2 Z score)	203 (48.2%)	99 (41.8%)	Ref	Ref
Underweight (< -2 to -3)	97 (23.0%)	47 (19.8%)	1.0 (0.7, 1.3)	1.0 (0.7, 1.3)
Severe underweight (< -3)	121 (28.7%)	91 (38.4%)	1.3 (1.1, 1.6) *	1.3 (1.0, 1.6) *

-, * $p < 0.05$; ** Modified Poisson regression using robust variance estimates

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